## \*Compliance

\*Best Practice



## **INDIVIDUALIZED EDUCATION PROGRAM (IEP)**

SAU or CDS Site:		
Date IEP Sent to Parent:		
FOT7- Compliance:		
☐ Date sent to parent is < 2:	L school days from Annual Date of IEP I	/leeting
1. CHILD INFORMATION	•	· ·
Child's Name:	Annual Date of IEP	Meeting:
Date of Birth:	Duration of the IEP	_
Age: Gr	rade: Date of Next Annua	Il IEP Meeting:
	FOT8- Complian	ce:
	· · · · · · · · · · · · · · · · · · ·	annual is within 364 days of annual
	meeting date	•
School/Program:	Date of Re-Evaluati	on:
Parent/Guardian Name: Date of Re-Evaluation:  Date of Re-Evaluation:  Date of Re-Evaluation:		
Child's Address:	Case Manager:	
City, State, ZIP:	_	
State Agency Client? ☐ YES ☐ NO		
2. DISABILITY		
☐ Autism	☐ Deaf-Blindness	☐ Deafness
☐ Developmental Delay (3-5)	$\square$ Developmental Delay (Kindergarten)	☐ Emotional Disturbance
☐ Hearing Impairment	☐ Intellectual Disability	$\square$ Visual Impairment (including Blindness)
☐ Other Health Impairment	☐ Orthopedic Impairment	☐ Speech/Language Impairment
☐ Specific Learning Disability	☐ Traumatic Brain Injury	☐ Multiple Disability
		(check all applicable concomitant disabilities)
Compliance:		
☐ Only one disability checke	ed, unless Multiple Disability is checked	
☐ If Multiple Disability is cho	ecked, all disabilities making up the mu	ltiple are checked

Child's Name:		Date of Birth:
3.	CONSIDERATIONS – INCLUDING SPECIAL FACTORS	
<u>CI</u>	M1- Compliance:	
	Each question is answered	
	If yes, addressed in IEP with goals, services, and/or accommodations	
A.	Concerns of the parents for enhancing the education of their child (MUSER IX.3.C.(1)(b)):	
В.	Does the child exhibit behavior that impedes the child's learning or that of others requiring positive behavioral interventions and supports and other strategies to address the behavior? (MUSER IX.3.C.(2)(a))	ve ☐ YES ☐ NO
C.	Is the child identified as a student who is an English learner? (MUSER IX.3.C.(2)(b))	☐ YES ☐ NO
	i. Does the child have language needs, due to his/her English language proficiency level, wl need to be addressed in the IEP?	nich □ YES □ NO
D.	If the child is blind or visually impaired, does the child require instruction in Braille and the use of Braille? (MUSER IX.3.C.(2)(c))	☐ YES ☐ NO ☐ NA
E.	Does the child have a print disability that requires accessible educational materials (AEM) to access curriculum?	ss the ☐ YES ☐ NO
	i. If yes, what type of accessible educational materials (AEM) does the child require?	
F.	Does the child have communication needs? (MUSER IX.3.C.(2)(d))	☐ YES ☐ NO
	i. Is the child deaf or hard of hearing?	☐ YES ☐ NO
G.	Does the child need assistive technology devices and services? (MUSER IX.3.C.(2)(e))	☐ YES ☐ NO
Н.	Does the child have academic needs?	☐ YES ☐ NO
I.	Does the child have functional/developmental needs?	☐ YES ☐ NO
	ST-SECONDARY TRANSITION	
J.	<ul> <li>Is the child in 9<sup>th</sup> grade or above OR is the child 16 years old or older?</li> <li>If yes, Section 9 should be completed before completing the remainder of the IEP.</li> </ul>	☐ YES ☐ NO
	ACADEMIC AND FUNCTIONAL/DEVELOPMENTAL EVALUATIONS, STRENGTHS, AND	<b>NEEDS</b> (MUSER IX.3.C.(1))
A.	Results of all initial evaluations or most recent evaluations of the child:	
	RAE1- Compliance:  Include evaluations that support the eligibility discussion Include evaluation name All evaluations must be dated	
B.	AFS1- Compliance:  Based on observations	al <b>strengths</b> of the child?
	☐ Include areas of strength and relative strengths	
	■ NOT a restatement of evaluations	

