

This Training is being Recorded.

Please drop any questions in the Chat Box and we will answer them.



Preparing for the File Review

Maine Department of Education Office of Special Services and Inclusive Education Supervision, Monitoring and Support Team

UPDATED:

5/2025



Meet the Supervision, Monitoring and Support Team



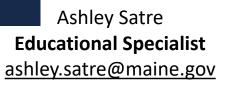
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- ✓ Compliance vs. Best Practice
- ✓ Review expectations of the-Self Assessment
- ✓ Other Considerations
- ✓ Notes on Timelines
- ✓ Questions



Welcome!

➢You are part of the 2025-2026 cohort for audit and review, as part of the General Supervision System.

This is outlined in the Individuals with Disabilities Education Act (IDEA), Section §1416. Monitoring, technical assistance, and enforcement.







Part B. Assistance for All Children with Disabilities

Part B includes provisions related to formula grants that assist states in providing a free appropriate public education in the least restrictive environment for children with disabilities ages three through 21.

View indexed IDEA Part B Statute

View printable IDEA Part B Statute



Subchapter II - Individuals with Disabilities Education Act

Compliance versus Best Practice

Our Supervision, Monitoring and Support Team has a variety of responsibilities. Two major components include:

- 1. Review Compliance
- 2. Professional Development

Everything captured on the Corrective Action Plan (CAP) is generated from those <u>Compliance</u> items, which are cited back to IDEA.

<u>Best Practice</u> is what we want for all students. <u>Best Practice</u> is better programming. <u>Best Practice</u> is what we present in training and meets all areas of <u>Compliance</u>.



Compliance versus Best Practice

<u>Consider this example –</u>

<u>**Compliance**</u> – documenting in a Written Notice that a Parent chose to waive their right to 7-day notice to implement the IEP.

<u>Best Practice</u> – documenting in a Written Notice that a Parent chose to waive their right to 7-day notice to implement the IEP AND having them complete the *optional* form.

BOTH are correct but our team would *only* look for <u>Compliance</u>.



<u>Sample CAP</u> – Codes represent various findings

Maine Department of Education—Corrective Action Plan

T I 1 //	Corrective Action Activities		# of	Correction Du
Finding #	(Initiatives planned to achieve correction)	Evidence of Correction	Evidence	No Later Than
FOT8	*IEP Team holds an annual IEP meeting	Child Specific Correction:		
	*address the finding and amend the IEP	Submit compliant 1st page of the IEP.	2	
EP Team meeting	or			
at least annually.	*address the finding at the annual IEP meeting	Systemic Correction:		4/30/2026
34 CFR 300.324(b)(1)(*D 11.11 TTD .1 . 1	 Submit outline of training and attendance. 		
54 CFR 500.524(0)(1)(*Provide training on IEP meeting protocol, including timelines.	2. Submit 1st page of IEP	2	
CIM1	*IEP Team meets to consider special factors	Child Specific Correction:		
	*address the finding and amend the IEP	Submit compliant IEP	4	
Consideration of	or	•		
Special Factors	*address the finding at the annual IEP meeting	Systemic Correction:		4
		1. Submit outline of training and attendance.		4/30/2026
34 CFR 300.324(a)(2)	*Provide training on IEP meeting protocol, including consideration of special factors.	 Submit compliant IEP 	2	
APG4	*IEP Team meets to discuss the statement of	Child Specific Correction:		
	how the child's distinctly measurable and	Submit compliant 1st page and Section #4C (Academic		
"How" Statement	persistent academic gaps affect their	Gaps) of the IEP.	1	
	involvement and progress in the general			
34 CFR 300.320(a)(1)	education curriculum			1
	*address the finding and amend the IEP	Systemic Correction:		4/30/2026
	or	1. Submit outline of training and attendance.		4/30/2020
	*address the finding at the annual IEP meeting	2. Submit 1st page and Section #4C (Academic Gaps) of IEP (amended or new) with academic present level		
	*Dramida training on IED downlowment in the firm	statements.	2	
	*Provide training on IEP development including writing the how statement.	Statements.		
	winning the new statement.			

Compliance versus Best Practice

*Compliance

*Best Practice

WRN2- Compliance:

- The Written Notice (WN) indicates who was in attendance
- □ The WN identifies in what capacity they attended the IEP meeting (i.e., administrator, general and
- special education teacher, parent, child, etc.)
- □ All required members were in attendance or excused



Maine Unified Special Education Regulations (MUSER IX.3.G.)

INDIVIDUALIZED EDUCATION PROGRAM (IEP)

SAU or CDS Site:

Date IEP Sent to Parent:

FOT7- Compliance:

□ Date sent to parent is ≤ 21 school days from Annual Date of IEP Meeting

Grade:

1. CHILD INFORMATION

Child's Name: Date of Birth: Age:

School/Program: Parent/Guardian Name: Child's Address: City, State, ZIP: Annual Date of IEP Meeting: Duration of the IEP:
 Date of Next Annual IEP Meeting: FOT8- Compliance:
 Date of next annual is within 364 days of annual meeting date
 Date of Re-Evaluation: Date(s) of Amended IEP: Case Manager:

State Agency Client? YES NO

2. DISABILITY		
🗆 Autism	Deaf-Blindness	Deafness
Developmental Delay (3-5)	🗌 Developmental Delay (Kindergarten)	Emotional Disturbance
Hearing Impairment	Intellectual Disability	Visual Impairment (including Blindness)
Other Health Impairment	Orthopedic Impairment	Speech/Language Impairment
Specific Learning Disability	🗆 Traumatic Brain Injury	Multiple Disability
		(check all applicable concomitant disabilities)

Compliance:

Only one disability checked, unless Multiple Disability is checked

□ If Multiple Disability is checked, all disabilities making up the multiple are checked

IEP Quick Reference Document

- RED reflects Compliance
- BLUE reflects Best Practice







FOT7 – Section 1

IEP to parents within 21 school days of annual meeting at no cost to

parents

34 CFR 300.322(f)

MUSER IV.2.H(6)

Yes= The IEP was sent to parents within 21 school days of the annual meeting.

No= The IEP was not sent to parents within 21 school days of the annual meeting or blank.



Compliance

IEP sent to parents within 21 *school* days

Date IEP Sent to Pare	nt: 5/29/2024		
1. CHILD INFORM	ATION		
Child's Name: Page Turner		Annual Date of IEP Meeting: 5/15/2024	
Date of Birth: 5/4/2012		Duration of the IEP: 5/16/2024-5/15/2025	
Age: 12	Grade: 6	Date of Next Annual IEP Meeting: 5/14/2025	



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FOT8 – Section 1

Next annual meeting held within 364 days of annual meeting

34 CFR 300.324(b)(1)(i)



Yes= Next annual meeting date is within 364 days of annual meeting date.

No= Next annual meeting date is not within 364 days of annual meeting date.



Next annual meeting must be held within 364 days of the meeting.

Date IEP Sent to Pare	ent: 5/29/2024	
1. CHILD INFORM	IATION	
Child's Name: Page To	urner	Annual Date of IEP Meeting: 5/15/2024
Date of Birth: 5/4/20	12	Duration of the IEP: 5/16/2024-5/15/2025
Age: 12	Grade: 6	Date of Next Annual IEP Meeting: 5/14/2025



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CIM1 – Section 3

Consideration of Special Factors

34 CFR 300.324(a)(2)



Yes= Each question is answered. If yes, that consideration is addressed in the IEP with goals, services, and/or accommodations.

No= There are blank boxes or a question is checked "yes" and that consideration is not addressed in the IEP.



Each question is answered. If <u>YES</u>, that consideration is addressed in the IEP with goals, services, and/or accommodations.

3.	CONSIDERATIONS – INCLUDING SPECIAL FACTORS				
Α.	Concerns of the parents for enhancing the education of their child (MUSER IX.3.C.(1)(b)):				
	John's parents are concerned about his performance in math and fluency. They are also worried about complete his work assignments.	his ability to attend and			
В.	Does the child exhibit behavior that impedes the child's learning or that of others requiring positive behavioral interventions and supports and other strategies to address the behavior? (MUSER IX.3.C.(2)(a))	YES 🗆 NO			
C.	Is the child identified as a student who is an English learner? (MUSER IX.3.C.(2)(b))	🗆 YES 🖾 NO			
	i. Does the child have language needs, due to his/her English language proficiency level, which need to be addressed in the IEP?	🗆 YES 🖾 NO			
D.	If the child is blind or visually impaired, does the child require instruction in Braille and the use of Braille? (MUSER IX.3.C.(2)(c))	□ YES ⊠ NO □ NA			
E.	Does the child have a print disability that requires accessible educational materials (AEM) to access the curriculum?	🗆 YES 🖾 NO			
	i. If yes, what type of accessible educational materials (AEM) does the child require?				
F.	Does the child have communication needs? (MUSER IX.3.C.(2)(d))	🗆 YES 🖾 NO			
	i. Is the child deaf or hard of hearing?	🗆 YES 🖾 NO			
G.	Does the child need assistive technology devices and services? (MUSER IX.3.C.(2)(e))	🗆 YES 🖾 NO			
н.	Does the child have academic needs?	YES 🗆 NO			
١.	Does the child have functional/developmental needs?	YES 🗆 NO			
PO	POST-SECONDARY TRANSITION				
J.	Is the child in 9 th grade or above OR is the child 16 years old or older?	YES 🗆 NO			
	i. If yes, Section 9 should be completed before completing the remainder of the IEP.				



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RAE1 – Section 4A

Results of initial or most recent evaluations of the child

34 CFR 300.324(a)(1)

MUSER IX.3.C(1)(c)



Yes= Most recent evaluations are documented. Current evaluations may go beyond 3 years prior with documentation in WN as agreed upon by SAU and parent. Evaluations support disability identification.

No= Evaluation information is missing or goes beyond 3 years with insufficient documentation in WN.



