

Updated 01/15/19

FEDERAL PROJECT

BIDDING INSTRUCTIONS

FOR ALL PROJECTS:

1. Use pen and ink to complete all paper Bids.
2. As a minimum, the following must be received prior to the time of Bid opening:

For a Paper Bid:

- a) a copy of the Notice to Contractors, b) the completed Acknowledgement of Bid Amendments form, c) the completed Schedule of Items, d) two copies of the completed and signed Contract Offer, Agreement & Award form, e) a Bid Guaranty, (if required), and f) any other certifications or Bid requirements listed in the Bid Documents as due by Bid opening.

For an Electronic Bid:

- a) a completed Bid using Expedite® software and submitted via the Bid Express™ web-based service, b) an electronic Bid Guaranty (if required) or a faxed copy of a Bid Bond (with original to be delivered within 72 hours), and c) any other Certifications or Bid requirements listed in the Bid Documents as due by Bid opening.
3. Include prices for all items in the Schedule of Items (excluding non-selected alternates).
 4. Bid Guaranty acceptable forms are:
 - a) a properly completed and signed Bid Bond on the Department's prescribed form (or on a form that does not contain any significant variations from the Department's form as determined by the Department) for 5% of the Bid Amount or
 - b) an Official Bank Check, Cashier's Check, Certified Check, U.S. Postal Money Order or Negotiable Certificate of Deposit in the amount stated in the Notice to Contractors or
 - c) an electronic bid bond submitted with an electronic bid.
 5. If a paper Bid is to be sent, "FedEx First Overnight" delivery is suggested as the package is delivered directly to the DOT Headquarters Building located at 16 Child Street in Augusta. Other means, such as U.S. Postal Service's Express Mail has proven not to be reliable.

IN ADDITION, FOR FEDERAL AID PROJECTS:

6. Complete the DBE Proposed Utilization form, and submit with your bid. If you are submitting your bid electronically, you must FAX the form to (207) 624-3431. This is a curable defect.

*If you need further information regarding Bid preparation, call the DOT
Contracts Section at (207) 624-3410.*

*For complete bidding requirements, refer to Section 102 of the Maine Department
of Transportation, Standard Specifications, November 2014 Edition.*

NOTICE

The Maine Department of Transportation is attempting to improve the way Bid Amendments/Addendums are handled, and allow for an electronic downloading of bid packages from our website, while continuing to maintain an optional plan holders list.

Prospective bidders, subcontractors or suppliers who wish to download a copy of the bid package and receive a courtesy notification of project specific bid amendments must fill out the on-line plan holder registration form and provide an email address to the MDOT Contracts mailbox at: MDOT.contracts@maine.gov. Each bid package will require a separate request.

Additionally, interested parties will be responsible for reviewing and retrieving the Bid Amendments from our web site, and acknowledging receipt and incorporating those Bid Amendments in their bids using the Acknowledgement of Bid Amendment Form.

The downloading of bid packages from the MDOT website is not the same as providing an electronic bid to the Department. Electronic bids must be submitted via <http://www.BIDX.com>. For information on electronic bidding contact Rebecca Snowden at rebecca.snowden@maine.gov or Diane Barnes at diane.barnes@maine.gov.

NOTICE

For security and other reasons, all Bid Packages which are mailed, shall be provided in double (one envelope inside the other) envelopes. The *Inner Envelope* shall have the following information provided on it:

Bid Enclosed - Do Not Open

PIN:

Town:

Date of Bid Opening:

Name of Contractor with mailing address and telephone number:

In Addition to the usual address information, the *Outer Envelope* should have written or typed on it:

Double Envelope: Bid Enclosed

PIN:

Town:

Date of Bid Opening:

Name of Contractor:

This should not be much of a change for those of you who use Federal Express or similar services.

Hand-carried Bids may be in one envelope as before, and should be marked with the following information:

Bid Enclosed: Do Not Open

PIN:

Town:

Name of Contractor:

October 16, 2001

STATE OF MAINE DEPARTMENT OF TRANSPORTATION
Bid Guaranty-Bid Bond Form

KNOW ALL MEN BY THESE PRESENTS THAT _____

_____, of the City/Town of _____ and State of _____

as Principal, and _____ as Surety, a

Corporation duly organized under the laws of the State of _____ and having a usual place of

Business in _____ and hereby held and firmly bound unto the Treasurer of

the State of Maine in the sum of _____ for payment which Principal and Surety bind

themselves, their heirs, executors, administrators, successors and assigns, jointly and severally.

The condition of this obligation is that the Principal has submitted to the Maine Department of

Transportation, hereafter Department, a certain bid, attached hereto and incorporated as a

part herein, to enter into a written contract for the construction of _____

_____ and if the Department shall accept said bid

and the Principal shall execute and deliver a contract in the form attached hereto (properly

completed in accordance with said bid) and shall furnish bonds for this faithful performance of

said contract, and for the payment of all persons performing labor or furnishing material in

connection therewith, and shall in all other respects perform the agreement created by the

acceptance of said bid, then this obligation shall be null and void; otherwise it shall remain in full

force, and effect.

Signed and sealed this _____ day of _____ 20____

WITNESS:

WITNESS

PRINCIPAL:

By _____

By: _____

By: _____

SURETY:

By _____

By: _____

Name of Local Agency: _____

NOTICE

Bidders:

Please use the attached “Request for Information” form when submitting questions concerning specific Contracts that have been advertised for Bid, include additional numbered pages as required. RFI’s may be faxed to 207-624-3431, submitted electronically through the Departments web page of advertised projects by selecting the RFI tab on the project details page or via e-mail to RFI-Contracts.MDOT@maine.gov.

These are the only allowable mechanisms for answering Project specific questions. Maine DOT will not be bound to any answers to Project specific questions received during the Bidding phase through other processes.

When submitting RFIs by Email please follow the same guidelines as stated on the “Request for Information” form and include the word “RFI” along with the Project name and Identification number in the subject line.

RFI No:

Date _____ **Time** _____

WIN(S): _____ **Town(s):** _____ **Bid Date:** _____

Question(s): _____

Company Name:_____ **Phone:()**_____

Email: _____ **Fax:** (____) _____

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NOTICE

Disadvantaged Business Enterprise Proposed Utilization

The Apparent Low Bidder shall submit the Disadvantaged Business Enterprise Proposed Utilization form with their bid. This is a curable bid defect.

The Contractor's Disadvantaged Business Enterprise Proposed Utilization Plan form contains additional information that is required by USDOT.

The Contractor's Disadvantaged Business Enterprise Proposed Utilization Plan form should be used.

A copy of the new Contractor's Disadvantaged Business Enterprise Proposed Utilization Plan and instructions for completing it are attached.

Note: Questions about DBE firms, or to obtain a printed copy of the DBE Directory, contact The Office of Civil Rights at (207) 624-3066.

MDOTs DBE Directory of Certified firms can also be obtained at <http://www.maine.gov/mdot/civilrights/dbe.htm>

INSTRUCTIONS FOR PREPARING THE MaineDOT CONTRACTOR'S DBE/SUBCONTRACTOR UTILIZATION FORM

The Contractor Shall Extend equal opportunity to MaineDOT certified DBE firms (as listed in MaineDOT's DBE Directory of Certified Businesses) in the selection and utilization of Subcontractors and Suppliers.

SPECIFIC INSTRUCTIONS FOR COMPLETING THE FORM:

Insert Contractor name, the name of the person(s) preparing the form, and that person(s) telephone, fax number and e-mail address.

Calculate and provide percentage of your bid that will be allocated to DBE firms, Federal Project Identification Number, and location of the Project work.

In the columns, name each subcontractor, DBE and non-DBE firm to be used, provide the Unit/Item cost of the work/product to be provided by the subcontractor, give a brief description and the dollar value of the work.

Revised 1/12

DBE GOAL NOTICE FFY 2019-2021
Maine Department of Transportation
Disadvantaged Business Enterprise Program

Notice is hereby given that in accordance with US DOT regulation 49 CFR Part 26, the Maine Department of Transportation (MaineDOT) has established a Disadvantaged Business Enterprise Program (DBE) for disadvantaged business participation in the federal-aid highway and bridge construction programs; MaineDOT contracts covered by the program include consulting, construction, supplies, manufacturing, and service contracts.

For FFY 2019-21 (October 1, 2018 through September 30, 2021) MaineDOT has established an annual DBE participation goal of **2.4%** to be achieved through race/gender neutral means. This goal has been approved by the Federal Highway Administration and remains in effect through September 30, 2021. Maine DOT must meet this goal each federal fiscal year. If the goal is not met, MaineDOT must provide a justification for not meeting the goal and provide a plan to ensure the goal is met, which may include placing contract goals on certain projects that contractors will be required to meet.

MaineDOT asks all contractors, consultants and subcontractors to seek certified DBE firms for projects and to work to meet the determined 2.4% goal without the need to impose contract goals. DBE firms are listed on the MaineDOT website at:

<http://www.maine.gov/mdot/disadvantaged-business-enterprises/pdf/directory.pdf>

Interested parties may view MaineDOT's DBE goal setting methodology, also posted on this website. If you have questions regarding this goal or the DBE program you may contact Sherry Tompkins at the Maine Department of Transportation, Civil Rights Office by telephone at (207) 624-3066 or by e-mail at: sherry.tompkins@maine.gov

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**MaineDOT CONTRACTOR'S DBE/SUBCONTRACTOR
PROPOSED UTILIZATION FORM**

All Bidders must furnish this form with their bid on Bid Opening day

Contractor: _____ **Telephone:** _____ **Ext** _____

Contact Person: _____ **Fax:** _____

E-mail: _____

BID DATE: _____

FEDERAL PROJECT PIN # _____ **PROJECT LOCATION:** _____

TOTAL ANTICIPATED DBE ____ % PARTICIPATION FOR THIS CONTRACT

W B E	D B E	Non DBE	Firm Name	Item Number & Description of Work	Quantity	Cost Per Unit/Item	Anticipated \$ Value
Subcontractor Total>							
DBE Total >							

**NOTE: THIS INFORMATION IS USED TO TRACK AND REPORT ANTICIPATED DBE PARTICIPATION IN ALL
FEDERALLY FUNDED MAINE DOT CONTRACTS. THE ANTICIPATED DBE AMOUNT IS VOLUNTARY AND WILL
NOT BECOME A PART OF THE CONTRACTUAL TERMS.**

Equal Opportunity Use:

Form received: ____/____/____ Verified by: _____

FHWA ☐

FTA ☐

FAA ☐

**For a complete list of certified firms and company designation (WBE/DBE) go to
<http://www.maine.gov/mdot/civilrights/>**

Rev. 01/15

Maine Department of Transportation Civil Rights Office

Directory of Certified Disadvantaged Business Enterprises

Listing can be found at:

<http://www.maine.gov/mdot/civilrights/dbe.htm>

For additional information and guidance contact:

Civil Rights Office at (207) 624-3066

It is the responsibility of the Contractor to access the DBE Directory at this site in order to have the most current listing.

Vendor Registration

Prospective Bidders must register as a vendor with the Department of Administrative & Financial Services if the vendor is awarded a contract. Vendors will not be able to receive payment without first being registered. Vendors/Contractors will find information and register through the following link –

<http://www.maine.gov/purchases/venbid/index.shtml>

STATE OF MAINE DEPARTMENT OF TRANSPORTATION NOTICE TO CONTRACTORS

Sealed Bids addressed to the Maine Department of Transportation, Augusta, Maine 04333 and endorsed on the wrapper "Bids for **Highway Reconstruction, Bridge Replacements, and Bridge Wearing Surface Replacements** in the towns of **Phillips - Madrid**" will be received from contractors at the Reception Desk, MaineDOT Building, Capitol Street, Augusta, Maine, until 11:00 o'clock A.M. (prevailing time) on April 3, 2019 and at that time and place, publicly opened and read. Bids will be accepted from all bidders. The lowest responsive bidder must have completed, or successfully complete, a **(Highway Construction prequalification)**, to be considered for the award of this contract. **We now accept electronic bids for bid packages posted on the bidx.com website. Electronic bids do not have to be accompanied by paper bids. Please note: The Department will accept a facsimile of the bid bond; however, the original bid bond must then be received at the MDOT Contract Section within 72 hours of the bid opening.** Until further notice, dual bids (one paper, one electronic) will be accepted, with the paper copy taking precedence.

Description: Maine Federal Aid Project No's. STP-1824(700)X, STP-2261(500), STP-2261(600), 2310000, 2310200. WINS. 018247.00, 022615.00, 022616.00, 0123100.00, 0123102.00

Location: In Franklin County, project STP-1824(700)X is located on Rte.4 beginning at Toothaker Pond Rd. and extending northerly 4.61 miles. Project STP-2261(500) is located on Rte.4 at Weymouth Br. over the Sandy River. Project STP- 2261(600) is located on Rte.4 at Wing Br. over the Sandy River. Project 2310000 is located on Rte.4 at Meeting Place Br. over the Sandy River, 0.18 mi. north of Number 6 Rd. Project 2310200 is located on Rte.4 at Dill Br. over the So. Branch of Sandy River, 0.11 mi. north of Number 6 Rd.

Outline of Work: Highway Reconstruction, Bridge Replacements, Bridge Wearing Surface Replacements and other incidental work.

For general information regarding Bidding and Contracting procedures, contact George Macdougall at (207) 624-3410. Our webpage at <http://www.maine.gov/mdot/contractors/> contains a copy of the Schedule of Items, Plan Holders List, written portions of bid amendments, drawings, bid results and an electronic form for RFI submittal. For Project-specific information fax all questions to **Project Manager** Ernie Martin at (207) 624-3431, use electronic RFI form or email questions to RFI-Contracts.MDOT@maine.gov, project name and identification number should be in the subject line. Questions received after 12:00 noon of Monday (or if that Monday is a state holiday, Friday) prior to bid date will not be answered. Bidders shall not contact any other Departmental staff for clarification of Contract provisions, and the Department will not be responsible for any interpretations so obtained. TTY users call Maine Relay 711.

Plans, specifications and bid forms may be seen at the MaineDOT Building in Augusta, Maine, and at the Department of Transportation's Regional Office in Dixfield. They may be purchased from the Department between the hours of 8:00 a.m. to 4:30 p.m. by cash, credit card (Visa/Mastercard) or check payable to Treasurer, State of Maine sent to Maine Department of Transportation, Attn.: Mailroom, 16 State House Station, Augusta, Maine 04333-0016. They also may be purchased by telephone at (207) 624-3536 between the hours of 8:00 a.m. to 4:30 p.m. Full size plans **\$530.00 (\$545.00 by mail)**. Half size plans **\$265.00 (\$271.00 by mail)**, Bid Book \$10 (\$13 by mail), Single Sheets \$2, payment in advance, all non-refundable.

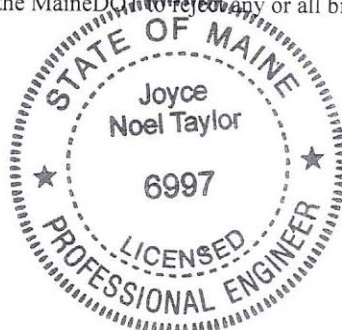
Each Bid must be made upon blank forms provided by the Department and must be accompanied by a bid bond at 5% of the bid amount or an official bank check, cashier's check, certified check, certificate of deposit, or United States postal money order in the amount of **\$875,000.00** payable to Treasurer, State of Maine as a Bid guarantee. A Contract Performance Surety Bond and a Contract Payment Surety Bond, each in the amount of 100 percent of the Contract price, will be required of the successful Bidder.

This Contract is subject to all applicable Federal Laws. This contract is subject to compliance with the Disadvantaged Business Enterprise program requirements as set forth by the Maine Department of Transportation.

All work shall be governed by *State of Maine, Department of Transportation, Standard Specifications, November 2014 Edition*, price \$10 [\$15 by mail], and *Standard Details, November 2014 Edition*, price \$10 [\$15 by mail]. They also may be purchased by telephone at (207) 624-3536 between the hours of 8:00 a.m. to 4:30 p.m. *Standard Detail* updates can be found at <http://www.maine.gov/mdot/contractors/publications/>.

The right is hereby reserved to the MaineDOT to reject any or all bids.

Augusta, Maine
March 13, 2019



Joyce Noel Taylor
JOYCE NOEL TAYLOR P. E.
CHIEF ENGINEER

NOTICE

All bids for Federal Projects **shall** be accompanied by the DBE Proposed Utilization form. If you are submitting an electronic bid, the DBE Utilization Form may be faxed to 207-624-3431. Failure to submit the form with the bid will be considered a curable defect.

Madrid - Phillips
18247.00, 22615.00,
22616.00, 23100.00,
23102.00

April 14, 2011 Supersedes

August 3, 2004

SPECIAL PROVISION 102.7.3
ACKNOWLEDGMENT OF BID AMENDMENTS

With this form, the Bidder acknowledges its responsibility to check for all Amendments to the Bid Package. For each Project under Advertisement, Amendments are located at <http://www.maine.gov/mdot/contractors/> . It is the responsibility of the Bidder to determine if there are Amendments to the Project, to download them, to incorporate them into their Bid Package, and to reference the Amendment number and the date on the form below. The Maine DOT will not post Bid Amendments any later than noon the day before Bid opening without individually notifying all the planholders.

Amendment Number	Date

The Contractor, for itself, its successors and assigns, hereby acknowledges that it has received all of the above referenced Amendments to the Bid Package.

CONTRACTOR

Date

Signature of authorized representative

(Name and Title Printed)

2/27/2019

Maine Department of Transportation

Proposal Schedule of Items

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Proposal ID: 018247.00

Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID:

Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0010	201.11 CLEARING	11.000 AC	_____	 _____	_____	 _____
0020	201.23 REMOVING SINGLE TREE TOP ONLY	50.000 EA	_____	 _____	_____	 _____
0030	201.24 REMOVING STUMP	50.000 EA	_____	 _____	_____	 _____
0040	202.15 REMOVING MANHOLE OR CATCH BASIN	8.000 EA	_____	 _____	_____	 _____
0050	202.19 REMOVING EXISTING BRIDGE	LUMP SUM	LUMP SUM		_____	 _____
0060	202.202 REMOVING PAVEMENT SURFACE	2,690.000 SY	_____	 _____	_____	 _____
0070	203.20 COMMON EXCAVATION	108,900.000 CY	_____	 _____	_____	 _____
0080	203.21 ROCK EXCAVATION	17,050.000 CY	_____	 _____	_____	 _____
0090	203.211 PRESPLITTING ROCK	9,800.000 LF	_____	 _____	_____	 _____
0100	203.213 DRILLING AND BLASTING OF SOLID ROCK SUBGRADE	3,400.000 SY	_____	 _____	_____	 _____
0110	203.25 GRANULAR BORROW	6,950.000 CY	_____	 _____	_____	 _____
0120	203.33 SPECIAL FILL	190.000 CY	_____	 _____	_____	 _____

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Proposal Schedule of Items

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Proposal ID: 018247.00

Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID:

Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0130	203.35 CRUSHED STONE FILL	180.000 CY	_____	_____	_____	_____
0140	204.20 ADD SHOULDER AGGREGATE TO EXISTING SHOULDER, PLAN QUANTITY	120.000 SY	_____	_____	_____	_____
0150	206.061 STRUCTURAL EARTH EXCAVATION - DRAINAGE AND MINOR STRUCTURES, BELOW GRADE	100.000 CY	_____	_____	_____	_____
0160	206.07 STRUCTURAL ROCK EXCAVATION - DRAINAGE AND MINOR STRUCTURES	250.000 CY	_____	_____	_____	_____
0170	206.082 STRUCTURAL EARTH EXCAVATION - MAJOR STRUCTURES	2,530.000 CY	_____	_____	_____	_____
0180	206.092 STRUCTURAL ROCK EXCAVATION - MAJOR STRUCTURES	54.000 CY	_____	_____	_____	_____
0190	304.10 AGGREGATE SUBBASE COURSE - GRAVEL	93,550.000 CY	_____	_____	_____	_____
0200	403.2081 12.5 MM POLYMER MODIFIED HOT MIX ASPHALT	8,000.000 T	_____	_____	_____	_____
0210	403.209 HOT MIX ASPHALT 9.5 MM (SIDEWALKS, DRIVES, INCIDENTALS)	2,290.000 T	_____	_____	_____	_____
0220	403.211 HOT MIX ASPHALT (SHIMMING)	50.000 T	_____	_____	_____	_____

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Proposal ID: 018247.00

Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID:

Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0230	403.213 HOT MIX ASPHALT 12.5 MM BASE	18,120.000 T				
0240	409.15 BITUMINOUS TACK COAT - APPLIED	2,951.000 G				
0250	411.10 UNTREATED AGGREGATE SURFACE COURSE (TRUCK MEASURE)	100.000 CY				
0260	461.131 TEMPORARY PAVEMENT	604.000 T				
0270	501.502 ROCK SOCKETED H-PILES	147.000 LF				
0280	501.54 STEEL H-BEAM PILES 117 LBS/FT, DELIVERED	147.000 LF				
0290	501.804 DRILLING EQUIPMENT MOBILIZATION	LUMP SUM				
0300	501.91 PILE SPLICES	7.000 EA				
0310	502.21 STRUCTURAL CONCRETE, ABUTMENTS AND RETAINING WALLS WEYMOUTH	380.000 CY				
0320	502.21 STRUCTURAL CONCRETE, ABUTMENTS AND RETAINING WALLS WING	460.000 CY				
0330	502.22 STRUCTURAL CONCRETE, ABUTMENTS AND RETAINING WALLS (PLACED UNDER WATER)	390.000 CY				

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Proposal ID: 018247.00

Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID:

Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0340	502.26 STRUCTURAL CONCRETE ROADWAY AND SIDEWALK SLABS ON STEEL BRIDGES	LUMP SUM	LUMP	SUM	_____	_____
0350	502.291 SAW CUT GROOVING	LUMP SUM	LUMP	SUM	_____	_____
0360	502.31 STRUCTURAL CONCRETE APPROACH SLABS	LUMP SUM	LUMP	SUM	_____	_____
0370	502.49 STRUCTURAL CONCRETE CURBS AND SIDEWALKS	LUMP SUM	LUMP	SUM	_____	_____
0380	502.565 CONCRETE FILL	20.000 CY	_____	_____	_____	_____
0390	503.12 REINFORCING STEEL, FABRICATED AND DELIVERED	79,900.000 LB	_____	_____	_____	_____
0400	503.13 REINFORCING STEEL, PLACING	79,900.000 LB	_____	_____	_____	_____
0410	503.26 STAINLESS STEEL REINFORCEMENT - FABRICATED & DELIVERED	64,100.000 LB	_____	_____	_____	_____
0420	503.27 STAINLESS STEEL REINFORCEMENT - PLACING	64,100.000 LB	_____	_____	_____	_____
0430	504.702 STRUCTURAL STEEL FABRICATED AND DELIVERED, WELDED WEYMOUTH	LUMP SUM	LUMP	SUM	_____	_____

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Proposal Schedule of Items

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Proposal ID: 018247.00

Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID:

Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0440	504.702 STRUCTURAL STEEL FABRICATED AND DELIVERED, WELDED WING	LUMP SUM	LUMP	SUM	_____	_____
0450	504.71 STRUCTURAL STEEL ERECTION	LUMP SUM	LUMP	SUM	_____	_____
0460	505.08 SHEAR CONNECTORS	LUMP SUM	LUMP	SUM	_____	_____
0470	507.0821 STEEL BRIDGE RAILING, 3 BAR	LUMP SUM	LUMP	SUM	_____	_____
0480	508.14 HIGH PERFORMANCE WATERPROOFING MEMBRANE	LUMP SUM	LUMP	SUM	_____	_____
0490	510.10 SPECIAL DETOUR _____ ROADWAY WIDTH VEHICULAR & PEDESTRIAN TRAFFIC NOT SEPARATED 13'-7", WEYMOUTH	LUMP SUM	LUMP	SUM	_____	_____
0500	510.10 SPECIAL DETOUR _____ ROADWAY WIDTH VEHICULAR & PEDESTRIAN TRAFFIC NOT SEPARATED 13'-7", WING	LUMP SUM	LUMP	SUM	_____	_____
0510	511.07 COFFERDAM: ABUT NO.1, WEYMOUTH	LUMP SUM	LUMP	SUM	_____	_____
0520	511.07 COFFERDAM: ABUT NO.1, WING	LUMP SUM	LUMP	SUM	_____	_____
0530	511.07 COFFERDAM: ABUT NO.2, WEYMOUTH	LUMP SUM	LUMP	SUM	_____	_____

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Proposal Schedule of Items

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Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID:

Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0540	511.07 COFFERDAM: ABUT NO.2, WING	LUMP SUM		LUMP SUM		
0550	512.081 FRENCH DRAINS	LUMP SUM		LUMP SUM		
0560	514.06 CURING BOX FOR CONCRETE CYLINDERS	2.000 EA				
0570	515.21 PROTECTIVE COATING FOR CONCRETE SURFACES	LUMP SUM		LUMP SUM		
0580	518.50 REPAIR OF UPWARD FACING SURFACES - TO REINFORCING STEEL < 8 IN.	17.000 SF				
0590	520.232 EXPANSION DEVICE - ASPHALTIC PLUG JOINT	194.000 LF				
0600	523.52 BEARING INSTALLATION	15.000 EA				
0610	523.5401 LAMINATED ELASTOMERIC BEARINGS, FIXED	5.000 EA				
0620	523.5402 LAMINATED ELASTOMERIC BEARINGS, EXPANSION	10.000 EA				
0630	526.301 TEMPORARY CONCRETE BARRIER TYPE I	LUMP SUM		LUMP SUM		
0640	526.34 PERMANENT CONCRETE TRANSITION BARRIER	8.000 EA				

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023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID: Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0650	527.34 WORK ZONE CRASH CUSHIONS	12.000 UN				
0660	603.16 15 INCH CULVERT PIPE OPTION I	468.000 LF				
0670	603.17 18 INCH CULVERT PIPE OPTION I	216.000 LF				
0680	603.175 18 INCH REINFORCED CONCRETE PIPE CLASS III	124.000 LF				
0690	603.179 18 INCH CULVERT PIPE OPTION III	264.000 LF				
0700	603.19 24 INCH CULVERT PIPE OPTION I	34.000 LF				
0710	603.199 24 INCH CULVERT PIPE OPTION III	124.000 LF				
0720	603.20 30 INCH CULVERT PIPE OPTION I	48.000 LF				
0730	603.205 30 INCH REINFORCED CONCRETE PIPE CLASS III	382.000 LF				
0740	603.209 30 INCH CULVERT PIPE OPTION III	256.000 LF				
0750	603.215 36 INCH REINFORCED CONCRETE PIPE CLASS III	292.000 LF				
0760	603.219 36 INCH CULVERT PIPE OPTION III	164.000 LF				

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Maine Department of Transportation

Proposal Schedule of Items

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Proposal ID: 018247.00

Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID:

Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0770	603.225 42 INCH REINFORCED CONCRETE PIPE CLASS III	72.000 LF	_____	 _____	_____	 _____
0780	603.235 48 INCH REINFORCED CONCRETE PIPE CLASS III	312.000 LF	_____	 _____	_____	 _____
0790	603.245 54 INCH REINFORCED CONCRETE PIPE CLASS III	100.000 LF	_____	 _____	_____	 _____
0800	603.275 72 INCH REINFORCED CONCRETE PIPE CLASS III	104.000 LF	_____	 _____	_____	 _____
0810	603.55 CONCRETE PIPE TIES	88.000 GP	_____	 _____	_____	 _____
0820	603.78 18 INCH INLET GRATE UNIT	1.000 EA	_____	 _____	_____	 _____
0830	604.092 CATCH BASIN TYPE B1-C	8.000 EA	_____	 _____	_____	 _____
0840	604.18 ADJUSTING MANHOLE OR CATCH BASIN TO GRADE	1.000 EA	_____	 _____	_____	 _____
0850	605.09 6 INCH UNDERDRAIN TYPE B	6,610.000 LF	_____	 _____	_____	 _____
0860	605.10 6 INCH UNDERDRAIN OUTLET	420.000 LF	_____	 _____	_____	 _____
0870	605.13 18 INCH UNDERDRAIN TYPE C	680.000 LF	_____	 _____	_____	 _____

2/27/2019

Maine Department of Transportation

Proposal Schedule of Items

Page 9 of 15

Proposal ID: 018247.00

Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID:

Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0880	606.1301 31" W-BM GR, MID-WAY SPLICE-SGL FACED	10,642.000 LF				
0890	606.1303 31" W-BM GR, MID-WAY SPLICE-15' RAD AND LESS	175.000 LF				
0900	606.1304 31" W-BM GR, MID-WAY SPLICE-OVER 15' RAD	176.000 LF				
0910	606.1305 31" W-BM GR, MID-WAY SPLICE FLARED TERMINAL	20.000 EA				
0920	606.1307 BRIDGE TRANSITION (ASYMMETRICAL) - TYPE 1	8.000 EA				
0930	606.259 ANCHORAGE ASSEMBLY	1.000 EA				
0940	606.265 TERMINAL END - SINGLE RAIL - GALVANIZED STEEL	1.000 EA				
0950	606.353 REFLECTORIZED FLEXIBLE GUARDRAIL MARKER	58.000 EA				
0960	606.356 UNDERDRAIN DELINEATOR POST	37.000 EA				
0970	606.47 SINGLE WOOD POST	17.000 EA				
0980	606.51 MULTIPLE MAILBOX SUPPORT	1.000 EA				

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Maine Department of Transportation

Proposal Schedule of Items

Page 10 of 15

Proposal ID: 018247.00

Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID:

Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0990	606.66 TERMINAL END THRIE BEAM	1.000 EA				
1000	606.71 GUARDRAIL THRIE BEAM - 15 FOOT RADIUS AND LESS	12.500 LF				
1010	609.31 CURB TYPE 3	2,660.000 LF				
1020	610.08 PLAIN RIPRAP	2,301.000 CY				
1030	610.16 HEAVY RIPRAP	2,120.000 CY				
1040	610.163 SPECIAL RIPRAP	30.000 CY				
1050	610.18 STONE DITCH PROTECTION	700.000 CY				
1060	613.319 EROSION CONTROL BLANKET	14,350.000 SY				
1070	613.40 TURF REINFORCEMENT MAT - (TRM)	8,800.000 SY				
1080	615.07 LOAM	7,340.000 CY				
1090	615.081 COMPOST BLANKET	850.000 CY				
1100	618.13 SEEDING METHOD NUMBER 1	97.000 UN				
1110	618.14 SEEDING METHOD NUMBER 2	1,048.000 UN				

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Maine Department of Transportation

Proposal Schedule of Items

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Proposal ID: 018247.00

Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID:

Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
1120	618.146 SEEDING HYDROMULCH MEDIUM	90.000 UN				
1130	619.12 MULCH	1,145.000 UN				
1140	619.14 EROSION CONTROL MIX	770.000 CY				
1150	620.54 STABILIZATION/REINFORCEMENT GEOTEXTILE	100.000 SY				
1160	620.56 DRAINAGE GEOTEXTILE	570.000 SY				
1170	620.58 EROSION CONTROL GEOTEXTILE	6,325.000 SY				
1180	620.61 REINFORCEMENT GEOTEXTILE (SEWN SEAMS)	9,000.000 SY				
1190	620.65 REINFORCEMENT GEOGRID	4,700.000 SY				
1200	620.66 DRAINAGE GEOCOMPOSITE	80.000 SY				
1210	627.733 4" WHITE OR YELLOW PAINTED PAVEMENT MARKING LINE	76,232.000 LF				
1220	627.75 WHITE OR YELLOW PAVEMENT & CURB MARKING	160.000 SF				
1230	627.77 REMOVING PAVEMENT MARKINGS	1,100.000 SF				

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Maine Department of Transportation

Proposal Schedule of Items

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Proposal ID: 018247.00

Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID:

Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
1240	627.78 TEMPORARY 4 INCH PAINTED PAVEMENT MARKING LINE, WHITE OR YELLOW	150,300.000 LF	_____	 _____	_____	 _____
1250	629.05 HAND LABOR, STRAIGHT TIME	110.000 HR	_____	 _____	_____	 _____
1260	631.12 ALL PURPOSE EXCAVATOR (INCLUDING OPERATOR)	20.000 HR	_____	 _____	_____	 _____
1270	631.121 HEAVY DUTY ALL PURPOSE EXCAVATOR (INCLUDING OPERATOR)	60.000 HR	_____	 _____	_____	 _____
1280	631.13 BULLDOZER (INCLUDING OPERATOR)	60.000 HR	_____	 _____	_____	 _____
1290	631.14 GRADER (INCLUDING OPERATOR)	60.000 HR	_____	 _____	_____	 _____
1300	631.15 ROLLER, EARTH AND BASE COURSE (INCLUDING OPERATOR)	60.000 HR	_____	 _____	_____	 _____
1310	631.172 TRUCK - LARGE (INCLUDING OPERATOR)	120.000 HR	_____	 _____	_____	 _____
1320	631.20 STUMP CHIPPER (INCLUDING OPERATOR)	90.000 HR	_____	 _____	_____	 _____
1330	631.32 CULVERT CLEANER (INCLUDING OPERATOR)	50.000 HR	_____	 _____	_____	 _____

2/27/2019

Maine Department of Transportation

Proposal Schedule of Items

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Proposal ID: 018247.00

Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID:

Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
1340	639.18 FIELD OFFICE TYPE A	1.000 EA				
1350	643.72 TEMPORARY TRAFFIC SIGNAL WEYMOUTH	LUMP SUM		LUMP SUM		
1360	643.72 TEMPORARY TRAFFIC SIGNAL WING	LUMP SUM		LUMP SUM		
1370	645.103 DEMOUNT GUIDE SIGN	1.000 EA				
1380	645.106 DEMOUNT REGULATORY, WARNING, CONFIRMATION AND ROUTE MARKER ASSEMBLY SIGN	35.000 EA				
1390	645.113 REINSTALL GUIDE SIGN	15.000 EA				
1400	645.116 REINSTALL REGULATORY, WARNING, CONFIRMATION AND ROUTE MARKER ASSEMBLY SIGN	4.000 EA				
1410	645.291 ROADSIDE GUIDE SIGNS TYPE II	60.000 SF				
1420	645.292 REGULATORY, WARNING, CONFIRMATION AND ROUTE MARKER ASSEMBLY SIGNS TYPE II	410.000 SF				
1430	645.60 ACTUATED DYNAMIC SIGNS	LUMP SUM		LUMP SUM		
1440	652.30 FLASHING ARROW BOARD	2.000 EA				

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Maine Department of Transportation

Proposal Schedule of Items

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Proposal ID: 018247.00

Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

SECTION: 1 Project Items

Alt Set ID: Alt Mbr ID:

Contractor: _____

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
1450	652.312 TYPE III BARRICADE	8.000 EA				
1460	652.33 DRUM	300.000 EA				
1470	652.34 CONE	500.000 EA				
1480	652.35 CONSTRUCTION SIGNS	1,200.000 SF				
1490	652.36 MAINTENANCE OF TRAFFIC CONTROL DEVICES - Highway	494.000 CD				
1500	652.36 MAINTENANCE OF TRAFFIC CONTROL DEVICES - Weymouth Br.	250.000 CD				
1510	652.36 MAINTENANCE OF TRAFFIC CONTROL DEVICES - Wing Br.	250.000 CD				
1520	652.38 FLAGGER	18,200.000 HR				
1530	652.381 TRAFFIC OFFICER	350.000 HR				
1540	652.41 PORTABLE CHANGEABLE MESSAGE SIGN	6.000 EA				
1550	656.75 TEMPORARY SOIL EROSION AND WATER POLLUTION CONTROL	LUMP SUM			LUMP SUM	
1560	659.10 MOBILIZATION	LUMP SUM			LUMP SUM	

Maine Department of Transportation

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Project(s): 018247.00, 022615.00, 022616.00,
023100.00, 023102.00

Contractor: _____

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CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine, acting through and by its Department of Transportation (Department), an agency of state government with its principal administrative offices located at Child Street, Augusta, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-0016, and

_____ a corporation or other legal entity organized under the laws of the State of _____, with its principal place of business located at _____

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

A. The Work.

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, **WINS. 018247.00, 022615.00, 022616.00, 023100.00, 023102.00** for **Highway Reconstruction, Bridge Replacements and Bridge Wearing Surface Replacements** in the towns of **Madrid - Phillips**, County of **Franklin**, Maine. The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

B. Time.

The Contractor agrees to complete all Work, except warranty work, on or before **June 30, 2021**. Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the *State of Maine Department of Transportation Standard Specifications, November 2014 Edition* and related Special Provisions.

C. Price.

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is _____

\$_____ Performance Bond and Payment Bond each being 100% of the amount of this Contract.

D. Contract.

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, *Standard Specifications, November 2014 Edition, Standard Details November 2014 Edition* as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

E. Certifications.

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in the Federal Contract Provisions Supplement, and the Contract are still complete and accurate as of the date of this Agreement.
2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.
3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

F. Offer.

The undersigned, having carefully examined the site of work, the Plans, *Standard Specifications November 2014 Edition*, *Standard Details November 2014 Edition* as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of:

WINS. 018247.00, 022615.00, 022616.00, 023100.00, 023102.00 - Highway Reconstruction, Bridge Replacements and Bridge Wearing Surface Replacements - in the towns of Madrid – Philips.

State of Maine, on which bids will be received until the time specified in the “Notice to Contractors” do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached “Schedule of Items.”

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached “Schedule of Items” in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached “Schedule of Items,” which may be ordered by the Resident, and to accept as full compensation the amount determined upon a “Force Account” basis as provided in the *Standard Specifications, November 2014 Edition*, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier’s check, certificate of deposit or U. S. Postal Money Order in the amount given in the “Notice to Contractors”, payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work as stated in Section 107.2 of the *Standard Specifications November 2014 Edition* and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and

submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan with their bid.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

CONTRACTOR

Date

(Signature of Legally Authorized Representative
of the Contractor)

Witness

(Name and Title Printed)

G. Award.

Your offer is hereby accepted.
documents referenced herein.

This award consummates the Contract, and the

MAINE DEPARTMENT OF TRANSPORTATION

Date

By: Bruce A. Van Note, Commissioner

Witness

CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine, acting through and by its Department of Transportation (Department), an agency of state government with its principal administrative offices located at Child Street, Augusta, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-0016, and

_____ a corporation or other legal entity organized under the laws of the State of _____, with its principal place of business located at _____

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

A. The Work.

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, **WINS. 018247.00, 022615.00, 022616.00, 023100.00, 023102.00** for **Highway Reconstruction, Bridge Replacements and Bridge Wearing Surface Replacements** in the towns of **Madrid - Phillips**, County of **Franklin**, Maine. The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

B. Time.

The Contractor agrees to complete all Work, except warranty work, on or before **June 30, 2021**. Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the *State of Maine Department of Transportation Standard Specifications, November 2014 Edition* and related Special Provisions.

C. Price.

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is _____

\$_____ Performance Bond and Payment Bond each being 100% of the amount of this Contract.

D. Contract.

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, *Standard Specifications, November 2014 Edition, Standard Details November 2014 Edition* as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

E. Certifications.

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in the Federal Contract Provisions Supplement, and the Contract are still complete and accurate as of the date of this Agreement.
2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.
3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

F. Offer.

The undersigned, having carefully examined the site of work, the Plans, *Standard Specifications November 2014 Edition*, *Standard Details November 2014 Edition* as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of:

WINS. 018247.00, 022615.00, 022616.00, 023100.00, 023102.00 - Highway Reconstruction, Bridge Replacements and Bridge Wearing Surface Replacements - in the towns of Madrid – Philips.

State of Maine, on which bids will be received until the time specified in the “Notice to Contractors” do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached “Schedule of Items.”

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached “Schedule of Items” in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached “Schedule of Items,” which may be ordered by the Resident, and to accept as full compensation the amount determined upon a “Force Account” basis as provided in the *Standard Specifications, November 2014 Edition*, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier’s check, certificate of deposit or U. S. Postal Money Order in the amount given in the “Notice to Contractors”, payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work as stated in Section 107.2 of the *Standard Specifications November 2014 Edition* and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and

submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan with their bid.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

CONTRACTOR

Date

(Signature of Legally Authorized Representative
of the Contractor)

Witness

(Name and Title Printed)

G. Award.

Your offer is hereby accepted.
documents referenced herein.

This award consummates the Contract, and the

MAINE DEPARTMENT OF TRANSPORTATION

Date

By: Bruce A. Van Note, Commissioner

Witness

CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine, acting through and by its Department of Transportation (Department), an agency of state government with its principal administrative offices located at Child Street Augusta, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-0016, and

(Name of the firm bidding the job)

a corporation or other legal entity organized under the laws of the State of Maine, with its principal place of business located at **(address of the firm bidding the job)**

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

A. The Work.

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, PIN No. **1224.00**, for the **Hot Mix Asphalt Overlay** in the town/city of **South Nowhere**, County of **Washington**, Maine. The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

B. Time.

The Contractor agrees to complete all Work, except warranty work, on or before **November 15, 2006**. Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard Specifications, November 2014 Edition and related Special Provisions.

C. Price.

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is (Place bid here in alphabetical form such as One Hundred and Two dollars and 10 cents)
\$ (repeat bid here in numerical terms, such as \$102.10) Performance Bond and Payment Bond each being 100% of the amount of this Contract.

D. Contract.

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, November 2014 Edition, Standard Details November 2014 Edition, Supplemental Specifications, Special Provisions, Contract Agreement, and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

E. Certifications.

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in Appendix A to Division 100 of the Standard Specifications November 2014 Edition (Federal Contract Provisions Supplement), and the Contract are still complete and accurate as of the date of this Agreement.
2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.
3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

F. Offer.

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications, November 2014 Edition, Standard Details November 2014 Edition, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of:

PIN 1234.00 South Nowhere, Hot Mix Asphalt Overlay

State of Maine, on which bids will be received until the time specified in the "Notice to Contractors" do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached "Schedule of Items".

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached "Schedule of Items" in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached "Schedule of Items", which may be ordered by the Resident, and to accept as full compensation the amount determined upon a "Force Account" basis as provided in the Standard Specifications, November 2014 Edition, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier's check, certificate of deposit or U. S. Postal Money Order in the amount given in the "Notice to Contractors", payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work as stated in Section 107.2 of the Standard Specifications November 2014 Edition and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan with their bid.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

Date

(Witness Sign Here)
Witness

CONTRACTOR

(Sign Here)
(Signature of Legally Authorized Representative of the Contractor)

(Print Name Here)
(Name and Title Printed)

G. Award.

Your offer is hereby accepted.
documents referenced herein.

This award consummates the Contract, and the

MAINE DEPARTMENT OF TRANSPORTATION

Date

By: David Bernhardt, Commissioner

(Witness)

BOND # _____

CONTRACT PERFORMANCE BOND
(Surety Company Form)

KNOW ALL MEN BY THESE PRESENTS: That _____
_____ **in the State of** _____, as principal,
and.....
a corporation duly organized under the laws of the State of and having a
usual place of business
as Surety, are held and firmly bound unto the Treasurer of the State of Maine in the sum
of _____ **and 00/100 Dollars (\$** _____ **)**,
to be paid said Treasurer of the State of Maine or his successors in office, for which
payment well and truly to be made, Principal and Surety bind themselves, their heirs,
executors and administrators, successors and assigns, jointly and severally by these
presents.

The condition of this obligation is such that if the Principal designated as Contractor in
the Contract to construct Project Number _____ in the Municipality of
_____ promptly and faithfully performs the Contract, then this
obligation shall be null and void; otherwise it shall remain in full force and effect.

The Surety hereby waives notice of any alteration or extension of time made by the State
of Maine.

Signed and sealed this day of, 20.....

WITNESSES:

Signature.....
Print Name Legibly

Signature
Print Name Legibly

SURETY ADDRESS:

.....
.....
.....

TELEPHONE.....

SIGNATURES:

CONTRACTOR:

.....
Print Name Legibly

SURETY:

.....
Print Name Legibly

NAME OF LOCAL AGENCY:

ADDRESS
.....
.....

BOND # _____

CONTRACT PAYMENT BOND
(Surety Company Form)

KNOW ALL MEN BY THESE PRESENTS: That _____
_____ **in the State of** _____, as principal,
and.....
a corporation duly organized under the laws of the State of and having a
usual place of business in
as Surety, are held and firmly bound unto the Treasurer of the State of Maine for the use
and benefit of claimants as herein below defined, in the sum of
_____ **and 00/100 Dollars (\$** _____ **)**
for the payment whereof Principal and Surety bind themselves, their heirs, executors and
administrators, successors and assigns, jointly and severally by these presents.

The condition of this obligation is such that if the Principal designated as Contractor in
the Contract to construct Project Number _____ in the Municipality of
_____ promptly satisfies all claims and demands incurred for all
labor and material, used or required by him in connection with the work contemplated by
said Contract, and fully reimburses the obligee for all outlay and expense which the
obligee may incur in making good any default of said Principal, then this obligation shall
be null and void; otherwise it shall remain in full force and effect.

A claimant is defined as one having a direct contract with the Principal or with a
Subcontractor of the Principal for labor, material or both, used or reasonably required for
use in the performance of the contract.

Signed and sealed this day of, 20

WITNESS:

SIGNATURES:

CONTRACTOR:

Signature.....

.....

Print Name Legibly

Print Name Legibly

SURETY:

Signature.....

.....

Print Name Legibly

Print Name Legibly

SURETY ADDRESS:

NAME OF LOCAL AGENCY:

.....

ADDRESS

.....

.....

TELEPHONE

.....

General Decision Number: ME190035 01/04/2019 ME35

Superseded General Decision Number: ME20180111

State: Maine

Construction Type: Highway

County: Franklin County in Maine.

HIGHWAY CONSTRUCTION PROJECTS

Note: Under Executive Order (EO) 13658, an hourly minimum wage of \$10.60 for calendar year 2019 applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.60 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2019. If this contract is covered by the EO and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must pay workers in that classification at least the wage rate determined through the conformance process set forth in 29 CFR 5.5(a)(1)(ii) (or the EO minimum wage rate, if it is higher than the conformed wage rate). The EO minimum wage rate will be adjusted annually. Please note that this EO applies to the above-mentioned types of contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but it does not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60). Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Modification Number	Publication Date
0	01/04/2019

* ENGI0004-022 04/01/2018

	Rates	Fringes
POWER EQUIPMENT OPERATOR:		
Grader/Blade, Milling Machine.....	\$ 22.61	12.50

SUME2014-030 06/23/2017		

	Rates	Fringes
CARPENTER, Includes Form Work....	\$ 18.34	2.84
HIGHWAY/PARKING LOT STRIPING:		
Laborer.....	\$ 14.80	1.27
IRONWORKER, REINFORCING.....	\$ 16.27	0.00
LABORER: Asphalt, Includes Raker, Shoveler, Spreader and		

Distributor.....	\$ 15.40	2.69
LABORER: Common or General.....	\$ 14.45	2.16
LABORER: Landscape.....	\$ 18.69	2.70
LABORER: Wheelman.....	\$ 15.64	4.29
OPERATOR: Backhoe/Excavator/Trackhoe.....	\$ 18.80	4.16
OPERATOR: Bobcat/Skid Steer/Skid Loader.....	\$ 21.66	4.91
OPERATOR: Broom/Sweeper.....	\$ 19.09	5.20
OPERATOR: Bulldozer.....	\$ 17.30	3.50
OPERATOR: Loader.....	\$ 18.59	5.53
OPERATOR: Mechanic.....	\$ 22.07	8.73
OPERATOR: Paver (Asphalt, Aggregate, and Concrete).....	\$ 21.46	8.78
OPERATOR: Screed.....	\$ 19.02	4.82
OPERATOR: Roller (Earth).....	\$ 16.43	3.40
OPERATOR: Roller Asphalt.....	\$ 21.97	7.81
TRAFFIC CONTROL: Flagger.....	\$ 9.38	0.00
TRAFFIC CONTROL: Laborer-Cones/ Barricades/Barrels - Setter/Mover/Sweeper.....	\$ 17.47	4.80
TRUCK DRIVER: Dump Truck.....	\$ 15.07	5.15
TRUCK DRIVER: TackTruck.....	\$ 20.18	7.75

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than "SU" or "UAVG" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the "SU" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010

08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U.S. Department of Labor

200 Constitution Avenue, N.W.
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

=====

END OF GENERAL DECISION

STATE OF MAINE DEPARTMENT OF TRANSPORTATION



Meeting Place Bridge PHILLIPS FRANKLIN COUNTY OVER SANDY RIVER FEDERAL PROJECT # 2310000 BRIDGE NO.2545 WIN: 023100.00

PROJECT LOCATION:	Bridge# 2545. STATE ROUTE 4. PHILLIPS, FRANKLIN COUNTY 44°51'06"N, 70°58'87"W
PROGRAM AREA:	Bridge Program
OUTLINE OF WORK:	Wearing Surface Replacement

SIGNATURE 14214 P.E. NUMBER 2-20-19 DATE	STATE OF MAINE DEPARTMENT OF TRANSPORTATION <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border-bottom: 1px solid black; text-align: center; padding: 5px;">APPROVED</td> <td style="width: 50%; border-bottom: 1px solid black; text-align: center; padding: 5px;">DATE</td> </tr> <tr> <td style="border-bottom: 1px solid black; text-align: center; padding: 5px;"> </td> <td style="border-bottom: 1px solid black; text-align: center; padding: 5px;">3-4-19</td> </tr> <tr> <td style="border-bottom: 1px solid black; text-align: center; padding: 5px;"> </td> <td style="border-bottom: 1px solid black; text-align: center; padding: 5px;">3-4-19</td> </tr> <tr> <td style="text-align: center; padding: 2px 5px;">COMMISSIONER:</td> <td></td> </tr> <tr> <td style="text-align: center; padding: 2px 5px;">CHIEF ENGINEER:</td> <td></td> </tr> </table>		APPROVED	DATE		3-4-19		3-4-19	COMMISSIONER:		CHIEF ENGINEER:	
APPROVED	DATE											
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	3-4-19											
COMMISSIONER:												
CHIEF ENGINEER:												

STATE OF MAINE DEPARTMENT OF TRANSPORTATION	PHILLIPS FRANKLIN COUNTY	SHEET NUMBER
WIN: 23100.00	TITLE SHEET	1 OF 5

GENERAL NOTES

1. Project information referred to below may be accessed at the following MaineDOT web address: <http://www.maine.gov/mdot/contractors/>.

2. The existing bridge plans may be accessed at the Maine DOT web address. The plans are reproductions of the original drawings as prepared for the construction of the bridge. It is very unlikely that the plans will show any construction field changes or any alterations which may have been made to the bridge during its life span.

3. Quantities included for pay items measured and paid for by Lump Sum are estimated quantities and are provided by MaineDOT for informational purposes only. Lump Sum pay items will be paid for at the Contract Bid amount, with no addition or reduction in payment to the Contractor if the actual final quantities are different from the MaineDOT provided estimated quantities, except as follows:

- a. If a Lump Sum pay item is eliminated, the requirements of Standard Specifications Section 109.2, Elimination of Items, will take precedence.
- b. If other Contract Documents specifically allow a change in payment for a Lump Sum pay item, those requirements will be followed.
- c. If a design change results in changes to estimated quantities for Lump Sum pay items, price adjustments will be made in accordance with Standard Specifications Section 109.7, Equitable Adjustments to Compensation.

4. The Contractor shall repair areas of concrete deck deterioration as directed by the Resident. Locations of deck repairs are undetermined for this bridge. Contractor shall mark and identify areas for the deck repair after removing the wearing surface. Coordinate with the resident. Payment for the deck repair work shall be under the 518 pay item.

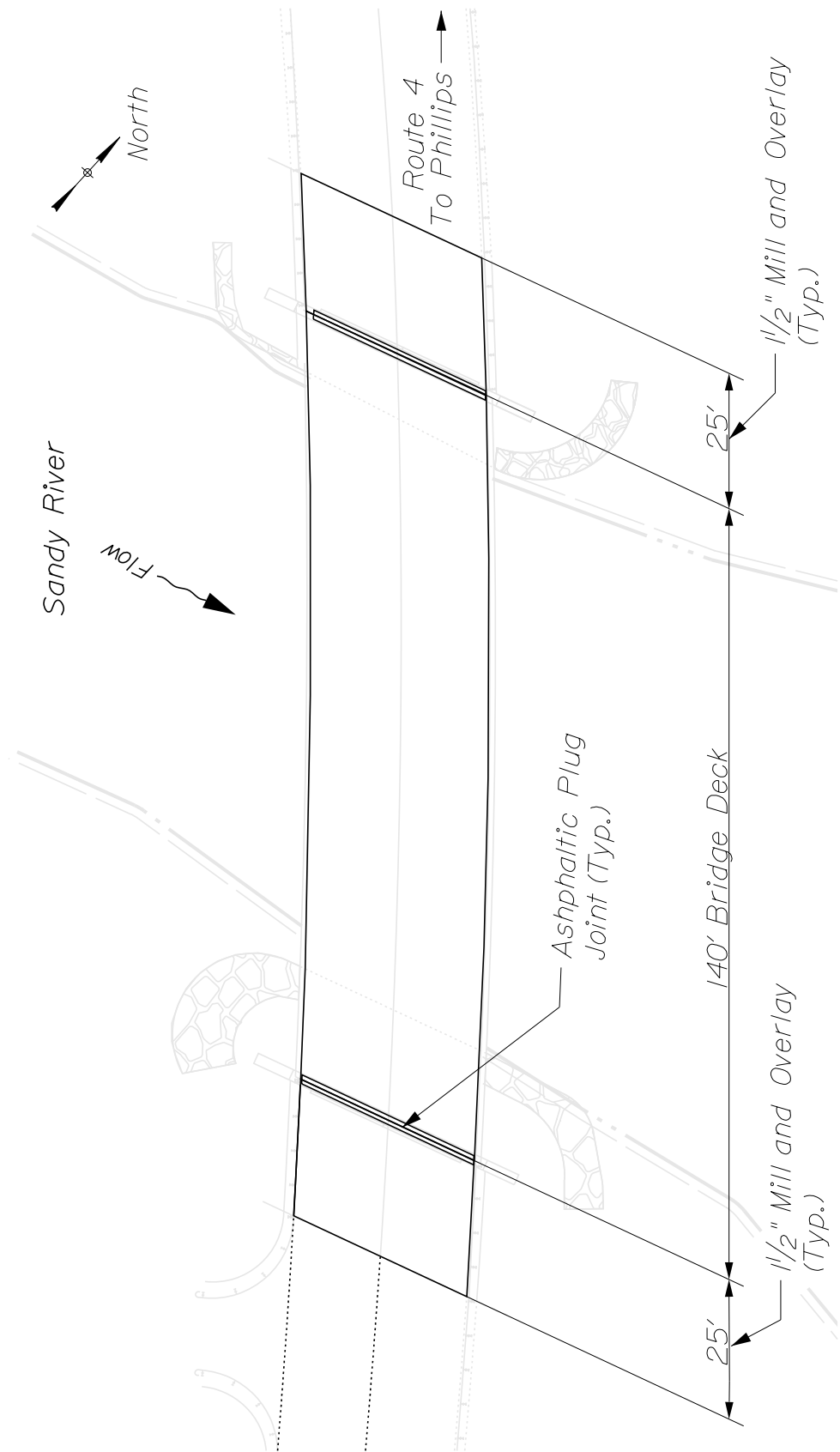
STATE OF MAINE DEPARTMENT OF TRANSPORTATION	Meeting Place Bridge Phillips Franklin County	SHEET NUMBER 2
WIN: 23100.00 BRIDGE NO. 2545	GENERAL NOTES	52 OF 5

ESTIMATED QUANTITIES			
ITEM NO.	DESCRIPTION	QUANTITY	UNIT
202.202	REMOVE PAVEMENT SURFACE	690	SY
403.208/	12.5 MM POLYMER MODIFIED HOT MIX ASPHALT	50	T
403.213	12.5 MM HMA BASE	36	T
409.15	BITUMINOUS TACK COAT - APPLIED	31	G
508.14	HIGH PERFORMANCE WATERPROOF MEMBRANE (430 SY)	1	LS
518.50	REPAIR OF UPWARD FAC SURF - TO RE STEEL	10	SF
520.232	EXPANSION DEVICE - ASPHALTIC PLUG JOINT	56	LF
627.733	4" WHITE OR YELLOW PAINTED PAVE MARK LINE	570	LF

LIST OF DRAWINGS

Title Sheet	1
Notes.....	2
Estimated Quantities & List.....	3
Bridge Section.....	4
Typical Plan	5

STATE OF MAINE DEPARTMENT OF TRANSPORTATION	Meeting Place Bridge		SHEET NUMBER 3 53 OF 5
	Phillips	Franklin County	
WIN: 23100.00 BRIDGE NO. 2545	EST. QUANTITIES & DWG. LIST		



STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

Phillips

Meeting Place Bridge

Franklin
County

SHEET NUMBER

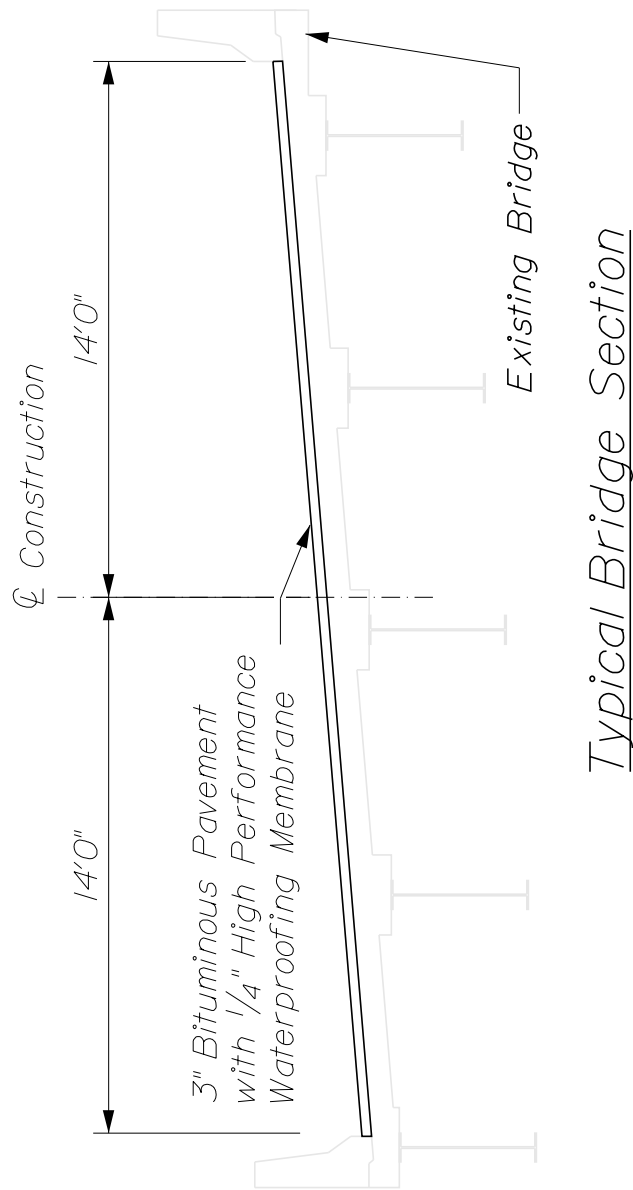
4
54

OF 5

WIN: 23100.00

BRIDGE NO. 2545

PLAN DETAIL



STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

Meeting Place Bridge
Phillips Franklin County

SHEET NUMBER

55

OF 5

WIN: 23100.00

BRIDGE NO. 2545

SECTION DETAIL

STATE OF MAINE DEPARTMENT OF TRANSPORTATION



Dill Bridge PHILLIPS FRANKLIN COUNTY OVER SANDY RIVER SOUTH BRANCH FEDERAL PROJECT # 2310200 BRIDGE NO.2228 WIN: 023102.00

PROJECT LOCATION:	Bridge# 2228. STATE ROUTE 4. PHILLIPS, FRANKLIN COUNTY 44°51'06"N, 70°25'55"W		
PROGRAM AREA:	Bridge Program		
OUTLINE OF WORK:	Wearing Surface Replacement		
SIGNATURE 14214 P.E. NUMBER 2-20-19 DATE		STATE OF MAINE DEPARTMENT OF TRANSPORTATION 	
		APPROVED	DATE
		 COMMISSIONER:	3/6/19
		 CHIEF ENGINEER:	3-6-19
STATE OF MAINE DEPARTMENT OF TRANSPORTATION	PHILLIPS FRANKLIN COUNTY		SHEET NUMBER 1
WIN: 23102.00	TITLE SHEET		OF 5

GENERAL NOTES

1. Project information referred to below may be accessed at the following MaineDOT web address: <http://www.maine.gov/mdot/contractors/>.

2. The existing bridge plans may be accessed at the Maine DOT web address. The plans are reproductions of the original drawings as prepared for the construction of the bridge. It is very unlikely that the plans will show any construction field changes or any alterations which may have been made to the bridge during its life span.

3. Quantities included for pay items measured and paid for by Lump Sum are estimated quantities and are provided by MaineDOT for informational purposes only. Lump Sum pay items will be paid for at the Contract Bid amount, with no addition or reduction in payment to the Contractor if the actual final quantities are different from the MaineDOT provided estimated quantities, except as follows:

- a. If a Lump Sum pay item is eliminated, the requirements of Standard Specifications Section 109.2, Elimination of Items, will take precedence.
- b. If other Contract Documents specifically allow a change in payment for a Lump Sum pay item, those requirements will be followed.
- c. If a design change results in changes to estimated quantities for Lump Sum pay items, price adjustments will be made in accordance with Standard Specifications Section 109.7, Equitable Adjustments to Compensation.

4. The Contractor shall repair areas of concrete deck deterioration as directed by the Resident. Locations of deck repairs are undetermined for this bridge. Contractor shall mark and identify areas for the deck repair after removing the wearing surface. Coordinate with the resident. Payment for the deck repair work shall be under the 518 pay item.

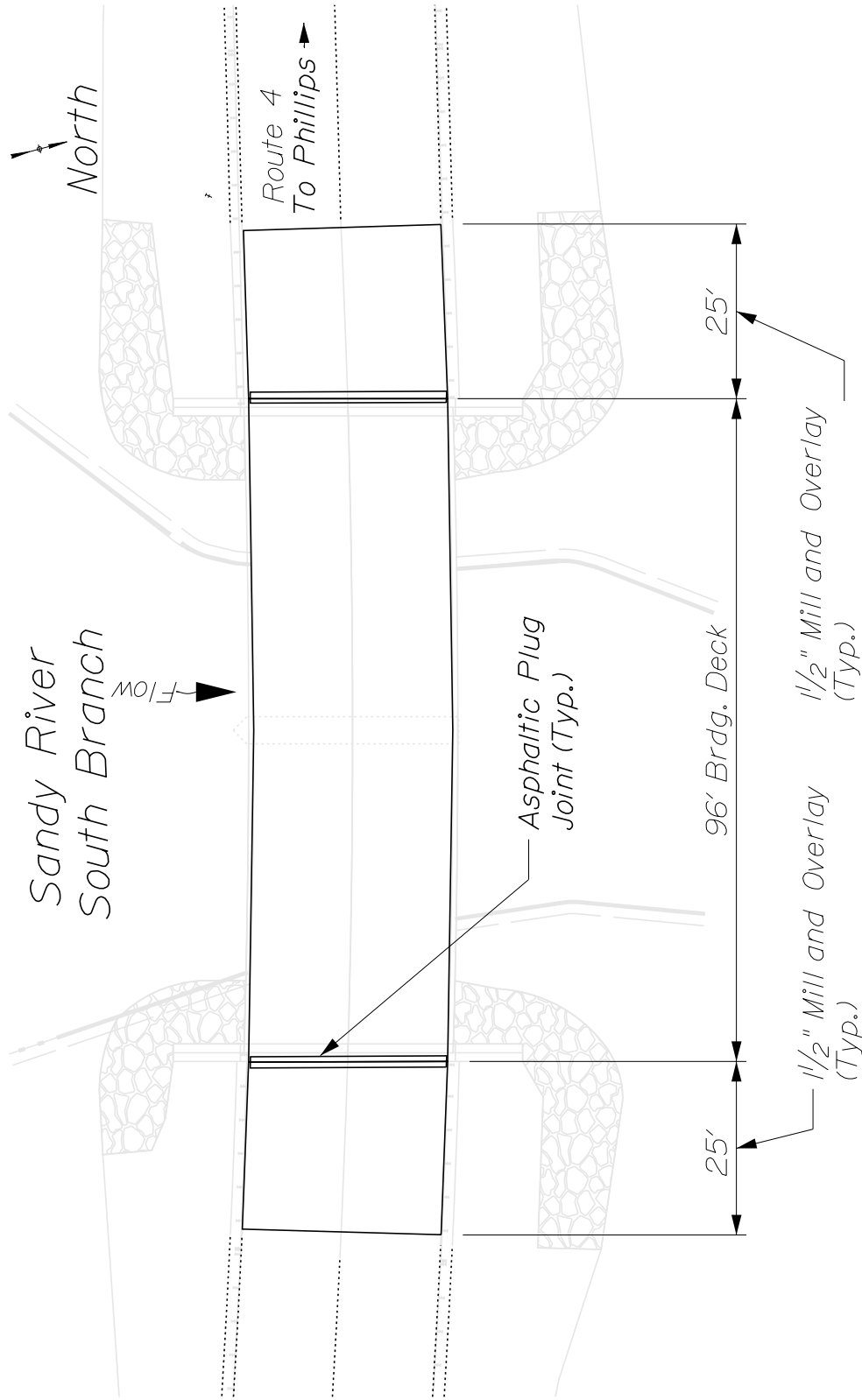
STATE OF MAINE DEPARTMENT OF TRANSPORTATION	Dill Bridge Phillips Franklin County	SHEET NUMBER 2
WIN: 23102.00 BRIDGE NO. 2228	GENERAL NOTES	57 OF 5

ESTIMATED QUANTITIES			
ITEM NO.	DESCRIPTION	QUANTITY	UNIT
202.202	REMOVE PAVEMENT SURFACE	500	SY
403.208	12.5 MM POLYMER MODIFIED HOT MIX ASPHALT	42	T
403.213	12.5 MM HMA BASE	28	T
409.15	BITUMINOUS TACK COAT - APPLIED	23	G
508.14	HIGH PERFORMANCE WATERPROOF MEMBRANE (310 SY)	1	LS
518.50	REPAIR OF UPWARD FAC SURF - TO RE STEEL	7	SF
520.232	EXPANSION DEVICE - ASPHALTIC PLUG JOINT	60	LF
627.733	4" WHITE OR YELLOW PAINTED PAVE MARK LINE	450	LF

LIST OF DRAWINGS

Title Sheet.....	1
Notes.....	2
Estimated Quantities & List.....	3
Bridge Section.....	4
Typical Plan.....	5

STATE OF MAINE DEPARTMENT OF TRANSPORTATION	Dill Bridge		SHEET NUMBER 3 58 OF 5
	Phillips	Franklin County	
WIN: 23102.00 BRIDGE NO. 2228	EST. QUANTITIES & DWG. LIST		



STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

Dill Bridge
Phillips Franklin County

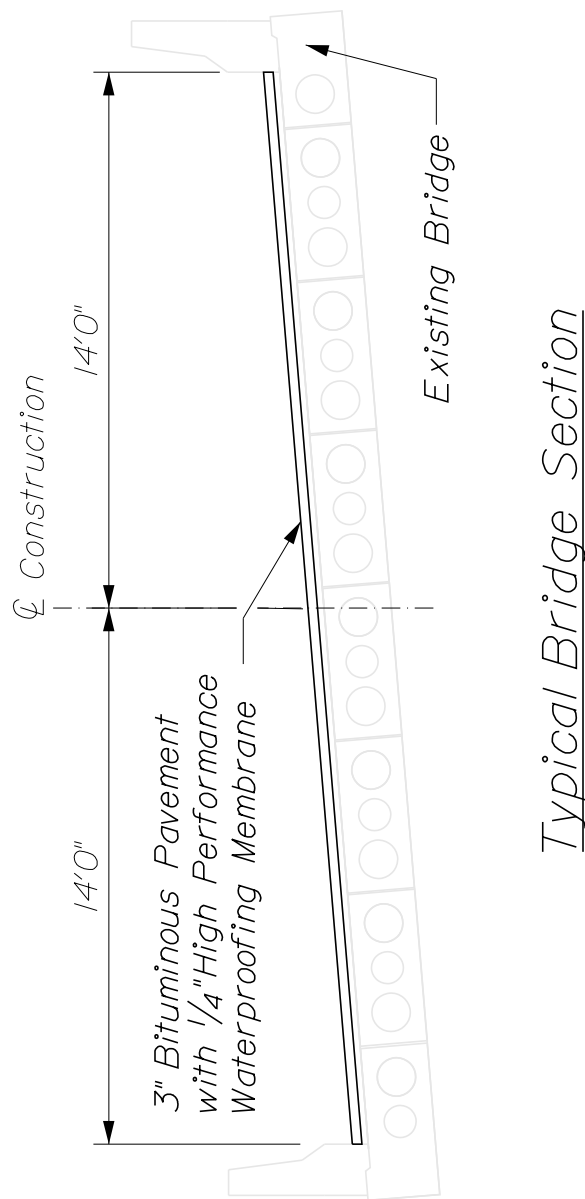
WIN: 23102.00 BRIDGE NO. 2228

PLAN DETAIL

SHEET NUMBER

4
59

OF 5



STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

Dill Bridge
Phillips Franklin
County

SHEET NUMBER

560

WIN: 23102.00

BRIDGE NO. 2228

SECTION DETAIL

OF 5

SPECIAL PROVISION
SECTION 104
GENERAL RIGHTS AND RESPONSIBILITIES
(Electronic Payroll Submission)
(Payment Tracking)

104.3.8.1 Electronic Payroll Submission The prime contractor and all subcontractors and lower-tier subcontractors will submit their certified payrolls electronically on this contract utilizing the Elation System web based reporting. There is no charge to the contracting community for the use of this service. The submission of paper payrolls will not be allowed or accepted. Additional information can be found at <http://www.maine.gov/mdot/contractors/> under the first “Notice”.

104.3.8.2 Payment Tracking The prime contractor and all subcontractors and lower-tier subcontractors will track and confirm the delivery and receipt of all payments through the Elation System

Town: **Phillips-Madrid**
Project: **Rte 4, 18247.00 22615.00,
22616.00, 23100.00, 23102.00**
Date: **January 18, 2019**

SPECIAL PROVISIONS
SECTION 104
Utilities

UTILITY COORDINATION

The contractor has primary responsibility for coordinating their work with utilities after contract award. The contractor shall communicate directly with the utilities regarding any utility work necessary to maintain the contractor's schedule and prevent project construction delays. The contractor shall notify the resident of any issues.

THE CONTRACTOR SHALL PLAN AND CONDUCT WORK ACCORDINGLY.

MEETING

A Preconstruction Utility Conference, as defined in Subsection 104.4.6 of the Standard Specifications **IS** required.

GENERAL INFORMATION

These Special Provisions outline the arrangements that have been made by the Department for utility and/or railroad work to be undertaken in conjunction with this project. The following list identifies all known utilities or railroads having facilities presently located within the limits of this project or intending to install facilities during project construction.

Overview:

Utility	Aerial	Underground
Central Maine Power Company Tim Laney 629-9555	X	
Fairpoint Communications Marty Pease 797-1119	X	
Somerset Telephone Bill Major (603)746-9224	X	

Temporary utility adjustments are not anticipated on this project however, should the contractor choose to have any poles temporarily relocated, all work will be done by Pole owner at the contractor's request and expense at no additional cost to the Department.

All utility crossings over highways will provide not less than 20 feet vertical clearance over finished grade elevation during construction of this project.

Unless otherwise specified, any underground utility facilities shown on the project plans represent approximate locations gathered from available information. The Department cannot certify the level of accuracy of this data. Underground facilities indicated on the topographic

Town: **Phillips-Madrid**
 Project: **Rte 4, 18247.00 22615.00, 22616.00, 23100.00, 23102.00**
 Date: **January 18, 2019**

sheets (plan views) have been collected from historical records and/or on-site designations provided by the respective utility companies.

All adjustments are to be made by the respective utility unless otherwise specified herein.

Utility working days are Monday through Friday. Times are estimated based on a single crew for each utility. Any times and dates mentioned are **estimates only** and are dependent upon favorable weather, working conditions, and freedom from emergencies. The Contractor shall have no claim against the Department if they are exceeded.

All clearing and tree removal in areas where utilities are involved must be completed before the utilities can relocate their facilities.

It is the responsibility of the Contractor with the Utility Pole owner, to lay out all the proposed pole locations in the field prior to the start of utility relocations. Should any adjustments be needed, the Utility will document adjustments and inform the Department prior to utility relocations.

AERIAL

Section I Station 501+00-587+50 Utility	Pole Set	Splice Cables	New Wires Cables	Remove Wires Cables	Remove Poles	Working Days
Central Maine Power						0
Consolidated Communications	20	10	20	5	5	60
Somerset Telephone			8			8
Total:						68

Section II Station 587+5 to 750+00 Utility	Pole Set	Splice Cables	New Wires Cables	Remove Wires Cables	Remove Poles	Working Days
Central Maine Power					20	20
Consolidated Communications		15	40	5		60
Somerset Telephone			12			12
Total:						92

Utility Specific Issues:

All utility poles located along the project are scheduled to be replaced. On the north end of the Project to station 587+66 there are two communication lines. In this section the pole owner is **Consolidated Communications**. From Station 587+66 to the south end of the Project there are electrical lines and two communication lines. In this section of the Project the poles are owned by **Central Maine Power**.

Town: **Phillips-Madrid**
Project: **Rte 4, 18247.00 22615.00,
22616.00, 23100.00, 23102.00**
Date: **January 18, 2019**

Central Maine Power (CMP) has installed 72 poles. **CMP** has started their line work and plan to be finished prior to the start of construction in 2019, once **CMP** is finished **ST** will start their work, followed by **Fairpoint** completing their line work, and then remove poles. **CMP** will be installing lines from station 587+66 to 592+45 Lt, these lines will de-energized for the duration of the Weymouth Bridge construction. **CMP** plans to temporarily locate their energized lines around the Weymouth Bridge site on the south side of the detour. These lines will run along the south side of the river to feed the cabins on the Mud Pond Road. Once all line work is complete, **CMP** will remove the old poles.

Consolidated Communications (CC) plans to relocate 39 poles once the clearing and spot cuts/fills are completed in this area. **CC** plans to rebuild their entire system, starting on the south end of the Project as soon as **ST** has enough work completed to allow both companies enough separation to be productive.

