

## JANET T. MILLS Governor

MICHAEL SAUSCHUCK Commissioner

### STATE OF MAINE

## Department of Public Safety Maine State Police

## Weapons and Professional Licensing

164 State House Station Augusta, Maine 04333-0164



LT. COL. WILLIAM S. HARWOOD Deputy Chief

To: Applicant for Contract Security Company License

Subject: Contract Security Company Application Procedure

Enclosed is an application for a Contract Security Company License, Laws Relating to Private Security Guards and three Authority to Release Information forms as follows:

- Form: P-3E Authority to release information to the Commissioner of Public Safety or his designee for the purpose of evaluating information supplied on the application for a Contract Security Company license pursuant 32 M.R.S.A. § 9405-A (G) (1) (4).
- Form: Authorization to release information for the purpose of applying for licensure as a Contract Security Company pursuant to 32 M.R.S.A. § 9401-9418.
- Form: 577 Client Authorization to Release Information specifically for Dorothea Dix Psychiatric Center or Riverview Psychiatric Center.

You must submit all of the completed forms listed above with the following:

- 1. Original Application fee of \$100.00 and State Bureau of Identification record check fee of \$21.00 for a total of \$121.00 payable to Treasurer, State of Maine. Upon approval, a balance of \$300 will be due.
- 2. A color photograph of yourself taken within six months of the date of your application.
- 3. Copy of your birth certificate.
- 4. Copy of military discharge, if applicable.

An approval letter and bond form will be forwarded after receipt and processing of your application which takes six to eight weeks.

Please inform this office immediately anytime there is a change of address either physical and/or mailing.



## STATE OF MAINE DEPARTMENT OF PUBLIC SAFETY MAINE STATE POLICE

Division of Weapons and Professional Licensing 164 STATE HOUSE STATION, AUGUSTA, MAINE 04333-0164 (207) 624-7210

## **Application for Contract Security Company License**

Application Fee - New: \$121.00 (\$100.00 plus \$21.00 for Background Check) Upon Issuance of License \$300.00 Application Fee - Renewal: \$221.00 (\$200.00 plus \$21.00 for Background Check) (Make Checks Payable to Treasurer, State of Maine)

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Full Name (Last, First, Middle)(Please Print)					Date of Birth		Place of Bir	th			
Complete Physical Address					SSN	SN Telephone #					
City or Town S			State	Zip C	Code	High School Graduate or High School Equivalency Yes \[ \]		☐ No ☐			
Complete Mailing Address			L				Citizen or R				
							of the Unite	d States	Yes	☐ No ☐	
City or Town				State	Zip C	Code	FOR OFFICE	USE ONLY			
Eyes	yes Height Weight			Case Number							
Resident Alien Number		Federa	ıl ID Nun	nber				Check Number Check Amount			
E-Mail Address:							New		Renewal		
List Addresses for the Last	5 Years (If More S Address	pace is	Needed	use a Pla	in She	et of Paper)		Dates			
	11001000							2 ares			
Name of Company						Name of DBA					
Company's Physical Address			Ι	DBA Physical Address							
City or Town			State	Zip Code	e C	City or Town			State	Zip Code	
Company's Mailing Address		·		l	Ι	DBA Mailing Address					
City or Town State Zip Code Ci		City or Town State Zip		Zip Code							
Company's Telephone Number			I	DBA Telephone Number							
List of Previous Issuances o	f a Contract Secur	ity Con	npany L	icense by	any Is	suing Author	ity				
List of Previous Refusals to	Issue or Renew; S	uspens	ion or R	evocation	ns of ar	ny Contract S	ecurity Company	License by a	ny Issuing Au	ıthority	

