

2026-2027 SAU Rubric

Disclaimer: *In the event of any unusual circumstances, all situations will be determined on a case-by-case basis.*

Maine DOE will make the final decision.

<u>Tier Determinations</u> Federal Indicators Percent of Findings Participation in Alternate Assessment Dispute Resolution CAPs/Considerations	SAU Responsibilities
<u>Tier I –</u> - No federal indicators - 95% or greater compliance - 95% or greater participation in alternate assessment - Previous CAP closed on time	- 6-year cohort - Participation in Resources Office Hours - Each case manager reviews one file annually against self-assessment
<u>Tier II –</u> - Fewer than 3 federal indicators - 90% or greater compliance - 70% or greater participation in alternate assessment - Indicators closed on time	- 4-year cohort - Participation in Resources Office Hours - Each case manager reviews one file annually against self-assessment - Annual Trainings (IEP & B13)
<u>Tier III –</u> - 3 to 4 federal indicators - 85% or greater compliance - Less than 70% participation in alternate assessment - Indicators open beyond due date	- 4-year cohort - Participation in Resources Office Hours - Each case manager reviews one file annually against self-assessment - Annual trainings (IEP & B13) - Attend CAP specific related trainings
<u>Tier IV –</u> - 5 to 6 federal indicators - Less than 85% compliance - Less than 50% participation in alternate assessment - Previous CAP open beyond due date	- Individualized Targeted Technical Assistance and Support until Tier III or Higher - Minimum of 2-year cohort - Participation in Resources Office Hours - Annual trainings (IEP & B13) - Attend CAP specific related trainings - Work with SAU to go onsite quarterly with specific plan