

OFERP Office Hours 4/7/2022

Thursday, April 7, 2022 - 9:00am
Office of Federal Emergency Relief Programs (OFERP)

OFERP Introductions

- Shelly Chasse-Johndro, Director
- Monique Sullivan, ARP Coordinator
- Karen Kusiak, CARES & CRRSA Coordinator
- Kevin Harrington, GEER/EANS Coordinator
- Maisha Asha, Fiscal Coordinator
- Robert Palmer, Procurement Analyst

Today's Topics

1. Common Reasons PRs are reopened
2. ARP Use of Funds Reminder
3. ESSER Funding
4. Program and Fiscal Requirements
5. Cost Principles
6. Fraud, Waste and Abuse
7. Fiscal Matters, May 5th Office Hour

Common Reasons for Reopening

Confirmation of Review and Revision not reflected on the Plan

2. Provide the URL of the publicly available *Plan for Safe Return to In-Person Instruction and Continuity of Services*:

www.pinersu.org/plansavereturn.org

3. Has the *Plan for Safe Return to In-Person Instruction and Continuity of Services* been reviewed and revised, if applicable, in the last six months?:

Reviewed in the last six months Yes No

Revised in the last six months Yes No

Updated 11/04/2021

School District Reopening Plan
2021-2022 School Year

Approved by the Board of Trustees August 16, 2021

Common Reasons for Reopening

Lack of information in the "Activities Conducted" Area

	1000-2000	3000-5000	6000	7300	8000	Total
	Salaries & Benefits	Purchased Services	Supplies	Equipment	Other	Amount
COVID-19 Student Supports Budget	\$0.00	\$0.00	\$3,443.17	\$38,280.00	\$0.00	\$41,723.17
COVID-19 Student Supports Invoiced Expenditures	\$0.00	\$0.00	\$3,443.17	\$38,253.43	\$0.00	\$41,696.60
COVID-19 Student Supports Activities Description						
Supplies will be purchased to ensure student safety for re-entry to the classroom, including masks and plexiglass dividers for tables where students will be sitting. In addition, in order to provide equity of programs we will purchase additional devices for students in PK-6 in preparation for any instance of remote learning.						
COVID-19 Student Supports Activities Conducted						
COVID-19 Staff Support Budget	\$0.00	\$0.00	\$4,671.50	\$0.00	\$0.00	\$4,671.50
COVID-19 Staff Supports Invoiced Expenditures	\$0.00	\$0.00	\$4,698.07	\$0.00	\$0.00	\$4,698.07
COVID-19 Staff Supports Activities Description						
Masks and face shields will be purchased for staff, based on recommendations of MDOE and CDC to be used in learning spaces where social distancing can not be guaranteed or met.						
COVID-19 Staff Supports Activities Conducted						

Part Vb: ARP Expenditures

Report all project expenditures for the period of performance of July 1, 2020 to June 30, 2021.

This section is used to request a continuance of previously approved projects with the remaining funds. Existing projects are listed below with the remaining funds by category calculated from the original approved budget minus the expenditures listed.

- The SAU will utilize the following evidence-based interventions to address the loss of instructional time:

High-quality tutoring

- Describe the following for the intervention selected:

- Which grades will participate (*check all that apply*)

- 9th grade
- 10th grade
- 11th grade
- 12th grade

- Which student subgroup(s) are targeted and will participate (*check all that apply*)

- Students experiencing homelessness
- Students from low-income families

- Provide a brief project description including details and timeline:

yt yit iy

- Describe the formative assessment plan to evaluate student progress and impact for the intervention selected:

t yityi tyi

- List products and/or services to be procured and estimated cost as a result of this project:

yt yuy

- Please describe activities, assessments and services provided before July 1, 2021

na

	Salaries & Benefits	Purchased Services	Supplies	Equipment	Total
Project Budget	\$1,500.00	\$500.00	\$400.00	\$3,000.00	\$5,400.00
Project Invoiced Expenditures	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Common Reasons for Reopening

Misalignment from the self-reported expenses and the Federal Grant Reimbursement System

[VIEW PERFORMANCE REPORT / APPROVAL](#)

[Use this link to accept this performance report.](#)

[CARES INVOICING REPORT](#)