Check Appropriate Box After Each Question				
1.	Are you less than 18 years of age?	Yes 🗌 No 🔲		
2.	Is there a formal charging instrument now pending against you in this or any other jurisdiction for a crime which is punishable by one year or more imprisonment or for any other crime alleged to have been committed by you with the use of a dangerous weapon, as defined in 17-A MRSA § 2(9), or of a firearm against another person?	Yes No No		
	Is there a formal charging instrument now pending against you in this or any other jurisdiction for a juvenile offense which involves conduct which, if committed by an adult, would be punishable by one year or more imprisonment or for any juvenile offense alleged to have been committed by you with the use of a dangerous weapon, as defined in 17-A MRSA § 2(9), or of a firearm against another person?	Yes No No		
4.	Have you been convicted of a crime described in question 2 or adjudicated as having committed a juvenile offense as described in question 3?	Yes 🗌 No 🗌		
5.	Are you a fugitive from justice?	Yes No No		
	Are you a drug abuser [defined in 32 MSRA § 9403(3-E)] or drug addict [defined in 32 MSRA § 9403(3-C)] or drug dependent person [defined in 32 MSRA § 9403(3-D)]?  OTE: Definition of drug dependent person does not include a person who is able to function effectively as the result of taking prescription or other drugs, such as a diabetic who is able to function effectively as the result of taking insulin.	Yes No No		
	Do you have a mental disorder which causes you to be potentially dangerous to yourself or ners?	Yes 🗌 No 🔲		
	Have you been adjudicated to be an incapacitated person [defined in 18-A MRSA § 5-101(1)] pursuant to Title 18-A, Article V, Parts 3 and 4, and not had that designation removed by an order under Title 18-A, Section 5-307, Subsection (b)?	Yes No No		
9.	Have you been dishonorably discharged from the military forces within the past 5 years?	Yes 🗌 No 🔲		
10	. Are you an illegal alien?	Yes 🗌 No 🔲		
	. Is there a formal charging instrument now pending against you in this jurisdiction for any crime enumerated in section 9412?	Yes 🗌 No 🗌		
12	. Is there a formal charging instrument now pending against you in this jurisdiction for a juvenile offense which involves conduct which if committed by an adult, would be a crime enumerated in section 9412?	Yes No No		
13	. Have you within the past 5 years been convicted of a crime described in question 11 or adjudicated of having committed a juvenile offense described in question 12?	Yes 🗌 No 🔲		
14	. Within the past 5 years have you been the subject of an investigation by any law enforcement agency regarding the alleged abuse by you of family or household members?	Yes 🗌 No 🔲		
15	. Within the past 5 years have you been convicted of 3 or more crimes punishable by less than one year imprisonment?	Yes 🗌 No 🔲		
16	Within the past 5 years have you been adjudicated to have committed 3 or more juvenile offenses involving conduct which if committed by an adult, would be punishable by less than one year imprisonment?	Yes 🗌 No 🗌		
17	. Within the past 5 years have you been adjudicated of 3 or more civil violation?	Yes 🗌 No 🔲		
18	. Within the past 5 years has your license as a contract security company been suspended pursuant to section 9411-A?	Yes 🗌 No 🗌		
19	. Within the past 5 years have you engaged in reckless or negligent conduct, as defined in 32 MSRA § 9403(8-A), which has been the subject of an investigation by a government entity?	Yes No No		

<b>Initials:</b>	
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#### BY AFFIXING YOU SIGNATURE BELOW AS THE APPLICANT YOU:

- A. Certify that the statements you have made in this application, including Addendum A, and any documents you have made a part of this application, are true and correct.
- B. Certify that you understand that an affirmative answer to question 1, question 13, or any of the questions numbered 4 through 10 is cause for refusal.
- C. Certify that you understand that any false statements made in either this application, including Addendum A, or any documents you have made part of this application may result in criminal prosecution as provided in section 9412, subsection 1, paragraph D.
- D. Certify that you understand that at the request of the commissioner or his designee you are required and certify that you are willing to take whatever action is required of you by law to allow the commissioner or his designee to obtain from: hospitals and mental institutions either within or outside the State, limited to records of involuntary commitments; law enforcement agencies; and the military, information relevant to the following:
  - 1. The ascertainment of whether the information supplied in the application or any documents made a part of the application is true and correct;
  - 2. The ascertainment of whether each of the additional requirements of section 9405 has been met and
  - 3. Section 9411-A.
- E. Certify that you understand that since a photograph is an integral part of the application process, you will submit a photograph of yourself taken within six months of the date of this application.
- F. Certify that you understand that if it becomes necessary to resolve any questions as to your identity, you will submit to having your fingerprints taken by the commissioner or his designee.
- G. Certify that you have received a copy of the pamphlet entitled "Laws Relating to Private Security Guards" dated May 2009, issued by the Bureau of Maine State Police.

Under penalties of perjury, I certify that the statements in this written application and any documents made a part thereof are, to the best of my knowledge and belief, true, correct and complete.