Somerset Telephone (ST) plans to rebuild their entire system. **ST** will begin work on the southerly end of the project and work north to allow time for clearing and pole sets on the north end of the Project.

CC and CMP require 3 working days notice for any work around poles that will be undermined, holding of poles and/or blasting within 100' poles.

The contractor shall notify **all utilities** 2 weeks prior to commencement of any work on the project.

*****Please See the Attached Pole List*****

MAINTAINING UTILITY LOCATION MARKINGS

The contractor will be responsible for maintaining the buried utility markings following the initial locating by the appropriate utility or their designated representative.

	A	B	C	D	E	G	H	I	L	M
1	Rt 4 - Phillips - Madrid									
2	WIN # 18247.00									
	CMP Pole #	Tel. Pole #	Existing Station	Right or Left	Existing Offset from C.L. (ft).	New Station	Right or Left	New Offset from C.L. (ft)	Cut/Fill (-/+)	Miscellaneous/Remarks
3										
4		383	501+93	R	20.2					Tel only
5		382	504+24	L	51.4	503+67	L	28'	-1.0	Tel only
6		381	506+14	L	38.3	505+67	L	28'	-2.0	Tel only
7		380	507+53	L	51.2	507+67	L	28'	-3.5	Tel only
8		379				509+67	L	28'	-3.0	
9		378	510+41	L	46.5					Tel only
10		377	511+97	L	42.0	511+67	L	28'	+4.5	Tel only
11		376	513+54	L	23.6	513+50	L	28'	+1.5	Tel only
12		375	515+07	L	38.6	515+25	R	23'	+3.0	Tel only
13		374	516+61	L	38.9	517+25	R	23'	+3.5	Tel only
14		373	518+03	L	34.4	519+10	L	28'	-1.0	Tel only
15		372	521+01	L	25.9	521+17	L	28'	-1.5	Tel only
16		371	524+10	L	26.1	523+25	L	28'	-3.0	Tel only
17		370 1/2				525+40	L	28'	-3.0	
18		370	526+93	L	31.0	527+55	L	28'	-3.0	Tel only
19		369	529+96	L	25.4	529+70	L	28'	-3.0	Tel only
20		368 1/2				531+70	L	28'	-2.0	
21		368	532+94	R	24.0	532+43	R	23'	+2.5	Tel only
22		367 1/2				534+60	R	23'	+5.0	
23		367	536+24	R	23.4	536+75	R	23'	+5.5	Tel only
24		366	538+84	R	23.4	538+90	R	25'	+5.0	Tel only
25		365	541+79	R	23.5	541+10	R	28'	-1.5	Tel only
26		364 1/2				543+20	R	28'	-1.0	
27		364	544+80	R	27.6	545+35	R	28'	0	Tel only
28		363	547+77	R	30.1	547+50	R	22'	0	Tel only
29		362	549+50	R	19.6	549+65	R	23'	0	Tel only
30		361	551+01	L	42.0	551+80	R	23'	-1.0	Tel only
31		360	552+68	R	25.1	553+95	R	22'	-1.0	Tel only
32		359	555+70	R	29.0	556+10	R	21'	0	Tel only
33		358	558+73	R	32.4	558+25	R	23'	0	Tel only
34		357	561+41	L	23.8	560+30	R	23'	+1.0	Tel only
35		356	563+79	R	28.0	562+45	R	23'	+1.0	Tel only
36		355 1/2				564+60	R	23'	+1.0	
37		355	566+82	R	29.3	566+75	R	23'	+1.5	Tel only
38		?	567+89	R	24.3					Tel only
39		354	569+22	L	25.6	568+60	L	28'	-2.0	Tel only
40		?	570+61	R	21.6					Tel only
41		?	571+93	L	24.5					Tel only
42		353	571+96	L	28.9	570+75	L	28'	0	Tel only
43		352	574+16	L	23.1	572+90	L	28'	0	Tel only
44		351	575+75	R	19.7	575+05	L	28'	0	Tel only
45		350	577+31	R	17.9	577+20	L	28'	0	Tel only
46		349	578+88	R	23.7	579+05	R	22'	0	Tel only
47		348	581+90	R	28.0	581+20	R	22'	-1.5	Tel only
48		347	583+21	R	25.3					Tel only
49		346	584+65	R	31.0					Tel only
50	250	345	585+86	L	23.1					OK @ existing location, replace in place if condition/clearance warrants. (CMP stops)
51	249	344	587+00	L	22.1	587 + 66.	L	29.0		Set @ new station & offset. (Balance spans)
52	247.1	343	589+08	L	35.9					OK @ existing location, replace in place if condition/clearance warrants.
53	247	342	589+43	L	18.4	Existing	L	23.0		Set back to new offset @ existing station. (No CMP 248)
54	246	341	590+78	L	18.6	590 + 70.	L	26.5		Set @ new station & offset. (River Xing)
55	245	340	592+07	L	21.2	592 + 40.	L	27.5	-0.2'	Set @ new station & offset. (River Xing) (Set 0.5' deeper)
56	244.1	339	592+87	R	24.0	592 + 77.	R	31.0		Set @ new station & offset.
57	244	338	593+58	L	24.7	593 + 45.	L	29.0	-1.7'	Set @ new station & offset. (Set 2' deeper)
58	243	337	594+94	L	28.0				+1.4'	OK @ existing location, replace in place if condition/clearance warrants.
59	242S					596 + 20.	R	29.0	-2.0	New stub pole to hold service takeoff @ CMP 242 (Set 2' deeper)
60	242	336	596+34	L	31.6				+0.2'	OK @ existing location, replace in place if condition/clearance warrants.
61	241S	333S				598 + 36.	R	28.0		New stub pole to reverse corner @ CMP 241.
62	241	335	597+94	L	28.9	598 + 36.	L	28.0	-0.4'	Set @ new station & offset. (Set 0.5' deeper)
63	240	334	599+06	L	22.6					Eliminate & remove
64	240S	333	599+07	R	26.5					Eliminate & remove
65	239	332	600+42	L	24.4	600 + 38.	L	28.0	-1.5'	Set @ new station & offset. (Set 1.5' deeper)
66	239S	331	600+43	R	23.0	600 + 38.	R	28.0	-3.9'	Set @ new station & offset. (3.9' spot cut needed)
67	238	330	601+90	L	19.7	602 + 39.5	L	28.0		Set @ new station & offset.
68	238S	329	601+93	R	21.8					Eliminate & remove
69	237	328	603+36	L	20.0					Eliminate & remove
70	236	327	604+83	L	19.8	604 + 38.	L	28.0		Set @ new station & offset.
71	235	326	606+38	L	21.5	606 + 03.	L	23.0	+0.8'	Set @ new station & offset.
72	234	325	607+65	L	36.3				-0.3'	OK @ existing location, replace in place if condition/clearance warrants. (Check set depth)
73	233	324	608+93	L	32.9				-2.0'	OK @ existing location, replace in place due to cut. (Set 2' deeper)
74	232	323	610+32	L	21.0	610 + 33.	L	24.0	+1.6'	Set @ new station & offset. (Set 1' shallow)
75	231	322	611+79	L	20.9	611 + 77.	L	23.0	-0.2'	Set @ new station & offset. (Set 0.5' deeper)
76	230	321	613+22	L	21.1	Existing	L	23.0		Set back to new offset @ existing station.
77	229S	318S				614 + 71.5	R	28.0	-1.1'	New stub pole to hold reverse corner @ CMP 229. (Set 1.5' deeper)
78	229	320	614+72	L	21.9					OK @ existing location, replace in place if condition/clearance warrants.

	A	B	C	D	E	G	H	I	L	M
1	Rt 4 - Phillips - Madrid									
2	WIN # 18247.00									
	CMP Pole #	Tel. Pole #	Existing Station	Right or Left	Existing Offset from C.L. (ft)	New Station	Right or Left	New Offset from C.L. (ft)	Cut/Fill (-/+)	Miscellaneous/Remarks
79	228	319	616+13	L	22.0				-0.8'	OK @ existing location, replace in place if condition/clearance warrants. (Check set depth)
80	227S	318	617+54	R	26.2	Existing	R	30.0	-4.1'	Set back to new offset @ existing station. (4.1' spot cut needed)
81	227	317	617+56	L	20.1				+0.5'	OK @ existing location, replace in place if condition/clearance warrants.
82	226	316	619+02	L	20.6				+3.8'	OK @ existing location, replace in place if fill warrants. (Check road clearance, road raising 1.8' @ C/L) (3.8' spot fill needed) (Road Xing)
83	225	315	620+41	R	23.9				+1.0'	OK @ existing location, replace in place if condition/clearance warrants. (Road Xing)
84	224	314	621+52	R	29.3	622 + 57.	R	28.0	-3.8'	Set @ new station & offset. (3.8' spot cut needed)
85	223	313	623+13	R	27.9					Eliminate & remove
86	222.1	312	624+68	L	28.8				+1.8'	OK @ existing location, replace in place if condition/clearance warrants. (Check clearance)
87	222	311	624+73	R	24.9	Existing	R	28.0	+0.3'	Set back to new offset @ existing station.
88	221	310	626+34	R	23.5	Existing	R	28.0	-5.8'	Set back to new offset @ existing station. (5.8' spot cut needed)
89	220	309	627+96	R	22.1				-0.4'	OK @ existing location, replace in place if condition/clearance warrants. (Check set depth)
90	219	308	629+50	R	19.4	Existing	R	23.0	+1.7'	Set back to new offset @ existing station. (Set 1' shallow)
91	218	307	631+03	R	27.1					OK @ existing location, replace in place if condition/clearance warrants.
92	217	306	632+49	R	20.3	632 + 44.	R	24.0		Set @ new station & offset.
93	216	305	633+96	R	23.0				-2.3'	OK @ existing location, replace in place due to cut. (2.3' spot cut needed)
94	215	304	635+46	R	23.2				-0.2'	OK @ existing location, replace in place if condition/clearance warrants. (Check set depth)
95	214	303	636+95	R	23.6					OK @ existing location, replace in place if condition/clearance warrants.
96	213	302	638+44	R	23.1					OK @ existing location, replace in place if condition/clearance warrants. (May have to hold for pipe excavation)
97	212	301	640+03	R	28.7					OK @ existing location, replace in place if condition/clearance warrants.
98	211	300	641+45	R	21.4				-0.5'	OK @ existing location, replace in place if condition/clearance warrants. (Check set depth)
99	210	299	642+89	R	19.2	Existing	R	21.5	-2.3'	Set back to new offset @ existing station. (2.3' spot cut needed)
100	209	298	644+44	R	27.5	644 + 40.	R	29.0	-0.9'	Set @ new station & offset. (Set 1' deeper)
101	208	297	645+92	R	26.9				-1.3'	OK @ existing location, replace in place due to cut. (Set 1.5' deeper)
102	207	296	647+45	R	22.5					OK @ existing location, replace in place if condition/clearance warrants.
103	206	295	648+69	R	25.0				-0.7'	OK @ existing location, replace in place if condition/clearance warrants. (Check set depth)
104	205	294	650+44	R	24.9				-0.4'	OK @ existing location, replace in place if condition/clearance warrants. (Check set depth)
105	204	293	651+95	R	26.9					OK @ existing location, replace in place if condition/clearance warrants.
106	203	292	653+48	R	21.1				-0.7'	OK @ existing location, replace in place if condition/clearance warrants. (Set 1' deeper) (Road Xing)
107	202	291	655+21	R	18.8	655 + 35.	L	39.5		Move to left from right and set @ new station & offset. (Road Xing)
108	202S	290	655+24	L	32.6					Eliminate & remove
109	201	289	656+44	R	19.1					Eliminate & remove
110	200	288	657+42	R	20.6				-5.1'	OK @ existing location, replace in place due to cut. (5.1' spot cut needed) (Road Xing)
111	200S	287	657+42	L	23.8					Eliminate & remove
112	199	286	658+77	R	22.5				-0.2'	OK @ existing location, replace in place if condition/clearance warrants. (Road Xing) (Check set depth)
113	199S	285	658+83	L	38.8					Eliminate & remove
114	198S					660 + 68.	L	21.0	+0.6'	New stub pole to hold 1 phase takeoff from CMP 198
115	198	284	660+73	R	24.6					OK @ existing location, replace in place if condition/clearance warrants.
116	197	283	662+22	R	22.6				+0.1'	OK @ existing location, replace in place if condition/clearance warrants.
117	196	282	663+70	R	21.0				-0.6'	OK @ existing location, replace in place if condition/clearance warrants. (Check set depth)
118	???	281	663+75	R	32.6				-0.2'	OK @ existing location, replace in place if condition/clearance warrants. (Priv pole /Trlr. Serv.)
119	195	280	665+49	R	18.2	Existing	R	21.0	-2.5'	Set back to new offset @ existing station. (2.5' spot cut needed)
120	194	279	667+06	R	16.8					Eliminate & remove
121	193	278	668+49	R	11.5	667 + 51.	R	21.0	-0.3'	Set @ new station & offset. (Set 0.5' deeper)
122	192	277	670+13	R	17.0	669 + 67.	R	21.0	-5.0'	Set @ new station & offset. (5.0' spot cut needed)
123	191.1	276	671+58	L	29.6	671 + 60.	L	29.0	-1.9'	Set @ new station & offset. (Set 2' deeper)
124	191	275	671+77	R	22.8	671 + 81.5	R	21.0	-0.9'	Set @ new station & offset. (Set 1' deeper)
125	190	274	673+40	R	19.5	Existing	R	28.0	-2.5'	Set back to new offset @ existing station. (2.5' spot cut needed)
126	189	273	674+94	R	26.0	Existing	R	28.0	-4.0'	Set back to new offset @ existing station. (4.0' spot cut needed)
127	188	272	676+52	R	31.2				-1.2'	OK @ existing location, replace in place if condition/cut warrants. (Check set depth)
128	187	271	678+13	R	29.8				-1.3'	OK @ existing location, replace in place if condition/cut warrants. (Check set depth)
129	187S	270	678+14	L	18.5	678 + 16.	L	28.0	-1.8'	Set @ new station & offset. (Set 2' deeper)
130	186	269	679+59	R	45.3					OK @ existing location, replace in place if condition/clearance warrants.
131	185	268	681+20	R	35.2				-0.6'	OK @ existing location, replace in place if condition/clearance warrants. (Check set depth)
132	184	267	682+70	R	46.9					OK @ existing location, replace in place if condition/clearance warrants.
133	183	266	684+24	R	36.4					OK @ existing location, replace in place if condition/clearance warrants.
134	182	265	685+67	R	42.3					OK @ existing location, replace in place if condition/clearance warrants.
135	181	264	687+19	R	27.8				-0.3'	OK @ existing location, replace in place if condition/clearance warrants. (Check set depth)
136	181.1	263	687+74	L	65.1					OK @ existing location, replace in place if condition/clearance warrants.

	A	B	C	D	E	G	H	I	L	M
1	Rt 4 - Phillips - Madrid									
2	WIN # 18247.00									
	CMP Pole #	Tel. Pole #	Existing Station	Right or Left	Existing Offset from C.L. (ft)	New Station	Right or Left	New Offset from C.L. (ft)	Cut/Fill (-/+)	Miscellaneous/Remarks
137	180	262	688+71	R	27.9				-1.1'	OK @ existing location, replace in place if condition/clearance or cut warrants. (Check set depth)
138	179	261	690+24	R	29.5	690 + 21.	R	30	-1.8'	Set @ new station & offset. (Set 2' deeper)
139	178	260	691+75	R	38.1	691 + 68.	R	38	-9.1	Set @ new station & offset. (9.1' spot cut needed)
140	177	259	693+13	R	33.4	693 + 18.	R	33	-1.6'	Set @ new station & offset. (Set 2' deeper)
141	176	258	694+66	R	28	694 + 71.	R	28	-2.7'	Set @ new station & offset. (2.7' spot cut needed)
142	175	257	696+20	R	27.6	696 + 25.	R	28	-1.6'	Set @ new station & offset. (Set 2' deeper)
143	174	256	697+77	R	30.1	697 + 72.	R	30	-1.7'	Set @ new station & offset. (Set 2' deeper)
144	174S	255	698+19	L	27	698 + 11.	L	27	-0.2'	Set @ new station & offset. (Set 0.5' deeper)
145	173	254	699+18	R	32.6				-0.6'	OK @ existing location, replace in place if condition/clearance warrants. (Check set depth)
146	172	253	700+64	R	25.3	700 + 62.5	R	28.0	-1.1'	Set @ new station & offset. (Set 1.5' deeper)
147	171	252	702+21	R	24.1	702 + 06.5	R	28.0	-0.8'	Set @ new station & offset. (Set 1.0' deeper)
148	170	251	703+49	R	26.9	703 + 43.5	R	28.0	-0.4'	Set @ new station & offset. (Set 0.5' deeper)
149	169	250	704+78	R	23.3	704 + 73.	R	25.5	-0.7'	Set @ new station & offset. (Set 1' deeper)
150	168	249	706+89	R	26.2	706 + 55.	R	24.5		Set @ new station & offset.
151	167	248	708+73	R	36.4	708 + 53.	R	35.0		Set @ new station & offset. (River Xing)
152	166		710+36	R	36	710 + 52.	R	35.0		Set @ new station & offset. (River Xing & Road Xing)
153	165S					711 + 74.	R	20.0		New stub pole to hold 1 phase takeoff @ CMP 165
154	165	247	711+96	L	25.8	Existing	L	29.0		Set @ new station & offset. (Road Xing)
155	164S					713 + 58.	R	21.0	-1.4'	New stub pole to hold reverse corner @ CMP 164 (Set 1.5' deeper)
156	164	246	713+64	L	28.5	713 + 58.	L	28.0	-3.9'	Set @ new station & offset. (3.9' spot cut needed)
157	163	245	714+86	L	20.3	715 + 04.	L	28.0	-4.7'	Set @ new station & offset. (4.7' spot cut needed)
158	163S	244	714+88	R	20.8	715 + 04.	R	21.0	-0.3'	Set @ new station & offset. (Set 0.5' deeper)
159	162	243	716+48	L	29.3	716 + 90.	L	28.0	-4.4'	Set @ new station & offset. (4.4' spot cut needed)
160	161	242	718+02	L	26					Eliminate & remove
161	160	241	719+54	L	24.8	718 + 75.	L	28.0	-5.1'	Set @ new station & offset. (5.1' spot cut needed)
162	159	240	720+87	L	23.8	720 + 59.	L	28.0	-5.1'	Set @ new station & offset. (5.1' spot cut needed)
163	158	239	722+42	L	23.8					OK @ existing location, replace in place if condition/clearance warrants.
164	157	238	723+88	L	24.4					OK @ existing location, replace in place if condition/clearance warrants. (Tel riser & UG serv riser)
165	156	237	725+37	L	24.4	725 + 34.	L	26.0	-3.1'	Set @ new station & offset. (3.1' spot cut needed)
166	155	236	726+83	L	24.6	Existing	L	28.0	-1.6'	Set back to new offset @ existing station. (Set 2' deeper)
167	154S	235	728+35	R	24.5				-1.9'	OK @ existing location, replace in place due to cut. (Set 2' deeper)
168	154	234	728+36	L	23.1	Existing	L	28.0		Set back to new offset @ existing station.
169	153	233	729+92	L	30.3				-1.8'	OK @ existing location, replace in place due to cut. (Set 2' deeper)
170	153S	231S				729 + 92.5	R	21.0	-0.6'	New stub pole to hold reverse corner @ CMP 153. (Set 1' deeper)
171	152	232	731+42	L	21.1	Existing	L	28.0	-3.9'	Set back to new offset @ existing station. (3.9' spot cut needed)
172	152S	231	731+45	R	21.5				+0.5'	OK @ existing location, replace in place if condition/clearance warrants.
173	151	230	732+96	L	26.6	732 + 94.	L	32.0	-0.1'	Set @ new station & offset.
174	150	229	734+44	L	25.2	734 + 45.	L	28.0	+0.1'	Set @ new station & offset.
175	149	228	736+00	L	25.1	736 + 52.	L	28.0	-4.6'	Set @ new station & offset. (4.6' spot cut needed)
176	148	227	737+43	L	23.8					Eliminate & remove
177	147	226	738+60	L	22.7	738 + 42.	L	23.0	-2.3'	Set @ new station & offset. (2.3' spot cut needed)
178	146S	225	739+84	L	53.3					Eliminate & remove
179	146	224	739+87	L	24.2	740 + 37.	L	24.0		Set @ new station & offset. (Road Xing)
180	145	223	741+63	R	22.5	741 + 40.	R	21.0	-0.3'	Set @ new station & offset. (Road Xing) (Set 0.5' deeper)
181	144					742 + 79.	R	29.0	-1.1	New pole to shorten spans. (Set 1.5' deeper)
182	143	222	744+13	R	35.4	744 + 11.	R	25.0		Set @ new station & offset. (Road Xing)
183	143S	221	745+11	L	35.5					OK @ existing location, replace in place if condition/clearance warrants. (Renumber to 143.1S)
184	143.1	220	745+17	R	39.7					OK @ existing location, replace in place if condition/clearance warrants. (Road Xing)(Beyond project)
185	4	219	745+98	L	24.6					OK @ existing location, replace in place if condition/clearance warrants. (Beyond project)
186	5	218	747+87	L	19.8					

SPECIAL PROVISION
SECTION 105
General Scope of Work
(Environmental Requirements)

In-Water work consists of any activity conducted below the normal high water mark of a river, stream, brook, lake, pond or “Coastal Wetland” areas that are subject to tidal action during the highest tide level for the year which an activity is proposed as identified in the tide tables published by the National Ocean Service.

- I. In-Water work window applies to the following water bodies at the following station #'s:
1. Sandy River at proposed Weymouth Bridge replacement Station 591+25
 2. Sandy River at proposed Wing Bridge replacement Station 709+15
 3. Unnamed stream at Station 536+75
 4. Unnamed stream at Station 618+90
 5. Unnamed stream at Station 630+60
 6. Unnamed stream at Station 645+85
 7. Unnamed stream at Station 663+00
 8. Unnamed stream at Station 668+40
 9. Unnamed stream at Station 691+90
 10. Unnamed stream at Station 701+15

- II. **Installation of cofferdam sheet piles with vibratory hammer is prohibited from October 1 through November 29 and from April 15 through July 14 of any year** (vibratory driving shall occur November 30 through April 14 and/or July 15 through September 30).

All other In-Water Work (e.g. sheet pile removal, installation and removal of sandbag cofferdams, and riprap placement) is allowed at any time of any year.

- III. **Additional Requirements for In-Water Work at Weymouth Bridge (Station 591+25); and Wing Bridge (Station 709+15); and Unnamed stream Station 536+75:**
1. In-water pile driving with an impact hammer is prohibited.
 2. Removal of existing abutments shall be completed within a dewatered cofferdam.
 3. Riprap installation that requires in-water excavation shall be completed within a cofferdam.
 4. In-water blasting and blasting immediately adjacent to the water is prohibited.
 5. Temporary detour bridges shall span the bankfull width of the river; instream support piles or fill is prohibited.
 6. At Weymouth Bridge replacement (Station 591+25) and Wing Bridge (Station 709+15), cofferdams shall not extend across the entire stream channel. A portion of the stream channel at least 25 feet wide shall be maintained at all times during construction.
 7. The contractor shall contact Eric Ham of MaineDOT Environmental Office (207-215-7356, eric.ham@maine.gov) at least two weeks prior to installation of cofferdams to coordinate fish evacuation. Fish evacuation includes electrofishing. Electrofishing

- activities are prohibited when water temperature is greater than or equal to 22 degrees Celsius (71.6 degrees Fahrenheit).
8. The Contractor shall contact Eric Ham of MaineDOT Environmental Office (207-215-7356) at least two weeks prior to in-water activities to coordinate turbidity monitoring during cofferdam installation and pile driving.
 9. Cofferdams shall be removed within two days of the completion of instream construction, allowing for minor delays due to high stream flows following heavy precipitation.
 10. All Atlantic salmon mortalities from electrofishing or any other project related activities shall be reported to the Service's Maine Field Office at 207/902-1586 (Patrick Dockens) or (207) 902-1585 (Christopher DeVore) within 48 hours of occurrence. Any Atlantic salmon death(s) shall be immediately preserved (refrigerate or freeze) for delivery to the Service's office in East Orland, Maine. If the Service is not available, contact the National Marine Fisheries Service in Orono, Maine at 207/866-3755 (Dan Tierney) to arrange for delivery.
 11. Adult Atlantic salmon are not expected in the project area. If adult Atlantic salmon are observed during active construction, all activities shall cease and the MaineDOT shall immediately contact the Service's Maine Field Office at 207/902-1586 (Patrick Dockens) or 207/902-1585 (Christopher DeVore) within 48 hours of occurrence. The MaineDOT will complete a fish evacuation following the plan found in Appendix A as water flows permit.
 12. MaineDOT will conduct Atlantic salmon redd surveys at Weymouth Bridge (Station 591+25) during the spawning season (October 1 start) of each construction year. Salmon redds were not located within the work area during the Fall 2017 or Fall 2018 survey. In the unlikely event that a salmon redd is found during the Fall 2019 or Fall 2020 survey, all in-water work in the vicinity of the redd shall be delayed while consultation with U.S. Fish & Wildlife determines appropriate mitigation methods or until June 1st of the following year. Delays resulting from the presence of redds shall be considered Inexcusable Delays.

IV. Special Conditions for all work in wetlands and waterbodies:

1. Special Conditions of Army Corps of Engineers (ACOE) Individual Permit apply (see permit and conditions in contract documents).
2. Special Conditions of Formal Endangered Species Act (Section 7) Consultation with U.S. Fish and Wildlife Service apply (summarized in this Special Provision 105 and ACOE permit).
3. The Contractor shall hold a pre-construction meeting with appropriate MaineDOT Environmental Office staff, other MaineDOT staff, and the Contractor(s) to review all procedures and requirements for avoiding and minimizing effects to Atlantic salmon (ATS). The following individuals/agencies shall be invited: ACOE (Jay Clement, jay.l.clement@usace.army.mil); FHWA (Eva Birk, eva.birk@dot.gov); and U.S. Fish and Wildlife Service (Patrick Dockens, patrick_dockens@fws.gov; and Christopher DeVore christopher_devore@fws.gov).
4. The Contractor shall conduct all construction activities in accordance with the MEDOT-approved Soil Erosion and Water Pollution Control Plan. In stream turbidity shall be visually monitored and all erosion controls will be inspected daily to ensure

that the measures taken are adequate. If inspection shows that the erosion controls are ineffective, immediate action shall be taken to repair, replace, or reinforce controls as necessary.

5. As a component of the Soil Erosion and Water Pollution Control Plan (SEWPCP) for each project, the MaineDOT or their contractor will develop and implement a Spill Prevention Control and Countermeasure Plan (SPCCP) designed to avoid any stream impacts from hazardous chemicals associated with construction activities, such as diesel fuel, oil, lubricants, and other hazardous materials. All refueling or other construction equipment maintenance will be done at a location consistent with SPCCP and in a manner that avoids chemical or other hazardous materials getting into the stream. These measures include the following:
 - a. All vehicle and equipment refueling activities shall occur more than 100 feet (30.5 meters) from any water source.
 - b. All vehicles carrying fuel shall have specific equipment and materials needed to contain or clean up any incidental spills at the project site. Equipment and materials would include spill kits appropriately sized for specific quantities of fuel, shovels, absorbent pads, straw bales, containment structures and liners, and/or booms.
 - c. During use, all pumps and generators shall have appropriate spill containment structures and/or absorbent pads in place
 - d. All equipment used for in-stream work shall be cleaned of external oil, grease, dirt, and mud. Any leaks or accumulations of these materials would be corrected before entering streams or areas that drain directly to streams or wetlands.
6. When utilizing pumps to dewater cofferdams, in order to prevent Atlantic salmon juvenile entrapment related to dewatering diversions, the Contractor will use a screen on each pump intake designed such that the approach velocity does not exceed 0.20 feet/second. Square or round screen face openings are not to exceed 3/32 inches (2.38 millimeters) measured on a diagonal. Criteria for slotted face openings shall not exceed 1/16 inches (approximately 1.75 millimeters) in the narrow direction. These screen criteria follow National Marine Fisheries Service (NMFS) guidance (NMFS 2008). Intake hoses shall be regularly monitored while pumping to minimize adverse effects to Atlantic salmon or other species.
7. All areas of temporary waterways or wetland fill shall be restored to their original contour and character upon completion of the project.
8. Vegetation clearing adjacent to the river to the maximum extent practicable. Disturbed areas adjacent to the stream will be stabilized and revegetated with a seed mix appropriate for riparian areas in Maine, except where riprap has been placed.
9. To minimize the spread of noxious weeds into the riparian zone, all off-road equipment and vehicles (operating off of existing open and maintained roads) shall be cleaned prior to entering the construction site to remove all soil, seeds, vegetation, or other debris that could contain seeds or reproductive portions of plants. All equipment will be inspected prior to off-loading to ensure that they are clean.
10. Construction impacts shall be confined to the minimum area necessary to complete the project.

11. All concrete shall be poured in the dry, or within confined waters not being dewatered to surface waters. Prior to release to a natural resource, any impounded water that has been in contact with concrete placed during construction shall have a pH between 6.0 and 8.5, shall be within one pH unit of the background pH level of the resource and shall have a turbidity level no greater than the receiving resource. This requirement is applicable to concrete that is placed or spilled (including leakage from forms) as well as indirect contact via tools or equipment.

V. Approvals:

1. Temporary Soil Erosion and Water Pollution Control Plan
2. MaineDEP Permit by Rule
3. LUPC Expedited Permit,
4. Permitted Resource Impacts (square feet), see ACOE permit for locations:

<i>Stream:</i>	<i>Wetland</i>
<i>Temporary RUS Impacts: 14,840</i>	<i>Temporary Impacts: 37,810</i>
<i>Permanent RUS Impacts: 8,700</i>	<i>Permanent Impacts: 52,899</i>

- VI. All activities are prohibited (including placement and removal of cofferdams unless otherwise permitted by Regulatory Agencies) below the normal high water mark if outside the prescribed in-water work window, except for the following:

1. Work within a cofferdam constructed according to MaineDOT's Standard Specifications and in adherence with the contractors approved "Soil Erosion and Water Pollution Control Plan".

- VII. No work is allowed that completely blocks a river, stream, or brook without providing downstream flow.

NOTE: Regulatory Review and Approval is required to modify the existing In-Water work window. Requests for work window extensions must be submitted to the MaineDOT Environmental Office. Approval of requests for work window extensions is not guaranteed and may result in delays in construction schedule that are the sole responsibility of the contractor.

SPECIAL PROVISION
SECTION 105
GENERAL SCOPE OF WORK
(Buy America Certification)

105.11 Federal Requirements Add the following as the third and subsequent paragraphs:

“Prior to payment by the Department, the Contractor shall provide a certification from the producer of steel or iron, or any product containing steel or iron as a component, stating that all steel or iron furnished or incorporated into the furnished product was manufactured in the United States in accordance with the requirements of the Buy America provisions of 23 CFR 635.410, as amended. Such certification shall also include (1) a statement that the iron or steel product or component was produced entirely within the United States, or (2) a statement that the iron or steel product or component was produced within the United States except for minimal quantities of foreign steel and iron valued at \$ (actual value).

All manufacturing processes must take place domestically. Manufacturing begins with the initial melting and mixing, and continues through the coating stage. Any process which modifies the chemical content, the physical size and shape, or the final finish is considered a manufacturing process. These processes include rolling, extruding, machining, bending, grinding, drilling, and coating. “Coating” includes epoxy coating, galvanizing, painting, or any other coating that protects or enhances the value of the material.

A Buy America Certification is required from each manufacturer, fabricator, supplier, subcontractor, etc. that meets the “manufacturing” definition above.

Buy America does not apply to raw materials (iron ore and alloys), scrap, pig iron, or processed, pelletized, and reduced iron ore.”

SPECIAL PROVISION 105
CONSTRUCTION AREA

A Construction Area located in the **Towns of Madrid - Phillips** has been established by the Maine Department of Transportation (MDOT) in accordance with provisions of 29-A § 2382 Maine Revised Statutes Annotated (MRSA).

- (a) (Rte.4) The section of highway under construction beginning at Sta. 501+50.00 and ends at Sta. 748+42.89 of the construction centerline plus approaches.

Per 29-A § 2382 (7) MRSA, the MDOT may “*issue permits for stated periods of time for loads and equipment employed on public way construction projects, United States Government projects or construction of private ways, when within construction areas established by the Department of Transportation. The permit:*

- A. Must be procured from the municipal officers for a construction area within that municipality;*
- B. May require the contractor to be responsible for damage to ways used in the construction areas and may provide for:*

- (1) Withholding by the agency contracting the work of final payment under contract; or*
- (2) The furnishing of a bond by the contractor to guarantee suitable repair or payment of damages.*

The suitability of repairs or the amount of damage is to be determined by the Department of Transportation on state-maintained ways and bridges, otherwise by the municipal officers;

- C. May be granted by the Department of Transportation or by the state engineer in charge of the construction contract; and*

- D. For construction areas, carries no fee and does not come within the scope of this section.”*

The Municipal Officers for the **Towns of Madrid - Phillips** agreed that an Overlimit Permit will be issued to the Contractor for the purpose of using loads and equipment on municipal ways in excess of the limits as specified in 29-A MRSA, on the municipal ways as described in the “Construction Area”.

As noted above, a bond may be required by the municipality, the exact amount of said bond to be determined prior to use of any municipal way. The MDOT will assist in determining the bond amount if requested by the municipality.

The maximum speed limits for trucks on any town way will be 25 mph (40 km per hour) unless a higher legal limit is specifically agreed upon in writing by the Municipal Officers concerned.

SPECIAL PROVISION 105
OVERLIMIT PERMITS

Title 29-A § 2382 MRSA Overlimit Movement Permits.

1. Overlimit movement permits issued by State. The Secretary of State, acting under guidelines and advice of the Commissioner of Transportation, may grant permits to move nondivisible objects having a length, width, height or weight greater than specified in this Title over a way or bridge maintained by the Department of Transportation

2. Permit fee. The Secretary of State, with the advice of the Commissioner of Transportation, may set the fee for single trip permits, at not less than \$6, nor more than \$30, based on weight, height, length and width. The Secretary of State may, by rule, implement fees that have been set by the Commissioner of Transportation for multiple trip, long-term overweight movement permits. Rules established pursuant to this section are routine technical rules pursuant to Title 5, chapter 375, subchapter II-A.

3. County and municipal permits. A county commissioner or municipal officer may grant a permit, for a reasonable fee, for travel over a way or bridge maintained by that county or municipality

4. Permits for weight. A vehicle granted a permit for excess weight must first be registered for the maximum gross vehicle weight allowed for that vehicle.

5. Special mobile equipment. The Secretary of State may grant a permit, for no more than one year, to move pneumatic-tire equipment under its own power, including Class A and Class B special mobile equipment, over ways and bridges maintained by the Department of Transportation. The fee for that permit is \$15 for each 30-day period.

6. Scope of permit. A permit is limited to the particular vehicle or object to be moved, the trailer or semitrailer hauling the overlimit object and particular ways and bridges.

7. Construction permits. A permit for a stated period of time may be issued for loads and equipment employed on public way construction projects, United States Government projects or construction of private ways, when within construction areas established by the Department of Transportation. The permit:

A. Must be procured from the municipal officers for a construction area within that municipality;

B. May require the contractor to be responsible for damage to ways used in the construction areas and may provide for:

(1) Withholding by the agency contracting the work of final payment under contract; or

(2) The furnishing of a bond by the contractor to guarantee suitable repair or payment of damages.

The suitability of repairs or the amount of damage is to be determined by the Department of Transportation on state-maintained ways and bridges, otherwise by the municipal officers;

C. May be granted by the Department of Transportation or by the state engineer in charge of the construction contract; and

D. For construction areas, carries no fee and does not come within the scope of this section.

8. Gross vehicle weight permits. The following may grant permits to operate a vehicle having a gross vehicle weight exceeding the prescribed limit:

A. The Secretary of State, with the consent of the Department of Transportation, for state and state aid highways and bridges within city or compact village limits;

B. Municipal officers, for all other ways and bridges within that city and compact village limits; and

C. The county commissioners, for county roads and bridges located in unorganized territory.

9. Pilot vehicles. The following restrictions apply to pilot vehicles.

A. Pilot vehicles required by a permit must be equipped with warning lights and signs as required by the Secretary of State with the advice of the Department of Transportation.

B. Warning lights may be operated and lettering on the signs may be visible on a pilot vehicle only while it is escorting a vehicle with a permit on a public way.

With the advice of the Commissioner of Transportation and the Chief of the State Police, the Secretary of State shall establish rules for the operation of pilot vehicles.

9-A. Police escort. A person may not operate a single vehicle or a combination of vehicles of 125 feet or more in length or 16 feet or more in width on a public way unless the vehicle or combination of vehicles is accompanied by a police escort. The Secretary of State, with the advice of the Commissioner of Transportation, may require a police escort for vehicles of lesser dimensions.

A. The Bureau of State Police shall establish a fee for state police escorts to defray the costs of providing a police escort. A county sheriff or municipal police department may establish a fee to defray the costs of providing police escorts.

B. The Bureau of State Police shall provide a police escort if a request is made by a permittee. A county sheriff or municipal police department may refuse a permittee's request for a police escort.

C. A vehicle or combination of vehicles for which a police escort is required must be accompanied by a state police escort when operating on the interstate highway system.

10. Taxes paid. A permit for a mobile home may not be granted unless the applicant provides reasonable assurance that all property taxes, sewage disposal charges and drain and sewer assessments applicable to the mobile home, including those for the current tax year, have been paid or that the mobile home is exempt from those taxes. A municipality may waive the requirement that those taxes be paid before the issuance of a permit if the mobile home is to be moved from one location in the municipality to another location in the same municipality for purposes not related to the sale of the mobile home.

11. Violation. A person who moves an object over the public way in violation of this section commits a traffic infraction.

Section History:

PL 1993, Ch. 683, §A2 (NEW).

PL 1993, Ch. 683, §B5 (AFF).

PL 1997, Ch. 144, §1,2 (AMD).

PL 1999, Ch. 117, §2 (AMD).

PL 1999, Ch. 125, §1 (AMD).

PL 1999, Ch. 580, §13 (AMD).

PL 2001, Ch. 671, §30 (AMD).

PL 2003, Ch. 166, §13 (AMD).

PL 2003, Ch. 452, §Q73,74 (AMD).

PL 2003, Ch. 452, §X2 (AFF).

SPECIAL PROVISION 105
GENERAL SCOPE OF WORK
Equal Opportunity and Civil Rights
(Disadvantaged Business Enterprises Program)

105.10.1.1 Disadvantaged Business Enterprises Program The Maine Department of Transportation (MaineDOT) has established a Disadvantaged Business Enterprise (DBE) program in accordance with regulations of the United States Department of Transportation (USDOT), 49 CFR Part 26. The MaineDOT receives federal financial assistance from USDOT, and as a condition of receiving this assistance, the Department has signed an assurance that it will comply with 49 CFR Part 26. The MaineDOT is responsible for determining the eligibility of and certifying DBE firms in Maine.

A DBE is defined as a for-profit business that is owned and controlled by one or more socially and economically disadvantaged person(s). For the purpose of this definition:

1. "Socially and economically disadvantaged person" means an individual who is a citizen or lawful permanent resident of the United States and who is Black, Hispanic, Native American, Asian, Female; or a member of another group or an individual found to be disadvantaged by the Small Business Administration pursuant to Section 3 of the Small Business Act.
2. "Owned and controlled" means a business which is:
 - a. A sole proprietorship legitimately owned and controlled by an individual who is a disadvantaged person.
 - b. A partnership or limited liability company in which at least 51% of the beneficial ownership interests legitimately are held by a disadvantaged person(s).
 - c. A corporation or other entity in which at least 51% of the voting interest and 51% of the beneficial ownership interests legitimately are held by a disadvantaged person(s).

The disadvantaged group owner(s) or stockholder(s) must possess control over management, interest in capital, and interest in earnings commensurate with the percentage of ownership. If the disadvantaged group ownership interests are real, substantial and continuing and not created solely to meet the requirements of this program, a firm is considered a bona fide DBE.

105.10.1.2 Commercially Useful Function MaineDOT will count expenditures of a DBE contractor toward DBE goals only if the DBE is performing a commercially useful function on that contract. A DBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. Credit will only be given when the DBE meets all conditions for a CUF. Credit for labor will be in accordance with the responsibilities outlined in the contract. To perform a commercially useful function, the DBE must also be responsible, with respect to materials and supplies used on the Contract, for negotiating price, determining quality and quantity, ordering the materials, and installing (where applicable) and paying for the material itself. To determine whether a DBE is performing a commercially useful function, MaineDOT will evaluate the amount of work subcontracted, industry practices, whether the amount the firm is to be paid under the Contract is commensurate with the work it is actually performing and DBE credit claimed for its performance of the work, and other relevant factors.

Rented equipment used by the DBE must not be rented from the Prime Contractor on a job that the DBE is subcontracted with that Prime Contractor for regular course of business.

A current listing of certified DBEs that may wish to participate in the highway construction program and the scope of work for which they are certified can be found at <http://www.maine.gov/mdot/disadvantaged-business-enterprises/pdf/directory.pdf>. Credit will be given for the value described by a DBE performing as:

- A. A prime contractor; 100% of actual value of work performed by own workforces.
- B. An approved subcontractor; 100% of work performed by own workforces.
- C. An owner-operator of construction equipment; 100% of expenditures committed.
- D. A manufacturer; 100% of expenditures committed. The manufacturer must be a firm that operates or maintains a factory or establishment that produces on the premises the materials or supplies obtained by the Contractor. Brokers and packagers shall not be regarded as manufacturers.
- E. A regular dealer; 60% of expenditures committed. A regular dealer is defined as a firm that owns, operates, or maintains a store, warehouse or other establishment in which the materials or supplies required for the performance of the contract are bought, kept in stock, and regularly sold to the public. For purposes of this provision a "Broker" is a DBE that has entered into a legally binding relationship to provide goods or services delivered or performed by a third party. Brokers and packagers shall not be regarded as regular dealers.
- F. A bona fide service provider; 100% of reasonable fees or commissions. Eligible services include professional, technical, consultant, or managerial, services and assistance in the procurement of essential personnel, facilities, equipment, materials or supplies required for the performance of the contract. Eligible services also include agencies providing bonding and insurance specifically required for the performance of the contract.
- G. A trucking, hauling or delivery operation. 100% of expenditures committed when trucks are owned, operated, licensed and insured by the DBE and used on the contract and, if applicable, includes the cost of the self supplied materials and supplies. 100% of expenditures committed when the DBE leases trucks from another DBE firm including an owner-operator. 100% of reasonable fees or commissions the DBE receives as a result of a lease arrangement for trucks from a non-DBE, including an owner-operator.
- H. Any combination of the above.

105.10.1.3 Race-neutral Goals The Maine DOT is required to set an annual goal (approved on a three year basis) for DBE participation in Federal-aid projects. In order to fulfill that goal, bidders are encouraged to utilize DBE businesses certified by the MaineDOT. MaineDOT seeks to meet the established DBE goal solely through race-neutral means. *Race-neutral* DBE participation occurs when a DBE is awarded a prime contract through customary competitive procurement procedures, is awarded a subcontract on a contract that does not carry a DBE contract goal, or wins a subcontract from a prime contractor that did not consider its DBE status in making the award. A DBE/subcontractor Utilization Proposed Form is required to be included in bid documents.

MaineDOT will analyze each project and create a Project Availability Target (PAT), based on a number of factors including project scope, available DBE firms, firms certified in particular project work, etc. Each bid will request that the contractor attempt to meet the PAT. This PAT is developed to assist contractors to better understand what the MaineDOT expectations are for a

specific project. The PAT is NOT a mandate but an assessment of what this particular project can bear for DBE participation. The Department anticipates that each contractor will make the best effort to reach or exceed this PAT for the project.

105.10.1.4 Race-conscious Project Goals If it is determined by the Department that the annual DBE goal will not be met through *race-neutral* means, the Department may implement *race-conscious contract goals* on some projects. Race-conscious contract goals are goals that are enforceable by the Department and require that the prime contractor use good faith effort to achieve the goal set by the Department for that particular project. If race conscious means are implemented on a project, the Prime must comply with the requirements of 49 CFR.

At the time of the bid opening, all Bidders shall submit with their bid a Disadvantaged Business Enterprise (DBE) Commitment Form provided by the Department. This form will list the DBE and non-DBE firms that are proposed to be used during the execution of the Work. The list shall show the name of the firm, the item/material/type of work involved and the dollar amount of work to be performed. The dollar total of each commitment shall be totaled and a percentage determined.

If the project goal is not met, acceptable documentation showing all good faith efforts made to obtain participation may be required in order to award the project. Failure to provide the required listing with the dollar participation total or acceptable documentation of good faith efforts to obtain DBE participation within 3 days after the bid opening date will be considered a lack of responsiveness on the part of the low bidder. Rejection of the low bid under these circumstances will require the low bidder to surrender the Proposal Guaranty to the Department. The submission and approval of the above forms does not constitute a formal subcontract.

If for any reason during the progress of the Work the Contractor finds that DBEs included on the list are unable to perform the proposed work, the Contractor, with written release by the committed DBE or approval of the Department, may substitute other DBE firms for those named on the list. If the Contractor is able to clearly document their inability to find qualified substitute firms to meet the project goal, the Contractor may request in writing approval to substitute the DBE with a non-DBE firm. If at any time during the life of the Contract it is determined that the Contractor is not fulfilling the goal or commitment(s) and is not making a good faith effort to fulfill the DBE requirement, the Department may withhold progress payments. If good faith effort is determined by the Department, failure to meet the DBE contract goal will not be a detriment to the bid award. Fulfillment of the goal percentage shall be determined by dividing the dollars committed to the DBEs by the actual contract dollars. These requirements are in addition to all other Equal Employment Opportunity requirements on Federal-aid contracts.

105.10.1.5 Certification of DBE attainment on Contracts The MaineDOT must certify that it has conducted post-award monitoring of all contracts to ensure that DBEs had done the work for which credit was claimed. The certification is for the purpose of ensuring accountability for monitoring which the regulation already requires. The MaineDOT will certify these contracts through review of CUF forms, Elations sub-contract payment tracking as well as occasional on-site reviews of projects and through the project's final closeout documentation provided by our Contracts Section.

105.10.1.6 Bidders' List Survey Pursuant to 49 CFR 26.11 the MaineDOT is required to "create and maintain" a bidders list and gather bidder information on our construction/consultant projects, Contractors will maintain information on all subcontract bids submitted by DBE and Non-DBE firms and provide that information to the Department. The Following information is required:

Firm Name

Firm Address

Firm status (DBE or non-DBE)

Age of firm (years)

And the annual gross receipts amount as indicated by defined brackets, i.e. \$500,000 to \$800,000, rather than requesting exact figures.

Not only is this information critical in determining the availability of DBE businesses relative to other businesses that do similar work, but the Federal Highway Administration requires that we obtain this information.

February 12, 2019

MaineDOT DBE Project Attainment Target (PAT) for this Project is 4 %

The MaineDOT seeks to meet the specified annual Disadvantaged Business Enterprise (DBE) usage goal set out by 49 CFR 26.45 through the efforts of contractors seeking to employ qualified DBE subcontractors. We seek to meet this goal by race neutral means and do not, at this time, use contract specific requirements for each project. We do however, understand the capacity of Maine's DBE community and the unique characteristics a project may have that would differ from the broad annual goal.

Taking this into consideration, the MaineDOT will review each project and develop an anticipated attainment or Project Attainment Target (PAT) based on several factors that are project specific. Those factors include:

- ☐ Scope of Work
- ☐ DBE availability according to Specification Item
- ☐ Geographic location
- ☐ DBE capacity

This PAT is developed to assist contractors to better understand the DBE participation that the MaineDOT can reasonably expect for a specific project. The PAT is NOT a mandate but an assessment of the DBE opportunities that this project could meet or exceed. MaineDOT anticipates that each contractor will make the best effort to reach or exceed the PAT for this project.

SPECIAL PROVISION
SECTION 106
QUALITY
(Quality Level Analysis- Structural Concrete)

106.7.1 Standard Deviation Method Under H. Replace the Method A payfactor with the following;

“Method A: $PF = [32.5 + (\text{Quality Level} * 0.75)] * 0.01$ ”

Phillips-Madrid, Route 4 (WIN 18247.00)
Madrid, Weymouth Bridge #2934 (WIN 22615.00)
Phillips, Wing Bridge #2955 (WIN 22616.00)
Phillips, Meeting Place Bridge #2545 (WIN 23100.00)
Phillips, Dill Bridge #228 (WIN 23102.00)
January 25, 2019

SPECIAL PROVISION
SECTION 107
PROSECUTION AND PROGRESS
(Contract Time)

The contractor will be allowed to commence work on this project as long as all applicable plans as required under this contract have been submitted, approved and pre-construction meeting held.

The completion date for this contract is **June 30th, 2021**.

All work schedule changes must be submitted for approval to the Department a minimum of 48 hours prior to the requested change.

Once operations commence, for every weekday not worked the Contractor will be charged liquidated damages per Section 107.7.2 of the Standard Specifications, excluding days lost to inclement weather, holidays, and approved absences.

Absences must be requested at least 72 hours in advance and are subject to Department approval based on existing roadway condition, paving deadlines, adherence to schedule, traffic restrictions, detours, etc. The Contractor must assure that the roadway surface and signage are maintained for safe passage of the traveling public during any approved absences. The Contract Completion Date will not be modified due to approved absences.

Special Provision

Section 107

Time

(Supplemental Liquidated Damages for Fabrication Time)

107.8.1 Fabrication Time The Department has budgeted for the following amounts of continuous full time fabrication/shop QA inspection for the following Work components:

<u>Element</u>	<u>Time</u>	<u>Supplemental LD</u>
WIN 22615-Structural Plate Girders	30 Calendar Days	\$850 per Calendar Day
WIN 22616-Structural Plate Girders	30 Calendar Days	\$850 per Calendar Day

The Contractor is responsible for requiring their fabricators and suppliers to produce these products for the Work continuously until finished, including any needed actions to correct unacceptable workmanship or materials. If the Department determines that QA inspection beyond these times is required, then the corresponding Supplemental Liquidated Damages will be deducted as they occur from the amounts otherwise due to the Contractor. These allowed Fabrication Time begins on the first day of fabrication and runs consecutively until expiration.

If a fabricator or supplier works more than one shift per day and the Department determines that inspection is required for each shift, each shift will count as a calendar day and the LD rate will be the noted amount per shift per Calendar Day in lieu of per Calendar Day.

QA inspector presence is required but not limited to the following activities:

Verification of material, welding, including tack welding, heat correcting, non-destructive examination, and assembly verification.

SPECIAL PROVISIONS
SECTION 202
REMOVING STRUCTURES AND OBSTRUCTIONS
(Removing Pavement Surface)

The November 2014 Revision of the Standard Specifications, Section 202-Removing Structures and Obstructions, subsection 202.061-Removing Pavement Surface, has been removed and replaced in its entirety by the following:

202.061 Removing Pavement Surface The equipment for removing the bituminous surface shall be a power operated milling machine or grinder capable of removing bituminous concrete pavement to the required depth, transverse cross slope, and profile grade using an automated grade and slope control system. The controls shall automatically increase or decrease the pavement removal depth as required, and readily maintain desired cross slope, to compensate for surface irregularities in the existing pavement course. The equipment shall be capable of accurately establishing profile grades by referencing from a fixed reference such as a 30 foot minimum contact ski (floating beam), 24 foot non-contact ski (floating beam) with 3 or more sensors; or 3 non-contact sensors directly affixed at the fore, mid, and aft points of the milling machine. Grade control sensors shall all be located on the same side. A single sensor, contact or otherwise, shall not be permitted unless otherwise approved by the Department.

The rotary drum shall utilize carbide tip tools at a minimum triple wrap configuration. The difference in height from the top of any ridge to the bottom of the groove adjacent to that ridge shall not exceed 1/4 inch. The forward speed of the milling machine shall be adjusted to produce a milled surface meeting the groove spacing, groove depth, and surface tolerance requirements of this specification. The tools on the revolving cutting drum must be continually maintained and shall be replaced as warranted to provide a uniform pavement texture. The Department may evaluate the texture of the milled surface for information purposes by performing the Sand Patch test according to ASTM E 965.

The Contractor shall locate and remove all objects in the pavement through the work area that would be detrimental to the planing or grinding machine. Any structures or obstructions left within the travel lane or shoulders shall have tapers installed according to Standard Detail 202(01). The finished milled surface will be inspected before being accepted, and any deviations in the profile exceeding 1/2 inch under a 16 foot string line or straightedge placed parallel to the centerline will be corrected. Any deviations in the cross-slope that exceed 3/8 inch under a 10 foot string line or straightedge placed transversely to centerline will be corrected. All corrections will be made with approved methods and materials. Any areas that require corrective measures will be subject to the same acceptance tolerances. Excess material that becomes bonded to the milled surface will be removed to the Resident's satisfaction before the area is accepted.

On roadways with adjoining lanes carrying traffic, the Contractor shall remove the pavement surface in each lane per the conditions in Table 1, unless otherwise noted by the Department in Special Provision, Section 105 – Limitations of Operations.

TABLE 1: MILLING CONDITIONS FOR ADJOINING LANES

Depth (At Centerline)	Milling Conditions
Vertical Longitudinal Joint	
2" and less	The Contractor may remove the pavement on a single travel lane width for each production day and will be required to mill the adjacent section of travel lane before the end of the following calendar day.
Greater than 2"	The Contractor shall remove the pavement over the full width of the traveled way section being paved that day.
12:1 Tapered Centerline Joint	
1 ½" to 2"	The Contractor may remove the pavement on a single travel lane width for each production day and will be required to mill the adjacent section of travel lane before weekend or holiday suspension. A maximum unmatched centerline joint length of 0.5 miles will be permitted over the weekend.
Greater than 2"	The Contractor shall remove the pavement on a single travel lane width for each production day and will be required to mill the adjacent section of travel lane before the end of the following calendar day.

The Contractor will be required to remove the pavement over the full width of the mainline traveled way, regardless of highway type, cut depth, or longitudinal joint type prior to Memorial Day, July 4th, Labor Day, suspensions exceeding three days, or other dates as specified by Special Provision, Section 105 – Limitations of Operations.

The Contractor will also be responsible for installing additional warning signage that clearly defines the centerline elevation differential hazard. Unless otherwise addressed in the contract, the Contractor shall install additional centerline delineation such as a double RPM application, or temporary painted line. The Traffic Control Plan shall be amended to include this option and the additional requirements. All signs and traffic control devices will conform to Section 719.01, and Section 652, and will be installed prior to the work, at a maximum spacing of 0.50 mile for the entire length of effected roadway section. If this option is utilized, all additional signing, labor, traffic control devices, or incidentals will not be paid for directly, will be considered incidental to the appropriate 652 items.

On roadways with immediately adjacent shoulders, the Contractor shall remove the pavement surface in each lane per the conditions in Table 2, unless otherwise noted by the Department in Special Provision, Section 105 – Limitations of Operations.

TABLE 2: MILLING CONDITIONS FOR THE EDGE OF TRAVELED WAY

Depth (At Edge of Traveled Way)	Conditions
2" and less	The Contractor may leave a vertical edge joint exposed for up to 21 days after milling is performed. The Contractor shall treat vertical edge joints exposed beyond 21 days per the criteria below.
Greater than 2"	The Contractor shall treat vertical edge joints exposed per the criteria below.

When required by Table 2, the Contractor shall treat vertical edge joints through one of the options below:

1. The vertical edge shall be tapered to a zero edge by means of milling a 12:1 transition from the edge of traveled way onto the shoulder before opening the lane to traffic. Tapers shall be removed to form a vertical edge prior to the placement of the new pavement course. No additional payment will be made for tapers, or taper removal.
2. An additional 2 feet of pavement shall be removed from the shoulder to eliminate the vertical edge at the edge of travelway before opening the lane to traffic. Unless otherwise authorized by the Department, no additional payment will be made for the additional milling.
3. A pavement layer shall be placed to reduce the vertical edge to 1 inch or less before opening the lane to traffic.

As a minimum, the use of temporary painted line, or RPMs placed along the edge of traveled way at 200 foot intervals is required for all elevation differentials. When pavement milling is extended into the shoulder (including milled tapers), appropriate channelization devices shall be placed 2 feet outside the edge of the vertical face at intervals not exceeding 600 feet, and RPMs shall be placed on the remaining pavement surface along the vertical edge at 200 foot intervals. Uneven pavement signs shall be placed at a maximum spacing of ½ mile when any pavement milling operations leaves an exposed uneven pavement surface.

Weepers shall be ground across the full width sections adjacent shoulders or remaining pavement surface matching the milled travel way or shoulder milled depth to minimize water ponding in any lanes carrying traffic. Weepers shall typically be 18 – 24” inches in width, installed along each lane, at a frequency of approximately one per half mile at locations as directed by the Resident or in areas that will provide drainage for the milled areas. Installation of weepers will not be paid for directly but will be considered incidental to the contracts pavement removal item. The replacement of mix in the weeper locations shall be performed concurrently within the pavement placement operation closure using the appropriate HMA item produced for the Contract or a MaineDOT approved 9.5mm HMA. There will be no separate payment for repaving the weeper locations as they are considered incidental to the square yard price of the contracts pavement removal item.

Any areas of concern, such as de-lamination or pot-holing shall be identified on a continuous basis as milling progresses. Proper corrective action will be determined by the Resident and paid for under the appropriate contract items, and if required, completed prior to opening lane to traffic. Any issues that arise up to 7 calendar days after being milled will be the responsibility of the MaineDOT unless otherwise noted in Special Provision Section 105 – Limitations Of Operations.

Pay Item

Pay Unit

202.202 Removing Pavement Surface

S.Y.

SPECIAL PROVISION
SECTION 203
(Special Fill - Streambed Materials)

203.01 Description This work consists of furnishing and placing rock, cobble and granular material inside of, and upstream and/or downstream of, a culvert to form a nature-like streambed.

203.02 Materials Special Fill shall consist of a well-graded mixture of sub-angular to rounded rock, cobble and aggregate (Streambed Gravel) similar in size and shape to those found in natural channels and may be obtained as bank run or screening materials from earth borrow pits. Material from blasting or crushing operations will not be allowed.

Special Fill shall generally conform to the following requirements:

- Approximately 15% by volume shall be stones between 12 and 18 inches average dimension (“Large Rock” or LR).
- Approximately 15% by volume shall be stones between 8 and 12 inches average dimension (“Medium Rock” or MR).
- Approximately 20% by volume shall be stones between 4 and 8 inches average dimension (“Small Rock” or SR).
- Approximately 50% by volume shall be granular aggregate meeting the grading requirements of the following table (“Streambed Gravel” or SG):

Sieve Designation	Percentage by Weight Passing Square Mesh Sieves
4 inch	75 - 100
2 inch	50 - 80
1 inch	35 - 65
1/4 inch	15 - 45
No. 10	10 - 35
No. 200	7 - 20

The Special Fill proportions specified above are equivalent to

(3 parts LR) : (3 parts MR) : (4 parts SR) : (10 parts SG)

Testing and Inspection: The Contractor shall identify the source and proposed mix for inspection at least ten (10) working days prior to the start of streambed construction. Gradation testing will be performed on Streambed Gravel portion of the Special Fill. Failing material will be evaluated for suitability by the Department and may be rejected. The grading of stone larger than 4-inches will be inspected for conformance with these requirements in accordance with the Standard Specifications (Section 610.032d Inspection).

Where applicable, clean granular material excavated on-site in accordance with Special Provision Section 203, Excavation and Embankment - Dredge Materials, and approved by the Resident, may be used in the mix at the Contractor's option.

203.03 Construction Requirements

Mix and place Special Fill on the Abutment No. 1 slope area to the limits and depths shown in the Plans in a way that minimizes segregation. The Special Fill shall be placed in 18" thick lifts to a maximum thickness of 5'-0". Each lift shall be placed as follows:

- First, place the LR fraction separately.
- Then, complete the lift by placing the MR/SR/SG mix over and around the LR.
- Firmly embed stones in the mix and fill in voids by hand tamping and washing-in with water. Larger individual stones protruding more than 6 inches above the average surface grade shall be embedded, re-oriented, or replaced.
- After washing in, surface voids may appear. Apply Streambed Gravel over surface of lift and wash in. Continue this process until voids are filled, the surface is sealed, and the required thickness is achieved. The gradation of the Streambed Gravel for filling surface voids may be adjusted to remove the larger stone if approved by the resident.

After washing-in and sealing the top lift, the total depth shall meet the final grading shown on the Plans. Thoroughly wet the Special Fill immediately prior to exposure to normal flow conditions.

Method of Measurement

Special Fill will be measured in place by the cubic yard accepted by the Resident.

When it is impractical to measure Special Fill in place, Special Fill may be measured in vehicles at the point of delivery. When measured in vehicles, the quantity for payment shall be 80% of the quantity shown on delivery slips. Material used for washing in shall not be measured for payment.

Basis of Payment

The accepted quantities of Special Fill will be paid for at the respective contract price per cubic yard complete in place. Payment shall be full compensation for furnishing all materials, equipment and labor including washing-in with water.

Payment will be made under:

Pay Item

Pay Unit

203.33 Special Fill

CY

PHILLIPS AND MADRID 18247.00, WIN 22615.00, WIN 22616.00
HIGHWAY & BRIDGE RECONSTRUCTION
FEBRUARY 11. 2019

SPECIAL PROVISION
SECTION 203
EXCAVATION AND EMBANKMENT
(Dredge Materials)

Description: Dredge Material (See MaineDOT Standard Specifications § 101.2) is regulated as a Special Waste. This material can be reused with a Beneficial Use Permit issued by the Maine Department of Environmental Protection (MDEP). Further, the Beneficial Use of Dredge Material from Class A, Class AA and Class SA water bodies is exempt from Beneficial Use Permits. Work associated with the Route 4 Highway and Bridge Reconstruction Project in Phillips and Madrid will occur in both Class A and Class AA water bodies at various locations along the project; therefore, the Beneficial Use of Dredge Material from this initiative is exempt from Beneficial Use Permits.

CONSTRUCTION REQUIREMENTS

Management: The contractor shall ensure that all Dredge Material excavated from the Route 4 Highway and Bridge Reconstruction Project in Phillips and Madrid is Beneficially Used in the area(s) specified by MaineDOT.

Method of Measurement: Dredge Material will be measured by the cubic yard of material removed.

Basis of Payment: Payment for the Beneficial Use of Dredge Material will be incidental to the Contract Pay Items.

Payment shall be full compensation for excavation, dewatering, managing, transporting, and placement of the Dredge Materials.

SPECIAL PROVISION
DIVISION 400
 PAVEMENTS

SECTION 401 - HOT MIX ASPHALT PAVEMENT
 (HMA Hamburg Wheel Tracker Specification)

401.03 Composition of Mixtures The Contractor shall compose the Hot Mix Asphalt Pavement with aggregate, Performance Graded Asphalt Binder (PGAB), and mineral filler if required. HMA shall be designed and tested according to AASHTO R35 and the volumetric criteria in Table 1. The Contractor shall size, uniformly grade, and combine the aggregate fractions in proportions that provide a mixture meeting the grading requirements of the Job Mix Formula (JMF). Unless otherwise noted in Special Provision 403 - Hot Mix Asphalt Pavement, the design, verification, Quality Control, and Acceptance tests for this mix will be performed at 65 gyrations.

The Contractor shall submit for Department approval a JMF to the Asphalt Pavement Engineer for each mixture to be supplied. The Department shall then have 15 calendar days in which to process a new design before approval, not including time needed for Hamburg Wheel Tracker verification testing. The JMF shall establish a single percentage of aggregate passing each sieve size within the limits shown in section 703.09. The mixture shall be designed and produced, including all production tolerances, to comply with the allowable control points for the particular type of mixture as outlined in 703.09. The JMF shall state the original source, gradation, and percentage to be used of each portion of the aggregate including RAP when utilized, and mineral filler if required. It shall also state the proposed PGAB content, the name and location of the refiner, the supplier, the source of PGAB submitted for approval, the type of PGAB modification if applicable, and the location of the terminal if applicable.

In addition, the Contractor shall provide the following information with the proposed JMF:

- Properly completed JMF indicating all mix properties (Gmm, VMA, VFB, etc.)
- Stockpile Gradation Summary
- Design Aggregate Structure Consensus Property Summary
- Design Aggregate Structure Trial Blend Gradation Plots (0.45 power chart)
- Trial Blend Test Results for at least three different asphalt contents
- Design Aggregate Structure
- Test results for the selected aggregate blend at a minimum of three binder contents
- Recommended mixing and compaction temperatures from the PGAB supplier
- Safety Data Sheets (MSDS) For PGAB
- Asphalt Content vs. Air Voids trial blend curve
- Test report for Contractor's Verification sample

At the time of JMF submittal, the Contractor shall identify and make available the stockpiles of all proposed aggregates at the plant site. There must be a minimum of 150 ton for stone stockpiles, 75 ton for sand stockpiles, and 50 ton of blend sand before the Department will sample. The Contractor shall provide aggregate samples to the Department unless otherwise required. The Contractor shall also make available to the Department the PGAB proposed for use in the mix in sufficient quantity to test the properties of the asphalt and to produce samples for testing of the mixture. The JMF will be approved by the Department in accordance with the MaineDOT HMA Policies and Procedures for HMA Sampling and Testing Manual. The first day's production shall be monitored, and the approval may be withdrawn if the mixture exhibits undesirable characteristics such as checking, shoving or displacement.

Before the start of paving, the Contractor shall provide the Department with eight boxes of plant produced HMA. The Contractor shall test its split of the sample and determine if the results meet the requirements of the Department's written policy for mix design verification (See Maine DOT Policies and Procedures for HMA

Sampling and Testing). If the results are found to be acceptable, the Contractor will forward their results to the Department's Lab, which will test the Department's split of the sample. The results of the two split samples will be compared and shared between the Department and the Contractor. If the HMA meets the requirements for mix design verification, the mixture will be tested for rutting and moisture sensitivity in the Hamburg Wheel Tracker according to AASHTO T324, "Hamburg Wheel-Track Testing of Hot Mix Asphalt (HMA)." The sample will be required to meet the applicable requirements of Table 1A below for approval, depending on the PG binder grade required by the 403 Special Provision. If the sample meets the requirements of Table 1A, an approved JMF will be forwarded to the Contractor and paving may commence. The Department will have five business days from receipt of the sample at the Central Laboratory to process, test, and report the Hamburg Wheel Tracker sample. The first day's production shall be monitored, and the approval may be withdrawn if the mixture exhibits undesirable characteristics such as checking, shoving or displacement.

The Contractor shall be allowed to submit aim changes within 24 hours of receipt of the first Acceptance test result. Should all of the Acceptance samples of a Lot be obtained prior to the receipt of the first Acceptance result, the Department will not allow the aim changes to be applied to that Lot. Adjustments will be allowed of up to 2% on the percent passing the 2.36 mm sieve through the 0.075 mm and 3% on the percent passing the 4.75 mm or larger sieves. Adjustments will be allowed on the %PGAB of up to 0.2%. Adjustments will be allowed on GMM of up to 0.010.

The Contractor shall submit a new JMF for approval each time a change in material source or materials properties is proposed. The same approval process shall be followed. The cold feed percentage of any aggregate may be adjusted up to 10 percentage points from the amount listed on the JMF, however no aggregate listed on the JMF shall be eliminated. The cold feed percentage for RAP may be reduced up to 10 percentage points from the amount listed on the JMF and shall not exceed the percentage of RAP approved in the JMF or for the specific application under any circumstances.

TABLE 1: VOLUMETRIC DESIGN CRITERIA

Design ESAL's (Millions)	Required Density (Percent of G _{mm})			Voids in the Mineral Aggregate (VMA)(Minimum Percent)					Voids Filled with Binder (VFB) (Minimum %)	Fines/Eff. Binder Ratio
				Nominal Maximum Aggregate Size (mm)						
	N _{initial}	N _{design}	N _{max}	25	19	12.5	9.5	4.75		
<0.3	≤91.5	96.0	≤98.0	13.0	14.0	15.0	16.0	16.0	70-80	0.6-1.2
0.3 to <3	≤90.5								65-80	
3 to <10	≤89.0								65-80*	
10 to <30										
> 30										

*For 9.5 mm nominal maximum aggregate size mixtures, the maximum VFB is 82.

*For 4.75 mm nominal maximum aggregate size mixtures, the maximum VFB is 84.

TABLE 1A: HAMBURG WHEEL TRACKER REQUIREMENTS

Specified PG Binder Grade	Test Temperature (°C)	Maximum Rut Depth (mm)	Minimum Number of Passes	Minimum Allowable SIP*
PG 64-28	45	12.5	20,000	15,000
PG 64E-28	48	12.5	20,000	15,000

* As calculated by the most recently published version of the MaineDOT HWT worksheet, which is available online at <http://www.maine.gov/mdot/contractors/publications/>

401.18 Quality Control Method A, B & C The following language has been added to Section 401.18:

The project specific QCP shall address the sampling, transport, and testing of Hamburg Wheel Tracker QC samples and what potential steps will be taken if QC samples do not meet the requirements in Table 1A. The project-specific QCP shall also contain a sample Hamburg Wheel Tracker test report for approval. The Contractor shall sample and test HMA Pavement in the Hamburg Wheel Tracker according to AASHTO T324 in accordance with the following minimum frequencies:

TABLE 2A: MINIMUM QUALITY CONTROL FREQUENCIES

Test or Action	Frequency	Test Method
Hamburg Wheel Tracker	1 per 4,000 ton and at least once per Acceptance Lot	AASHTO T 324

The Contractor shall sample the HMA on the first day of production and test the sample in the Hamburg Wheel Tracker according to AASHTO T324. This sample will not count towards the minimum quality control frequency specified in Table 2A. The Contractor shall submit all Hamburg Wheel Tracker test reports in writing, signed by the appropriate technician and present them to the Department within ten working days of initial sampling, except when otherwise noted in the project specific QCP due to local restrictions. The Contractor shall make the raw Hamburg Wheel Tracker data from QC samples available to the Department upon request. If a QC sample fails to meet the criteria in Table 1A, the Contractor will be required to submit a corrective action letter to the Resident, Materials Engineer, Pavement Quality Manager, and Pavement Quality Engineer by the end of the following working day with the proposed changes to bring the mixture back into compliance. The Department will respond and either accept or reject the Contractor's proposed corrective action by the end of the following working day from when the letter was received.

The Department will sample and test the HMA during production to verify compliance with the Hamburg Wheel Tracker Requirements. If a verification sample fails to meet the criteria in Table 1A, the Contractor will be required to submit a corrective action letter to the Resident, Materials Engineer, Pavement Quality Manager, and Pavement Quality Engineer by the end of the following working day with the proposed changes to bring the mixture back into compliance. The Department will respond and either accept or reject the Contractor's proposed corrective action by the end of the following working day from when the letter was received.

SECTION 401 - HOT MIX ASPHALT PAVEMENT

401.01 Description The Contractor shall furnish a uniformly blended, homogeneous mixture placed as one or more courses of Hot Mix Asphalt Pavement (HMA) on an approved base in accordance with the contract documents and in reasonably close conformity with the lines, grades, thickness, and typical cross sections shown on the plans or established by the Resident. The Department will accept this work under Quality Assurance provisions, in accordance with these specifications and the requirements of Section 106 – Quality, the provisions of AASHTO M 323 except where otherwise noted in sections 401 and 703 of these specifications, and the MaineDOT Policies and Procedures for HMA Sampling and Testing.

401.02 Materials Materials shall meet the requirements specified in Section 700 - Materials:

Asphalt Cement	702.01
Aggregates for HMA Pavement	703.07
RAP for HMA Pavement	703.08
HMA Mixture Composition	703.09

401.03 Composition of Mixtures The Contractor shall compose the Hot Mix Asphalt Pavement with aggregate, Performance Graded Asphalt Binder (PGAB), and mineral filler if required. HMA shall be designed and tested according to AASHTO R35 and the volumetric criteria in Table 1. The Contractor shall size, uniformly grade, and combine the aggregate fractions in proportions that provide a mixture meeting the grading requirements of the Job Mix Formula (JMF). Unless otherwise noted in Special Provision 403 - Hot Mix Asphalt Pavement, the design, verification, Quality Control, and Acceptance tests for this mix will be performed at 65 gyrations.

The Contractor shall submit for Department approval a JMF to the Asphalt Pavement Engineer for each mixture to be supplied. The Department shall then have 15 calendar days in which to process a new design before approval. The JMF shall establish a single percentage of aggregate passing each sieve size within the limits shown in section 703.09. The mixture shall be designed and produced, including all production tolerances, to comply with the allowable control points for the particular type of mixture as outlined in 703.09. The JMF shall state the original source, gradation, and percentage to be used of each portion of the aggregate including RAP when utilized, and mineral filler if required. It shall also state the proposed PGAB content, the name and location of the supplier, the source of PGAB submitted for approval, the type of PGAB modification if applicable, and the location of the terminal if applicable.

In addition, the Contractor shall provide the following information with the proposed JMF:

- Properly completed JMF indicating all mix properties (Gmm, VMA, VFB, etc.)
- Stockpile Gradation Summary
- Design Aggregate Structure Consensus Property Summary
- Design Aggregate Structure Trial Blend Gradation Plots (0.45 power chart)
- Trial Blend Test Results for at least three different asphalt contents
- Design Aggregate Structure
- Test results for the selected aggregate blend at a minimum of three binder contents
- Recommended mixing and compaction temperatures from the PGAB supplier
- Safety Data Sheets (SDS) For PGAB
- Asphalt Content vs. Air Voids trial blend curve
- Test report for Contractor's Verification sample

At the time of JMF submittal, the Contractor shall identify and make available the stockpiles of all proposed aggregates at the plant site. There must be a minimum of 150 ton for coarse aggregate stockpiles and 75 ton for fine aggregate stockpiles before the JMF may be submitted. The Contractor shall provide aggregate

samples to the Department unless otherwise required. The Contractor shall also make available to the Department the PGAB proposed for use in the mix in sufficient quantity to test the properties of the asphalt and to produce samples for testing of the mixture. The JMF will be approved by the Department in accordance with the MaineDOT HMA Policies and Procedures for HMA Sampling and Testing Manual. The first day's production shall be monitored, and the approval may be withdrawn if the mixture exhibits undesirable characteristics such as checking, shoving or displacement.

The Contractor shall be allowed to submit aim changes for a JMF within 24 hours of receipt of the first Acceptance test result. Should all of the Acceptance samples of a Lot be obtained prior to the reporting of the first Acceptance result, the Department will not allow the aim changes to be applied to that Lot. Adjustments will be allowed of up to 2% on the percent passing the 2.36 mm sieve through the 0.075 mm and 3% on the percent passing the 4.75 mm or larger sieves. Adjustments will be allowed on the %PGAB of up to 0.2%. Adjustments will be allowed on G_{mm} of up to 0.010.

