



Title I Excess Carryover & Ed-Flex Waiver:

How to Retain over 15% of FY26 Title I funding

**Presented by:
Rita Pello**

Information about this Training



Please put your questions in the chat and we can respond to them after the presentation.



There will be a recording & slides available on the ESEA Guidance Page; shared via ESEA Monthly Updates



SAUs and LEAs will be both be used and both refer to school districts



Please reach out to your ESEA Regional Program Manager with questions about the FY26 ESEA Performance Report and FY26 Title I Excess Carryover Waiver

What is Title I Excess Carryover?

Title I funds should be spent the year they are awarded; these are funds for the high poverty schools and current student needs. For FY26 Title I funding, these are expenditures through **9/30/26**.

Statute, however, provides three exceptions:

1. If an SAU has a Title I allocation of at least \$50,000, after transfers, they may
 - a. carryover **up to 15%** of that allocation each year.
 - b. carryover **greater than 15% once every 3 years** with a requested waiver
2. If an SAU has a **Title I allocation less than \$50,000**, after transfers, no excess carryover rules apply.

Title I Carryover Waiver or Ed-Flex?

Do you know
which one your
SAU has to
apply for?

Title I Excess Carryover Waiver

Requesting to retain more than 15% of Title I funding for the **first time** in three fiscal years.

The SAU has NOT requested a waiver in FY24 or F25.

Title I Excess Carryover Ed-Flex

Requesting to retain more than 15% of Title I funding for a **second or third time** in the last three consecutive years.

The SAU has requested a waiver in FY24 and/or FY25.

Additional Step: Will report on **student outcomes** related to excess carryover (**at grant closeout**)

Requesting a Waiver

To request an excess carryover waiver, the SAU will have to:

- Solicit Public Comment
- Report FY26 expenditures through 9/30/26
- Complete the “Title I, Part A Waiver” page in the FY26 ESEA Consolidated Performance Report
- Upload required documentation
- Submit the PR by **November 2**

Reviewing a Waiver

The Maine DOE will review the “Title I, Part A Waiver” page and look for:

- Reported expenditures through 9/30/26
- Explanations provide reason for excess carryover and planned use of funds in a clear, detailed way
- Public Comment documentation meets requirement
- Appropriate waiver requested
- **Timely submission**

Excess Carryover, FY26 ESEA PR

TITLE I EXCESS CARRYOVER WAIVER

If an LEA has a Title IA allocation of at least \$50,000, after transfers, they may carryover up to 15% of that allocation each year. If the LEA has a carryover amount greater than 15%, they may elect, once every three years, a waiver to carryover greater than 15%. If the LEA is eligible and wishes to apply for the waiver, please indicate so below with an explanation for why the waiver should be granted. If the waiver is not request or granted, any Title IA funding above the 15% threshold will be released from the LEA.

Title I, Part A Adjusted Allocation	Title I, Part A Project Expenditures	Amount Remaining	Percent Remaining	Waiver Percentage	Amount of Excess Carryover	Grantee wishes to utilize waiver to keep allocation in excess of limitation
\$ 397,923.97	\$ 316,726.93	\$ 81,197.04	20.41 %	15%	\$ 21,508.44	* Yes

“Title I, Part A Waiver” page

- Reported expenditures will populate from expenditure pages – SAU will determine if they are requesting the waiver.
 - If waiver is required because there is more than 15% in Title I remaining, please select “Yes”
 - Complete the rest of the page
 - If no waiver is required because less than 15% remains in Title I, please select “No”
 - No other actions will be required.
- The SAU can also elect “NO”. Anything over 15% in Title I will be returned.

Excess Carryover, FY26 ESEA PR

☒ **The Local Education Agency (LEA) is requesting a Title I Carryover Waiver as part of this application**

* 1. Why is the LEA requesting a waiver to retain funds in excess of the waiver threshold? What plan has been established to spend down these funds prior to grant closeout?

