

20-A M.R.S. § 4502(6) and Maine Department of Education Rule Chapter 125.12.05 state that, to maintain continued approval status for the school administrative unit and all schools within it, the superintendent must submit timely completed annual school reports to the Commissioner, including the annual approval report for the unit and for each school in the unit certifying progress on the Comprehensive Education Plan.

Below is a comprehensive list, with citations for each school approval requirement, as reflected in the certification and approval process implemented through the State’s NEO information system on an annual basis.

The requirement details and references can be reviewed by using the following links and navigating to the specific location cited:

20-AM.R.S. Title 20-A, Education Rule Chapter for the Maine DOE ESSA <http://www.mainelegislature.org/legis/statutes/20-A/title20-Ach0sec0.html>
<https://www1.maine.gov/sos/cec/rules/05/chaps05.htm>
<https://congress.gov/114/plaws/publ95/PLAW-114publ95.pdf>

Category	Statutory Requirement	Citation
Scope	SAU Represents and Reflects the SAU and Each School Equally in the School Approval Profile, which Addresses All Plans Required by the Commissioner.	20-A M.R.S. §§ 4501, 4502; Maine Department of Education Rule Chapter 125.4.02
Public School Curriculum, Assessment, Instruction and Support, ESSA-related	Comprehensive Needs Assessment and Team	Maine Department of Education Rule Chapter 125.4.02; ESEA § 1111(d)(1)(B), ESEA § 1114(b)(6), ESEA § 1112(a)(1)(A)
	Educational Philosophy / Mission	Maine Department of Education Rule Chapter 125.4.02.E.1
	Personnel Plan, Including Hiring, Training, and Development	20-A M.R.S. § 4502(5)(B), (H) and (L-1); Maine Department of Education Rule Chapter 125.4.02.E.3; 125.8; ESEA § 1112(b)(2)
	Teacher Evaluation Plan	20-A M.R.S. Chapter 508; Maine Department of Education Rule Chapter

	180; Maine Department of Education Rule Chapter 125.4.02.E.3.c;
Reintegration Planning Policy and Training	20-A M.R.S. § 4502(B), § 254(12), § 1055(12); Maine Department of Education Rule Chapter 125.10.07
English As Basic Language	20-A M.R.S. § 4701
Gifted / Talented Programming	20-A M.R.S. § 8101-A; Maine Department of Education Rule Chapter 127.3.03.B; ESEA § 1112(b)(13)
Curriculum Alignment with Learning Results	20-A M.R.S. § 1001(6); Maine Department of Education Rule Chapter 125.4.01, 125.5.01; Maine Department of Education Rule Chapter 131; Maine Department of Education Rule Chapter 127; ESEA § 2102(b)(2)(A)
Student Code of Conduct, Including Policies and Procedures to Address Bullying	20-A M.R.S. §§ 254(11-A), 6554(5), 1001(15); Maine Department of Education Rule Chapter 125.10.05;
School Disciplinary Policies	20-A M.R.S. § 1001(15-A); Maine Department of Education Rule Chapter 125.10.05
Reporting Child Abuse and Neglect, And the Education of Homeless Students and Youth	17 M.R.S. § 4011-A; 20-A M.R.S. § 5205(7);
Provision of Family Outreach and Support Programs Designed to Improve Parent Engagement and Parent-School Relations Consistent with Section 4252(8).	20-A M.R.S. § 4502(5)(P); ESEA § 1112(b)(7), § 1116(a)(2), § 3116(b)(3)
Section 504 and Special Education Programming	20-A M.R.S. Chapter 303; Maine Department of Education Rule Chapter 101; IDEA
Response to Intervention	Maine Department of Education Rule Chapter 101 Section III; ESEA § 1112(b)(1)(A) and § 1112(b)(1)(B)
CTE Programming	20-A M.R.S. §§ 4703, 4722(2-A), 4722(3), 4725, and Chapter 313; ESEA § 1112(b)(12)(A), § 1423(9), § 1112(a)(1)(B)

	Lau Plan	Title VI of the Civil Rights Act of 1964; Equal Education Opportunities Act of 1974; Maine Civil Rights Act;
	Promotion, Retention, Acceleration and Graduation of Students	20-A M.R.S. § 4722, § 6209
	Student Assessment / Curriculum Dev and Review Plan	20-A M.R.S. § 4502(5)(G); Maine Department of Education Rule Chapter 125.4.02.E.2.d
	Comprehensive School Counseling Program	20-A M.R.S. § 4502(5)(H); Maine Department of Education Rule Chapter 125.9.02;
	Student Dropout Prevention Plan	20-A M.R.S. § 5103; Maine Department of Education Rule Chapter 125.4.02.E.2.b
	Restraint and Seclusion Policy	Maine Department of Education Rule Chapter 125.10.04; ESEA § 1112(b)(11)
Nondiscrimination	Nondiscrimination / Equal Opportunity and Affirmative Action Plan	20-A M.R.S. § 4502(4-A); 20-A M.R.S. § 1001(13)
Fiscal Management	Accurate Account and Report of School Finances	20-A M.R.S. § 1055(1),(3); Maine Department of Education Rule Chapter 125.12.04
	Policy for The Disposal of School Property	20-A M.R.S. § 7
	Targeted funds used in direct support of learning for economically disadvantaged students	20-A M.R.S. § 15675(2)(B)
Non-Instructional Support	Plan for Maintenance and Capital Improvements of School Facilities	20-A M.R.S. § 4001; § 4502(5)(C); Maine Department of Education Rule Chapter 125.4.02.E.6
	Facilities Safe, Sanitary, Healthful; Ventilation; Code Compliance	20-A M.R.S. §§ 6302, 6501; Maine Department of Education Rule Chapter 125.11.03
	Chemical Hazard Communication Plan	29 CFR 1910.1200
	Emergency Management Plan Approved by School Board	20-A M.R.S. § 1001(16); 1 M.R.S. § 402(3)(L); Maine Department of Education Rule Chapter 125.10.02