Child's Name: Date of Birth: Based on evaluative information in 4A, what are the child's distinctly measurable and persistent gaps in academic performance, and how do they affect the child's involvement and progress in the general education curriculum? **APG2- Compliance:** ☐ Academic ☐ Distinctly measurable and persistent skill gaps ☐ Best Practice: Documented in a bulleted list ☐ Best Practice: Focus on specific skill deficits e.g., fluency, comprehension, addition/subtraction **APG4- Compliance:** ☐ How the deficit has an adverse impact on the child accessing the general education curriculum ☐ This MUST be included with the academic needs statement **APG6- Compliance:** ☐ Every academic skill gap in Section 4C is aligned to a goal in Section 5 D. Based on evaluative information in 4A, what are the child's distinctly measurable and persistent gaps in functional performance, and how do they affect the child's involvement and progress in the general education curriculum? **FDP2- Compliance:** ☐ Functional and Developmental ☐ Distinctly measurable and persistent skill gaps ☐ Best Practice: Documented in a bulleted list ☐ Best Practice: Focus on specific skill deficits e.g., self-initiation, organization, etc. FDP7- Compliance: ☐ How the deficit has an adverse impact on the child accessing the general education curriculum ☐ This MUST be included with the functional and developmental needs statement FDG1- Compliance: ☐ Every Functional and Developmental skill gap in Section 4D and 4E is aligned to a goal in Section 5 E. If appropriate, what are the developmental needs of the child, and how do they affect the child's involvement and progress in the general education curriculum? **FDP2- Compliance:** ☐ Functional and Developmental ☐ Distinctly measurable and persistent skill gaps ☐ Best Practice: Documented in a bulleted list ☐ Best Practice: Focus on specific skill deficits e.g., self-initiation, organization, etc. ☐ Best Practice: These are different skill gaps from the functional skill gaps listed in 4D **FDP7- Compliance:** ☐ How the deficit has an adverse impact on the child accessing the general education curriculum ☐ This MUST be included with the functional and developmental needs statement FDG1- Compliance: ☐ Every Functional and Developmental skill gap in Section 4D and 4E is aligned to a goal in Section 5

Child's Name: Date of Birth:

## 5. MEASURABLE ANNUAL GOAL(S) (MUSER IX.3.A.(1)(b) & (c))

ACADEMIC PERFORMANCE (Part B, ages 3 - 20) refers to a child's ability to perform age appropriate (comparable to same age/grade	
peers) tasks and demonstrate appropriate skills in <i>reading, writing, listening, speaking,</i> and <i>mathematical problem solving</i> in the school environment.	l
Present Levels of Academic Performance (MUSER IX.3.A.(1)(a)(i) & (ii)):	
☐ Best Practice: Present level is baseline data for the corresponding goal	
☐ Best Practice: Avoid a range of data (60-70%) and subjective words e.g., sometimes, often, seems to,	
etc.	
Measurable Goal (MUSER IX.3.A.(1)(b) & (c)  Progress:	
By <u>date</u> , given <u>service</u> , <u>child's name</u> will <u>skill</u> as measured by evidence.	
SBG3- Compliance:	
☐ Must be measurable	
☐ Must include measurement data	
☐ Cannot be specific curriculum or standard scores	
☐ Best Practice: Focus goal on specific skill deficits e.g., fluency,	
comprehension, addition/subtraction	
☐ Best Practice: Measured using skill specific measurements/assessments,	
data collected through teacher observation, checklist/daily log, running	
records, work samples	
SBG4- Compliance:	
☐ Compliance: Cite standard	
☐ Can be Common Core, Maine Learning Results, Guiding Principles and/or	
district adopted standards	
SBG5- Compliance:	
☐ Every goal needs to be aligned to a service in Section 7	
Objective(s) required? ☐ Yes ☐ No	
By <u>date</u> , given <u>service</u> , <u>child's name</u> will <u>skill</u> as measured by evidence.	
ALT2- Compliance:	
☐ If the child participates in the Alternate Assessment, their academic goals	
MUST have objectives.	
moor nave objectives.	