[CRRSA INVOICING REPORT](#)

[ARP INVOICING REPORT](#)

Performance Report (self-reported)

	1000-2000	3000-5000	6000	7300	8000	
	Salaries & Benefits	Purchased Services	Supplies	Equipment	Other	Total Amount
COVID-19 Student Supports Budget	\$211,000.00	\$5,000.00	\$150,000.00	\$29,000.00	\$0.00	\$395,000.00
COVID-19 Student Supports Invoiced Expenditures	\$17,033.24	\$0.00	\$81,178.42	\$547.43	\$0.00	\$98,759.09

Invoicing Reports

	1000-2000	3000-5000	6000	7300	8000	
	Salaries & Benefits	Purchased Services	Supplies	Equipment	Other	Total Amount
COVID-19 Student Supports	\$211,000.00	\$5,000.00	\$150,000.00	\$29,000.00	\$0.00	\$395,000.00
Previous Invoice Amount	\$0.00	\$0.00	\$12,443.08	\$18,487.29	\$0.00	\$30,930.37
Amount Invoiced 10/1/20 - 6/30/21	\$0.00	\$0.00	\$77,496.27	\$547.43	\$0.00	\$78,043.70

ARP Use of Funds Plan-Reminder

Note

GEM's ARP ESSER III application need to match the application found on the district's webpage link (URL) provided in the ARP ESSER III application throughout the entire grant period of allowability.

Example:

Pine RSU reopened their approved ARP ESSER III application for revisions. When they resubmitted their application, they added two new projects and adjusted budgets for previously approved projects. All changes, updates, and revisions need to be reflected in the publicly available Use of Funds Plan located on the Pine RSU's webpage (which is provided as an URL in the ARP ESSER III application).