	Lockdown Drills and Emergency Evacuation Drills	Maine Department of Education Rule Chapter 125.10.02.A
	Bomb Threat Protocol and Reporting	20-A M.R.S. §§ 263(1), 1001(17) and (18); Maine Department of Education Rule Chapter 125.10.06
	Pest Management Plan	Maine Department of Agriculture Board of Pesticide Control Rule Chapter 27
	Transportation of Public School Students, Including CTE	20-A M.R.S. Chapter 215, Chapter 301, and § 8611; Maine Department of Education Rule Chapter 81; Maine Department of Education Rule Chapter 125.10.08
	Nutrition Program	20-A M.R.S. Chapter 223, Subchapter 7; Maine Department of Health and Human Services Rule Chapter 200; Maine Department of Agriculture Rule Chapter 331; Maine Department of Education Rule Chapter 125.10.09
Personnel Safety, Credentials, Ratios, and Records	Personnel Safety Training and Bloodborne Pathogens Plan	20-A M.R.S. § 1001(11-A); Maine Department of Education Rule Chapter 125.10.03;
	Personnel Clearance	20-A M.R.S. §§ 6103, 13024
	Personnel, Appropriately Certified Professionals	20-A M.R.S. §§ 13019-A, B, C and 13015; Maine Department of Education Rule Chapter 115; Maine Department of Education Rule Chapter 125.8
	Staffing Ratios	20-A M.R.S. § 4502(5)(B); Maine Department of Education Rule Chapter 125.7.02
	Personnel Directory and Confidential Records	20-A M.R.S. § 6101; Maine Department of Education Rule Chapter 125.12.03
Instructional Resource Policies	Use of Time and School Organization Plan, Including Calendar Alignment With CTE	20-A M.R.S. §§ 4502(5)(A), (E), (F), and 4801(2-A); Maine Department of Education Rule Chapter 125.4.02.E.2.c, 125.7.01, 125.7.03

	Homeschool and Equivalent Instruction Student Access to Public Resources Policy	20-A M.R.S. § 5024
	Library-Media Resources and Instructional Materials and Equipment Replacement Plan	20-A M.R.S. § 4502(5)(D); Maine Department of Education Rule Chapter 125.4.02.E.4; 125.9.01; 125.9.03
	Technology Use Plan	Maine Department of Education Rule Chapter 125.4.02.E.5; 125.9.03.C
Student Policies / Support	Youth Mental Health First Aid Training Program for Health Educators in Secondary Schools	20-A M.R.S. § 6671
	Tracking System to Support Compliance for Suicide Awareness and Prevention	20-A M.R.S. § 4502(5-B)
	Child Sexual Abuse Prevention Education and Response For PK-8	20-A M.R.S. §§ 254(18), 4502(5-C)
	Board-Appointed School Physician and Nursing and Medical Services	20-A M.R.S. Chapter 223, Subchapter 3; Maine Department of Education Rule Chapter 125.8.07
	Policy for The Management of Concussive and Other Head Injuries	20-A M.R.S. §§ 254(17), 1001(19)
	Immunization Requirements Compliance for School Children, Communicable Disease	20-A M.R.S. § 1001(11,11-A); Chapter 223, Subchapter 2; Maine Department of Education Rule Chapter 125.10.01.A.1
	Screening for Kindergarten	20-A M.R.S. § 4801(1)(D); Maine Department of Education Rule Chapter 125.6.03.B
	Screening for Vision and Hearing Deficiencies	20-A M.R.S. Chapter 223, Subchapter 4; Maine Department of Education Rule Chapter 45; Maine Department of Education Rule Chapter 125.10.01.A.2
	Student Medication Administration Policy	20-A M.R.S. § 254(5); Maine Department of Education Rule Chapter 40; Maine Department of Education Rule Chapter 125.10.01.C
	Protocol for The Management of Life Threatening Allergies	20-A M.R.S. § 6305
Superintendent Roster / Records	Superintendent Roster	Maine Department of Education Rule Chapter 125.12.02

	Student Records Collection, Storage, Access and FERPA Requirements	20-A M.R.S. § 6001 and Chapter 13; Maine Department of Education Rule Chapter 125.12.01
	Student Records Transfer	20-A M.R.S. § 6001-B; Maine Department of Education Rule Chapter 125.12.01
	Student High School Records Retention	20-A M.R.S. Chapter 221; Maine Department of Education Rule Chapter 125.12.01