The Contractor shall submit a new JMF for approval each time a change in material source or materials properties is proposed. The same approval process shall be followed. The cold feed percentage of any aggregate may be adjusted up to 10 percentage points from the amount listed on the JMF, however no aggregate listed on the JMF shall be eliminated. The cold feed percentage for RAP may be reduced up to 10 percentage points from the amount listed on the JMF and shall not exceed the percentage of RAP approved in the JMF or for the specific application under any circumstances.

TABLE 1: VOLUMETRIC DESIGN CRITERIA

Design ESAL's (Million s)	Required Density (Percent of G _{mm})			Voids in the Mineral Aggregate (VMA)(Minimum Percent)					Voids Filled with Binder (VFB) (Minimum %)	Fines/Eff. Binder Ratio
				Nominal Maximum Aggregate Size (mm)						
	N _{initial}	N _{design}	N _{max}	25	19	12.5	9.5	4.75		
<0.3	≤91.5	96.0	≤98.0	13.0	14.0	15.0	16.0	16.0	70-80	0.6-1.2
0.3 to <3	≤90.5								65-80	
3 to <10	≤89.0								65-80*	
10 to <30										
> 30										

*For 9.5 mm nominal maximum aggregate size mixtures, the maximum VFB is 82.

*For 4.75 mm nominal maximum aggregate size mixtures, the maximum VFB is 84.

401.031 Warm Mix Technology The Contractor may place Hot Mix Asphalt Pavement produced with an accepted WMA technology if approved by the Department. Methods or technologies shall generally be at the Contractors option, but will be limited to proven, Agency and Industry accepted practice. Mixture production, placement and volumetric testing details, including temperatures, shall be included in the project specific QCP, and submitted to the Department for approval prior to any work.

401.04 Temperature Requirements After the JMF is established, the temperatures of the mixture shall conform to the following tolerances unless otherwise authorized by the Department:

In the truck at the mixing plant – allowable range 275 to 325°F
At the Paver – allowable range 275 to 325°F

The JMF and the mix subsequently produced shall meet the requirements of Tables 1 and Section 703.07.

401.05 Performance Graded Asphalt Binder The Contractor shall utilize either a PG58-28, PG64-28, or other grade as specified in the 403 Special Provision. The Contractor shall utilize a PG64-28 if no liquid grade is specified within the 403 Special Provision.

401.06 Weather and Seasonal Limitations The State is divided into two paving zones as follows:

- a. **Zone 1** Areas north of US Route 2 from Gilead to Bangor and north of Route 9 from Bangor to Calais.
- b. **Zone 2** Areas south of Zone 1 including the US Route 2 and Route 9 boundaries.

TABLE 2: SEASONAL AND TEMPERATURE LIMITATIONS

Use	Minimum Ambient Air Temperature	Zone 1 Allowable Placement Dates	Zone 2 Allowable Placement Dates
Surface course (travelway & adjacent shoulders) less than 1 in. thick placed during conditions defined as “night work”	50°F	June 1 to Saturday following September 1	
Surface course (travelway & adjacent shoulders) less than 1 in. thick	50°F	May 15 to Saturday following September 15	
Travelway surface course greater than or equal to 1 in. thick	50°F	May 1 to Saturday following October 1	April 15 to Saturday following October 15
HMA for surface course on bridge decks	50°F	May 1 to Saturday following October 1	April 15 to Saturday following October 15
HMA for base or shim course on bridge decks	50°F	April 15 to November 15	
HMA for use other than travelway surface course	40°F	April 15 to November 15	
HMA for curb, driveways, sidewalks, islands, or other incidentals	40°F	N/A	N/A
HMA produced with an approved WMA technology for base or shim course	35°F	April 15 to November 15	

The ambient air temperature shall be determined by an approved thermometer placed in the shade at the paving location. Unless otherwise specified, the Contractor shall not place Hot Mix Asphalt Pavement on a wet or frozen surface regardless of the ambient air temperature. The Hot Mix Asphalt Pavement produced with an approved WMA technology shall meet the requirements of section 401.04 - Temperature Requirements, unless otherwise approved by the Department. For the purposes of this Section, the traveled way includes truck lanes, ramps, approach roads and auxiliary lanes.

401.07 Hot Mix Asphalt Plant

401.071 General Requirements HMA plants shall conform to AASHTO M156-97.

- a. **Additives** Additives (WMA, anti-strip, etc.) not directly introduced into the binder at the terminal shall be introduced into the HMA plant per the supplier’s recommendations and shall be approved by the Asphalt Pavement Engineer, Pavement Quality Manager, or their authorized representative. The system for introducing additives shall be interlocked with the aggregate feed or weigh system to maintain correct proportions for all rates of production and batch sizes. Additive introduction systems shall be controlled by a proportioning device to the amount required on the JMF plus or minus 0.1% of the target. Additive introduction systems shall be interlocked with the plant and the recordation (batch tickets or drum recordation) shall display the additive and the weight and percentage added.

b. Stockpiles HMA plants shall have sufficient space for stockpiles, with a minimum of supply for 2 days production of all aggregate products used in MaineDOT approved mix designs currently under production for the facility at all times. A minimum stockpile supply of 100 ton (70 yards) shall be maintained at all times no matter the production rate for the HMA plant. Stockpiles shall be separated and built to minimize segregation.

c. Preparation of Aggregates The Contractor shall dry and heat the aggregates for the HMA to the required temperature. The Contractor shall properly adjust flames to avoid physical damage to the aggregate and to avoid depositing soot on the aggregate.

d. Mixing The Contractor shall combine the dried aggregate in the mixer in the amount of each fraction of aggregate required to meet the JMF. The Contractor shall measure the amount of PGAB and introduce it into the mixer in the amount specified by the JMF. The Contractor shall produce the HMA at the temperature established by the JMF.

The Contractor shall dry the aggregate sufficiently so that the HMA will not flush, foam excessively, or displace excessively under the action of the rollers. The Contractor shall introduce the aggregate into the mixer at a temperature of not more than 25°F above the temperature at which the viscosity of the PGAB being used is 0.150 Pa·s.

The Contractor shall store and introduce into the mixer the Performance Graded Asphalt Binder at a uniformly maintained temperature at which the viscosity of the PGAB is between 0.150 Pa·s and 0.300 Pa·s. The aggregate shall be coated completely and uniformly with a thorough distribution of the PGAB. The Contractor shall determine the wet mixing time for each plant and for each type of aggregate used. The resultant material shall be a uniformly blended, homogeneous HMA mixture.

401.072 Automation of Batching Batch plants shall be automated for weighing, recycling, and monitoring the system. In the case of a malfunction of the printing system, the requirements of Section 401.074 c. of this specification will apply.

The batch plant shall accurately proportion the various materials in the proper order by weight. The entire batching and mixing cycle shall be continuous and shall not require any manual operations. The batch plant shall use auxiliary interlock circuits to trigger an audible alarm whenever an error exceeding the acceptable tolerance occurs. Along with the alarm, the printer shall print an asterisk on the delivery slip in the same row containing the out-of-tolerance weight. The automatic proportioning system shall be capable of consistently delivering material within the full range of batch sizes. When RAP is being used, the plant must be capable of automatically compensating for the moisture content of the RAP.

All plants shall be equipped with an approved digital recording device. The delivery slip load ticket shall contain information required under Section 108.1.3 - Provisions Relating to Certain Measurements, Mass and paragraphs a, b, and c of Section 401.073

401.073 Automatic Ticket Printer System on Automatic HMA Plant An approved automatic ticket printer system shall be used with all approved automatic HMA plants. The requirements for delivery slips for payment of materials measured by weight, as given in the following Sections, shall be waived: 108.1.3 a., 108.1.3 b., 108.1.3 c., and 108.1.3 d. The automatic printed ticket will be considered as the Weight Certificate.

The requirements of Section 108.1.3 f. - Delivery Slips, shall be met by the weigh slip or ticket, printed by the automatic system, which accompanies each truckload, except for the following changes:

- a. The quantity information required shall be individual weights of each batch or total net weight of each truckload.
- b. Signatures (legible initials acceptable) of Weighmaster (required only in the event of a malfunction as described in 401.074 c.).
- c. The MaineDOT designation for the JMF.

401.074 Scales and Weight Checks When the HMA is to be weighed on scales meeting the requirements of Section 108 - Payment, the scales shall be inspected and sealed by the State Sealer (or approved alternative) as often as the Department deems necessary to verify their accuracy based upon the criteria below. Plant scales shall be checked prior to the start of the paving season, and each time a plant is moved to a new location. Subsequent checks will be made as determined by the Resident. The Contractor will have at least ten 50 pound masses for scale testing at batch plants. At least twice during each 5 days of production either of the following checks will be performed:

- a. A loaded truck may be intercepted and weighed on a platform scale that has been sealed by the State Sealer of Weights and Measures within the past 12 months. The inspector will notify the producer to take corrective action on any discrepancy over 1.0%. The producer may continue to operate for 48 hours under the following conditions.
 - 1. If the discrepancy does not exceed 1.5%; payment will still be governed by the printed ticket.
 - 2. If the discrepancy exceeds 1.5%, the plant will be allowed to operate as long as payment is determined by truck platform scale net weight.

If, after 48 hours the discrepancy has not been addressed and reduced below 1.0%, than plant operations will cease. Plant operation may resume after the discrepancy has been brought within 1.0%.

- b. Where platform scales are not readily available, a check will be made to verify the accuracy and sensitivity of each scale within the normal weighing range and to assure that the interlocking devices and automatic printer system are functioning properly.
- c. In the event of a malfunction of the automatic printer system, production may be continued without the use of platform truck scales for a period not to exceed the next two working days, providing total weights of each batch are recorded on weight tickets and certified by a Licensed Public Weighmaster.

401.08 Hauling Equipment Units hauling HMA shall have tight, clean, and smooth metal bodies, which have been thinly coated with a small amount of approved release agent to prevent the mixture from adhering to the bodies. Release agents that dissolve or strip asphalts, including diesel fuel, will not be allowed.

All mix haul units shall have a cover of canvas or other water repellent material capable of heat retention, which completely covers the mixture. The cover shall be securely fastened on the truck, unless unloading. All mix haul units shall have an opening on both sides, which will accommodate a thermometer stem. The opening shall be located near the midpoint of the body, at least 12 in above the bed.

401.09 Pavers The Contractor shall use pavers meeting the requirements of this section unless otherwise authorized by the Department. Pavers shall be self-contained, self-propelled units with an activated heated screed capable of placing courses of Hot Mix Asphalt Pavement in full lane widths specified in the contract on the main line, shoulder, or similar construction.

Pavers shall be of sufficient class and size to place Hot Mix Asphalt Pavement over the full width of the mainline travel way with a 10 ft minimum main screed with activated extensions.

The Contractor shall place Hot Mix Asphalt Pavement on the main line with a paver using an automatic grade and slope controlled screed, unless otherwise authorized by the Department. The controls shall automatically adjust the screed and increase or decrease the layer thickness to compensate for irregularities in the preceding course. The controls shall maintain the proper transverse slope and be readily adjustable so that transitions and superelevated curves can be properly paved. The controls shall operate from a fixed or moving reference such as a grade wire or ski type device (floating beam) with a minimum length of 30 ft, a non-contact grade control with a minimum span of 24 ft, except that a 40 ft reference shall be used on Expressway projects.

The Contractor shall operate the paver in such a manner as to produce a visually uniform surface texture and a thickness within the requirements of Section 401.11 - Surface Tolerances. The paver shall have a receiving hopper with sufficient capacity for a uniform spreading operation and a distribution system to place the mixture uniformly, without segregation in front of the screed. The screed assembly shall produce a finished surface of the required evenness and texture without tearing, shoving, or gouging the mixture. Pavers with extendible screeds shall have auger extensions and tunnel extenders as per the manufacturer's recommendations, a copy of which shall be available if requested.

The Contractor shall have the paver at the project site sufficiently before the start of paving operations to be inspected and approved by the Department. The Contractor shall repair or replace any paver found worn or defective, either before or during placement, to the satisfaction of the Department. Pavers that produce an unevenly textured or non-uniform mat will be repaired or replaced before continuing to place HMA on MaineDOT projects.

On a daily basis, the Contractor shall perform density testing across the mat being placed, prior to being compacted by equipment at 12 in intervals. If the density values vary by more than 2.0% from the mean, the Contractor shall make adjustments to the screed until the inconsistencies are remedied. Failure to replace or repair defective placement equipment may result in a letter of suspension of work and notification of a quality control violation resulting in possible monetary penalties as governed by Section 106 - Quality

401.10 Rollers Rollers shall be static steel, pneumatic tire, oscillatory, or approved vibrator type. Rollers shall be in good mechanical condition, capable of starting and stopping smoothly, and be free from backlash when reversing direction. Rollers shall be equipped and operated in such a way as to prevent the picking up of hot mixed material by the roller surface. The use of rollers, which result in crushing of the aggregate or in displacement of the HMA will not be permitted. Any Hot Mix Asphalt Pavement that becomes loose, broken, contaminated, shows an excess or deficiency of Performance Graded Asphalt Binder, or is in any other way defective shall be removed and replaced at no additional cost with fresh Hot Mix Asphalt Pavement, which shall be immediately compacted to conform to the adjacent area.

The Contractor shall repair or replace any roller found to be worn or defective, either before or during placement, to the satisfaction of the Department. Rollers that produce grooved, unevenly textured or non-uniform mat will be repaired or replaced before continuing to place HMA on MaineDOT projects. The type of rollers to be used and their relative position in the compaction sequence shall generally be the Contractor's option, provided specification densities are attained and with the following requirements:

- a. On variable-depth courses, the first lift of pavement over gravel, reclaimed pavement, on irregular or milled surfaces, or on bridges, at least one roller shall be 16 ton pneumatic-tired. Pneumatic-tired rollers

shall be equipped with skirting to minimize the pickup of HMA materials from the paved surface. When required by the Resident, the roller shall be ballasted to 20 ton.

b. Compaction with a vibratory or steel wheel roller shall precede pneumatic-tired rolling, unless otherwise authorized by the Department.

c. Vibratory rollers shall not be operated in the vibratory mode when checking or cracking of the mat occurs, or on bridge decks.

d. Any method, which results in cracking or checking of the mat, will be discontinued and corrective action taken.

e. The use of an oscillating steel roller shall be required to compact all mixtures placed on bridge decks.

The maximum operating speed for a steel wheel or pneumatic roller shall not exceed the manufacturer's recommendations, a copy of which shall be available if requested.

401.11 Surface Tolerances The Department will check the following surface tolerances:

a.) Longitudinally: The pavement surface profile shall be free of deviations in excess of +/- ¼ inches from the required pavement surface profile grade. To verify the surface tolerance a straight plane shall be established using 16 foot straight edge or a taught string line placed parallel to the direction of travel and checked continuously across the width of the lane.

b.) Transversely: The pavement surface profile shall be free of deviations in excess of 0 inches below and ¼ inches above the required cross sectional profile grade. To verify the surface tolerance a straight plane shall be established using a 10 foot straight edge or taught string line placed perpendicular to the direction of travel and checked continuously along the length of the lane.

The Contractor shall correct defective areas by removing defective work and replacing it with new material as directed by the Department. The Contractor shall furnish a 10 foot straightedge for the Department's use.

401.12 Preparation of Existing Surface The Contractor shall thoroughly clean the surface upon which Hot Mix Asphalt Pavement is to be placed of all objectionable material. When the surface of the existing base or pavement is irregular, the Contractor shall bring it to uniform grade and cross section. All surfaces shall have a tack coat applied prior to placing any new HMA course. Tack coat shall conform to the requirements of Section 409 – Bituminous Tack Coat, Section 702 – Bituminous Material, and all applicable sections of the contract.

401.13 Spreading and Finishing On areas where irregularities or unavoidable obstacles make the use of mechanical spreading and finishing equipment impracticable, the Contractor shall spread, rake, and lute the HMA with hand tools to provide the required compacted thickness. Release agents that dissolve or strip asphalts, including diesel fuel, will not be allowed.

On roadways with adjoining lanes carrying traffic, the Contractor shall place each course per the conditions in Table 3, unless otherwise noted by the Department in Section 403 - Hot Mix Asphalt Pavement.

TABLE 3: PLACEMENT CONDITIONS FOR ADJOINING LANES

Depth (at centerline)	Placement Conditions
Vertical Longitudinal Joint	
¾" and less (incl. shim)	The Contractor may place the HMA course over the full single travel lane width for each production day.
1" to 1 ¼"	The Contractor may place the HMA course over the full single travel lane width for each production day and will be required to place a matching course of HMA over the adjacent section of travel lane before weekend or holiday suspension.
1 ½" to 2"	The Contractor may place the HMA course over the full single travel lane width for each production day and will be required to place a matching course of HMA over the adjacent section of travel lane before the end of the following calendar day.
Greater than 2"	The Contractor shall place each course over the full width of the traveled way section being paved that day.
Notched-Wedge Longitudinal Joint	
1 ½" to 2"	The Contractor may place the HMA course over the full single travel lane width for each production day and will be required to place a matching course of HMA over the adjacent section of travel lane before weekend or holiday suspension. A maximum unmatched centerline joint length of 0.5 miles will be permitted over the weekend.
Greater than 2"	The Contractor may place the HMA course over the full single travel lane width for each production day and will be required to place a matching course of HMA over the adjacent section of travel lane before the end of the following calendar day.

The Contractor will be required to place the specified course over the full width of the mainline traveled way being paved, regardless of use, depth, or longitudinal joint type prior to Memorial Day, July 4th, Labor Day, paving suspensions exceeding three days, or other dates as specified by special provision.

The Contractor will also be responsible for installing additional warning signage that clearly defines the centerline elevation differential hazard. Unless otherwise addressed in the contract, the Contractor shall install additional centerline delineation such as a double RPM application, or temporary painted line. The Traffic Control Plan shall be amended to include this option and the additional requirements. All signs and traffic control devices will conform to Section 719.01, and Section 652, and will be installed prior to the work, at a maximum spacing of 0.50 mile for the entire length of effected roadway section. If this option is utilized, all additional signing, labor, traffic control devices, or incidentals will not be paid for directly, will be considered incidental to the appropriate 652 items.

401.14 Hot Mix Asphalt Placement on Bridge Decks Hot mix asphalt pavement placed on bridges shall also conform to Section 508.04 and the following requirements.

- The bottom course shall be placed with an approved rubber mounted paver of such type and operated in such a manner that the membrane waterproofing will not be damaged in any way.
- The top course shall not be placed until the bottom course has cooled sufficiently to provide stability.
- The Contractor will not be required to cut sample cores from the compacted pavement on the bridge deck, unless otherwise directed by Special Provision.

- d. After the top course has been placed, the shoulder areas shall be sealed 3 ft wide with two applications of an emulsified bituminous sealer meeting the requirements of Section 612.03 – Sealing and Section 702.12 - Emulsified Bituminous Sealing Compound. The first application shall be pre-mixed with fine, sharp sand, similar to mortar sand, as needed to fill all voids in the mix in the area being sealed. The second application may be applied without sand. The sealer shall be carried to the curb at the gutter line in sufficient quantity to leave a bead or fillet of material at the face of the curb. The area to be sealed shall be clean, dry and the surface shall be at ambient temperature.
- e. The furnishing and applying of the required quantity of sealer for the bridge shoulder areas shall be incidental to placing the hot mix asphalt pavement.
- f. The minimum production and placement temperature for the Hot Mix Asphalt placed over membrane shall conform to the manufacturer's recommendations.

The area between the edge of the membrane and the vertical surface shall be completely sealed with hot-applied rubberized asphalt material, meeting the requirements of Type 4 crack seal; shall be applied to form a complete seal between the membrane and the vertical surface and shall extend up the vertical surface to within ½ inch of the top of the HMA wearing surface. This work shall be considered incidental to the contract pavement items unless 508 membrane items are included in the contract.

401.15 Compaction Immediately after the Hot Mix Asphalt Pavement has been spread, struck off, and any surface irregularities adjusted, the Contractor shall thoroughly and uniformly compact the HMA by rolling.

The Contractor shall roll the surface when the mixture is in the proper condition and when the rolling does not cause undue displacement, cracking, or shoving. The Contractor shall prevent adhesion of the HMA to the rollers or vibrating compactors without the use of fuel oil or other petroleum based release agents. Solvents designed to strip asphalt binders from aggregates will not be permitted as release agents on equipment, tools, or pavement surfaces.

The Contractor shall immediately correct any displacement occurring as a result of the reversing of the direction of a roller or from other causes to the satisfaction of the Department. Any operation other than placement of variable depth shim course that results in breakdown of the aggregate shall be discontinued. Any new pavement that shows obvious cracking, checking, or displacement shall be removed and replaced for the full lane width as directed by the Resident at no cost to the Department.

Along forms, curbs, headers, walls, and other places not accessible to the rollers, the Contractor shall thoroughly compact the HMA with mechanical vibrating compactors. The Contractor shall only use hand tamping in areas inaccessible to all other compaction equipment. On depressed areas, the Contractor may use a trench roller or cleated compression strips under a roller to transmit compression to the depressed area.

Any HMA that becomes unacceptable due to cooling, cracking, checking, segregation or deformation as a result of an interruption in mix delivery shall be removed and replaced, with material that meets contract specifications at no cost to the Department.

401.16 Joints The Contractor shall construct wearing course transverse and longitudinal joints in such a manner that minimum tolerances shown in Section 401.11 - Surface Tolerances are met when measured with a straightedge.

The paver shall maintain a uniform head of HMA during transverse and longitudinal joint construction.

The HMA shall be free of segregation and meet temperature requirements outlined in Section 401.04. Transverse joints of the wearing course shall be straight and neatly trimmed. The Contractor may form a vertical face exposing the full depth of the course by inserting a header, by breaking the bond with the underlying course, or by cutting back with hand tools.

The Contractor shall apply a coating of emulsified asphalt immediately before paving all joints to the vertical face and 3 in of the adjacent portion of any pavement being overlaid except those formed by pavers operating in echelon. The Contractor shall use an approved spray apparatus designed for covering a narrow surface. The Department may approve application by a brush for small surfaces, or in the event of a malfunction of the spray apparatus, but for a period of not more than one working day.

Where pavement under this contract joins an existing pavement, or when the Department directs, the Contractor shall cut the existing pavement along a smooth line, producing a neat, even, vertical joint. The Department will not permit broken or raveled edges. The cost of all work necessary for the preparation of joints is incidental to related contract pay items.

Longitudinal joints shall be generally straight to the line of travel, and constructed in a manner that best ensure joint integrity. Methods or activities that prove detrimental to the construction of straight, sound longitudinal joints will be discontinued.

The Contractor may utilize an approved notched wedge joint device on all HMA layers 1 ½ inches in depth or greater. A notched wedge joint shall be constructed as shown in Figure 1 using a device that is attached to the paver screed and is capable of independently adjusting the top and bottom vertical notches.

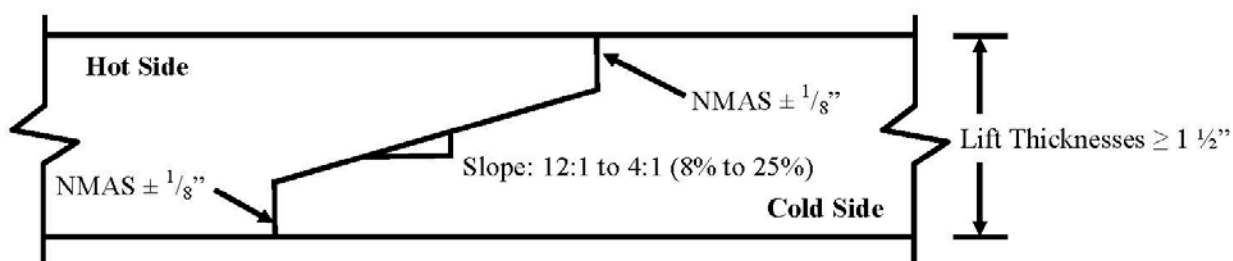


FIGURE 1: Notched Wedge Joint

Notes

1. An emulsified tack coat shall be applied to the vertical edges and the wedge surface so that the total rate is 0.05 G/SY plus the normal specified rate prior to placing the adjacent layer. The Contractor may elect to apply the emulsified tack coat in one or multiple passes.
2. Dimensions shown are compacted depths (after rolling is complete).

The Department reserves the right to have centerline cores cut by the Contractor's QC personnel for informational purposes to monitor the density along the joint. Informational cores at the centerline joint will be taken centered over the tapered part of the wedge joint.

Any notched wedge joint constructed areas that become cracked or broken shall be trimmed back to the limits affected prior to placing the adjoining lane. Any materials that become unbound or separated from the wedge or tapered joint section, or contaminated by materials determined by the Department as being detrimental to the

construction of a sound construction joint, shall be removed by sweeping, compressed air and lance, or by hand tools as required. This work, if necessary, will not be paid for directly, but shall be considered incidental to the related contract items.

The Contractor shall apply a coating of emulsified asphalt on the vertical and tapered surface of the longitudinal centerline joint immediately before paving if the notched wedge joint device is used. The total rate of application shall be 0.050 G/SY plus the normal specified tack coat rate. The Contractor shall use an approved spray apparatus designed for covering a narrow surface. The Department may approve application by a brush for small surfaces.

401.17 Hot Mix Asphalt Documentation The Contractor and the Department shall agree on the amount of Hot Mix Asphalt Pavement that has been placed each day. All delivery slips shall conform to the requirements of 401.073.

401.18 Quality Control Method A, B & C The Contractor shall operate in accordance with the approved Quality Control Plan (QCP) to assure a product meeting the contract requirements. The QCP shall meet the requirements of Section 106.6 - Acceptance and this Section. The Contractor shall not begin paving operations until the Department approves the QCP in writing.

Prepave Meeting Prior to placing any mix, the Department and the Contractor shall hold a Pre-paving conference to discuss the paving schedule, source of mix, type and amount of equipment to be used, sequence of paving pattern, rate of mix supply, random sampling, project lots and sublots and traffic control. A copy of the density QC random numbers to be used on the project shall be provided to the Resident. The Departments' random numbers for Acceptance testing shall be generated and on file with the Resident and the Project Manager. All personnel of the Department and the Contractor who have significant information relevant to the paving items shall attend, including the responsible onsite paving supervisor for the Contractor. The Resident will prepare minutes of the conference and distribute them to all attendees. Any requests to revise the minutes must be made to the Resident within 7 Days of Receipt. These minutes will constitute the final record of the Pre-paving conference.

On the first day of paving and whenever there is a change in the onsite paving foreman or paving inspector, the Department and the Contractor shall hold an informal onsite meeting to review the minutes of the Pre-paving conference, Project Specific QCP, Plans, Typical, Special Provisions and communication process. This meeting shall be held prior to placing any mix. The onsite paving supervisor, QCT, Superintendent, Resident and/or paving inspector shall attend.

Quality Control Plan The QCP shall address any items that affect the quality of the Hot Mix Asphalt Pavement including, but not limited to, the following:

- a. JMF(s)
- b. Hot mix asphalt plant details
- c. Stockpile Management (to include provisions for how the requirements of 401.071b will be met)
- d. Make and type of paver(s)
- e. Make and type of rollers including weight, weight per inch of steel wheels, and average contact pressure for pneumatic tired rollers
- f. Name of QCP Administrator, and certification number
- g. Name of Process Control Technician(s) and certification number(s)
- h. Name of Quality Control Technicians(s) and certification number(s)

- i. Mixing & transportation including process for ensuring that truck bodies are clean and free of debris or contamination that could adversely affect the finished pavement
- j. Testing Plan
- k. Laydown operations including longitudinal joint construction, procedures for avoiding paving in inclement weather, type of release agent to be used on trucks tools and rollers, compaction of shoulders, tacking of all joints, methods to ensure that segregation is minimized, procedures to determine the maximum rolling and paving speeds based on best engineering practices as well as past experience in achieving the best possible smoothness of the pavement. Solvent based agents developed to strip asphalts from aggregates will not be allowed as release agents.
- l. Examples of Quality Control forms including a daily plant report, daily paving report, and delivery slip template for any plant to be utilized.
- m. Silo management and details (can show storage for use on project of up to 36 hours)
- n. Provisions for varying mix temperature due to extraordinary conditions or production limitations. If a warm-mix technology is utilized, a proposed target production temperature range (not to exceed 50°F) will be provided for each mix design.
- o. Name and responsibilities of the Responsible onsite Paving Supervisor.
- p. Method for calibration/verification of Density Gauge
- q. A note that all testing will be done in accordance with AASHTO and the MaineDOT Policies and Procedures for HMA Sampling and Testing.
- r. A detailed description of RAP processing, stockpiling and introduction into the plant as well as a note detailing conditions under which the percent of RAP will vary from that specified on the JMF.
- s. A detailed procedure outlining when production will be halted due to QC or Acceptance testing results.
- t. A plan to address the change in PGAB source or supplier and the potential co-mingling of differing PGAB's.
- u. A procedure to take immediate possession of acceptance samples once released by MaineDOT and deliver said samples to the designated acceptance laboratory.
- v. Provisions for how the QCP will be communicated to the Contractor's field personnel

The QCP shall include the following technicians together with following minimum requirements:

- a. QCP Administrator - A qualified individual shall administer the QCP. The QCP Administrator must be a full-time employee of or a consultant engaged by the Contractor or paving subcontractor. The QCP Administrator shall have full authority to institute any and all actions necessary for the successful operation of the QCP. The QCP Administrator (or its designee in the QCP Administrator's absence) shall be available to communicate with the Department at all times. The QCP Administrator shall be certified as a Quality Assurance Technologist certified by the New England Transportation Technician Certification Program (NETTCP).
- b. Process Control Technician(s) (PCT) shall utilize test results and other quality control practices to assure the quality of aggregates and other mix components and control proportioning to meet the JMF(s). The PCT shall inspect all equipment used in mixing to assure it is operating properly and that mixing conforms to the mix design(s) and other Contract requirements, and that delivery slips and plant recordation accurately reflects the mix being produced with all the required information. The QCP shall detail how these duties and responsibilities are to be accomplished and documented, and whether more than one PCT is required. The Plan shall include the criteria to be utilized by the PCT to correct or reject unsatisfactory materials. The PCT shall be certified as a Plant Technician by the NETTCP.
- c. Quality Control Technician(s) (QCT) shall perform and utilize quality control tests at the job site to assure that delivered materials meet the requirements of the JMF(s). The QCT shall inspect all equipment

utilized in transporting, laydown, and compacting to assure it is operating properly and that all laydown and compaction conform to the Contract requirements. The QCP shall detail how these duties and responsibilities are to be accomplished and documented, and whether more than one QCT is required. The QCP shall include the criteria utilized by the QCT to correct or reject unsatisfactory materials. The QCT shall be certified as a Paving Inspector by the NETTCP.

The QCP shall detail the coordination of the activities of the Plan Administrator, the PCT and the QCT. The Project Superintendent shall be named in the QCP, and the responsibilities for successful implementation of the QCP shall be outlined.

Quality Control Testing The Contractor shall have a testing lab at the plant site, equipped with all testing equipment necessary to complete the tests in Table 4. The Contractor shall locate an approved Gyratory Compactor at the plant testing lab. The Contractor shall generate QC sampling random numbers for each approved mix design. A copy of the random numbers shall be emailed to the QC.mainedot@maine.gov email address and remain on-file (in print) and be available for inspection at the QC laboratory. The Contractor shall sample, test, and evaluate Hot Mix Asphalt Pavement in accordance with the following minimum frequencies per each approved mix design:

TABLE 4: MINIMUM QUALITY CONTROL FREQUENCIES

Test or Action	Frequency	Test Method
Temperature of mix	6 per day at street and plant	-
Temperature of mat	4 per day	-
%TMD (Surface)	1 per 125 ton	AASHTO T355 or AASHTO T343
%TMD (Base)	1 per 250 ton	AASHTO T355 or AASHTO T343
Fines / Effective Binder	1 per 500 ton	AASHTO T 312*
Gradation	1 per 500 ton	AASHTO T30
PGAB content	1 per 500 ton	AASHTO T164 or AASHTO T308
Voids at N_{design}	1 per 500 ton	AASHTO T 312*
Voids in Mineral Aggregate at N_{design}	1 per 500 ton	AASHTO T 312*
Rice Specific Gravity	1 per 500 ton	AASHTO T209
Coarse Aggregate Angularity	1 per 5,000 ton	ASTM D5821
Flat and Elongated Particles	1 Per 5,000 ton	ASTM D4791
Fine Aggregate Angularity	1 Per 5,000 ton	AASHTO T304

*Method A and B only

The Contractor shall monitor plant production on each approved mix design using running average of three control charts as specified in Section 106 - Quality. Control limits shall be as noted in Table 5 below. The UCL and LCL, shall not exceed the allowable gradation control points for the particular type of mixture as outlined in Table 1 of Section 703.09.

TABLE 5: CONTROL LIMITS

Property	UCL and LCL
Passing 4.75 mm and larger sieves	Target +/-4.0
Passing 2.36 mm sieve	Target +/-2.5
Passing 0.075 mm sieve	Target +/-1.0
PGAB Content*	Target +/-0.25
Voids in the Mineral Aggregate	LCL = LSL + 0.2
Air Voids	JMF Target +/-1.2
Theoretical Maximum Specific Gravity	JMF Target +/-0.020

*Based on AASHTO T 308

The Contractor shall submit all HMA QC test reports, inspection reports and updated control charts to the Resident and QC.mainedot@maine.gov by email. The HMA QC test reports, inspection reports and updated control charts shall be signed by the appropriate technician and be submitted to the Department by 1:00 P.M. on the next working day, except when otherwise noted in the QCP and approved by the Department.

The Contractor shall also retain splits of the previous 5 QC tests, with QC results enclosed for random selection and testing by the Department during inspections of the HMA production facility. Test results of splits that do not meet the Dispute Resolution Variance Limits in Table 15 shall trigger an investigation by the MaineDOT Independent Assurance Unit, and may result in that lab losing NETTCP certification and the ability to request a dispute [Section 401.223 - Process for Dispute Resolution (Methods A, B and C only)].

The Contractor shall make density test results, including randomly sampled densities, available to the Department onsite. Summaries of each day's results, including a daily paving report, shall be recorded and signed by the QCT and provided to the QC.mainedot@maine.gov email address and Resident in writing by 1:00 p.m. the next working day. The Contractor shall fill all holes in the pavement resulting from cutting cores by the Contractor or the Department with a properly compacted, acceptable mixture no later than the following working day. Before filling, the Contractor shall carefully clean the holes and apply a coating of emulsified asphalt. The Contractor may only cut additional cores for verification of the densometer, at a rate not to exceed 3 per day or 2 per 1000 ton placed.

If the Contractor's control chart shows the process for a given mix design to be out of control (defined as a single point outside of the control limits on the running average of three chart) on any property listed in Table 5: Control Limits, the Contractor shall notify the Resident of all affected projects in writing of the corrective action by 1:00 PM the next working day. The written description shall detail what action is being taken by the Contractor to bring the property in question back within control limits. Subsequent quality control results are expected to demonstrate an improvement and regression towards the aim. The Department reserves the right to take action, to include cessation of production, in the case of repeated results outside the Table 5 control chart control limits.

The Contractor shall cease paving operations whenever one of the following occurs:

- The quality level for density using all quality control tests for the current Lot is less than 60 PWL.
- The Coarse Aggregate Angularity or Fine Aggregate Angularity value falls below the requirements of Table 3: Aggregate Consensus Properties Criteria in Section 703.07 for the design traffic level.
- The Flat and Elongated Particles value exceeds 10% by ASTM D4791.
- There is any visible damage to the aggregate due to over-densification other than on variable depth shim courses.
- The Contractor fails to follow the approved QCP.

The Contractor shall notify the Resident in writing as to the reason for shutdown, as well as the corrective action, by the end of the work day. Failure to do so will be treated as a second incident under 106.4.6 QCP Non-compliance. The Department will only allow the continuation of paving operations when it is satisfied the corrective action will result in an improvement in results. The Department may require the submittal of a passing verification sample to allow further production. The Department retains the exclusive right, with the exception of the first day's production of a new JMF, to determine whether the resumption of production involves a significant change to the production process. If the Department so determines, then the current lot will be terminated, a pay factor established, and a new lot will begin.

The Contractor may utilize innovative equipment or techniques not addressed by the Contract documents to produce or monitor the production of the mix, subject to approval by the Department.

401.19 Quality Control Method D Unless otherwise noted in Section 403 - Hot Mix Asphalt Pavement, the Contractor shall submit a modified QC Plan detailing, how the mix is to be placed, what equipment is to be used, and what HMA plant is to be used for Items covered under Method D. All mix designs (JMF) shall be approved and verified by MaineDOT prior to use. Certified QC personnel shall not be required. The Contractor shall certify the mix and the test results for each item by a Certificate of Compliance.

401.20 Acceptance Method A, B & C These methods utilizes Quality Level Analysis and pay factor specifications. For Hot Mix Asphalt Pavement designated for acceptance under Quality Assurance provisions, the Department will sample once per subplot on a statistically random basis, test, and evaluate in accordance with the following Acceptance Criteria:

TABLE 6: ACCEPTANCE CRITERIA

Properties	Point of Sampling	Test Method
Gradation	Paver Hopper	AASHTO T30
PGAB Content	Paver Hopper	AASHTO T308
%TMD (Surface)	Mat behind all Rollers	AASHTO T269
%TMD (Base or Binder)	Mat behind all Rollers	AASHTO T269
Air Voids at N_d	Paver Hopper	AASHTO T 312
%VMA at N_d	Paver Hopper	AASHTO T 312
Fines to Effective Binder	Paver Hopper	AASHTO T 312
%VFB	Paver Hopper	AASHTO T 312

In the event the Department terminates a Lot prematurely but fails to obtain the required number of acceptance samples to calculate the volumetric property pay factor under the test method specified in the contract, the pay factor shall be calculated using the number of samples actually obtained from the contract. Should the number of acceptance samples taken total less than three, the resulting pay factor shall be 1.0 for mixture properties. A minimum of three cores will be used for a density pay factor using the contract's specified Acceptance method, if applicable, for quantities placed to date.

Should the Contractor request a termination of the Lot in progress prior to three acceptance samples being obtained, and the Department agrees to terminate the Lot, then the pay factor for mixture properties shall be 0.80. A minimum of three cores will be used to determine a density pay factor using the contract's specified Acceptance method, if applicable, for quantities placed to date.

Lot Size For purposes of evaluating all acceptance test properties, a lot shall consist of the total quantity represented by each item listed under the lot size heading.

Sublot size Refer to Sections 401.201, 401.202, and 401.203 for minimum size and number of sublots. The quantity represented by each sample will constitute a sublot.

If there is less than one-half of a sublot remaining at the end, then it shall be combined with the previous sublot. If there is more than one-half sublot remaining at the end, then it shall constitute the last sublot and shall be represented by test results. If it becomes apparent partway through a Lot that, due to an underrun, there will be insufficient mix quantity to obtain the minimum number of sublots needed, the Resident may adjust the size of the remaining sublots and select new sample locations based on the estimated quantity of material remaining in the Lot.

Acceptance Testing The Department will obtain samples of Hot Mix Asphalt Pavement in conformance with AASHTO T168 Sampling Bituminous Paving Mixtures, and the MaineDOT Policies and Procedures for HMA Sampling and Testing, which will then be transported by the Contractor to the designated MaineDOT Laboratory within 48 hours (except when otherwise noted in the project specific QCP and approved by the Department), as directed by MaineDOT in approved transport containers to be provided by the Department, unless otherwise directed by the Resident. Failure to deliver an acceptance sample to the designated acceptance laboratory will be considered the second incident under 106.4.6-QCP Non-Compliance.

The Department will take the sample randomly within each sublot. Target values shall be as specified in the JMF. The Department will use Table 6 for calculating pay factors for gradation, PGAB Content, Air Voids at N_{design} , VMA, Fines to Effective Binder and VFB. The Department will withhold reporting of the test results for the Acceptance sample until 7:00 AM, on the second working day of receipt of the sample, or after receipt of the Contractors results of the Acceptance sample split. Upon conclusion of each lot, where there is a minimum of four sublots, results shall be examined for statistical outliers, as stated in Section 106.7.2 - Statistical Outliers.

Isolated Areas During the course of inspection, should it appear that there is an isolated area that is not representative of the lot based on a lack of observed compactive effort, excessive segregation, a change in process or any other questionable practice, that area may be isolated and tested separately. An area so isolated that has a calculated pay factor below 0.80 for Method A and C or below 0.86 for Method B, based on three random tests shall be removed and replaced at the expense of the Contractor for the full lane width and a length not to be less than 150 ft.

Pavement Density The Department will measure pavement density using core samples tested according to AASHTO T-166. The Department will randomly determine core locations. The Contractor shall cut 6 inch diameter cores at no additional cost to the Department by the end of the working day following the day the pavement is placed, and immediately give them to the Department. Cores for Acceptance testing shall be cut such that the nearest edge is never within 9 inches of any joint. The cores will be placed in a transport container provided by the Department and transported by the Contractor to the designated MaineDOT Lab as directed by the Department. Pre-testing of the cores will not be allowed. At the time of sampling, the Contractor and the Department shall mutually determine if a core is damaged. If it is determined that the core(s) is damaged, the Contractor shall cut new core(s) at the same offset and within 3 ft of the initial sample. At the time the core is cut, the Contractor and the Department will mutually determine if saw cutting of the core is needed, and will mark the core at the point where sawing is needed. The core will be saw cut by the Department in a MaineDOT Lab without disturbing the layer being tested to remove lower layers of Hot Mix Asphalt Pavement, gravel, or RAP. No recuts are allowed at a test location after the core has been tested. Upon conclusion of each lot, density results shall be examined for statistical outliers as stated in Section 106.7.2.

On all sections of overlay with wearing courses designed to be 3/4 in or less in thickness, there shall be no pay adjustment for density otherwise noted in Section 403 - Hot Mix Asphalt Pavement. For overlays designed to be 3/4 in or less in thickness, density shall be obtained by the same rolling train and methods as used on mainline travelway surface courses with a pay adjustments for density, unless otherwise directed by the Department.

There shall be no pay adjustment for density on shoulders unless otherwise noted in Section 403 - Hot Mix Asphalt Pavement. Density for shoulders shall be obtained by the same rolling train and methods as used on mainline travelway, unless otherwise directed by the Department. Efforts to obtain optimum compaction will not be waived by the Department unless it is apparent during construction that local conditions make densification to this point detrimental to the finished pavement surface course.

The Contractor shall cease paving operations whenever one of the following occurs on a lot in progress:

- a. Method A: The Pay Factor for VMA, Voids @ Nd, Percent PGAB, composite gradation, VFB, fines to effective binder or density using all Acceptance tests for the current lot is less than 0.85.
- b. Method B: The Pay Factor for VMA, Voids @ Nd, Percent PGAB, composite gradation, VFB, fines to effective binder or density using all Acceptance tests for the current lot is less than 0.90.
- c. Method C: The Pay Factor for Percent PGAB, percent passing the nominal maximum sieve, percent passing 2.36 mm sieve, percent passing 0.300 mm sieve, percent passing 0.075 mm sieve or density using all Acceptance tests for the current lot is less than 0.85. No ceasing of paving operations shall be required for percent passing the nominal maximum sieve, percent passing 2.36 mm sieve, percent passing 0.300 mm sieve, or percent passing 0.075 mm sieve if the mean test value is equal to the LSL or USL and $s = 0$.
- d. Each of the first 2 Acceptance tests for a Method A or B lot fall outside the upper or lower limits for VMA, Voids @ Nd, or Percent PGAB; or under Method C, each of the first 2 Acceptance tests for the lot fall outside the upper or lower limits for the nominal maximum, 2.36 mm, 0.300 mm or 0.075 mm sieves, or percent PGAB.

In cases where the Contractor is to cease paving operations based upon an Acceptance result or payfactor, the Contractor will submit a corrective action plan to the Department. The Department will only allow the continuation of paving operations when it is satisfied the corrective action will result in an improvement in results. The Department may require the submittal of a passing verification sample to allow further production.

401.201 Method A Lot Size will be the entire production per JMF for the project, or if so agreed at the Pre-paving Conference, equal lots of up to 4500 tons, with unanticipated over-runs of up to 1500 ton rolled into the last lot. Sublot sizes shall be 750 ton for mixture properties, 500 ton for base or binder densities and 250 ton for surface densities. The minimum number of sublots for mixture properties shall be four, and the minimum number of sublots for density shall be five.

TABLE 7: METHOD A ACCEPTANCE LIMITS

Property	USL and LSL
Percent Passing 4.75 mm and larger sieves	Target +/-7%
Percent Passing 2.36 mm to 1.18 mm sieves	Target +/-4%
Percent Passing 0.60 mm	Target +/-3%
Percent Passing 0.30 mm to 0.075 mm sieve	Target +/-2%
PGAB Content	Target +/-0.4%
Air Voids	4.0% +/-1.5%
Fines to Effective Binder	0.9 +/-0.3
Voids in the Mineral Aggregate	LSL Only from Table 1
Voids Filled with Binder	Table 1 values plus a 4% production tolerance for USL only
% TMD (In-place Density)	95.0% +/- 2.5%

401.202 Method B Lot Size will be the entire production per JMF for the project and shall be divided into three equal sublots for Mixture Properties and five equal sublots for density.

TABLE 8: METHOD B ACCEPTANCE LIMITS

Property	USL and LSL
Percent Passing 4.75 mm and larger sieves	Target +/-7%
Percent Passing 2.36 mm to 1.18 mm sieves	Target +/-5%
Percent Passing 0.60 mm	Target +/-4%
Percent Passing 0.30 mm to 0.075 mm sieve	Target +/-3%
PGAB Content	Target +/-0.5%
Air Voids	4.0% +/-2.0%
Fines to Effective Binder	0.9 +/-0.3
Voids in the Mineral Aggregate	LSL from Table 1
Voids Filled with Binder	Table1 plus a 4% production tolerance for USL.
% TMD (In-place Density)	95.0% +/- 2.5%

401.203 Method C Lot Size will be the entire production per JMF for the project, or if so agreed at the Pre-paving Conference, equal lots of up to 4500 tons, with unanticipated over-runs of up to 1500 ton rolled into the last lot. Sublot sizes shall be 750 ton for mixture properties, 500 ton for base or binder densities and 250 ton for surface densities. The minimum number of sublots for mixture properties shall be four, and the minimum number of sublots for density shall be five.

TABLE 9: METHOD C ACCEPTANCE LIMITS

Property	USL and LSL
Passing 4.75 mm and larger sieves	Target +/-7%
Passing 2.36 mm to 1.18 mm sieves	Target +/-5%
Passing 0.60 mm	Target +/-4%
Passing 0.30 mm to 0.075 mm sieve	Target +/-2%
PGAB Content	Target +/-0.4%
% TMD (In place density)	95.0% +/- 2.5%

401.204 Method D For hot mix asphalt items designated as Method D in Section 403 - Hot Mix Asphalt Pavement, one sample will be taken from the paver hopper or the truck body per 250 ton per pay item. The mix will be tested for gradation and PGAB content. Disputes will not be allowed. If the mix is within the tolerances listed in Table 10: Method D Acceptance Limits, the Department will pay the contract unit price.

Contractor shall cut two 6 in cores, which shall be tested for percent TMD per AASHTO T-269 unless otherwise noted in Section 403 - Hot Mix Asphalt Pavement. If the average for the two tests falls below 92.5% the disincentive shall apply. If the test results for each 250 ton increment are outside these limits, the following deductions (Table 11) shall apply to the HMA quantity represented by the test.

TABLE 10: METHOD D ACCEPTANCE LIMITS

Property	USL and LSL
Percent Passing 4.75 mm and larger sieves	Target +/-7%
Percent Passing 2.36 mm to 1.18 mm sieves	Target +/-5%
Percent Passing 0.60 mm	Target +/-4%
Percent Passing 0.30 mm to 0.075 mm sieve	Target +/-3%
PGAB Content	Target +/-0.5%
% TMD (In-place Density)	95.0% +/- 2.5%

TABLE 11: METHOD "D" PRICE ADJUSTMENTS

Property	Pay Adjustment
PGAB Content	-5%
Percent Passing 2.36 mm sieve	-2%
Percent Passing 0.30 mm sieve	-1%
Percent Passing 0.075 mm sieve	-2%
% TMD (In-place Density)	-10%

401.21 Method of Measurement The Department will measure Hot Mix Asphalt Pavement by the ton in accordance with Section 108.1 - Measurement of Quantities for Payment.

401.22 Basis of Payment The Department will pay for the work, in place and accepted, in accordance with the applicable sections of this Section, for each type of HMA specified.

The Department will pay for the work specified in Section 401.12, for the HMA used, except that cleaning objectionable material from the pavement and furnishing and applying bituminous material to joints and contact surfaces is incidental. Payment for this work under the appropriate pay items shall be full compensation for all labor, equipment, materials, and incidentals necessary to meet all related contract requirements, including design of the JMF, implementation of the QCP, obtaining core samples, transporting cores and samples, filling core holes, applying emulsified asphalt to joints, and providing testing facilities and equipment.

The Department will make a pay adjustment for quality as specified below.

401.221 Pay Adjustment The Department will sample, test, and evaluate Hot Mix Asphalt Pavement in accordance with Section 106 - Quality and Section 401.20 - Acceptance, of this Specification.

In addition, for 9.5 mm NMAS mixtures the following pay adjustment shall also apply:

The average percent passing for the 0.075 mm sieve shall be evaluated for each Lot. If the average is greater than 6.5%, a pay adjustment according to Table 12 below shall apply in addition to the other pay adjustments for the given method of testing.

TABLE 12: 0.075 MM SIEVE PAY ADJUSTMENT

Average Percent Passing 0.075 mm Sieve	Pay Adjustment
6.6% - 7.0%	-5%
> 7.0%	-10%

The Department shall notify the Contractor whenever the average of at least three samples in a given Lot is greater than 6.5%.

401.222 Pay Factor (PF) The Department will use the following criteria for pay adjustment using the pay adjustment factors under Section 106.7 - Quality Level Analysis:

Density If the pay factor for Density falls below 0.80 for Method A or C or 0.86 for Method B, all of the cores will be randomly re-cut by Sublot. A new pay factor will be calculated that combines all initial and retest results. If the resulting pay factor is below 0.80 for Method A or C or below 0.86 for Method B, the entire Lot shall be removed and replaced with material meeting the specifications at no additional cost to the Department, except that the Department may, when it appears that there is a distinct pattern of defective material, isolate any defective material by investigating each mix sample sublot and require removal of defective mix sample sublots only, leaving any acceptable material in place if it is found to be free of defective material. Pay factors equal to or greater than the reject level will be paid accordingly.

Gradation For HMA evaluated under Acceptance Method A or B, the Department will determine a composite pay factor (CPF) using applicable price adjustment factors “f” from Table 13: Table of Gradation Composite “f” Factors, and Acceptance limits from Table 7: Method A Acceptance Limits, for Method A or Table 8: Method B Acceptance Limits, for Method B. The Department will not make price adjustments for gradation on Methods A and B except for 9.5mm NMAS mixtures as outlined in Table 12. Gradations for Methods A and B shall be monitored as shutdown criteria.

TABLE 13: TABLE OF GRADATION COMPOSITE "f" FACTORS (Methods A and B)

Constituent		"f" Factor			
		19 mm	12.5 mm	9.5 mm	4.75 mm
Gradation	25 mm	-	-	-	-
	19 mm	4	-	-	-
	12.5 mm		4	4	-
	9.50 mm				4
	2.36 mm	6	6	6	8
	1.18 mm				
	0.60 mm	2	2	2	2
	0.30 mm	2	2	2	2
	0.075 mm	6	6	6	8

For HMA evaluated under Acceptance Method C, the Department will determine a pay factor using acceptance limits from Table 9: Method C Acceptance Limits.

Mix Properties The Department will determine a pay factor (PF) using the applicable Acceptance Limits. If any single pay factor for PGAB Content, VMA, or Air Voids falls below 0.80 for Method A, then the composite pay factor for PGAB Content, VMA, and Air Voids shall be 0.55. If any single pay factor for PGAB Content, VMA, or Air Voids falls below 0.86 for Method B, then the composite pay factor for PGAB Content, VMA, and Air Voids shall be 0.70. If the PGAB content falls below 0.80 for Method C, then the PGAB pay factor shall be 0.55.

The following variables will be used for pay adjustment:

PA = Pay Adjustment
 Q = Quantity represented by PF in ton
 P = Contract price per ton
 PF = Pay Factor

Pay Adjustment Methods A, B & C The Department will determine a pay adjustment using Table 14: Pay Adjustment Calculations in conjunction with Tables 7, 8, & 9 as follows:

TABLE 14: PAY ADJUSTMENT CALCULATIONS

Acceptance Method	Mix Properties / Gradation	Density
METHOD A	$PA = (\text{voids @ } N_d \text{ PF} - 1.0)(Q)(P) \times 0.20 + (\text{VMA @ } N_d \text{ PF} - 1.0)(Q)(P) \times 0.20 + (\text{PGAB PF} - 1.0)(Q)(P) \times 0.10$	$PA = (\text{density PF} - 1.0)(Q)(P) \times 0.50$
METHOD B	$PA = (\text{voids @ } N_d \text{ PF} - 1.0)(Q)(P) \times 0.20 + (\text{VMA @ } N_d \text{ PF} - 1.0)(Q)(P) \times 0.20 + (\text{PGAB PF} - 1.0)(Q)(P) \times 0.10$	
METHOD C	$PA = (\% \text{ Passing Nom. Max PF} - 1.0)(Q)(P) \times 0.05 + (\% \text{ passing 2.36 mm PF} - 1.0)(Q)(P) \times 0.05 + (\% \text{ passing 0.30 mm PF} - 1.0)(Q)(P) \times 0.05 + (\% \text{ passing 0.075 mm PF} - 1.0)(Q)(P) \times 0.10 + (\text{PGAB PF} - 1.0)(Q)(P) \times 0.25$	

Pay Adjustment Method D The Department will use density, Performance Graded Asphalt Binder content, and the screen sizes listed in Table 10 for the type of HMA represented in the JMF. If test results do not meet the Table 10 requirements, deducts as shown in Table 11 shall be applied to the quantity of mix represented by the test.

401.223 Process for Dispute Resolution (Methods A, B, & C only)

a. Dispute Resolution sampling At the time of Hot-Mix Asphalt sampling, the Department will obtain a split sample of each Acceptance test random sample for possible dispute resolution testing. The Contractor shall also obtain a split sample of the HMA at this same time. If the Contractor wishes to retain the option of requesting dispute testing of the initial Acceptance sample, the Contractor will test their split of the Acceptance sample and shall report their results to the Resident, with a copy to Contractor.mainedot@maine.gov by 7:00 AM, on the second working day from time of QA sampling, otherwise dispute resolution will not be initiated. The Department's dispute resolution split sample will be properly labeled and stored for a period of at least two weeks after it has been reported, or until the sample is tested.

b. Disputing Acceptance results The Contractor may dispute the Department's Acceptance results and request (Methods A, B, & C) that the dispute resolution split sample be tested by notifying the Department's Resident and the QA Engineer in writing within two working days after the results of the Acceptance test are reported. The following shall be provided in the request:

- Acceptance sample reference number
- The specific test result(s) or property(ies) being disputed, and
- The complete, signed report of the Contractor's testing (In a lab certified by the NETTCP and MaineDOT) of their split of the Acceptance sample indicating that the variances in Table 15: Dispute Resolution Variance Limits, for the specific test result(s) or property(ies) were exceeded.

c. Disputable items For Methods A and B: The Contractor may dispute any or all of the following test results when the difference between the Department's value and the Contractor's value for that test equals or exceeds the corresponding allowable variation in Table 15: Dispute Resolution Variance Limits, PGAB content, G_{mb} , and G_{mm} . In addition, if the allowable variation for the G_{mb} or G_{mm} is not met or exceeded, the Contractor may dispute either or both of the following material properties provided the difference between results for them equals or exceeds the corresponding allowable variation in Table 15: Voids at N_{design} , and VMA. The Contractor may dispute the 0.075 mm sieve test result when a 9.5 mm NMAS mixture is used.

For Method C only: The results for PGAB content and the screen sizes used for pay adjustment may be disputed.

d. Outcome The value of any disputed result or property reported for the initial Acceptance sample shall stand if the value reported for the dispute resolution sample is not closer to the value the Contractor reported for their split sample than to the value reported for the initial Acceptance sample. If the value reported for the dispute resolution falls precisely half-way between the other two values the value reported for the dispute resolution will replace the original acceptance value. Otherwise, the value reported for the dispute resolution sample will replace the value reported for the initial Acceptance sample, and will be used to re-calculate any other affected results or properties.

TABLE 15: DISPUTE RESOLUTION VARIANCE LIMITS

Property	Variance Limits
PGAB Content	+/-0.4%
G_{mb}	+/-0.030
G_{mm}	+/-0.020
Voids @ N_d	+/-0.8%
VMA	+/-0.8%
Passing 4.75 mm and larger sieves	+/- 4.0%
Passing 2.36 mm to 0.60 mm sieves	+/- 3.0%
Passing 0.30 mm to 0.15	+/- 2.0 %
0.075 mm sieve	+/- 0.8%

SECTION 402 - PAVEMENT SMOOTHNESS

402.00 Smoothness Projects Projects to have their pavement smoothness analyzed in accordance with this Specification will be so noted in Special Provision 403 - Hot Mix Asphalt Pavement.

402.01 Pavement Smoothness The final pavement surface shall be evaluated for smoothness using a Class I or Class II profiler as defined by ASTM E950 (94). Smoothness measurements will be expressed in terms of the International Roughness Index (IRI) as defined by the World Bank, in units of inches/mile.

402.02 Lot Size Lot size for smoothness will be 3000 lane-feet. A subplot will consist of 50 lane-feet. Partial lots will be included in the previous lot if less than one-half the size of a normal lot. If equal to or greater than one-half the normal lot size, it will be tested as a separate lot.

402.03 Acceptance Testing The Department will conduct Acceptance testing following completion of the surface course. Sections to be excluded from testing include the following:

- Bridge decks and joints (no smoothness measurements will be taken within 100 ft of bridge joints)
- Acceleration and deceleration lanes
- Shoulders and ramps
- Side streets and roads
- Within 100 ft of transverse joints at the beginning and end of the project
- Within 100 ft of railroad crossings
- Urban areas with speed limits of 30 mph or lower

Each lot shall have 2 measurements made in each wheel path. The average of the 4 measurements will determine the smoothness for that lot. The smoothness measurements will be statistically evaluated for pay factors as described in Subsection 106.7 - Quality Level Analysis, using the specification limits shown below.

TABLE 1: ACCEPTANCE LIMITS

Level	USL
I	50 in/mile
II	60 in/mile
III	70 in/mile

Computation of Smoothness Pay Adjustment:

$$PA = (PF-1.0)(Q)(P)$$

where:

Q = Quantity of surface course in the Lot (excluding shoulders, side streets, bridge decks, ramps, acceleration and deceleration lanes)

PF = smoothness pay factor for the Lot

P = Contract unit price for surface pavement

PA = pay adjustment

402.04 Unacceptable Work In the event that any Lot is found to have a pay factor less than 0.80, the Contractor shall take whatever remedial action is required to correct the pavement surface in that Lot at no additional expense to the Department. Such remedial action may include but is not limited to removal and replacement of the unacceptable pavement. In the event remedial action is necessary, the Contractor shall submit a written plan to the Resident outlining the scope of the remedial work. The Resident must approve this

plan before the remedial work can begin. Following remedial work, the Lot shall be retested, and will be subject to the specification limits listed above. The resulting pay factor, if within the acceptable range, will be used in the final pay adjustment. The Contractor shall pay the cost of retesting the pavement following corrective action.

Localized surface tolerance defects will be subject to the provisions outlined in Section 401.11 Surface Tolerances.

Payment will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
402.10 Incentive/Disincentive - Pavement Smoothness	Lump Sum

SECTION 403 - HOT MIX ASPHALT PAVEMENT

403.01 Description This work shall consist of constructing one or more courses of Hot Mix Asphalt pavement on an approved base in accordance with these specifications, and in reasonably close conformity with the lines, grades, thickness and typical cross sections shown on the plans or established. The HMA pavement shall be composed of a mixture of aggregate, filler if required, and asphalt material.

403.02 General The materials and their use shall conform to the requirements of Section 401 - Hot Mix Asphalt Pavement.

403.03 Construction The construction requirements shall be as specified in Section 401 - Hot Mix Asphalt Pavement.

403.04 Method of Measurement Hot mix asphalt pavement will be measured as specified in Section 401.21-Method of Measurement.

403.05 Basis of Payment The accepted quantities of hot mix asphalt pavement will be paid for at the contract unit price per ton for the mixtures, including hot mix asphalt material complete in place. Method A, Method B, Method C and Method D shall be used for acceptance as specified in Section 401 - Hot Mix Asphalt Pavements. (See Complementary Notes, Section 403 - Hot Mix Asphalt Pavement, for Method location).

Payment will be made under:

	<u>Pay Item</u>	<u>Pay Unit</u>
403.102	Hot Mix Asphalt Pavement for Special Areas	Ton
403.206	Hot Mix Asphalt, 25 mm Nominal Maximum Size	Ton
403.207	Hot Mix Asphalt, 19.0 mm Nominal Maximum Size	Ton
403.2071	Hot Mix Asphalt , 19.0 mm Nominal Maximum Size (Polymer Modified)	Ton
403.2072	Asphalt Rich Hot Mix Asphalt, 19.0 mm Nominal Maximum Size (Asphalt Rich Base and Intermediate course)	Ton
403.208	Hot Mix Asphalt, 12.5 mm Nominal Maximum Size	Ton
403.2081	Hot Mix Asphalt - 12.5 mm Nominal Maximum Size (Polymer Modified)	Ton

403.209	Hot Mix Asphalt, 9.5 mm Nominal Maximum Size (sidewalks, drives, islands & incidentals)	Ton
403.210	Hot Mix Asphalt, 9.5 mm Nominal Maximum Size	Ton
403.2101	Hot Mix Asphalt, 9.5 mm Nominal Maximum Size (Polymer Modified)	Ton
403.2102	Asphalt Rich Hot Mix Asphalt, 9.5 mm Nominal Maximum Size (Asphalt Rich Intermediate course)	Ton
403.2104	Hot Mix Asphalt, 9.5 mm Nominal Maximum Size (Thin Lift Surface Treatment)	Ton
403.211	Hot Mix Asphalt, 9.5 mm Nominal Maximum Size (Shimming)	Ton
403.2111	Hot Mix Asphalt, 9.5 mm Nominal Maximum Size (Shimming, Polymer Modified))	Ton
403.212	Hot Mix Asphalt, 4.75 mm Nominal Maximum Size	Ton
403.213	Hot Mix Asphalt, 12.5 mm Nominal Maximum Size (Base and Intermediate Base course)	Ton
403.2131	Hot Mix Asphalt, 12.5 mm Nominal Maximum Size (Base and Intermediate Base course, Polymer Modified)	Ton
403.2132	Asphalt Rich Hot Mix Asphalt, 12.5 mm Nominal Maximum Size (Base and Intermediate Base course)	Ton
403.214	Hot Mix Asphalt, 4.75 Nominal Maximum Size (5/8" Surface Treatment)	Ton

SPECIAL PROVISION
SECTION 401
 HOT MIX ASPHALT PAVEMENT

401 HOT MIX ASPHALT LONGITUDINAL JOINT DENSITY

401.30 Description The Department will measure the pavement density of longitudinal joints constructed between adjoining travel lanes. Core samples shall be tested according to AASHTO T-166. The Department will randomly determine core locations. The Contractor shall cut 6 in diameter cores at no additional cost to the Department by the end of the working day following the day the pavement is placed, and immediately give them to the Department. The cores will be placed in a transport container provided by the Department and transported by the Contractor to the designated MaineDOT Lab as directed by the Department. Pre-testing of the acceptance cores will not be allowed. At the time of sampling, the Contractor and the Department shall mutually determine if a core is damaged. If it is determined that the core(s) is damaged, the Contractor shall cut new core(s) at the same offset and within 3 ft of the initial sample. At the time the core is cut, the Contractor and the Department will mutually determine if saw cutting of the core is needed, and will mark the core at the point where sawing is needed. The core may be saw cut by the Contractor in the Department's presence onsite, or in a MaineDOT Lab by the Department, without disturbing the layer being tested to remove lower layers of Hot Mix Asphalt Pavement, gravel, or RAP. No recuts are allowed at a test location after the core has been tested.

Cores shall be taken directly over the construction joint. Should the notched wedge joint device be used, the cores shall be cut directly over the center of the taper portion of the wedge (approximately centered 3" from the visible joint).

As part of the project specific QCP, the Contractor shall include details as to methods of construction, rolling and compaction efforts, and action plan to adjust methods or equipment should the Quality level fall below 50 percent within limits. The Contractor shall be required to measure the joint density at randomly selected locations with a minimum frequency of one measurement per 750 linear feet. The Contractor shall have the option to cut calibration/verification cores at a rate not to exceed 1 per day.

If the Quality level for density falls below 50 percent within limits, the Contractor shall cease placement operations and submit a corrective action letter to the Department before proceeding with the Lot or before starting a new Lot. The Department will respond and either accept or reject the Contractor's proposed corrective action. If the Department accepts the corrective action, three stratified verification cores will be taken from the first 1500 foot section of centerline constructed for the purpose of evaluating the corrective action. These cores will be in addition to any Acceptance cores that may be designated in this area. The results from these cores shall be combined with the cores from the Lot in progress. Should the combined Quality level for density show an improvement, the Department will accept the corrective action and normal Acceptance sampling frequency shall resume. If an improvement has not been made to the combined Quality level for density, the Contractor shall cease production and submit an additional corrective action letter for consideration.

401.31 Acceptance This method utilizes Quality Level Analysis and pay factor specifications as described in Section 106. For Hot Mix Asphalt Pavement designated for acceptance under Quality Assurance provisions, the Department will sample once per subplot on a statistically random basis, test, and evaluate in accordance with the following Acceptance Criteria:

Lot size will be the entire length of longitudinal joint for the given HMA layer for the project, or equal Lots of a size agreed upon at the Pre-paving conference. The maximum subplot size shall be 2000 linear feet of longitudinal joint for density and the minimum number of sublots for any Lot shall be five. The Lot will be divided up into sublots of equal length. There shall be a separate Lot for each lift of HMA pavement, and Lots shall not be comprised of results from more than one HMA layer.

The Department will determine a pay factor using acceptance limits from Table 1.

TABLE 1: LONGITUDINAL JOINT DENSITY ACCEPTANCE LIMITS

PROPERTY	LSL
% TMD (In-place density)*	91.0

* The Theoretical Maximum Density will be determined from the average of the Gmm values used to determine the percent compaction of the nearest acceptance cores on either side of the Centerline Joint Core from each adjacent mat.

The Department will calculate the Pay Adjustment for Centerline Joint Density as follows:

Where

$$PA = (\text{joint density PF} - 1.0)(Q)(P) \times 0.40$$

PA = Pay Adjustment
 Q = Quantity of traveled way pavement represented by PF in tons
 P = Contract price per ton
 PF = Pay Factor

If the joint density Pay Factor is less than 0.88, the Pay Adjustment shall be:

$$PA = (-0.05)(Q)(P)$$

Madrid - Phillips, Madrid, Phillips
 STP-1824(700), STP-2261(500), STP-2261(600), 2310000, 2310200
 Route 4, Weymouth & Wing Bridge, Meeting Place & Dill Bridge
 Reconstruction, Bridge Replacements, & Bridge Wearing Surfaces
 February 19, 2019

SPECIAL PROVISION

SECTION 403

HOT MIX ASPHALT

Desc. Of Course	Grad Design.	Item Number	Total Thick	No. Of Layers	Comp. Notes
<u>Route 4 - 5" HMA Overlay Areas</u>					
<u>Mainline Travelway & Shoulders (As Indicated in Typical)</u>					
Wearing	12.5 mm	403.2081	1 ½"	1	5,7,19,22,26,28,30
Intermediate	12.5 mm	403.213	1 ½"	1	1,4,7,15,26
Base	12.5 mm	403.213	2"	1	1,4,7,15,26
<u>Route 4 - 1 ½" Mill & 1 ½" Overlay Areas</u>					
Wearing	12.5 mm	403.2081	1 ½"	1	5,7,19,22,26,28,30
Shim	9.5 mm	403.211	variable	1/more	1,2,4,11,14
<u>Bridge Deck Wearing Surface Replacements - 3" HMA Overlay Areas</u>					
<u>Mainline Travelway & Shoulders (As Indicated in Typical)</u>					
Wearing	12.5 mm	403.2081	1 ½"	1	2,5,7,28,30
Base	12.5 mm	403.213	1 ½"	1	2,4,7
<u>Sideroads & Small Falls Entrance/Shoulder Parking - 5" HMA Overlay Areas</u>					
<u>(As Indicated in Typical)</u>					
Wearing	12.5 mm	403.2081	1 ½"	1	5,7,24,28,30
Intermediate	12.5 mm	403.213	1 ½"	1	1,4,7,15,24
Base	12.5 mm	403.213	2"	1	1,4,7,15,24
<u>Shoulder Pull Off Areas</u>					
<u>Mainline Travelway (As Indicated in Typical)</u>					
Wearing	12.5 mm	403.2081	1 ½"	1	2,5,7,17,28,30
Intermediate	12.5 mm	403.213	1 ½"	1	1,2,4,7,17
Base	12.5 mm	403.213	2"	1	1,2,4,7,17
<u>Drives, Misc. (As Directed)</u>					
Wearing	9.5 mm	403.209	2" – 3"	1/more	2,3,10,11,14,16

COMPLEMENTARY NOTES

1. The required PGAB for this mixture will meet a **PG 64-28** grading.
2. The incentive/disincentive provisions for density shall not apply. Rollers shall meet the requirements of this special provision. The use of an oscillating steel roller shall be required to compact all mixtures pavements placed on bridge decks.
3. The design traffic level for mix placed shall be <0.3 million ESALS. The design, verification, Quality Control, and Acceptance tests for this mix will be performed at **65 gyrations**.
4. The design traffic level for mix placed shall be 0.3 to <3 million ESALS. The design, verification, Quality Control, and Acceptance tests for this mix will be performed at **65 gyrations**.
5. The aggregate qualities shall meet the design traffic level of 3 to <10 million ESALS for mix placed under this contract. The design, verification, Quality Control, and Acceptance tests for this mix will be performed at **65 gyrations**.
7. Section 106.6 Acceptance, (1) Method A.
10. Section 106.6 Acceptance, (2) Method D.
11. The combined aggregate gradation required for this item shall be classified as a 9.5mm **"fine graded"** mixture, (using the Primary Control Sieve control point) as defined in 703.09.

**Madrid - Phillips, Madrid, Phillips
STP-1824(700), STP-2261(500), STP-2261(600), 2310000, 2310200
Route 4, Weymouth & Wing Bridge, Meeting Place & Dill Bridge
Reconstruction, Bridge Replacements, & Bridge Wearing Surfaces
February 19, 2019**

14. The combined aggregate gradation required for this item shall be classified as a 9.5mm Thin Lift Mixture (TLM) mixture, using the Aggregate Gradation Control Points as defined in 703.09.
15. The entire HMA base pavement section (consisting of all base & intermediate layers) shall be completed before winter suspension. Any surface or base HMA placed after the seasonal limitations shall be considered temporary and removed and replaced the following construction season. The Department will not be responsible for costs or time related to the placement, removal or replacement of temporary pavement.
16. Compaction of the new Hot Mix Asphalt Pavement will be obtained using a minimal roller train consisting of a **3-5 ton** vibratory roller. Areas less than 2 feet wide shall be compacted with a minimum of a **150 pound** plate compactor. An approved release agent is required to ensure the mixture does not adhere to hand tools, rollers, pavers, and truck bodies. The use of petroleum based fuel oils, or asphalt stripping solvents will not be permitted.
17. Compaction of the new Hot Mix Asphalt Pavement will be obtained using a minimal roller train consisting of a **10 ton** vibratory, **12 ton** pneumatic, and a **10 ton** finish roller for roadway work. A daily paving report, summarizing the mixture type, mixture temperature, equipment used, environmental conditions, and number of roller passes, shall be recorded and signed by the QCT and presented to the Department's representative by the end of the working day. An approved release agent is required to ensure the mixture does not adhere to hand tools, rollers, pavers, and truck bodies. The use of petroleum based fuel oils, or asphalt stripping solvents will not be permitted.
19. The Contractor may, at their option, use a Material Transfer Vehicle (MTV) for **all mainline travelway and adjacent shoulders surface course** if paved in the same operation. See Special Provision 401 – Material Transfer Vehicle for specifics.
22. The final pavement surface shall be evaluated for smoothness in accordance with the most current 400 Standard Specification section 402 – Pavement Smoothness. Acceptance limits shall be as outlined under the **Level II** classification.
24. The entire roadway (from curb to curb, including parking areas and bike lanes) shall be considered mainline travelway for density testing purposes according to the specified testing method.
26. Centerline joint density testing shall be applied to the specified HMA layer. See Special Provision 401 – Hot Mix Asphalt Longitudinal Joint Density for project specifics.
28. The mixture shall meet the minimum requirements of Special Provision 401 – HMA Hamburg Wheel Tracker Specification.
30. The required PGAB shall be a storage-stable, homogeneous, polymer modified asphalt binder that meets **PG 64E-28** grading requirements in AASHTO M 332. All polymer modified asphalt grades utilized on the Project shall be treated with an approved liquid anti-strip. PG binders shall be treated either at the asphalt source terminal with the required dose rate on the delivery documentation, or at the hot mix asphalt plant utilizing a system integrated with the plants controls that will introduce a minimum 0.50 percent anti-strip by weight of asphalt binder used unless a rate is otherwise recommended by the anti-strip manufacturer. The PGAB and anti-strip blend shall meet the **PG 64E-28** requirements. The Contractor shall provide supporting test data showing the PGAB and anti-strip blend meet the required criteria.

Tack Coat

A tack coat of emulsified asphalt, RS-1, RS-1h, CRS-1 or CRS-1h, Item 409.15 shall be applied to any existing pavement at a rate of approximately 0.030 gal/yd², and on milled pavement approximately 0.05 gal/yd² prior to placing a new course. A fog coat of emulsified asphalt shall be applied between shim /base courses and surface course as well as to any bridge membrane prior to the placement of HMA layers at a rate not to exceed 0.030 gal/yd². Tack used will be paid for at the contract unit price for Item 409.15 Bituminous Tack Coat.