Section 4A – Results of All Evaluations

- 4. ACADEMIC AND FUNCTIONAL/DEVELOPMENTAL EVALUATIONS, STRENGTHS, AND NEEDS (MUSER IX.3.C.(1))
- A. Results of all initial evaluations or most recent evaluations of the child:
 - Academic and Functional/Developmental evaluations used in eligibility decision
 - Relevant state/district assessments
 - Transition assessments
 - Other assessments (FBA, Related Services)
 - Any evals that go beyond 3 years must be agreed upon by SAU and parent and documented in Written Notice
 - Document Evaluation name Date of Evaluation Scores

Often, we see disability category identified when student was young "drop off" Section 4A. For example, if student is identified with Autism very young, leave that eval in that section on the IEP.

Maine

Education

AFS1 – Section 4B

Academic, Functional and/or Developmental strengths of the child

34 CFR 300.324(a)(1)

MUSER IX.3.C(1)(a)



Yes= Documents the child's observable academic, functional and/or developmental strengths (or relative strengths).

No= The child's strengths (or relative strengths) are not included.



<u>Academic</u>

ACADEMIC PERFORMANCE refers to a child's ability to perform age appropriate (comparable to same age/grade peers) tasks and demonstrate appropriate skills in the following areas:

Functional

FUNCTIONAL/DEVELOPMENTAL <u>PERFORMANCE</u> refers to how the child is managing daily activities in the following areas:

✤Reading

✤Writing

✤Listening

✤Speaking

Mathematical Problem Solving

Cognitive

Communicative

Motor

Adaptive

Social/Emotional

Sensory





Section 4B: Academic, Functional and/or Developmental Strengths

B. Beyond the evaluative information in 4A, what are the academic, functional, and/or developmental strengths of the child?

These are based on evaluations and observations.

- Include academic areas of strength and relative strengths the child demonstrates within their unique profile.
- ➢Not a restatement of the standard scores in the "Average" range.
- ➤Should be observable. "What does the strength look like in the classroom?"



APG2 – Section 4C

Academic gaps (skill deficits)

34 CFR 300.324(a)(1)

MUSER IX.3.C(1)(d)



Yes = Documents the child's distinctly measurable and persistent academic gaps (skill deficits) aligned to evaluations.

No = No academic gaps noted.

NA= The child is on par with peers academically.



APG4 – Section 4C

Academic "how" statement

<u>34 CFR 300.320(a)(1)</u> MUSER IX.3.A(1)(a)(i)



Yes = The statement of how the child's distinctly measurable and persistent academic gaps (skill deficits) affect their involvement and progress in the general education curriculum is present and answers the question.

No=The statement of how the child's distinctly measurable and persistent academic gaps (skill deficits) affect their involvement and progress in the general education curriculum is missing or doesn't answer the question.

NA=No academic gaps.

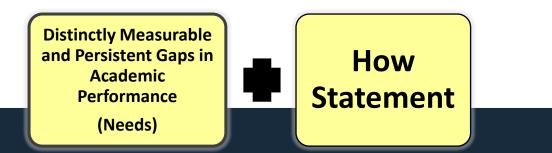


Section 4C: Distinctly Measurable and Persistent Gaps in Academic Performance and "How" Statement

C. Based on evaluative information in 4A, what are the child's distinctly measurable and persistent gaps in academic performance, and how do they affect the child's involvement and progress in the general education curriculum?

Identification of the distinctly measurable and persistent gaps in Academic performance **AND**

How the deficit has an adverse impact on the child accessing the general education curriculum





Remember, you must have *BOTH* the <u>skill gap</u> and the <u>how statement</u>.

DISTINCTLY MEASURABLE AND PERSISTENT SKILL GAP deficits impedes the child's ability to **COMPLETE A TASK**

across content areas within the general education curriculum

*Reading Comprehension This deficit impacts her ability to comprehend grade level text across content areas within the general education curriculum.



APG6 - Section 4C to Section 5

Academic gaps aligned to goals

34 CFR 300.320(a)(2)

MUSER IX.3.A(b)(i)



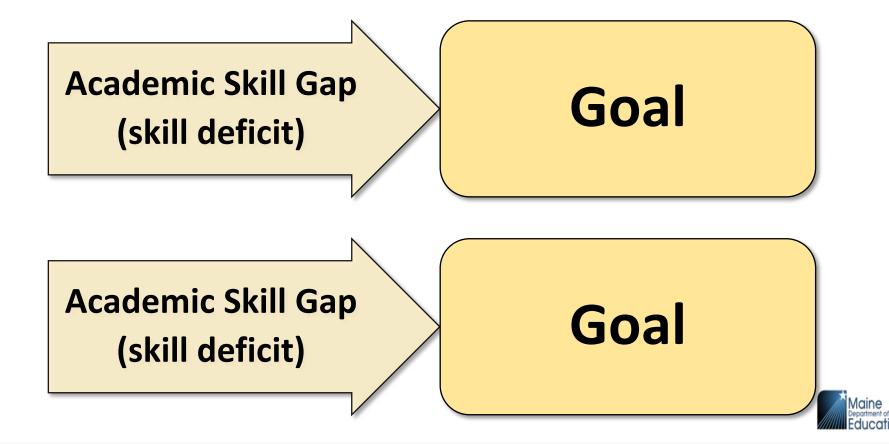
Yes = Each identified distinctly measurable and persistent academic gaps (skill deficits) listed in Section 4C of the IEP is aligned to a corresponding goal.

No= Gap(s) listed in Section 4C are not addressed with a goal in Section 5.

NA=No academic gaps.



APG6 - Section 4C to Section 5



Skill Deficit = Goal

- **C.** Based on evaluative information in **4A**, what are the child's distinctly measurable and persistent gaps in **academic performance**, and **how** do they affect the child's involvement and progress in the general education curriculum?
 - Addition with regrouping
 - Single digit subtraction

2 Skill Deficits = 2 Goals

Present Levels of Academic Performance (MUSER IX.3.A.(1)(a)(i) & (ii)):	
XXXX solves 2-digit addition problems with regrouping in 0% of opportunities.	
Measurable Goal (MUSER IX.3.A.(1)(b) & (c)	Progress:
By date, given service, child's name will skill as measured by evidence.	
By (date), given (service), XXXX will solve 2-digit addition problems with regrouping in 50% of	
opportunities over 5 consecutive presentations as measured by (evidence).	
Objective(s) required? Yes No	
By date, given service, child's name will skill as measured by evidence.	
Present Levels of Academic Performance (MUSER IX.3.A.(1)(a)(i) & (ii)):	
XXXX can solve single-digit subtraction problems in 40% of opportunities.	
Measurable Goal (MUSER IX.3.A.(1)(b) & (c)	Progress:
By date, given service, child's name will skill as measured by evidence.	
By (date), given (service), XXXX will solve single-digit subtraction problems in 80% of	
opportunities over 5 consecutive presentations as measured by (evidence).	
Objective(s) required? Yes No	
By date, given service, child's name will skill as measured by evidence.	

Maine Department of Education

FDP2 – Section 4D

Functional/developmental gaps (skill deficits) of the child.

<u>34 CFR 300.324(a)(1)</u>

MUSER IX.3.C(1)(d)



Yes = Documents the child's distinctly measurable and persistent functional gaps (skill deficits) aligned to evaluations.

No = No functional gaps noted.

NA= The child is on par with peers functionally.



FDP7 – Section 4D

Functional/developmental "how" statement.

34 CFR 300.320(a)(1)

MUSER IX.3.A(1)(a)(i)

Compliance

Yes = The statement of how the child's distinctly measurable and persistent functional gaps (skill deficits) affect their involvement and progress in the general education curriculum is present and answeres the question.

No=The statement of how the child's distinctly measurable and persistent functional gaps (skill deficits) affect their involvement and progress in the general education curriculum is missing or doesn't answer the question.

NA=No functional gaps.

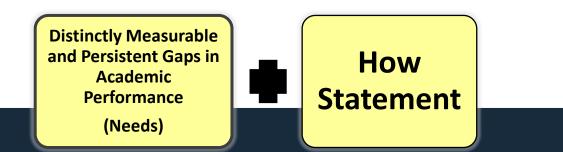


Section 4D: Distinctly Measurable and Persistent Gaps in Functional Performance and "How" Statement

D. Based on evaluative information in 4A, what are the child's distinctly measurable and persistent gaps in functional performance, and how do they affect the child's involvement and progress in the general education curriculum?

Identification of the distinctly measurable and persistent gaps in Functional performance **AND**

How the deficit has an adverse impact on the child accessing the general education curriculum





Remember, you must have *BOTH* the <u>skill gap</u> and the <u>how statement</u>.

DISTINCTLY MEASURABLE AND PERSISTENT SKILL GAP

deficits impedes the child's ability to <u>COMPLETE A TASK</u> across content areas within the general education curriculum

*Self-Regulation This deficit impedes his ability to attend to and engage in lessons within the general education setting.



FDG1 – Section 4D to Section 5

Functional/developmental gaps aligned to goals

34 CFR 300.320(a)(2)

MUSER IX.3.A(b)(i)



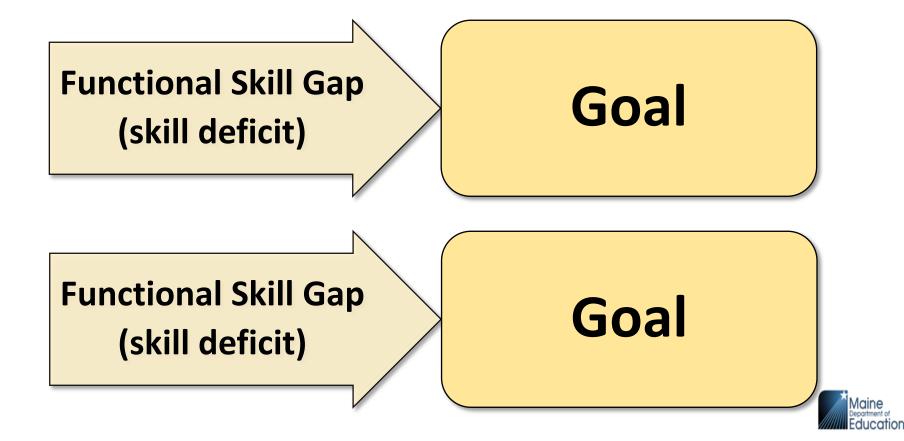
Yes = Each identified distinctly measurable and persistent functional gaps (skill deficits) listed in Section 4D of the IEP is aligned to a corresponding goal.