Answer both parts of the question – Why is the LEA/SAU unable to spend the funding they are awarded for the FY? What is the plan to spend them down prior to close-out? Reminder: SAUs with Rank and Distribution rules must continue to follow them.

Check Spelling

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* 2. How will the excess carryover funds help the LEA's most at-risk students?

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How does the plan to use these excess funds meet the intent and purpose of Title I? Important that Title I funding continues to address most at-risk students at Title I schools in carryover period.

Words: 0 Characters: 0

* 3. Please provide details on how the LEA facilitated public comment on the use of excess carryover funds and addressed any public comments received.

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Be sure to describe the advanced notice that went out to the community, the instructions and deadline that was provided, and whether public comments were received.

Words: 0 Characters: 0

4. In order for a waiver request to be considered for approval, an LEA must provide all of the following documentation by uploading each document separately within the "Docu

Evidence of Public Comment

4. In order for a waiver request to be considered for approval, an LEA must provide all of the following documentation by uploading each document separately within the "Documents" table below:

- a. Waiver Public Comment - Evidence of Process: Provide supporting documentation as evidence of the LEA having completed the required Title I Carryover public comment process. Supporting documentation may include community outreach publications, school board meeting minutes, or other correspondence that informed the local community of the LEA's intent to apply for a Title I Carryover waiver and their rights to provide comment on such decision.
- b. Waiver Public Comment - Public Comment Response List or Summary: Provide a full list or a detailed summary of actual comments that the LEA received in response to its intent to apply for a Title I Carryover waiver.

Documents		
Type	Document Template	Document/Link
Title IA Waiver - Evidence of Process	N/A	Upload New
Title IA Waiver - Public Comment Response List or Summary	N/A	Upload New

Public Comment Process and Evidence

- SAU provides reason for excess carryover and planned use of funds
- SAU requests feedback on planned use of funds
 - Allow some time for parents and community members to make comments
 - SAU provides point-person for who will receive and compile feedback
- SAU compiles feedback and if applicable, adjusts planned use of excess funds

Examples of Acceptable Public Comment Evidence:

- School Board Meeting Minutes
- Notice or memo that goes out to community **and** is posted publicly (website)
- Town hall posting/community message board

Meets criteria:

- There was an announcement/outreach efforts to the public about the SAU's excess funds and plan to spend down remaining funds
- There was an opportunity for feedback from the public

Ed-Flex; FY26 ESEA Performance Report

☐ The LEA has received a Title I Excess Carryover Waiver in either of the previous two fiscal years.

EDUCATION FLEXIBILITY (ED-FLEX) PROGRAM

Authorized under the Education Flexibility Partnership Act of 1999, as amended by the Every Student Succeeds Act (ESSA) of 2015, the Education Flexibility (Ed-Flex) Program allows the federal government to grant states the authority to provide waivers to certain requirements of the Elementary and Secondary Education Act (ESEA). Such waivers may only be provided to individual LEAs and are subject to each LEAs (1) completion of the required analysis and public comment process above, (2) completion of the Performance Report on time and (3) completion of outcome reporting during closeout period. The Maine DOE must also review and approve the content above in each LEA's request before such waiver can be implemented locally.

Please note the Title I Excess Carryover Waiver granted through the Ed-Flex Program only lasts for one fiscal year.

☐ The LEA is requesting an Ed-Flex Program Waiver to be able to carryover more than 15% of Title I, Part A funding more than once every three years (Section 1127(b)).

For SAUs requesting to carryover more than 15% per the Ed-Flex waiver, please note that **outcome reporting will be required during** close-out period.

- During close-out of FY26 grant, SAUs who received an **Ed-Flex** waiver will report on outcomes of goals on the “Title I Part A Waiver” page.
- RPMs will review as part of close-out process to ensure SAUs complete outcome reporting.

**Do You Know whether your SAU is
requesting an excess carryover waiver for
the first time or Ed-Flex?**

What additional questions do you have?

Thank You!



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