SPECIAL PROVISION
SECTION 461
LIGHT CAPITAL PAVEMENT
(Temporary Pavement)

Description:

This work shall consist of furnishing all labor, materials and equipment, for the manufacturing, installation and removal of all Temporary Pavement in accordance with these specifications, Special Provision 403 Hot Mix Asphalt, and the Plans. Temporary pavement shall meet all mix design requirements of a 12.5 mm surface mix for the top 1½ inches, and a 12.5 mm base mix for the remaining 1½ inches.

Method of Measurement:

This work will be measured for payment by the Ton, complete in place and accepted.

Basis of Payment:

The work shall be paid for at the contract Ton price for the manufacturing, installation and removal of all Temporary Pavement.

Payment will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
461.131 Temporary Pavement	Ton

SPECIAL PROVISION
SECTION 501
FOUNDATION PILES
(Rock-Socketed H-Pile Foundation)

501.01 Description. The following is added to Subsection 501.01 of the Standard Specifications:

This work shall consist of providing all materials, equipment, and labor necessary for construction of Rock-Socketed H-pile Foundations as shown on the Plans, or as directed or authorized by the Resident. Construction of Rock-Socketed H-Pile Foundations shall be as specified in Section 501 of the Standard Specifications, except as amended herein.

501.02 Materials. The following is added to Subsection 501.02 of the Standard Specifications:

H-pile bearing plate material shall be ASTM A572 Grade 50 minimum. Bearing plate to pile fastening shall occur by welding the bearing plate to the pile web and flanges.

The Contractor shall select grout material supplied from a MaineDOT approved ready mixed concrete batch plant. Ready mixed grout shall achieve a design compressive strength of 6,000 psi at 28 days, have an entrained air content between 6.0 and 9.0 percent, be non-shrink, flowable, and contain a non-shrink additive listed on the MaineDOT QPL for expansive cements. The Contractor shall submit the proposed grout mix design to the Resident for approval. Grout mix design shall contain no aggregate larger than 0.375 (3/8) inch.

Aggregate for filling the annular space between the H-pile and bedrock or temporary casing and between the grout and the concrete pile jacket shall meet the requirements of Subsection 703.22, Underdrain Backfill Material, Type C. The backfill material shall meet the electrochemical requirements of Subsection 677.048 (C) of the Standard Specifications.

501.03 Quality Control Plan. The following is added to Subsection 501.03 of the Standard Specifications:

At least 21 days prior to beginning construction of the Rock-Socketed H-pile Foundation, the Contractor shall submit an Installation and Quality Control Plan for review by the Resident. Standard Specification 105.7 shall govern the review process.

The Installation and Quality Control Plan shall include the following:

- A. List of proposed equipment to be used including drilling equipment, drills, drill bits, augers, buckets, casing, final cleaning equipment, water recovery and treatment equipment, rock coring equipment, tremies or grout pumps, etc.
- B. Details and methods for welding the bearing plate to the pile.
- C. Details of the overall Rock-Socketed H-Pile Foundation construction operation sequence.

- D. Details of excavation methods in soils and bedrock, including methods of removing any obstructions such as boulders or cobbles.
- E. Details of equipment and methods to clean bedrock-sockets.
- F. Details of installing H-piles and supporting H-piles vertically and laterally in their final positions until the abutment is complete and in place.
- G. Details of the grout mix design, placement methods, and grout placement quantity control.
- H. Sample daily construction records.
- I. Sample drilling and grout logs.

501.042 Equipment. The following is added to Subsection 501.042:

Rock Sockets. Drilling of bedrock-sockets for Rock-Socketed H-Pile Foundation shall use cased-hole drilling methods. The Contractor shall select excavation equipment and rock core tooling that achieves a near planar rock-socket bottom.

The excavation and drilling equipment shall have adequate capacity including power, torque, and down thrust to excavate a hole at least 20 percent beyond the depth and diameter indicated on the Plans. When the material encountered cannot be drilled using conventional earth augers with soil or rock teeth and drill buckets, the Contractor shall provide drilling equipment including, but not limited to, rock core barrels, rock tools, air tools, and other equipment as necessary to construct the excavation to the size and depth required.

Failure by the Contractor to demonstrate adequate methods and equipment resulting in work not meeting this specification will require the Contractor to propose alterations in equipment and/or methods to achieve satisfactory results. Any altered methods or construction equipment shall be at the Contractor's expense and incidental to this item.

The Contractor shall perform the excavations required for bedrock-sockets as shown on the Plans, through all materials encountered, to the dimensions and elevations shown on the Plans, or otherwise required by the Contract Documents.

501.043 Location and Alignment Tolerances. The following is added to Subsection 501.043 of the Standard Specifications:

The Contractor shall hold the piles in place within allowable tolerances. Piles shall be braced or suspended, or both, to ensure vertical and horizontal alignment.

The maximum elevation deviation between each quadrant of the bedrock-socket is 0.5 inches. The highest point of the unexcavated bedrock after all residual material is removed from within the bedrock-socket footprint is considered the bedrock-socket bottom elevation and shall not be above the depth shown on the Plans.

The Contractor shall demonstrate that a minimum of 50 percent of the base of each socket shall have less than 0.5 inches of sediment at the time of placement of the grout. The use of a weighted

tape, solid rod, or other suitable methods detailed in the Installation and Quality Control Plan and accepted by the Resident shall determine sediment thickness.

The Contractor shall support the pile bearing plate between 6 and 12 inches above the bedrock- socket bottom.

The distance between the abutment and grout shall not be less than that shown on the Plans. The maximum deviation of the vertical dimensions of the grout column from that shown on the Plans is 2 inches.

501.047 Splicing Piles. The following is added to Subsection 501.047 of the Standard Specifications:

Splicing of H-piles for Rock-Socketed H-Pile Foundation is prohibited, unless the pile length required to achieve the minimum rock socket shown in the Plans exceeds 21 feet once the rock socket is cored. Splices shall be prohibited from the top 5 feet of the pile immediately below the abutment cap.

501.049 Drilling and Rock-Socket Excavation. The following is added to Subsection 501.049 of the Standard Specifications:

The Contractor shall make bedrock excavations at locations and to the elevations and dimensions shown on the Plans. Bedrock-socket bottom elevations may be adjusted when the Resident determines that the material encountered during excavation is unsuitable or differs from that anticipated in the design of the Rock-Socketed H-Pile Foundation.

The Contractor shall advance the casing into bedrock to create a positive seal at the bottom of the casing to prevent entry of soil and groundwater into the bedrock socket. Vertically split seamed break-away style casing is prohibited. The excavation shall then continue into bedrock as an uncased or cased rock-socket of the length and diameter indicated on the Plans. The rock-socket shall not be constructed until the casing is sealed in bedrock and until the casing is checked for plumbness. Excavation of the rock-socket shall provide a cylindrical opening no less than the diameter indicated and to the full-depth shown on the Plans, or to the depth directed by the Resident. The Contractor shall avoid overbreakage of the rock surface to preserve the seal at the bottom of the casing. The constructed rock-socket bottom shall meet the allowable tolerances and have a planar, or nearly planar, bottom.

The Contractor shall maintain a construction method log during socket drilling and excavation. The log shall contain information such as the; project, date, start time, stop time, personnel, weather, pile identification, drilling methods, drilling resistance, cleaning methods, obstructions, and seepage of groundwater through casing/bedrock seal.

The Contractor shall maintain a grout placement log during grout placement. The log shall contain information such as the; project, date, start time, stop time, personnel, and weather. Grout placement logs for each pile or placement shall include the pile identification, quantity

of grout placed, final elevation of the top of the grout placement, and grout flow or placement rate.

The Contractor shall dispose of excavated materials removed from socket excavations in accordance with the applicable specifications for disposal of excavated materials. Cleaning of the bedrock-socket may be performed by cleanout auger or bucket, reverse circulation, air-lifting, or vacuum methods.

The Contractor shall perform the necessary excavation for the Rock-Socketed H-pile Foundation under this item. No separate payment will be made for excavation of materials of different densities or employment of special tools and procedures necessary to accomplish the excavation. Blasting is prohibited.

The Contractor shall provide access and equipment for checking the alignment of the casing and for checking the dimension, alignment, and cleanliness of the rock socket. Weighted tape or other approved methods shall determine final pile and socket depths after final cleaning. The Contractor shall demonstrate socket cleanliness to the Resident prior to placing grout. Grout placement shall not begin until the Resident's approval is obtained.

501.050 Obstructions

The Contractor shall remove surface and subsurface obstructions at the pile locations. Obstructions may include man made materials such as old timber piles or foundations and natural materials such as boulders. The Contractor shall clear obstructions by conventional excavation methods, special procedures and/or tools after the casing cannot be advanced using conventional augers fitted with soil teeth, rock teeth, or drilling buckets. Such special procedures/tools include, but are not limited to; chisels, boulder breakers, core barrels, and air tools. Compacted suitable backfill, as determined by the Resident, shall replace materials removed by excavation methods. The removal of obstructions to construct Rock-Socketed H-pile Foundations will be considered incidental to this item.

Obstructions shall not include drilling tools that are lost in the excavation. The Contractor shall promptly remove lost drilling tools without compensation. All costs due to lost tool removal are the Contractor's.

501.051 H-Pile and Grout Installation

The H-pile shall be lowered into the cased-hole and supported 6 to 12 inches above the clean bottom of the bedrock-socket. The socket shall then be filled with grout. "Wet sticking", placing the pile into wet grout, is prohibited. The Contractor shall support the H-Piles vertically and laterally in their final positions until the abutment is complete and in place.

Rock-Socketed H-Pile Foundation grout and the grout mix shall conform to the materials portion of this provision. Grout placement shall utilize tremie methods by introducing the grout below the bearing plate in a continuous stream until the grout has filled the rock socket to the

level indicated on the plans. Displaced groundwater exiting the top of the casing shall be controlled and treated as necessary to protect adjacent water resources.

The cased hole shall be filled with aggregate conforming to the materials portion of this provision. The aggregate shall be dropped. The casing may be withdrawn as aggregate is placed provided no damage to the grout column or pile occurs. The Contractor shall maintain the bottom elevation of the casing a minimum of 24 inches below the aggregate as the aggregate is dropped and the casing is withdrawn. At a minimum, the Contractor shall place aggregate to the bottom elevation of the concrete pile jacket.

After installation of piles, Contractor shall protect the piles from impact or other disturbance during subsequent construction operations. Additional length of pile, bracing, and other incidentals associated with protecting the installed piles and maintaining the vertical and lateral positions of the piles will be considered incidental to related items.

501.05 Method of Measurement. The following is added to subsection 501.05 of the Standard Specifications:

- a) Drilling Equipment Mobilization Rock-Socketed H-Piles. Mobilization of drilling equipment for Rock-Socketed H-Piles shall be as outlined in Subsection 501.05(a), Equipment Mobilization of the Standard Specifications.
- b) Piles Furnished. Furnishing of H-piles for Rock-Socketed H-Pile Foundation shall be as outlined in Subsection 501.05 (b), Piles Furnished of the Standard Specifications.
- c) Piles in Place. Method of measurement for constructing Rock-Socketed H-pile Foundation as described in this Section shall be measured by the linear foot of piles in place. Method of measurement shall include all materials, excavation, construction methods, and incidentals necessary to complete the work, as described herein.

501.06 Basis of Payment. The following is added to subsection 501.06:

The accepted quantity of Rock Socketed H-Piles, In Place, will be paid for at the Contract Unit Price per linear foot, complete and in place. Such payment will be full compensation for all materials, equipment, and labor to install the piles, including excavation, installing and removing the casing, drilling out rock sockets, grouting, backfilling, and all incidentals necessary to complete the work specified in the Contract Documents.

Payment shall be under:

<u>Pay Items</u>		<u>Pay Unit</u>
501.54	Steel H-beam Piles 117 lb/ft, delivered	Linear foot
501.502	Rock-Socketed H-Piles 117 lb/ft, in place	Linear foot
501.804	Drilling Equipment Mobilization, Rock-Socketed H-pile	Lump Sum

SPECIAL PROVISION
SECTION 502
CONCRETE
(Closed Cell Foam)

Description:

This work shall consist of furnishing and installing Closed Cell Foam (CCF) sheets to the lines, grades, and thicknesses specified and where shown on the Plans or as directed by the Engineer.

Materials:

Closed Cell Foam shall have a compact closed cell structure composed of synthetic isomeric polymers and shall be gray in color. It shall offer sufficient heat resistance so that it is compatible with hot applied sealing compounds. Closed Cell Foam joint filler shall meet the requirements of Section 5.1 through 5.4 of ASTM D1752, with the compression requirement modified to 10 psi minimum to 25 psi maximum. Typical physical properties, as determined using test method ASTM D545, shall be as follows:

Compression, 50%	13 psi
Extrusion	0.1 inch
Recovery	99.21%
Water Absorption, Volume	0.246%

The Contractor shall provide certified test data which documents compliance with the required physical properties. The certified test data shall be submitted to the Engineer for approval.

The CCF sheets shall be produced by a manufacturer with an in-place, third-party certification, Quality Control program that is monitored by an independent testing organization.

The CCF sheets shall be labeled with the manufacturer's name and product type.

Adhesives for installing the CCF sheets and bonding multiple layer installations together shall meet the manufacturer's recommendations.

The Department may randomly test CCF sheets delivered to the job site. If any panel does not conform to the specified physical properties, the sampled shipment will be rejected.

Construction:

Exercise care to prevent damage to the CCF sheets during delivery, storage, and construction. Damaged sheets shall not be used.

CCF sheets shall be protected from: (1) Organic solvents such as acetone, benzene, and paint thinner; (2) Petroleum-based solvents such as gasoline and diesel fuel; (3) Open flames; (4) Prolonged exposure to sunlight (no more than 90 days) during shipping and onsite storage.

Method of Measurement & Basis of Payment:

Closed Cell Foam shall not be measured separately for payment, but will be considered incidental to related contract items.

SPECIAL PROVISION
SECTION 502
STRUCTURAL CONCRETE
(QC/QA Acceptance Methods)

CLASS OF CONCRETE	ITEM NUMBER	DESCRIPTION	P	METHOD
A	502.21	Structural Concrete, Abutments and Retaining Walls	\$400	A
A	502.22	Structural Concrete, Abutments and Retaining Walls (Placed Under water)	-	C
A	502.26	Structural Concrete Roadway and Sidewalk Slab on Steel Bridges	\$400	A
A	502.31	Structural Concrete Approach Slab	-	C
LP	502.49	Structural Concrete Curbs and Sidewalks	-	C
Fill	502.565	Concrete Fill	-	C
A	518.50	Repair of Upward Facing Surfaces – To Reinforcing Steel < 8 inches	-	C
LP	526.34	Permanent Concrete Transition Barrier	-	C

P values listed above reflect the price per cubic yard (yd³) for all pay adjustment purposes.

SPECIAL PROVISION
SECTION 502
STRUCTURAL CONCRETE
(Longitudinal Saw Cut Grooving of Concrete Wearing Surfaces)

502.01 Description This Subsection is amended to include following:

This Work shall consist of Saw Cut Grooving the surface of a structural concrete slab longitudinally (parallel to the centerline of construction), as shown on the Plans, and as specified herein.

502.03 Materials This Subsection is amended to include following:

Use potable water.

502.13 Finishing Concrete Surfaces The following Subsection is added:

G. Longitudinal Saw Cut Grooving of Concrete Wearing Surfaces Apply a longitudinal saw cut grooved finish to concrete wearing surfaces. Use a multi-bladed diamond wet saw with circular saw blades. The Resident may allow the use of single blade, circular saw equipment, where it is determined such equipment is necessary to complete the Work, as required. The equipment the Contractor proposes to use will be subject to the approval of the Resident, prior to use.

Start saw cutting only after the specified curing period has elapsed. Cut longitudinal grooves parallel to the bridge centerline using continuous passes.

Cut all grooves rectangular in shape conforming to the following dimensions:

Width: 1/8 inch +/- 1/32 inch
Depth: 1/4 inch +/- 1/16 inch
Spacing: 3/4 inch +/- 1/16 inch

Unless otherwise specified in the Contract, terminate grooves 4 to 12 inches from curbs, parapets, drainage structures, bridge joints, medians, and exposed edges of the structural concrete slab.

During the grooving operations, the Resident will verify, at random, that the minimum grooved depth is being achieved. If the Resident determines that the minimum groove depth is not being achieved, then the Contractor shall stop grooving operations and make all adjustments necessary, as well as any repairs, as required by the Resident.

The Contractor shall supply the Resident with two (2) accurate, easily readable, gauges with which to verify groove depth. Deliver the gauges and applicable manufacturer's instructions for use no later than 7 Days prior to the anticipated start of grooving operations.

Slurry, or debris, from the grooving operation will not be allowed to accumulate or harden and shall be prevented from flowing into drains, onto the roadway slopes or water bodies below or adjacent to the bridge. Residue shall be continuously removed. The slurry, or debris, shall be disposed of properly by the Contractor. Saw cutting concrete produces silica dust. Include Saw Cut Grooving of concrete in the Health and Safety Plan.

502.18 Method of Measurement Subsection F is replaced with the following:

F. Longitudinal saw cut grooving of concrete wearing surfaces, complete and accepted, will be measured for payment as one lump sum.

502.19 Basis of Payment Paragraph three of this Subsection is replaced with the following:

Saw Cut Grooving of concrete wearing surfaces will be paid for at the Contract lump sum price, which shall be payment for furnishing all materials, labor and equipment, including depth gauges and all incidentals, to satisfactorily complete the Work.

Payment will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
502.291 Saw Cut Grooving	Lump Sum

SPECIAL PROVISION
SECTION 520
EXPANSION DEVICES – NON-MODULAR
(Asphaltic Plug Joint)

520.01 Description

This work consists of furnishing and installing asphaltic plug joint systems at the locations shown on the Plans, in accordance with these Specifications, and as directed by the Resident. This work shall include furnishing, installation, and removal of all bond breaking materials used to prevent asphalt pavement layers from adhering to waterproofing membrane, all temporary header(s) installed with the intent to form the asphaltic plug joint channel, and all preparation required for the installation of the asphaltic plug joint.

This work shall also include having the approved manufacturer provide a qualified technical representative to supervise the installation of the joint systems. The representative shall instruct, train, and supervise the Contractor's personnel in the proper methods of installation. All costs associated with this service shall be included in the unit price of the work.

520.02 Submittals

Prior to construction, the Contractor shall submit the following to the Resident for review and approval:

(a) Complete and detailed Shop Drawings of the asphaltic plug joint system. Shop Drawings shall include information covering materials, their properties, installation procedures, storage and handling requirements, and Safety Data Sheets.

(b) The resume of the manufacturer's technical representative, which shall include the representative's experience installing the asphaltic plug joint system along with the names and telephone numbers of contact persons for recent projects where technical assistance was provided.

(c) Certified test reports of the asphaltic binder, closed cell foam backer rod, and the plastic compound.

(d) Certificates of Compliance for bridging plates, centering nails, and aggregate.

520.03 Materials

An asphaltic plug joint system from MaineDOT's Qualified Products List for Expansion Devices – Non-Modular (Asphaltic Plug Joints) shall be used.

The bridging plate shall be ASTM A36 steel, a minimum of 1/4 inch thick, and galvanized. Holes for the centering nails shall be approximately 1 foot center to center along the centerline of plates.

Centering nails shall be 16d or larger and hot dip galvanized in accordance with ASTM A153.

520.04 Installation

The asphaltic plug joint system shall be installed in accordance with the manufacturer's latest instructions and this specification. Manufacturer's representatives shall be present during the entire installation to ensure satisfactory results are obtained.

The asphaltic plug joint system shall allow total joint movement for up to 2 inches. The installation shall be centered over the expansion joint gap as indicated on the Plans. It shall not be installed when ambient or substrate temperatures are below 40°F, when rain is imminent, or as directed by the Resident. The area shall be free of dirt, dust, moisture, petroleum, or solvents that might contaminate the joint materials or reduce the bond of the joint system to the substrate or vertical faces. The use of compressed air and heat may be required to dry the area before installing the joint system.

The asphalt pavement layers shall be removed to the required dimensions shown on the plans. The asphalt pavement shall be sawcut to a depth that will not damage the waterproofing membrane, but permit the removal of the asphalt pavement layer. The pavement layer shall be removed in a manner that will not damage the waterproofing membrane. Bond breakers such as interlayers and fabrics, or temporary header(s), may be used with new hot mix asphalt placements to avoid unnecessary sawcuts and protect the waterproofing membrane from damage. The method of attaching temporary header(s) to the concrete deck shall be approved by the Resident. The use of a temporary header shall not be allowed if it will need to be anchored into a precast prestressed concrete member. Should a concrete levelling course be required before installing the bridging plates, and the membrane layer is removed in the process, it shall be replaced before the asphaltic plug joint system is installed. Vertical surfaces of the asphalt pavement layers shall be cleaned to remove all water, dust, or other contaminants.

Backer rods shall be installed in expansion joint openings at a minimum of 1 inch depth or as indicated on the Plans.

Unless otherwise specified by the asphaltic plug joint system manufacturer, liquid asphalt binder meeting the requirements of a 64-28 or 58-28 PGAB shall be used to coat the membrane and bridging plate surfaces.

The binder shall be heated to 350°F to 410°F, or a safe temperature as recommended by manufacturer. Heating kettles shall be equipped with continuous agitation system, temperature controller, calibrated thermometer, and double steel jacket with an oil layer in between, to prevent scorching of the binder. During application, the temperature of the binder shall be maintained at a minimum of 350°F, but not greater than 410°F. It shall be poured and leveled into the expansion joint openings until overfilled, and the excess binder spread over the area covered by the bridging plates.

Steel bridging plates shall be placed from curb to curb on the roadway portion of expansion joints. The plates shall be centered over the joint opening. Centering nails shall be placed in pre-drilled holes and hammered in to secure the plates.

Once the bridging plates are installed, liquid asphalt binder shall be poured and leveled over the bridging plates and adjacent membrane surfaces in a manner that ensures full coverage. Areas with excessive application, such as pooling of liquid, should be removed or dispersed along the joint area.

Asphaltic plug joint system aggregate shall be heated in a rotating drum mixer to a minimum of 350°F but not greater than 410°F, or as recommended by the manufacturer. The thermoplastic polymeric modified asphalt binder shall be added to the mixer to pre-coat the aggregates.

Coated aggregate shall be placed into blockouts in layers as recommended by the manufacturer. Blockouts shall be overfilled with coated aggregate as required to compensate for compaction. Equipment for compaction shall be as recommended by the manufacturer. Additional thermoplastic polymeric modified asphalt binder shall be screeded over the compacted joint to fill all surface voids.

Top dressing aggregate shall be applied per the manufacturer's recommendation.

Plastic compound shall be used for repairing overcuts in bituminous concrete. Cleaning, mixing, and application shall be in conformance to the manufacturer's instructions.

Vehicular traffic may pass over finished joints two-hours after compaction, or as recommended by the manufacturer.

520.05 Method of Measurement

The Asphaltic Plug Joint system will be measured by the linear foot along the top surface of installed joints to the limits shown on the Plans. Preparation of surfaces for the proposed joint system including cutting, grinding, and cleaning will not be measured separately for payment, but shall be incidental to the Asphaltic Plug Joint.

520.06 Basis of Payment

The Asphaltic Plug Joint system will be paid for at the Contract unit price per linear foot, which price shall be full compensation for all labor, materials, equipment, and incidentals required for furnishing and installing the Asphaltic Plug Joint system as shown on the Plans, in accordance with these Specifications, and as directed by the Resident. Payment shall also include all work required to repair the concrete deck where anchors were used with a temporary header, and all patching needed for the waterproofing membrane.

Payment will be made under:

Pay Item

Pay Unit

520.232 Expansion Device - Asphaltic Plug Joint

Linear Foot

SPECIAL PROVISION
SECTION 603
PIPE CULVERTS AND STORM DRAINS

**Phillips -
Madrid
18247.00
March 17, 2017**

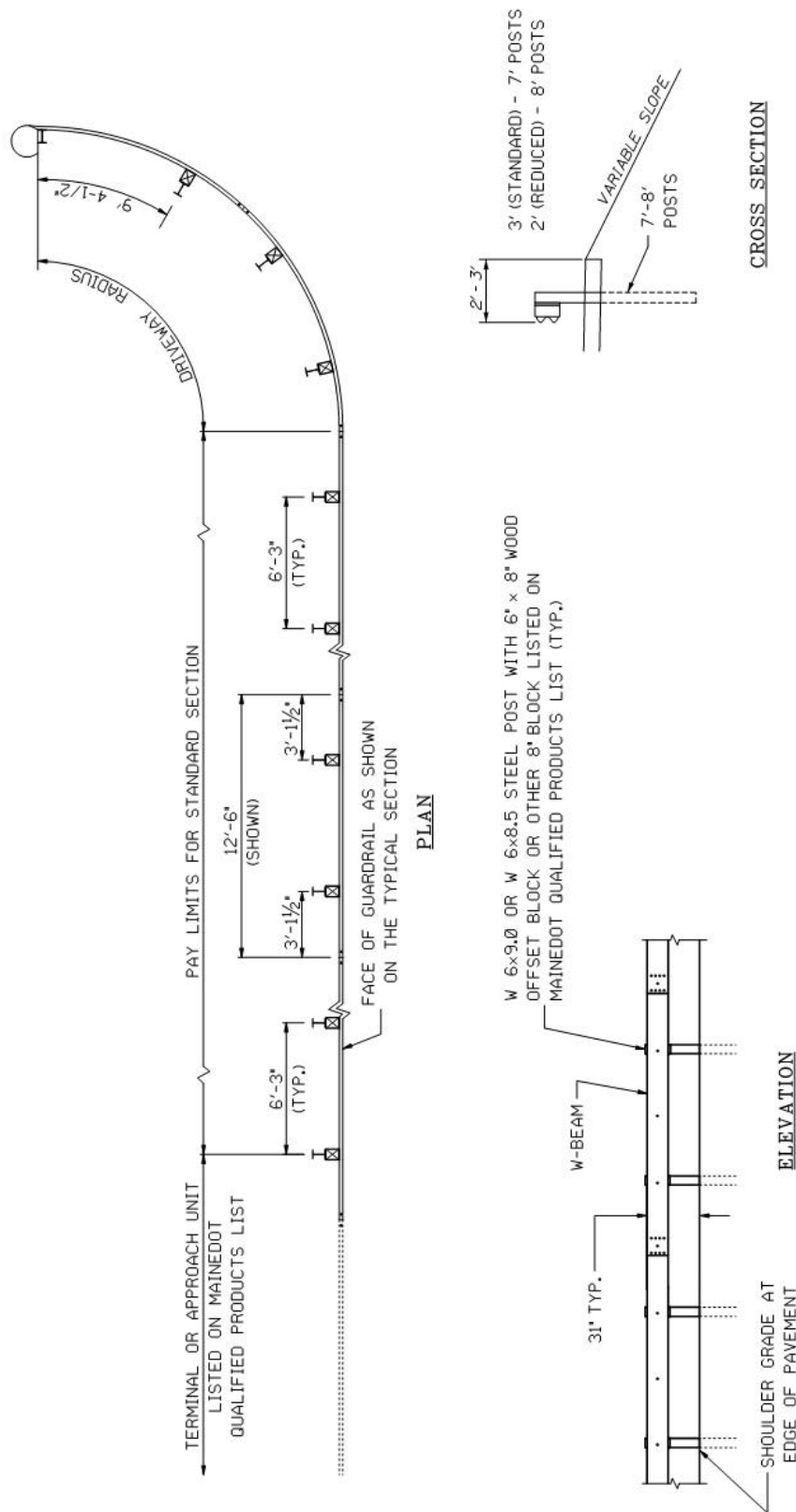
603.12 Basis of Payment: This section shall be amended with the addition of the following:

<u>Pay Item</u>		<u>Pay Unit</u>
603.175	18 Inch Reinforced Concrete Pipe Class III	Linear Foot
603.205	30 Inch Reinforced Concrete Pipe Class III	Linear Foot
603.215	36 Inch Reinforced Concrete Pipe Class III	Linear Foot
603.225	42 Inch Reinforced Concrete Pipe Class III	Linear Foot
603.235	48 Inch Reinforced Concrete Pipe Class III	Linear Foot
603.245	54 Inch Reinforced Concrete Pipe Class III	Linear Foot
603.20	30 Inch Culvert Pipe Option I	Linear Foot
603.275	72 Inch Reinforced Concrete Pipe Class III	Linear Foot

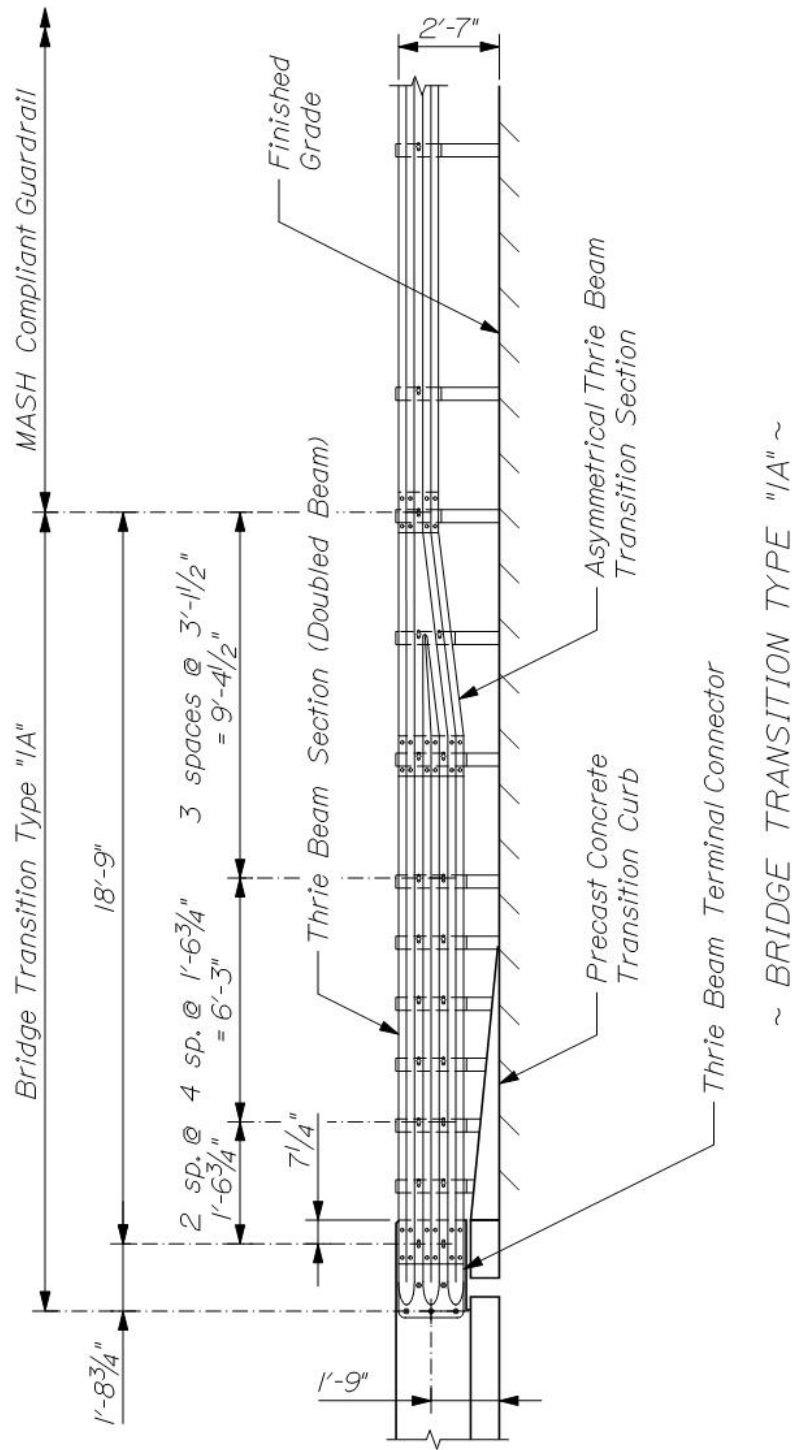
SPECIAL PROVISION
SECTION 606
GUARDRAIL

606.09 Basis of Payment: This section shall be amended with the addition of the following:

<u>Pay Item</u>	<u>Pay Unit</u>
606.1301 31" W-Beam Guardrail - Mid-Way Splice (Steel Post, 8" Offset Blocks, Single Faced)	Linear Foot
606.1302 31" W-Beam Guardrail - Mid-Way Splice (Steel Post, 8" Offset Blocks, Double Faced)	Linear Foot
606.1303 31" W-Beam Guardrail - Mid-Way Splice (Steel Post, 8" Offset Blocks, 15' Radius and Less)	Linear Foot
606.1304 31" W-Beam Guardrail - Mid-Way Splice (Steel Post, 8" Offset Blocks, Over 15' Radius)	Linear Foot
606.1305 31" W-Beam Guardrail - Mid-Way Splice Flared Terminal (31" Height)	Each
606.1306 31" W-Beam Guardrail - Mid-Way Splice Tangent Terminal (31" Height)	Each
606.1307 Bridge Transition (Asymmetrical) – Type 1	Each
606.1308 Buried-in-Slope Guardrail End, Mid-Way Splice	Each



31" W-BEAM GUARDRAIL - MID-WAY SPLICE



NOTE: Part designations are shown in "A Guide to Standardized Highway Barrier Hardware" as prepared and approved by the AASHTO - AGC - ARTBA Joint Committee, Task Force 13 Report.

Suppl.
Std. Detail

BRIDGE TRANSITION - TYPE "IA"

606(2/A)

Sept. 6, 2017

SPECIAL PROVISION

SECTION 606

GUARDRAIL

(Anchorage Assembly)

Description This work shall consist of furnishing and installing anchorage assemblies in accordance with current Standard Specifications and as shown in the attached details at the locations indicated on the Plans.

Materials Materials shall meet the requirements specified in the following subsections of Division 700 - Materials:

Timber Preservative 708.05 Metal Beam Rail 710.04 Timber Posts
710.07
Guardrail Hardware 710.08

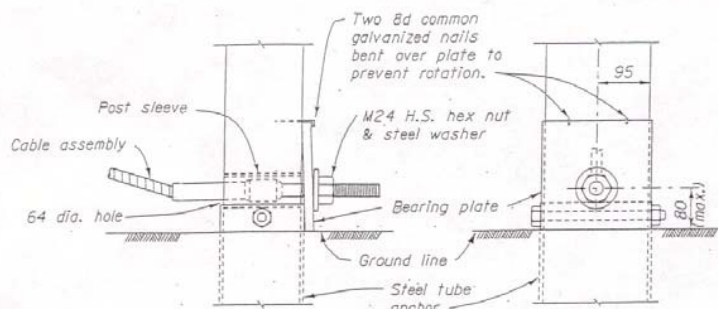
CONSTRUCTION REQUIREMENTS

Method of Measurement Anchorage assemblies will be measured by the unit (each) complete in place and will include all components shown on the attached details.

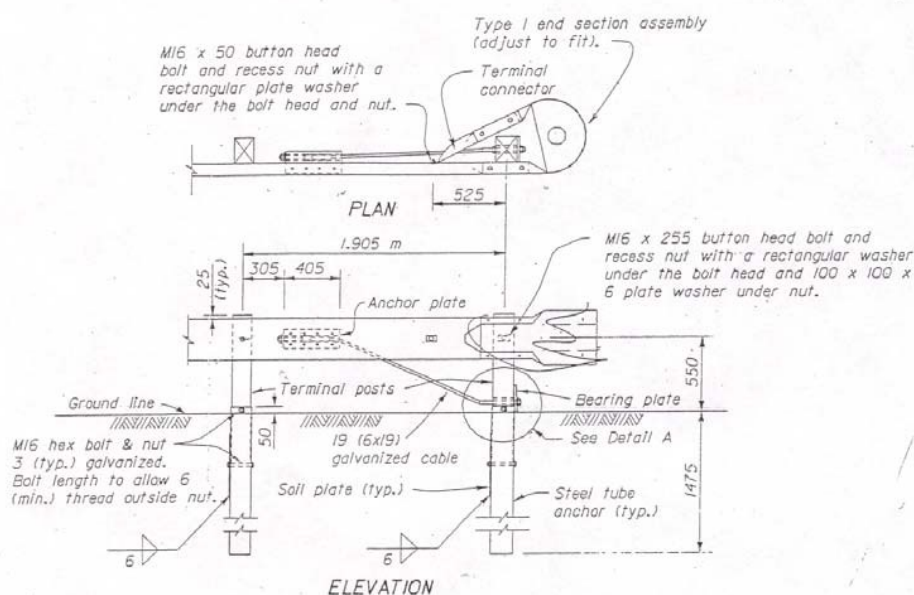
Basis of Payment The accepted quantity of anchorage assemblies will be paid for at the contract unit price per each, complete in place and will include all components shown on the attached details. Payment shall be full compensation for furnishing and installing all components as shown on the attached details and for incidentals necessary to complete the work.

Payment will be made under:

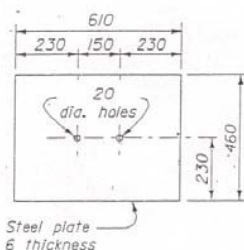
	<u>Pay Item</u>	<u>Pay Unit</u>
606.259	Anchorage Assembly	Each



DETAIL A



ANCHORAGE ASSEMBLY

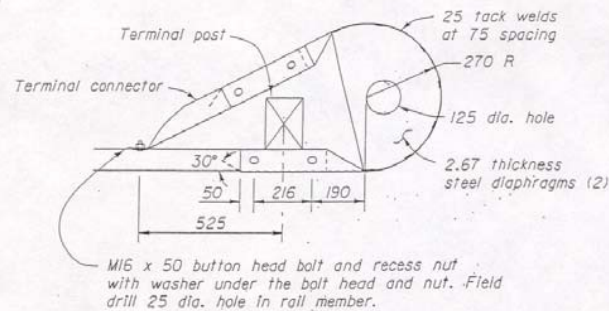


SOIL PLATE
(2 reqd.)

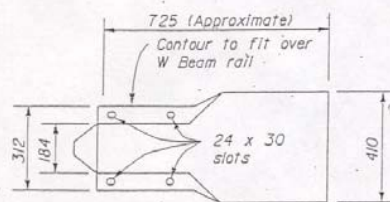
NOTES:

1. Unless otherwise shown, dimension are in millimeters.
2. Dimensional tolerances not shown or implied are intended to be those consistent with the proper functioning of the part, including its appearance, and accepted manufacturing practices.
3. Furnish hardware in metric sizes shown. Equivalent imperial sizes may be used when metric sizes are not available.

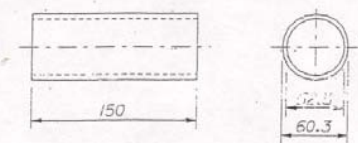
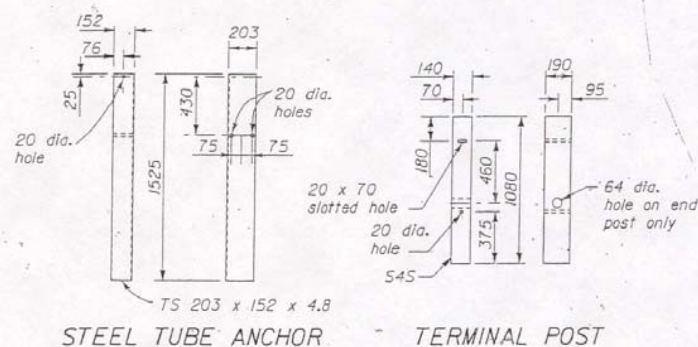
Anchorage Assembly



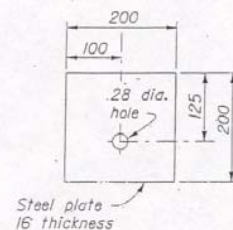
PLAN



ELEVATION
TYPE I END SECTION ASSEMBLY



GALVANIZED STANDARD PIPE
POST SLEEVE



BEARING PLATE

Anchorage Assembly

SPECIAL PROVISION
SECTION 610.163
(Special Riprap – Materials for Earthen Weir)

610.01 Description

This work consists of furnishing and placing rock, cobble and granular material to create an earthen weir at inlet to culvert 738+61 in order to maintain pond elevation.

610.02 Materials

Special Riprap materials shall consist of plain riprap and aggregate referred to as “ML Soil” on the plans. The “ML Soil” serves as the low-permeability core of the earthen weir, preventing seepage through the weir. The plain riprap cover on the weir core constitutes the surface over which the water flows.

The “ML Soil” should generally conform to the following gradation:

Sieve Designation	Percentage by Weight Passing Square Mesh Sieves
4 inch	100
2 inch	50 - 80
1/4 inch	20 - 50
No. 10	15 - 35
No. 200	10 - 20

With the approval of the Resident, an alternative aggregate may be used, provided 100% passes the 4-inch sieve and meets the objective of a low-permeability core for the weir.

Prior to placement, the plain riprap shall be mixed with the accepted “ML Soil” in the proportion

(3 parts Plain Riprap) : (1 part ML Soil)

203.03 Construction Requirements

- The weir shall be constructed in 8-inch lifts of “ML Soil” to the dimensions and elevation as shown in the plans. Each lift shall be mechanically compacted.
- After the final lift of ML Soil has been placed, a 1-ft thick layer of the riprap / ML Soil mix shall be draped over the weir.
- After the riprap mix has been placed, wash in to seal the voids. Add additional ML Soil or other appropriate filler and wash in. Repeat until voids are filled, sealed and water ponds. The gradation of the filler aggregate for filling surface voids may be adjusted to remove the larger stone if approved by the resident.

After washing-in and sealing the riprap, the total depth shall meet the final grading shown on the Plans. Thoroughly wet the Special Riprap surface layer immediately prior

to exposure to normal flow conditions.

Method of Measurement

Special Riprap will be measured in place by the cubic yard accepted by the Resident.

When it is impractical to measure Special Riprap in place, Special Riprap may be measured in vehicles at the point of delivery. When measured in vehicles, the quantity for payment shall be 80% of the quantity shown on delivery slips. Material used for washing in shall not be measured for payment.

Basis of Payment

The accepted quantities of Special Riprap will be paid for at the respective contract price per cubic yard complete in place. Payment shall be full compensation for furnishing all materials, equipment and labor including washing-in with water.

Payment will be made under:

Pay Item

Pay Unit

610.163 Special Riprap

CY

SPECIAL PROVISION
SECTION 613
(Turf Reinforcement Mat (TRM))

Supplement SECTION 613 - EROSION CONTROL BLANKETS with the following:

613.01 Description This work shall consist of furnishing and placing a Turf Reinforcement Mat (TRM) that is in reasonably close conformity with the locations called for on the Plans or as authorized. TRM shall be installed over a prepared Compost Blanket and subsequently seeded by hydraulically applying Flexible Growth Medium (FGM) and seed mix as set forth in Special Provisions 615 and 618, respectively.

613.02 Materials TRM shall be Enkamat 7020, or an approved equivalent permanent Turf Reinforcement Mat. The TRM shall be made from 100% synthetic material and contain no biodegradable or photodegradable components or materials.

The TRM shall be a homogeneous, three-dimensional matrix made of continuous monofilament yarns which are thermally fused at the crossover points to provide a structure that will maintain its three-dimensional stability without laminated or stitched layers. No nettings or stitching shall be permitted. The TRM shall have a sufficient Area Holding Capacity and a minimum 95% open space available for soil, FGM and root interaction. The TRM shall not lose its structural integrity and shall not unravel or separate when TRM is cut in the field.

The TRM shall exhibit no buoyancy factor (i.e., the specific gravity of the fibers used should be greater than 1.0) to allow the TRM to maintain intimate contact with the soil (particularly between fasteners) under low flow or submerged conditions.

The TRM shall meet the following property values:

PROPERTY	TEST METHOD	MINIMUM AVERAGE ROLL VALUE (MARV)
Mass Per Unit Area	ASTM D6566	11 oz/yd ²
Thickness	ASTM D6525	0.6 in
Tensile Strength - MD	ASTM D6818	175 lb/ft
UV Resistance (2000 hrs)	ASTM D6818 & D7238	80.0 %
Resiliency	ASTM D6524	80.0 %
Functional Longevity ²	Observed	> 36 months

1. Cover Factor is calculated as soil loss ratio of treated surface versus an untreated control surface.
2. Functional longevity is an estimate of product functionality and is dependent upon moisture, light, microbial and other environmental conditions.

613.031 Delivery, Storage, and Handling Deliver materials and products in UV and weather-resistant factory-labeled packages. Store and handle in strict compliance with manufacturer's instructions and recommendations. Protect from damage, weather, excessive temperatures and construction operations. Handle such that soil does not fill, clog, or otherwise reduce the infill area intended for the FGM.

613.04 Seeding Seeding shall be in accordance with Special Provision 618 and shall be done after the TRM is in place and accepted.

613.041 Surface Preparation The TRM substrate shall be Compost Blanket installed in accordance with Special Provision 615 and accepted. The surface to receive the TRM shall be prepared to relatively smooth conditions and free of obstructions, rocks, dirt clods, roots, stumps, depressions, debris, and soft or yielding areas. Erosion features such as rills, gullies, etc. shall be graded out of the surface and replaced with compost blanket before TRM deployment. The surface shall be proofrolled with a static smooth-drum compactor before deploying TRM to ensure the TRM makes intimate contact with the soil and to ensure that the soil has been lightly compacted. Overcompaction shall be avoided.

613.05 Installation The TRM shall be installed in general conformance with the manufacturer's instructions unless otherwise specified on the Plans or herein. On slopes, the long dimension of the TRM shall be aligned with the direction of water flow (down the fall line). Adjacent sheets of TRM shall overlap a minimum of 4 inches with the upslope sheet lapped over the downslope sheet. Anchor devices (pins or staples) shall be installed at the minimum frequency of 2-1/2 anchors per square yard. Seams between sheets of TRM shall be anchored at 1-foot centers. The TRM shall extend a minimum of 8 feet past the crest of the steep slope and be anchored with additional staples/pins (maximum spacing of 1-foot on center); no anchor trench shall be cut. Care shall be taken during installation to avoid damage occurring to the TRM or the TRM becoming clogged because of the installation process. Should the TRM become damaged or clogged during installation, a TRM patch shall be placed over the damaged area extending 3 feet beyond the perimeter of the damage and secured with multiple anchor devices as approved by the Resident.

613.08 Method of Measurement TRM will be measured by the square yard based upon the in-place area of TRM installed and accepted.

613.09 Basis of Payment TRM of the type specified will be paid for at the contract unit price per square yard complete in place and accepted. Subgrade preparation, lap and scrap, and anchoring devices will be considered incidental to the contract unit price of TRM.

Payment will be made under:

	<u>Pay Item</u>	<u>Pay Unit</u>
613.40	Turf Reinforcement Mat (TRM)	Square Yard

SPECIAL PROVISION
SECTION 615 - LOAM
(Compost Blanket)

Supplement SECTION 615 - LOAM with the following:

615.01 Description This work shall consist of furnishing and placing a 50% compost / 50% loam mix pneumatically to create a uniform fill blanket (Compost Blanket) that is in reasonably close conformity with the thicknesses and locations called for on the Plans or as authorized.

615.02 Materials Loam for the Compost Blanket shall meet the requirements set forth in Standard Specification Sections 615 and 717.09. Compost for the Compost Blanket shall meet the following requirements:

Compost shall be produced by the aerobic (biological) and biochemical decomposition of source separated organic materials. Compost shall be derived from a mixture of the following feedstock materials:

1. Green material consisting of chipped, shredded, or ground vegetation, or clean processed recycled wood products (MaineDEP Type IA, IB)
2. Biosolids (MaineDEP Type II)
3. Manure
4. Mixed food waste (MaineDEP Type 1B, IC)

Compost shall not be derived from mixed municipal solid waste and must be reasonably free of visible contaminants. Compost shall not contain paint, petroleum products, pesticides, industrial residuals or any other chemical residues harmful to animal life or plant growth. Compost shall not possess objectionable odors. The compost shall be produced at a licensed facility as specified under the State of Maine Department of Environmental Protection Chapter 410: Composting Facilities that regulates Solid Waste Facilities. If exempt from State permitting requirements, the composting facility shall certify that it follows guidelines and procedures for production of compost meeting the environmental standards of Chapter 410.

Compost shall meet the following parameters:

Parameter	Requirement
Acidity range	5.5 pH to 8.5 pH
Moisture content	30-60 % wet weight basis
Organic content	25-65 % dry weight basis
Particle Size	100% passing 3 inch 90-100% passing 1 inch 65-100% passing 3/4 inch 0-75% passing 1/4 inch
Physical Contaminant	<1 % (dry weight basis)
Soluble Salts	5.0 max m mhos/cm
Carbon: Nitrogen Ratio	15-25:1

Total Nitrogen	<1.7%
Organic N	<1.5%
Total Phosphorous	<1.0%
Total Potassium	<0.5%

Maturity Test: The finished compost must be tested and classified as “Very Mature” by one of the following methods.

Method	Units	Very Mature	Mature	Immature
Oxygen Uptake Rate (OUR Test)	O ₂ / unit TS / hr	< 0.4	0.4 - 1.3	> 1.3
Specific Oxygen Uptake Rate (SOUR Test)	O ₂ / unit BVS / hr	< 0.5	0.5 - 1.5	> 1.5
Dewar Self-Heating Test	Temp. rise (°C)	< 10	10 - 20	> 20
Solvita Test	Index value	7 - 8	5 - 6	< 5

Compost shall conform to all applicable specification requirements prior to its final placement on the project. The practice of culling deleterious or out-of-specification material after placement and/or grading in place will not be allowed.

615.021 Submittal Requirements The Contractor shall provide MaineDOT with a 5-gallon compost sample and documentation from the compost supplier of the following information:

1. The source(s) of compost.
2. Laboratory results that show that the compost delivered to the project meets the compost parameters listed above. An independent Seal of Testing Assurance (STA) Program certified laboratory shall perform the analysis.
3. Compost supplier references documenting that they are fully permitted by the MaineDEP to produce compost.

615.03 Preparing Areas All slopes and other areas where the compost blanket is to be placed shall be shaped to the required grade.

Areas of the project to receive Compost Blanket shall be uniformly graded and shall be free of obstructions, rocks, clods, roots and soft or low density pockets of material that could result in the concentration of surface water drainage. This may require hand raking to meet this standard. Prior to placing compost, the slopes shall be scarified or roughened by tracking or rolling with a static sheepsfoot roller to ensure a stable bond between the subgrade and overlying Compost Blanket.

615.04 Placing Compost Blanket The Compost Blanket material (50% compost / 50% loam mix) shall be placed in the locations shown in the Plans. Compost Blanket shall be placed by the Contractor by mechanical means such as a spreader unit (e.g., bulldozer or manure spreader) or pneumatic blower. Unless otherwise directed by the Resident, the Compost Blanket shall be

spread to the uniform final thickness of 6 inches over the Reinforced Soil Slope (RSS) or 3 inches elsewhere after light compaction. Compost shall be spread in a manner as to establish a reasonably loose and friable bedding layer for the Turf Reinforcement Mat (TRM). To insure adequate finished density, the compost shall be rolled with a 100 pound roller or other approved means after spreading with one of the aforementioned methods.

615.041 Maintenance and Inspections The Contractor shall maintain the installed Compost Blanket weekly and before and after storm events until the TRM is installed and seeded and vegetative cover is fully established. The Contractor shall maintain the Compost Blanket by repairing all damaged areas and by correcting all shifting of the blanket due to wind, water, or other causes.

615.05 Method of Measurement Compost Blanket (50% compost / 50% loam mix) will be measured by the cubic yard complete in place after finishing to the required depths as shown on the Plans or directed. Lateral measurements will be parallel with the slope of the ground.

615.06 Basis of Payment Compost Blanket will be paid for at the contract unit price per cubic yard, in place and accepted.

Payment will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
615.081 Compost Blanket	Cubic Yard

SPECIAL PROVISION
SECTION 618
Seeding Hydromulch Medium

Section 618.146

Replace Section 717.03 Seed with the following:

The Special Seed Mix to be used on the TRM slope portions of this project shall be as specified: Special seed mix shall be used in conjunction with a Turf Reinforcement Mat (TRM) and a hydraulically applied Flexible Growth Medium (FGM) in accordance with Special Provision 618, and shall consist of seed proportioned percent by weight as follows:

20 %	Tridolium rubra	Red Clover
10 %	Agrostis alba	Redtop
10 %	Elymus virginicus	Virginia Wild Rye
10 %	Panicum virgatum	Switch Grass
5 %	Schizachyrium scoparium	Little Blue Stem
5 %	Aster novae-angliae	New England Aster
5 %	Asclepias syriaca	Common Milkweed
5 %	Cornus stolonifera	Red Twig Dogwood
5 %	Elymus Canadensis	Canada Wild Rye
5 %	Geum canadense	White avens
5 %	Lindera benzoin	Spicebush
5 %	Lupinus perennis	Perennial lupine
5 %	Monarda fistulosum	Wild Bergamot
5 %	Sorghastrum nutans	Indian Grass

This mix shall be applied hydraulically at the rate of 3 lbs per unit (1,000 sq. ft.) in conjunction with a FGM per manufacturers recommendations as part of the TRM construction installation.

Timing: TRM seeding will be: Spring April 1 to June 30
Fall August 15 to September 30

All seed shall be certified as to mixture, germination, purity and live seed. Each variety shall conform to the following:

- A. Percent germination > 80%
- B. Pure Live Seed > 85%
- C. Percent Purity >85%
- D. Weed seed <1%
- E. All seed shall be from the current years crop unless recent tests by an approved testing agency demonstrate the approved requirements.

	<u>Pay Item</u>	<u>Pay Unit</u>
618.146	Seeding Hydromulch Medium	Unit (1,000 sq. ft.)

SPECIAL PROVISION
SECTION 620 - GEOTEXTILES
(Reinforced Soil Slope Construction)

Supplement SECTION 620 – GEOTEXTILES with the following:

620.01 RSS Construction Description This work shall consist of excavating for and constructing a Reinforced Soil Slope (RSS) in close conformity with the lines, grades, cross sections and typical details shown on the Plans. RSS Construction includes phased excavation to achieve the necessary RSS envelope, maintaining stable construction slopes, providing temporary shoring and/or measures to protect active traffic on Route 4, constructing temporary ramp(s) to access the RSS work area, constructing the riprap toe key, and furnishing and installing Reinforcing Geogrid and Reinforcing Geotextile, together with the associated reinforced soil, for the construction of the RSS.

620.02 Geosynthetic Materials for RSS Construction Reinforcing Geogrid and Reinforcing Geotextile shall meet the material requirements set forth in this Subsection.

620.021 Reinforcing Geogrid for RSS Construction Reinforcing Geogrid to be installed as RSS reinforcement layers where depicted on the Plans shall consist of a regular network of integrally formed, polymeric tensile elements with an aperture geometry sufficient to permit significant mechanical interlock with the surrounding aggregate specified on the drawings. The Reinforcing Geogrid structure shall be dimensionally stable and able to retain its geometry under construction stresses and shall have high resistance to damage during construction, ultraviolet degradation, and all forms of chemical and biological degradation encountered in the soil being reinforced. A uniaxial geogrid shall be used in this application. High-strength woven or non-woven geotextile fabrics are not acceptable for use as Reinforcing Geogrid.

The Reinforcing Geogrid shall be a uniaxial geogrid manufactured from polyester resin and shall meet or exceed the Minimum Average Roll Values (MARV) of the properties in Table 1.

Table 1 - Physical Property Requirements
(Reinforcing Geogrid)

Mechanical Property	Test Method	Minimum Average Roll Value (MARV)¹
Ultimate Tensile strength MD or XD	ASTM D 6637	5,900 lb/ft
Tensile strength at 5% Strain MD or XD	ASTM D 6637	2,100 lb/ft
Aperture Openings		Between 0.75 and 3 inches
Percent Open Area		50 to 80%

¹ Values are minimum average roll values determined in accordance with ASTM D 4759

The proposed Reinforcing Geogrid shall be submitted for review and acceptance by the Geotechnical Engineer prior to use on the project. Acceptable products include the following, or approved equal:

Miragrid® 7XT as manufactured by TenCate Geosynthetics.
Stratagrid SG550 as manufactured by Strata Systems.

620.022 Reinforcing Geotextile for RSS Construction Reinforcing Geotextile to be installed as RSS reinforcement layers where depicted on the project drawings shall consist of an approved high ultimate strength, woven, polypropylene material and shall have high resistance to damage during construction, ultraviolet degradation, and all forms of chemical and biological degradation encountered in the soil being reinforced.

The Reinforcing Geotextile shall be woven, manufactured from polypropylene material and shall meet or exceed the MARV of the properties in Table 2.

Table 2 - Physical Property Requirements
(Reinforcing Geotextile)

Mechanical Property	Test Method	Minimum Average Roll Value (MARV)
Wide Width Tensile strength MD	ASTM D 4595	600 lb/in
Puncture Resistance	ASTM D 6241	1,900 lb
Trapezoidal Tearing Strength MD	ASTM D 4533	200 lb
Grab Tensile Strength/Elongation MD	ASTM D 4632	550 lb/ 12%
M288 Survivability Class		Class 1
Apparent Opening Size (AOS) ⁽¹⁾	ASTM D 4751	0.085 mm (#20 U.S. Std. Sieve)

⁽¹⁾ Maximum average roll value

The proposed Reinforcing Geotextile shall be submitted for review and acceptance by the Geotechnical Engineer prior to use on the project. An acceptable product is the following, or approved equal:

Mirafi® HP770 as manufactured by TenCate Geosynthetics
FX®-665MF as manufactured by Carthage Mills, Inc.

620.03 RSS Construction and Placement

The Reinforced Soil Slope shall be constructed in accordance with the Contract Plans and this Special Provision. Refer to the Reinforced Soil Slope Notes in the Contract Plans for additional requirements for the Construction and Placement of the Reinforced Soil Slope.

The Reinforcing Geogrid and Reinforcing Geotextile, together with the associated reinforced soil shall be placed at the proper levels/elevations and alignments as shown on the Plans or as directed by the Resident.

Reinforcing Geogrid and Reinforcing Geotextile shall be installed in accordance with the manufacturer's recommendations, except as modified by this Special Provision.

Installation of the Reinforcing Geogrid and Reinforcing Geotextile shall be in general conformance with Standard Specification 620.03.A, except as modified by this Special Provision.

Each layer of Reinforcing Geogrid and Reinforcing Geotextile shall consist of one (1) continuous sheet of material and shall be oriented such that the machine (strong) direction of the material is perpendicular to the face of the RSS in plan view (machine direction runs down the RSS face's fall line).

The Contractor shall verify the correct orientation of the Reinforcing Geogrid and Reinforcing Geotextile installed in the project.

The Contractor shall confirm that the as-built RSS geometries conform to the approximate geometries shown on the Plans.

Filling operations shall not damage the Reinforcing Geogrid or Reinforcing Geotextile and shall accommodate proper placement of the 3-foot long anchor tail of each Reinforcing Geotextile layer.

Reinforcing Geogrid and Reinforcing Geotextile may be temporarily secured in-place with staples, pins, sand bags or backfill as required by fill properties, fill placement procedures, or weather conditions, or as directed by the Resident.

The Reinforcing Geogrid and Reinforcing Geotextile shall be covered with the specified reinforced soil on the same day the geosynthetic is deployed and immediately upon acceptance of the deployed geosynthetic.

Horizontal coverage of less than 100 percent shall not be allowed.

Care shall be taken during installation to avoid damage occurring to the Reinforcing Geogrid or Reinforcing Geotextile. When reinforced soil is placed over the geosynthetics, the material shall be placed so that it does not puncture, otherwise damage, or displace the geosynthetics. Field monitoring shall be performed during reinforced soil material placement over and around the geosynthetics. At no time shall equipment operate directly on the Reinforcing Geogrid and Reinforcing Geotextile. Only low ground pressure tracked equipment may operate on the Reinforcing Geogrid and Reinforcing Geotextile once a minimum compacted thickness of 8 inches of reinforced soil fill is in place. No turns shall be permitted.

Placement, spreading, and compaction of reinforced soil fill on top of the Reinforcing Geogrid and Reinforcing Geotextile shall advance from one end of the geosynthetics and move towards the other. Care shall be taken to minimize the development of wrinkles and to ensure that the geosynthetics do not move from their position during fill placement. A spotter shall observe all

fill placement operations over Reinforcing Geogrid and Reinforcing Geotextile to ensure the geosynthetics do not slip, achieve the minimum length specified on the plans when each layer is complete, and is not damaged by the work.

If rutting develops within the initial granular lift, rut depths shall not exceed 3 inches. It may be necessary to decrease the size and/or weight of the construction equipment if rut depths of 3 inches or less cannot be maintained.

All rutting formed during construction shall be filled with new reinforced soil material. In no case shall rutting be filled by blading down.

Should the Reinforcing Geogrid or Reinforcing Geotextile be damaged during installation or subsequent fill placement, the entire sheet of geosynthetic material shall be removed and replaced. If the material removed is of sufficient length in the machine direction to be used elsewhere once the damaged section is cut out, it may be reused if inspected and approved by the Resident. Under no circumstances shall damaged material be “patched” by overlaying an additional sheet of Reinforcing Geogrid or Reinforcing Geotextile over the damaged area.

620.04 Overlap Adjacent sheets of Reinforcing Geogrid or Reinforcing Geotextile shall be overlapped a minimum of 12 inches.

620.05 Seams Seams to join adjacent lengths of Reinforcing Geogrid or Reinforcing Geotextile shall not be permitted.

620.06 Reinforcing Geogrid and Geotextile Certification Four (4) weeks prior to construction of the RSS, the Contractor shall submit the Manufacturers’ quality control certificates, signed by a responsible party employed by the Manufacturers, for the Reinforcing Geogrid and Reinforcing Geotextile to be used on the project. The quality control certificates shall include roll identification numbers, sampling procedures and results of quality control tests. At a minimum, results shall be given for: ultimate tensile strength of the Reinforcing Geogrid and wide width tensile strength in the machine direction for the Reinforcing Geotextile. The manufacturers shall further certify that the actual materials supplied for the project have been evaluated and are in full compliance with the project specifications and is fit for long-term, critical soil reinforcement applications.

620.08 Shipment, Storage, Protection, and Repair of Fabric The Contractor shall check the Reinforcing Geogrid and Reinforcing Geotextile upon delivery to ensure that the proper material has been received. Each Reinforcing Geogrid and Reinforcing Geotextile roll shall be shipped in a protective bag and clearly marked with roll number, lot number, and principle strength direction. During all periods of shipment and storage, the Reinforcing Geogrid and Reinforcing Geotextile shall be protected from temperatures greater than 140°F and all deleterious materials that might otherwise become affixed to or otherwise contaminate the geosynthetics and effect their performance. The manufacturer’s recommendations shall be followed with regard to protection from direct sunlight. The Reinforcing Geogrid and Reinforcing Geotextile shall be stored off the ground in a clean, dry environment out of the pathway of construction equipment.

Any Reinforcing Geogrid and Reinforcing Geotextile that is delivered or becomes damaged shall be replaced in accordance with the manufacturer's recommendations at no additional cost to the Department.

620.09 Method of Measurement Reinforcing Geogrid and Reinforcing Geotextile installed as an integral part of Reinforced Soil Slope construction will be measured by the number of Square Yards of surface area installed. Lap and scrap, required overlaps of adjacent sheets and for connections, splices, and repairs of damaged Reinforcing Geogrid and Reinforcing Geotextile are incidental to this Pay Item.

620.10 Basis of Payment Reinforcing Geogrid and Reinforcing Geotextile installed as an integral part of Reinforced Soil Slope construction will be paid for per Square Yard in-place, which will be full compensation for all off-loading, material testing, inspection, and storage of the Reinforcing Geogrid and Reinforcing Geotextile; all labor, materials, equipment, and tools for the excavation for and construction of the complete RSS in accordance with the plans; and any incidentals related to completing RSS construction, including but not necessarily limited to temporary access ramps, temporary shoring, hauling and disposal of excavated materials, survey of RSS envelope and construction of the riprap toe key. The TRM surface treatment for RSS slopes, including compost blanket, TRM, Flexible Growth Medium, and Special Seeding, will be paid for separately.

Payment will be made under:

	<u>Pay Item</u>	<u>Pay Unit</u>
620.61	Reinforcing Geotextile	Square Yard
620.65	Reinforcing Geogrid	Square Yard

SPECIAL PROVISION
SECTION 620
GEOTEXTILES
(Drainage Geocomposite)

Description This work shall consist of furnishing and placing Drainage Geocomposite and Weep Hole Connections as specified herein, as shown on the plans, and as directed by the Resident. Geocomposite Drainage shall consist of a formed polystyrene core covered on one side with a non-woven, needle-punched polypropylene filter fabric.

Materials Drainage Geocomposite must be a composite system consisting of permeable geotextile and three-dimensional polymeric core providing equal flow in two perpendicular directions.

The Contractor shall furnish and install a Drainage Geocomposite as a hydrostatic water relief system. The Drainage Geocomposite shall be tied in to a water discharge system or weep holes. The weep holes shall be fitted with Weep Hole Connections, that effectively drain water from the geocomposite through the weep holes. The Weep Hole Connections may consist of Sitedrain AWD-102, Option 2 – Drain Gate connections, or equivalent.

Drainage Geocomposite work shall consist of furnishing all materials and labor required for placing and securing Drainage Geocomposite material, connection pipes, footing drains, weep holes and Weep Hole Connections, and horizontal drains, as shown on the Plans or as directed by the Resident.

Quality Assurance Testing Drainage Geocomposite must be backed by a Letter of Certification from the Manufacturer that the flow rate in the plane of the core meets or exceeds the specified flow given herein and determined by ASTM D4716.

Submittals The required submittals are as follows:

A. Submit three (3) projects where prefabricated Drainage Geocomposite system has been used.

B. Submit Letter of Certification that material meets or exceeds physical properties per the following table.

C. The design layout of the Drainage Geocomposite including type, spacing, overlap, collection drainage, and other information.

Product Specification The Drainage Geocomposite shall consist of Miradrain 6000XL, Amerdrain 500, or equal that meets or exceeds the following properties:

TYPICAL PROPERTIES Fabric Properties	Typical Value	Test Method
Material	Non-woven Polypropylene	
Grab tensile strength	100 lbs	ASTM D4632
Puncture strength	65 lbs	ASTM D4833
AOS	70 sieve	ASTM D4751
Permeability	0.3 cm/sec	ASTM D4491
Core properties		
Material	Polystyrene	
Compressive strength	15,000 psf	ASTM D1621 (Mod.)
Product properties		
Flow capacity per unit width ¹	16 gpm/ft	ASTM D4716

¹ In Plane Flow Rate, Gradient = 1.0

All numeric values in the above table, except AOS, represent minimum average roll values in the weakest principal direction (i.e., average test results of any roll in a lot sampled for conformance or quality assurance testing shall meet or exceed the minimum values). Values for AOS represent maximum average roll values.

Placement Requirements The Drainage Geocomposite shall be installed by methods approved by the Manufacturer.

The installer shall place the Drainage Geocomposite at the elevations and alignment shown on the Plans, as noted and as directed by the Resident. The Drainage Geocomposite shall be installed with the fabric side toward the soil.

When installing the Drainage Geocomposite:

- Start at the low point of the wall and attach the panel to the wall.
- Weep Hole Connections shall be installed in conjunction with the Drainage Geocomposite.
- Adjacent panels may be:
 - (1) Joined together with the lateral edge of the next/upper panel placed over the flanged edge of the lower panel;
 - (2) Overlap the dimples of the preceding panel onto the dimples of the previous panel by 2 inches.

The Drainage Geocomposite from the adjacent panels shall overlap the preceding panel. The overlap fabric can be adhered with the Manufacturer's approved tape or duct tape. The Drainage Geocomposite shall be attached to non-waterproofed walls with contact adhesive, tape or concrete nails. The Drainage Geocomposite will be permanently secured prior to completion of backfilling. Backfilling shall be placed within seven days of Drainage Geocomposite installation. Backfill to at least 6 inches above the top edge of the Drainage Geocomposite.

The top or terminal edge of the Drainage Geocomposite shall be covered by applying a piece of filter geotextile, meeting the requirements of MaineDOT Standard Specification Section 722.03, over the edge sufficient in width to prevent soil or other foreign construction materials from intruding into or behind the Drainage Geocomposite panels.

If necessary, the Drainage Geocomposite and filter geotextile shall be positioned by hand to minimize wrinkles.

Unanticipated subsurface drainage features exposed in the excavation shall be drained independently of the Drainage Geocomposite.

Backfill Requirements Structural backfill as shown on the plans shall be placed immediately against the Drainage Geocomposite. Care shall be taken during the backfill operation not to damage the geotextile surface of the drain. The backfill shall be placed and compacted in accordance with the project plans and specifications. Care shall also be taken to avoid excessive settlement of the backfill material. The Drainage Geocomposite, once installed, shall not be exposed for more than seven days prior to backfilling.

Storage Requirements The Contractor shall check the Drainage Geocomposite upon delivery to ensure that the proper material has been delivered. The Contractor shall be responsible for the storage of the Drainage Geocomposite material at the site.

Drainage Geocomposite shall be provided in rolls wrapped with a protective covering and stored in a manner, which protects the material from temperatures greater than 140° F, mud, dirt, dust, and debris. Protective wrapping shall not be removed until immediately before the Drainage Geocomposite is installed.

Drainage Geocomposite material shall be delivered and stored in original packages bearing the Manufacturer's name. The fabric shall not be exposed to direct sunlight for more than seven days during its storage and installation. The Drainage Geocomposite material shall be stored in a clean, dry environment out of the pathway of construction equipment. Each roll of Drainage Geocomposite material shall be labeled to identify the production run.

Repair Requirements Prior to the placement of the Drainage Geocomposite each roll shall be inspected for damage resulting from construction.

Any ripped, torn, or damaged areas of the Drainage Geocomposite material shall be removed and patched by placing a patch large enough to cover the damaged area and provide a sufficient overlap on all sides to fasten. The patch shall be secured to the original Drainage Geocomposite material using the Manufacturer's approved methods. If the hole width or tear width across the panel is more than 50% of the width of the material, the damaged area shall be cut out and the two portions of the Drainage Geocomposite material shall be joined in accordance with the placement requirement.

If the damage occurs to the Drainage Geocomposite material during shipping, handling, or installation, the damaged areas shall be cut out and a repair section of Drainage Geocomposite shall be installed at the Contractor's expense.

Method of Measurement Drainage Geocomposite installation shall be measured by the square yard in place and accepted. Measurements will not be made for overlaps, patches, and repairs.

Basis of Payment The accepted quantity of Drainage Geocomposite installed shall be paid for at the contract unit price per square yard, which shall be full compensation for off-loading, inspection, storage, materials, equipment, and any incidentals necessary to complete the installation.

The cost and placement of the drainage collection pipe will be incidental to the installation of the Drainage Geocomposite.

Payment will be made under:

<u>Pay Item</u>	<u>Unit</u>
620.66 Drainage Geocomposite	Square Yard

Highway Lighting Quality Control Checklist

Subsection 634.09 Field Testing

Project Pin # _____

Location (if multiple services, please be specific)- _____

Grounding Electrode Resistance at service _____

Number of Circuits _____

Hand-Off-Auto Switch? _____

Circuit #1

Open Circuit Resistance- (Ohm out both hot legs at the cabinet while they are shorted together at the last pole and the fuse holders are disconnected at each pole) _____

Megger Test- (Meg out both hot legs to ground at the cabinet while they are shorted together at the last pole and the fuse holders are disconnected at each pole) _____

Current draw- (during normal operation)

Leg #1

Leg #2

Operating Voltage at last pole _____

Circuit #2

Open Circuit Resistance- (Ohm out both hot legs at the cabinet while they are shorted together at the last pole and the fuse holders are disconnected at each pole) _____

Megger Test- (Meg out both hot legs to ground at the cabinet while they are shorted together at the last pole and the fuse holders are disconnected at each pole) _____

Current draw- (during normal operation)

Leg #1

Leg #2

Operating Voltage at last pole _____

I, _____, certify that this work was done in accordance with subsection 643.14 and current NEC _____ guidelines, and when tested, was functioning as intended.
(YEAR)

Electrician's Signature _____

Electrician's License # _____

Highway Lighting Quality Control Checklist

Subsection 634.09 Field Testing

Project Pin # _____

Location (if multiple services, please be specific)- _____

Grounding Electrode Resistance at service _____

Number of Circuits _____

Hand-Off-Auto Switch? _____

Circuit #3

Open Circuit Resistance- (Ohm out both hot legs at the cabinet while they are shorted together at the last pole and the fuse holders are disconnected at each pole) _____

Megger Test- (Meg out both hot legs to ground at the cabinet while they are shorted together at the last pole and the fuse holders are disconnected at each pole) _____

Current draw- (during normal operation)

Leg #1

Leg #2

Operating Voltage at last pole _____

Circuit #4

Open Circuit Resistance- (Ohm out both hot legs at the cabinet while they are shorted together at the last pole and the fuse holders are disconnected at each pole) _____

Megger Test- (Meg out both hot legs to ground at the cabinet while they are shorted together at the last pole and the fuse holders are disconnected at each pole) _____

Current draw- (during normal operation)

Leg #1

Leg #2

Operating Voltage at last pole _____

I, _____, certify that this work was done in accordance with subsection 643.14 and current NEC _____ guidelines, and when tested, was functioning as intended.
(YEAR)

Electrician's Signature _____

Electrician's License # _____

Traffic Signal Quality Control Checklist

Subsection 643.14 Field Testing

Project Pin # _____

Grounding Electrode Resistance at service _____

ID tags on loop amps / detector cards? _____

Location _____

Street Approach	_____
Loop #	_____ Resistance _____
Phase #	_____ Meg to ground _____
L,C, or R Lane	_____ Amount of bondo covering loop _____
Pulse or Presence	_____

Street Approach	_____
Loop #	_____ Resistance _____
Phase #	_____ Meg to ground _____
L,C, or R Lane	_____ Amount of bondo covering loop _____
Pulse or Presence	_____

Street Approach	_____
Loop #	_____ Resistance _____
Phase #	_____ Meg to ground _____
L,C, or R Lane	_____ Amount of bondo covering loop _____
Pulse or Presence	_____

I, _____, certify that this work was done in accordance
with subsection 643.14 and current NEC _____ guidelines, and
(YEAR)
when tested, was functioning as intended.

Electrician's Signature _____

Electrician's License # _____

SPECIAL PROVISION
SECTION 643
TEMPORARY TRAFFIC SIGNAL

The contractor shall install and maintain temporary traffic signals for the entire time one way, alternating traffic is used for the demolition of the existing bridge and the construction of the proposed bridge structure at Station 591+30± (Temporary Signal 1) and at Station 709+15± (Temporary Signal 2).