No= Gap(s) listed in Section 4D are not addressed with a goal in Section 5.

NA=No functional gaps.



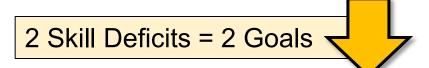
FDG1 – Section 4D to Section 5



Skill Deficit = Goal

- D. Based on evaluative information in 4A, what are the child's distinctly measurable and persistent gaps in functional performance, and how do they affect the child's involvement and progress in the general education curriculum?
 - Follow visual schedule
 - Request help

These gaps affect Sammy's ability to access age-appropriate classroom activities.



FUNCTIONAL/DEVELOPMENTAL PERFORMANCE: Functional performance refers to how the child is managing daily activities in *cognitive, communicative, motor, adaptive, social/emotional* and *sensory areas*. **Developmental performance** refers to how the child is performing developmentally (comparable to same age/grade peers) in physical, cognitive, communicative, social, emotional, and/or adaptive areas.

Progress:

Present Levels of Functional/Developmental Performance (MUSER IX.3.A.(1)(a)(i) & (ii):

Sammy is unable to follow a visual schedule.

Measurable Goal (MUSER IX.3.A.(1)(b) & (c)

By date, given service, child's name will skill as measured by evidence.

By June 2023, given specially designed instruction and a visual schedule with up to 4 tasks, Sammy will follow the task analysis (1. Remove visual for completed task 2. Place visual on "all done" square 3. Reference visual for next task 4. Travel to appropriate room/area 5. Begin task) with 40% independence over 5 consecutive days as measured by daily data collection.

 Present Levels of Functional/Developmental Performance (MUSER IX.3.A.(1)(a)(i) & (ii):

 With adult prompting, Sammy uses a help card to request help in 50% of opportunities.

 Measurable Goal (MUSER IX.3.A.(1)(b) & (c)

 By date, given service, child's name will skill as measured by evidence.

 By June 2023, given specially designed instruction, BCBA consultation, and access to a help

 card, Sammy will independently follow the task analysis (1. Pick up help card 2. Reach to

 communicative partner 3. Release help card) in 40% of opportunities over 5 consecutive

days as measured by daily data collection.







SBG3 – Section 5

IEP academic goals are measurable (including measurement data or activities to be measured by percent, frequency, or specific demonstration of mastery).

34 CFR 300.320(a)(3)(i)

MUSER IX.3.A(1)(b), MUSER IX.3.A(1)(c), MUSER VII.2



Yes = All academic goals are measurable and enable progress towards access to the general education curriculum.

No = All or some academic goals are not measurable (i.e. no measurement data, specific curriculum, or standard scores)

NA= No academic goal needed.



Measurable Academic Goals

Compliance versus Best Practice

<u>Compliance</u> –

- must be measurable
- must include measurement data
- cannot be specific curriculum or standard scores
- if a rubric is used as measurement, it must be attached to the IEP

<u>Best Practice</u> –

- avoid multiple skills
- be skill specific, avoid outcome-based goals
- avoid using grade level as measurement





Specific Program Data

<u>Level 3</u>	<u>Level 4</u>
Fluency: 83 words per minute	Fluency: 90 words per minute
at 3 rd Grade Level	at 3 rd Grade Level
Reading Comprehension:	Reading Comprehension:
85% accuracy at 3 rd Grade Level	92% accuracy at 3 rd Grade Level

Remember:

It's best if the goal only includes 1 skill. For example, if the child has skill deficits in BOTH Reading Fluency and Reading Comprehension, *Best Practice* would be for these to be two different goals.



SBG4 – Standards Based Goals

IEP academic goals have a citation linking them to general education curriculum standards.

34 CFR 300.320 (a)(2i)(A)

MUSER IX (3)(b)(i)and (iii)



Yes = All academic goals include full citation.

No = All or some academic goals do not include full citation.

NA= No academic goal needed.



Section 5: Academic Performance – Standards Based Goals

Compliance-

> Each academic goal is cited to standards

Best Practice-

> Each academic goal is cited to grade-level standards

Each academic goal is cited to grade-level Alternate Academic Achievement Standards for students who take the alternate assessment

https://www.maine.gov/doe/learning/specialed/assessment

Alternate Academic Achievement Standards Webinar.mp4 (youtube.com)



Section 5: Academic Performance – Standards Based Goals

Present Levels of Academic Performance (MUSER IX.3.A.(1)(a)(j) & (ii)):	
Walter spells CVC words with 35% accuracy.	

Measurable Goal (MUSER IX.3.A.(1)(b) & (c)

By 11/1/2022, given specially designed instruction, Walter will be able to spell CVC words with 90% accuracy, as measured by data collection, teacher observation, work samples or similar. MLR L.C.2

By date, given service, child's name will skill as measured by evidence.

Objective(s) required? □ Yes □ No

By date, given service, child's name will skill as measured by evidence.

MLR = Maine Learning Results

L = Strand (Language)

C = Grade Span (Childhood (K-5), Early Adolescence (6-8), and

Adolescence (9-Diploma)

2 = Standard Number



SBG5 – Section 5 to Section 7

Academic IEP Goal/Special Education Service Alignment

34 CFR 300.320(a)(4)

MUSER IX.3.A.(1)(d)(i)



Yes = All academic goals are being addressed through a provision of special education service.

No = All or some academic goals are not being addressed through a provision of special education service.

NA = No academic goals.



Section 5

5. MEASURABLE ANNUAL G	GOAL(S) (MUSER IX.3.A.	(1)(b) & (c))					
ACADEMIC PERFORMANCE (Part	B, ages 3 - 20) refers to a ch	ild's ability to perform	age appropriate (compara	ble to same age/grade			
peers) tasks and demonstrate appropriate skills in <i>reading, writing, listening, speaking,</i> and <i>mathematical problem solving</i> in the school							
environment.							
Present Levels of Academic Perfo		a)(į) & (ii)):					
Sammy spells CVC words with 359	-						
Measurable Goal (MUSER IX.3.A.(
By 11/2023, given specially design	-		words with 90% accuracy,	as measured by data			
collection, teacher observation, w	vork samples or similar. MI	LR L.C.2					
Present Levels of Academic Perfo	rmance (MUSER IX.3.A.(1)(a	a)(į) & (ii)):					
Sammy writes sentences with 3 w							
Measurable Goal (MUSER IX.3.A.(Progress:				
By 11/2023, given specially design	· · · · · · · · · · · · · · · · · · ·		-				
by creating sentences that have 4		as measured by data (collection,				
teacher observation, work sample	es or similar. MLR W.C.3						
Present Levels of Academic Perfo	rmance (MUSER IX.3.A.(1)(a	a)(į) & (ii)):					
Given a definition, Sammy expres	ses the correct vocabulary	word with 50% accur	acy.				
Measurable Goal (MUSER IX.3.A.(1)(b) & (c)		Progress:				
By date, given service, child's name	<u>e</u> will <u>skill</u> as measured by ev	vidence.					
By 11/2023, given specially design	· · · · · · · · · · · · · · · · · · ·						
vocabulary word with 80%, as me	-	teacher observation,	work				
samples or similar, when given a	definition. MLR L.C.6						
7. SPECIAL EDUCATION AND) RELATED SERVICES (N	/USER IX.3.A.(1)(d)	& IX.3.A.(1)(g))				
Special Education Services	Position Responsible	Location	Frequency	Duration			
Special Education Services	rosition responsible	Location	Frequency	Persing and End Date			
Specially Designed	Special Education	Special	30 minutes per	11/14/2022-			
Instruction	Teacher	Education	day	11/13/2023			
Writing		Setting					

FDP5 – Section 5

IEP functional/developmental goals are measurable (including measurement data or activities to be measured by percent, frequency or specific demonstration of mastery).

34 CFR 300.320(a)(3)(i)

MUSER IX.3.A(1)(b), MUSER IX.3.A(1)(c), MUSER VII.2

Yes = All functional/developmental goals for this service provider are measurable and enable progress towards access to the general education curriculum.

No = All or some functional/developmental goals are not measurable (i.e. no measurement data, specific curriculum, standard scores)

NA= No functional/developmental goal needed.



Compliance

Measurable Functional Goals

Compliance versus Best Practice

<u>Compliance</u> –

- must be measurable
- must include measurement data
- cannot be specific curriculum or standard scores
- if a rubric is used as measurement, it must be attached to the IEP

<u>Best Practice</u> –

- avoid multiple skills
- be skill specific, avoid outcome-based goals
- avoid using grade level as measurement





Functional Measurable Goal

FUNCTIONAL/DEVELOPMENTAL PERFORMANCE: Functional performance refers to how the child is managing daily activities in *cognitive, communicative, motor, adaptive, social/emotional* and *sensory areas*. **Developmental performance** refers to how the child is performing developmentally (comparable to same age/grade peers) in physical, cognitive, communicative, social, emotional, and/or adaptive areas.

Present Levels of Functional/Developmental Performance (MUSER IX.3.A.(1)(a)(j) & (ii): Currently, ----- can utilize a tool from her individualized tool kit with support from an adult when prompted to do so, in 1 out of 5 opportunities.