Signature	Date	



# STATE OF MAINE - Department of Health and Human Services (DHHS)

Client Authorization to Release Information Specifically for:
Dorothea Dix Psychiatric Center or Riverview Psychiatric Center
Please Print Legibly or Type

Client's Name	DOB	SSN			
I hereby authorize Dorothea Dix Psychiatric Center, PO Box 926, 656 Bangor Street, Bangor, ME 04402					
Riverview Psychiatric Center, 250 Arsenal Street, 11 State House Station, Augusta, ME 04332					
To: Disclose Information To: Obtain Information From:	Client may che	ck either, or both options			
This Person or Organization: Maine State Police					
Address: Division of Weapons and Professional	Licensing, 164 State	House Station, Augusta, ME 04330			
Fax #: 207-287-3424					
Relationship to Client:					
(Include fax number and phone number	ONLY if fax is being use	ed to transmit information)			
Information to 1	Be Disclosed and/	or Obtained			
☐ Check YES or NO for each of the following:					
☐ YES ☐ NO Alcohol and/or Drug Treatment – (Authorization is required to share ANY	☐ YES ☐ N	IO Locus Report			
information about alcohol/drug treatmen whether spoken or written)		IO Medical and/or Physical History			
YES NO Any reference to or information about alcohol or other drugs	t YES N	O Outpatient Treatment			
☐ YES ☐ NO Assessments / Consultations	☐ YES ☐ N	Physical Therapy (PT and/or Occupational Therapy (OT)			
☐ YES ☐ NO Treatment Plan / Crisis Plans / Emergency Services	☐ YES ☐ N	Physician Orders, including Medical Index			
	☐ YES ☐ N	IO Progress Notes			
YES NO Face Sheet	☐ YES ☐ N	O Psychiatric History, Evaluations, DSM			
☐ YES ☐ NO Gould Assessment(s)	☐ YES ☐ N	Psychological and/or Psychosocial History, Reports, Evaluations			
☐ YES ☐ NO Legal / Financial	☐ YES ☐ N	Social History (Recent and/or Developmental			
☐ YES ☐ NO Other					
Purpose for Disclosing and/or Obtaining					
☐ YES ☐ NO Assistance to obtain government benefits	☐ YES ☐ N	Development of Service / Treatment / Crisis Plans			
YES NO At the request of the Individual	☐ YES ☐ N	Eligibility determination entitlements, insurance or employment			
☐ YES ☐ NO Coordination with family / concerned persons	YES N	Ongoing treatment / care management			
	mpany License	Initials			

Please INITIAL and CIRCLE Your Response to EACH	of the following statements:	
I DO I DO NOTauthorize dalcohol or drug abuse. I understand that it cannot be re-disc		efers to treatment or diagnosis of asent.
I DO I DO NOTauthorize d HIV or AIDS. I understand that some individuals about discrimination from others in the areas of employment, how	at whom such disclosures have	
release.	view, prior to its release, any i	nformation I have authorized for
I understand that the information indicated is p written permission, unless otherwise specifically p review information and material released. I under writing at any time. I understand that I do not ne receive a copy of this authorization if I wish. The releasing this information have been explained to	permitted by law. I unders rstand I have the right to be sed to sign this form to rece be benefits, risks, and conse	tand that I have the right to revoke this authorization in eive services and that I may
Client Signature or Mark		Date
Witness Signature		Date
Guardian/Parent/Legal Representative Signature (specify	role)	Date
This authorization is effective until	(da	te not to exceed one [1] year)
Revocation of	this Authorization:	
Signature or Mark of Person revoking Authorization	Relationship	Date
Witness Signature (if Mark/Stamp above)	Witness Printed Name	Date

### Additional Information for Persons/Organizations Receiving either Substance Abuse or Mental Health Information

#### For Persons/Organizations Receiving Substance Abuse Information:

This information has been disclosed to you from records protected by Federal confidentiality rules (42 CFR Part 2). The Federal rules prohibit you from making any further disclosure of this information unless further disclosure is expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by 42 CFR Part 2. A general authorization for the release of medical or other information is NOT sufficient for this purpose. The Federal rules restrict any use of the information to criminally investigate or prosecute any alcohol or drug abuse patient.

#### For Persons/Organizations Receiving Mental Health Information:

This information has been disclosed to you from records protected by State confidentiality laws (34-B M.R.S.A. §1207); Rights of Recipients of Mental Health Services. This information remains confidential and should not be disclosed any further, except as expressly permitted by the written consent of the person to whom it pertains or as otherwise permitted by law.