Temporary Signal 1

Signal heads shall be located at approximately Station 589+00 and Station 592+75 and shall be mounted on a temporary structure. They shall be supplied by the Contractor and approved by the Resident. Two heads shall face traffic on each approach. All signals heads shall have 12 inch red, yellow and green circular LED indications with 5 inch back plates and yellow retroreflective tape along each border.

Stop bar detection shall be provided on each approach. The Contractor shall determine the method of detection with the Resident's approval.

The Contractor shall program the signal controller with the following phasing and timing (in seconds):

	Phase 1	Phase 2
Min Green	3	3
Extension	3	3
Max Green	40	40
Yellow Cl.	3	3
All Red	21	21
Recall	none	none

Phase 1 – ME 4 Eastbound

Phase 2 – ME 4 Westbound

The clearance time calculation was based on a vehicle speed of 20 mph for 583 feet along ME Route 4 detour.

Temporary Signal 2

Signal heads shall be located at approximately Station 706+95 and Station 711+50 and shall be mounted on a temporary structure supplied by the contractor and approved by the Resident. Two heads shall face traffic on each approach. All signals heads shall have 12 inch red, yellow and green circular LED indications with 5 inch back plates and yellow retroreflective tape along each border.

Stop bar detection shall be provided on each approach. The Contractor shall determine the method of detection with the Resident's approval.

The Contractor shall program the signal controller with the following phasing and timing (in seconds):

	Phase 1	Phase 2
Min Green	3	3
Extension	3	3
Max Green	40	40
Yellow Cl.	3	3
All Red	21	21
Recall	none	none

Phase 1 – ME 4 Eastbound

Phase 2 – ME 4 Westbound

The clearance time calculation was based on a vehicle speed of 20 mph for 610 feet along ME Route 4 detour.

643.18 Method of Measurement

As per STATE OF MAINE, Department of Transportation, Standard Specifications, Revision of November 2014 with the following revision.

Replace the third paragraph with the following:

Each stop bar detection system installed, connected to appropriate phases in the controller cabinet, complete and operational will be incidental to the lump sum price of the temporary traffic signal.

643.19 Basis of Payment

As per STATE OF MAINE, Department of Transportation, Standard Specifications, Revision of November 2014 with the following revisions.

Replace the fourth paragraph with the following:

The cost for each stop bar detection system shall be incidental to the lump sum price for the temporary traffic signal, which will be full compensation for materials, labor, and equipment for each detection system installed, fully operational, and removed. If loop detectors are used as the stop bar detection system, loops may be abandoned in place.

Payment will be made under:

<u>Pay Item</u>	<u>Description</u>	<u>Pay Unit</u>
643.72	Temporary Traffic Signal 1	Lump Sum
643.72	Temporary Traffic Signal 2	Lump Sum

SPECIAL PROVISION
SECTION 643
TRAFFIC SIGNALS

Under 643.023 Design and Fabrication, add the following to the end of the first paragraph:

Cantilevered signal support structures with mast arms shall be classified as Fatigue Category III with Fatigue Importance Factors (I_f) of 0.59 for Natural Wind Gusts and 0.68 for Truck-Induced Gusts unless specified otherwise on the contract plans.

If Category II is specified on the contract plans, the Fatigue Importance Factors (I_f) shall be 0.80 for Natural Wind Gusts and 0.84 for Truck-Induced Gusts. If Category I is specified on the contract plans, the Fatigue Importance Factors (I_f) shall be 1.0 for Natural Wind Gusts and 1.0 for Truck-Induced Gusts.

Designing for fatigue induced by Galloping or Vortex Shedding is not required for traffic signal structures with mast or bracket arms.

643.09 Service Connection, add the following after the last paragraph:

“All meter mounting devices shall be installed so that the meters will be upright (plumb). They shall be installed with the top of the meter not less than 1.2 M [48 in] nor more than 1.5 M [60 in] from the floor to the final grade. Exceptions to this height requirement will be made where special permission has been given to install group or modular metering, overall metering enclosures, or pole-mounted meters. Level grade shall be maintained for a minimum of 1.0 M [3 ft] in front of the meter enclosure to provide a safe working space. In order to meet this requirement on uneven terrain, as an option, the Contractor may install a pressure-treated wood platform.

For any non-residential (industrial or commercial) self-contained meter socket the bypass requirements are single phase, 100 or 150 amp, single handle lever operated.

The Contractor shall meet all requirements and regulations of Utility Companies when installing equipment on their poles and for the service connection. It is the responsibility of the Contractor to contact the appropriate Utility to determine their specific requirements.”

SPECIAL PROVISION
SECTION 645
Actuated Dynamic Sign

Description This work will consist of the installation of dual 12 inch yellow LED lights, set to alternately flash. The lights shall be activated by a radar unit. Radar shall be activated by vehicles 1000 feet away and lights shall flash for 12 seconds. The site shall be powered by a 160 watt (2 - 80 watt panels) minimum solar panel with two 100 amp-hour 12 volt batteries. Additional post will be required at each location to mount solar panel and cabinet

All necessary electronics and hardware to create a complete functioning 12 volt solar powered vehicle warning system shall be furnished and installed. Radar unit and flashing lights shall be installed on existing sign posts. Solar panels shall be mounted on the additional post in addition to a dt 26 cabinet aluminum cabinet. Cabinet shall house all the additional electronics, batteries and hardware to complete a functioning unit. Flasher shall be of the solid state variety (no mechanical flasher permitted). Lights to be of the Light Emitting Diode (LED) 12 inch type drawing no more than 14 watts each. All work to be done in a neat and workman like manner, solar panels will be mounted using ridged pipe of sufficient size to support the weight and 100 mph wind load. The solar charge controller shall be a Prostar 30 m and the system shall operate at a nominal voltage of 12 volts dc.

Method of Measurement. This item shall paid for as a Lump Sum payment.

Method of Payment. Payment for this item shall be full compensation for furnishing and installation of the devices onto the existing signs as shown in the bid package, all electrical components, batteries, radar unit, hardware, solar panels, wiring, additional 6x6x12 post, installation of the post, trenching between posts, controllers and cabinets required and any incidentals required to make the system perform as stated in the bid package. Lump Sum price also includes all Maintenance of Traffic items, developing maintenance of traffic plan and any mulch (or other treatments) needed as the result of disturbed soil resulting from installing this equipment.

Pay Item

Pay Unit

645.60 Actuated Dynamic Sign

1 LS

SPECIAL PROVISION
SECTION 652
MAINTENANCE OF
TRAFFIC
(Construction Requirements – General)

The following is added to Standard Specifications Subsection 652.3.4, General:

Alternating one-way traffic shall be maintained on the existing pavement, temporary pavement, new base pavement or new surface pavement only. All lane closures shall be approved by the Resident.

The temporary detour bridge shall be paved in order to facilitate snow removal operations. The temporary detour bridge curb to curb width shall be sixteen (16) feet minimum. The increased width shall not extend beyond the property rights secured for the project as shown on the Right-of-Way Plans.

The Contractor may close Route 4 to through traffic for unloading and setting of steel superstructure beams. Closures will be limited to fifteen-minute intervals and be limited to between the hours of 6:30pm to 5:30am.

SPECIAL PROVISION
SECTION 652
MAINTENANCE OF TRAFFIC

Approaches Approach signing shall include the following signs as a minimum. Field conditions may warrant the use of additional signs as determined by the Resident.

Road work Next x Miles
Road work 500 Feet
End Road Work

Work Area At each work site, signs and channelizing devices shall be used as directed by the Resident. Signs include:

Road Work xxxx ¹
One Lane Road Ahead
Flagger Sign

Other typical signs include:

Be Prepared to Stop
Low Shoulder
Bump
Pavement Ends

The above lists of Approach signs and Work Area signs are representative of the contract Requirements. Other sign legends may be required.

The Contractor shall conduct their operations in such a manner that the roadway will not be restricted to one lane for more than 800 m [2,500 ft] at each work area. To encourage quality paving in warm-weather conditions, the length can be extended to 4,000 ft depending on the traffic impacts. Where more than one work area restricts traffic to one lane operation, these work areas shall be separated by at least 1.6 km [1 mile] of two way operation.

Temporary Centerline A temporary centerline shall be placed each day on all new pavement to be used by traffic. The temporary centerline, when specified of reflectorized traffic paint, shall conform to the standard marking patterns used for permanent markings.

Failure to apply a temporary centerline daily will result in a Traffic Control Violation and suspension of paving operations until temporary markers are applied to all previously placed pavement.

¹ “Road Work Ahead” to be used in mobile operations and “Road Work xx ft” to be used in stationary operations as directed by the Resident.

STANDARD DETAIL UPDATES

Standard Details and Standard Detail updates are available at:
<http://maine.gov/mdot/contractors/publications/standarddetail/>

<u>Detail #</u>	<u>Description</u>	<u>Revision Date</u>
501(02)	Pipe Pile Splice	3/05/2015
501(03)	H – Pile Splice	3/05/2015
504(07)	Diaphragm & Cross Frame Notes	10/13/2015
504(10)	Drip Bar Details	9/06/2017
505(01)	Shear Connectors	10/24/2016
507(13)	Steel Bridge Railing	6/03/2015
507(14)	Steel Bridge Railing	6/03/2015
507(31)	Barrier – Mounted Steel Bridge	8/06/2015
526(02)	Temporary Concrete Barrier	2/01/2015
526(02)	Temporary Concrete Barrier	2/01/2018
609(9)	Concrete Slip Form Curb	5/06/2018
626(07)	Conduit Trench for Traffic Signals, Highway Signing and Lighting	5/17/2018
645(06)	H-Beam Posts Highway Signing	1/09/2018
652(06)	Construction Signs	10/24/2016
652(12)	Construction Traffic Control	10/24/2016
802(05)	Roadway Culvert End Slope Treatment	1/03/2017

SUPPLEMENTAL SPECIFICATIONS
(Corrections, Additions, & Revisions to Standard Specifications - November 2014)

SECTION 101
CONTRACT INTERPRETATION

101.1 Abbreviations Revise the definition of AWP to “**American Wood Protection Association**”.

101.2 Definitions

Page 1-5 – Remove the definition of Bridge in its entirety and replace with:

“Bridge A structure that is erected over a depression or an obstruction, such as water, a highway or a railway, and has an opening measured along the centerline of the Roadway of more than 20 feet between: The faces of abutments; spring line of arches; extreme ends of openings of box culverts, pipes or pipe arches; or the extreme ends of openings for multiple box culverts, pipes or pipe arches.”

Page 1-12 – Remove the definition of Large Culvert in its entirety and replace with:

“Large Culvert Any structure not defined as a Culvert or Bridge that provides a drainage or non-drainage opening under the Roadway or Approaches to the Roadway, with an opening that is 5 feet but less than 10 feet.”

Remove the definition of Minor Span in its entirety and replace with:

“Minor Span Same definition as Bridge, except having an opening of between 10 feet and 20 feet, inclusive.”

SECTION 103
AWARD AND CONTRACTING

Amend this Section by adding the following:

“103.1a Tie Bids - In the case where two responsive bids from responsible bidders are equal monetarily, the Department shall determine the apparent low bidder by flipping a coin. The coin shall have sides clearly marked as heads and tails. The contractor whose first letter in their official company name that comes first in the alphabet shall be heads.

If there are three bids, each bidder will flip the coin and the bidder with the odd toss will be the winner. (i.e. if the results are two heads and a tails, the bidder who had tails is the winner). For a three way tie, bidders may flip their own coin or have the Contracts Engineer flip for them.

The coin flip will occur at the next bid opening by the Contracts and Specifications Engineer or a designee. The tied bidders may attend the coin flip in person or watch on the internet as they choose.”

In 103.3.2 Notice of Determination Revise this section by removing sections A – M and replacing with the following A - K:

(A) Default(s) or termination(s) on past or current Contracts.

**(B) Failure on past or current Contracts to pay or settle all bills for labor, Materials or services;
to comply with directives of the Department, to fulfill warranty obligations, or to provide Closeout Documentation.**

(C) "Below Standard" performance as determined from the Department's Contractor's Performance Rating process.

(D) Insufficient bonding capability or Inability of the Contractor to obtain or retain performance or Payment Bonds meeting MDOT requirements, or a pattern of unsupported Claims.

(E) Failure to accept an Award of a Contract made by the Department.

(F) Failure to provide information requested by the Department in a timely manner.

(G) Debarment, suspension or a denial of prequalification or 'award of contract' by any federal, State, or local governmental procurement agency or the Contractor's Agreement to refrain from Bidding as part of the settlement with any such agencies or any of the reasons contained in Section 102.02 of the "Rules Regarding Debarment of Contractors", Maine Department of Transportation Register 17-229, Chapter 102 (October 2, 1985).

(H) Failure to demonstrate ability to do work to the satisfaction and at the sole discretion of the Department.

(I) Number of personnel working directly for the Contractor with applicable knowledge and experience is significantly below industry standards.

(J) Safety Record, Environmental Record, Civil Rights or Equal Opportunity Record significantly below industry standards.

(K) Serious misconduct that the Department reasonably determines will substantially and adversely affect the cost, quality or timeliness of Work, or the safety of Workers or the public, any deceptive, evasive or fraudulent statements or omissions contained in the Application, made or omitted at any interview or hearing, or otherwise made to or omitted from the Department; or any other substantial deficiencies in experience or conduct that are clearly below industry standards and that clearly demonstrate in the sole discretion of the Department, that the Contractor is "Not Qualified".

SECTION 104

GENERAL RIGHTS AND RESPONSIBILITIES

This Section shall be amended by adding the following two sub-sections:

104.3.8.1 Electronic Payroll Submission On federally funded projects the prime contractor, all subcontractors, and lower-tier subcontractors will submit their certified payrolls electronically utilizing the Elations system. There is no charge to the contracting community for the use of this service. The submission of paper payrolls will not be allowed or accepted. Additional information can be found at <http://www.maine.gov/mdot/contractors/> under the “Bidder Info” go to “Electronic Payroll System.”

104.3.8.2 Payment Tracking On federally funded projects the prime contractor and all subcontractors and lower-tier subcontractors will track and confirm the delivery and receipt of all payments through the Elation System

104.4.10 Coordination of Road Closure / Bridge Closure / Bridge Width Restrictions
Revise the last sentence by adding a period after ‘Resident’; remove the “and” after Resident; and adding “**not covered by Pay Items**” between ‘costs’ and ‘will’. So that the last paragraph reads “**All Newspaper notices, radio announcements and any notifications will be subject to the approval of the Resident. All costs not covered by Pay Items will be considered incidental to the Contract.**”.

104.5.5 Prompt Payment of Subcontractors Add the following paragraph to this subsection:

C. Payment Tracking Federal Projects On federally funded projects, the prime contractor, subcontractors and lower-tier subcontractors will track and confirm the delivery and receipt of all payments through the Elation System. They will be responsible for entering all payments to all sub and lower tier contractors. MaineDOT will run a query monthly to ensure that contractors are complying and generate an e-mail to contractors who have not responded to confirm receipt of MaineDOT payment or contractor payment to lower tier subcontractors.

SECTION 105

GENERAL SCOPE OF WORK

105.2.5 Compliance with Health and Safety Laws Remove the second paragraph of this subsection in its entirety and replace with:

“For related provisions, see Sections 105.2.3 – Project Specific Emergency Planning, 105.3 – Traffic Control and Management and 105.4 – Maintenance of work.”

105.4.5 Special Detours Remove this subsection in its entirety and replace with:

“105.4.5 Maintenance of Existing Structures When a new Bridge or Minor Span is being installed on a new alignment and the existing structure is to remain in service, the Department will maintain the existing structure and the portions of the roadway required for maintaining traffic until such time that the new structure is opened to traffic and the existing structure is taken out of service. A similar situation exists when a new Bridge or Minor Span is being installed on the same alignment as the existing structure, requiring a temporary detour to be installed by the Contractor per Section 510, Special Detours, prior to removal of the existing structure. In this case, the Department will maintain the existing structure and the portions of the existing roadway required for maintaining traffic until such time that either the temporary detour is opened to traffic or the Contractor begins any work on the existing structure, including, but not limited to, repairs, modifications, moving, demolition or removal. In either case, once the new structure or temporary detour is opened to traffic, or the Contractor begins any work on the existing structure, the Contractor shall be solely responsible for all maintenance of the existing structure and the portions of the existing approaches that lie outside the new roadway or the temporary detour, respectively. This specification is not intended to supersede Standard Specification Section 104.3.11, Responsibility for Property of Others.”

105.6.2.4 Department Verification Add the following to the end of the first sentence: **“or other approved method, such as reference staking, to allow the Department to independently verify the accuracy of the work, as approved by the Department.”**

SECTION 106 **QUALITY**

106.3.4 Storage Revise this Section by adding the following sentence after the first sentence: **“Materials shall not be stored under or in close proximity to Highway Structures unless the Contractor receives written permission from the Resident.”**

106.4.1 General - In the first sentence, remove “When required by Special Provision,” and replace with **“When required elsewhere in the Contract,”**

Revise Subsection C by replacing the last sentence with the following:

Approval of both standard and project specific QCPs shall be as outlined in paragraph B above, with the exception that the initial 14 day review period for standard plans will begin on March 1, and that the supplemental project specific QCP for the project shall be submitted a minimum of 14 days prior to any related work being performed with an initial review period of 7 days.

SECTION 107 **TIME**

107.7.2 SCHEDULE OF LIQUIDATED DAMAGES

Revise this section by removing the numbers in the chart and replace with the following:

Original Contract Amount		Per Diem Amount of Liquidated Damages	
From More Than	To and Including	Calendar Day	
\$ 0	to \$ 100,000.00		\$250.00
\$ 100,000.00	to \$ 250,000.00		\$500.00
\$ 250,000.00	to \$ 500,000.00		\$650.00
\$ 500,000.00	to \$1,000,000.00		\$800.00
\$1,000,000.00	to \$2,000,000.00		\$1,000.00
\$2,000,000.00	to \$4,000,000.00		\$1,200.00
\$4,000,000.00	and More		\$2,100.00

SECTION 108 **PAYMENT**

108.3 Retainage - Remove the paragraph beginning with “ The Contractor may withdraw...” in its entirety.

108.4.1 Price Adjustment for Hot Mix Asphalt:

Remove this section in its entirety and replace with the following

For all contracts with hot mix asphalt in excess of 500 tons total, a price adjustment for performance graded binder will be made for the following pay items:

Item 403.102	Hot Mix Asphalt – Special Areas
Item 403.206	Hot Mix Asphalt - 25 mm
Item 403.207	Hot Mix Asphalt - 19 mm
Item 403.2071	Hot Mix Asphalt - 19 mm (Polymer Modified)
Item 403.2072	Hot Mix Asphalt - 19 mm (Asphalt Rich Base)
Item 403.208	Hot Mix Asphalt - 12.5 mm
Item 403.2081	Hot Mix Asphalt - 12.5 mm (Polymer Modified)
Item 403.209	Hot Mix Asphalt - 9.5 mm (sidewalks, drives, & incidentals)
Item 403.210	Hot Mix Asphalt - 9.5 mm
Item 403.2101	Hot Mix Asphalt - 9.5 mm (Polymer Modified)
Item 403.2102	Hot Mix Asphalt - 9.5 mm (Asphalt Rich Base)

Item 403.2104	Hot Mix Asphalt - 9.5 mm (Thin Lift Surface Treatment)
Item 403.21041	Hot Mix Asphalt - 9.5 mm (Polymer Modified Thin Lift Surface Treatment)
Item 403.211	Hot Mix Asphalt – Shim
Item 403.2111	Hot Mix Asphalt – Shim (Polymer Modified)
Item 403.212	Hot Mix Asphalt - 4.75 mm (Shim)
Item 403.213	Hot Mix Asphalt - 12.5 mm (base and intermediate course)
Item 403.2131	Hot Mix Asphalt - 12.5 mm (base and intermediate course Polymer Modified)
Item 403.2132	Hot Mix Asphalt - 12.5 mm (Asphalt Rich Base and intermediate course)
Item 403.214	Hot Mix Asphalt - 4.75 mm (Surface)
Item 403.235	Hot Mix Asphalt (High Performance Rubberized HMA)
Item 403.301	Hot Mix Asphalt (Asphalt Rubber Gap-Graded)
Item 404.70	Colored Hot Mix Asphalt – 9.5mm (Surface)
Item 404.72	Colored Hot Mix Asphalt – 9.5mm (Islands, sidewalks, & incidentals)
Item 461.13	Light Capital Pavement
Item 461.210	9.5 mm HMA - Paver Placed Surface
Item 462.30	Ultra-Thin Bonded Wearing Course
Item 462.301	Polymer Modified Ultra-Thin Bonded Wearing Course

Price adjustments will be based on the variance in costs for the performance graded binder component of hot mix asphalt. They will be determined as follows:

The quantity of hot mix asphalt for each pay item will be multiplied by the performance graded binder percentages given in the table below times the difference in price between the base price and the period price of asphalt cement. Adjustments will be made upward or downward, as prices increase or decrease.

Item 403.102–6.2%
Item 403.206–4.8%
Item 403.207–5.2%
Item 403.2071–5.2%
Item 403.2072–5.8%
Item 403.208–5.6%
Item 403.2081–5.6%
Item 403.209–6.2%
Item 403.210–6.2%
Item 403.2101–6.2%
Item 403.2102–6.8%
Item 403.2104–6.2%
Item 403.21041–6.2%
Item 403.211–6.2%
Item 403.2111–6.2%
Item 403.212–6.8%
Item 403.213–5.6%
Item 403.2131–5.6%

Item 403.2132–6.2%
Item 403.214–6.8%
Item 403.235–5.5%
Item 403.301–6.2%
Item 404.70–6.2%
Item 404.72–6.2%
Item 461.13–6.7%
Item 461.210 – 6.4%
Item 462.30–0.0021 tons/SY
Item 462.301–0.0021 tons/SY

Hot Mix Asphalt: The quantity of hot mix asphalt will be determined from the quantity shown on the progress estimate for each pay period.

Base Price: The base price of performance graded binder to be used is the price per standard ton current with the bid opening date. This price is determined by using the average New England Selling Price (Excluding the Connecticut market area), as listed in the Asphalt Weekly Monitor.

Period Price: The period price of performance graded binder will be determined by the Department by using the average New England Selling Price (Excluding the Connecticut market area), listed in the Asphalt Weekly Monitor current with the paving date. The maximum Period Price for paving after the adjusted Contract Completion Date will be the Period Price on the adjusted Contract Completion Date.

SECTION 109 **CHANGES**

109.5.1 Definitions - Types of Delays

Delete Paragraph 'A' in its entirety and replace with:

"A. Excusable Delay Except as expressly provided otherwise by this Contract, an "Excusable Delay" is a Delay to the Critical Path that is directly and solely caused by (1) a weather related Event of such an unusually severe nature that a Federal Emergency Disaster is declared. The Contractor will only be entitled to an adjustment of time if the Project falls within the geographic boundaries prescribed under the disaster declaration. or (2) a flooding event at the effected location of the Project that results in a Q25 headwater elevation, or greater, but less than a Q50 headwater elevation. Theoretical headwater elevations will be determined by the Department; actual headwater elevations will be determined by the Contractor and verified by the Department or (3) An Uncontrollable Event."

SECTION 110 **INDEMNIFICATION, BONDING AND INSURANCE**

110.3.9 Administrative & General Provisions

B. Defense of Claims Amend this section by adding the following sentence to the end:
“The Contractor’s insurer shall name the Department of Transportation as a released party (Releasee”) on any release or settlement agreement for settled claims.”

APPENDIX A TO DIVISION 100

Remove Section D in its entirety as this is now covered in Section 105.10 EQUAL OPPORTUNITY AND CIVIL RIGHTS.

SECTION 203
EXCAVATION AND EMBANKMENT

203.02 Materials

At the bottom of page 2-12, add as the first item in the list:

Crushed Stone, ¾ inch 703.13

203.042 Rock Excavation and Blasting

On page 2-16, add the word “**No**” to the third sentence in Section 5 Submittals, Subsection V, 1 so that it reads:

“No blasting products will be allowed on the job site if the date codes are missing.”

203.09 Preparation of Embankment Area Revise the first sentence of the second paragraph so that it reads:

“When fill material is placed against existing slopes or previously placed fill, the interface shall be continuously benched by excavating steps of sufficient width to permit operations of placing and compacting the additional material.”

SECTION 304
AGGREGATE BASE AND SUBBASE COURSE

Remove Section 304.02 entirely and replace with the following:

“304.02 Aggregate Aggregates shall conform to the requirements specified in the following Subsections of Division 700 - Materials:

Aggregate Base Type A & B
 Aggregate Base Type C
 Aggregate Subbase Type D&E

March 8, 2019
 703.06
 Supersedes February 13, 2019
 703.06 b
 703.06 c

Aggregate for base or subbase courses shall be material meeting the aggregate type requirements specified in the following table.

Material	Aggregate Type (Subsection 703.06)
Base Course, Crushed	¹ A, B or C
Subbase Course, Gravel	¹ D
Subbase Course, Gravel, Below 9"	² D or E
¹ Will be designated on the plans ² Contractor's option	

When designated on the plans, Type E Subbase may be used 9 inches below and lower beneath the pavement

For The various classes of base and subbase, at the time it is deposited on the roadbed shall conform to the gradation requirements of the contract. The Department will obtain samples from the roadbed for Acceptance prior to compaction Oversized stones shall be removed from the aggregate before depositing on the roadway.

Oversized stones for the various types are as

follows: Type A will not pass a 2 inch square mesh sieve

Type B and Type C will not pass a 4 inch square mesh sieve

Type D and E will not pass a 6 inch square mesh sieve. “

304.04 Shaping, Compacting, and Stabilizing Revise the first paragraph in this section by removing “according to AASHTO T 224” and “(An Adjustment Chart/Spreadsheet for this correction is available upon request). “ so that it reads:

304.04 Shaping, Compacting and Stabilizing Compaction of each layer of base and subbase shall continue until a density of not less than 95% of the maximum density has been achieved for the full width and depth of the layer. The maximum density shall be determined in accordance with AASHTO T180, Method C or D, correcting for oversize particles except mixtures may have 40 percent or less retained on the ¾ inch sieve. Field density tests will be performed by the Department in accordance with AASHTO T 310.

SECTION 307 **FULL DEPTH RECYCLED PAVEMENT**

Remove this Section in its entirety and replace with:

SECTION 307 **FULL DEPTH RECYCLING**

(UNTREATED OR TREATED WITH EMULSIFIED ASPHALT STABILIZER)

307.01 Description This work shall consist of pulverizing a portion of the existing roadway structure into a homogenous mass, adding an emulsified asphalt stabilizer (if required) to the depth of the pulverized material specified in the contract, placing and compacting this material to the lines, grades, and dimensions shown on the plans or established by the Resident.

MATERIALS

307.02 Pulverized Material Pulverized material shall consist of the existing asphalt pavement layers and one inch or more as specified of the underlying gravel, pulverized and blended into a homogenous mass. Pulverized material will be processed to 100% passing a 2 inch square mesh sieve.

307.021 New Aggregate and Additional Recycled Material New aggregate, if required by the contract, shall meet the requirements of Subsection 703.10 - Aggregate for Untreated Surface Course and Leveling Course, Type A. Aggregate Subbase Course Gravel Type D processed to 100 percent passing a 2 inch square mesh sieve and meeting the requirements of 703.06 – Aggregate for Base and Subbase may be used in areas requiring depths greater than 2 inches. New aggregate, will be measured and paid for under the appropriate item.

Recycled material, if required, shall consist of salvaged asphalt material from the project or from off-site stockpiles that has been processed before use to 100 percent passing a 2 inch square mesh sieve. Recycled material shall be conditionally accepted at the source by the Resident. It shall be free of winter sand, granular fill, construction debris, or other materials not generally considered asphalt pavement.

Recycled material generated and salvaged from the project shall be used within the roadway limits to the extent it is available as described in 307.09. No additional payment will be made for material salvaged from the project.

Recycled material supplied from off-site stockpiles shall be paid for as described in the contract, or by contract modification.

307.022 Emulsified Asphalt Stabilizer. If required, the emulsified asphalt stabilizer shall be grade MS-2, MS-4, SS-1, or CSS-1 meeting the requirements of Subsection 702.04 Emulsified Asphalt.

307.023 Water Water shall be clean and free from deleterious concentrations of acids, alkalis, salts or other organic or chemical substances.

307.024 Portland Cement If required, Portland Cement shall be Type I or II meeting the requirements of AASHTO M85.

307.025 Hydrated Lime If required, Hydrated Lime shall meet the requirements of AASHTO M216.

EQUIPMENT

307.03 Pulverizer The pulverizer shall be a self-propelled machine, specifically manufactured for full-depth recycling work and capable of reducing the required existing materials to a size that will pass a 2 inch square mesh sieve. The machine shall be equipped with standard automatic depth controls and must maintain a consistent cutting depth and width. The machine also shall be equipped with a gauge to show depth of material being processed.

307.04 Liquid Mixer Unit or Distributor. If treatment of the recycled layer with emulsified asphalt is required by the contract, a liquid mixing unit or distributor shall be used to introduce the emulsified asphalt stabilizer into the pulverized material. The mixing unit shall contain a liquid distribution and mixing system which has been specifically manufactured for full-depth recycling work, capable of mixing the pulverized material with an evenly metered distribution of emulsified asphalt into a homogeneous mixture, to the depth and width required.

The mixing unit shall be designed, equipped, maintained, and operated so that emulsified asphalt stabilizer at constant temperature may be applied uniformly on variable widths of pulverized material up to 6 feet at readily determined and controlled rates from 0.01 to 1.06 gal/yd² with uniform pressure and with an allowable variation from any specified rate not to exceed 0.01 gal/ yd². Mixing units shall include a tachometer, pressure gages, and accurate volume measuring devices or a calibrated tank and a thermometer for measuring temperatures of tank contents.

307.041 Cement or Lime Spreader If required by the contract, spreading of the Portland Cement or Hydrated Lime shall be done with a spreader truck designed to spread dry particulate (such as Portland Cement or Lime) or other approved means to insure a uniform distribution across the roadway and minimize fugitive dust. Pneumatic application, including through a slotted pipe, will not be permitted. Other systems that have been developed include fog systems, vacuum systems, etc. Slurry applications may also be accepted. The Department reserves the right to accept or reject the method of spreading cement. The Contractor shall provide a method for verifying that the correct amount of cement is being applied.

307.05 Placement Equipment Placement of the Full Depth recycled material to the required slope and grade shall be done with an approved highway grader or by another method approved by the Resident.

307.06 Rollers The full depth recycled material shall be rolled with a vibratory pad foot roller, a vibratory steel drum soil compactor and a pneumatic tire roller. The pad foot roller drum shall have a minimum of 112 tamping feet 3 inches in height, a minimum contact area per foot of 17 inch², and a minimum width of 84 inches. The vibratory steel drum roller shall have a minimum 84 inch width single drum. The pneumatic tire roller shall meet the requirements of Section 401.10 and the minimum allowable tire pressure shall be 85 psi.

MIX DESIGN

If treatment of the recycled layer with emulsified asphalt is required by the contract, the Department will supply a mix design for the emulsified asphalt stabilized material based on test results from pavement and soil analysis taken to the design depth. The Department will provide the following information prior to construction:

1. Percent of emulsified asphalt to be used.
2. Quantity of lime or cement to be added.
3. Optimum moisture content for proper compaction.
4. Additional aggregate (if required).

After a test strip has been completed or as the work progresses, it may be necessary for the Resident to make necessary adjustments to the mix design. Changes to compensation will be in accordance with the Mix Design Special Provision.

CONSTRUCTION REQUIREMENTS

307.06 Pulverizing The entire depth of existing pavement shall be pulverized together with 1 inch or more of the underlying gravel into a homogenous mass. All pulverizing shall be done with equipment that will provide a homogenous mass of pulverized material, processed in-place, which will pass a 2 inch square mesh sieve.

307.07 Weather Limitations Full depth recycled work shall be performed when;

- A. Recycling operations will be allowed between May 15th and September 15th inclusive in Zone 1 - Areas north of US Route 2 from Gilead to Bangor and north of Route 9 from Bangor to Calais. Recycling will be allowed between May 1st and September 30th inclusive in Zone 2 - Areas south of Zone 1 including the US Route 2 and Route 9 boundaries.
- B. The atmospheric temperature, as determined by an approved thermometer placed in the shade at the recycling location, is 50°F and rising.
- C. When there is no standing water on the surface.
- D. During generally dry conditions, or when weather conditions are such that proper pulverizing, mixing, grading, finishing and curing can be obtained using proper procedures, and when compaction can be accomplished as determined by the Resident.
- E. When the surface is not frozen and when overnight temperatures are expected to be above 32°F.
- F. Wind conditions are such that the spreading of lime or cement on the roadway ahead of the recycling machine will not adversely affect the operation.

307.08 Surface Tolerance The complete surface of the Full Depth Recycled course shall be shaped and maintained to a tolerance, above or below the required cross sectional shape, of $\frac{3}{8}$ inch.

307.09 Full Depth Recycling Procedure New aggregate or recycled material meeting the requirements of Section 307.021 - New Aggregate and Additional Recycled Material, shall be added as necessary to restore cross-slope and/or grade before pulverizing. Locations will be

shown on the plans or described in the construction notes. The Resident may add other locations while construction of the project is in progress. The Contractor will use recycled material to the extent it is available, in lieu of new aggregate. The material shall then be pulverized, processed, and blended into a homogeneous mass passing a 2 inch square mesh sieve. Material found not pulverized down to a 2 inch size will be required to be reprocessed by the recycler with successive passes until approved by the Resident.

Should the Contractor be required to add new aggregate or recycled material to restore cross-slope and/or grade after the initial pulverizing process, those areas will require re-processing to blend into a homogenous mass passing a 2 in square mesh sieve.

Sufficient water shall be added during the recycling process to maintain optimum moisture for compaction.

The resultant material from the initial pulverizing processes shall be graded and compacted to the cross-slope and profile shown on the plans or as directed by the Resident. The Contractor will also be responsible for re-establishing the existing profile grade. The completed surface of the full depth recycled course shall be shaped and maintained to a tolerance, above or below the required cross sectional shape, of $\frac{3}{8}$ inch. Areas not meeting this tolerance will be repaired as described in Section 307.091. The initial pulverizing process density requirements will be the same as Section 307.101 unless otherwise directed by the Resident.

Additives, if required, shall be introduced following completion of the initial pulverizing and blending process. Emulsified asphalt stabilizer shall be incorporated into the top of the processed material as specified in section 307.04 to the depth specified in the contract by use of the liquid mixer unit or a distributor, at the rate specified in the mix design. The emulsified asphalt shall then be uniformly blended into a homogeneous mass until an apparent uniform distribution has occurred. The rate of application may be adjusted as necessary by the Resident. Cement or lime shall be introduced as described in section 307.041. The resultant material shall be graded and compacted to the cross-slope and profile shown on the plans or as directed by the Resident. The Contractor will also be responsible for re-establishing the existing profile grade.

After final compaction, the roadway surface shall be treated with a light application of water, and rolled with pneumatic-tired rollers to create a close-knit texture. The finished layer shall be free from:

- A. Surface laminations.
- B. Segregation of fine and coarse aggregate.
- C. Corrugations, centerline differential, potholes, or any other defects that may adversely affect the performance of the layer, or any layers to be placed upon it.

The Contractor shall protect and maintain the recycled layer until a lift of pavement is applied. Any damage or defects in the layer shall be repaired immediately. An even and uniform surface shall be maintained. The recycled surface shall be swept prior to hot mix asphalt overlay placement.

307.091 Repairs Repairs and maintenance of the recycled layers, resulting from damage caused by traffic, weather or environmental conditions, or resulting from damage caused by the Contractor's operations or equipment, shall be completed at no additional cost to the Department.

For recycled layers stabilized with emulsified asphalt, low areas will be repaired using a hot mix asphalt shim. Areas up to 1 inch high can be repaired by milling or shimming with hot mix asphalt. Areas greater than 1 inch high will be repaired using a hot mix asphalt shim. All repair work will be done with the Resident's approval at the Contractor's expense.

TESTING REQUIREMENTS

307.10 Quality Control The Contractor shall operate in accordance with the approved Quality Control Plan (QCP) to assure a product meeting the contract requirements. The QCP shall meet the requirements of Section 106.4 - Quality Control and this Section. The Contractor shall not begin recycling operations until the Department approves the QCP in writing. Prior to performing any recycling process, the Department and the Contractor shall hold a Pre-recycle conference to discuss the recycling schedule, type and amount of equipment to be used, sequence of operations, and traffic control. A copy of the QC random numbers to be used on the project shall be provided to the Resident. All field supervisors including the responsible onsite recycling process supervisor shall attend this meeting.

The QCP shall address any items that affect the quality of the Recycling Process including, but not limited to, the following:

- A. Sources for all materials, including New Aggregate and Additional Recycled Material.
- B. Make and type of rollers including weight, weight per inch of steel wheels, and average contact pressure for pneumatic tired rollers.
- C. Testing Plan.
- D. Recycling operations including recycling speed, methods to ensure that segregation is minimized, grading and compacting operations.
- E. Methods for protecting the finished product from damage and procedures for any necessary corrective action.
- F. Method of grade checks.
- G. Examples of Quality Control forms.
- H. Name, responsibilities, and qualifications of the Responsible onsite Recycling Supervisor experienced and knowledgeable with the process.
- I. A note that all testing will be done in accordance with AASHTO and MDOT/ACM procedures.

The Project Superintendent shall be named in the QCP, and the responsibilities for successful implementation of the QCP shall be outlined.

The Contractor shall sample, test, and evaluate the full depth reclamation process in accordance with the following minimum frequencies:

MINIMUM QUALITY CONTROL FREQUENCIES

Test or Action	Frequency	Test Method
Density	1 per 1000 feet / lane	AASHTO T 310
Air Temperature	4 per day at even intervals	
Surface Temperature	At the beginning and end of each days operation	
Yield of all materials (Daily yield, yield since last test, and total project yield.)	1 per 1000 ft/lane	

The Department may view any QC test and request a QC test at any time. The Contractor shall submit all QC test reports and summaries in writing, signed by the appropriate technician, to the Department's onsite representative by 1:00 P.M. on the next working day, except when otherwise noted in the QCP due to local restrictions. The Contractor shall make all test results, including randomly sampled densities, available to the Department onsite.

The Contractor shall cease recycling operations whenever one of the following occurs:

- A. The Contractor fails to follow the approved QCP.
- B. The Contractor fails to achieve 98 percent density after corrective action has been taken.
- C. The finished product is visually defective, as determined by the Resident.
- D. The computed yield differs from the mix design by 10 percent or more.

Recycling operations shall not resume until the Department approves the corrective action to be taken.

307.101 Test Strip The contractor shall assemble all items of equipment for the recycling operation on the first day of the recycling work. The Contractor shall construct a test strip for the project at a location approved by the Resident. The Responsible onsite Recycling Supervisor will work with Department personnel to determine the suitability of the mixed material, moisture control within the mixed material, and compaction and surface finish. The test strip section is required to:

- A. Demonstrate that the equipment and processes can produce recycled layers to meet the requirements specified in these special provisions.

- B. Determine the effect on the gradation of the recycled material by varying the forward speed of the recycling machine and the rotation rate of the milling drum.**

- C. Determine the optimum moisture necessary to achieve proper compaction of the recycled layer.
- D. Determine the sequence and manner of rolling necessary to obtain the compaction requirements and establish a target density. The Contractor and the Department will both conduct testing with their respective gauges at this time.

The test strip shall be at least 300 feet in length of a full lane-width (or a half-road width). Full recycling production will not start until a passing test strip has been accomplished. If a test strip fails to meet the requirements of this specification, the Contractor will be required to repair or replace the test strip to the satisfaction of the Resident. Any repairs, replacement, or duplication of the test strip will be at the Contractor's expense.

After the test strip has been pulverized, and the roadway brought to proper shape, the Contractor shall add water until it is determined that optimum moisture has been obtained. The test strip shall then be rolled using the specified compaction equipment as directed until the density readings show an increase in dry density of less than 1 pcf for the final four roller passes of each roller. The Contractor and Department will each determine a target density using their respective gauges by performing several additional density tests and averaging them. The average of these tests will be used as the target density of the recycled material for QC and Acceptance purposes.

Following completion of the test strip, compaction of the material shall continue until a density of not less than 98 percent of the test strip target density has been achieved for the full width and depth of the layer. During the construction and compaction of the Full Depth Recycled base, should three consecutive Acceptance test results for density fail to meet a minimum of 95 percent of the target density, or exceed 102 percent of target density, a new test strip shall be constructed.

ACCEPTANCE TEST FREQUENCY

Property	Frequency	Test Method
In-place Density	1 per 2000 ft / lane	AASHTO T 310

307.102 Curing. No new pavement shall be placed on the full depth recycled pavement until curing has reduced the moisture content to 1 percent or less by total weight of the mixture, or a curing period of 4 days has elapsed, whichever comes first.

307.11 Method of Measurement Full Depth Recycled Pavement (Untreated or Treated with Emulsified Asphalt Stabilizer) will be measured by the square yard.

307.12 Basis of Payment The accepted quantity of Full Depth Recycled Asphalt Pavement (Untreated or Treated with Emulsified Asphalt Stabilizer) will be paid for at the contract unit price per square yard, complete in-place which price will be full compensation for furnishing all equipment, materials and labor for pulverizing, blending, placing, grading, compacting, and for all incidentals necessary to complete the work.

The addition of materials to restore profile grade and/or cross-slope in areas shown on the plans or described in the construction notes will be paid separately under designated pay items within the contract. No additional payment will be made for materials salvaged from the project.

Payments will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
307.331 Full Depth Recycled Pavement (Untreated)	Square Yard
307.332 Full Depth Recycled Pavement (with Emulsified Asphalt Stabilizer) 5 in. depth	Square Yard
307.333 Full Depth Recycled Pavement (with Emulsified Asphalt Stabilizer) 6 in. depth	Square Yard

SECTION 411 **UNTREATED AGGREGATE SURFACE COURSE**

411.02 – Aggregate Add the following to the end of the first sentence: “- Type A”

SECTION 501 **FOUNDATION PILES**

501.05 – Method of Measurement

b. **Piles Furnished** – After the second sentence, add the sentence “**Measurement will not include any pile tips**”.

c. **Piles in Place** – Add the sentence to the end of the second paragraph, “**Measurement will include the pile tips**”.

d. **Pile Tips** – Add the words “**on the Pile**” to the end of the sentence.

SECTION 502 **STRUCTURAL CONCRETE**

502.05 Composition and Proportioning

Replace Table 1 with

TABLE 1

Concrete CLASS	Minimum Compressive Strength (PSI)	Permeability as indicated by Surface Resistivity (KOhm-cm)	Entrained Air (%)		Notes
			LSL	USL	
S	3,000	LSL N/A	N/A	N/A	4,5
A	4,000	14	6.0	9.0	1,4,5
P	-----	-----	5.5	7.5	1,2,3,4
LP	5,000	17	6.0	9.0	1,4,5
Fill	3,000	N/A	6.0	9.0	4,5

In the list of information submitted by the contractor for a mix design:

Item J Replace “Target Coulomb Value.” with “Target KOhm-cm Value.”

Note #1 - Remove, “...**Standard Specification Section 711.05, Protective Coating for Concrete Surfaces, and per the manufacturer’s recommendations, at no additional cost to the Department.**” and replace with, “...**Standard Specification Section 515, Protective Coating for Concrete Surfaces, at no additional cost to the Department.**”

502.1703 Acceptance Methods A and B

In the paragraph that starts with “The Department will take Acceptance...” Remove the word chloride from chloride permeability in the last sentence.

Replace the paragraph starting with “Rapid Chloride Permeability specimens...” With the following:

“Surface Resistivity specimens will be tested by the Department in accordance with AASHTO TP-95 at an age $\geq$ 56 days. Four 4 inch x 8 inch cylinders will be cast per subplot placed. The average of three concrete specimens per subplot will constitute a test result and this average will be used to determine the permeability for pay adjustment computations.”

502.1706 Acceptance Method C

Remove in its entirety and Replace with:

502.1706 Acceptance Method C The Department will determine the acceptability of the concrete through Acceptance testing. Acceptance tests will include compressive strength, air content and permeability. Method C concrete not meeting the requirements listed in Table 1 shall be removed and replaced at no cost to the Department. At the Department's sole discretion, material not meeting requirements may be left in place and paid for at a reduced price as described in Section 502.195.

502.1707 Resolution of Disputed Acceptance Test Results
Section B

Remove "Rapid Chloride" from the section heading.
In paragraph 4 replace T-277 with TP-95

502.192 Pay Adjustment for Chloride Permeability

Remove "Chloride" from the heading and from the first sentence.

Replace the sentence that starts with "values greater than..." and replace with "values less than 10 KOhms-cm for Class A concrete or 11 KOhms-cm for Class LP concrete shall be subject to rejection and replacement, at no additional cost to the Department."

502.194 Pay Adjustments for Compressive Strength, Chloride Permeability and Air Content, Methods A and B

Remove the word "Chloride" from the section heading and from the equation for CPF.

502.195 Pay Adjustment Method C

In Table 6: Method C Pay Reductions (page 5-53)
Under "Entrained Air" for "Class Fill", in the first line,
change from "< 4.0 (Removal)" to "< **4.5 (Removal)**"

In Table 6: Method C PAY REDUCTIONS, revise the Chloride Permeability section by removing it in its entirety and replacing it with:

Surface Resistivity {Permeability in Kohm-cms and Pay Reduction per CY}			
15-16 (\$50)	13 (\$25)	N/A	N/A
13-14 (\$75)	12(\$50)	N/A	N/A
12 (\$100)	11 (\$75)	N/A	N/A
11 (\$125)	10 (\$100)	N/A	N/A
< 11 (Removal)	< 10 (Removal)	N/A	N/A

SECTION 503 **REINFORCING STEEL**

503.06 Placing and Fastening Revise this Subsection by removing, in its entirety, the paragraph which begins, “Stainless steel reinforcement shall not be tied to any other type of reinforcement.....”

SECTION 504 **STRUCTURAL STEEL**

504.06 Inspection Revise this section by removing the last sentence in the first paragraph which reads “Make the results of all measurements and testing available to the QAI.” And replace with **“Provide a copy of all measurements and testing to the QAI.”**

504.08 Rejections Amend this section by adding the following sentences to the end of the 1st paragraph: **“Structural Defects: Repair structural defects only with the approval of the Fabrication Engineer. Submit a nonconformance report (NCR) to the Fabrication Engineer with a proposed repair procedure. Do not perform structural repairs without an NCR that has been reviewed by the Fabrication Engineer. Give the QAI adequate notice prior to beginning structural repairs.”**

504.13 Unpainted Steel Revise this section by removing the third sentence which reads “Clean steel that is abrasive-blast cleaned prior to fabrication in accordance with SSPC-SP 1 Solvent Cleaning after fabrication is complete.” And replace it with: **“Clean steel that is abrasive cleaned prior to fabrication in accordance with SSPC-SP 6 shall be cleaned in accordance with SSPC-SP 1 Solvent Cleaning after fabrication is complete.”**

504.26 Welding Remove the second paragraph beginning with “The range of heat....” in its entirety.

504.29 Welding ASTM A 709 HPS 70W Steel. Remove the third paragraph beginning with “Make Weld runoff tabs...” in its entirety.

504.55 Field Welding Revise the first paragraph by replacing the word “Resident” with **“Fabrication Engineer”**.

504.60 Holes for Base Plates Revise this section by removing the second sentence and replacing it with **“The roughness shall not exceed condition of AWS C4.1-77, Sample 4.”**

504.64 Non Destructive Testing-Ancillary Bridge Products and Support Structures Revise the first sentence under number 1 by adding **“fillet or partial penetration welds on”** between the words “of” and “each” so the first sentence reads “Examine ten percent of fillet or partial penetration welds on each production lot using Magnetic Particle (MT) inspection”.

SECTION 506

SHOP APPLIED PROTECTIVE COATING - STEEL

Revise this section by removing the subsection THERMAL SPRAY COATING entirely and replace with: THERMAL SPRAY COATING

506.30 Description This work shall consist of surface preparation and application of Thermal Spray Coatings (TSC) in accordance with the Plans and this Specification. Application of TSC to steel substrate shall be done in accordance with requirements, recommendations and appendices of the current Joint Standard *NACE NO. 12/AWS C2.23M/SSPC-CS 23.00, Specification for the Application of Thermal Spray Coatings (Metallizing) of Aluminum, Zinc, and Their Alloys and Composites for the Corrosion Protection of Steel* (The Standard) and this Specification.

The applicator shall have a minimum of five years of experience and shall provide copies of application procedures, operator qualifications, QC Manuals and repair procedures.

506.31 Submittals Submit an application procedure and QC Plan for review by the Department prior to beginning work. Submit a certified analysis of the feedstock to the Department. Submit sample copies of QC records for review. Submit copies of applicator qualifications, job history, etc. Provide the name and qualifications of the QCI.

506.32 Surface Preparation Prior to abrasive blast cleaning, round all corners exposed in the assembled product to approximately a 3/32 inch radius. A series of tangents to the approximate radius will be considered acceptable. Remove hardened condition on thermal cut surfaces. Abrasive blast clean all surfaces to be coated in accordance with The Standard and *SSPC-SP 5, White Metal Blast Cleaning* (SP 5). Use SSPC-VIS. 1 as a visual standard to determine acceptable cleanliness. Inspect the substrate immediately before spray application.

The anchor profile shall be per The Standard (minimum 2.5 mils). Measure and record the anchor profile in accordance with *ASTM D4417 Method B or C (Replica Tape)* or both on each plane to be sprayed or at 120 ° intervals on pipe or tube. Measure at the frequency in The Standard. Angular blast media shall conform with The Standard. If the anchor profile fails to meet the minimum required profile, re-blast the substrate until the required anchor profile is achieved.

If compressed air is used for abrasive blast cleaning, perform a blotter test in accordance with *ASTM D4285* at the beginning of each shift. Empty moisture traps at the beginning of each shift and at any time thereafter when moisture appears to be present on the substrate. Notify the QAI prior to performing the test in order that the QAI can witness the blotter test.

506.33 TSC Requirements The coating thickness shall be a minimum of 14 mils. The DFT on faying surfaces shall not exceed the thickness tested for Class B slip coefficient rating. The TSC shall have a minimum tensile bond per The Standard. Test the tensile bond in accordance with *ASTM D4541*. The frequency of testing shall be per The Standard. The test

location will be as directed by the QAI. The specified tensile force shall be applied to the TSC and removed. If the test does not reveal a failure of the TSC, the tensile bond shall be considered acceptable. Repair or recoat unacceptable work. Tensile testing may be performed on witness panels coated by each technician on each shift TSC is applied; notify the QAI so witness panel coating may be observed.

Perform a bend test as described The Standard, at the beginning of each shift. If the bend test fails, take corrective action and perform another test. After performing the bend test successfully a number of times, the Fabrication Engineer may reduce the frequency of testing. Document the results of the tensile bond test and bend test and provide the results to the Department. Satisfactory bend test results with 7-12 mils thickness will be acceptable.

The TSC shall have a uniform appearance, free from blistering, cracks, loose particles, or exposed steel substrate when examined with 10-X magnification.

506.34 TSC Application Record the batch and lot numbers of the consumables. Measure the environmental conditions in the immediate vicinity of the piece(s) being coated during the coating operation and during the entire cure period for intermediate and top coat. Provide two data loggers capable of measuring ambient humidity and temperature. The data loggers shall come with software that can download the data onto a computer. Print out the data and provide a copy to the QAI for review prior to applying the subsequent coat of paint. Place the data loggers in the immediate vicinity of the coating operation during the entire application and curing cycle. The data will be used to determine that the cure/recoat time requirements for each coat have been met. Failure to comply will result in the coating being cured for the maximum time necessary to assure adequate cure as determined by the Fabrication Engineer.

506.35 Seal Coat and Top Coat Application (Paint) Apply a wash primer and/or seal coat of 2 to 3 mils thickness. The seal coat shall be compatible with an epoxy intermediate coat and a polyurethane top coat from the NEPCOAT QPL. Provide certification of compatibility between the seal coat and intermediate coat from the intermediate coat/top coat manufacturer. Top flanges of beams requiring shear connectors shall receive a seal coat only.

506.36 Materials Provide materials in accordance with 506.11.

506.37 Mixing and Application Mix and apply in accordance with 506.14.

506.38 Dry Film Thickness Measure and record the DFT in accordance with 506.15.

506.39 Touch-up and Repairs Repair damage to TSC by re-blasting the damaged area and re-applying TSC in accordance with this Specification. Perform touch-up and repairs to paint in accordance with 506.16.

SECTION 510

SPECIAL DETOURS

510.032 Geometric and Approach Design a. Horizontal alignment

The third paragraph of this section is revised to read as follows:

“The roadway width shall be increased on curved portions of the Special Detour to account for the off tracking characteristics of WB-62 vehicle in accordance with **the AASHTO publication A Policy On Geometric Design of Highways and Streets (the Green Book), chapter 3 table entitled Design Widths of Pavements for Turning Roadways.**”

SECTION 527 **ENERGY ABSORBING UNIT**

527.02 Materials This section is revised to read as follows.

527.02 Materials Work Zone Crash Cushions must comply with NCHRP Report 350. Work Zone Crash Cushions shall be selected from MaineDOT’s Qualified Products List of Crash Cushions / Impact Attenuators, or an approved equal.

SECTION 534 **PRECAST STRUCTURAL CONCRETE**

534.14 Process Control Test Cylinders

Revise this subsection to read:

“534.14 Acceptance and Quality Control Testing of Concrete Refer to Section 712.061.”

534.20 Installation of Precast Units revise this section by removing the first two paragraphs and replacing them with:

534.20 Installation of Precast Units When footings are required, install the precast units on concrete footings that have reached a compressive strength of at least 3,000 psi. Construct the completed footing surface to the lines and grades shown on the Plans. When checked with a 10 foot straightedge, the surface shall not vary more than one-quarter inch in 10 feet. The footing keyway shall be filled with a Department-approved non-shrink flowable cementitious grout with a design compressive strength of at least 5,000 psi.

Three sided frame and box culvert joints shall be sealed with a Department-approved flexible joint sealant in accordance ASTM C990. Joints shall be closed tight. Culvert units shall be equipped with joint closure mechanisms to draw units together and close joints to the required opening.

SECTION 535 **PRECAST, PRESTRESSED CONCRETE SUPERSTRUCTURE**

Section 535.08 – Quality Assurance

Revise the second paragraph to read:

“The QAI will perform acceptance sampling and testing and will witness or review documentation, workmanship and testing to assure the Work is being performed in accordance with the Contract Documents.”

Section 535.15 - Process Control Test Cylinders

Revise the first paragraph to read:

“535.15 Acceptance and Quality Control Testing of Concrete Acceptance of structural precast/prestressed units, for each day’s production, will be determined by the Department, based on compliance with this specification and satisfactory concrete testing results. At least once per week, the QAI will make 2 concrete cylinders (6 cylinders when the Contract includes permeability requirements) for use by the Department; cylinders shall be standard cured in accordance with AASHTO T23 (ASTM C31). The QAI will perform entrained air content and slump flow testing, determine water-cement ratio and determine temperature of the sampled concrete at the time of cylinder casting. All testing equipment required by the QAI to perform this testing shall be provided in accordance with Standard Specification Section 502.041, Testing Equipment. In addition, the Contractor shall provide a slump cone meeting the requirements of AASHTO T 119. Providing and maintaining testing and curing equipment shall be considered incidental to the work and no additional payment will be made.”

Insert the following as the second paragraph of Section 535.15:

“Quality Control concrete test cylinders shall be made for each day’s cast and each form bed used. Cylinders tested to determine strand release strength and design strength shall be field cured in accordance with AASHTO T23 (ASTM C31). 28 day cylinders shall be standard cured. Record unit identification, entrained air content, water-cement ratio, slump flow and temperature of the sampled concrete at the time of cylinder casting.”

SECTION 603
PIPE CULVERTS AND STORM DRAINS

603.02 Materials Amend this section by adding the following two paragraphs to the end:

“Reinforced Concrete Pipe (RCP) with inside diameters of 10 ft. (120in) or greater shall be designed, fabricated and accepted in accordance with Section 534- Precast Structural Concrete.

All Pipes or Culverts with inside diameters of 10 ft. (120in) or greater shall be designed using the current version of the AASHTO LRFD Bridge Design Specifications with Maine Modified HL-93 for Strength 1.”

SECTION 604

MANHOLES, INLETS CATCH BASINS

604.04 Adjusting Catch Basins and Manholes,

Add the following paragraph to the end of 604.04 b:

The Department will allow the use of metal ring inserts set into the manhole top frame or composite risers placed beneath the manhole frame to adjust manhole slope and grade for paving projects. The use of metal ring inserts shall be in accordance with 604.04 d. Ring Insert Requirements. The use of composite risers shall be in accordance with 604.04 e. Composite Riser Requirements.

Add the following paragraph after the first paragraph of 604.04 c:

The Department will allow the use of metal ring inserts set into the manhole top frame or composite risers placed beneath the manhole frame to adjust manhole slope and grade for paving projects. The use of metal ring inserts shall be in accordance with 604.04 d. Ring Insert Requirements. The use of composite risers shall be in accordance with 604.04 e. Composite Riser Requirements.

Add the following sections to 604.04:

d. Ring Insert Requirements Ring inserts to adjust manhole top frame slope and grade will be allowed in accordance with the following requirements:

1) Materials

- i. All ring inserts must be made of iron. *Multiple ring inserts will not be allowed.* The single ring insert may be any height up to a maximum of 2 inches tall.**
- ii. Ring inserts shall not be welded to the manhole frame to prevent brittle failure of the cast iron frame.**
- iii. Ring inserts shall be fastened to the manhole frame using liquid steel-filled epoxy such as Loctite Fixmaster Steel Liquid or equivalent. The epoxy shall be installed in accordance with the manufacturer's recommendations.**

2) Where Ring Inserts May/May Not Be Used

- i. MaineDOT will allow the use of a single manhole ring insert to raise manholes on state and state-aid highways.**
- ii. *Manhole ring inserts may not be used along state and state-aid highway sections where the speed limit is 40 miles per hour or more.* The standard brick and**

mortar or flat composite risers beneath the manhole frame must be used at these locations.

3) Construction Requirements For The Use of Iron Manhole Ring Inserts

- i. Wherever iron ring inserts are used to raise manhole top elevations, the rings shall be fastened to the existing manhole frame using liquid steel-filled epoxy. The liquid steel-filled epoxy shall be placed evenly around the entire manhole frame before placing the ring insert. *Unbonded ring inserts will not be allowed.* If the manufacturer's recommended construction practices result in loose or unacceptable manhole cover restraint, standard brick and mortar or flat composite risers beneath the manhole frame must be used at these locations.

e. Composite Riser Requirements Flat or beveled, doughnut-shaped, composite risers placed beneath the manhole frame to adjust slope and grade are allowed. The composite riser shall be fastened to both the top of the concrete cone and bottom of the manhole frame with the manufacturer's recommended epoxy. Composite risers may be used at all locations on state and state-aid highways under any legal speed limit without restriction.

SECTION 606 **GUARDRAIL**

606.09 Basis of Payment Amend the first sentence of the eighth paragraph of this subsection by removing the word "meter" and replace it with "linear foot".

SECTION 608 **SIDEWALKS**

608.021 Sidewalk Materials Revise this section by removing the second paragraph which begins with "Portland cement concrete shall..." in its entirety and replace with **"Portland cement concrete shall be Class A and meet the requirements of Section 502, Structural Concrete."**

SECTION 609 **CURB**

609.03 Vertical Stone Curb, Terminal Section and Transition Sections and Portland Cement Concrete Curb, Terminal Sections and Transition Sections

Amend this section by adding the following paragraph to the end of it:

"The Contractor may elect to substitute concrete to backfill Stone Curbing or Stone Edging at their option. If the concrete backfill option is elected, the following is added to Standard Specification 609 – Curb"

609.02 Materials Amend this section by adding the following to it:

Portland cement and Portland Pozzolan Cement	701.01
Water	701.02
Fine Aggregate for Concrete	703.01
Coarse Aggregate for Concrete	703.02

The Contractor shall submit a concrete mix design for the Portland Cement Concrete to the Resident, with a minimum designed compressive strength of 3000 psi Class Fill concrete.

609.10 Basis of Payment Revise by changing the fifth paragraph which begins with “There will be no separate payment...” this section by removing the word “cement” and replacing it with “**concrete fill, mortar**”.

SECTION 619

MULCH

619.07 Basis of Payment Amend this section by adding the words “; **Bark Mulch and Erosion Control Mix will be paid for by the Cubic Yard;**” into the first sentence so that it reads:

“The accepted areas mulched will be paid for at the contract price per unit; **Bark Mulch and Erosion Control Mix will be paid for by the Cubic Yard;** which shall be full compensation for furnishing and spreading the hay or straw and mulch binder, cellulose fiber mulch, bark mulch or erosion control mix.

Revise the second sentence by removing “ **for pay item 619.1201**” So that it reads:

“When Mulch is measured in Bales, each bale will be paid for at 60% of the contract price per Unit”.

Revise this section by removing all pay items and replace them with the following:

619.12 Mulch	Unit
619.13 Bark Mulch	Cubic Yard
619.14 Erosion Control Mix	Cubic Yard

SECTION 621

LANDSCAPING

621.0002 Materials - General

In the list of items change “Organic Humus” to “**Humus**”.

621.0019 Plant Pits and Beds

c Class A Planting

In the third paragraph beginning with “ The plant pit...” change “½ inch” to “**1 inch**”

SECTION 626
FOUNDATIONS, CONDUIT AND JUNCTION BOXES FOR HIGHWAY
SIGNING, LIGHTING AND SIGNALS

626.02 General Amend the Material list by adding the following to the list:

Gravel Borrow	703.20
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Revise the Material List by removing:

Prewired Conduit	715.04
Metallic Junction and Fuse Box	715.05

626.021 Miscellaneous Material Amend this section by adding the following to the end of it:

“All concrete for concrete encasement of conduit shall be Fill Class concrete in accordance with the applicable requirements of Section 502 – Structural Concrete.”

Amend the third paragraph that begins with “If grouting is necessary...” by adding “**included on the Qualified Product List and**” after the word “material”.

626.03 General Amend this section by adding the following section to the end of it

“626.0301 Electrical Supply Lines and Service Connections The following requirements shall apply to Electric Supply Lines and Service Connections feeding traffic signalization equipment control boxes and lighting breaker boxes.

Whenever possible, the meter and breaker panel feeding traffic signal control boxes or lighting control boxes shall be constructed within 30 feet of the service drop pole.

All underground service connections that are constructed in trenches and carrying Secondary Utility Power to a MaineDOT meter and breaker panel, or, directly to MaineDOT traffic signalization control cabinets or lighting breaker boxes shall be in Rigid Metal Conduit or concrete encased PVC conduit.

Where trenchless technologies are employed to install the service connection conduit, Schedule 120 PVC conduit shall be used for the trenchless bore section of conduit. In addition, concrete encasement shall be used for any PVC conduit placed in trench sections and carrying Secondary Utility Power more than 10 feet before or after the limits of the trenchless bore conduit.

The construction practices described above shall be used for service connections up to a maximum of 600 feet. There may be rare exceptional cases where the service connection must

exceed 600 feet. In these cases, the power companies may require primary power be run over 600 feet for the purpose of power consumption and dependable service. These cases will be evaluated on a case-by-case basis for alternate power feed methods and/or the need for steel or concrete encased conduit.”

626.031 Conduit Revise this section by removing the second paragraph which begins with “Trenches for conduits...” and replace it with the following:

“Trenches for conduits shall be excavated to a width that will permit proper installation of the conduit and to a minimum depth of 3 feet below finish grade as measured from the top of the conduit. If deeper depths are required, the conduit shall be installed at the depth shown on the plans or as directed. Conduit shall not interfere with poles, guardrail posts, sign foundations or other objects.”

Amend the third paragraph which begins with “All junction or pull boxes...” by adding **“concrete, in accordance with the applicable requirements of Section 502 – Structural Concrete,”** after Class LP.

Revise the fifth paragraph which begins with “After the trench has been...” by adding the following to the end of it:

“Where concrete encasement is required around the conduit, backfilling with approved material may begin adjacent to and above the encased conduit no sooner than 24 hours after concrete placement.”

Remove the following:

“All underground conduit shall be placed to at least the depth shown on the plans and shall not interfere with poles, guardrail posts, sign foundations or other objects.”

Revise the paragraph beginning with “All conduit ends shall...” by removing “Prewired Conduit shall be sealed during construction to prevent entry of moisture, dirt, or rocks.”

626.033 Polyvinylchloride Conduit Installation Amend the first paragraph of this section which begins with “Polyvinylchloride conduit and High Density...” by adding the following to the end of it:

“In addition, PVC conduit used for Electrical Supply Lines and Services constructed as underground service connections in trenches and carrying Secondary Utility Power to a MaineDOT meter and breaker panel, or, directly to MaineDOT traffic signalization control cabinets or lighting breaker boxes shall be concrete encased. When trenchless technologies are used to install PVC conduit, concrete encasement shall not be required.

Concrete encasement shall consist of a minimum of 4 inches of concrete above, below and on both sides of the conduit that shall have a minimum compressive strength of 3000 psi and a maximum aggregate size of 1-inch (Fill Class concrete). The concrete encasement may be backfilled no sooner than 24 hours after placement. “

“NON-METALLIC UNDER PAVEMENT CONDUIT INSTALLATION

Where noted on the drawings, non-metallic under pavement conduit of schedule 80 or greater rating shall be provided to facilitate conduit crossing of the existing highway and ramps without disruption to the existing highway and ramp pavement surface. The non-metallic under pavement conduit shall be hydraulically jacked or directional bored below the highway and ramp at a depth of not less than (36 inches). Under pavement conduit shall extend for a distance of (10 feet) beyond the highway or ramp edge at each side.”

Amend the sixth paragraph which begins with “Where PVC conduit runs are...” by changing “3 inch minimum bedding” to **“6 inch minimum bedding”**.

626.034 Concrete Foundations

Revise this section by removing the third paragraph which begins with “In the absence of Design Requirements...” in its entirety and replace with the following:

“In the absence of design requirements being provided on the plans, the Contractor shall prepare and submit the foundation design(s) to the Department for review. The Contractor may propose an alternate shallow spread footing or drilled shaft configuration/design than that set forth on the drawings. Design shall be in accordance with AASHTO LRFD Specifications for Structural Supports for Highway Sign, Luminaires and Traffic Signals, current edition; AASHTO LRFD Bridge Design Specifications, current edition; and FHWA-NHI-10-016 Drilled Shafts, Construction Procedures and Design Methods, current edition. Where conflicting requirements occur, the more stringent requirements shall govern. In addition to other design requirements, foundation design shall account for Torsion for which a minimum Factor of Safety equal to 1.2 shall be achieved. In evaluating axial capacity and torsional resistance in cohesionless soils, load transfer coefficient or side resistance coefficient (beta, β) will be used in accordance with Subsection 13.3.5.1 of FHWA-NHI-10-016, with beta determined in accordance with Equations 13-13 and 13-11 for silty sands to sandy silts (with varying amounts of gravel). The design criteria for the resistance of drilled shaft and spread footing foundations against overturning, sliding and bearing capacity failure shall meet the requirements of Section 4 of AASHTO LRFD Bridge Design Specifications, current edition. The structural design of foundations shall meet the requirements of AASHTO LRFD Bridge Design Specifications, current edition. The Contractor shall submit to the Department for review, three (3) copies of detailed plans and calculations of the proposed design. Design shall be prepared and sealed by a Professional Engineer licensed in the State of Maine. Construction of foundation(s) shall not commence until the Department has reviewed the foundation design.”

On Page 6-85, add the following paragraph before the paragraph beginning with “Drilled shafts shall not be...”.

“ No foundation design will be required for 18- and 24-inch diameter foundations for structures less than 30-feet tall and with no projecting arms. A foundation design prepared by a Professional Engineer licensed in accordance with the laws of the State of Maine will be required for all other foundations Precast foundations will be permitted for 18 and 24-inch

diameter foundations for structures less than 30-feet tall and with no projecting arms. Where precast foundations are permitted flowable concrete fill shall be used as backfill in the annular space, and placed from the bottom up. Construction of precast foundations shall conform to the Standard Details and all requirements of Section 712.061 except that the concrete shall have a minimum permeability of 17 kOhm-cm and the use of calcium nitrite will not be required. “

On Page 6-86, Revise the paragraph beginning with “Concrete for drilled shafts...” so that a portion of it reads as follows:

“....The Contractor shall provide temporary dewatering of excavations for foundations such that concrete is placed in the dry. Concrete for drilled shafts shall be placed in accordance with Section 502.10 as temporary casing is withdrawn to prevent debris from contaminating the foundation and to ensure concrete is cast against the surrounding soil. Concrete for drilled shafts and spread footings shall be Class LP in accordance with Section 502 - Structural Concrete. Precast foundations will not be permitted except as specified above in this Section. Backfill for spread footing foundations shall be Gravel Borrow meeting the requirements of Section 703.20 - Gravel Borrow.....”

626.05 Basis of Payment Amend this section by removing the following paragraphs:
The one which starts with “Payment will be made for the total number of linear feet of prewired conduit...”
The one which starts with “Prewired conduit within the foundations...”

Amend this subsection by adding the following paragraph and Pay Items:

“Payment will be made for the total number of linear feet of under pavement conduit actually furnished, installed and accepted at the contract price per linear foot. This price shall include the cost of: furnishing and installing the conduit; excavating; furnishing special backfilling materials, pull wire, fittings, grounding and bonding; test cleaning interiors of conduits and all materials, labor, equipment and incidentals necessary to complete the work.”

Pay Item	PayUnit
626.221 Non-metallic Conduit, Concrete Encased	Linear Foot
626.251 Non-Metallic Under pavement Conduit (Schedule 80 or greater rating)	Linear Foot

Remove the following Pay Items:

626.23 Prewired Conduit Secondary Wiring	Linear Foot
626.24 Prewired Conduit Primary Wiring	Linear Foot

SECTION 627

PAVEMENT MARKINGS

Revise this section by removing it in its entirety and replacing with the following:

627.01 Description This work shall consist of furnishing and placing reflectorized pavement lines and markings, removing pavement lines and markings, and furnishing and applying reflectorized paint to curbing in reasonably close conformity with the plans and as designated.

627.02 Materials Materials shall conform to the requirements specified in the following Sections of Division 700 - Materials.

Pavement Marking Paint	708.03
Reflectorized Plastic Pavement Marking	712.05

Temporary Bi-directional Yellow Delineators shall be Temporary Object Markers (T.O.M.) as manufactured by the Davidson Plastic Company, 18726 East Valley Highway, Kent, WA 98031 or an approved equal.

627.04 General All pavement lines and markings shall be applied in accordance with the latest edition of Manual on Uniform Traffic Control Devices.

Longitudinal lines placed on tangent roadway segments shall be straight and true. Longitudinal lines placed on curves shall be continuous smoothly curved lines consistent with the roadway alignment. All pavement markings placed shall meet the tolerance limits shown on the plans.

Unless otherwise shown on the plans, non-interstate lines shall be 4 inches wide and broken lines shall consist of alternate 10 foot painted line segments and 30 foot gaps. On controlled access divided highways and on the interstate system lines shall be 6 inches wide and broken lines shall consist of alternate 15 foot painted line segments and 25 foot gaps. Width tolerance shall be +/- 1/4 inch.

Temporary pavement marking lines, defined in Special Provision Section 652, Maintenance of Traffic, Temporary Centerline, will be applied as many times as necessary to properly delineate traffic lanes for the safe passage of traffic. Bi-directional delineators may be used in place of temporary lines, except where specified otherwise in Special Provision 652 Maintenance of Traffic, Temporary Centerline. Delineators will be applied at 40 foot intervals.

In overnight lane closure areas that are not to be overlaid, temporary plastic lines or raised pavement markers shall be used through the length of the taper.

Newly painted lines, markings and curb shall be protected from traffic by the use of cones, stationary vehicles or other approved methods until the paint is dry.

627.05 Preparation of Surface Immediately before applying the pavement marking paint to the pavement or curb, the surface shall be dry and entirely free from dirt, grease, oil, or other foreign matter.

Surface preparation for application of plastic markings shall conform to the manufacturer's recommendations.

627.06 Application Prior to applying paint for final pavement lines, the Contractor shall perform a test for paint thickness by furnishing and placing a piece of smooth, clean metal with an area of at least 144 in² in the path of the striping truck. The striping truck shall be passed over the piece of metal, painting the surface as it passes, without applying beads. The result of this test will be used to determine the pressure setting and speed of the truck when applying paint to obtain the specified thickness. Additional paint thickness testing may be required on the final paint markings. The wet thickness of paint without beads on final pavement lines shall be a minimum of 16 mils.

On other final pavement markings and on curb, where the paint is applied by hand painting or spraying, application shall be in two uniform covering coats, each at least 10 mils thick. Before the second coat of paint has dried, the glass beads shall be applied by a pressure system that will force the glass beads onto the undried paint as uniformly as possible.

Glass beads shall be applied to the final and temporary pavement lines, marking and curb at a sufficient rate and in sufficient quantity to assure complete and uniform coverage of hand painted surfaces and achieve proper reflectivity.

Permanent and temporary white lines and markings shall have a minimum final reflectivity value of 250 millicandelas per square meter per lux (mcd/m²/lux) and permanent and temporary yellow lines and markings shall have a minimum final reflectivity value of 150 millicandelas per square meter per lux (mcd/m²/lux), as measured by the Department. Measurements taken to determine reflectivity shall be done within 4 weeks after final placement.

If the final reflectivity values are less than the described minimums, the Contractor shall repaint those areas not meeting required reflectivity at no cost to the Department. If the final reflectivity values are less than the described minimums after the second attempt, the Contractor will submit in writing a plan of action to meet the reflectivity minimums prior to continuing any work. Once the plan has been reviewed and approved by the Department, the Contractor shall re apply at no cost to the Department.

Temporary painted lines and markings shall be applied as specified for permanent painted lines, except that the thickness shall be a minimum of 16 mils.

Temporary pliant polymer marking material shall be used for temporary markings on the final pavement and on pavements not to be resurfaced when such pavement markings do not conform to the final pavement markings pattern.

The plastic final pavement lines and markings shall be applied in accordance with the manufacturer's recommendations by the inlay method of application.

627.07 Establishment Period Inlaid plastic pavement lines and marking material furnished and installed under this contract for final pavement markings shall still be subject to a six-month period of establishment.