Measurable Goal (MUSER IX.3.A.(1)(b) & (c) By <u>date</u>, given <u>service</u>, <u>child's name</u> will <u>skill</u> as measured by evidence.

By 12/12/2021, given specially designed instruction and consult from an Occupational Therapist, ----- will improve her self-regulation skills as demonstrated by independently utilizing a tool from her individualized tool kit, (including, but not limited to, Break card, Help card, fidget, First/Then board, or similar) to aid in self-regulating to an expected state in 4 out of 5 opportunities, as measured by teacher observation, data collection, increased time wearing a mask or similar. Progress:



FDP6 – Section 5 to Section 7

Functional/Developmental IEP Goal/Special Education/Related Service Alignment

34 CFR 300.320(a)(4)

MUSER IX.3.A.(1)(d)(i)

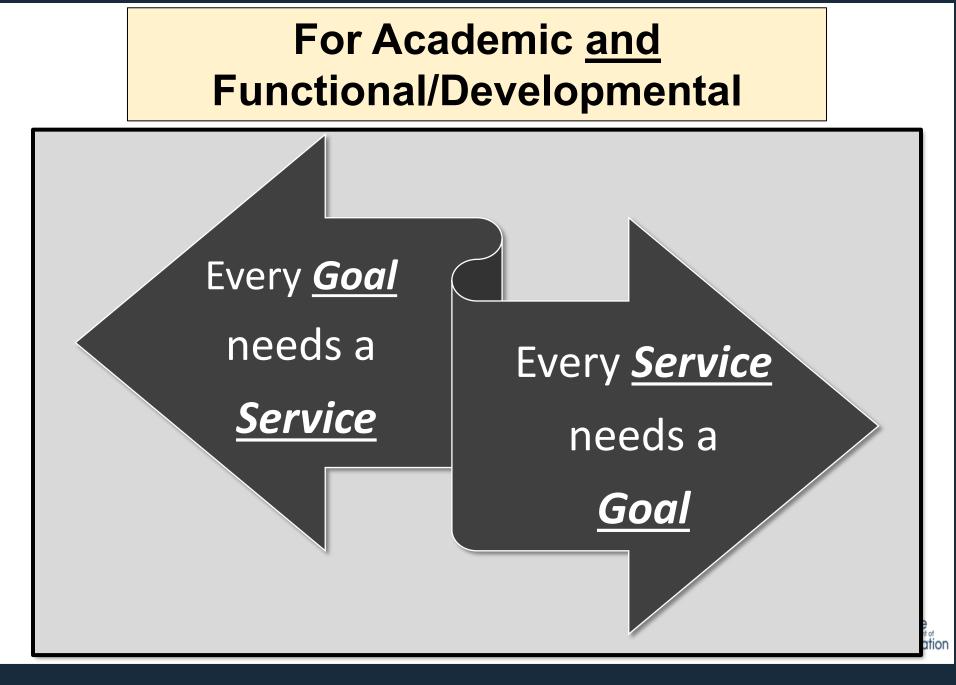
Compliance

Yes = All functional/developmental goals for this service provider are being addressed through a provision of special education or related service.

No = All or some functional/developmental goals are not being addressed through a provision of special education or related service.

NA = No functional/developmental goals.





Section 5

FUNCTIONAL/DEVE	LOPMENTAL PERFORMA	NCE: Functiona	I performance refers to h	now the child is managing dai	ily activities in
cognitive, communi	cative, motor, adaptive, s	ocial/emotional	and sensory areas. Dev	elopmental performance ref	ers to how the child
is performing devel	opmentally (comparable	to same age/gra	de peers) in physical, cog	gnitive, communicative, socia	al, emotional, and/or
adaptive areas.					
	unctional/Developmenta	,	MUSER IX.3.A.(1)(a)(i) &	(ii):	
	o follow a visual schedule	.			
Measurable Goal (1	VIUSER IX.3.A.(1)(b) & (c)			Progress:	
	ce, <u>child's name</u> will <u>skill</u> a				
	specially designed instru			-	
	the task analysis (1. Remo		-		
	. Reference visual for nex				
	% independence over 5 c	onsecutive days	as measured by daily da	ta	
collection.					
				4-1	
	unctional/Developmenta				
	ing, Sammy uses a help c	ard to request r	ielp in 50% of opportuni		
	VIUSER IX.3.A.(1)(b) & (c)			Progress:	
	<u>ce, child's name</u> will <u>skill</u> a				
	specially designed instru			-	
	dependently follow the t				
	tner 3. Release help card) in 40% of oppo	ortunities over 5 consecu	tive	
days as measured t	y daily data collection.				
L				<u> </u>	
7. SPECIAL EDUCATION AND	RELATED SERVICES (M	USER IX.3.A.(1)	(d) & IX.3.A.(1)(g))		
Special Education Services	Position Responsible	Location	Frequency	Duration	
				Beginning and End Date	
Specially Designed Instruction	Special Education	Special	1 hour / 5x per week	6/19/2022 - 6/18/2023	
Executive Function	Teacher	Education			
Speech/Language Services	Desibles Deservatible		5	Duration	Maine
Related Services	Position Responsible	Location	Frequency	Duration Beginning and End Date	Department of Education
Transportation				Sconning and the Date	
Other BCBA consultation	BCBA	Special	30 min per week	6/19/2022 - 6/18/2023	
		Education			51







SAS1 – Section 6

A statement of supplementary aids, services, modifications, and/or supports to be provided to the child

34 CFR 300.320(a)(4), (a)(6), (a)(7)

MUSER IX.3.A(1)(d)

Yes= Each accommodation, etc includes Location, Frequency, and Duration.

No= section 6A of the IEP has blank boxes.



Compliance

Section 6: Supplementary Aids, Services, Modifications and/or Supports

6. SUPPLEMENTARY AIDS, SERVICES, MODIFICATIONS, AND/OR SUPPORTS (MUSER IX.3.A.(1)(d) & (g))

In addition to ongoing classroom supports and services, supplemental aids, and modifications, include a statement of any individual appropriate accommodations that are necessary to measure the academic achievement and functional performance of the child on State and district-wide and classroom assessments (MUSER IX.3.A.(1)(f)(j)).

 Supplementary aids, modifications, accommodations, services, and/or supports for SAU personnel 		Location	Frequency	Duration	
				Beginning/End Date	
Sensory Tool Kit	Classroom Instruction				
Help Card	⊠ Classroom Assessment	Special Education and			
Break Card Squishy	🛛 District-wide Assessment	General Education	As needed	9/18/21-9/17/22	
First/Then Board	State Assessment				
	☑ Classroom Instruction				
ILAP: Individual Language	⊠ Classroom Assessment	Special Education and	As needed	9/18/21-9/17/22	
Acquisition Plan	⊠ District-wide Assessment	General Education			
	⊠ State Assessment				
Extra time	☑ Classroom Instruction		As needed	9/18/21-9/17/22	
quality over quantity with	I Classroom Assessment	Special Education and			
product	District-wide Assessment	General Education	Asheeded	5/16/21-5/17/22	
frequent sensory breaks	□ State Assessment				
	Classroom Instruction				
Read Aloud/Human Reader for			During NWEA	9/18/21-9/17/22	
directions, questions/items, and response items, NWEA reading	⊠ District-wide Assessment	Special Education	Reading window	5/10/21-9/1//22	
	State Assessment				

Educatio

"Other" could include collaboration and recommendations from related service providers that are not directly related to an annual IEP goal (For example, manipulation of the child's environment or instructional materials) - Procedural Manual pg. 27

6. SUPPLEMENTARY AIDS, SERVICES, MODIFICATIONS, AND/OR SUPPORTS (MUSER IX.3.A.(1)(d) & (g))

In addition to ongoing classroom supports and services, supplemental aids, and modifications, include a statement of any individual appropriate accommodations that are necessary to measure the academic achievement and functional performance of the child on State and district-wide and classroom assessments (MUSER IX.3.A.(1)(f)(i)).

A. Supplementary aids, mod	fications, accommodations,	Location	Frequency	Duration
services, and/or supports	services, and/or supports for SAU personnel			Beginning/End Date
	Classroom Instruction			
	🗌 Classroom Assessment			
	District-wide Assessment			
	□ State Assessment			
	Classroom Instruction			
	Classroom Assessment			
	District-wide Assessment			
	□ State Assessment			
Regular Education	🛛 Other	General Education	As Needed	11/14/2022-
Teacher/Occupational		Setting		11/13/2023
Therapist Collaboration				

Discussion of progress and accommodations is not consultation. This should be documented in Section 6 as an accommodation.



ALTI – Section 6

If the IEP Team determines that the child shall take an alternate assessment on a particular State or districtwide assessment of child achievement, a statement of why the child cannot participate in the regular assessment and why the particular alternate assessment selected is appropriate for the child

34 CFR 300.320(a)(6)(ii) MUSER IX.3.A(1)(f)(ii)



Yes=If 'yes' is checked, there is an explanation of why the child can't participate in the general assessment.

No= 'Yes' is checked and there is no explanation.

NA='Yes' is not checked



Section 6B

B. Alternate Assessments

If the IEP Team determines that the child shall take an alternate assessment on a particular State or district-wide assessment of child achievement, a statement of why the child cannot participate in the regular assessment and why the particular alternate assessment selected is appropriate for the child (MUSER IX.3 A (1)(f)(ii)(I) & (III)

Sector the child meets qualifications outlined in the Participation Decision Flowchart.

 If yes, include an explanation: The IEP Team used the participation decision flowchart to determine that given the students need for extensively adapted materials and consistently high levels of support, the alternate assessment is the correct choice.

No, the child does not meet the qualification and will be participating in regular education state and district-wide assessments.
 Not applicable.