ESSER Funding

Federal Emergency Relief Funding

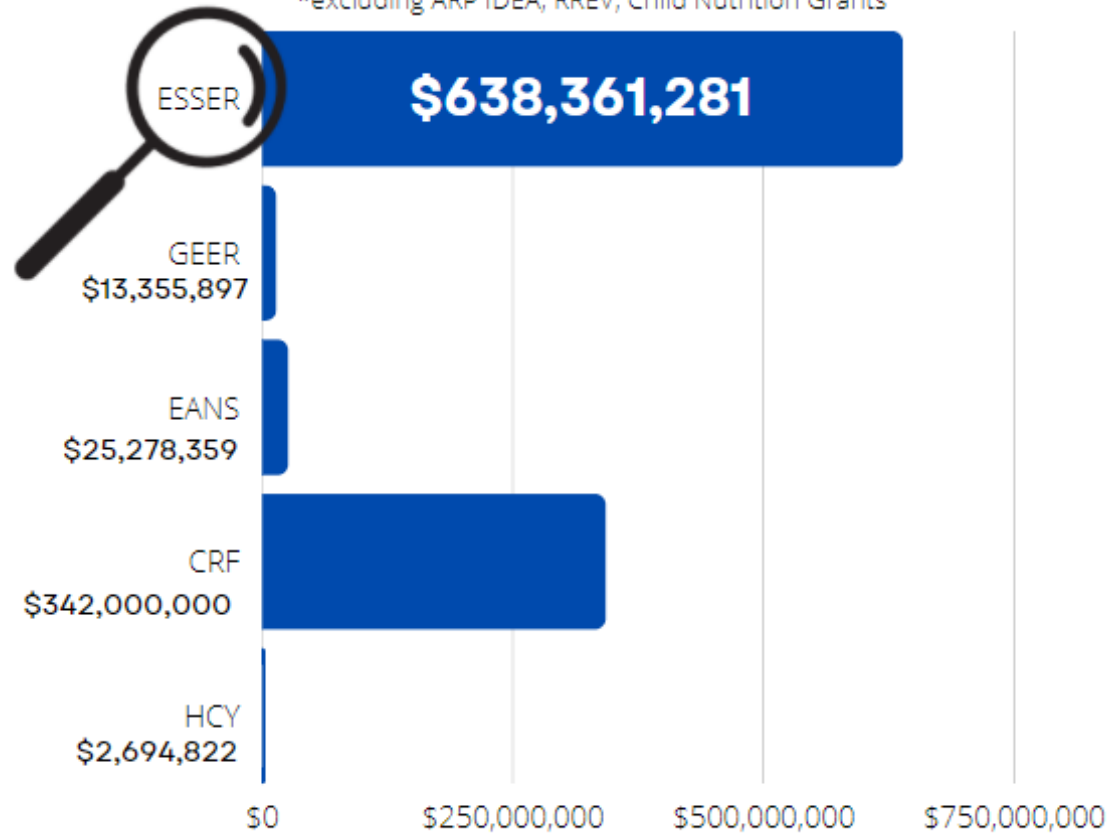
\$1,021,690,359

**excluding ARP IDEA, RREV, Child Nutrition Grants*

CARES: *March 27, 2020*
Coronavirus Aid, Relief,
and Economic Security
Act

CRRSA: *December 27, 2020*
Coronavirus Response
and Relief
Supplemental
Appropriations Act

ARP: *March 11, 2021*
American Rescue Plan
Act



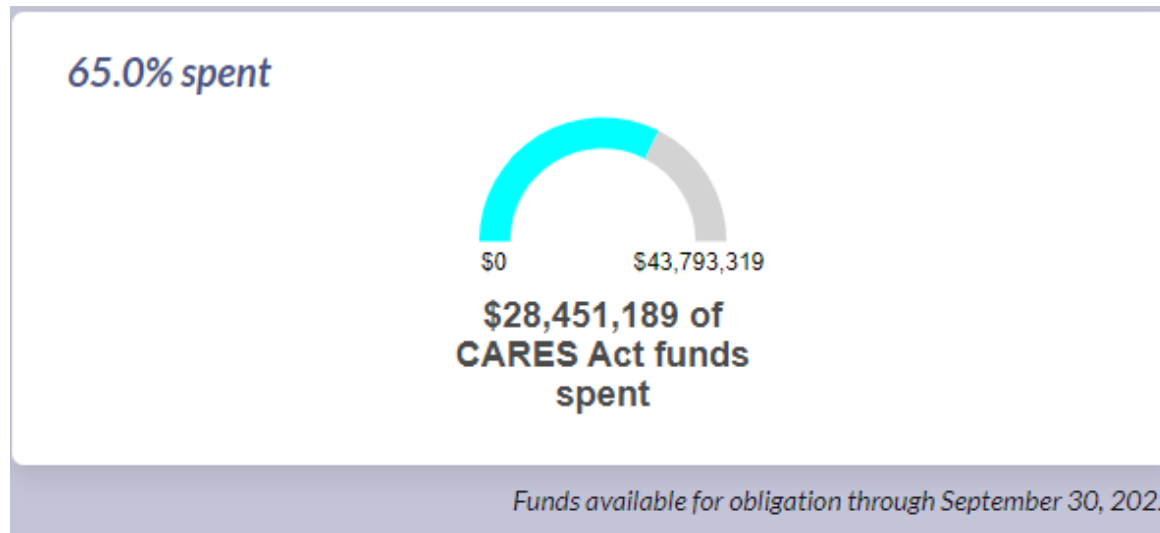
ESSER Invoice Timeline

	Expenses Occur and Goods Received by:	Invoice the Maine Department of Education by:
CARES ESSER I	September 30, 2022	October 15, 2022
CRRSA ESSER II	September 30, 2023	October 15, 2023
ARP ESSER III	September 30, 2024	October 15, 2024

CARES Close Out Terms

CARES ESSER funds
are available for obligation by SAUs through
September 30, 2022,
which includes the Tydings period

Any funds not invoiced by
10/15/2022 will no longer be accessible.