The period of establishment shall commence as soon as the plastic pavement lines and markings are complete and in place and shall continue for six months. At the end of the establishment period, a minimum of 95% of the plastic pavement lines and markings shall still be in place to be acceptable.

If less than 95% of the plastic pavement lines and markings are in place after six months, the Contractor shall replace all unsatisfactory plastic pavement lines and markings on the project without additional payment. Plastic pavement lines and markings designated for replacement shall be installed according to these specifications, unless otherwise directed. Plastic pavement lines and markings replaced at the end of the six month establishment period will not be subject to a further establishment period.

627.08 Removing Lines and Markings When it is necessary to remove pavement lines and markings, it shall be done by high pressure water, grinding or other approved acceptable means. The method chosen must be capable of completely eradicating the existing line or marking without excessive damage to the pavement. Burning and the use of solvents to remove temporary markings from final pavement or from existing pavement not to be resurfaced will not be permitted.

627.09 Method of Measurement The quantity of pavement marking lines identified in the contract as a plan quantity pay item, the measurement of payment will be the number of feet shown in the Schedule of Items. This quantity will be considered final and no adjustments will be made except when changes resulting in increases or decreases are made by the Resident.

The accepted quantity of temporary or permanent pavement marking lines when identified in the contract as a linear foot item shall be measured and paid for at the contract unit price per linear foot for the total amount applied and accepted.

Double yellow centerline, broken or solid, will be considered one line for measurement purposes. The measurement of broken lines will include the gaps when painted and will not include the gaps when plastic. Double Yellow Centerline, broken or solid shall not be paid through intersections or side roads and will be paid for the actual length of painted line.

Broken white lines will include the gaps when painted and will not include the gaps when plastic inlaid pavement lines are applied. Yellow or white solid edge lines and will not be paid through intersections or side roads and will be measured by the actual length of painted line.

Temporary pavement marking lines shall not be paid through intersections or side roads and will be measured per linear foot of actual length of painted and accepted.

Reflectorized curb will be measured or computed by the square foot of curb surface actually painted and reflectorized.

The accepted quantity of removing existing pavement markings will be measured by the square foot.

Temporary Bi-directional Yellow Delineators will be measured by each unit, complete in place, maintained, and accepted.

627.10 Basis of Payment The accepted quantity of pavement marking lines identified in the contract as a plan quantity pay item will be paid for at the contract unit price for plan quantity. No adjustment will be made to the quantity for payment, except as described 627.09 Method of Measurement

The quantity of permanent or temporary pavement marking lines identified in the contract paid by the linear foot will be measured for payment as described under section 627.09 Method of Measurement.

All other permanent pavement markings will be paid for at the contract unit price per square foot in accordance with 627.09 Method of Measurement.

If allowed by Special Provision, the Contractor may utilize Temporary Bi-Directional Yellow and White (as required) Delineators. When utilized, payment will be made as temporary pavement marking lines, measured and paid at the contract unit price per linear foot. Such payment will include as many applications as required and removal.

Payment for final plastic pavement lines and markings will be made in two parts. The first payment of 75% will be made when plastic pavement lines and markings are placed. The payment of the remaining 25% will be made at the end of the establishment period for all plastic line and pavement markings accepted.

The accepted quantity of any pavement marking lines will be paid for at the contract unit price and will include as many applications as required and removal when required.

The accepted quantity of Temporary Bi-directional Yellow Delineators will be paid for at the contract unit price.

Payment will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
627.18 12 inch Solid White Pavement Marking Line	Linear Foot
627.711 White or Yellow Pavement Marking Line - Plan Quantity	Linear Foot
627.733 4" White or Yellow Painted Pavement Marking Line	Linear Foot
627.744 6" White or Yellow Painted Pavement Marking Line	Linear Foot
627.75 White or Yellow Pavement & Curb Marking	Square Foot
627.77 Removing Existing Pavement Marking	Square Foot
627.78 Temporary 4" Painted Pavement Marking Line, White or Yellow	Linear Foot
627.781 Temporary 6" Painted Pavement Marking Line, White or Yellow	Linear Foot
627.407 Reflectorized Plastic, White or Yellow Pavement Marking	Square Foot
627.4071 Reflectorized Plastic, White or Yellow Pavement	Linear Foot
Marking Line - Plan Quantity	
627.811 Temporary Bi-directional Yellow Delineators	Each

SECTION 639

ENGINEERING FACILITIES

Revise this section by removing this section in its entirety and replace with the following:

639.01 Description This work shall consist of providing, erecting, lighting, equipping and maintaining buildings to be solely used by the Resident and other assigned Department representatives as a field office. Upon completion of the work, the buildings and equipment shall remain the property of the Contractor.

639.02 Materials Materials for buildings shall be of good quality customarily used in standard frame house or office trailer construction.

639.03 General The building of the type called for shall be provided before the start of work, and shall remain until work is completed and accepted, unless earlier removal is authorized. The location shall be approved by the Resident and should be adjacent or virtually adjacent to the Project.

A fire extinguisher shall be provided in each building or office trailer for electrical and chemical fires and effective on all solvents used in the building.

Walls, roof, floor, windows, and doors shall be tightly constructed to the required area.

Furnishings shall be supplied as called for. Doors shall be equipped with locks and all keys shall be in the possession of the Resident. Windows shall be equipped with latches so they may be locked on the inside. Window screens and screen doors shall be supplied when necessary. Adequate desk and desk space shall be provided. If a portable table is supplied, it should be adjustable to accommodate the various heights of employees. A 5-way adjustable office chair shall be provided in the quantities listed.

639.04 Field Offices Field Offices are designated Type A, Type B, or Type C. Buildings, including trailers, may be provided if they substantially equal or exceed the following requirements. Air conditioning, appropriate to the building size, shall be provided in all field offices.

The walls, roof, and floor of the building shall be completely insulated with a minimum insulation value of R-15. Office trailers shall be either new or in very good used condition. The interior walls shall be covered with suitable wall paneling. The entire office trailer shall be for the exclusive use of the Resident. The office trailer shall be winterized and completely enclosed at the bottom, if the trailer will be used in cold weather.

Other types of buildings and facilities may be furnished of equal or better quality.

A public work area will be provided in the field office that shall be designed and constructed so that individuals with disabilities can approach, enter, and exit this area.

At least one accessible route to the field office shall be provided from accessible parking. The accessible route shall comply with the Americans with Disabilities Act Accessibility Guidelines (ADAAG) and this specification.

The minimum clear width of an accessible route shall be 36 inches except at doors. The least possible slope shall be used for an accessible route. An accessible route with a running slope greater than 1:20 shall be considered a ramp. Maximum ramp slope is 1:12. The maximum rise for any run of a ramp shall be 30 inches and the minimum clear width shall be 36 inches. Nowhere shall the cross slope of an accessible route exceed 1:50. Changes in level up to ¼ inch may be vertical and without edge treatment. Changes in level between ¼ inch and ½ inch shall be beveled with a slope no greater than 1:2. Ramp floor surfaces shall be stable, firm, and slip-resistant.

Ground floor surfaces along accessible routes and in accessible rooms and spaces including floors, walks, ramps, stairs, and curb ramps, shall be stable, firm, and slip-resistant.

The main door to the public work area shall have a minimum clear opening of 32 inches with the door opened 90 degrees, measured between the face of door and the opposite stop. Minimum maneuvering clearances at doors shall be provided. The floor or ground area within the required clearances shall be level and clear.

The handle and other operating devices on accessible doors shall have a shape that is easy to grasp with one hand and does not require tight grasping. Lever-operated mechanisms push type mechanisms, and U-shaped handles are acceptable designs. Hardware required for accessible door passage shall be mounted no higher than 48 inches above finished floor.

A minimum of 3 parking spaces will be supplied for Class B & C Field Offices and 6 for Class A. One wheelchair accessible parking space shall be located on the shortest accessible route of travel from adjacent parking to an accessible entrance.

Level landings shall be provided at bottom and top of each run. The landing shall be at least as wide as the ramp run leading to it with a minimum length of 60 inches.

If a ramp run has a rise greater than 6 inches or a horizontal projection greater than 72 inches, then it shall have handrails on both sides. Handrails shall have the following features:

- 1) Handrails shall be provided along both sides of ramp segments. The inside handrail on switchback ramps shall always be continuous.
- 2) If handrails are not continuous, they shall extend at least 12 inches beyond the top and bottom of the ramp segment and shall be parallel with the floor or ground surface.
- 3) The clear space between the handrail and the wall shall be 1½ inch.
- 4) Gripping surfaces shall be continuous.
- 5) Top of handrail gripping surfaces shall be mounted between 34 and 38 inches above ramp surfaces.

- 6) Ends of handrails shall be either rounded or returned smoothly to floor, wall, or post.
- 7) Handrails shall not rotate within their fittings.
- 8) The diameter or width of the gripping surfaces of a handrail shall be 1¼ to 1½ inch, or the shape shall provide an equivalent gripping surface.

Firm and sturdy steps shall also be provided with 7 inch maximum riser and 11 inch minimum depth, and at least one handrail extending from the top of the steps to a minimum 12 inches beyond the bottom of the steps.

The Contractor will make reasonable effort(s) to provide wheelchair accessible toilet facilities when "portable" facilities are provided.

The Contractor shall provide wheelchair accessible toilet facilities when flush type facilities, that is, those with running water, are provided; and the Contractor shall provide wheelchair accessible portable facilities, if used, when the contract duration exceeds two continuous construction seasons.

In addition to the facilities previously specified in this subsection, each field office shall meet the following minimum requirements:

<u>Description</u>	<u>Quantity</u>		
	<u>Type A</u>	<u>Type B</u>	<u>Type C</u>
Floor Area (Outside Dimension) - ft ²	312	220	125
Inside Wall Height – feet	7	7	7
Window Area - ft ²	55	35	35
Drafting Table Surface Area - ft ²	15	15	15
Drafting Stools - each	2	1	1
Office Desks - each	2	1	1
Ergonomic Swivel Chairs -ea (5-way adjustable)	3	2	2
Folding Chairs - each	3	2	2
Lighting Units - each	4	2	2
Electric Wall Outlets - each	6	4	3
Power Strip Surge Protectors - each	3	2	1
Wall Closets - each	1	1	1
Plan Rack for minimum of 6 sets of plans	1	1	0
Toilet Facility	1	1	1
Wastebaskets - each	2	2	1

All windows shall be provided with shades or blinds.

The toilet facility shall be for the exclusive use of State personnel. If requested, the Contractor will supply a lock to ensure exclusive use.

The Resident will have the option to reject any furniture or supplies provided to the field office based on general condition.

One hundred ten volt, 60 cycle, continuous electric service shall be supplied for lighting and 15 amp duplex wall outlets. Lighting shall consist of florescent light units with rapid start bulbs or LED shop style lights located over the work areas for a minimum of 50 foot candles overall. At least one external light source will be provided.

Drafting surfaces shall be 40 inches above the floor and have shelves beneath. Shelves for plans and rolls shall also be furnished overhead. Drafting stools shall be approximately 28 inches high.

Desks shall be single or double pedestal standard office type, and shall be in addition to “built-in” type desks in the office trailer.

Field offices shall be furnished with one four-drawer letter size metal filing cabinet.

Wall closets shall be 21 inches wide, 15 inches deep, and at least 4 feet high.

Each office shall be furnished with a broom, dustpan, sweeping compound, trash bags, and with cleaning material for cleaning glass. If the field office is carpeted, then a vacuum cleaner will be provided. The contractor will be responsible for disposing of trash from the field office.

The Contractor shall provide a fully functional wireless desktop copier/scanner/printer, capable of copying field books, for the Resident’s use during the project. All maintenance and supplies, except paper, shall be the responsibility of the Contractor.

The Contractor shall provide bottled water and a microwave for the duration of the project. All maintenance and supplies shall be the responsibility of the Contractor. Alternate source of water, such as a water cooler, may be provided as approved by resident.

The Contractor shall provide a 4 cubic-foot refrigerator in the field office for the duration of the project.

Each office shall be furnished with a 10-person general-purpose first aid kit. The first aid kit shall be periodically inspected and refilled as necessary.

639.08 Heat Heat appropriate to the building size shall be supplied by the Contractor to maintain an acceptable room temperature during occupancy.

639.091 Broadband Connection The contractor will supply one computer broadband connection, modem lease and router. The router shall have wireless access and be 802.11n or newer capable. The type of connection supplied will be contingent upon the availability of services (i.e. DSL or Cable Broadband). It shall be the contractor's option to provide dynamic or static IP addresses through the service. The selected service will have a minimum download connection of 5.0 Mbps and 1.0 Mbps upload. The contractor shall be responsible for the installation charges and all reinstallation charges following suspended periods. Monthly service and maintenance charges shall be billed by the Internet Service Provider (ISP) directly to the contractor.

639.10 Method of Measurement Field office will be measured by the unit or lump sum for each building provided, equipped and maintained satisfactorily.

639.11 Basis of Payment The accepted quantity of field office will be paid for at the contract unit price each or lump sum which payment shall be full compensation for furnishing until contract completion, erecting, equipping, maintaining, furnishing electricity, heating, installing and maintaining toilet facilities and if necessary removing the buildings or office trailers.

Payment for these items will be made in 3 parts; the first payment of ½ to be made after the Contractor has supplied the building or office trailer and it has been approved. The remaining payments shall be made at intervals as follows:

A second payment of ¼ shall be made when one-half of the anticipated work has been completed.

The final payment of the remaining ¼ shall be made upon completion of the work.

Payment will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
639.18 Field Office, Type A	Each
639.19 Field Office, Type B	Each
639.20 Field Office, Type C	Each

SECTION 652

MAINTENANCE OF TRAFFIC

652.2.4 Other Devices Revise this Section by removing the following paragraph:

“ STOP/SLOW paddles shall be the primary and preferred hand held signaling device. Flags shall be limited to Emergencies. The paddle shall have an octagonal shape and be at least 18 inches wide with letters at least 6 inches high and should be fabricated from semi-rigid material”

And replace with these paragraphs:

“Flaggers shall use a STOP / SLOW hand held paddle as the primary and preferred hand signaling device. Flags shall only be limited to emergencies.

STOP / SLOW paddles shall have high intensity prismatic retro reflective sheeting, have an octagonal shape on a rigid handle and shall be at least 18 inches wide with letters at least 6 inches high and shall be constructed from light semi-rigid material. The STOP (R1-1) face shall have white letters and a white border on a red background. The SLOW (W20-8) face shall have black letters and a black border on an orange background.

STOP / SLOW paddles shall also incorporate either white or red flashing lights on the STOP face and white or yellow flashing lights on the SLOW face of the paddle and always be in use. Paddles must conform to any of the following patterns:

A. Two white or red lights (colors shall be all white or all red), one centered vertically above and one centered vertically below the STOP legend; and/or two white or yellow lights (colors shall be all white or all yellow), one centered vertically above and one centered vertically below the SLOW legend;

B. Two white or red lights (colors shall be all white or all red), one centered horizontally on each side of the STOP legend; and/or two white or yellow lights (colors shall be all white or all yellow), one centered horizontally on each side of the SLOW legend;

C. One white or red light centered below the STOP legend; and/or one white or yellow light centered below the SLOW legend;

D. A series of eight or more small all white or all red lights no larger than 1/4 inch in diameter along the outer edge of the paddle, arranged in an octagonal pattern at the eight corners of the border of the STOP face; and/or a series of eight or more small all white or all yellow lights no larger than 1/4 inch in diameter along the outer edge of the paddle, arranged in a diamond pattern along the border of the SLOW face; or

E. A series of white lights forming the shapes of the letters in the legend.

Flashing light patterns shall be compliant with Section 6E.03 Hand Signaling Devices in the most current version of the Manual on Uniform Traffic Control Devices.

All flashing light patterns on the STOP / SLOW paddle shall be visible from a minimum distance of 1000 feet.”

652.3.3 Submittal of Traffic Control Plan On page **6-148**, note **f**, in the last sentence revise the “105.2.2” to “105.2.3” so that the last sentence reads, **“For a related provision, see Section 105.2.3 – Project Specific Emergency Planning.”**

652.3.4 General Revise the eighth paragraph by removing “Earth Berm” and replace it with **“Concrete Barrier”**.

Amend this section by adding the following paragraph before the paragraph beginning with “Special Detours and temporary structures...”:

“A temporary ramp shall be constructed with HMA at the ends of the roadway section paved or milled each day. The use of millings or RAP will not be allowed, but cold patch may be temporarily utilized until HMA plants are open for the season. The maximum ramp change in

elevation shall not exceed 4" vertical. For Interstate Highways or roadways with speed limits equaling or exceeding 50 mph; temporary ramps shall be constructed at a length of eight feet per inch of transition depth. For roadways with speed limits less than 50 mph and greater than 25 mph, temporary ramps shall be constructed at a length of four feet per inch of transition depth. For roadways with speed limits 25 mph or less, temporary ramps shall be constructed at a length of two feet per inch of transition depth. Materials, placement, maintenance, and removal shall be incidental to contract items."

652.4 Flaggers Revise this section by removing the first paragraph, and replace it with the following"

"The Contractor shall furnish flaggers as required by the TCP or as otherwise specified by the Resident. All flaggers must have successfully completed a flagger test approved by the Department and administered by a Department-approved Flagger-Certifier. All flaggers must carry an official certification card with them at all times while flagging.

For daytime conditions, flaggers shall wear a top (vest, shirt or jacket) that is orange, yellow, yellow-green, or fluorescent versions of these colors meeting ANSI 107-2004, Class 2 or Class 3, along with a hardhat with 360 ° retro-reflectivity.

For nighttime conditions, flaggers shall wear all Class 3 apparel, meeting ANSI 107-2004, including a Class 3 top (vest, shirt or jacket) and a Class E bottom (pants or coveralls), shall be worn along with a hardhat with 360 ° retro-reflectivity and shall be visible at a minimum distance of 1000 ft. Flagger stations must be illuminated in nighttime conditions to assure visibility and will be specifically addressed in detail in the Contractor's TCP".

652.41 TRAFFIC OFFICERS

Revise this subsection so that the subsection number and title is

"652.4.1 TRAFFIC OFFICERS "

652.6.1 Daylight Work Times Revise this section by removing the word "table" in the first sentence and replacing it with "times procured". Also remove the link <http://www.sunrisesunset.com/usa/Maine.asp> and replace with <https://www.sunrisesunset.com/usa/Maine/>.

SECTION 656

TEMPORARY SOIL EROSION AND WATER POLLUTION CONTROL

656.3.4 Water Pollution Control Requirements Revise this section by adding the following to the end:

" g. Water withdrawals for dust control or moisture control for compaction is prohibited from waterbodies in Maine that have identified invasive plant infestations. For current information and a map of waterbodies where withdrawal is prohibited, visit the DEP website; <https://www.maine.gov/dep/water/invasives/> . Under the heading, "Control" there is a link to infested waterbodies. "

656.5.2 If No Pay Item Add the following to the end of the first paragraph:

“Failure by the Contractor to follow Standard Specification or Special Provision - Section 656 will result in a violation letter and a reduction in payment as shown in the schedule list in 656.5.1. The Department’s Resident or any other representative of The Department reserves the right to suspend the work at any time and request a meeting to discuss violations and remedies. The Department shall not be held responsible for any delay in the work due to any suspension under this item.”

SECTION 660 **ON-THE-JOB TRAINING**

660.06 Method of Measurement

Remove the first sentence in its entirety and replace with **“ The OJT item will be measured by the number of OJT hours by a trainee who has successfully completed an approved training program.”**

660.07 Basis of payment to the Contractor

Remove the last word in the first sentence so that the first sentence reads **“ The OJT shall be paid for once successfully completed at the contract unit price per hour.”**

Payment will be made under

Change the Pay Item from “660.22” to **“660.21”** and change the Pay Unit from “Each” to **“Hour”**.

SECTION 672 **PRECAST CONCRETE BLOCK GRAVITY WALL**

672.031 Concrete Units Revise this section by making the following changes:

In the second paragraph remove “A” and underline **“Materials”**.

In the third paragraph remove “B” and underline **“Quality Control and Quality Assurance”**.

Revise this section by removing the paragraph “C Construction...” and replacing it with:

“Construction. Construction requirements are modified as follows:

Add the following paragraph at the end of the Construction section:

Face texture of the units shall be a formed finish on all exposed surfaces. Pigment shall be added during the casting process of the concrete unit to achieve a consistent shade of gray or other color as determined by the Resident.

Curing. Curing requirements are modified as follows:

Replace the first sentence in the paragraph which begins “Forms shall remain ...” with the following:

The forms shall remain in place until the concrete has gained sufficient strength such that removal of the forms and subsequent handling will not damage the units.”

In the paragraph beginning with “D” remove “D” and underline “**Concrete Testing**”.

In the paragraph beginning with “E” remove the “E” and underline “**Tolerences**”. Also in this paragraph add “**Replace Tolerance contents in 712.061 with the following:**” after Tolerances.

672.035 Backfill Material– Revise this section by adding the following after the second paragraph:
Backfill materials shall meet the criteria in the following table.

<u>Base Polymer</u>	<u>Property</u>	<u>Criteria</u>	<u>Test Method</u>
Polyester (PET)	pH	3< pH < 9	AASHTO T-289
Polyolefin (PP & HDPE)	pH	pH > 3	AASHTO T-289

672.04 Design Requirements – Revise this section by replacing items 2 and 3 in the second paragraph with the following:

- 2. FHWA-NHI-10-024 and FHWA-NHI-10-025, Design and Construction of Mechanically Stabilized Earth Walls and Reinforced Soil Slopes, Volumes I and II, current edition.**
- 3. FHWA-NHI-09-087 Corrosion/Degradation of Soil Reinforcements for Mechanically Stabilized Earth Walls and Reinforced Soil Slopes, current edition.**

SECTION 673 **WETCAST SMALL LANDSCAPE BLOCK WALL**

673.031 Concrete Units Revise this section by making the following changes:

In the second paragraph remove “A” and underline “**Materials**”.

In the third paragraph remove “B” and underline “**Quality Control and Quality Assurance**”.

Revise this section by removing the paragraph “C Construction...” and replacing it with:

“Construction Add the following paragraph at the end of the Construction section:

Face texture of the units shall be a formed finish on all exposed surfaces.

Pigment shall be added during the casting process of the concrete unit to

achieve a consistent shade of gray or other color as determined by the Resident.

Curing. Curing requirements are modified as follows:

Replace the first sentence in the paragraph which begins “Forms shall remain ...” with the following:

The forms shall remain in place until the concrete has gained sufficient strength such that removal of the forms and subsequent handling will not damage the units.”

In the paragraph beginning with “D” remove “D” and underline “**Concrete Testing**”.
In the paragraph beginning with “E” remove the “E” and underline “**Tolerances**”. Also in this paragraph add “**Replace Tolerance contents in 712.061 with the following:**” after Tolerances.

673.035 Backfill Material – Revise this section by adding the following after the second paragraph:

Backfill materials shall meet the criteria in the following table.

<u>Base Polymer</u>	<u>Property</u>	<u>Criteria</u>	<u>Test Method</u>
Polyester (PET)	pH	$3 < \text{pH} < 9$	AASHTO T-289
Polyolefin (PP & HDPE)	pH	$\text{pH} > 3$	AASHTO T-289

673.04 Design Requirements – Revise this section by replacing items 2 and 3 in the second paragraph with the following:

- 2. FHWA-NHI-10-024 and FHWA-NHI-10-025, Design and Construction of Mechanically Stabilized Earth Walls and Reinforced Soil Slopes, Volumes I and II, current edition.**
- 3. FHWA-NHI-09-087 Corrosion/Degradation of Soil Reinforcements for Mechanically Stabilized Earth Walls and Reinforced Soil Slopes, current edition**

SECTION 674

PREFABRICATED CONCRETE MODULAR GRAVITY WALL

674.02 Materials Amend this section by replacing the last sentence in the first paragraph which begins with “Materials shall...” with the following: **“Modify requirements in 712.061 as follows:”**.

Amend this section by adding the following after “Concrete Units:” and before the paragraph beginning with “Tolerances”.

Concrete shall be Class P. The concrete shall contain a minimum of 5.5 gallons per cubic yard of calcium nitrite solution.

The minimum permeability of the concrete as indicated by Surface Resistivity shall be 17 KOhm-cm.

Defects Defects which may cause rejection of precast units include, but are not limited to, the following:

Any discontinuity (crack, rock pocket, etc.) of the concrete which could allow moisture to reach the reinforcing steel.

Rock pockets or honeycomb over 6 square inches in area or over 1 inch deep.

Edge or corner breakage exceeding 12 inches in length or 1 inch in depth.

Any other defect that clearly and substantially impacts the quality, durability, or maintainability of the structure, as determined by the Fabrication Engineer.

Repair honeycombing, ragged or irregular edges and other non-structural or cosmetic defects using a patching material from the MaineDOT Qualified Products List (QPL). The repair, including preparation of the repair area, mixing and application and curing of the patching material, shall be in accordance with the manufacturer's product data sheet. Corners that are not exposed in the final product may be ground smooth with no further repair necessary if the depth of the defect does not exceed 1/2 inch. Remove form ties and other hardware to a depth of not less than 1 inch from the face of the concrete and patch the holes using a patching material from the MaineDOT QPL.

Repair structural defects only with the approval of the Fabrication Engineer. Submit a nonconformance report (NCR) to the Fabrication Engineer with a proposed repair procedure. Do not perform structural repairs without an NCR that has been reviewed by the Fabrication Engineer. Structural defects include, but are not be limited to, exposed reinforcing steel or strand, cracks in bearing areas, through cracks and cracks 0.013 inch in width that extend more than 12 inches in length in any direction. Give the QAI adequate notice prior to beginning any structural repairs.

SECTION 677

MECHANICALLY STABILIZED EARTH RETAINING WALL

677.03 Design Requirements – Revise this section by replacing items 6, 7 and 8 in the second paragraph with the following:

6. FHWA-NHI-10-024, Design and Construction of Mechanically Stabilized Earth Walls and Reinforced Soil Slopes, Volumes I, current edition.
7. FHWA-NHI-10-025, Design and Construction of Mechanically Stabilized Earth Walls and Reinforced Soil Slopes, Volumes II, current edition.
8. FHWA-NHI-09-087 Corrosion/Degradation of Soil Reinforcements for Mechanically Stabilized Earth Walls and Reinforced Soil Slopes, current edition

On page 6 - 203 change “636.041” to “677.041”

Amend 677.042 Precast Panel Tolerances and Surface Finish by the addition of the following:

Defects Defects which may cause rejection of precast units include, but are not limited to, the following:

Any discontinuity (crack, rock pocket, etc.) of the concrete which could allow moisture to reach the reinforcing steel.

Rock pockets or honeycomb over 6 square inches in area or over 1 inch deep.

Edge or corner breakage exceeding 12 inches in length or 1 inch in depth.

Any other defect that clearly and substantially impacts the quality, durability, or maintainability of the structure, as determined by the Fabrication Engineer.

Repair honeycombing, ragged or irregular edges and other non-structural or cosmetic defects using a patching material from the MaineDOT Qualified Products List (QPL). The repair, including preparation of the repair area, mixing and application and curing of the patching material, shall be in accordance with the manufacturer's product data sheet. Corners that are not exposed in the final product may be ground smooth with no further repair necessary if the depth of the defect does not exceed 1/2 inch. Remove form ties and other hardware to a depth of not less than 1 inch from the face of the concrete and patch the holes using a patching material from the MaineDOT QPL.

Repair structural defects only with the approval of the Fabrication Engineer. Submit a nonconformance report (NCR) to the Fabrication Engineer with a proposed repair procedure. Do not perform structural repairs without an NCR that has been reviewed by the Fabrication Engineer. Structural defects include, but are not be limited to, exposed reinforcing steel or strand, cracks in bearing areas, through cracks and cracks 0.013 inch in width that extend more than 12 inches in length in any direction. Give the QAI adequate notice prior to beginning any structural repairs.

SECTION 681

PRECAST AGGREGATE-FILLED, CONCRETE BLOCK GRAVITY WALL

681.031 Concrete Units Revise this section by making the following changes:

In the second paragraph remove “A” and underline “**Materials**”.

In the third paragraph remove “B” and underline “**Quality Control and Quality Assurance**”.

In the fourth paragraph remove “C” and underline “**Construction**”.

In the fifth paragraph remove “D” and underline “**Concrete Testing**”.

In the sixth paragraph remove the “E” and underline “**Tolerances**”.

SECTION 702 **BITUMINOUS MATERIAL**

702.01 Asphalt Cement - Remove this section in its entirety and replace with the following:

Performance-Graded Asphalt Binder (PGAB) that has not been modified with polymer shall conform to the requirements of AASHTO M 320. Polymer modified binder shall meet the requirements of AASHTO M 332 and AASHTO R 92, except that the percent difference in nonrecoverable creep compliance, J_{nr}diff, shall not be enforced. Performance-Graded Asphalt Binder shall not contain re-refined engine oil bottoms (REOB).

The Contractor shall arrange for the Supplier to furnish the following items to the Department’s Asphalt Pavement Engineer:

a. A Quality Control Plan that conforms to the requirements of AASHTO R 26 “Certifying Suppliers of Performance-Graded Asphalt Binders” and

b. A CERTIFICATE OF ANALYSIS for all asphalt materials furnished for use on the project. The Certificate shall include the actual test results of the material in storage from which the shipments are being made. Certificates shall be supplied for each lot, batch, or blend of each type and grade of material. A new certificate shall be issued at least every 30 days or upon receiving or manufacture of a new material. The original of each Certificate of Analysis shall be mailed to the Departments Asphalt Pavement Engineer.

The Contractor shall give the supplier sufficient notice of orders to permit testing and certification. Material not certified will not be accepted for use.

Deliveries of asphalt materials shall be accompanied by a Bill of Lading containing the information required under Section 108.1.3 f. The Bill of Lading shall include the applicable certificate number and shall include a printed or stamped statement such as the following:

“THIS IS TO CERTIFY THAT THE ASPHALT MATERIAL REPRESENTED BY THIS LOADING INVOICE CONFORMS TO THE SPECIFICATIONS OF THE PURCHASER FOR THE MATERIAL TYPE AND GRADE STATED THEREON.”

In the event an intermediate hauler of the asphalt material is involved, a copy of their own delivery slip shall be furnished, as well as a copy of the supplier’s loading invoice. The hauler’s delivery slip and the supplier’s loading invoice shall be cross-referenced by use of their respective serial numbers.

All non-bituminous components added to the binder prior to the sampling point for binder certification shall be included on the asphalt binder Certificate of Analysis identifying their presence. All non-bituminous components added after the certification sampling point and prior to transport shall be included on the Bill of Lading. All non-bituminous components added to the binder at the HMA plant shall be identified on the mix plant documentation and accompanied by test results and certification showing the effect of the additives introduced, if any.

702.04 Emulsified Asphalt

Revise this Section by removing the first paragraph in its entirety and replace with the following:

Emulsified Asphalt shall conform to the requirements of AASHTO M 140. Cationic emulsified asphalt shall conform to the requirements of AASHTO M 208. Anionic emulsified asphalt Grade RS-1h shall conform to the requirements in the following table:

Type	Rapid-Setting	
Grade	RS-1h	
Tests on Emulsions	min	max
Viscosity, Saybolt Furol at 25°C SFS	20	100
Storage Stability test, 24-h, % ^A	-	1.0
Demulsibility, 35 ml, 0.02 N CaCl ₂ , %	60	-
Sieve Test, % ^A	-	0.10
Residue by distillation, %	55	-
Tests on Residue from Distillation Test	min	max
Penetration, 25°C 100g, 5 s	40	90
Ductility, 25°C 5 cm/min, cm	40	-
Solubility in trichloroethylene or n-propyl bromide, %	97.5	-

^A This requirement is waived if successful application of material has been achieved in the field.

SECTION 703 **AGGREGATES**

703.01 Fine Aggregate for Concrete Replace the second paragraph with the following:

“All fine aggregate shall be free from injurious amounts of organic impurities. Should the fine aggregate, when subjected to the colorimetric test for organic impurities, AASHTO T 21, produce a color darker than organic plate number 3, the fine aggregate shall be rejected.”

703.0201 Alkali Silica Reactive Aggregates. Remove this section in its entirety and replace with the following:

All coarse and fine aggregates proposed for use in concrete shall be tested for Alkali Silica Reactivity (ASR) potential under AASHTO T 303 (ASTM C 1260), Accelerated Detection of Potentially Deleterious Expansion of Mortar Bars Due to Alkali-Silica Reaction, prior to being accepted for use. Acceptance will be based on testing performed by an accredited independent lab submitted to the Department. Aggregate submittals will be required on a 5-year cycle, unless the source or character of the aggregate in question has changed within 5 years from the last test date.

As per AASHTO T 303 (ASTM C 1260): Use of a particular coarse or fine aggregate will be allowed with no restrictions when the mortar bars made with this aggregate expand less than or equal to 0.10 percent at 30 days from casting. Use of a particular coarse or fine aggregate will be classified as potentially reactive when the mortar bars made with this aggregate expand greater than 0.10 percent at 30 days from casting. Use of this aggregate will only be allowed with the use of cement-pozzolan blends and/or chemical admixtures that result in mortar bar expansion of less than 0.10 percent at 30 days from casting as tested under ASTM C 1567.

Acceptable pozzolans and chemical admixtures that may be used when an aggregate is classified as potentially reactive include, but are not limited to the following:

Class F Coal Fly Ash meeting the requirements of AASHTO M 295.

Ground Granulated Blast Furnace Slag (Grade 100 or 120) meeting the requirements of AASHTO M 302.

Densified Silica Fume meeting the requirements of AASHTO M 307.

Lithium based admixtures

Metakaolin

Pozzolans or chemical admixtures required to offset the effects of potentially reactive aggregates will be incorporated into the concrete at no additional cost to the Department.

703.06 Aggregate for Base and Subbase - Remove the first two paragraphs in their entirety and replace with these:

“The following shall apply to Sections (a.) and (c.) below. The material shall have a Micro-Deval value of 25.0 or less as determined by AASHTO T 327. If the Micro-Deval value exceeds 25.0, the Washington State Degradation DOT Test Method T113, Method of Test for Determination of Degradation Value (January 2009 version) shall be performed, except that the test shall be performed on the portion of the sample that passes the ½ in sieve and is retained on the No. 10 sieve. If the material has a Washington Degradation value of less than 15, the material shall be rejected.

The material used in Section (b.) below shall have a Micro-Deval value of 25.0 or less as determined by AASHTO T 327. If the Micro-Deval value exceeds 25.0 the material may be used if it does not exceed 25 percent loss on AASHTO T 96, Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine. “

703.081 RAP for Asphalt Pavement

Remove this section in its entirety and replace with the following:

703.081 RAP for Asphalt Pavement Recycled Asphalt Pavement (RAP) may be introduced into hot-mix asphalt pavement at percentages approved by the Department according to the MaineDOT Policies and Procedures for HMA Sampling and Testing.

If approved by the Department, the Contractor shall provide documentation stating the source, test results for average residual asphalt content, and stockpile gradations showing RAP materials have been sized to meet the maximum aggregate size requirements of each mix designation. The Department will obtain samples for verification and approval prior to its use.

The maximum allowable percent of RAP shall be determined by the asphalt content, the percent passing the 0.075 mm sieve, the ratio between the percent passing the 0.075 mm sieve and the asphalt content, and Coarse Micro-Deval loss values as tested by the Department. The maximum percentage of RAP allowable shall be the lowest percentage as determined according to Table 4 below:

Table 4: Maximum Percent RAP According to Test Results

Classification	Maximum RAP Percentage Allowed	Asphalt content standard deviation	Percent passing 0.075 mm sieve standard deviation	Percent passing 0.075 mm sieve / asphalt content ratio	Residual aggregate M-D loss value
Class III	10%	≤ 1.0	N/A	≤ 4.0	≤ 18
Class II	20%	≤ 0.5	≤ 1.0	≤ 2.8	
Class I	30%	≤ 0.3	≤ 0.5	≤ 1.8	

The Department will monitor RAP asphalt content and gradation during production by testing samples from the stockpile at approximately 15,000 T intervals (in terms of mix production). The allowable variance limits (from the numerical average values used for mix designs) for this testing are determined based upon the maximum allowable RAP percentage, and are shown below in Table 5.

Table 5: RAP Verification Limits

Classification	Asphalt content (compared to aim)	Percent passing 0.075 mm sieve (compared to aim)
Class III	± 1.5	± 2.0
Class II	± 1.0	± 1.5
Class I	± 0.5	± 0.7

For specification purposes, RAP will be categorized as follows:

Class III – A maximum of 10.0 percent of Class III RAP may be used in any base, intermediate base, surface, or shim mixture. A maximum of 20.0 percent of Class III RAP may be used in hand-placed mixes for item 403.209.

Class II – A maximum of 20.0 percent Class II RAP in any base, binder, surface, or shim course.

Class I – A maximum of 20.0 percent Class I RAP may be used in any base, intermediate base, surface, or shim mixture without requiring a change to the specified asphalt binder. A maximum of 30.0 percent Class I RAP may be used in in any base or intermediate base mixture provided that a PG 58-28 or PG 58-34 asphalt binder is used. A maximum of 30.0 percent Class I RAP may be used in any surface or shim mixture provided that PG 58-34 asphalt binder is used. Mixtures exceeding 20.0 percent Class I RAP must be evaluated and approved by the Department.

The Contractor may use up to two different RAP sources in any one mix design. The total RAP percentage of the mix shall not exceed the maximum allowed for the highest classification RAP source used (i.e. if a Class I & Class III used, total RAP must not exceed 30.0%). The blended RAP material must meet all the requirements of the classification for which the RAP is entered (i.e. 10% Class III with 20% Class I, blend must meet Class I criteria). The Department may take belt cuts of the blended RAP to verify the material meets these requirements. If the Contractor elects to use more than one RAP source in a design, the Contractor shall provide an acceptable point of sampling blended RAP material from the feed belt.

In the event that RAP source or properties change, the Contractor shall notify the Department of the change and submit new documentation stating the new source or properties a minimum of 72 hours prior to the change to allow for obtaining new samples and approval.

703.19 Granular Borrow

Remove the gradation requirements table, and replace with the following:

Sieve Designation	Percentage by Weight Passing Square Mesh Sieves	
	Material for Underwater Backfill	Material for Embankment Construction
6 inch	100	
No. 40	0-70	0-70
No. 200	0-7.0	0-20.0

703.33 Stone Ballast - In the third paragraph, remove the words “less than” before 2.60 and add the words “or greater” after 2.60.

SECTION 705 **JOINT MATERIAL**

705.03 Flexible Watertight Gaskets Revise this section by deleting it in its entirety and replace with **“Flexible gaskets, either rubber or plastic, shall conform to ASTM C990”**

SECTION 708 **PAINTS AND PRESERVATIVES**

708.05 Timber Preservative Revise this section by removing it in its entirety and replacing with: **“Timber preservatives shall conform to the requirements of AASHTO M 133 and AWPA Standard U1. All preservatives shall meet the requirements of the US EPA regulations under the Federal Insecticide, Fungicide and Rodenticide Act.”**

SECTION 709 **REINFORCING STEEL AND WELDED STEEL WIRE FABRIC**

709.01 Reinforcing Steel Revise this section by removing the sentence starting with “The chemical composition...” in the third paragraph and replace it with the following: **“The chemical composition shall conform to one of the types listed in Table 2 of ASTM A955 or UNS S32304 Duplex.”**

SECTION 710 **FENCE AND GUARDRAIL**

710.07 Guardrail Posts Amend subsection ‘a’ by removing the words “white oak”, “cedar”, “tamarack”, “maple”, “beech”, “birch” and “red oak” from the first sentence. Also in the first sentence, place an “or” between “pine” and “eastern hemlock”. In the second sentence remove the words “well seasoned”. Remove the sentence beginning with “Wood posts and offset brackets...” and replace it with: **“Wood posts and offset brackets shall be preservative treated in accordance with the requirements of AASHTO M 133 and AWPA U1, UC4A Commodity Specification A: Sawn Products.”**

SECTION 712 **MISCELLANEOUS HIGHWAY MATERIAL**

712.061- Structural Precast Concrete Units

Under the heading, Quality Control and Quality Assurance, revise the fourth paragraph to read:

“Acceptance is the prerogative of the Department. The Department will conduct Quality Assurance (QA) in accordance with Standard Specification Subsection 106.5. Testing deemed

necessary by the Department that is in addition to the minimum testing requirements will be scheduled to minimize interference with the production schedule. The QAI will perform acceptance sampling and testing and will witness or review documentation, workmanship and testing to assure the Work is being performed in accordance with the Contract Documents.”

Under the heading, Construction, revise the paragraph beginning with “Recess inserts one inch...” by removing the first sentence and replacing it with “**Recess metal inserts and form ties a minimum of one inch, unless noted otherwise in the Contract.**”

Under the heading, Concrete Testing, revise the first paragraph to read as the following two paragraphs:

“Concrete Testing Acceptance of structural precast units, for each day’s production, will be determined by the Department, based on compliance with this specification and satisfactory concrete testing results.

At least once per week, the QAI will make 2 concrete cylinders (6 cylinders when the Contract includes permeability requirements) for use by the Department; cylinders shall be standard cured in accordance with AASHTO T23 (ASTM C31). The QAI will perform entrained air content and slump flow testing, determine water-cement ratio and determine temperature of the sampled concrete at the time of cylinder casting. All testing equipment required by the QAI to perform this testing shall be in accordance with Standard Specification Section 502.041, Testing Equipment. In addition, the Contractor shall provide a slump cone meeting the requirements of AASHTO T 119. Providing and maintaining testing and curing equipment shall be considered incidental to the work and no additional payment will be made.

Quality Control test cylinders shall be made and tested in accordance with the following standards:

AASHTO T 22 (ASTM C39) Test Method for Compressive Strength of Cylindrical Concrete Specimens

AASHTO T23 (ASTM C31) Practice for Making and Curing Concrete Test Specimens in Field

AASHTO T141 (ASTM C172) Practice for Sampling Freshly Mixed Concrete

AASHTO T152 (ASTM C231) Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method

AASHTO T196 (ASTM C173) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method

ASTM C1064 Test Method for Temperature of Freshly mixed Portland Cement Concrete

ASTM C1611 Standard Test Method for Slump Flow of Self-Consolidating Concrete”

Under the heading, Concrete Testing, delete the paragraph that begins:

“At least once per week, the Contractor shall make 2 concrete cylinders.....for use by the Department.....”

Under the heading, Concrete Testing, revise the paragraph beginning with “Perform compressive strength testing...” by replacing the word “transfer” with the word **“stripping”**.

Under the heading, Surface Finish and Repairs, Revise this section by removing it and replacing it with:

“Surface Finish and Repairs. Exposed surfaces shall be finished and repaired in conformance with the referenced specification. If the finish is not specified, then surfaces shall have a uniform appearance; make repairs to remove and blend fins, patch minor spalls, tie holes, handling device recesses, entrapped air pockets, honeycombing, ragged or irregular edges and other non-structural or cosmetic defects using a patching material from the MaineDOT Qualified Products List (QPL). The repair, including preparation of the repair area, mixing and application and curing of the patching material, shall be in accordance with the manufacturer's product data sheet. Corners not exposed in the final product may be ground smooth with no further repair necessary, if the depth of the defect does not exceed one-half inch. Remove form ties and other hardware to a depth of not less than one inch from the face of the concrete and patch the holes using a patching material from the MaineDOT QPL.

Repair of structural defects: Structural defects include, but are not be limited to, exposed reinforcing steel, cracks in bearing areas, through cracks and cracks 0.013 inch in width that extend more than 12 inches in length in any direction. Repair structural defects only with the approval of the Fabrication Engineer. Submit a nonconformance report (NCR) to the Fabrication Engineer with a proposed repair procedure. Do not perform structural repairs without an NCR that has been reviewed by the Fabrication Engineer. Give the QAI adequate notice prior to beginning any structural repairs.”

SECTION 713

STRUCTURAL STEEL AND RELATED MATERIAL

Section 713.01- Structural Steel Revise this Section by removing the sentence:

“ Impact test sampling and testing procedures shall be in accordance with AASHTO T.”
And replace it with: **“Impact test sampling and testing procedures shall be in accordance with AASHTO T 243 M/T 243 and AASHTO T 244.”**

713.02 High Strength Bolts Revise this section by adding **“F3125, Grade”** after **“ASTM”** in the first and fifth paragraphs. In the fifth paragraph, remove **“They shall meet the chemical and mechanical requirements of ASTM A 325”**

SECTION 717

ROADSIDE IMPROVEMENT MATERIAL

717.02 Agricultural Ground Limestone

In the table after the third paragraph which starts with “Liquid lime...” change the Specification for Nitrogen (N) from “15.5 percent of which 1% is from ammoniac nitrogen and 14.5 /5 is from Nitrate Nitrogen” to read **“15.5 % of which 1% is from Ammoniacal Nitrogen and 14.5 % is from Nitrate Nitrogen”**

717.061 Erosion Control Blankets Revise this section by removing it in its entirety and replacing it with the following:

“717.061 Erosion Control Blankets Shall consist of a machine produced rolled blanket of biodegradable fibers, evenly distributed over the entire area of blanket, of a consistent thickness, sewn into a biodegradable mesh on the top and bottom surface using a cotton blend thread. The blanket shall remain in place when subject to shear stress of 1.55 lb/ft². The blanket shall remain intact until grass is established. The blanket shall be a product currently listed on the department’s Qualified Products List (QPL) of Erosion Control Products. See Section 618.10 - Seeding, Maintenance and Acceptance.”

SECTION 720

STRUCTURAL SUPPORTS FOR HIGHWAY SIGNS, LUMINAIRES AND TRAFFIC SIGNALS

720.10 Wood Utility Pole Amend the first sentence in this section by adding “, **Red Pine**” after “Douglas Fir”.

Replace the paragraph beginning with “Wood Utility poles...” with:

“Wood Utility poles shall be pressure treated, after fabrication in accordance with AASHTO Specifications M 133 and AWP A U1, UC4B, Commodity Specification D: Poles.”

720.12 Wood Sign Posts Remove the first sentence and replace with **“Wood sign posts shall be rectangular, straight and sound timber, cut from live growing native spruce, red pine, hemlock or cedar trees, free from loose knots or other structurally weakening defects of importance, such as shake or holes or heart rot.”**

Remove the paragraph beginning with “When pressure treated sign posts are called for on the plans ...” with **“When pressure treated sign posts are called for on the plans, the wood shall be Yellow Pine, Number 2 or better, or the species listed above. The pressure treated wood shall meet AASHTO M 133 and AWP A Standard U1, UC4A, Commodity Specification A: Sawn Products.”**

APPENDIX A TO DIVISION 100

SECTION 1 - BIDDING PROVISIONS

A. Federally Required Certifications By signing and delivering a Bid, the Bidder certifies as provided in all certifications set forth in this Appendix A - Federal Contract Provisions Supplement including:

- Certification Regarding No Kickbacks to Procure Contract as provided on this page 1 below.
- Certification Regarding Non-collusion as provided on page 1 below.
- Certification Regarding Non-segregated Facilities as provided by FHWA Form 1273, section III set forth on page 21 below.
- "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion" as provided by FHWA Form 1273, section XI set forth on page 32 below.
- "Certification Regarding Use of Contract Funds for Lobbying" as provided by FHWA Form 1273, section XII set forth on page 35 below.

Unless otherwise provided below, the term "Bidder", for the purposes of these certifications, includes the Bidder, its principals, and the person(s) signing the Bid. Upon execution of the Contract, the Bidder (then called the Contractor) will again make all the certifications indicated in this paragraph above.

CERTIFICATION REGARDING NO KICKBACKS TO PROCURE CONTRACT Except expressly stated by the Bidder on sheets submitted with the Bid (if any), the Bidder hereby certifies, to the best of its knowledge and belief, that it has not:

(A) employed or retained for a commission, percentage, brokerage, contingent fee, or other consideration, any firm or person (other than a bona fide employee working solely for me) to solicit or secure this contract;

(B) agreed, as an express or implied condition for obtaining this contract, to employ or retain the services of any firm or person in connection with carrying out the contract, or;

(C) paid, or agreed to pay, to any firm, organization, or person (other than a bona fide employee working solely for me) any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out the contract;

By signing and submitting a Bid, the Bidder acknowledges that this certification is to be furnished to the Maine Department of Transportation and the Federal Highway Administration, U.S. Department of Transportation in connection with this contract in anticipation of federal aid highway funds and is subject to applicable state and federal laws, both criminal and civil.

CERTIFICATION REGARDING NONCOLLUSION Under penalty of perjury as provided by federal law (28 U.S.C. §1746), the Bidder hereby certifies, to the best of its knowledge and belief, that:

the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with the Contract.

For a related provisions, see Section 102.7.2 (C) of the Standard Specifications - "Effects of Signing and Delivery of Bids" - "Certifications", Section 3 of this Appendix A entitled "Other Federal Requirements" including section XI - "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion" and section XII. - "Certification Regarding Use of Contract Funds for Lobbying."

B. Bid Rigging Hotline To report bid rigging activities call: **1-800-424-9071**

The U.S. Department of Transportation (DOT) operates the above toll-free "hotline" Monday through Friday, 8:00 a.m. to 5:00 p.m., eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the "hotline" to report such activities.

The "hotline" is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

SECTION 2 - FEDERAL EEO AND CIVIL RIGHTS REQUIREMENTS

Unless expressly otherwise provided in the Bid Documents, the provisions contained in this Section 2 of this "Federal Contract Provisions Supplement" are hereby incorporated into the Bid Documents and Contract.

A. Nondiscrimination & Civil Rights - Title VI The Contractor and its subcontractors shall not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. The Contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT assisted contracts. Failure by the Contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the Department deems appropriate. The Contractor and subcontractors shall comply with Title VI of the Civil Rights Act of 1964, as amended, and with all State of Maine and other Federal Civil Rights laws.

For related provisions, see Subsection B - "Nondiscrimination and Affirmative Action - Executive Order 11246" of this Section 2 and Section 3 - Other Federal Requirements of this "Federal Contract Provisions Supplement" including section II - "Nondiscrimination" of the "Required Contract Provisions, Federal Aid Construction Contracts", FHWA-1273.

B. Nondiscrimination and Affirmative Action - Executive Order 11246 Pursuant to Executive Order 11246, which was issued by President Johnson in 1965 and amended in 1967 and 1978, this Contract provides as follows.

The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its efforts to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:

Ensure and maintain a working environment free of harassment, intimidations, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all forepersons, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.

Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its union have employment opportunities available, and to maintain a record of the organization's responses.

Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions the Contractor may have taken.

Provide immediate written notification to the Department's Civil Rights Office when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Design-Builder's efforts to meet its obligations.

Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under B above.

Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligation; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.

Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination, or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Forepersons, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractor's and Subcontractors with whom the Contractor does or anticipates doing business.

Direct its recruitment efforts, both orally and written to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above describing the openings, screenings, procedures, and test to be used in the selection process.

Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth, both on the site and in other areas of a Contractor's workforce.

Validate all tests and other selection requirements.

Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.

Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.

Ensure that all facilities and company activities are non segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.

Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction Contractor's and suppliers, including circulation of solicitations to minority and female Contractor associations and other business associations.

Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.

C. Goals for Employment of Women and Minorities Per Executive Order 11246, craft tradesperson goals are 6.9% women and .5% minorities employed. However, goals may be adjusted upward at the mutual agreement of the Contractor and the Department. Calculation of these percentages shall not include On-the-Job Training Program trainees, and shall not include clerical or field clerk position employees.

For a more complete presentation of requirements for such Goals, see the federally required document "Goals for Employment of Females and Minorities" set forth in the next 6 pages below.

Start of GOALS FOR EMPLOYMENT OF FEMALES AND MINORITIES
Federally Required Contract Document

§60-4.2 Solicitations

- (d) The following notice shall be included in, and shall be part of, all solicitations for offers and bids on all Federal and federally assisted construction contracts or subcontracts in excess of \$10,000 to be performed in geographical areas designated by the Director pursuant to §60-4.6 of this part (see 41 CFR 60-4.2(a)):

Notice of Requirement for Affirmative Action to Ensure Equal Opportunity (Executive Order 11246)

1. The Offeror's or bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.
2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

<u>Goals for female participation in each trade</u>	6.9%
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Goals for minority participation for each trade

Maine

001 Bangor, ME	0.8%
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Non-SMSA Counties (Aroostook, Hancock, Penobscot, Piscataquis, Waldo, Washington)

002 Portland-Lewiston, ME

SMSA Counties: 4243 Lewiston-Auburn, ME (Androscoggin)	0.5%
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6403 Portland, ME (Cumberland, Sagadahoc)	0.6%
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Non-SMSA Counties:
(Franklin, Kennebec, Knox, Lincoln, Oxford, Somerset, York)

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non federally involved construction.

The contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be in violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor, employer identification number of the subcontractor, estimated dollar amount of the subcontract; estimated started and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed.

4. As used in this Notice, and in the Contract resulting from this solicitation, the "covered area" is (insert description of the geographical areas where the contract is to be performed giving the state, county and city, if any).

STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS (EXECUTIVE ORDER 11246)

1. As used in these specifications:
 - a. "Covered area" means the geographical area described in the solicitation from which this contract resulted;
 - b. "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
 - c. "Employer identification number" means the Federal Social Security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department form 941;
 - d. "Minority" includes:

- (i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
 - (ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
 - (iii) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
 - (iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of the North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
2. Whenever the Contractor, or any subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.
3. If the contractor, is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors for Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.
4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7 a. through p. of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in contractors performing construction work in geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical areas where the work is being performed. Goals are published periodically in the Federal Register in notice form and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specific.
5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant, thereto.

6. In order for the non working training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as expensive as the following:
 - a. Ensure and maintain a working environment free of harassment, intimidation, coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, when possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
 - b. Establish and maintain a current list of minority and female recruitment sources provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organization's responses.
 - c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment sources or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions the Contractor may have taken.
 - d. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
 - e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources complied under 7b above.

- f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
- g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foremen, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
- i. Direct its recruitment, efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing prior to the date for the acceptance of applications for apprenticeship or the openings, screening procedures, and tests to be used in the selection process.
- j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on site and in other areas of a Contractor's work force.
- k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
- l. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- m. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.

- n. Ensure that all facilities and company activities are non segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
 - o. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitation to minority and female contractor associations and other business associations.
 - p. Conduct a review, at least annually, of all supervisor's adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7 a through p.). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7 a through p. of these specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program and reflected in the Contractor's minority and female work force participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions take on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, specific minority group of women is underutilized.)
10. The Contractor shall not use the goals and timetables or affirmative action even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if standards to discriminate against any person because of race, color, religion, sex, or national origin.
11. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.
12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementation regulations by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.

13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.6.
14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g. mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and location at which the work was performed. Records be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.
15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

End of GOALS FOR EMPLOYMENT OF FEMALES AND MINORITIES
Federally Required Contract Document

D. Section '**D Disadvantaged Business Enterprise (DBE) Requirements**' is removed in its entirety. The DBE material is in:

Section 105.10 EQUAL OPPORTUNITY AND CIVIL RIGHTS.

SECTION 3 - OTHER FEDERAL REQUIREMENTS

Unless expressly otherwise provided in the Bid Documents, the provisions contained in this Section 3 of this "Federal Contract Provisions Supplement" are hereby incorporated into the Bid Documents and Contract.

A. Buy America

If the cost of products purchased for permanent use in this project which are manufactured of steel, iron or the application of any coating to products of these materials exceeds 0.1 percent of the contract amount, or \$2,500.00, whichever is greater, the products shall have been manufactured and the coating applied in the United States. The coating materials are not subject to this clause, only the application of the coating. In computing that amount, only the cost of the product and coating application cost will be included.

Ore, for the manufacture of steel or iron, may be from outside the United States; however, all other manufacturing processes of steel or iron must be in the United States to qualify as having been manufactured in the United States.

United States includes the 50 United States and any place subject to the jurisdiction thereof.

Products of steel include, but are not limited to, such products as structural steel, piles, guardrail, steel culverts, reinforcing steel, structural plate and steel supports for signs, luminaries and signals.

Products of iron include, but are not limited to, such products as cast iron grates.

Application of coatings include, but are not limited to, such applications as epoxy, galvanized and paint.

To assure compliance with this section, the Contractor shall submit a certification letter on its letterhead to the Department stating the following:

“This is to certify that products made of steel, iron or the application of any coating to products of these materials whose costs are in excess of \$2,500.00 or 0.1 percent of the original contract amount, whichever is greater, were manufactured and the coating, if one was required, was applied in the United States.”

B. Materials

a. Convict Produced Materials References: 23 U.S.C. 114(b)(2), 23 CFR 635.417

Applicability: FHWA's prohibition against the use of convict material only applies to Federal-aid highways. Materials produced after July 1, 1991, by convict labor may only be incorporated in a Federal-aid highway construction project if: 1) such materials have been produced by convicts who are on parole, supervised release, or probation from a prison; or 2) such material has been produced in a qualified prison facility, e.g., prison industry, with the amount produced during any 12-month period, for use in Federal-aid projects, not exceeding the amount produced, for such use, during the 12-month period ending July 1, 1987.

Materials obtained from prison facilities (e.g., prison industries) are subject to the same requirements for Federal-aid participation that are imposed upon materials acquired from other sources. Materials manufactured or produced by convict labor will be given no preferential treatment.

The preferred method of obtaining materials for a project is through normal contracting procedures which require the contractor to furnish all materials to be incorporated in the work. The contractor selects the source, public or private, from which the materials are to be obtained (23 CFR 635.407). Prison industries are prohibited from bidding on projects directly (23 CFR 635.112e), but may act as material supplier to construction contractors.

Prison materials may also be approved as State-furnished material. However, since public agencies may not bid in competition with private firms, direct acquisition of materials from a

prison industry for use as State-furnished material is subject to a public interest finding with the Division Administrator's concurrence (23 CFR 635.407d). Selection of materials produced by convict labor as State-furnished materials for mandatory use should be cleared prior to the submittal of the Plans Specifications & Estimates (PS&E).

b. Patented/Proprietary Products References: 23 U.S.C. 112, 23 CFR 635.411

FHWA will not participate, directly or indirectly, in payment for any premium or royalty on any patented or proprietary material, specification, or process specifically set forth in the plans and specifications for a project, unless:

- the item is purchased or obtained through competitive bidding with equally suitable unpatented items,
- the STA certifies either that the proprietary or patented item is essential for synchronization with the existing highway facilities or that no equally suitable alternative exists, or
- the item is used for research or for a special type of construction on relatively short sections of road for experimental purposes. States should follow FHWA's procedures for "Construction Projects Incorporating Experimental Features" ([expermnt.htm](http://www.fhwa.dot.gov/procurement/permnt.htm)) for the submittal of work plans and evaluations.

The primary purpose of the policy is to have competition in selection of materials and allow for development of new materials and products. The policy further permits materials and products that are judged equal may be bid under generic specifications. If only patented or proprietary products are acceptable, they shall be bid as alternatives with all, or at least a reasonable number of, acceptable materials or products listed; and the Division Administrator may approve a single source if it can be found that its utilization is in the public interest.

Trade names are generally the key to identifying patented or proprietary materials. Trade name examples include 3M, Corten, etc. Generally, products identified by their brand or trade name are not to be specified without an "or equal" phrase, and, if trade names are used, all, or at least a reasonable number of acceptable "equal" materials or products should be listed. The licensing of several suppliers to produce a product does not change the fact that it is a single product and should not be specified to the exclusion of other equally suitable products.

c. State Preference References: 23 U.S.C. 112, 23 CFR 635.409

Materials produced within Maine shall not be favored to the exclusion of comparable materials produced outside of Maine. State preference clauses give particular advantage to the designated source and thus restrict competition. Therefore, State preference provisions shall not be used on any Federal-aid construction projects.

This policy also applies to State preference actions against materials of foreign origin, except as otherwise permitted by Federal law. Thus, States cannot give preference to in-State material sources over foreign material sources. Under the Buy America provisions, the States are

permitted to expand the Buy America restrictions provided that the STA is legally authorized under State law to impose more stringent requirements.

d. State Owned/Furnished/Designated Materials References: 23 U.S.C. 112, 23 CFR 635.407

Current FHWA policy requires that the contractor must furnish all materials to be incorporated in the work, and the contractor shall be permitted to select the sources from which the materials are to be obtained. Exceptions to this requirement may be made when there is a definite finding, by MaineDOT and concurred in by Federal Highway Administration's (FHWA) Division Administrator, that it is in the public interest to require the contractor to use materials furnished by the MaineDOT or from sources designated by MaineDOT. The exception policy can best be understood by separating State-furnished materials into the categories of manufactured materials and local natural materials.

Manufactured Materials When the use of State-furnished manufactured materials is approved based on a public interest finding, such use must be made mandatory. The optional use of State-furnished manufactured materials is in violation of our policy prohibiting public agencies from competing with private firms. Manufactured materials to be furnished by MaineDOT must be acquired through competitive bidding, unless there is a public interest finding for another method, and concurred in by FHWA's Division Administrator.

Local Natural Materials When MaineDOT owns or controls a local natural materials source such as a borrow pit or a stockpile of salvaged pavement material, etc., the materials may be designated for either optional or mandatory use; however, mandatory use will require a public interest finding (PIF) and FHWA's Division Administrator's concurrence.

In order to permit prospective bidders to properly prepare their bids, the location, cost, and any conditions to be met for obtaining materials that are made available to the contractor shall be stated in the bidding documents.

Mandatory Disposal Sites Normally, the disposal site for surplus excavated materials is to be of the contractor's choosing; although, an optional site(s) may be shown in the contract provisions. A mandatory site shall be specified when there is a finding by MaineDOT, with the concurrence of the Division Administrator, that such placement is the most economical or that the environment would be substantially enhanced without excessive cost. Discussion of the mandatory use of a disposal site in the environmental document may serve as the basis for the public interest finding.

Summarizing FHWA policy for the mandatory use of borrow or disposal sites:

- mandatory use of either requires a public interest finding and FHWA's Division Administrator's concurrence,
- mandatory use of either may be based on environmental consideration where the environment will be substantially enhanced without excessive additional cost, and
- where the use is based on environmental considerations, the discussion in the environmental document may be used as the basis for the public interest finding.

Factors to justify a public interest finding should include such items as cost effectiveness, system integrity, and local shortages of material.

C. Standard FHWA Contract Provisions - FHWA 1273

Unless expressly otherwise provided in the Bid Documents, the following “Required Contract Provisions, Federal Aid Construction Contracts”, FHWA-1273, are hereby incorporated into the Bid Documents and Contract.

Cargo Preference Act : Contractor and Subcontractor Clauses. “Use of United States-flag vessels: The contractor agrees—“(1) To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.”(2) To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, ‘on-board’ commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.”(3) To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract.”(Reorganization Plans No. 21 of 1950 (64 Stat. 1273) and No. 7 of 1961 (75 Stat. 840) as amended by Pub. L. 91-469 (84 Stat. 1036) and Department of Commerce Organization Order 10-8 (38 FR 19707, July 23, 1973)) [42 FR 57126, Nov. 1, 1977]

The Cargo Preference Act requirements apply to materials or equipment that are acquired for a specific Federal-aid highway project. In general, the requirements are not applicable to goods or materials that come into inventories independent of an FHWA funded-contract. For example, the requirements would not apply to shipments of Portland cement, asphalt cement, or aggregates, as industry suppliers and contractors use these materials to replenish existing inventories. In general, most of the materials used for highway construction originate from existing inventories and are not acquired solely for a specific Federal-aid project. However, if materials or equipment are acquired solely for a Federal-aid project, then the Cargo Preference Act requirements apply.”

Start of FHWA 1273 REQUIRED CONTRACT PROVISIONS
FEDERAL-AID CONSTRUCTION CONTRACTS (As revised through May 1, 2012)

FHWA-1273 -- Revised May 1, 2012

**REQUIRED CONTRACT PROVISIONS
FEDERAL-AID CONSTRUCTION CONTRACTS**

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

I. GENERAL

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment,

termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

II. NONDISCRIMINATION

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

1. Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

2. EEO Officer: The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

3. Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

- a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.
- b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.
- c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

5. Personnel Actions: Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability.

The following procedures shall be followed:

- a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
- b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
- c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
- d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

7. Unions: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women.

Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even

though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

8. Reasonable Accommodation for Applicants / Employees with Disabilities: The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

10. Assurance Required by 49 CFR 26.13(b):

a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.

b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.

11. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. (1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

- (i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (ii) The classification is utilized in the area by the construction industry; and
- (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

3. Payrolls and basic records

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act),

daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b. (1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g. , the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/esa/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency..

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the “Statement of Compliance” required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

4. Apprentices and trainees

a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a

different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

5. Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

6. Subcontracts. The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

7. Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

8. Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

9. Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

10. Certification of eligibility.

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.

3. Withholding for unpaid wages and liquidated damages. The FHWA or the contacting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.

4. Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.
2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

1. Instructions for Certification – First Tier Participants:

- a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

* * * * *

2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

2. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

* * * * *

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--
Lower Tier Participants:**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS PREFERENCE FOR
APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM OR APPALACHIAN LOCAL
ACCESS ROAD CONTRACTS**

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

- a. To the extent that qualified persons regularly residing in the area are not available.
- b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.
- c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.

End of FHWA 1273



Environmental Summary Sheet

WIN: 18247.00

Date Submitted: 2/11/2019

Town: Phillips - Madrid

CPD Team Leader: Danielle Tetreau

ENV Field Contact: Val Derosier

NEPA Complete: Programmatic Categorical Exclusion (CE) 23 CFR 771.117.c.26 issued on 5/1/2018

☒	Section 106		
		No effect: SHPO Concurrence 8/11/2015	
		Section 106 Resources: none	
☒	Section 4(f) and 6(f)		
	<u>Section 4(f)</u>	<u>Section 6(f)</u>	
	Review Complete - No use	Not Applicable - No take	
☒	Maine Department of Inland Fisheries and Wildlife Essential Habitat		
	Review Complete - Not Applicable	Timing Window: Not Applicable	
☒	Section 7		
	Informal Consultation		
	Species of Concern:	Canada lynx DPS – no effect	
		Canada lynx CH – no effect	
		Atlantic salmon – may affect, not likely to adversely affect; special conditions apply see Special Provision 105	
		northern long-eared bat – may affect, no clearing restrictions	
☒	Essential Fish Habitat		
	Abbreviated consultation – Species of Concern: Atlantic salmon - Adverse effect, not substantial		
☒	Maine Department of Conservation/Public Lands, Submerged Land Lease		
	Review Complete - Not Applicable		
☒	Maine Land Use Regulation Commission		
	Expedited Permit: RP3294, TR #50799		
	-Self-certification form to be completed by the ENV Field Contact or Resident and submitted to the LUPC PM with copy to Danielle Tetreau.		
<i>*Applicable Standards and Permits are included with the contract</i>			
☒	Maine Department of Environmental Protection		
	Permit-By-Rule: #67044		
<i>*Applicable Standards and Permits are included with the contract</i>			
☒	Army Corps of Engineers: Section 10 of the Rivers and Harbors Act and Section 404 of the Clean Water Act. Category 3 - Individual - NAE-2018-02856-IP		
	-Work Start Notification and Compliance Certification Form must be completed by the Resident and/or ENV Field Contact and submitted to Army Corps of Engineers with copy to Danielle Tetreau		
	-In-water work window: Special Conditions apply. See Special Provision 105.		
<i>*Applicable Standards and Permits are included with the contract</i>			
☒	Stormwater Review		
	FEMA Floodplain present. Design complies with EO 11988		
☒	Special Provisions Required		
	Special Provision 105-Timing of Work Restriction	N/A ☐	Applicable ☒
	Standard Specification 656-Erosion Control Plan	N/A ☐	Applicable ☒
	General Note for Hazardous Waste	N/A ☐	Applicable ☒
	Special Provision 203-Dredge Spec	N/A ☐	Applicable ☒
	Special Provision 203-Special Fill WIN# 22615.00 only	N/A ☒	Applicable ☐
	Special Provision 656-Minor Soil Disturbance	N/A ☒	Applicable ☐

*All permits and approvals based on plans/scope as of: 2/6/2018



Environmental Summary Sheet

WIN: 22615.00

Date Submitted: 2/11/2019

Town: Madrid

CPD Team Leader: Kristen Chamberlain

ENV Field Contact: Val Derosier

NEPA Complete: 5/3/2018, Programmatic Categorical Exclusion, 771.117(e)(3)

☒	Section 106 SHPO Concurrence -No Effect Section 106 Resources: None
☒	Section 4(f) and 6(f) <u>Section 4(f)</u> Review Complete -no Section 4(f) properties <u>Section 6(f)</u> Not Applicable
☒	Maine Department of Inland Fisheries and Wildlife Essential Habitat Not Applicable Timing Window: Not Applicable
☒	Section 7 Species of Concern: Atlantic salmon DPS & Critical Habitat-Likely to Adversely Affect Comments/References: Formal Consultation with USFWS complete 8/25/17. Special Conditions apply. See Special Provision 105. Species of Concern: Northern Long-Eared Bat- May Affect Comments/References: FHWA Programmatic Consultaiton complete 8/25/17. No time of year restrictions on tree clearing apply. Species of Concern: Canada Lynx-No Effect
☒	Essential Fish Habitat Abbreviated EFH Consultation Completed. Adverse effect, not substantial.
☒	Maine Department of Conservation/Public Lands, Submerged Land Lease Not Applicable
☒	Maine Land Use Regulation Commission Expedited Permit: RP3294, TR #50799 -Self-certification form to be completed by the ENV Field Contact or Resident and submitted to the LUPC PM with copy to Danielle Tetreau. Permit is for both bridges and highway project. <i>*Applicable Standards and Permits are included with the contract</i>
☒	Maine Department of Environmental Protection Permit-By-Rule: #67044. Permit is for both bridges and the highway project. <i>*Applicable Standards and Permits are included with the contract</i>
☒	Army Corps of Engineers, Section 10 of the Rivers and Harbors Act and Section 404 of the Clean Water Act. Category 3 - Individual - NAE-2018-02856-IP (Permit is for both bridges and the highway project) -Work Start Notification and Compliance Certification Form must be completed by the Resident and/or ENV Field Contact and submitted to Army Corps of Engineers with copy to Danielle Tetreau -In-water work window: Special Conditions apply. See Special Provision 105. <i>*Applicable Standards and Permits are included with the contract</i>
☒	Stormwater Review N/A
☒	Special Provisions Required
	Special Provision 105-Timing of Work Restriction N/A ☐ Applicable ☒
	Standard Specification 656-Erosion Control Plan N/A ☐ Applicable ☒
	General Note for Hazardous Waste N/A ☒ Applicable ☐
	Special Provision 203-Dredge Spec N/A ☐ Applicable ☒
	Special Provision 203-Special Fill N/A ☐ Applicable ☒
	Special Provision 105.9 N/A ☒ Applicable ☐

*All permits and approvals based on plans/scope as of: 1/2019



Environmental Summary Sheet

WIN: 22616.00

Date Submitted: 2/11/2019

Town: Phillips

CPD Team Leader: Kristen Chamberlain

ENV Field Contact: Val Derosier

NEPA Complete: 5/3/2018, Programmatic Categorical Exclusion, 771.117(e)(3)

☒	Section 106 SHPO Concurrence -No Effect Section 106 Resources: None																		
☒	Section 4(f) and 6(f) <u>Section 4(f)</u> Review Complete -no Section 4(f) properties <u>Section 6(f)</u> Not Applicable																		
☒	Maine Department of Inland Fisheries and Wildlife Essential Habitat Not Applicable Timing Window: Not Applicable																		
☒	Section 7 Species of Concern: Atlantic salmon DPS & Critical Habitat-Likely to Adversely Affect Comments/References: Formal Consultation with USFWS complete 8/25/17. Special Conditions apply. See Special Provision 105. Species of Concern: Northern Long-Eared Bat- May Affect Comments/References: FHWA Programmatic Consultaiton complete 8/25/17. No time of year restrictions on tree clearing apply. Species of Concern: Canada Lynx-No Effect																		
☒	Essential Fish Habitat Abbreviated EFH Consultation Completed. Adverse effect, not substantial.																		
☒	Maine Department of Conservation/Public Lands, Submerged Land Lease Not Applicable																		
☒	Maine Land Use Regulation Commission Expedited Permit: RP3294, TR #50799 -Self-certification form to be completed by the ENV Field Contact or Resident and submitted to the LUPC PM with copy to Danielle Tetreau. Permit is for both bridges and highway project. <i>*Applicable Standards and Permits are included with the contract</i>																		
☒	Maine Department of Environmental Protection Permit-By-Rule: #67044. Permit is for both bridges and the highway project. <i>*Applicable Standards and Permits are included with the contract</i>																		
☒	Army Corps of Engineers, Section 10 of the Rivers and Harbors Act and Section 404 of the Clean Water Act. Category 3 - Individual - NAE-2018-02856-IP (Permit is for both bridges and the highway project) -Work Start Notification and Compliance Certification Form must be completed by the Resident and/or ENV Field Contact and submitted to Army Corps of Engineers with copy to Danielle Tetreau -In-water work window: Special Conditions apply. See Special Provision 105. <i>*Applicable Standards and Permits are included with the contract</i>																		
☒	Stormwater Review N/A																		
☒	Special Provisions Required <table border="0"><tr><td>Special Provision 105-Timing of Work Restriction</td><td>N/A ☐</td><td>Applicable ☒</td></tr><tr><td>Standard Specification 656-Erosion Control Plan</td><td>N/A ☐</td><td>Applicable ☒</td></tr><tr><td>General Note for Hazardous Waste</td><td>N/A ☒</td><td>Applicable ☐</td></tr><tr><td>Special Provision 203-Dredge Spec</td><td>N/A ☐</td><td>Applicable ☒</td></tr><tr><td>Special Provision 203-Special Fill</td><td>N/A ☒</td><td>Applicable ☐</td></tr><tr><td>Special Provision 105.9</td><td>N/A ☒</td><td>Applicable ☐</td></tr></table>	Special Provision 105-Timing of Work Restriction	N/A ☐	Applicable ☒	Standard Specification 656-Erosion Control Plan	N/A ☐	Applicable ☒	General Note for Hazardous Waste	N/A ☒	Applicable ☐	Special Provision 203-Dredge Spec	N/A ☐	Applicable ☒	Special Provision 203-Special Fill	N/A ☒	Applicable ☐	Special Provision 105.9	N/A ☒	Applicable ☐
Special Provision 105-Timing of Work Restriction	N/A ☐	Applicable ☒																	
Standard Specification 656-Erosion Control Plan	N/A ☐	Applicable ☒																	
General Note for Hazardous Waste	N/A ☒	Applicable ☐																	
Special Provision 203-Dredge Spec	N/A ☐	Applicable ☒																	
Special Provision 203-Special Fill	N/A ☒	Applicable ☐																	
Special Provision 105.9	N/A ☒	Applicable ☐																	

*All permits and approvals based on plans/scope as of: 1/2019

PHILLIPS AND MADRID
ROUTE 4
HIGHWAY RECONSTRUCTION
WIN 18247.00

GENERAL NOTE

The Maine Department of Environmental Protection (MDEP) has found evidence of petroleum-related soil contamination along the proposed project. Maine Department of Transportation (MaineDOT) performed environmental borings at numerous locations between MaineDOT stations 658+50 to roughly MaineDOT station 659+00 left of center and did not detect obvious indications of subsurface contamination in the right-of-way. However, in light of MDEP's and MaineDOT's findings, the contractor shall employ appropriate health and safety measures to protect its workers against hazards associated with working near petroleum-impacted soils. Furthermore, the Contractor shall remain alert for any additionally evidence of contamination. If the Contractor encounters evidence of soil or groundwater contamination, the Contractor shall secure the excavation, stop work in the contaminated area, and immediately notify the Resident. The Resident shall contact the Hydrogeologist in MaineDOT's Office of Safety and Compliance at 207-624-3004 and the MDEP at 800-482-0777. Work may only continue with authorization from the Resident.