≻If 'Yes', there is an explanation



ALT2 – Section 6 and Section 5

If the student is determined eligible for alternate assessment per the Participation Guideline document, all academic goals must have short-term objectives aligned to the Alternate Academic Achievement Standards (AA-AAAS).

https://www.maine.gov/doe/learning/specialed/assessment

34 CFR 300.320(a)(2)(ii)

MUSER IX.3.A(1)(a)(iii)

Yes= If section 6B is marked "Yes", all academic goals must have short-term objectives linked to alternate standards.

No= Section 6B of the IEP is marked "Yes", but the student does not have academic objectives linked to alternate standards.

NA- Section 6B is marked "No" or "NA"

Compliance



ALT2 – Section 5

Present Levels of Academic Performance (MUSER IX.3.A.(1)(a)(i) & (ii)):

Lily is currently able to participate in conversations (including but not limited <u>to:</u> eye contact with the speaker, use of text to speech device), and express her own thoughts in 5 out of 10 opportunities per week.

Measurable Goal (MUSER IX.3.A.(1)(b) & (c) By <u>date</u>, given <u>service</u>, <u>child's name</u> will <u>skill</u> as measured by evidence.

By November 10, 2023, given SDI in reading strategies, Lily will participate in conversations and express her own thoughts in 8 out of 10 opportunities per week as measured by teacher observation and data collection. CCSL1.

Objective(s) required? \boxtimes Yes \square No By <u>date</u>, given <u>service</u>, <u>child's name</u> will <u>skill</u> as measured by evidence.

By February 10, 2023, given SDI in reading strategies, Lily will participate in conversations and express her own thoughts in 6 out of 10 opportunities per week as measured by teacher observation and data collection. CCSL1.

By May 10, 2023, given SDI in reading strategies, Lily will participate in conversations and express her own thoughts in 7 out of 10 opportunities per week as measured by teacher observation and data collection. CCSL1.



Progress:

SVC2 – Section 7

A statement of the special education and related services to be provided

34 CFR 300.320(a)(4), (a)(6), (a)(7)

MUSER IX.3.A(1)(d)



Yes = Each service includes Frequency, Location, and Duration. Position Responsible is certified/licensed staff only.

No =There are blank boxes or information is non-compliant (ie. non-certified staff, invalid location, ESY all year)



Section 7: Special Education and Related Services

SPECIAL EDUCATION AND RELATED SERVICES (MUSER IX.3.A.(1)(d) & IX.3.A.(1)(g))

Special Education Services	Position Responsible	Location	Frequency	Duration
				Beginning and End Date
Specially Designed Instruction				
Speech/Language Services				
Consultation				
Tutorial Instruction				
Extended School Year				
Related Services	Position Responsible	Location	Frequency	Duration
				Beginning and End Date
Speech/Language Services				
Occupational Therapy				
Physical Therapy				
Social Work Services				
Nursing Services				
Behavioral Health Day Treatment				
Transportation				
Other				

Child's <u>needs</u> drive services and their frequencies <u>not</u> the school or program schedule. These services and frequencies should be individualized.



Section 7: Special Education and Related Services

Special Education Services	Position Responsible	Location	Frequency	Duration Beginning and End Date
Specially Designed Instruction				
Speech/Language Services	MUST FILL -	MUST FILL -	MUST FILL -	MUST FILL
Consultation	Certified special	Special Education	Minutes, hours,	
Tutorial Instruction	educators or	Setting,	weekly, daily, or	
Extended School Year	licensed related	General Education	monthly	Adjust dates to reflect
	service providers are	Setting, or		duration of ESY
Related Services	positions responsible	Special		Duration
		Education/General		Beginning and End Date
Speech/Language Services		Education Setting		
Occupational Therapy		Location		
Physical Therapy				MUST FILL
Social Work Services				
Nursing Services				
Transportation				
Other				

Speech/Language services are a direct special education service when:

- 1. The child is a child with a Speech/Language impairment (solely or as a part of a Multiple Disability)
- 2. The child is a child with Autism and Speech/Language services are the child's only service.



SVC4 – Section 7 and Provider Schedules

Evidence that special education and related services that are identified on the IEP are being provided

34 CFR 300.320(a)(4)

MUSER IX.3.A(1)(d)

Yes = All services for the student are found on service provider schedules.

No = One or more services for the student cannot be found on service provider schedules.





SVC4 – Section 7 and Provider Schedules

Student Name	Direct and related services required as stated on service grid of IEP	Person providing the service	When seen by the provider	Frequency of each session	Notes
Example: J. Doe	SDI Reading	Page Turner	M-F	60 min	
	SDI Writing	Page Turner	M-F	30 min	
	SDI Math	Page Turner	M-F	45 min	
	Speech/Lang	Emma Articulate	Thursday	30 min	
	ОТ	Joe Visual	Monday	30 min	

7. SPECIAL EDUCATION AND RELATED SERVICES (MUSER IX.3.A.(1)(d) & IX.3.A.(1)(g))

Special Education Services	Position Responsible	Location	Frequency	Duration Beginning and End Date
Specially Designed Instruction Reading	Special Education	Special	5 <u>hrs</u> /week	10/15/2024-
Reading	Teacher	Education		10/14/2025
Specially Designed Instruction	Special Education	General/Special	2.5 <u>hrs</u> /week	10/15/2024-
Writing	Teacher	Education		10/14/2025
Specially Designed Instruction	Special Education	Special	5 hrs/week	10/15/2024-
Math	Teacher	Education		10/14/2025
Speech/Language Services				
Consultation				
Tutorial Instruction				
Extended School Year				
Related Services	Position Responsible	Location	Frequency	Duration Beginning and End Date
Speech/Language Services	Speech/Language	Special	120	10/15/2024-
	Pathologist	Education	min/month	10/14/2025
Occupational Therapy	Occupational	Special	120	10/15/2024-
	Therapist	Education	min/month	10/14/2025

LRE1 – Section 8

An explanation of the extent, if any, to which the child will not participate with non-disabled students in the regular class and in extracurricular and other non-academic activities

34 CFR 300.114(a)(2)

MUSER IX.2.B

Yes = The LRE statement explains how the nature and severity of the disability affects the child's ability to be with same-age peers.

No = The statement does not address the ability of the student to be with same-age peers.



Compliance

Section 8: Least Restrictive Environment

"To the maximum extent appropriate, children with disabilities, including children in public or private institutions or other care facilities, shall be educated with children who are not disabled, and special classes, separate schooling, or other removal of students with disabilities from the regular educational environment shall occur only when the <u>nature or severity of the</u> <u>disability of a child is such that education in regular classes</u> <u>with the use of supplementary aids and services cannot be</u> <u>achieved satisfactorily</u>." MUSER [20 USC 1412(a)(5) and 34 CFR 300.114]



LRE3 – Section 8

Percentage of time with same age peers is recorded

34 CFR 300.320(a)(5)

MUSER IX.2.B

Yes = The percentage of time with same age peers is recorded.

No = The percentage of time with same age peers is not recorded.



Compliance

LRE3 – Section 8

8. LEAST RESTRICTIVE ENVIRONMENT						
FOR CHILDREN AGES 3-5 ONLY (CDS)						
What percentage of time is this child with non-disabled children?						
General Educat	tion Setting	Special Education Setting	An explanation of the extent, if any, to which the child			
More than or equal to	Less than 10 hours	Total # of hours:	will not participate with non-disabled children in the			
10 hours			regular class and in extracurricular and other non-			
			academic activities (MUSER IX.3.A.(1)(e)):			
FOR K-12 ONLY						
What percentage of	An explanation of the	e extent, if any, to which the	child will not participate with non-disabled children in the			
time is this child with	regular class and in extracurricular and other non-academic activities (MUSER IX.3.A.(1)(e)):					
non-disabled children?	Sammy's Other Health Impairment due to ADHD is to such a degree that he requires individual and small					
73%	group instruction in the special education environment.					



WRN2 – Written Notice

Written notice indicates all required members were in attendance or excused

34 CFR 300.321(a)

MUSER VI.2.B

Compliance

Yes = The WN indicates who was in attendance, identifies in what capacity they attended the IEP (i.e. administrator, regular ed and special ed teacher, parent, child, etc.), and all required members were in attendance or excused

No = One or more necessary members were not in attendance or excused, the WN does not indicate who was in attendance or the WN does not identify in what capacity they attended the IEP meeting.



WRN2 – Written Notice

Required Participants for IEP Meetings (MUSER VI.2.B)

- ✓ The <u>child's parents</u>
- ✓ No less than <u>one regular education</u> teacher which should include career/technical/adult ed teachers when appropriate
- ✓ No less than <u>one special education teacher</u>
- ✓ A <u>representative from the SAU</u> that
 - is qualified to provide or supervise special education instruction
 - Is knowledgeable in general education curriculum
 - is knowledgeable about the availability of resources and has written authorization to obligate funds for the SAU

- ✓ At the discretion of parents or SAU, others who may have knowledge or special expertise regarding the child, including <u>related service</u> <u>providers.</u>
- ✓ An <u>individual who can interpret the</u> <u>instructional implications of evaluation</u> results, who may be otherwise a member of the team.
- ✓ Whenever applicable, <u>the child.</u>
- ✓ For a child who is a state ward or state agency client, <u>the child's caseworker</u> representing a youth serving agency.



WRN2 – Written Notice

Attendance Not Necessary

A member of the IEP Team *is not* required to attend an IEP Team Meeting if the parent and the SAU agree <u>in writing</u> that attendance is not necessary because the member's area of curriculum or related services is not being modified or discussed in the meeting.

Excusal

- A member of the IEP Team may be excused when the meeting involves a modification to or discussion of the member's area of curriculum or related services, if—
- (1) The parent, in writing, and the SAU consent to the excusal; and
- (2) The member submits, in writing to the parent and the IEP Team, input into the development of the IEP prior to the meeting.
- The SAU must ensure that the child's IEP Team is informed of any changes



IEP Forms | Department of Education (maine.gov)









IEP Training

10/15/25 9:00-11:30

> Maine Department of Education

Let's talk about... Post-Secondary Transition Plans





TRA1 – AWN

For 9th grade children (or earlier, if appropriate): AWN of IEP meeting indicating that a purpose of the meeting is to consider post-secondary goals and transition services.

