ESEA Federal Programs Formal Monitoring

Low - Level of Support	Medium - Level Support	High - Level Support
This level of monitoring oversight includes standard procedures that are required of all LEAs operating ESEA programs and evidenced by submissions of required documents through the online grant management system, Grants4ME, and regular contact and support from the district's regional program manager throughout the course of the year.	This level of monitoring oversight includes all of the requirements outlined in Low-Level Monitoring with some additional submission of required documentation during the three submission windows (Fall, Winter, Spring) throughout the year, in Grants4ME.	This level of monitoring oversight includes all of the requirements outlined in Low-Level and Medium-Level Monitoring, the additional submission of required documentation in Grants4ME, and the potential for an on-site review as deemed necessary.

Monitoring Level Factors

- Funding - Combined Amount

- Funding - Number of Sources

- Newly Established LEA and/or Schools (including restructuring)

- Turnover - ESEA Coordinator / Superintendent

- Turnover - Business Manager

- Turnover - Superintendent

- Schools Identified under Maine's Model of School Support

- FY23 Application - Submitted Late (after 8/1)

- FY23 Application - Substantial Approval Date (after 9/1)

- FY23 Application - Number of times "Returned for Additional Edits"

- FY23 Application - Final Approval Date (after 11/1)

- FY22 Performance Report - Number of Times "Returned for Additional Edits"

- FY22 Performance Report - Final Approval Date (after 2/1)

- Invoices - Minimum of Quarterly Submissions for Each Title in FY23

- Invoices - At least One Invoice for Each Title in FY23

- Invoices - Returned Funds for Improper Use

- Excess Carryover Funds

- FY23 Risk Assessment Rating
- One or more audit finding(s) in last single audit regarding significant internal control deficiency