# AUTHORIZATION TO RELEASE INFORMATION FOR THE PURPOSE OF APPLYING FOR LICENSURE AS A CONTRACT SECURITY COMPANY PURSUANT TO 32 M.R.S.A. § 9401-9418

Please Print Legibly or Type

Name of Applicant	DOB
Alias and/or Prior Name(s):	
Pursuant to 32 M.R.S.A § 9405, I authorize the Riverview Psychiatric C disclose any record of whether I have been involuntarily committed to the Dix Psychiatric Center to the designee of the Commissioner of the Depart	the Riverview Psychiatric Center or the Dorothea
Department of Public Safe Maine State Police Division of Weapons and Profession 164 State House Station Augusta, ME 04333-016	nal Licensing
Fax#: (207)287-3424 Telephone #: (207) 624-72	210
I understand that the information requested is protected by law and caunless otherwise specifically permitted by law. I understand that I have to its release. I understand I have the right to revoke this authorization authority identified above. I understand that my refusal to sign this relecontract security company to be rejected. I understand that if the licensing inquiry, I may be asked to authorize the release of additional informatic contract security company. Information disclosed to the licensing authority 32 M.S.R.A. § 9418.  This authorization is effective for ninety (90) days following my dated significant in the property of the propert	the right to review information and material prior in writing at any time by contacting the licensing lease will cause my application for licensure as a ng authority receives an affirmative response to its ion to determine my eligibility for licensure as a ity pursuant to this release is confidential pursuant
Applicant Signature	Date
Witness Signature	Date
**************************************	POLICE, WEAPONS AND PROFESSIONAL
` ************************************	
MAINE STATE POLICE: Send completed form (or a copy) by regular m	nail with a stamped, self-addressed envelope; OR

MAINE STATE POLICE: Send completed form (or a copy) by regular mail with a stamped, self-addressed envelope; OR by fax; OR by e-mail (scan this waiver if using e-mail) to:

Riverview Psychiatric Center, PO Box 724, Augusta ME 04333-0724, Attention Medical Records (fax: 207-287-7127)

Dorothea Dix Psychiatric Center, PO Box 926, Bangor ME 04401, Attention Medical Records (fax 207-941-4029)

01/12; 07/20



# Authority, pursuant to 32 M.R.S. §§ 9405(1-A)(G)(1) and 9405(4), to release information to the Commissioner or his/her designee for the purpose of evaluating information supplied on the application for a Contract Security Company License.

#### To all law enforcement agencies and courts, either within or outside the State of Maine:

I hereby authorize and direct you to release to the Commissioner of Public Safety or his/her designee bearing this release, a copy thereof, within six months of the date appearing below, any information in your possession or control concerning me pertaining to the following:

- 1. conviction data;
- 2. any criminal matter in which a formal charging instrument is now pending;
- 3. adjudication data within the past 5 years relating to any civil violation;
- 4. fugitive from justice status;
- 5. incidents of abuse of family or household members within the past 5 years;
- 6. unlawful use of, or addiction to, marijuana or any other drug;
- 7. reckless or negligent conduct within the past 5 years.

#### To all military forces, both State and Federal:

I hereby authorize and direct you to release to the Commissioner of Public Safety or his/her designee bearing this release, or a copy thereof, within 6 months of the date appearing below, any information in your possession or control concerning me pertaining to a dishonorable discharge from the military forces.

#### To the Justice Department, Immigration and Naturalization Service:

I hereby authorize and direct you to release to the Commissioner of Public Safety or his/her designee bearing this release, or a copy thereof, within 6 months of the date appearing below, any information in your possession or control concerning me pertaining to being an illegal alien.

#### To all hospitals and mental institutions wither within or outside the State of Maine:

I hereby authorize and direct you to release to the Commissioner of Public Safety or his/her designee bearing this release, or a copy thereof, within 6 months of the date appearing below any information, if contained within your records, pertaining to being adjudged to be mentally defective or committed to a mental institution within the past 5 years.

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	Initials:

(Check appropriate box	below)			
I wish to review this material prior to its release:	I <u>wish</u> to review this material prior to its release:			
I <u>do not wish</u> to review this material prior to its re	lease:			
To all above addressed governmental entities:				
I hereby authorize and direct you to release to the Commissioner of Public Safety or his/her designee bearing this release, or a copy thereof, within 6 months of the date appearing below any information to your possession or control concerning me pertaining to the following:				
1. my full name;				
2. my full current address and addresses for the prior	5 years;			
3. the date and place of my birth any my physical de	scription;			
4. my signature				
Should there be any questions as to the validity of this reland/or telephone number listed below.	lease, you may co	ontact me at the address		
Full Name (Last, First, Middle)(Please Print)	Date of birth			
Complete Physical Address	Telephone #			
City or Town	State	Zip Code		
Complete Mailing Address	!			
City or Town	State	Zip Code		
Signature of Applicant	Date			
Signature of Witness	Date			

All information obtained pursuant to this release is confidential by virtue of 32 M.R.S. § 9418 and may not be made available for public inspection or copying by the Commissioner or his/her designee unless the confidentiality is waived by this applicant by written notice to the Commissioner.