> 34 CFR 300.322(b)(2)(i)(A) MUSER VI.2.H(b)(i)(I)



AWN – Purpose of the Meeting

Advance Written Notice

purpose of the meeting is checked on the AWN (Post-secondary goals and transition services)

The purpose(s) of the meeting is:

- □ Initial referral/eligibility (MUSER IV.2.D.)
- Annual review and other IEP program/placement changes including graduation (MUSER XV) and revocation of consent for continued placement (MUSER XV)
- □ IFSP annual or <u>6 month</u> review (MUSER VI.1.B.)
- Evaluation/re-evaluation (MUSER V.1.A(4)(j) & .3.D.)
- Post-secondary goals and transition services (MUSER IX.3.A(1)(h))
- Transfer student (MUSER IX.3.B(5)(a)(j) and (ii))
- □ CDS/public school transition (MUSER VI.2.C(2))
- Transition from Part C to Part B (MUSER VI.2.C(1))
- Parent Request
- Other (e.g., MUSER IX.1.B or MUSER IX.3.D(1)(a))

TRA2 – AWN

There is evidence that the child was invited to attend the IEP meeting.

34 CFR 300.321(b)(1) MUSER VI.2.C(3)(c)



AWN – Child Invited to Meeting

Advance Written Notice

- ✓ Child is invited to the meeting
- ✓ List as participant invited to the meeting

	Representative of outside agencies:
Bill	Child or adult student:
	CDS staff:

 Best practice is including them in the salutation e.g., "Dear Parent and Student"

Dear Mom, Dad, and Bill

An IEP/IFSP Team meeting has been scheduled for:



TRA3 – 9G, AWN and Parent Consent

There is evidence that an agency likely to be responsible for providing or paying for transition services was invited to attend the IEP meeting, to extent appropriate and with parent's (or adult child's) prior consent.

> 34 CFR 300.321(b)(3) MUSER VI.2.C(3)(e)



<u>AWN</u> – Agency Invited with Parent's <u>PRIOR</u> Consent

Advance Written Notice

 ✓ if appropriate, a representative of any participating agency is invited to the IEP Team meeting with prior consent of the parent or student who has reached the age of majority

 ✓ documented with Parental Consent to Invite Other Agencies to IEP Meetings-Postsecondary Goals & Transition Services

Section 9G

G. Agencies Responsible to Provide and/or Pay for Services (MUSER IX.3.E.(1)(2)) What agency linkages, if any, have been made? Written parental consent must be obtained prior to the IEP meeting invitation of any agency or organization that is likely to be responsible for providing or paying for transition services.

Vocational Rehabilitation





TRA3-9G and AWN

To the extent appropriate, with the consent of the parents or the child who has reached age of majority, in implementing the requirements of (c) above, the **public agency** *must* invite

a representative of any participating agency that is likely to be responsible for providing or paying for transition services. [34 CFR 300.321(b)(3)]

Document parent consent or lack of consent using the Parental Consent to Invite Other Agencies form

Parental Consent to Invite Other Agencies to IEP Meetings Secondary Transition – Post-Secondary Goals and Transition Services

Maine Unified Special Education Regulations (MUSER VI(2)(c)(3)(e))

Date of Meeting:		SAU:	
Child's Name:		School:	
Date of Birth:	Grade:	School Phone:	
Parent/Guardian Name:		School Address:	
Parent/Guardian Address:		City, State Zip:	
Parent/Guardian City, State Zip:		School Contact:	
	Date given/mailed to p Date received back from p		>
Dear ,			
An IEP team meeti	ng will be scheduled for your child	in the near tuture.	

One of the purposes of the meeting will be to discuss your or your child's post-secondary goals, and address the transition services that support those goals. The following list identifies the agencies other than the school that we believe should be invited to this meeting, and the basic reasons why we feel it is important to invite them. Please check the appropriate box (yes or no) indicating whether you give consent to invite each of the listed agencies to this meeting and sign below.

5 5	-		
Reason	Co	nsent	
(e.g., employment supports)	YES	NO	
	Reason	Reason Ca	

I understand the basic reason(s) for inviting the agencies listed above. I understand that my consent, if given, is voluntary. I further understand that this consent form is required for each meeting where postsecondary planning is discussed.

Parent/Guardian Signature

Date

Enclosures may be included within this document and recorded below:

TRA4 – WN

Post-Secondary goal(s) are updated annually.

34 CFR 300.320(b) MUSER IX.3.A(1)(h)



WN – Post- Secondary goals updated

Written Notice

 Document/statement in the WN that the transition plan and post-secondary goals are being updated

Written Notice must be given in accordance with MUSER Appendix 1, 34 CFR 300.503 for:		
Written Notice must be given	in accordance with MUSER Appendix 1, 34 CFR 300.503 for: Initial referral/eligibility(MUSER IV.2.D) Annual review and other IEP program/placement changes including graduation and revocation of consent for continued placement(MUSER XV) IFSP annual or <u>6 month</u> review (MUSER VI.1.B.) Evaluation/re-evaluation (MUSER V.1.A (4) (i) & V.3.D.) Post-secondary goals and transition services(MUSER IX.3.A(1)(h)) Transfer student(MUSER IX.3.B(5) (a) (i) and (ii)) CDS/public school transition(MUSER VI.2.C(2)) Transition from Part C to Part B(MUSER VI.2.C(1)) Consent for Initial Placement(MUSER V.1.A(4) (a) (ii))	
	Amendments after the annual IEP meeting(MUSER IX.3.C(4)	
	Other (e.g., MUSER IX.1.B or MUSER IX.3.D(1)(a))	

Describe any other factors that are relevant to the SAU's proposed or refused action(s) described above:



The team reviewed and updated the transition plan and transition goals.

TRA5 – Section 9B

Post-secondary goal(s) are based on ageappropriate transition assessments.

34 CFR 300.320(b)(1) MUSER IX.3.A(1)(h)(i)



Section 9B – Transition Assessments

Section 9B

- Document all transition assessments that have been completed
- Best practice is to include the year the assessment was provided

 B. List of Transition Assessments Completed: Informal student interview (2022) Career interest inventory (2023) Classroom observation (2023)

Transition Assessment Resources



TRA6a – Section 9D

IEP contains appropriate measurable postsecondary goals addressing education or training after high school.

34 CFR 300.320(b)(1)



Section 9D – Education/Training Goals

Section 9D

 Document the type of education and or training the child will receive in order to pursue their career choice

Education/Training Goal

After graduation, child's name, will education/training goal.

After graduation, Bill will attend a 4-year college or university to study marketing or receive on the job training to become a carpenter.



TRA6b – Section 9D

IEP contains appropriate measurable postsecondary goal addressing employment after high school.

> 34 CFR 300.320(b)(1) MUSER IX.3.A(1)(h)(i)



Section 9D – Employment Goals

Section 9D

- Take the education or training goal and make a statement about employment
- Education/training and Employment goals should be in alignment

Employment Goal

After graduation, child's name, will employment goal.

After graduation, Bill will work in the filed of marketing or as a carpenter.



TRA6c – Section 9D

IEP contains appropriate measurable postsecondary goal addressing independent living after high school.

> 34 CFR 300.320(b)(1) MUSER IX.3.A(1)(h)(i)



Section 9D – Independent Living Goals

Section 9D

✓ Should be considered for all children, not just those with significant cognitive deficits

Independent Living Skill Goal (when appropriate) After graduation, <u>child's name</u>, will <u>independent living skill</u>.

After graduation, Bill will access mental health supports in his community independently or with assistance from his parents.



TRA7 – Section 9E

Transition plan includes courses of study needed to assist the child in reaching postsecondary goals.

34 CFR 300.320(b)(2) MUSER IX.3.A(1)(h)(ii)



Section 9E – Course of Study

Section 9E

- Indicate a multi-year high school plan (current year of high school to anticipated exit date)
- Align with and will enable the student to meet postsecondary goals

E. Planned Course of Study (MUSER IX.3.(A)(I)(h)(ii))

The class schedule must be multi-year (through exit), specific, individualized, and directly linked to the post-secondary goals. Course of Study must address all post-secondary goals that are identified for the child.

2022-2023: English 9, Algebra1, World History 1, Ecology/Earth Science, Art, PE, Spanish 2023-2024: English 10, Geometry, U. S. History, Biology, Band, Spanish II, Health 2024-2025: English 11, Algebra II, World History II, Introduction to Business, Carpentry I 2025-2026: English 12, Financial Math, Independent Study (Intro. To Marketing), Carpentry II



TRA8 – Section 9F

IEP identified transition services needed to assist the child in reaching post-secondary goals.

34 CFR 300.320(b)(2) MUSER IX.3.A(1)(h)(ii)



Section 9F – Transition Services

Section 9F

F. Transition Services and Activities (MUSER IX.3(A)(I)(h)(ii) Describe the activities provided by the adults in the school and in the toward meeting annual and post-secondary goals. Include special e agencies, and services provided by families, as appropriate for the e and individualized.

Education/Instruction and Related Services:

- Speech/Language services (2022-2023)
- Specially Designed Instruction (2023)
- Intro to Business class (2024)
- Carpentry I (2024)

Career/Employment and Other Post-Secondary Adult Living Objecti

- Registering to vote
- Job site training
- Internship
- Filing taxes
- Accessing medical services

Community Experiences:

- Boy Scouts (2022-2024)
- Volunteer at animal shelter (2023)
- Currently employed with satisfactory employment evaluations (2022-2024)

If Appropriate, Daily Living Skills and/or Functional Vocational Evaluation:

- Primary caregiver for family dog (2022-2023)
- Maintaining home/chores
- Preparing meals

- Should NOT include future services/activities
- Leave previous years services in this section
- Include at least one transition service for each; only if appropriate for daily living skills
- Best documented in a bulleted list



TRA9 – Section 9D and Section 5

IEP contains measurable annual goals related to the child's transition services identified in the IEP.