Environmental Summary Sheet

WIN: 23100.00 & 23102.00

Date Submitted: 2/15/19

Town: Phillips

CPD Team Leader: Kristen Chamberlain

ENV Field Contact: Ryan Annis

NEPA Complete: Programmatic Categorical Exclusion- 2/15/19

☒	Section 106 SHPO Concurrence- - PA-D Section 106 Resources: None		
☒	Section 4(f) and 6(f) <u>Section 4(f)</u> Review Complete -no use <u>Section 6(f)</u> Not Applicable		
☒	Maine Department of Inland Fisheries and Wildlife Essential Habitat Not Applicable Timing Window: Not Applicable		
☒	Section 7 Species of Concern: Atlantic Salmon DPS - No Effect Comments/References: No in-water work. Species of Concern: Canada lynx-No Effect Comments/References: No change in vegetation type. Scope meets No Effect agreement. Species of Concern: Northern Long-Eared Bat-Not Likely to Adversely Affect Comments/References: FHWA Programmatic Consultation complete 8/25/17 (See Highway WIN 18247.00).		
☒	Essential Fish Habitat No Effect-No in-water work		
☒	Maine Department of Conservation/Public Lands, Submerged Land Lease Not Applicable		
☒	Maine Land Use Regulation Commission Not applicable		
☒	Maine Department of Environmental Protection <u>Exempt from Permitting</u> : 480-Q2d		
☒	Army Corps of Engineers, Section 10 of the Rivers and Harbors Act and Section 404 of the Clean Water Act. No jurisdiction		
☒	Stormwater Review N/A		
☒	Special Provisions Required		
	Special Provision 105-Timing of Work Restriction	N/A ☒	Applicable ☐
	Special Provision 656-Minor Soil Disturbance	N/A ☒	Applicable ☐
	Standard Specification 656-Erosion Control Plan	N/A ☐	Applicable ☒
	Special Provision 203-Dredge Spec	N/A ☒	Applicable ☐
	General Note for Hazardous Waste	N/A ☒	Applicable ☐
	Special Provision 203-Hazardous Waste	N/A ☒	Applicable ☐
	Special Provision 105.9	N/A ☒	Applicable ☐

*All permits and approvals based on plans/scope as of: 1/4/19



DEPARTMENT OF THE ARMY
US ARMY CORPS OF ENGINEERS
NEW ENGLAND DISTRICT
696 VIRGINIA ROAD
CONCORD MA 01742-2751

Regulatory Division
File No. NAE-2018-02856

David Gardner
Environmental Office
Maine Dept. of Transportation
16 State House Station
Augusta, Maine 04333

Dear Mr. Gardner

Enclosed are two copies of a Department of the Army permit authorizing your project. **Please sign both copies of the permit and return one signed copy to this office at the address above.** No fee is required. The authorized work cannot start until we receive a complete, signed copy of the permit.

You are required to complete and return the enclosed forms to this office:

1. Preliminary Jurisdictional Determination Form to be submitted along with your signed copy of the permit
2. Work Start Notification Form at least two weeks before the anticipated work start date.
3. Compliance Certification Form within one month following the completion of the authorized work.

This permit is a limited authorization containing a specific set of conditions. Please read the permit thoroughly to familiarize yourself with those conditions, **including any conditions contained on the enclosed state water quality certification.** If a contractor does the work for you, both you and the contractor are responsible for ensuring that the work is done in compliance with the permit's terms and conditions, as any violations could result in civil or criminal penalties.

Our verification of this project's wetland delineation under the Corps of Engineers Wetlands Delineation Manual, and its applicable supplement, is valid for a period of five years from the date of this letter unless new information warrants revision of the determination before the expiration date.

A combined Notification of Administrative Appeal Options and Process (NAP) and Request for Appeal (RFA) form, and flow chart explaining the appeals process and your options, are enclosed. If you desire to appeal this proffered permit, you must submit a completed RFA form along with any supporting or clarifying information to James W. Haggerty; Administrative Appeals Review Officer; North Atlantic Division, Corps of Engineers; North Atlantic Fort Hamilton Military Community, Bldg. 301; General Lee Avenue; Brooklyn, NY 11252-6700.

Contact info: (347) 370-4650 or james.w.haggerty@usace.army.mil.

In order for an RFA to be accepted by the Corps, the Corps must determine that it is complete, that it meets the criteria for appeal under 33 CFR 331.5, and that it has been received by the Division Office within 60 days of the date of the NAP.

You may not appeal conditions contained in the State water quality certification or the CZM consistency determination under this program as they are automatically included in the Federal permit. This authorization does not obviate the need to obtain other Federal, state, or local authorizations required by law.

We continually strive to improve our customer service. In order for us to better serve you, we would appreciate your completing our Customer Service Survey located at http://corpsmapu.usace.army.mil/cm_apex/f?p=regulatory_survey.

If you have any questions regarding this correspondence, please contact Jay Clement at 207-623-8367 at our Augusta, Maine Project Office.

Sincerely,


Frank J. Del Giudice
Chief, Permits and Enforcement Branch
Regulatory Division

Enclosures

cc:

Laura Teracino, U.S. Environmental Protection Agency Region 1, Teracino.Laura@epa.gov

DEPARTMENT OF THE ARMY PERMIT

Permittee Maine Dept. of Transportation, 16 State House Station, Augusta, Maine 04333

Permit No. NAE-2018-02856

Issuing Office New England District

NOTE: The term "you" and its derivatives, as used in this permit, means the permittee or any future transferee. The term "this office" refers to the appropriate district or division office of the Corps of Engineers having jurisdiction over the permitted activity or the appropriate official of that office acting under the authority of the commanding officer.

You are authorized to perform work in accordance with the terms and conditions specified below.

Project Description:

Place temporary and permanent fill below the ordinary high water of the Sandy River and several unnamed streams and in adjacent freshwater wetlands between Phillips and Madrid, Maine in order to reconstruct a 4.58 mile section of Route 4. Reconstruction begins at Toothaker Pond Road in Madrid and continues easterly into Phillips. The project proposes to maintain

Project Description Continued on Page 4

This work is shown on the attached plans entitled, "ROUTE 4 PHILLIPS-MADRID, FRANKLIN COUNTY" in 35 sheets undated and with the plans and tables contained in the administrative record.

Project Location:

In the Sandy River and unnamed tributaries between Phillips & Madrid, Maine

Permit Conditions:

General Conditions:

1. The time limit for completing the work authorized ends on December 31, 2024. If you find that you need more time to complete the authorized activity, submit your request for a time extension to this office for consideration at least one month before the above date is reached.
2. You must maintain the activity authorized by this permit in good condition and in conformance with the terms and conditions of this permit. You are not relieved of this requirement if you abandon the permitted activity, although you may make a good faith transfer to a third party in compliance with General Condition 4 below. Should you wish to cease to maintain the authorized activity or should you desire to abandon it without a good faith transfer, you must obtain a modification of this permit from this office, which may require restoration of the area.
3. If you discover any previously unknown historic or archeological remains while accomplishing the activity authorized by this permit, you must immediately notify this office of what you have found. We will initiate the Federal and state coordination required to determine if the remains warrant a recovery effort or if the site is eligible for listing in the National Register of Historic Places.

4. If you sell the property associated with this permit, you must obtain the signature of the new owner in the space provided and forward a copy of the permit to this office to validate the transfer of this authorization.

5. If a conditioned water quality certification has been issued for your project, you must comply with the conditions specified in the certification as special conditions to this permit. For your convenience, a copy of the certification is attached if it contains such conditions.

6. You must allow representatives from this office to inspect the authorized activity at any time deemed necessary to ensure that it is being or has been accomplished in accordance with the terms and conditions of your permit.

Special Conditions:

1. The permittee shall ensure that a copy of this permit is at the work site whenever work is being performed and that all personnel performing work at the site of the work authorized by this permit are fully aware of the terms and conditions of the permit. This permit, including its drawings and any appendices and other attachments, shall be made a part of any and all contracts and sub-contracts for work which affects areas of Corps of Engineers jurisdiction at the site of the work authorized by this permit. This shall be done by including the entire permit in the specifications for work.

Special Conditions continued on Page 4

Further Information:

1. Congressional Authorities: You have been authorized to undertake the activity described above pursuant to:

() Section 10 of the Rivers and Harbors Act of 1899 (33 U.S.C. 403).

(X) Section 404 of the Clean Water Act (33 U.S.C. 1344).

() Section 103 of the Marine Protection, Research and Sanctuaries Act of 1972 (33 U.S.C. 1415).

2. Limits of this authorization.

a. This permit does not obviate the need to obtain other Federal, state, or local authorizations required by law.

b. This permit does not grant any property rights or exclusive privileges.

c. This permit does not authorize any injury to the property or rights of others.

d. This permit does not authorize interference with any existing or proposed Federal project.

3. Limits of Federal Liability. In issuing this permit, the Federal Government does not assume any liability for the following:

a. Damages to the permitted project or uses thereof as a result of other permitted or unpermitted activities or from natural causes.

b. Damages to the permitted project or uses thereof as a result of current or future activities undertaken by or on behalf of the United States in the public interest.

c. Damages to persons, property, or to other permitted or unpermitted activities or structures caused by the activity authorized by this permit.

d. Design or construction deficiencies associated with the permitted work.

e. Damage claims associated with any future modification, suspension, or revocation of this permit.

4. **Reliance on Applicant's Data:** The determination of this office that issuance of this permit is not contrary to the public interest was made in reliance on the information you provided.

5. **Reevaluation of Permit Decision.** This office may reevaluate its decision on this permit at any time the circumstances warrant. Circumstances that could require a reevaluation include, but are not limited to, the following:

a. You fail to comply with the terms and conditions of this permit.

b. The information provided by you in support of your permit application proves to have been false, incomplete, or inaccurate (See 4 above).

c. Significant new information surfaces which this office did not consider in reaching the original public interest decision.

Such a reevaluation may result in a determination that it is appropriate to use the suspension, modification, and revocation procedures contained in 33 CFR 325.7 or enforcement procedures such as those contained in 33 CFR 326.4 and 326.5. The referenced enforcement procedures provide for the issuance of an administrative order requiring you to comply with the terms and conditions of your permit and for the initiation of legal action where appropriate. You will be required to pay for any corrective measures ordered by this office, and if you fail to comply with such directive, this office may in certain situations (such as those specified in 33 CFR 209.170) accomplish the corrective measures by contract or otherwise and bill you for the cost.

6. **Extensions.** General condition 1 establishes a time limit for the completion of the activity authorized by this permit. Unless there are circumstances requiring either a prompt completion of the authorized activity or a reevaluation of the public interest decision, the Corps will normally give favorable consideration to a request for an extension of this time limit.

Your signature below, as permittee, indicates that you accept and agree to comply with the terms and conditions of this permit.


(PERMITTEE)

1/24/19
(DATE)

This permit becomes effective when the Federal official, designated to act for the Secretary of the Army, has signed below.


Frank J. Del Giudice
Chief, Permits & Enforcement Branch
For District Engineer

1-17-2019
(DATE)

When the structures or work authorized by this permit are still in existence at the time the property is transferred, the terms and conditions of this permit will continue to be binding on the new owner(s) of the property. To validate the transfer of this permit and the associated liabilities associated with compliance with its terms and conditions, have the transferee sign and date below.

(TRANSFEEE)

(DATE)

Project Description Continued from Page 1

the existing alignment of the roadway with the exception of minor horizontal shifts and to provide safety improvements in the vicinity of the Smalls Falls Rest Area and Reeds Mill Road. These areas are considered High Crash Locations. The project includes two bridge replacements, the Weymouth Bridge in Madrid and the Wing Bridge in Phillips. Reconstruction will result in approximately 8,700 s.f. of permanent and 14,840 s.f. of temporary stream bed impact, and 52,899 s.f. of permanent and 37,810 s.f. of temporary wetland impact. This work is designed to maintain critical transportation infrastructure, insure public safety, and protect the economic vitality of Maine's transportation network.

Special Conditions continued from Page 2

If the permit is issued after the construction specifications but before receipt of bids or quotes, the entire permit shall be included as an addendum to the specifications. If the permit is issued after receipt of bids or quotes, the entire permit shall be included in the contract or sub-contract as a change order. The term "entire permit" includes permit amendments. Although the permittee may assign various aspects of the work to different contractors or sub-contractors, all contractors and sub-contractors shall be obligated by contract to comply with all environmental protection provisions of the entire permit, and no contract or sub-contract shall require or allow unauthorized work in areas of Corps jurisdiction.

2. This authorization requires you to 1) notify us before beginning work so we may inspect the project, and 2) submit a Compliance Certification Form. You must complete and return the enclosed Work Start Notification Form(s) to this office at least two weeks before the anticipated starting date. The permittee shall complete and return the enclosed Compliance Certification Form within one month following the completion of the authorized work.

3. Adequate sedimentation and erosion control devices, such as geo-textile silt fences or other devices capable of filtering the fines involved, shall be installed and properly maintained to minimize impacts during construction. These devices must be removed upon completion of work and stabilization of disturbed areas. The sediment collected by these devices must also be removed and placed upland, in a manner that will prevent its later erosion and transport to a waterway or wetland.

4. No temporary fill (e.g., access roads, cofferdams) may be placed in waters or wetlands unless specifically authorized by this permit. If temporary fill is used, it shall be disposed of at an upland site and suitably contained to prevent its subsequent erosion into a water of the U.S., and the area shall be restored to its original contours (but not higher) and character upon completion of the project. During use, such temporary fill must be stabilized to prevent erosion or, in the case fill placed in flowing water (rivers or streams), clean washed stone should be used.

Permit Conditions Continued on Page 5

Permit Conditions Continued from Page 4

5. Except where stated otherwise, reports, drawings, correspondence and any other submittals required by this permit shall be marked with the words "Permit No. NAE-2018-02856" and shall be addressed to "Inspection Section, CENAE-R, U.S. Army Corps of Engineers, 696 Virginia Road, Concord, MA 01742-2751." Documents which are not marked and addressed in this manner may not reach their intended destination and do not comply with the requirements of this permit.
6. Mitigation shall consist of payment of \$152,878.11 to the Natural Resource Mitigation Fund. The completed ILF Project Data Worksheet which must be mailed with a cashiers check or bank draft, made out to "Treasurer, State of Maine", with the permit number noted on the check. The check and worksheet should be mailed to: ME DEP, Attn: ILF Program Administrator, State House Station 17, Augusta, ME 04333. **This authorization is not valid until the permittee provides the Corps with a copy of the check, with the permit number noted on the check.** The ILF amount is only valid for a period of one year from the date on the authorization letter. After that time, the project would need to be reevaluated and a new amount determined.

Corps of Engineers Permit No. NAE-2018-02856
Permit Special Conditions Resulting From
Formal Endangered Species Act Consultation
Between the Federal Highway Administration (FHWA) and
the US Fish & Wildlife Service (USFWS)
(Reference USFWS Biological Opinion (BO) dated "8/25/17")

1. The Maine DOT shall hold a pre-construction meeting for appropriate Environmental Office staff from the Maine DOT, other Maine DOT staff, and the Maine DOT construction crew or contractor(s) to review all procedures and requirements for avoiding and lessening effects to Atlantic salmon and to ensure the protection of Atlantic salmon and its critical habitat. The Corps, the FHWA, and the Service will be provided an invitation to attend this meeting as well.
2. The Maine DOT shall implement their BMPs in accordance with the MaineDOT: Best Management Practices for Erosion and Sedimentation Control (MaineDOT 2008). This BMP manual outlines means and methods to prevent sedimentation into streams from construction activities or storm events. These BMP measures include many filtering and sedimentation control techniques designed to dissipate water discharge energy (flow), filtering out sediments, and allowing particulate matter to settle out from suspension to the greatest extent practicable.
3. All in water work (e.g., the installation and removal of temporary bridge and cofferdam construction) will be conducted during the work windows outlined in Table 2 of the BO. The work windows are designed to minimize effects on the most sensitive life stages of Atlantic salmon.

Permit Conditions Continued on Page 6

Permit Conditions Continued from Page 5

4. When cofferdams are placed within the water column, they will be installed in a manner so as to avoid trapping fish. A pre-installation “sweep” of the area will be conducted to ensure there are no fish in the enclosed area. If cofferdams trap water during installation and project personnel determine that they need to be de-watered, then in order to avoid dewatering-related fish stranding, the Maine DOT (or approved consultants) will capture and carefully remove as many fish species as possible from within the dam prior to dewatering. The Maine DOT will inspect the cofferdams after installation for adult Atlantic salmon. If adult Atlantic salmon are observed during active construction, all activities shall cease and the Maine DOT shall immediately contact the Service’s Maine Field Office at 207-902-1586 (Patrick Dockens) or 207-902-1585 (Christopher DeVore) within 48 hours of occurrence. The Maine DOT shall complete a fish evacuation following the plan found in Appendix A as water flows permit.

5. When utilizing pumps to dewater cofferdams, in order to prevent Atlantic salmon juvenile entrapment related to dewatering diversions, the Maine DOT shall use a screen on each pump intake designed such that the approach velocity does not exceed 0.20 feet/second (6.10 centimeters/second). Square or round screen face openings are not to exceed 3/32 inches (2.38 millimeters) measured on a diagonal. Criteria for slotted face openings shall not exceed 1/16 inches (approximately 1.75 millimeters) in the narrow direction. These screen criteria follow NMFS guidance (NMFS 2008). Intake hoses shall be regularly monitored while pumping to minimize adverse effects to Atlantic salmon or other species.

6. Movement effects and other effects created from cofferdam use will be minimized by limiting cofferdam placement to the time necessary to complete instream activities, assuring that the cofferdam will be placed in the stream as shown in submitted drawings, and by maintaining a flow channel throughout the instream construction period. The cofferdams will be removed within two days of the completion of instream construction.

7. As a component of the Soil Erosion and Water Pollution Control Plan (SEWPCP) for each project, the Maine DOT or their contractor shall develop and implement a Spill Prevention Control and Countermeasure Plan (SPCCP) designed to avoid any stream impacts from hazardous chemicals associated with construction activities, such as diesel fuel, oil, lubricants, and other hazardous materials. All refueling or other construction equipment maintenance will be done at a location consistent with SPCCP and in a manner that avoids chemical or other hazardous materials getting into the stream. These measures include the following:

a. All vehicle and equipment refueling activities shall occur more than 100 feet (30.5 meters) from any water source.

b. All vehicles carrying fuel shall have specific equipment and materials needed to contain or clean up any incidental spills at the project site. Equipment and materials would include spill kits appropriately sized for specific quantities of fuel, shovels, absorbent pads, straw bales, containment structures and liners, and/or booms.

Permit Conditions Continued on Page 7

Permit Conditions Continued from Page 6

c. During use, all pumps and generators shall have appropriate spill containment structures and/or absorbent pads in place.

d. All equipment used for in-stream work shall be cleaned of external oil, grease, dirt, and mud. Any leaks or accumulations of these materials would be corrected before entering streams or areas that drain directly to streams or wetlands.

8. All concrete shall be poured in the dry, or within confined waters not being dewatered to surface waters. Prior to release to a natural resource, any impounded water that has been in contact with concrete placed during construction shall have a pH between 6.0 and 8.5, shall be within one pH unit of the background pH level of the resource and shall have a turbidity level no greater than the receiving resource. This requirement is applicable to concrete that is placed or spilled (including leakage from forms) as well as indirect contact via tools or equipment.

9. To minimize the spread of noxious weeds into the riparian zone, all off-road equipment and vehicles (operating off of existing open and maintained roads) must be cleaned prior to entering the construction site to remove all soil, seeds, vegetation, or other debris that could contain seeds or reproductive portions of plants. All equipment will be inspected prior to off-loading to ensure that they are clean.

10. All areas of temporary waterway or wetland fill will be restored to their original contour and character upon the completion of the project.

11. Disturbed areas adjacent to the stream will be stabilized and revegetated with a seed mix appropriate for riparian areas in Maine, except where riprap has been placed.

12. The Maine DOT will minimize the potential hydro-acoustic impacts by following the recommendations below:

- a. Vibratory driving will be utilized as much as possible during the installation of any piles.
- b. Hoe ram removal of the existing abutment will occur behind dewatered cofferdams.

13. The Maine DOT shall minimize the adverse effects to and incidental take of Atlantic salmon by employing construction techniques and Atlantic salmon handling methods that avoid or minimize adverse effects to water quality, aquatic and riparian habitats, and all aquatic organisms.

14. The Maine DOT shall minimize the adverse effects to and incidental take of Atlantic salmon related to aquatic habitat connectivity and fish passage by ensuring that the project is built as proposed.

Permit Conditions Continued on Page 8

Permit Conditions Continued from Page 7

15. Construction impacts shall be confined to the minimum area necessary to complete the project.

16. The Maine DOT shall ensure the completion of a monitoring, evaluation, and reporting program to monitor project implementation and compliance with conservation and best management practices measures and to confirm that this project has been effective in minimizing incidental take from the FHWA funded and the Corps authorized activity. Efforts to minimize incidental take shall be conducted in accordance with BMPs, conservation measures, and as the action is further described in Section 2 of this BO, and such that the amount of allowable incidental take is not exceeded.

17. Turbidity monitoring will be completed for installation of cofferdams and pile driving. Preliminary results of monitoring event(s) will be reported to the Service within five business days. Submittal of final data/report will occur within two months.

18. A post-project report, confirming completion of construction and the successful application of all terms and conditions of this permit, shall be submitted within four (4) weeks of the project's completion. The report shall include, but not be limited to, a narrative and photos documenting project elements outlined in the construction plan and referenced in these conditions as well as the amount of incidental take of Atlantic salmon. This report may accompany the Compliance Certification Form referenced in Special Condition 2. Individual findings for each project site are required but the permittee may submit a combined report for all of the projects depending on the timing of each project's construction.

IN-LIEU-FEE (ILF) PROJECT DATA WORKSHEET

DEP Invoice # _____

[Note: Will be filled in by ILF Administrator at DEP]

Project name: Route 4 Reconstruction; Phillips-Madrid, Maine

Applicant (s): Maine Dept. of Transportation

DEP Permit #: PBR

Corps Permit #: NAE-2018-02856

ILF Contribution Amount \$152,878.11

[Note: Please attach a copy of the check]

Project address: Route 4 between Phillips & Madrid, Maine

Biophysical region: Central & Western Mountains

Size of total impact subject to compensation: 52,899 s.f. (1.2 acres)

Resources Impacted: Refer to attached table

DEP Project manager: Hallowell

Corps Project manager: Clement

Corps ILF Processing Procedure:

Within 3 days of final permit approval the Corps project manager **MUST** send via e-mail to the ILF Administrator at DEP with the following attachments:

1. A Microsoft word version of this completed ILF project worksheet including the resource impact table. Please make sure that you double check the information to make sure that the worksheet is accurate and reflects the actual impacts that are stated in the permit and the correct biophysical region.

[Note: The DEP Invoice # section of the worksheet should be left blank and will be filled in by the ILF Program Administrator.]

2. A copy of a location map for the project site. The map **MUST** be made in GIS and saved as a pdf and **MUST** include a call out box to physically locate the project site and enough reference information so that project site can be geo-located on the MNRCP GIS data layer.

3. A pdf copy of the Corps permit for the project.

Corps permittees **MUST** be instructed to send all required ILF payments to the attention of the ILF Administrator Maine Department of Environmental Protection, State House Station 17, Augusta, Maine 04333. All checks must have the ILF program routing # 014.06A.1776.14 on the memo line.

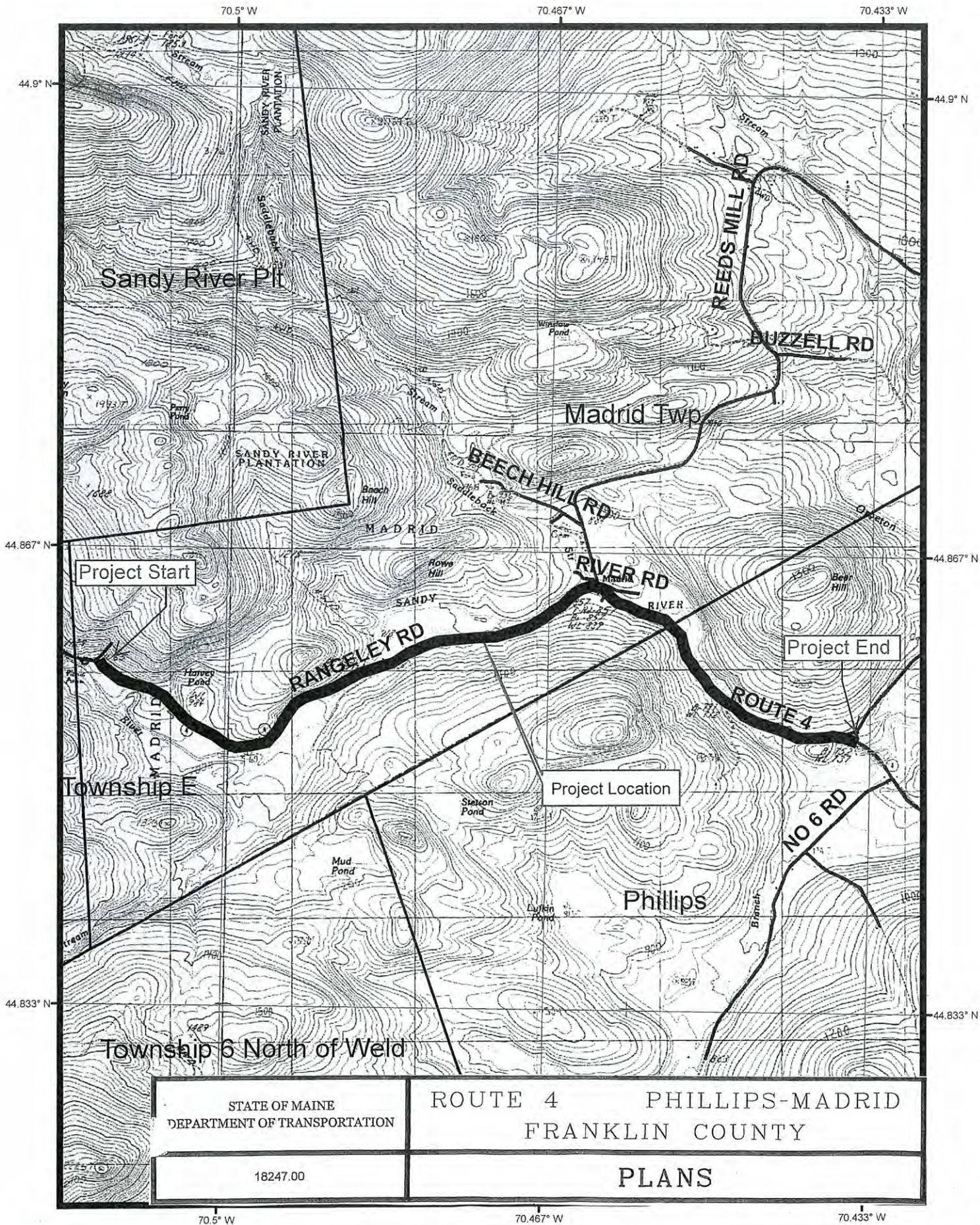
Resource(s) Impacted:

Resource Type: (Wetlands by NWI Type (PFO, PSS, M1, M2, E1, E2, etc), significant vernal pool (SVP), shorebird feeding & staging habitat (Shorebird), inland waterfowl & wading bird habitat (IWWH), tidal waterfowl & wading habitat (TWWH), and river, stream, or brook (RSB).

Wetland Functions & Values: Groundwater recharge/discharge (GWR); floodflow alterations (FF); fish & shellfish habitat (FSH); sediment toxicant retention (STR); nutrient removal (NR); production export (PE); sediment/shoreline stabilization (SS); wildlife habitat (WH); recreation (R); education/scientific value (ESV); uniqueness/heritage (UH); and visual quality/aesthetics (VQ).

Types of impacts: may include filling, dredging, vegetation conversion (e.g. forested to shrub/scrub), others.

Resource type (list all that apply)	Functions (for wetland impacts) (list all that apply, by resource type)	Type of Impact (by resource type)	Sq Feet Impacted (by resource type)
PFO	WH, GWR, FF, STR	Filling	34,970
PSS	GWR, SS, WH	Filling	14,679
PEM	FF, WH	Filling	3,250
Total square feet impacted			52,899



STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

18247.00

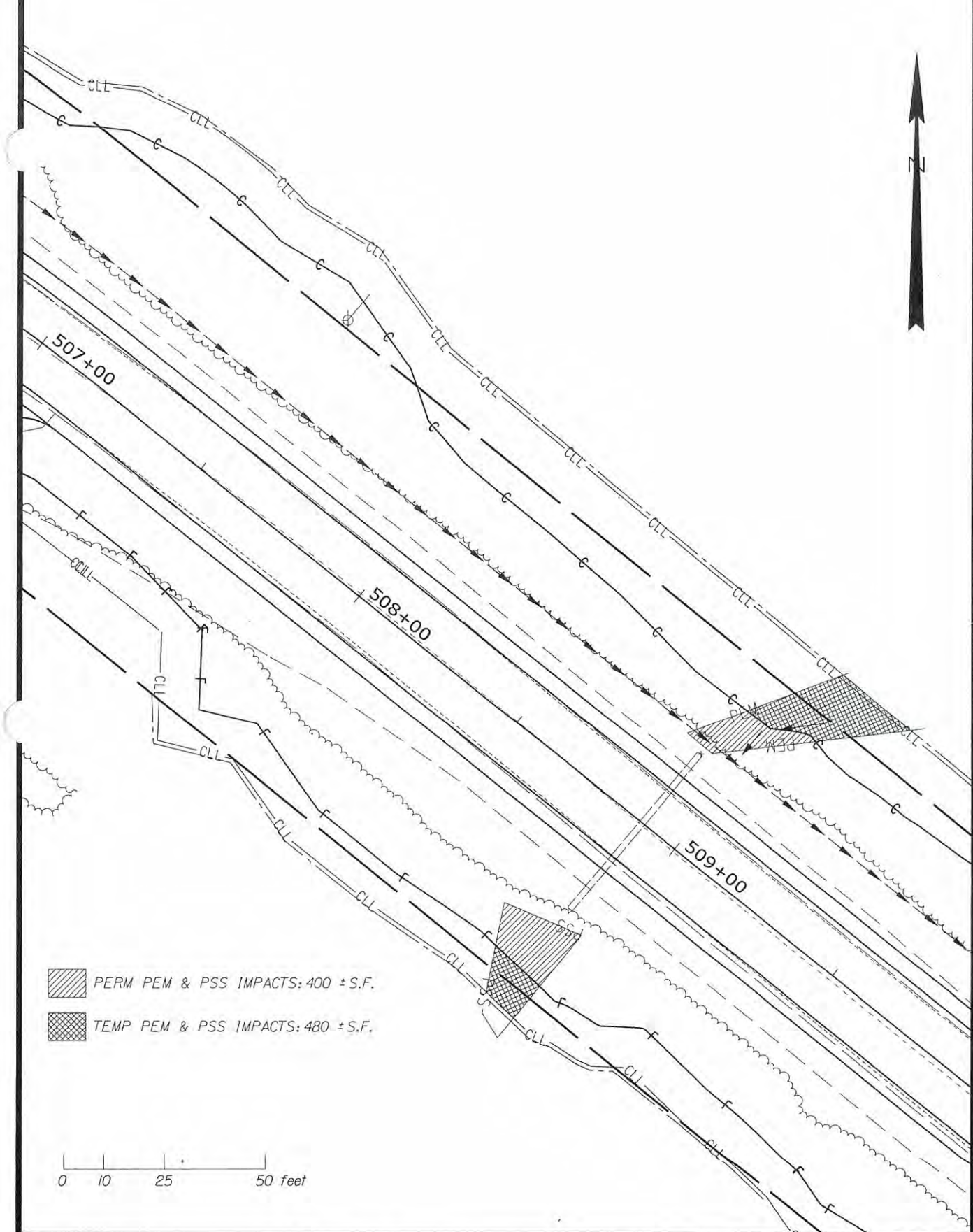
PLANS

0 750 1,500 3,000 4,500 6,000
Feet

Phillips-Madrid
MaineDOT WIN 18247.00

Route 4
Project Start: 44.858404, -70.514564
Project End: 44.853215, -70.434967





 PERM PEM & PSS IMPACTS: 400 ± S.F.
 TEMP PEM & PSS IMPACTS: 480 ± S.F.

0 10 25 50 feet

STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

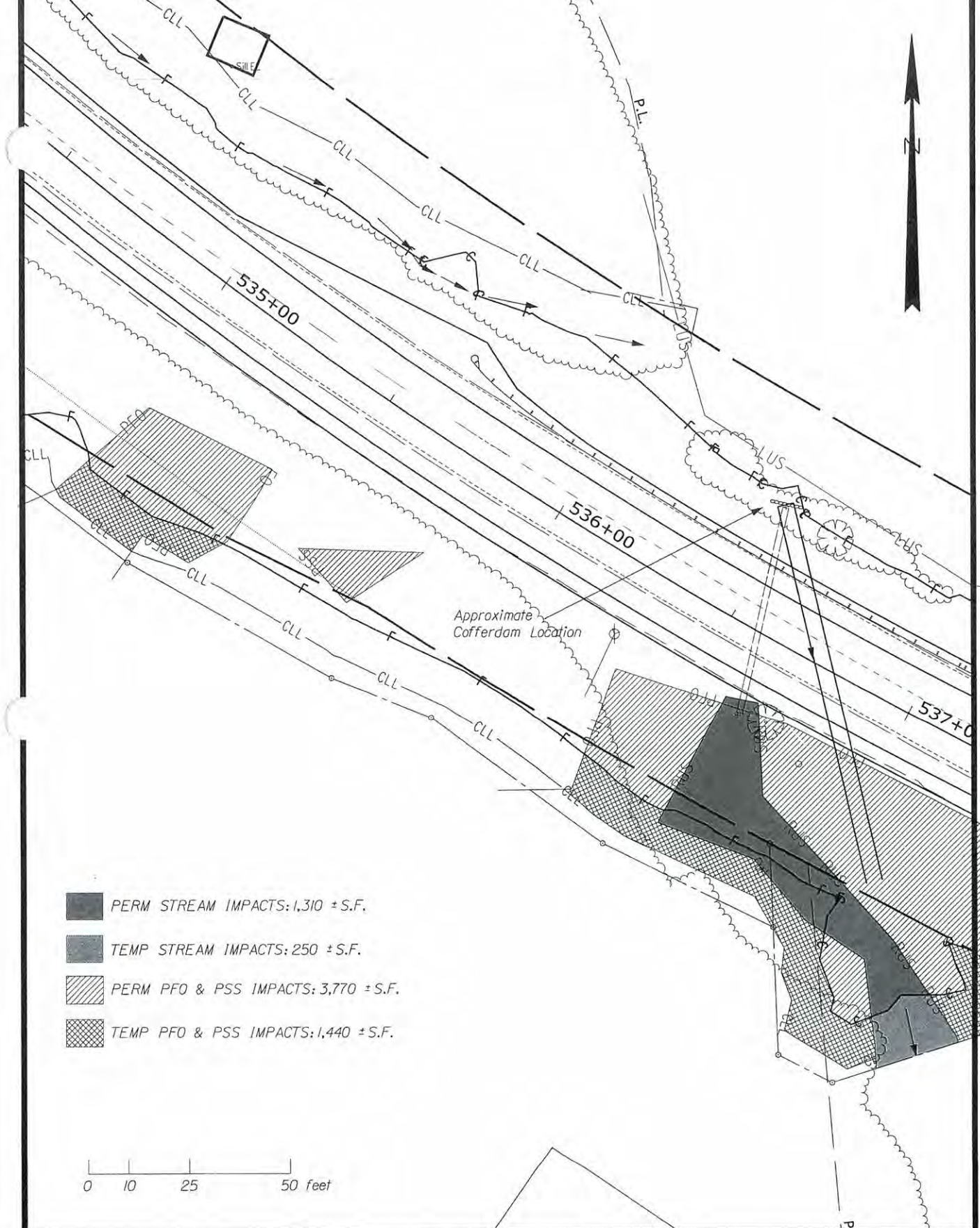
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STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

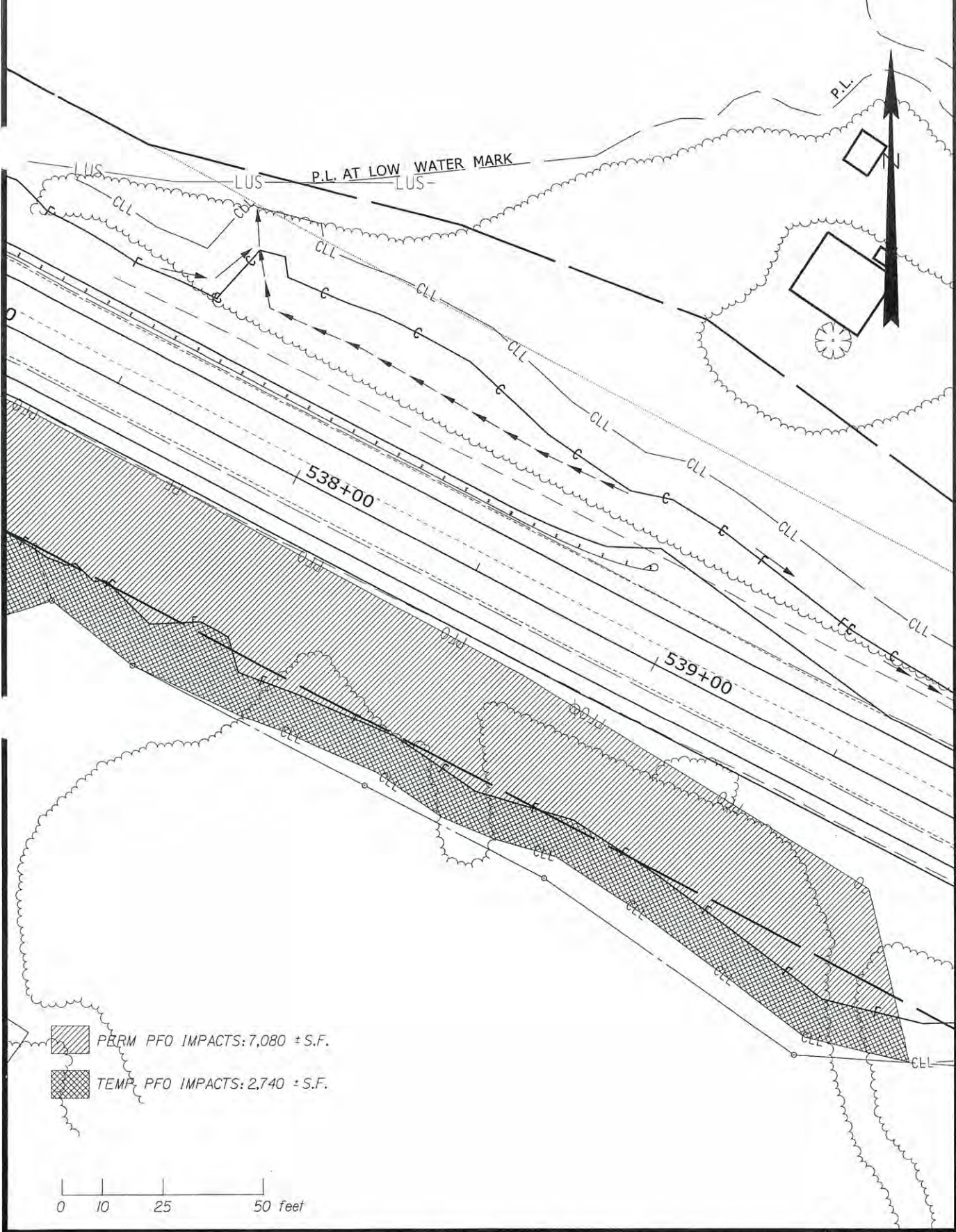
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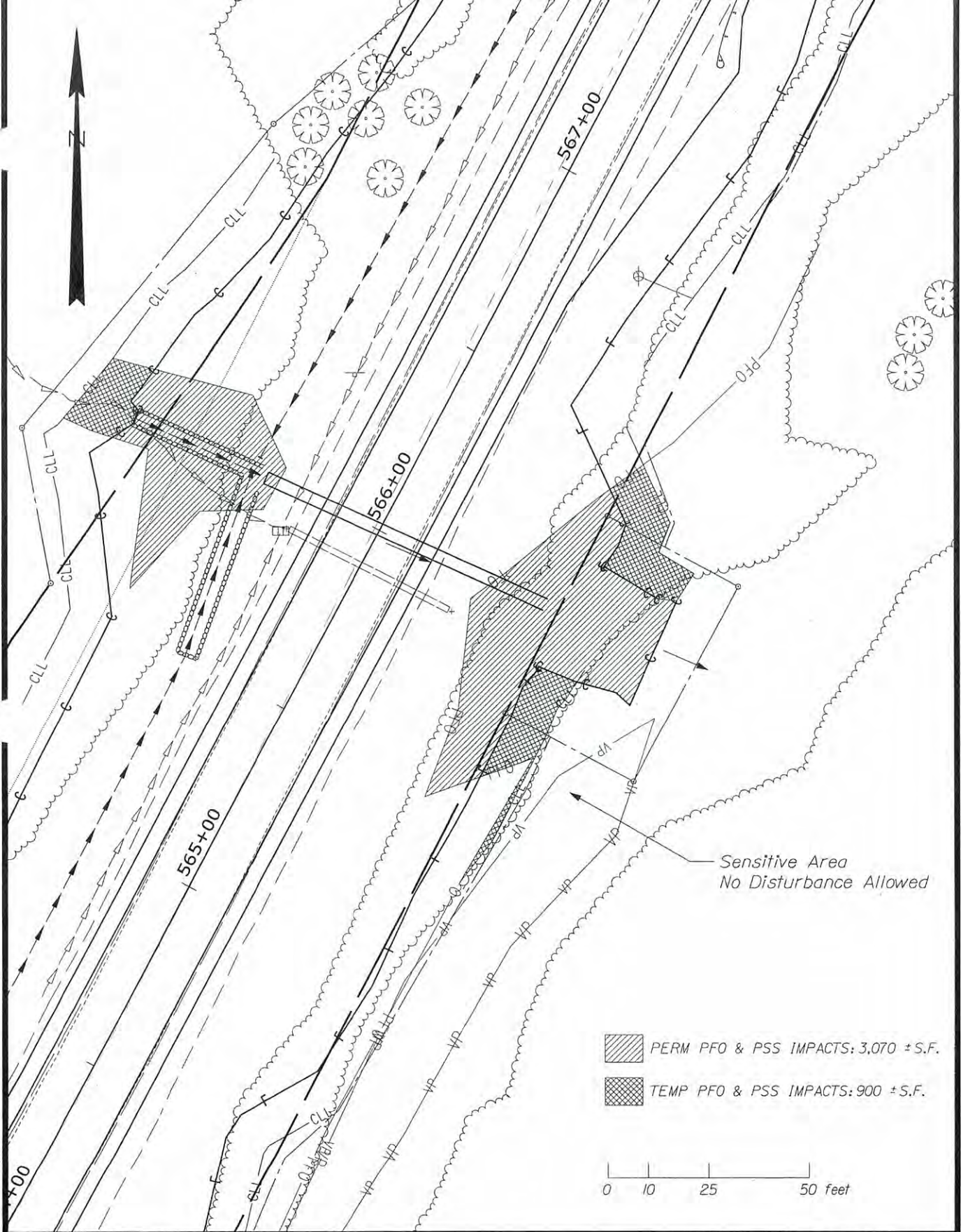
OF 33



 PERM PFO IMPACTS: 7,080 ± S.F.
 TEMP. PFO IMPACTS: 2,740 ± S.F.

0 10 25 50 feet

STATE OF MAINE DEPARTMENT OF TRANSPORTATION	ROUTE 4 PHILLIPS-MADRID FRANKLIN COUNTY	SHEET NUMBER 3
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ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

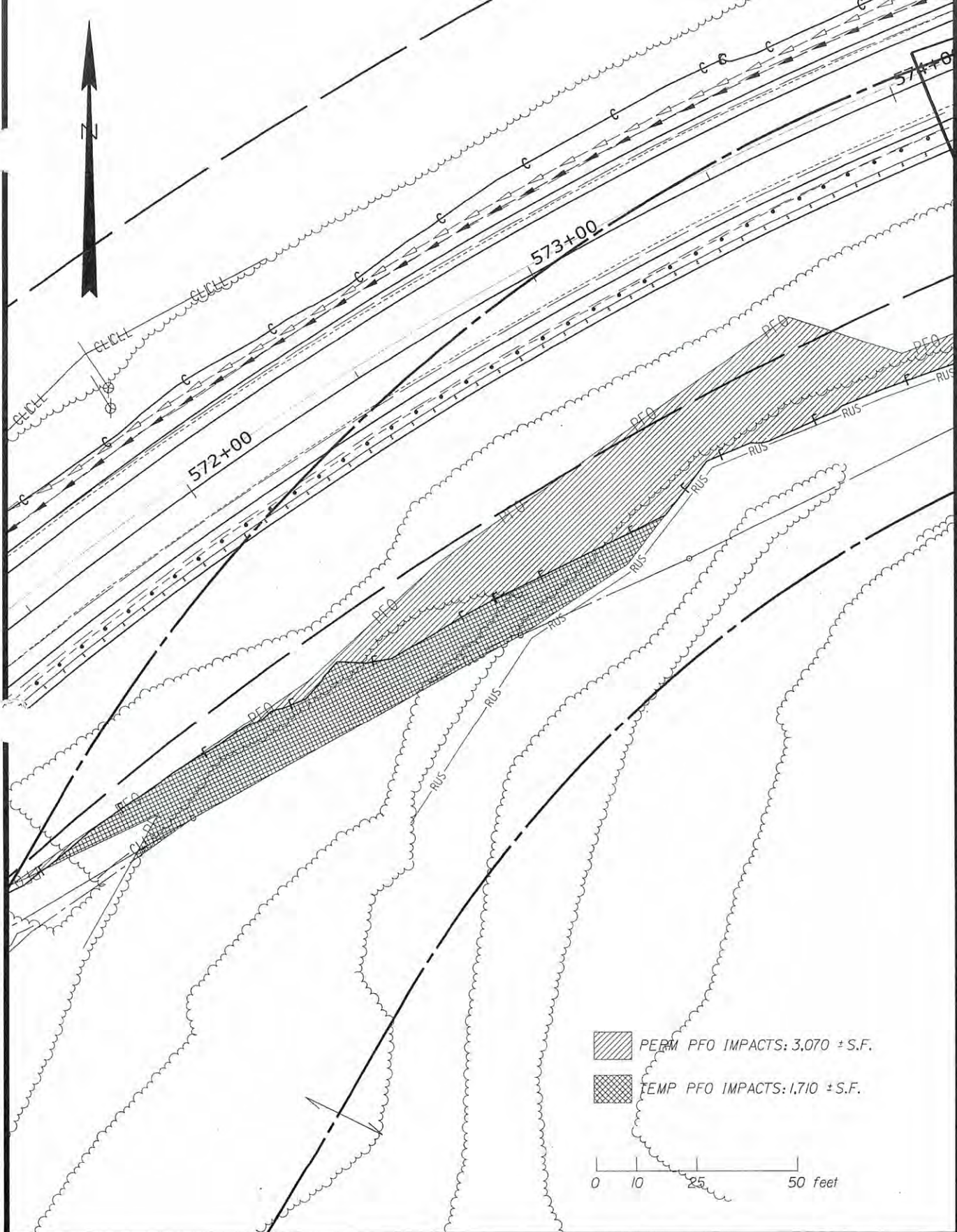
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STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

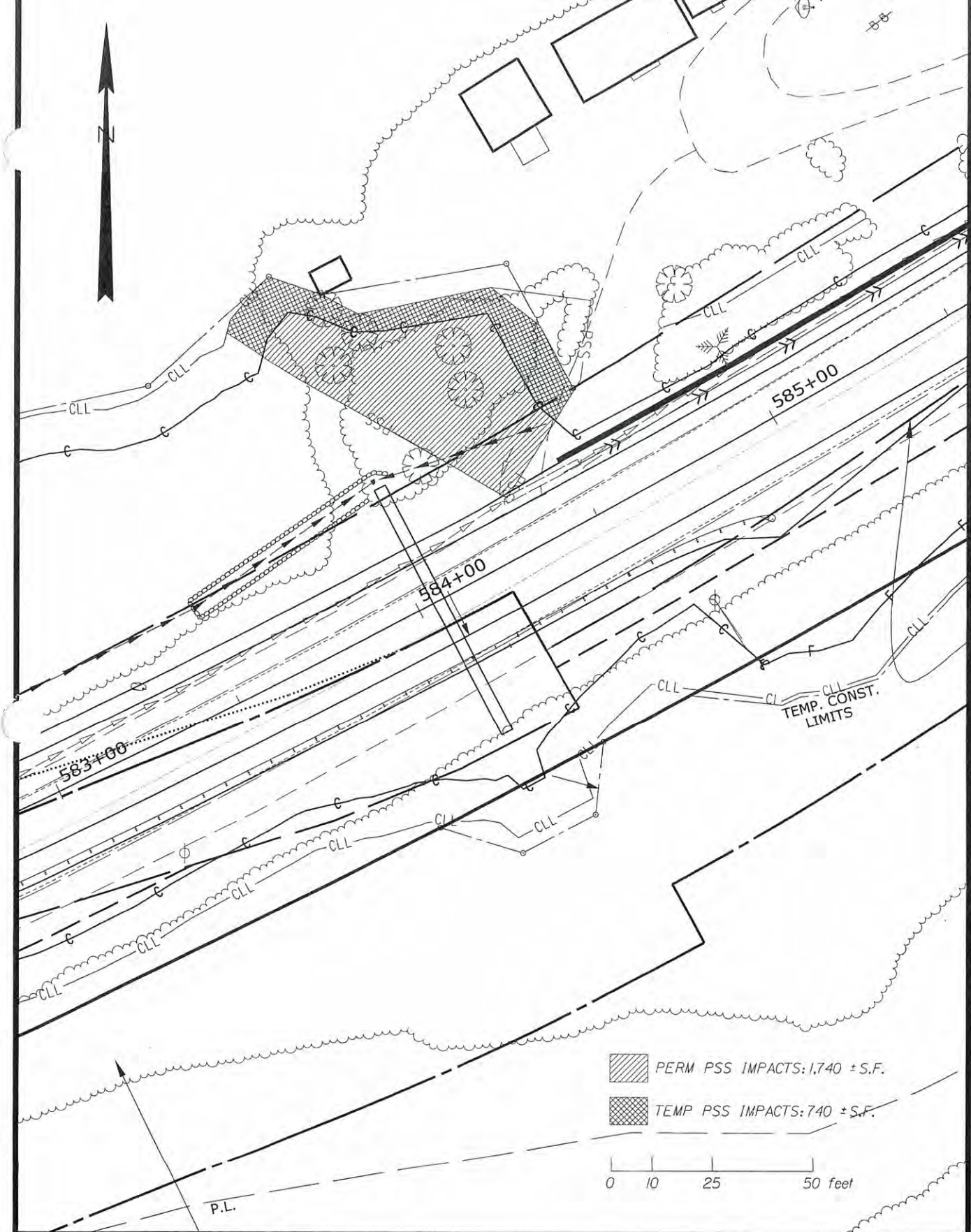
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FRANKLIN COUNTY

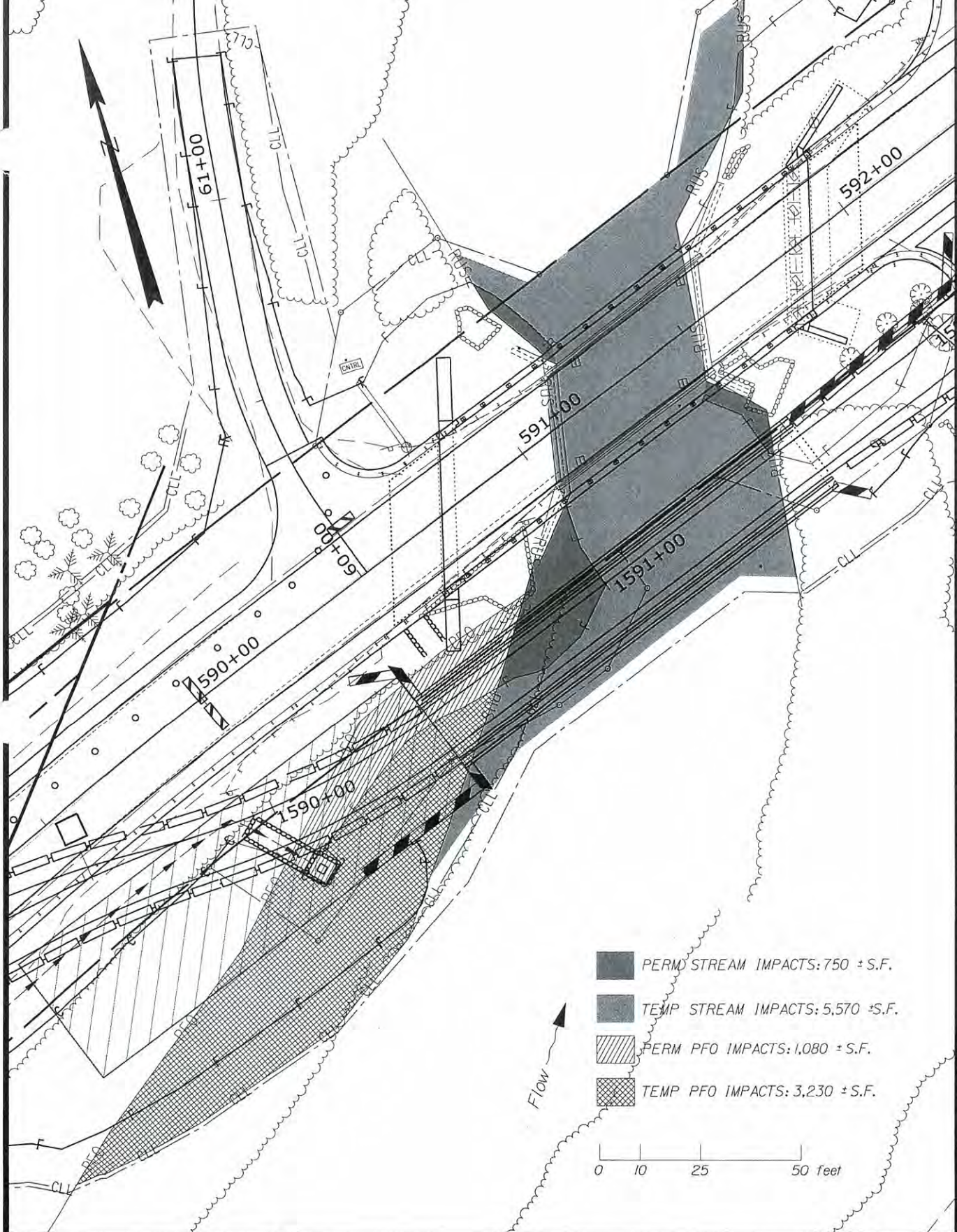
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PLANS

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STATE OF MAINE
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ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

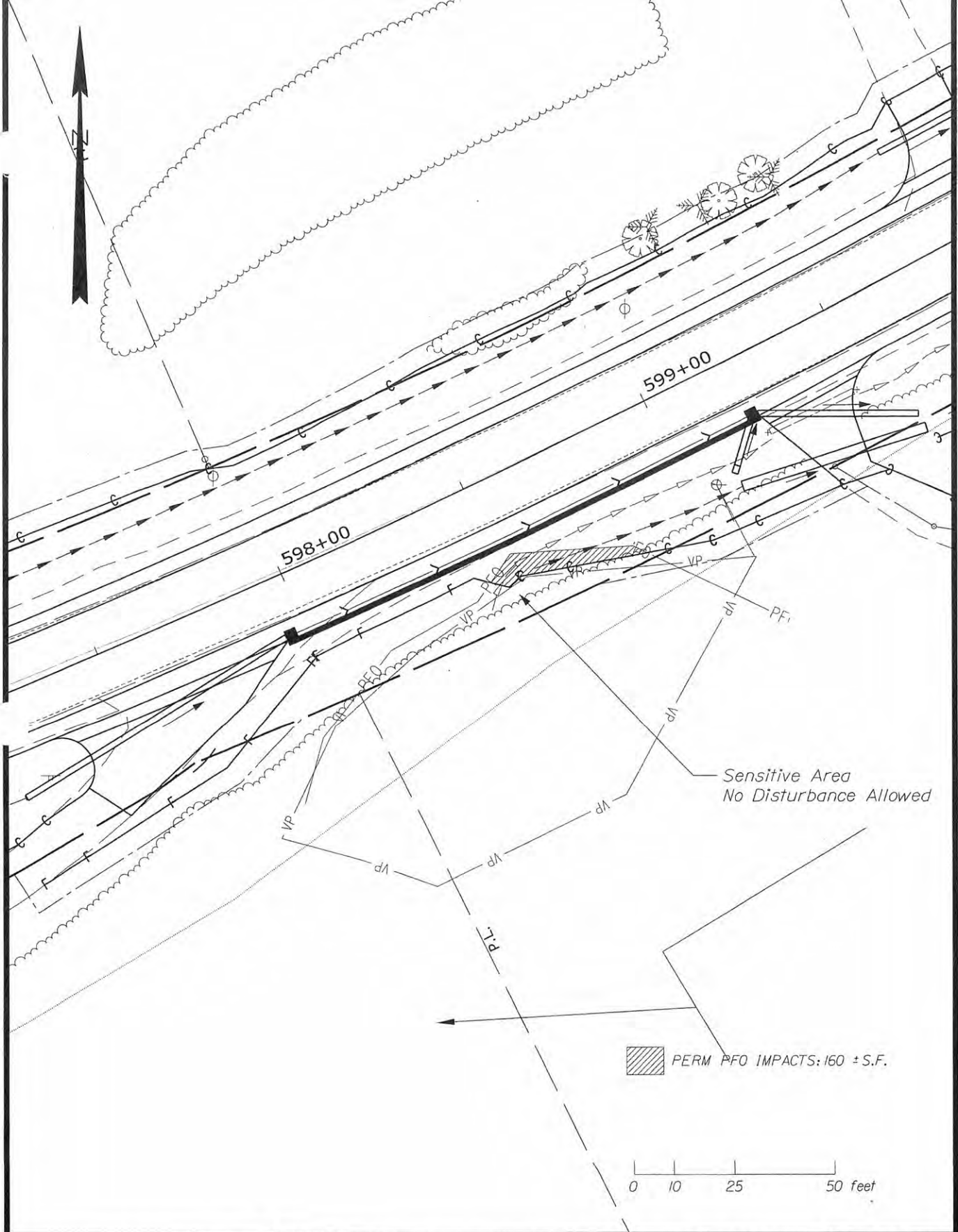
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STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

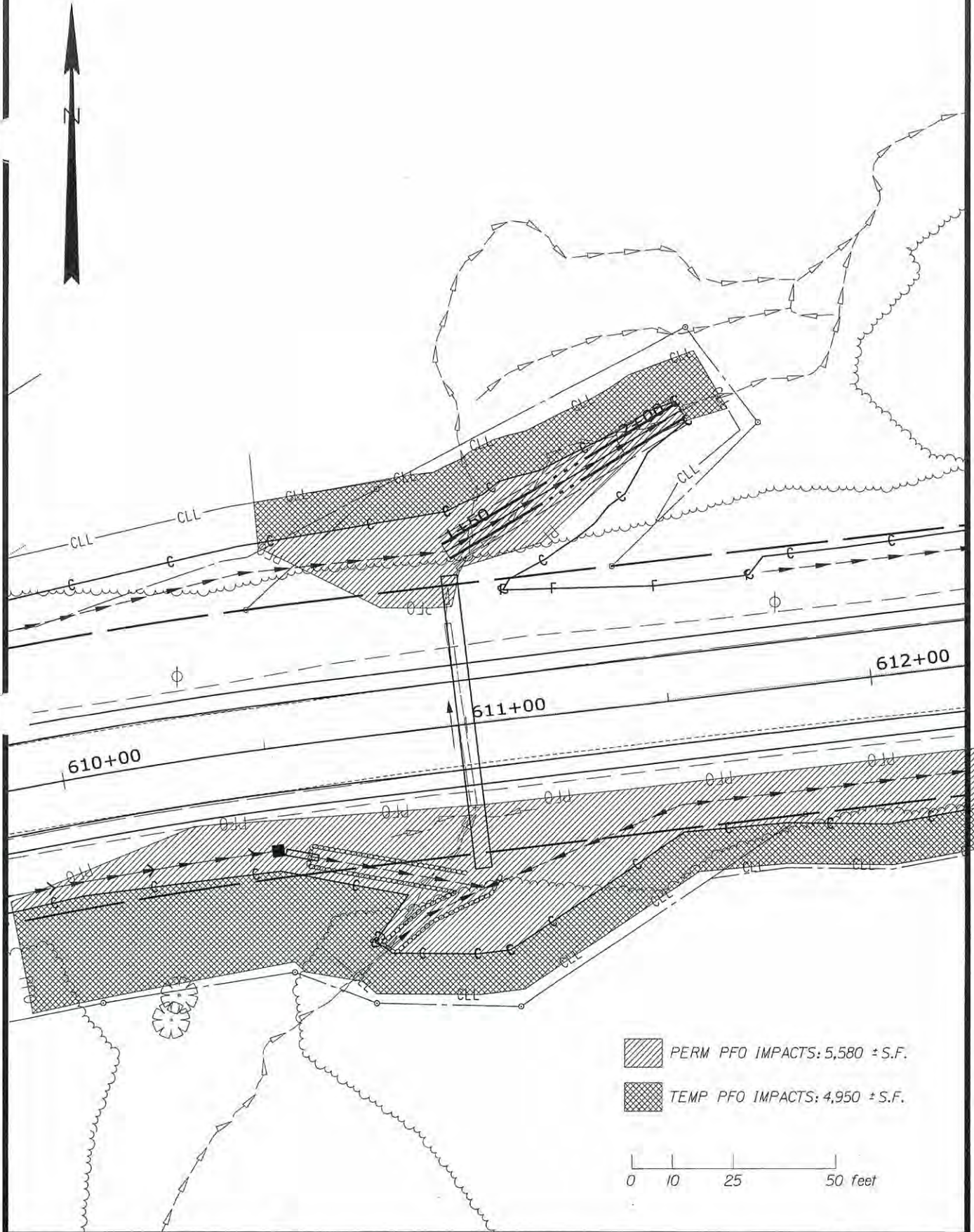
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PLANS

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STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

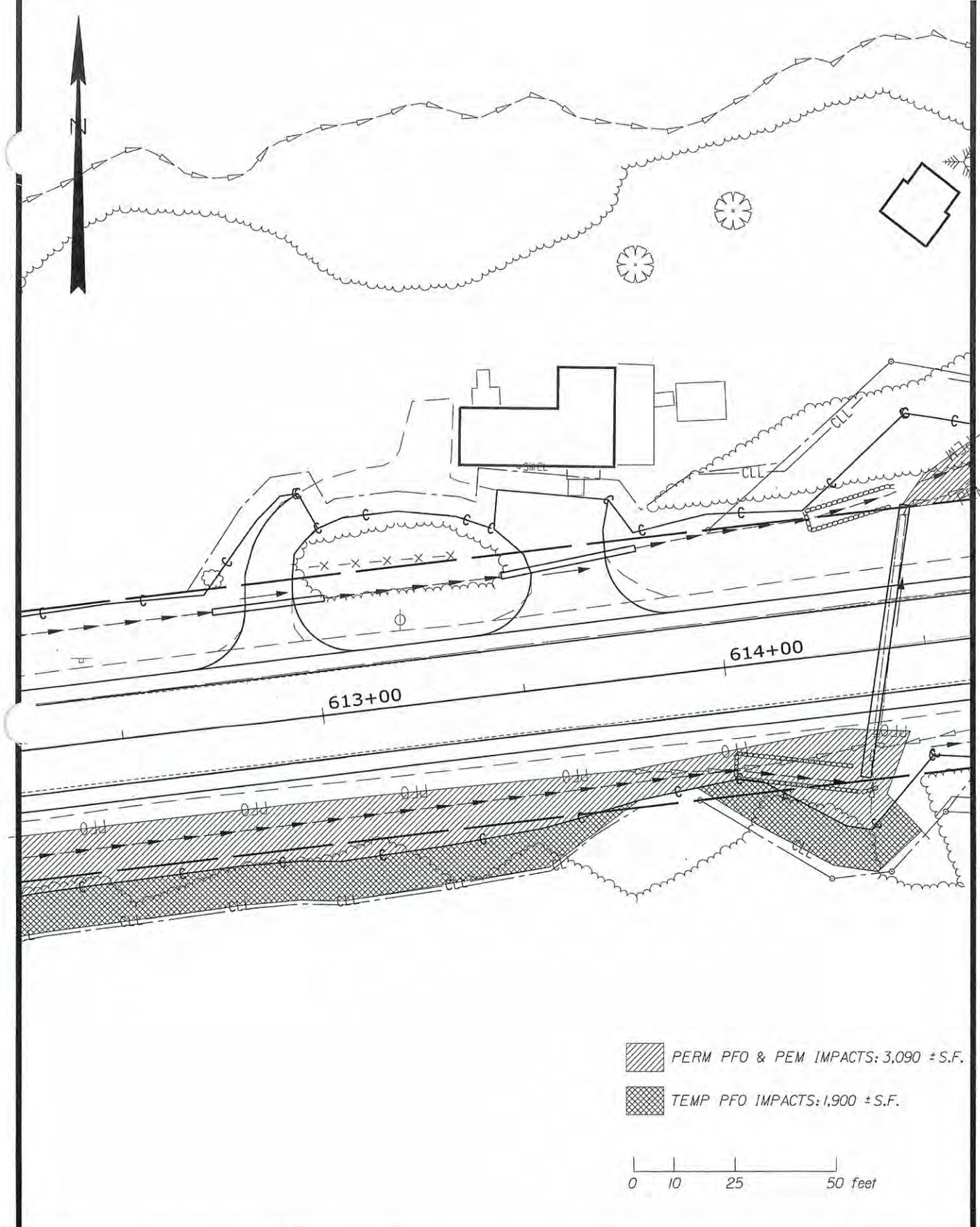
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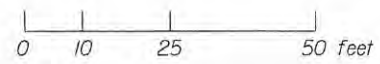
18247.00

PLANS

OF 33



 PERM PFO & PEM IMPACTS: 3,090 ± S.F.
 TEMP PFO IMPACTS: 1,900 ± S.F.



STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

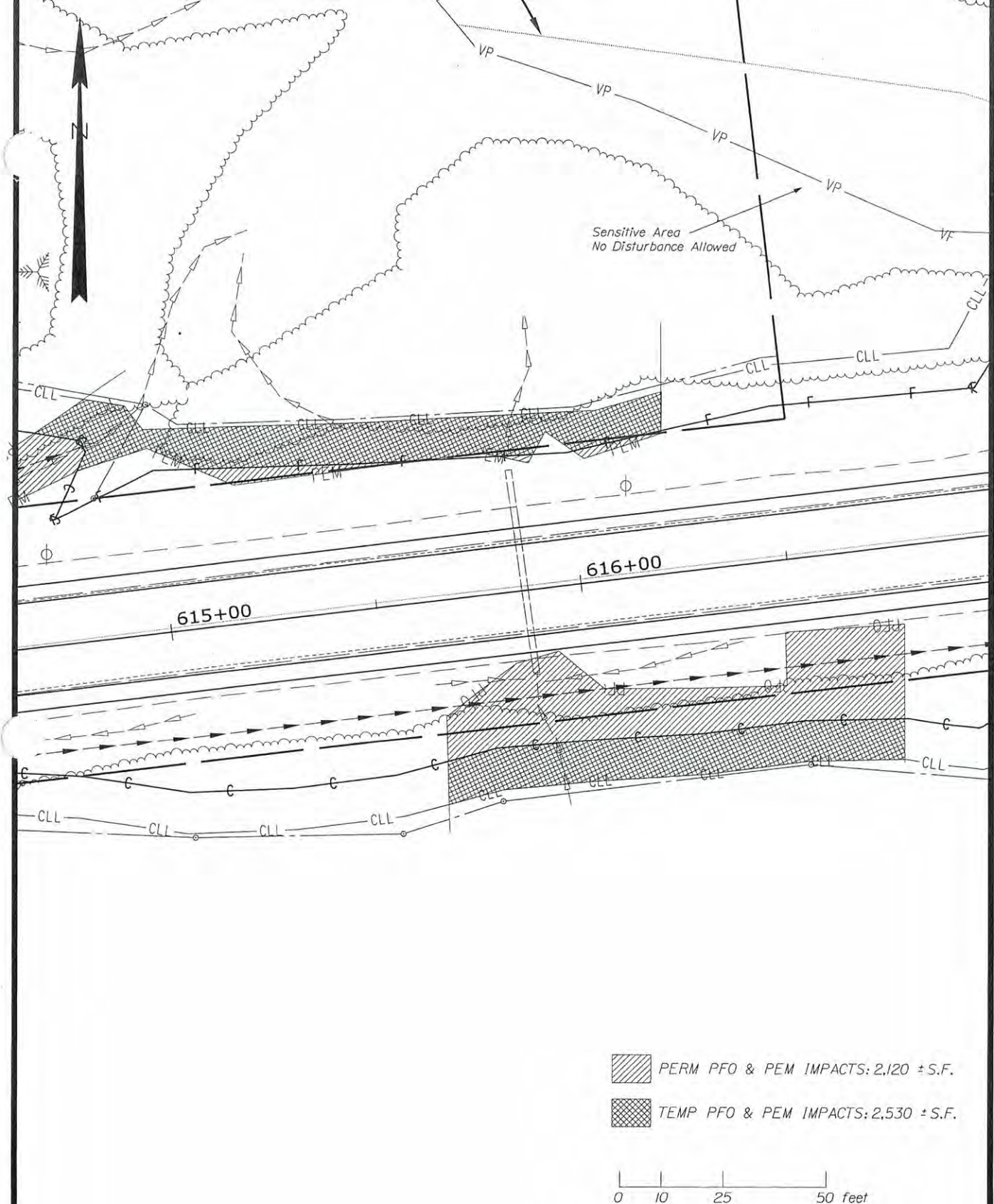
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18247.00

PLANS

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STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

18247.00

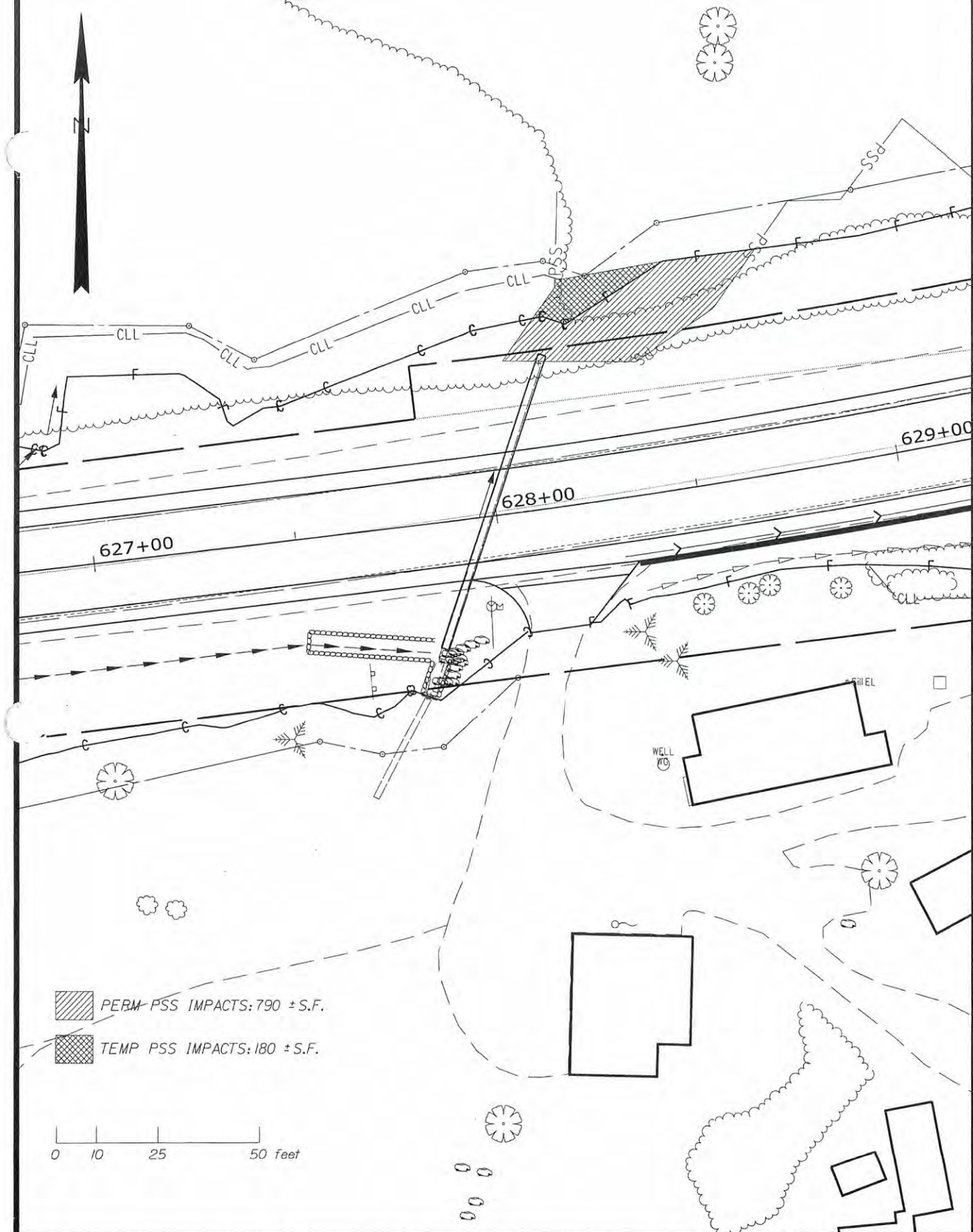
ROUTE 4 PHILLIPS-MADRID FRANKLIN COUNTY

PLANS

SHEET NUMBER

12

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STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

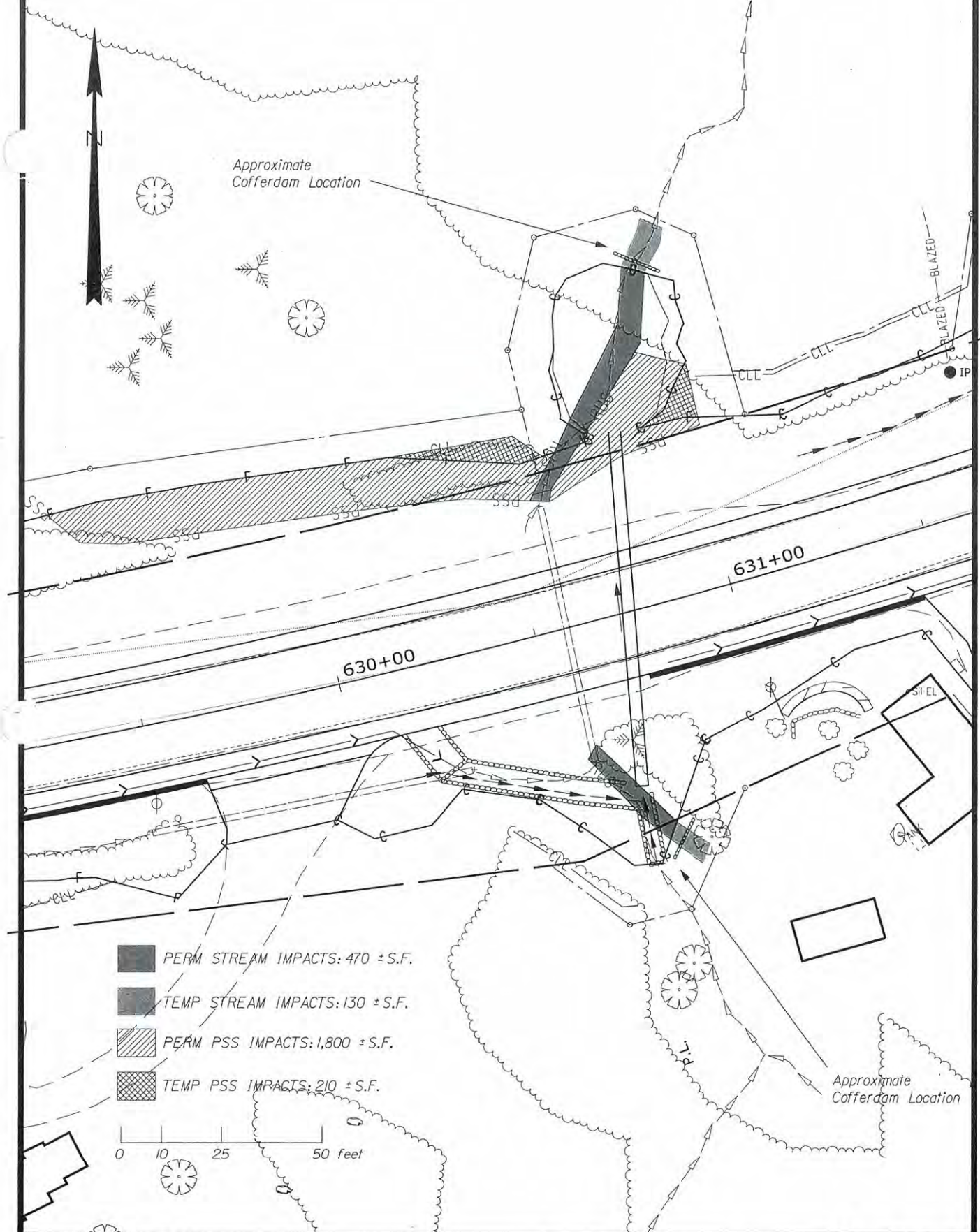
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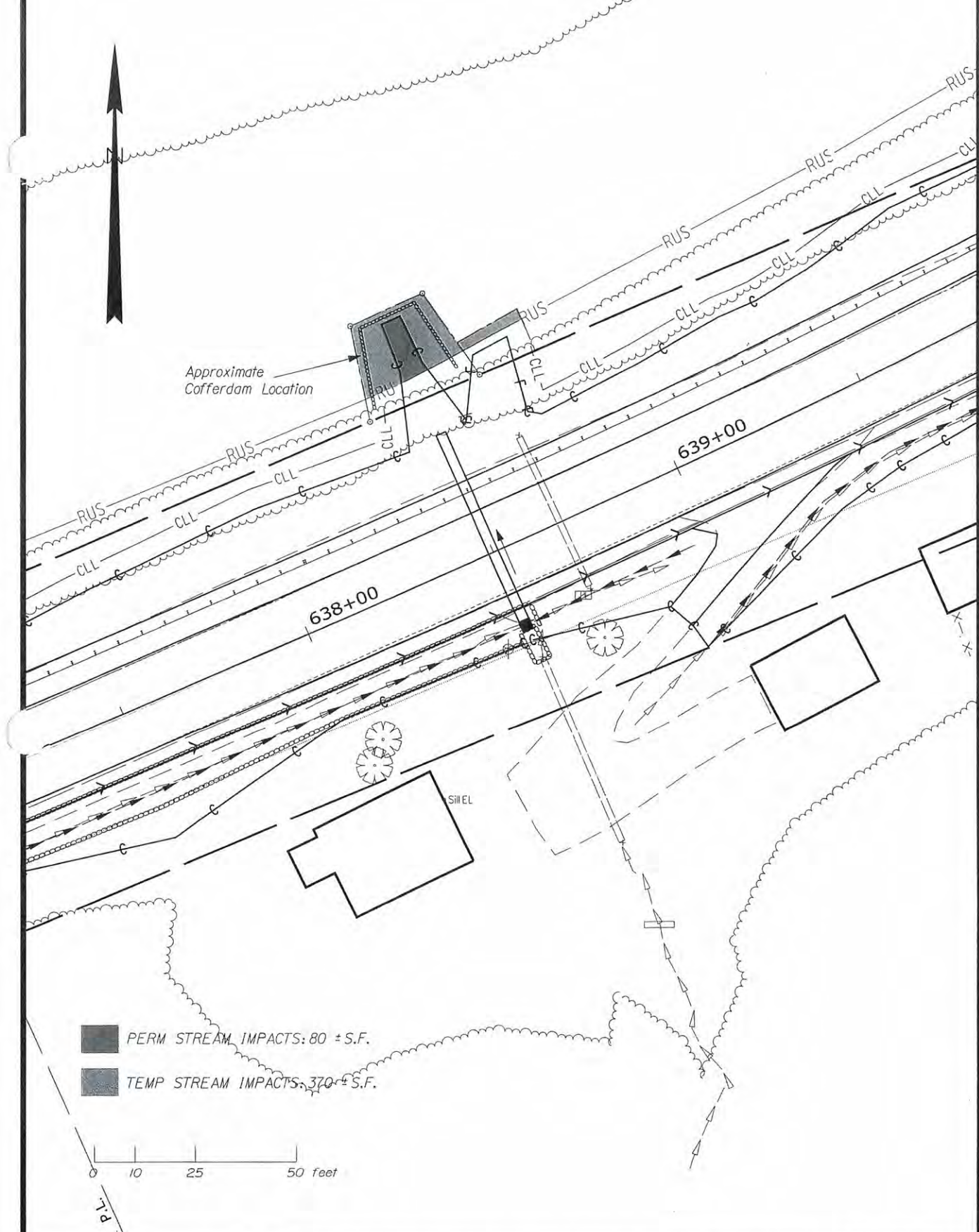
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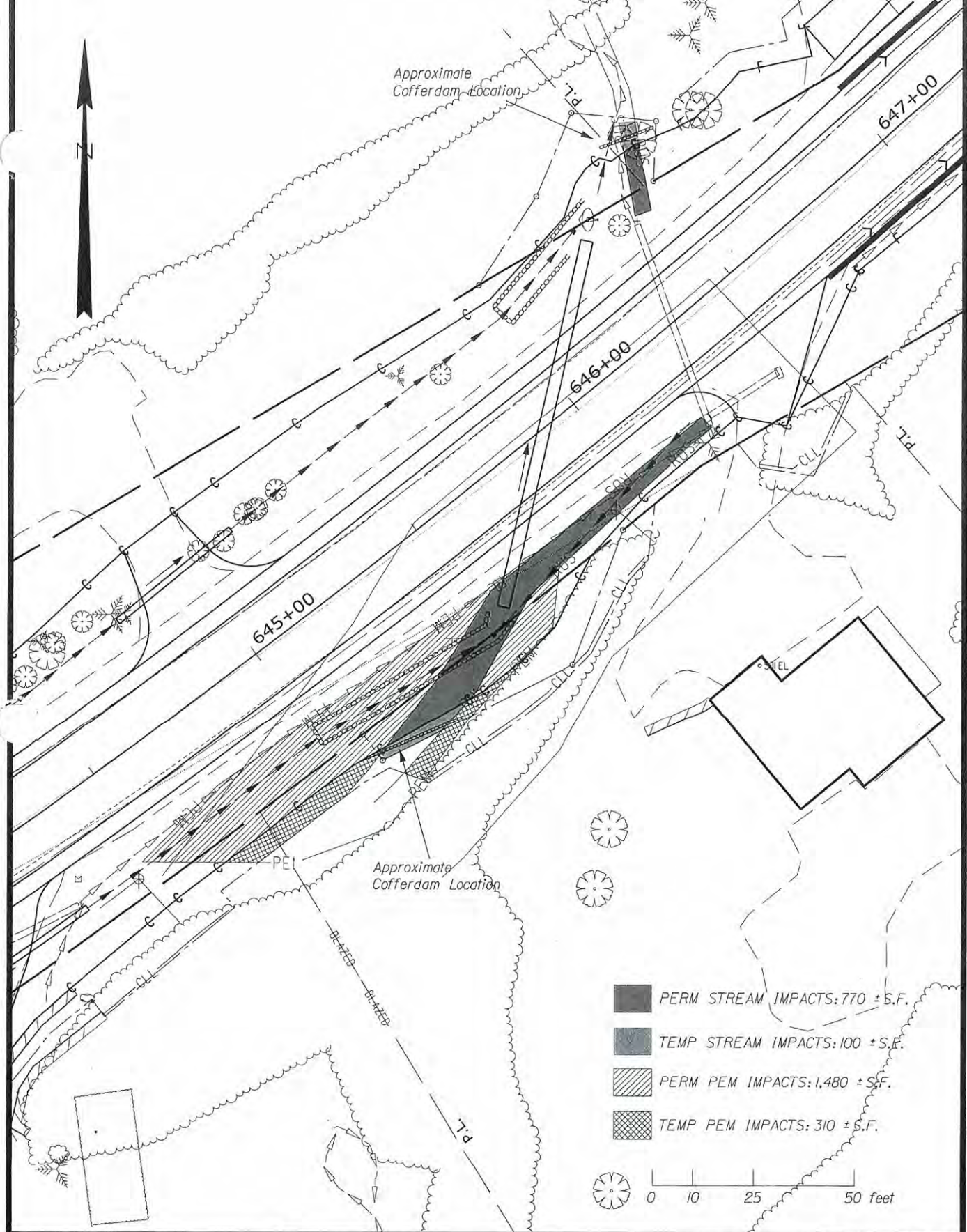
- PERM STREAM IMPACTS: 470 ± S.F.
- TEMP STREAM IMPACTS: 130 ± S.F.
- PERM PSS IMPACTS: 1,800 ± S.F.
- TEMP PSS IMPACTS: 210 ± S.F.

0 10 25 50 feet

STATE OF MAINE DEPARTMENT OF TRANSPORTATION	ROUTE 4 PHILLIPS-MADRID FRANKLIN COUNTY	SHEET NUMBER 15
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STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

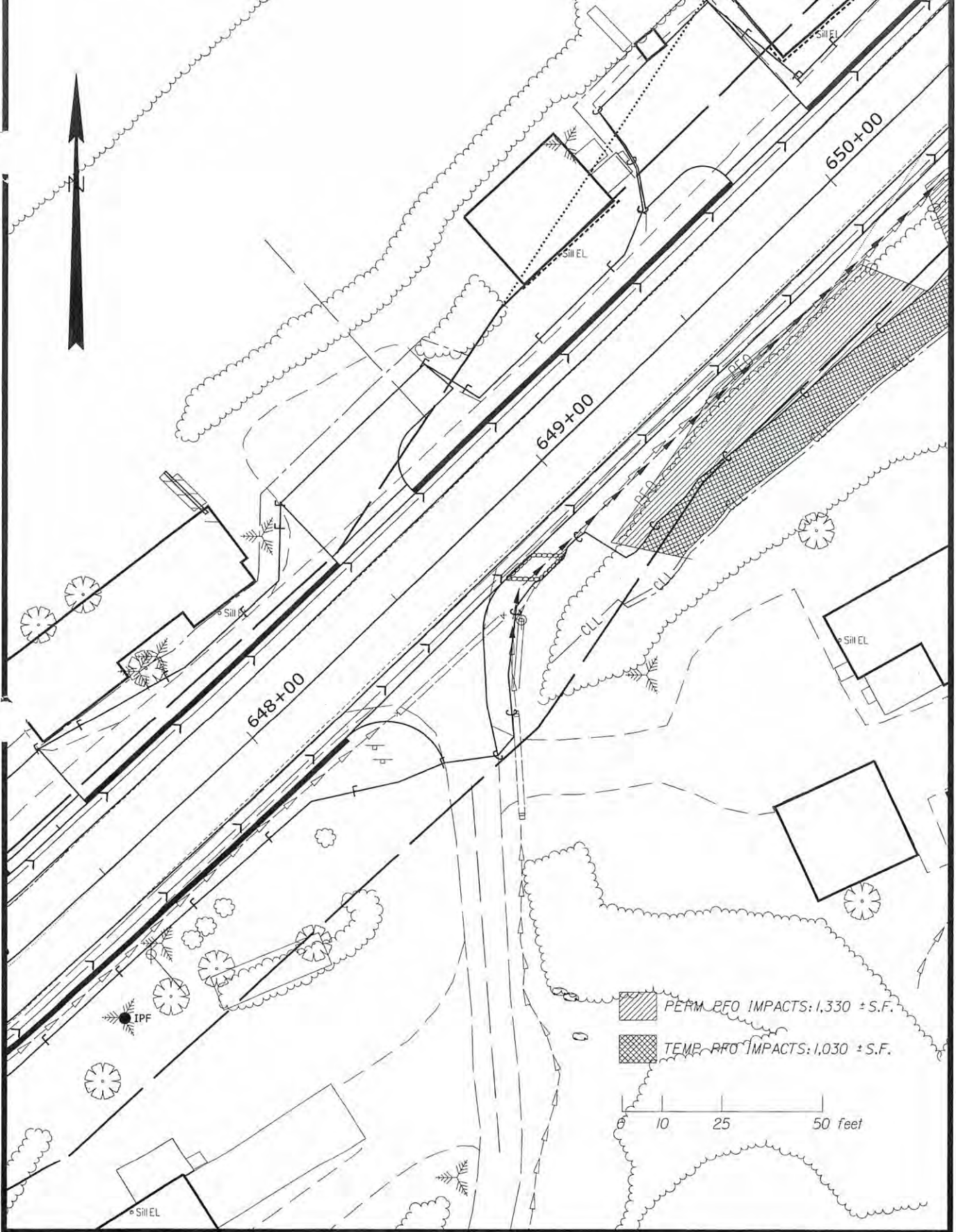
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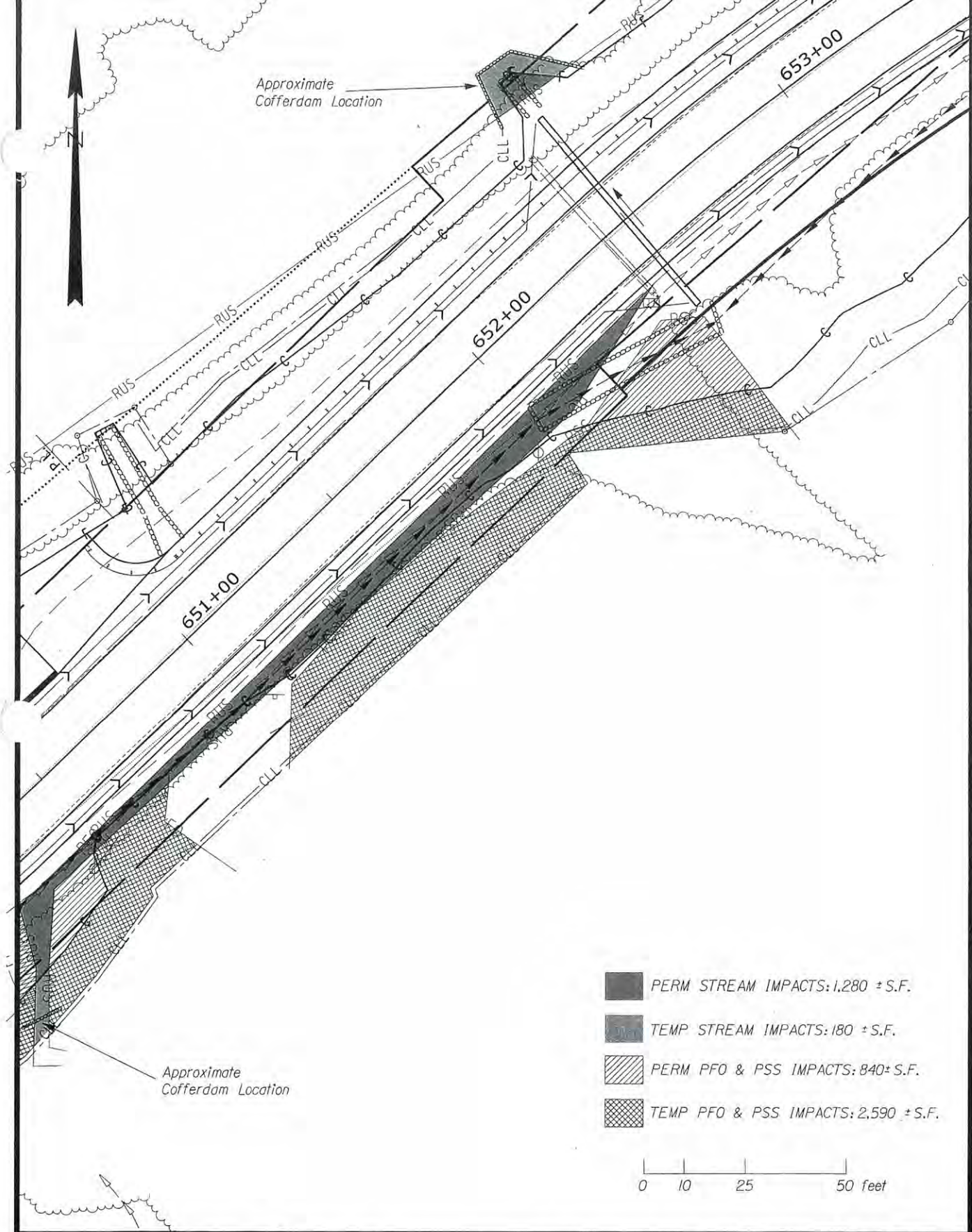
17

18247.00

PLANS

OF 33





STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

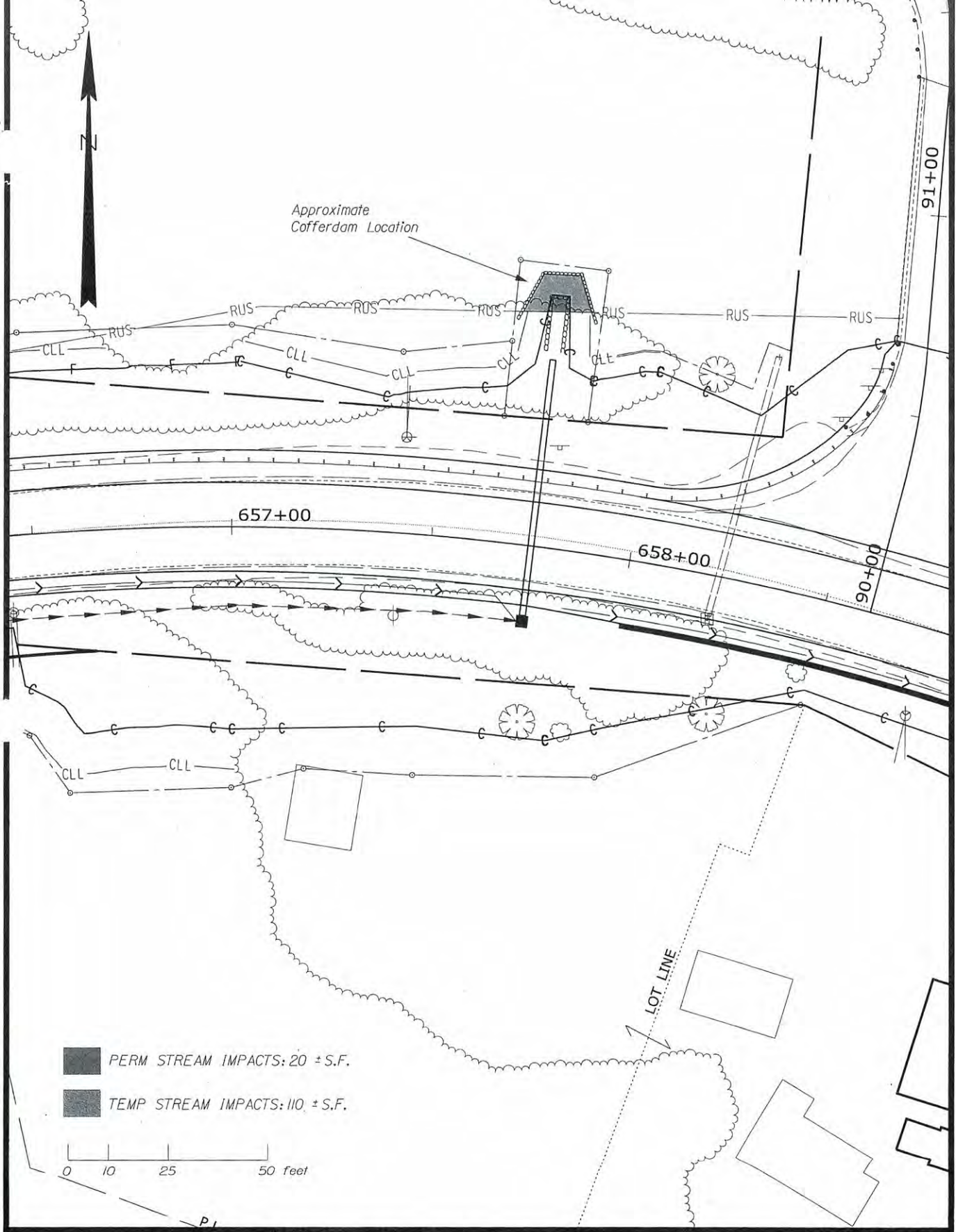
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19

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PLANS

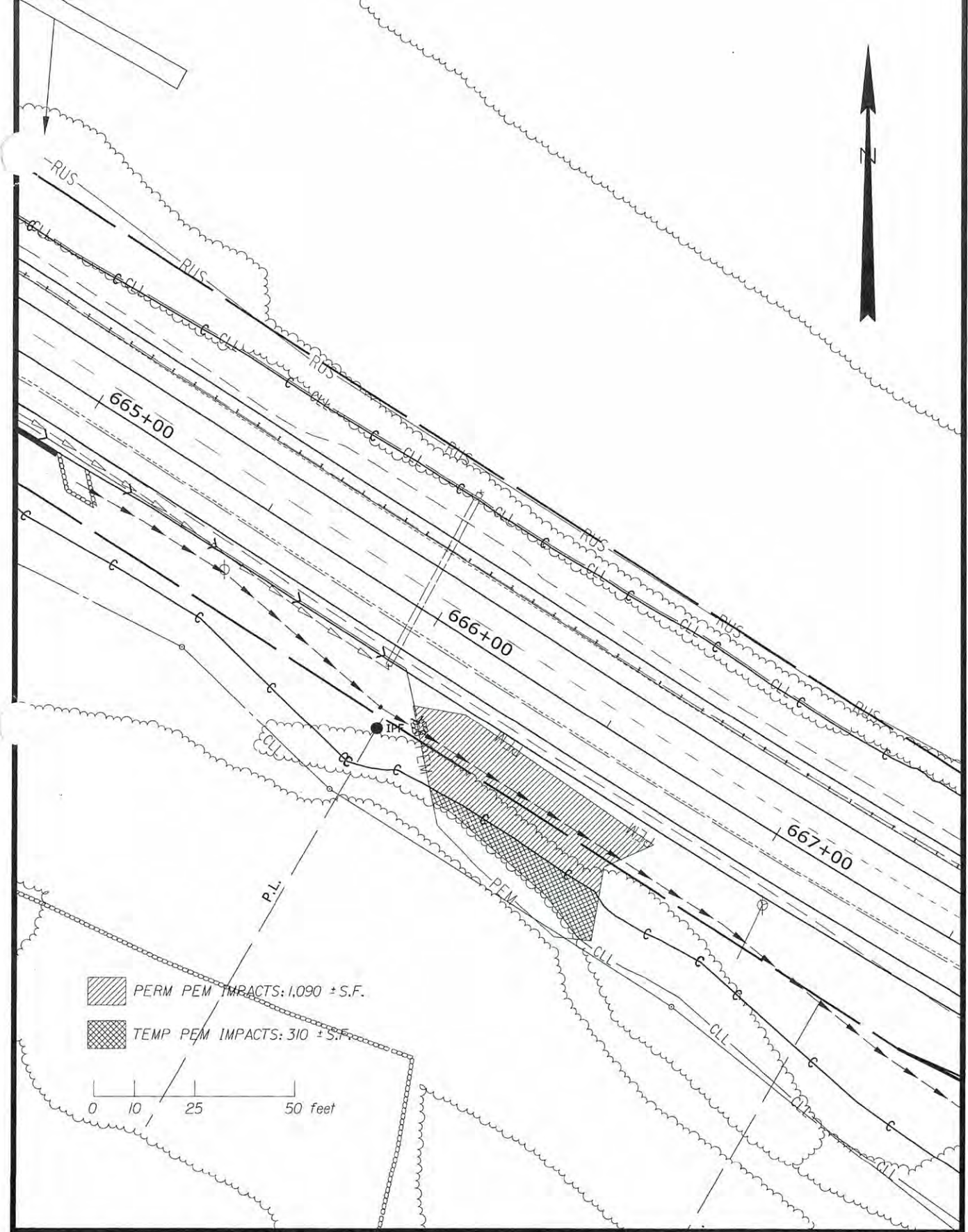
OF 33



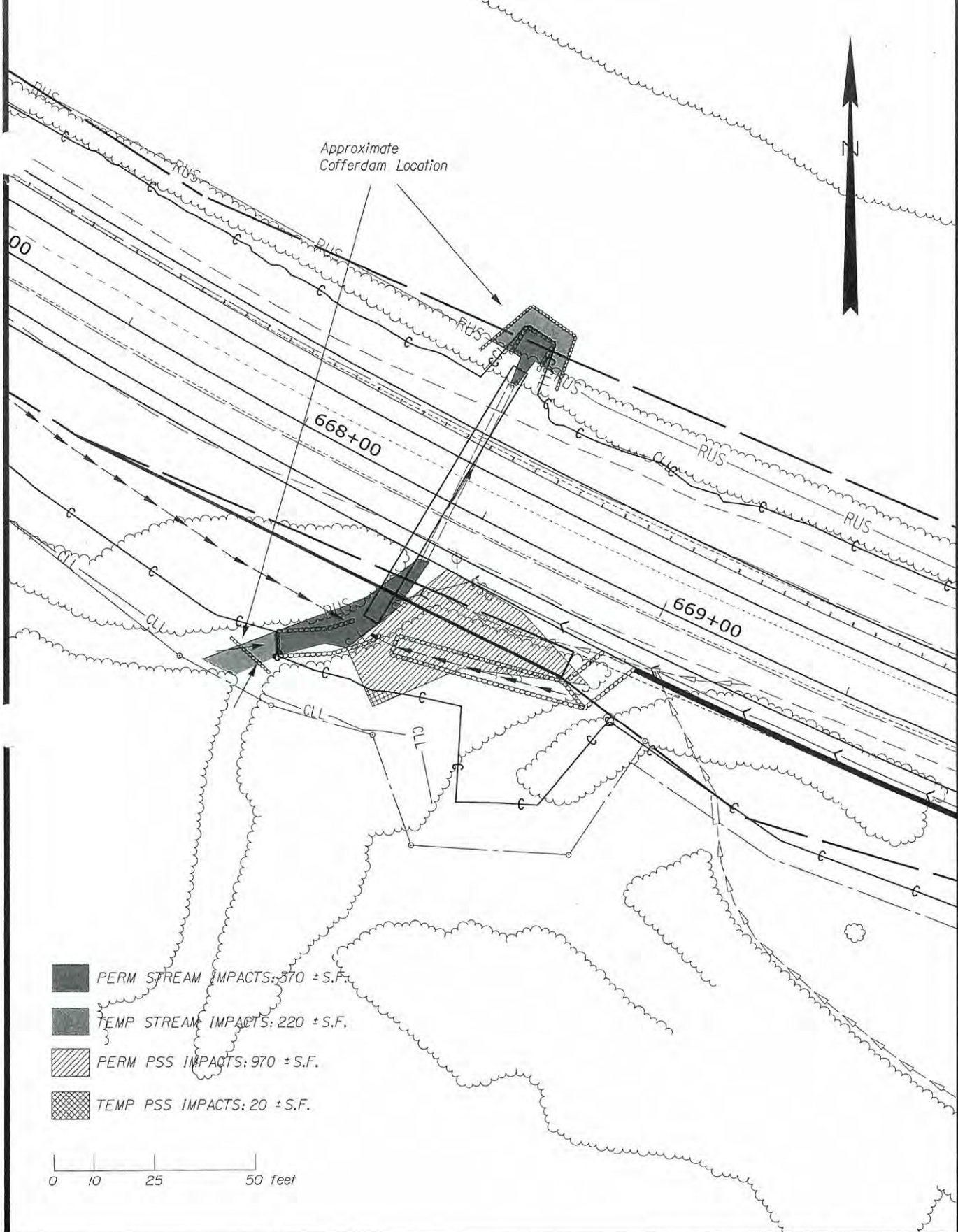
 PERM STREAM IMPACTS: 20 ± S.F.
 TEMP STREAM IMPACTS: 110 ± S.F.

0 10 25 50 feet

STATE OF MAINE DEPARTMENT OF TRANSPORTATION	ROUTE 4 PHILLIPS-MADRID FRANKLIN COUNTY	SHEET NUMBER
18247.00	PLANS	20 OF 33



STATE OF MAINE DEPARTMENT OF TRANSPORTATION	ROUTE 4 PHILLIPS-MADRID FRANKLIN COUNTY	SHEET NUMBER 22
18247.00	PLANS	OF 33



STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

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FRANKLIN COUNTY

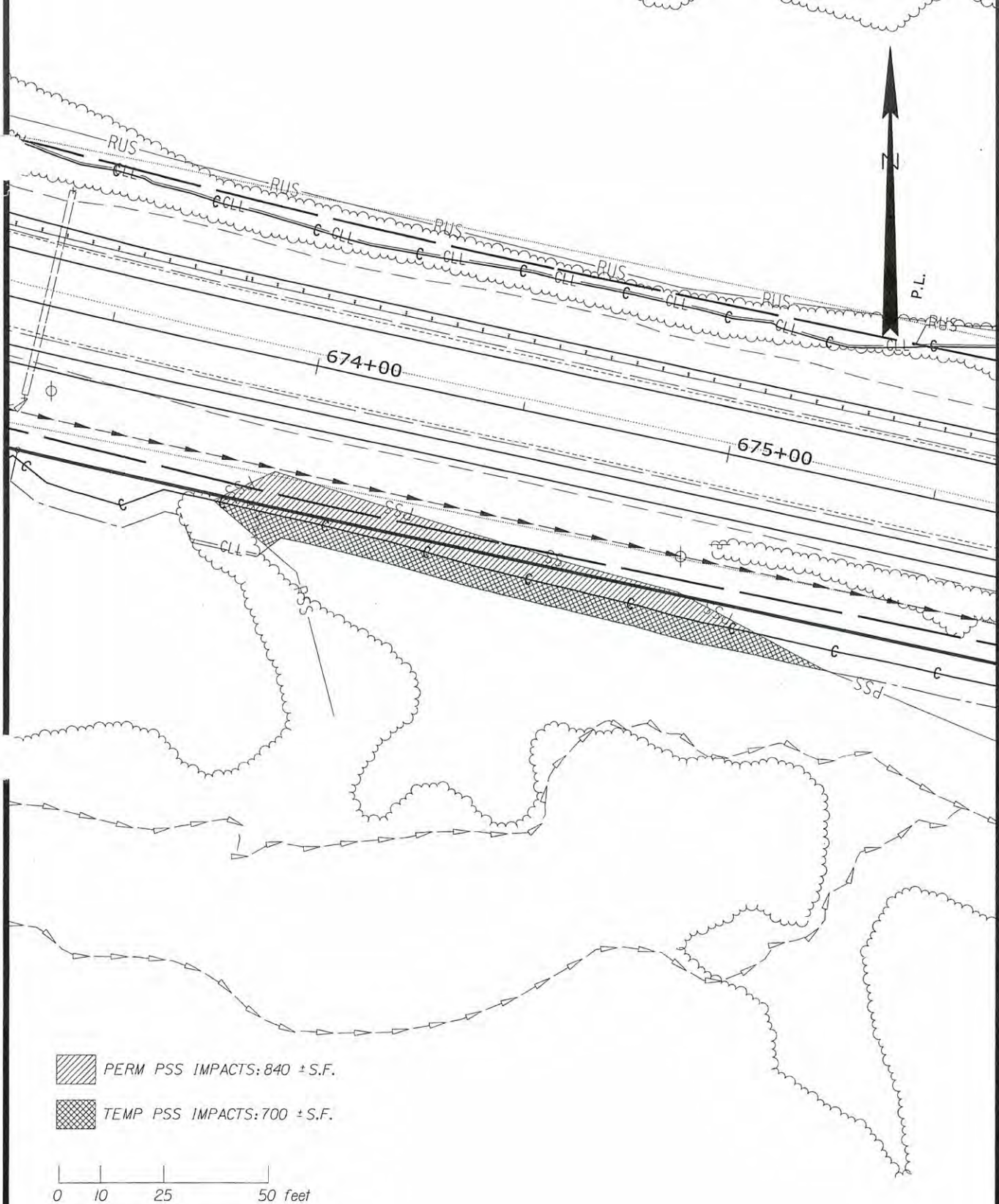
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STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4
FRANKLIN COUNTY

PHILLIPS

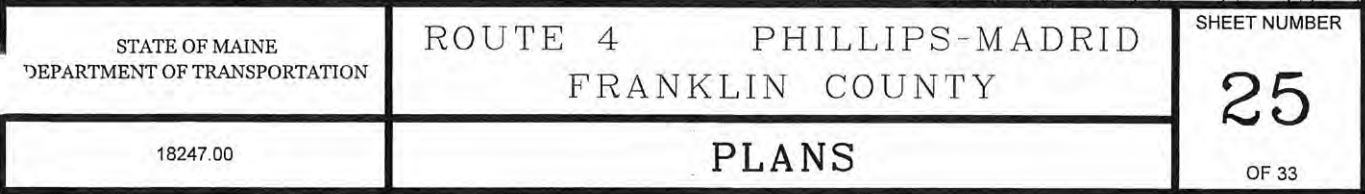
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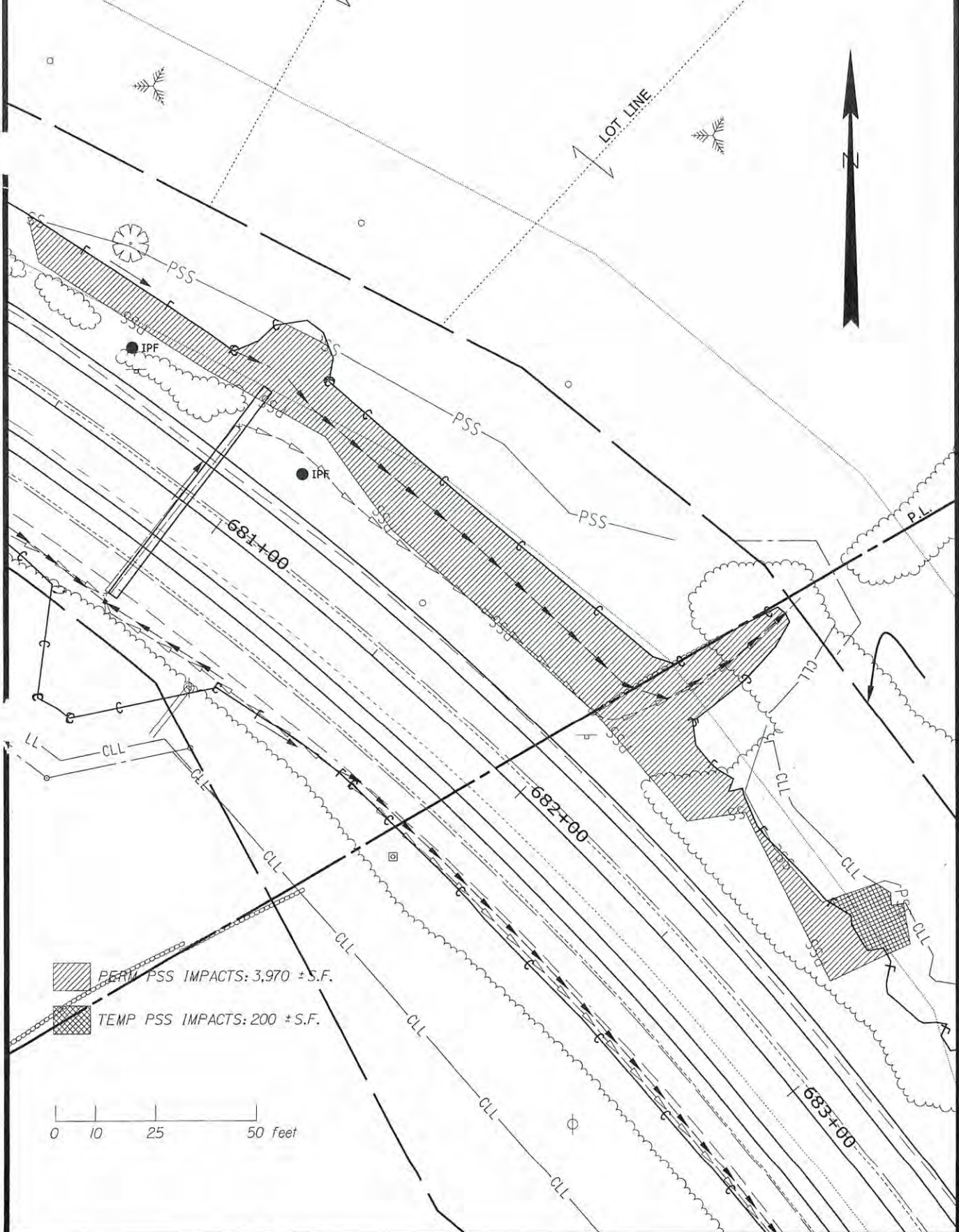
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STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

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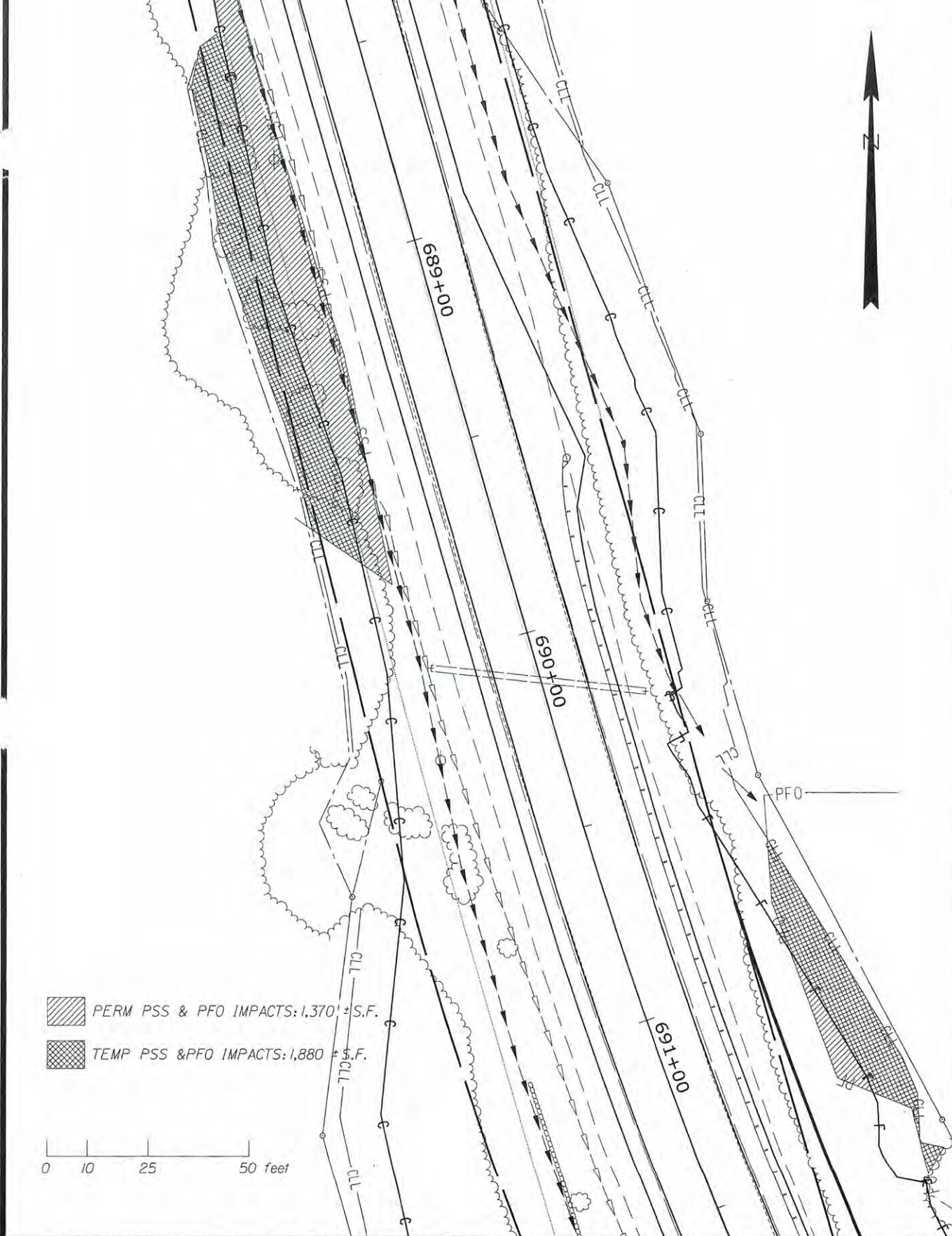
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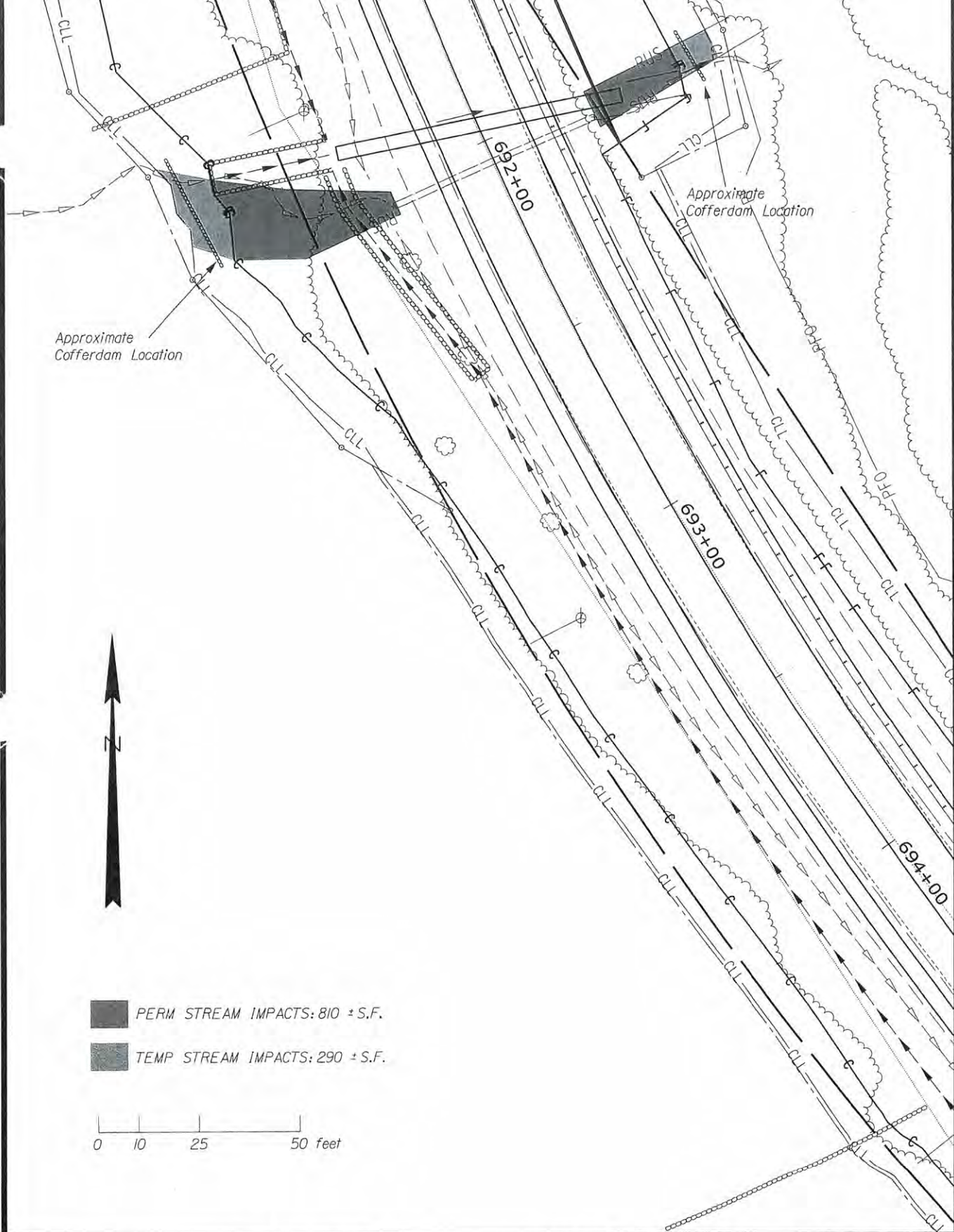
OF 33

 PERM PSS IMPACTS: 1,130 ± S.F.
 TEMP PSS IMPACTS: 3,360 ± S.F.

0 10 25 50 feet

STATE OF MAINE DEPARTMENT OF TRANSPORTATION	ROUTE 4 PHILLIPS-MADRID FRANKLIN COUNTY	SHEET NUMBER 27
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STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

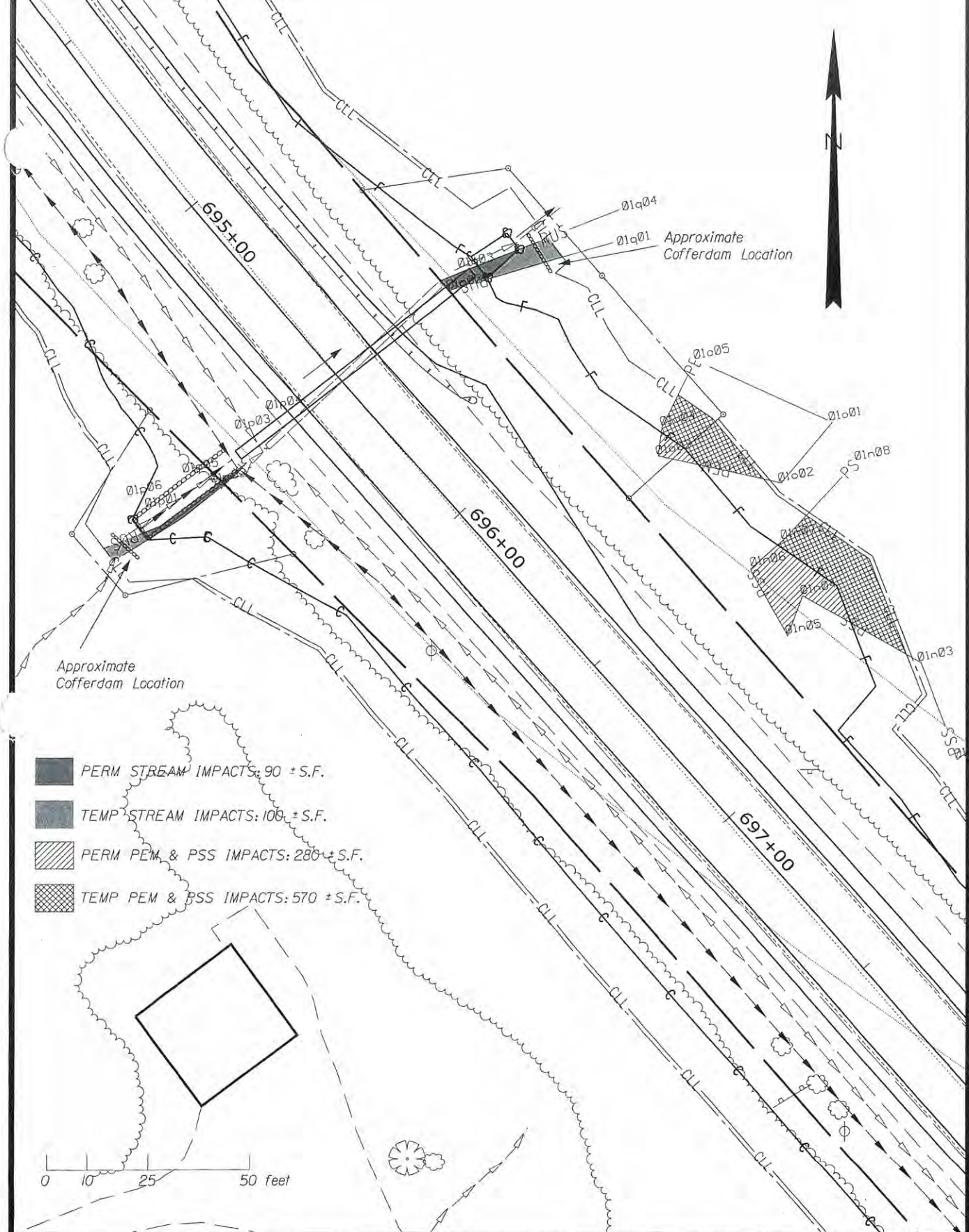
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STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

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STATE OF MAINE
DEPARTMENT OF TRANSPORTATION

ROUTE 4 PHILLIPS-MADRID
FRANKLIN COUNTY

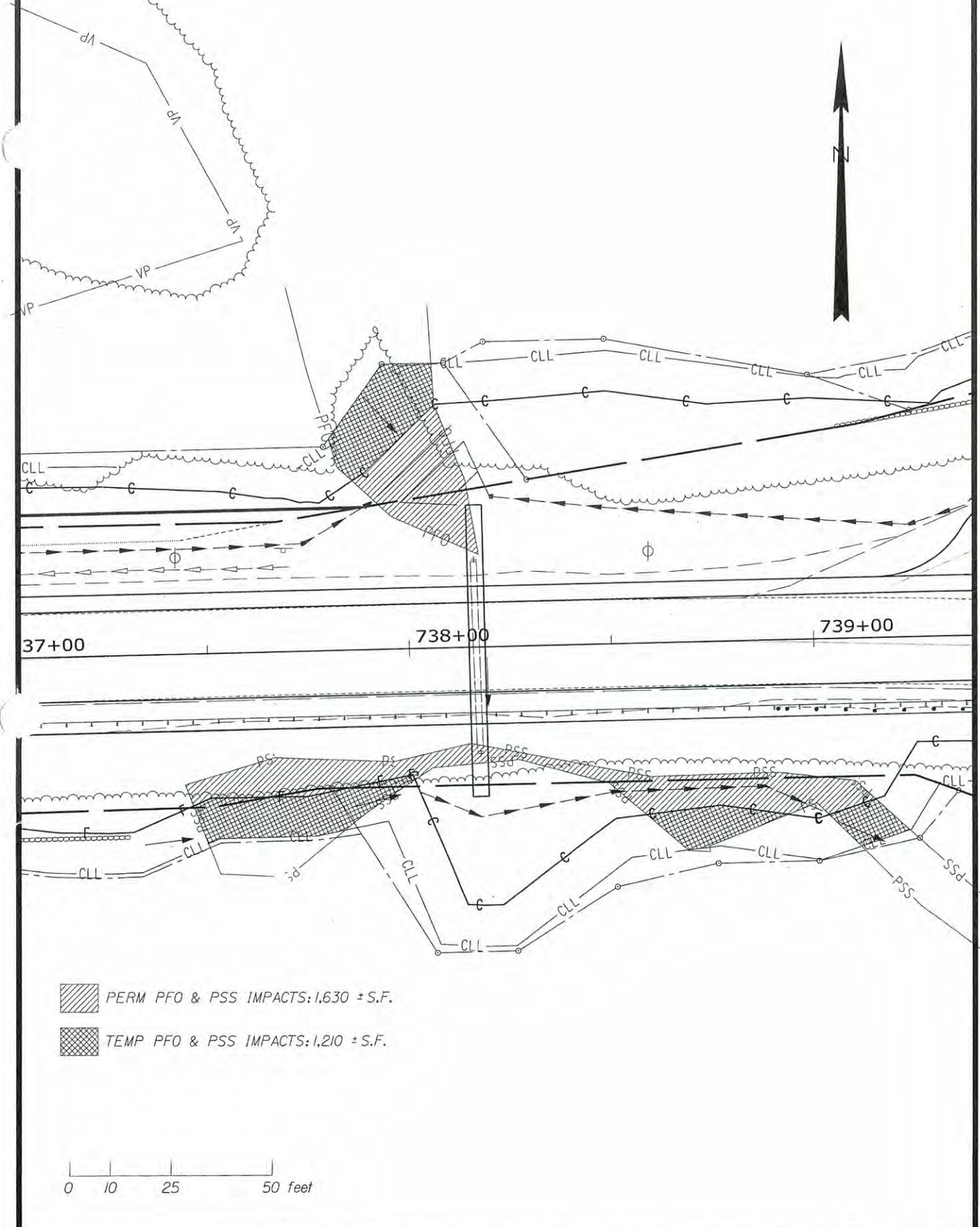
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STATE OF MAINE DEPARTMENT OF TRANSPORTATION	ROUTE 4 . PHILLIPS-MADRID FRANKLIN COUNTY	SHEET NUMBER 33
18247.00	PLANS	OF 33

TR #50299
RP 3294

Maine Land Use Planning Commission
Department of Agriculture, Conservation and Forestry

Expedited Permit

For Maine Department of Transportation Projects

1. Applicant Information

Applicant Names: Maine Department of Transportation
Mailing Address: 16 State House Station
Augusta, ME 04333

Contact Name: Danielle Tetreau **Contact #:** 592-2358 **Contact email:** danielle.tetreau@maine.gov **Contact fax:** 624-3101

2. Project Location

Township: Madrid Township **County:** Franklin

Maine DOT Pin #: Road reconstruction WIN# 18247.00, Bridge replacement WIN# 22615.00

Name of Road: Route 4

Name of Waterbody(s): Unnamed wetlands, unnamed streams, Sandy River

Zoning (List all sub districts covering your project): Level B project: P-UA, D-RS, D-GN, M-GN, P-SL2, P-FP, P-WL1

Level Road Project: Level B

(Projects that are Level C Road project and in subdistrict PA-R, P-FW, P-MA, P-RR, P-RT, P-WL require special exception criteria)

Project Limits/Location: Beginning 450 feet west of the Smalls Falls Rest Area entrance and continuing 4.58 miles into Phillips.

Lat/Long: Project start: 44.856377, -70.508631; Project end: 44.850081, -70.43049

3. Proposed Activities

Describe in detail what activities are proposed: (include purpose and need, dimensions of existing and proposed road/bridge/culvert, etc)

The proposed project involves road reconstruction and 1 bridge replacement in Madrid Township. See Section 2, attached for Project Summary.

4. Resource Impacts *

P-WL1: stream: 6,020 SF **wetland:** 12,350 SF **P-WL2:** 11,391 **P-WL3:** 21,960

Altering more than 15,000 sf of P-WL2, P-WL3 and/or 5,000 sf of P-WL1 will require the submittal of Exhibits D and E as described in Section 5.

5. Exhibits

Exhibit A: Attach a copy of the LUPC Land Use Guidance Map and a USGS topo map with the project location clearly marked for all applications.

Exhibit B: Photographs of Project. A series of photographs taken within the past 2 years showing existing wetlands and water bodies. Each photograph must be labeled with the date, photographer, and clearly label direction of photo.

Exhibit C: Project plans or detailed notes.

The following Exhibits must be included if project impacts are over thresholds listed in Section 4:

Exhibit D: Wetland Supplement S-3 with required attachments

Exhibit E: Resource agency coordination documentation

6. Certification and Application Signatures

I have personally examined the information submitted in this application, including the accompanying exhibits, and to the best of my knowledge and belief, this application is true and accurate. I certify that the above described activities will be completed in accordance with the Commission's permit conditions applicable standards and MOA. I understand that activities carried out in violation of any conditions or standards are subject to enforcement action.

Signature of Applicant: Danice Noel Gaylor

Chief Engineer

Date: 11-15-18

LUPC Authorization (for office use)

Based upon the information supplied by the applicant in this form and the attached exhibits, staff concludes that, if carried out in compliance with the conditions of approval of the authorization form, the proposal will meet the criteria for approval, 12 M.R.S.A 685P(4) of the Commission's statutes and the provision of the Commission's Land Use Districts and Standards (chapter 10).

LUPC Authorized Signature: Lana S. Brindle

Authorized Date: Dec. 17, 2018

* Note: Wetland impacts regulated by the MDEP pursuant to pBR # 67044.

Conditions of Approval

1. Construction activities authorized by this permit will be started within 2 years, and substantially completed within 5 years of the effective date of this permit. If such construction activities are not started and completed by this time limitation, this permit shall lapse and no activities shall then occur unless and until a new permit has been granted by the commission.
2. All imported fill material will be free of hazardous or toxic materials and must not contain debris, trash, or rubbish.
3. The project will be performed in accordance with erosion control measures conforming to the latest versions of the *State of Maine Department of Transportation Standard Specifications for Highways and Bridges* and the *Maine Department of Transportation's Best Management Practices for Erosion and Sediment Control (BMP's)* and *Maine DOT Project Specific Special Provision 656*.
4. The project will be performed in accordance with American Association of State Highway and Transportation Officials (AASHTO) *Design Standards* and the latest version of the *State of Maine Department of Transportation Standard Specifications*.
5. The project will be performed in accordance with the current version of the *MaineDOT Waterway and Wildlife Crossing Policy and Design Guide*.
6. The project will be performed in accordance with setback limits stipulated by the Land Use Planning Commission associated with construction trailers, privies and any other structures placed on the project for the purpose of constructing the project. The requirements are as follows:

- Great Ponds and Rivers draining 50 square miles or more	100 feet
- Tidal waters, ponds less than 10 acres, rivers draining less than 50 square miles, and P-W1 wetlands	75 feet
- Traveled portion of selected state routes: Route 1, 2, 2A, 4, 9, 27, 163, 201, 161 from Caribou to Fort Kent, Route 157 in TA R7 WELS, and Route 6 in Orneville Twp	75 feet
- Traveled portion of all roads on coastal islands	20 feet
- Traveled portion of all other roads	50 feet
- Side and rear property lines	15 feet
7. MaineDOT will dispose of any solid waste in accordance with applicable state and federal solid waste laws and rules. Subsurface wastewater disposal shall be in accordance with the Maine Plumbing Code.
8. Once construction is complete, MaineDOT will submit a self certification form with representative photographs, notifying the commission that all conditions of approval of this permit have been met.
9. The MaineDOT is consistent with the standards of the National Flood Insurance Program through adherence to that Presidential Executive Order 11988.
10. All necessary property rights have been or will be obtained as authorized in accordance with 23 M.R.S.A. § 153.
11. In stream work windows will be determined through MaineDOT coordination with state and federal fishery resource agencies when in stream impacts are proposed.
12. MaineDOT will conduct projects in accordance with the April 2009 Memorandum of Agreement.

Conditions of Approval

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2. All imported fill material will be free of hazardous or toxic materials and must not contain debris, trash, or rubbish.
3. The project will be performed in accordance with erosion control measures conforming to the latest versions of the *State of Maine Department of Transportation Standard Specifications for Highways and Bridges* and the *Maine Department of Transportation's Best Management Practices for Erosion and Sediment Control (BMP's)* and *Maine DOT Project Specific Special Provision 656*.
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 - Great Ponds and Rivers draining 50 square miles or more 100 feet
 - Tidal waters, ponds less than 10 acres, rivers draining less than 50 square miles, and P-W1 wetlands 75 feet
 - Traveled portion of selected state routes: Route 1, 2, 2A, 4, 9, 27, 163, 201, 161 from Caribou to Fort Kent, Route 157 in TA R7 WELS, and Route 6 in Orneville Twp 75 feet
 - Traveled portion of all roads on coastal islands 20 feet
 - Traveled portion of all other roads 50 feet
 - Side and rear property lines 15 feet
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DEPARTMENT OF ENVIRONMENTAL PROTECTION
PERMIT BY RULE NOTIFICATION FORM
 (For use with DEP Regulation, Natural Resources Protection Act- Permit by Rule Standards, Chapter 305)
 PLEASE TYPE OR PRINT IN BLACK INK ONLY

APPLICANT INFORMATION (Owner)				AGENT INFORMATION (If Applying on Behalf of Owner)			
Name:	MaineDOT c/o Danielle Tetreau			Name:			
Mailing Address:	16 State House Station			Mailing Address:			
Town:	Augusta			Town:			
State and Zip Code:	Maine 04333			State and Zip Code:			
Daytime Phone #:	207-592-2358			Daytime Phone #:			
Email Address:	danielle.tetreau@maine.gov			Email Address:			

PROJECT INFORMATION							
Part of a larger project? (check one):	☐ Yes ☒ No	After the Fact? (check one):	☐ Yes ☒ No	Project involves work below mean low water? (check one):	☒ Yes ☐ No	Name of waterbody:	Sandy River
Project Town:	Phillips		Project Location (Address):	Route 4		Map & Lot Number:	Delorme Map 19, A-1 and A-2
Brief Project Description:	Highway reconstruction and bridge replacement in Madrid Twp and Phillips. See attached narrative.						
Brief Directions to Site:	Begins at Smalls Falls Rest Area in Madrid and continues 3.44 miles to Toothaker Pond Road in Phillips.						

PERMIT BY RULE (PBR) SECTIONS (Check at least one): I am filing notice of my intent to carry out work which meets the requirements for Permit By Rule (PBR) under DEP Rules, Chapter 305. I and my agents, if any, have read and will comply with all of the standards in the Sections checked below.

- | | | |
|---|---|--|
| ☐ Sec. (2) Act. Adj. to Protected Natural Res. | ☐ Sec. (10) Stream Crossing | ☐ Sec. (17) Transfers/Permit Extension |
| ☐ Sec. (3) Intake Pipes | ☒ Sec. (11) State Transportation Facil. | ☐ Sec. (18) Maintenance Dredging |
| ☐ Sec. (4) Replacement of Structures | ☐ Sec. (12) Restoration of Natural Areas | ☐ Sec. (19) Activities in/on/over significant vernal pool habitat |
| ☐ Sec. (5) REPEALED | ☐ Sec. (13) F&W Creation/Enhance/Water Quality Improvement | ☐ Sec. (20) Activities located in/on/over high or moderate value inland waterfowl & wading bird habitat or shorebird feeding & roosting areas |
| ☐ Sec. (6) Movement of Rocks or Vegetation | ☐ Sec. (14) REPEALED | |
| ☐ Sec. (7) Outfall Pipes | ☐ Sec. (15) Public Boat Ramps | |
| ☐ Sec. (8) Shoreline stabilization | ☐ Sec. (16) Coastal Sand Dune Projects | |
| ☐ Sec. (9) Utility Crossing | | |

NOTE: Municipal permits may also be required. Contact your local code enforcement office for more information. Federal permits may be required for stream crossings and for projects involving wetland fill. Contact the Army Corps of Engineers at the Maine Project Office for more information.

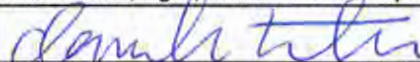
NOTIFICATION FORMS CANNOT BE ACCEPTED WITHOUT THE NECESSARY ATTACHMENTS

- ☒ **Attach** all required submissions for the PBR Section(s) checked above. The required submissions for each PBR Section are outlined in Chapter 305 and may differ depending on the Section you are submitting under.
- ☐ **Attach** a check for the correct fee made payable to: "Treasurer, State of Maine". The current fee for NRPA PBR Notifications can be found at the Department's website: <http://www.maine.gov/dep/feesched.pdf>
- ☒ **Attach** a location map that clearly identifies the site (U.S.G.S. topo map, Maine Atlas & Gazetteer, or similar).
- ☐ **Attach Proof of Legal Name** if applicant is a corporation, LLC, or other legal entity. Provide a copy of Secretary of State's registration information (available at <http://icrs.informe.org/nei-sos-icrs/ICRS?MainPage=x>) Individuals and municipalities are not required to provide any proof of identity.

I authorize staff of the Departments of Environmental Protection, Inland Fisheries & Wildlife, and Marine Resources to access the project site for the purpose of determining compliance with the rules.

I also understand that this PBR becomes effective 14 calendar days after receipt by the Department *unless the Department approves or denies the PBR prior to that date.*

By signing this Notification Form, I represent that the project meets all applicability requirements and standards in the rule and that the applicant has sufficient title, right, or interest in the property where the activity takes place.

Signature of Agent or Applicant:		Date:	10-26-2018
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Keep a copy as a record of permit. Send the form with attachments via certified mail or hand deliver to the Maine Dept. of Environmental Protection at the appropriate regional office listed below. The DEP will send a copy to the Town Office as evidence of the DEP's receipt of notification. No further authorization by DEP will be issued after receipt of notice. Permits are valid for two years. **Work carried out in violation of any standard is subject to enforcement action.**

AUGUSTA DEP
17 STATE HOUSE STATION
AUGUSTA, ME 04333-0017
(207)287-7688

PORTLAND DEP
312 CANCO ROAD
PORTLAND, ME 04103
(207)822-6300

BANGOR DEP
106 HOGAN ROAD
BANGOR, ME 04401
(207)941-4570

PRESQUE ISLE DEP
1235 CENTRAL DRIVE
PRESQUE ISLE, ME 04769
(207)764-0477

OFFICE USE ONLY	Ck.#	Date	Staff	Staff	After Photos
PBR #	FP		Acc. Date	Def. Date	

11. State transportation facilities

A. Applicability

- (1) This section applies to the maintenance, repair, reconstruction, rehabilitation, replacement or minor construction of a State Transportation Facility carried out by, or under the authority of, the Maine Department of Transportation (MaineDOT) or the Maine Turnpike Authority, including any testing or preconstruction engineering, and associated technical support services.
- (2) This section does not apply to an activity within a coastal sand dune system.

NOTE: The construction of a transportation facility other than roads and associated facilities may be subject to the Storm Water Management Law, 38 M.R.S.A. Section 420-D.

B. Standards

- (1) Photographs of the area to be altered by the activity must be taken before work on the site begins. The photographs must be kept on file and be made available at the request of the DEP.
- (2) The activity must be reviewed by the Department of Inland Fisheries and Wildlife and the Department of Marine Resources, as applicable. The applicant must coordinate with the reviewing agencies and incorporate any recommendations from those agencies into the performance of the activity.
- (3) All construction activities undertaken must be detailed in a site-specific Soil Erosion and Water Pollution Control Plan and conducted in accordance with MaineDOT's Best Management Practices for Erosion and Sediment Control, dated January 2000, and Standard Specifications, dated December 2002.
- (4) Alignment changes may not exceed a distance of 200 feet between the old and new center lines in any natural resource.
- (5) The activity may not alter more than 300 feet of shoreline (both shores added together) within a mile stretch of any river, stream or brook, including any bridge width or length of culvert.
- (6) The activity may not alter more than 150 feet of shoreline (both shores added together) within a mile stretch of any outstanding river segment identified in 38 M.R.S.A. 480-P, including any bridge width or length of culvert.
- (7) The activity must minimize wetland intrusion. The activity is exempt from the provisions of Chapter 310, the Wetland and Waterbodies Protection Rules, if the activity alters less than 15,000 square feet of natural resources per mile of roadway (centerline measurement) provided that the following impacts are not exceeded within the 15,000 square foot area:
 - (a) 1,000 square feet of coastal wetland consisting of salt tolerant vegetation or shellfish habitat; or

(b) 5,000 square feet of coastal wetland not containing salt tolerant vegetation or shellfish habitat; or

(c) 1,000 square feet of a great pond.

All other activities must be performed in compliance with all sections of Chapter 310, the Wetland Protection Rules, except 310.2(C), 5(A), 9(A), 9(B) and 9(C).

- (8) The activity may not permanently block any fish passage in any watercourse containing fish. The applicant must coordinate with the reviewing agencies listed in paragraph 2 above to improve fish passage and incorporate any recommendations from those agencies into the performance of the activity.

NOTE: For guidance on meeting the design objectives for fish passage, including peak flow, maximum velocity, mining depth and gradient, see the MaineDOT Waterbody and Wildlife Crossing Policy and Design Guide (July 2008), developed in conjunction with state and federal resource and regulatory agencies.

- (9) Rocks may not be removed from below the normal high water line of any coastal wetland, freshwater wetland, great pond, river, stream or brook except to the minimum extent necessary for completion of work within the limits of construction.
- (10) If work is performed in a river, stream or brook that is less than three feet deep at the time and location of the activity, the applicant must isolate the work area from the resource and divert stream flows around the work area, maintaining downstream flows while work is in progress.
- (11) Wheeled or tracked equipment may not operate in the water. Equipment operating on the shore may reach into the water with a bucket or similar extension. Equipment may cross streams on rock, gravel or ledge bottom. If avoiding the operation of wheeled or tracked equipment in the water is not possible, the applicant must explain the need to operate in the water. Approval from the DEP to operate in the water must be in writing, and any recommendations from the DEP must be incorporated into the performance of the activity.
- (12) All wheeled or tracked equipment that must travel or work in a vegetated wetland area must travel and work on mats or platforms.
- (13) Any debris or excavated material must be stockpiled either outside the wetland or on mats or platforms. Erosion and sediment control best management practices must be used, where necessary, to prevent sedimentation. Any debris generated during the activity must be prevented from washing downstream and must be removed from the wetland or water body. Disposal of debris must be in conformance with the Maine Hazardous Waste, Septage and Solid Waste Management Act, 38 M.R.S.A. Section 1301 *et seq.*
- (14) Work below the normal high water line of a great pond, river, stream or brook must be done at low water except for emergency work or work agreed to by the resource agencies listed in paragraph 2 above.
- (15) Perimeter controls must be installed before the work starts. Disturbance of natural resources beyond the construction limits shown on the plans is not allowed under this rule.

NOTE: Guidance on the location of construction limits can be obtained from the on site Construction Manager.

- (16) The use of untreated lumber is preferred. Lumber pressure treated with chromated copper arsenate (CCA) may be used only if necessary and only if use is allowed under federal law and not prohibited from sale under 38 M.R.S.A. 1682, and provided it is cured on dry land in a manner that exposes all surfaces to the air for a period of at least 21 days prior to construction. Wood treated with creosote or pentachlorophenol may not be used where it will contact water.
- (17) A temporary road for equipment access must be constructed of crushed stone, blasted ledge, or similar materials that will not cause sedimentation or restrict fish passage. Such roads must be completely removed at the completion of the activity. In addition, any such temporary roads which are in rivers, streams or brooks, must allow for a passage of stormwater flows associated with a 10-year storm.
- (18) Non-native species may not be planted in restored areas.
- (19) Disposal of debris must be in conformance with Maine Hazardous Waste, Septage and Solid Waste Management Act, 38 M.R.S.A. Sections 1301 *et seq.*
- (20) Disturbance of vegetation must be avoided, if possible. Where vegetation is disturbed outside of the area covered by any road or structure construction, it must be reestablished immediately upon completion of the activity and must be maintained.
- (21) A vegetated area at least 25 feet wide must be established and maintained between any new stormwater outfall structure and the high water line of any open water body. A velocity reducing structure must be constructed at the outlet of the stormwater outfall that will create sheet flow of stormwater, and prevent erosion of soil within the vegetated buffer. If the 25 foot vegetated buffer is not practicable, the applicant must explain the reason for a lesser setback in writing. Approval from the DEP must be in writing and any recommendations must be incorporated into the activity.

C. Definitions. The following terms, as used in this chapter, have the following meanings, unless the context indicates otherwise:

- (1) Diversion. The rerouting of a river, stream or brook around a construction site and then back to the downstream channel.
- (2) Fill. a. (verb) To put into or upon, supply to, or allow to enter a water body or wetland any earth, rock, gravel, sand, silt, clay, peat, or debris; b. (noun) Material, other than structures, placed in or immediately adjacent to a wetland or water body.
- (3) Floodplain wetlands. Freshwater wetlands that are inundated with flood water during a 100-year flood event based on flood insurance maps produced by the Federal Emergency Agency or other site specific information.
- (4) Riprap. Heavy, irregularly shaped rocks that are fit into place, without mortar, on a slope as defined in the MaineDOT Standard Specifications, dated **November 2014**.