ESSER Funds

High Risk Funds

- **Unprecedented amount** of federal emergency relief funding
 - ESSER allocations are proportionate to Title I Allocations

Highest	Average	Lowest
\$31,873,646	\$2,982,923	\$9,705

- Separate Acts with **similar allowable uses**
 - Providing a level of flexibility to meet the identified needs
- **No supplemental vs supplant** provision
 - Maintenance of Effort and Maintenance of Equity
- **Short Period of Allowability**
- **Vulnerable** to fraud, waste, abuse, and mismanagement, or need transformation

Program and Fiscal Requirements

- Ensure costs are necessary, reasonable, and **prepare, prevent and respond to COVID-19**
- **Demonstrate costs are consistent** with the purpose of the Education Stabilization Fund (ESF)
- Must **maintain time distribution records** for all employees including when an individual employee is splitting their time between activities that are allowable and unallowable under all ESF programs.
- **Wage rate requirements**
- **Equitable Services** - (1) timely consultation; (2) the planned services were provided, and (3) the required amount was used for private school children

Cost Principles

- Determine whether the organization complied with the provisions of 2 CFR Part 200 as follows: Direct charges to federal awards were for allowable costs.
 - **Costs did not consist of improper payments**, including
 - (1) payments that should not have been made or that were made in incorrect amounts (including overpayments and underpayments);
 - (2) payments that do not account for credit for applicable discounts;
 - (3) duplicate payments;
 - (4) payments that were made to an ineligible party or for an ineligible good or service; and
 - (5) payments for goods or services not received (except where authorized by law).
 - **Costs were necessary and reasonable** for the performance of the federal award and allocable under the principles of 2 CFR Part 200, Subpart E.
 - **Costs were adequately documented.**

Fraud, Waste and Abuse

When the COVID-19 pandemic began, federal agencies acted **swiftly** to establish emergency programs and **deliver** financial relief to the American people.

Many agencies were able to **distribute funds quickly**, but the tradeoff was that they did not have systems in place to **prevent and identify payment errors and fraud**.

Specialized Work: Pandemic Relief and Disaster Recovery Oversight

Pandemic Relief Oversight

Three measures were signed into law providing the Department with more than \$280 billion to assist States, school districts, elementary and secondary schools, and postsecondary institutions in meeting their needs and the needs of their students impacted by the coronavirus pandemic—the Coronavirus Aid, Relief, and Economic Security Act or CARES Act (March 2020); the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (December 2020); and the American Rescue Plan (March 2021). The CARES Act also allowed the Department to provide State educational agencies (SEAs) and local educational agencies (LEA) with waivers of certain statutory or regulatory requirements and included provisions intended to provide Federal student loan borrowers with emergency relief.

In response, the OIG plans to perform a series of audits and quick response work relating to pandemic relief programs, requirements, and flexibilities (read our [pandemic relief oversight plan](#)). This will include reviews of the Department's oversight of multiple grant programs and relief funds, grantee management and use of pandemic relief funds, and the effectiveness of the Department's processes to implement flexibilities in the student financial assistance programs.

<https://www2.ed.gov/about/offices/list/oig/misc/edoigannualplan2022.pdf>

Fraud, Waste and Abuse

"Grantees and government employees **play an important role** in fighting fraud, waste and abuse related to taxpayer funded programs. Offices of Inspectors General exist to **help prevent and investigate** fraud, waste, abuse and misconduct related to government operations. It is in everyone's best interest to ensure government **operates at optimum efficiency and effectiveness and that grant funds are used properly.**"

Fraud, Waste and Abuse

- **Procurement Processes:** Consultants can play an important role in programs; however, their use requires a fair selection process, reasonable pay rates, and specific verifiable work product.
- **Multiple Roles:** Unilaterally redirecting the use of funds in a manner different than outlined in the grant agreement.
- **Robust Invoice Review:** Checks routinely written to employees as “reimbursement” of expenses and the use of ATM / Debit / Gift / Credit Cards must be carefully controlled and require robust oversight.

<https://oig.justice.gov/sites/default/files/2020-02/GrantFraudHandout.pdf>

Mark Your Calendars



Repurposing our
May 5, 2022 at 9:00am
Office Hour

To focus on
Fiscal Matters

Please join us with your
Business Managers

Resources

- [ARP-ESSER Application Instructions](#)
- [Return to School Roadmap](#)
- [ARP Law](#)
- [ARP ESSER Interim Final Rule](#)
- [US ED APR ESSER](#)
- [Use of Funds FAQ](#)
- [Maine's Federal Emergency Relief Programs](#)

Contact Information

Emergency Relief Funds	APR ESSER	CARES and CRRSA ESSER, CRF	GEER and EANS
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Q & A

Please unmute yourself or use the chat to ask questions!