34 CFR 300.43(a)(2) MUSER VI.2.C(3)(a)



Section 9D and Section 5 – Annual Goals

Section 9D to Section 5

 At least one annual goal in Section 5 that addresses the post-secondary goals

Present Levels of Functional/Developmental Performance (MUSER IX.3.A.(1)(a)(i) & (ii): Bill is able to manage is anxiety by using learned techniques in 3 out of 10 opportunities. Measurable Goal (MUSER IX.3.A.(1)(b) & (c) Progress: By date, given service, child's name will skill as measured by evidence. By September 2025, given social work services, Bill will work on managing his anxiety by using techniques learned during social work session in 8 out of 10 opportunities as measured by selfreporting and social work sessions.













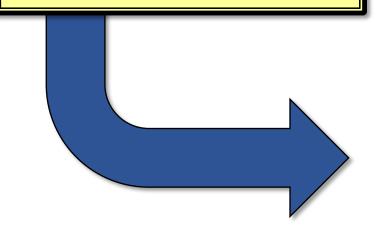
25-26 Documents for Onsite Review

- Access to full paper file is easiest
- If you have electronic files only, please make them accessible to us.

OPTIONS FOR ELECTRONIC ACCESS

- Provide temporary access to your vendor
- Create PDFs and email to <u>monitoring.doe@maine.gov</u>
- Print 1 copy of all needed paperwork

For your onsite visit, please gather the following:



ITEM

Policies and Procedures

- □ IEP Fund Authorization Letters
- Child Find Policy
- □ Restraint/Seclusion Policy
- Referral Policy

Review of IEPs

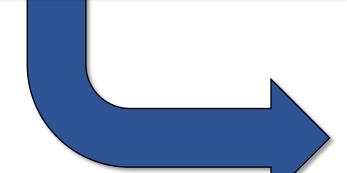
- □ Provide current IEPs reviewed on the Self-Assessment
- □ Written Notice from Annual Meeting
- □ Complete attached form OR provide current schedule for each special education and related service provider (not required for OOU placements).

OOU (Out-of-Unit)

- □ 1 from each OOU for file/IEP review
- Provide current IEP
- $\hfill \Box$ All files for any student placed at OOU within the last 2 years
- We will be looking at:
 - □ IEP and WN prior to placement
 - □ WN of placement
 - □ WN of 30 day review
 - □ current IEP
 - □ Re-evaluation since placement, if applicable
- Access to the full file is easiest

Education

For your onsite visit, please gather the following:



Final Transition Plans (B13)

- 10 transition plans (number is flexible depending on child count)
 - Age 16 and older, no seniors
- Provide for each:
 - □ Consent to invite <u>outside</u> agency, if applicable
 - □ AWN from annual
 - 🗆 WN from annual
 - Current IEP
- Can overlap with self-assessment files and/or OOU files

Abbreviated Day

- All files for students currently on Abbreviated Day
- We will be looking at:
 - WNs from start of Abbreviated Day to present
 IEPs from start of Abbreviated Day to present
- Documents regulatory expectations

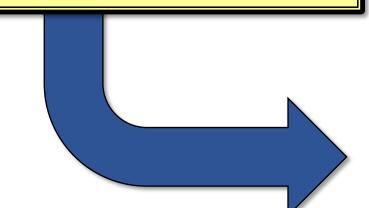
Parentally Place Private School Students

• All ISPs for students placed in private school(s) within your district, if applicable

<u>Forms</u>

- 🗌 1 Speech/Language Eligibility Form
- □ 3 Learning Disability Evaluation Forms
- 3 Adverse Effect Forms
- From initial evaluations and/or reevaluations
- Can be a mix of both
 - □ 3 Summary of Performance Forms
 - □ 3 Eligibility Forms from students that have been dismissed from services or changed disability category along with associated WN

For your onsite visit, please gather the following:



Child Find (B11) K-12

- 10 Initial Referrals from 24-25 and/or 25-26 school year
 - □ AWN of initial referral <u>OR</u>WN of initial referral (documenting Procedural Safeguards were given upon initial referral)
 - $\hfill\square$ Signed Parental Consent to Evaluate with date received by SAU
 - □ First page of each evaluation identified on consent with dated received by SAU
 - □ WN of eligibility meeting
 - □ School calendars for 23-24 and 24-25 with 'no school' days marked
- >45 school days use Acceptable/Unacceptable Reasons for Delay chart
 - \Box Acceptable
 - □ Unacceptable
 - Reason: _
 - See Acceptable/Unacceptable Reasons Chart

<u>For SAUs who have adopted responsibility for FAPE for Pre-K</u>

Transition from Part C to Part B (B12) and (B11) Pre-K

- Part B referrals in the 6 months prior to on-site visit
 - □ AWN of initial referral <u>OR</u>WN of initial referral (documenting Procedural Safeguards were given upon initial referral)
 - □ Signed Parental Consent to Evaluate with date received by SAU
 - \Box <u>First</u> page of each evaluation identified on consent
 - □ Initial IEP if eligible *must be implemented by 3rd birthday
 - □ WN of eligibility meeting
- >60 days or not implemented by 3rd birthday use Acceptable/Unacceptable Reasons for Delay chart
 - □ Acceptable
 - □ Unacceptable

Reason: _

o See Acceptable/Unacceptable Reasons Chart



Out of Unit Placement

34 CFR 300.325

Finding	<u>What</u>	<u>Where</u>
00U2	IEP Team documentation of program components of a placement to support the IEP developed 34 CFR 300.325(a)(1) MUSER IX.3.H	WN
00U3	Representative of the placement is present at an IEP meeting prior to out-of-unit placement 34 CFR 300.325(a)(2) MUSER IX.3.H	AWN, WN
0005	IEP review meeting within 30 days after placement MUSER IX.3.H	AWN, WN
00U7	Annual IEP review 34 CFR 300.325(b)(2) MUSER IX.3.I(2)	AWN, WN
0009	Document discussion of reevaluation, if needed 34 CFR 300.303(b) MUSER V.1.B(2) MUSER IX.3.I(4)	AWN, WN



Eligibility Forms –

Einding	
<u>Finding</u>	<u>What</u>
FOT2	 Summary of Performance – <u>Summary of Performance Quick Reference Checklist</u> Include summaries of academic and functional performance Include recommendations for child action and accommodations for education, employment, training, and independent living (optional) <u>34 CFR 300.305(e)(3)</u> MUSER VI.2.C(3)(g)
FOT3	 Specific Learning Disability Eligibility Form – <u>SLD Eligibility Form Quick Reference</u> <u>Checklist</u> Include verification with data Include strengths and weaknesses Team members must sign the document <u>34 CFR 300.306(b)</u> <u>34 CFR 300.306(c)(1)(i)</u> MUSER VII.2.L(2)
FOT4	Speech/Language Eligibility Criteria – Speech Language Eligibility Form Quick Reference Checklist Include verification with data Document the criteria(s) that documents adverse impact Document and include all Severity Rating Scales 34 CFR 300.306(b) 34 CFR 300.306(c)(1)(i)
FOT5	Form for Determination of Adverse Effect on Educational Performance – Adverse Effect Form Quick Reference Checklist • Include verification with data • N/A means Not Available 34 CFR 300.306(b) 34 CFR 300.306(c)(1)(i) MUSER VII.3

ERE1-Dismissal or Change in Eligibility

<u>Finding</u>	<u>What</u>
ERE1	 Change in Eligibility Complete eligibility form(s) documenting previous disability category e.g., Speech/Language Form <u>AND</u> Complete eligibility form(s) documenting current disability category e.g., Adverse Effect Form-for change to Autism Dismissal from special education services Complete eligibility form(s) documenting student does not qualify as a child with a disability <u>34 CFR 300.305(e)</u>



Eligibility Forms Recording

Eligibility Forms 3/27/24







B11: Child Find –

<u>Finding</u>	<u>What</u>
INR1	 Procedural Safeguards – Procedural Safeguards were offered to parent upon initial referral Document as enclosure in the AWN or Written Notice of the initial referral meeting <u>34 CFR 300.504(a)(1)</u> MUSER Appendix 1
INR3	 Timeline – Evaluations were completed within 45-school days (school days from date consent to evaluate was received by SAU to date eligibility meeting held) OR 60 calendar days for CDS <u>34 CFR 300.301(c)(1)(ii)</u> <u>MUSER V.A(3)(a)</u>



B12: Transition from Part C to Part B

<u>Finding</u>	<u>What</u>
INRC	 IEP was implemented before the child's 3rd birthday, or start of school year is summer birthday 34 CFR 300.124



Abbreviated Day

Abbreviated school day means any day that a child eligible under this chapter attends school or receives educational services for less time than age/grade peers without disabilities within the same school and/or school program. (MUSER VI.2.L)

Abbreviated School Day does not apply to change in placement under Section XVII, Discipline of Children with Disabilities (MUSER VI.2.L)



Abbreviated Day

We will only be looking at this <u>IF</u> you have students on an Abbreviated Day.

If you do not, please just let us know and this will not apply to you.





Abbreviated Day – Educational			
Finding	What	Where	
ADWN	Basis of Abbreviated Day – Educational or Medical Only MUSER VI.2.L(1)(e) MUSER VI.2.L(2)(a)	WN	
ADLR	LRE Percentage is based on full school day MUSER X.2.C(2)(c)	IEP 8	
ADE1	How the student will access curriculum and IEP services MUSER VI.2.L(1)(a)	IEP 6&7, WN	
ADE2	How the student will access assessments MUSER VI.2.L(1)(b)	IEP 6, WN	
ADE3	 Revised IEP including: Re-entry plan – no longer than 45 calendar days Actions the SAU will take to assist the child to participate in full day MUSER VI.2.L(1)(c) MUSER VI.2.L(1)(d) 	IEP, WN	
ADE4	If more than 45 calendar days, IEP team must convene every 20 school days MUSER VI.2.L(1)(e)	AWN, WN	
ADE5	 Documentation that 20-day meeting addresses the following: Review progress toward return Review progress in education setting Determine what setting will allow the student to progress MUSER VI.2.L(1)(e) 	AWN, WN	
ADE6	Revised IEP addresses reason for abbreviated day (i.e. FBA, behavior plan, counseling, gaps/goals/services/accommodations)	IEP, WN	

Abbreviated Day – Medical

Finding	What	<u>Where</u>	
ADWN	Basis of Abbreviated Day – Educational or Medical Only MUSER VI.2.L(1)(e) MUSER VI.2.L(2)(a)	WN	
ADLR	LRE Percentage is based on full school day MUSER X.2.C(2)(c)	IEP 8	
ADM1	How the student will access curriculum and IEP services MUSER VI.2.L(2)(b)	IEP 6&7, WN	
ADM2	How the student will access assessments MUSER VI.2.L(2)(c)	IEP 6, WN	
ADM3	Team must meet every 90 calendar days to review progress and amend IEP as necessary MUSER VI.2.L(2)(d)	AWN, IEP, WN	
ADM4	IEP Team reconvenes when student is medically able to increase school day MUSER VI.2.L(2)(d)	AWN, WN	



Here is a link to our recorded training on Abbreviated Day















Notes about Timelines

Self-Assessments for **November-December** visits are due: **October 1, 2025**

Self-Assessments for <u>April-May</u> visits are due: March 1, 2026

Links for the self-assessments were provided in the June email All other items will be reviewed when we come onsite



Notes about Timelines

- Pre-Findings will be issued the Friday after your site visit. You have
 30 days to submit evidence of correction of Pre-Findings.
- Pre-Findings are areas that are not an indication of systemic noncompliance.
- ➢Correction of Pre-Findings can result in 100% compliance in that area and, therefore, keep it off your CAP.
- ≻Correction of Pre-Findings is OPTIONAL.
- ➢You will also have 30 days to correct any Abbreviated Day findings. This is <u>not optional</u> and will result in a systemic CAP finding.



Notes about Timelines

For visits that take place November-December

- CAP will be **issued** January 31, 2026
- CAP will be <u>due November 30, 2026</u>

For visits that take place April-May

- CAP will be **issued** June 30, 2026
- CAP will be <u>due</u> April 30, 2027



CAP Evidence Submission

<u>Child Specific</u> – Correction to non-compliance from children's files found during the file review. These are included on the "Child Specific" document. Federal regulations require correction of <u>each</u> instance of noncompliance.

<u>Systemic</u> – Evidence of systemic change from children's files <u>not included</u> in the file review. The number of systemic submissions is based on child count and percentage of compliance.



CAP Evidence Submission

Evidence can be submitted to:

monitoring.doe@maine.gov

<u>OR</u>

Department of Education Attention: Julie Pelletier 23 State House Station Augusta, ME 04333-0023

*if submitting by mail please **DO NOT** staple



Let's look at the Self-Assessment Microsoft Form











Resources

Procedural Manual



Special Education Required Forms Procedural Manual



Updated 8/1/2020

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The IEP Committee: Patricia Block, RSU #12 Mary Adley, Maine DOE Laurie Lemieux, Winthrop School Dept. Roberta Lucas, Maine DOE Krisi Michaud, CDS Shelby Thibodeau, Augusta School Dept. Dan Hendal, Maine DOE Ryam Meserve, RSU #38 Riley Donovan, RSU #64



Resources

Maine Unified Special E



05-071 Chapter 101

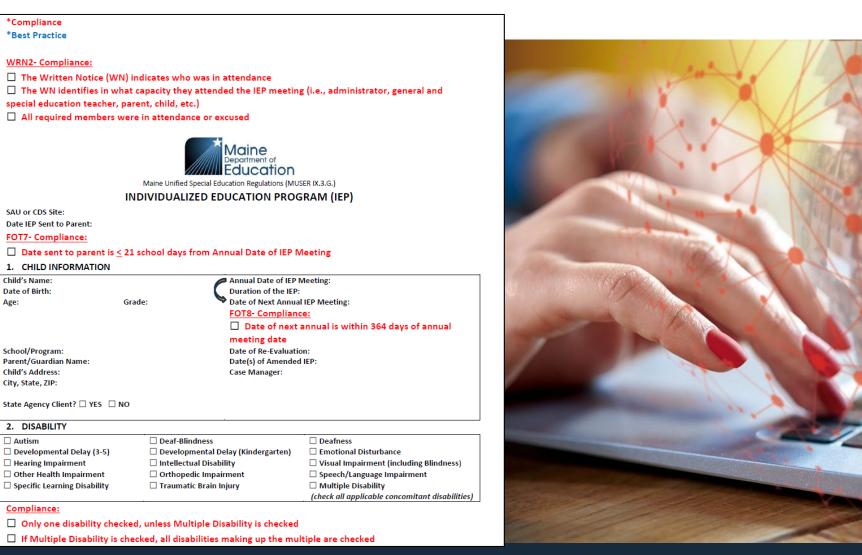
Maine Unified Special Education Regulation Birth to Age Twenty-Two

Effective Date: July 26, 2024



Resources

2025-26 Cohort IEP Quick Reference Document







Professional Development Calendar

Link for Recordings and Power Points





Special Education Resources

Special Education Laws and Regulations





Resources for Families & Schools-Forms

2025-26 Professional Development Schedule

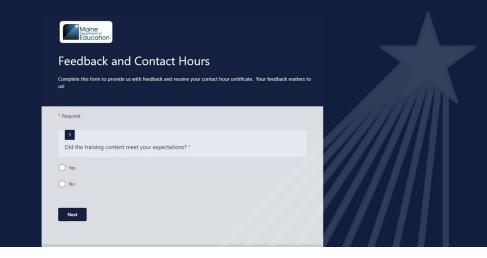
<u>DATE</u>	TOPIC/DESCRIPTION	REGISTRATION LINK
Wednesday 9/10/25 3:00-4:00	Resources	Resources Registration Link
Wednesday 9/24/25 3:00-4:00	Compliant versus Good IEPs Developing a Strong IEP Part 1	Compliant vs Good IEPs Part 1 Registration Link
Wednesday 10/8/25 3:00-4:00	Compliant versus Good IEPs Developing a Strong IEP Part 2	Compliant vs Good IEPs Part 2 Registration Link
Wednesday 10/15/25 9:00-11:00	IEP Training	IEP Training Registration Link
Wednesday 10/22/25 3:00-4:00	Stress, Behavior and the Brain Presented by: Bear Shea	Stress, Behavior and the Brain Registration Link
Thursday 10/30/25 9:00-10:30	Post-Secondary Transition Planning	Post-Secondary Transition Planning
Wednesday 11/12/25 3:00-4:00	Inclusion Presented by: Tracy Whitlock	Inclusion Registration Link
Wednesday 12/10/25 3:00-4:00	Part C to Part B Transition	Part C to Part B Transition Registration Link

on

2025-26 Professional Development Schedule (cont.)

DATE	TOPIC/DESCRIPTION	REGISTRATION LINK
Wednesday 1/14/26 3:00-4:00	Alternate Assessments Guest Speaker: Mechelle Ganglefinger	Alternate Assessment Registration Link
Wednesday 1/28/26 3:00-4:00	ILAP and LAU Plan 101 Presented By: The Office of Teaching and Learning	ILAP and LAU Plan 101 Registration Link
Wednesday 2/11/26 3:00-4:00	McKenney Vento 101 Presented By: Signe Lynch	McKenney Vento 101 Registration Link
Wednesday 2/25/26 3:00-4:00	Data Collection	Data Collection Registration Link
Wednesday 3/11/26 3:00-4:00	Transition from CDS to Public School Guest Speaker: Lori Whittemore	Transition from CDS to Public School
Wednesday 3/25/26 3:00-4:00	Abbreviated Day	Abbreviated Day Registration Link
Wednesday 4/8/26 3:00-4:00	Special Education Law for General Education Teachers Guest Speaker: Leigh Lardieri	Special Education Law for General Education Teachers Registration Link
Wednesday 5/13/26 3:00-4:00	IEP Case Study	Case Study Registration Link
Wednesday 5/27/26 3:00-4:00	Discipline & Manifestation Determination	Discipline and Manifestation Determination Registration Link

Professional Learning Feedback and Contact Hour Form.

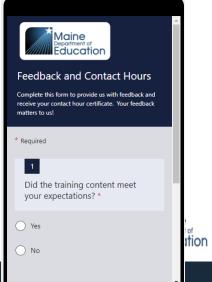


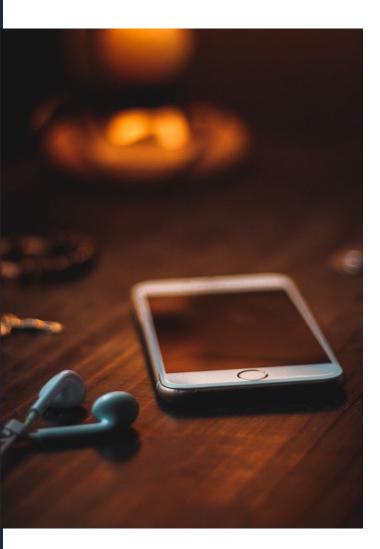
Use the link to complete the form on your computer <u>OR</u> Use the QR code to complete the form on your mobile device

https://forms.office.com/g/by472QQLDJ











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- www.maine.gov/doe
 - @MaineDOEComm
- @mainedepted
 - @mdoenews
 - @MaineDepartmentofEducation1



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thank