Child's Name:			Date of	Birth:
	FUNCTIONAL/DEVELOPMENTAL PERFORMANCE: Functional performance refers to how the child is managing daily activities in			
cognitive, communicative, moto	· · · · · · · · · · · · · · · · · · ·	•	·	
is performing developmentally (	comparable to same age/grade	peers) in physical, cognitive	ve, communicative, s	ocial, emotional, and/or
adaptive areas.  Present Levels of Functional/De		ISED IV 2 A /1\/a\/i\ 9. /ii\·		
☐ Best Practice: Present	· · · · · · · · · · · · · · · · · · ·		al.	
				ft tt.
■ Best Practice: Avoid a Measurable Goal (MUSER IX.3.A	range of date (60-70%) an	a subjective words e.	_	iten, seems to, etc.
By <u>date</u> , given <u>service</u> , <u>child's nai</u>		ence	Progress:	
FDP5- Compliance:	THE WIII SKIII as Theasured by Evid	ence.		
☐ Must be measurable				
	iculum or standard scores			
	oal on specific skill deficits	e.g., self-initiation,		
organization, etc.				
☐ Best Practice: Measure	•			
measurements/assessmer	•	teacher observation	,	
checklist/daily log, work sa	amples			
FDP6- Compliance:				
☐ Every goal in Section 5	needs to be aligned to a se	ervice in Section 7		
Objective(s) required? ☐ Yes ☐	] No			
By date, given service, child's na	<u>me</u> will <u>skill</u> as measured by evid	ence.		
C CLIDDLENAENTADY ALDO	CERVICES MADRIESCATION	C AND OD CURRORT	C (NALICED IV 2 A /4	\/_\\ 0
	S, SERVICES, MODIFICATION		, ,	
In addition to ongoing classroom appropriate accommodations th				
			nu functional perior	mance of the child on
State and district-wide and classroom assessments (MUSER IX.3.A.(1)(f)(i)).  A. Supplementary aids, modifications, accommodations, Location Frequency Duration				Duration
services, and/or supports fo	-		. ,	Beginning/End Date
	☐ Classroom Instruction			
	Classroom Assessment			
	☐ District-wide Assessment			
	☐ State Assessment			
	☐ Classroom Instruction			
	☐ Classroom Assessment			
	☐ District-wide Assessment			
	☐ State Assessment			
CACA Compliance	☐ Other			
SAS1- Compliance:		6.11		
☐ Teaching supports/tool				•
☐ MUST fill all boxes acro			odification and/o	or support is listed-
check when/where can be used, fill location, frequency, and duration				
☐ Ed techs, BHPs, related service assistants, collaborations between staff are listed here, NOT the service				
grid				

Child's Name:			Date of Birth:	
B. Alternate Assessments				
If the IEP Team determines that the	child shall take an alternate	e assessment on a	particular State or distr	ict-wide assessment of child
achievement, a statement of why th	e child cannot participate ir	n the regular asses	sment and why the par	ticular alternate assessment
selected is appropriate for the child	(MUSER IX.3.A.(1)(f)(ii)(I) &	(II)).		
$\square$ Yes, the child meets qualifications	s outlined in the Participation	on Decision Flowch	nart.	
<ul> <li>If yes, include an explanation</li> </ul>	n:			
$\square$ No, the child does not meet the q	ualification and will be par	ticipating in regula	r education state and d	istrict-wide assessments.
☐ Not applicable.				
ALT1- Compliance:				
☐ If 'Yes', an explanation M	UST be present			
☐ The IEP team decides, bas	•	n Decision Flow	vchart if the child r	narticinates in the
Alternate Assessments	ica on the rarticipatio	in Decision 1100	venare, ir ene enna p	our ticipates in the
ALT2- Compliance:				
If the child does participa	te, their academic goa	Is MUST have o	objectives	
7. SPECIAL EDUCATION AND	RELATED SERVICES (MI	ISER IX 3 A (1)(d	I) & IX 3 Δ (1)(σ))	
	· · · · · · · · · · · · · · · · · · ·			Duration
Special Education Services	Position Responsible	Location	Frequency	2 4.1 4.1 5.1
Specially Designed Instruction				Beginning and End Date
Speech/Language Services				
Consultation				
Tutorial Instruction				
Extended School Year				
Related Services	Position Responsible	Location	Frequency	Duration
Neiuteu dei tildes	T CONTION NEOPONOMIC	2000000		Beginning and End Date
Speech/Language Services				
Occupational Therapy				
Physical Therapy				
Social Work Services				
Nursing Services				
Transportation				
Other				
SVC2- Compliance:				
☐ Child's needs drive service	es and frequency, not	school schedul	e	
☐ Location can be Special Education, General Education or Both				
Document frequency in parent friendly, understandable terms				
Service time is actual delivery time of SDI provided				
☐ Do NOT include content areas (SS, Science) in Service Grid				
Responsible position is certified staff only (no ed tech or assistants)				
☐ Best Practice: Every service in Section 7 needs to align to a goal in Section 5, including consultation				
SVC4- Compliance:				
☐ All services are found on a	service provider sched	lules		

Child's Name: Date of Birth:

## 8. LEAST RESTRICTIVE ENVIRONMENT

EOD CHILDDEN AGES 3-5	UNI A (CD2)				
FOR CHILDREN AGES 3-5 ONLY (CDS)  What percentage of time is this child with non-disabled children?					
General Education Setting		Special Education Setting	An explanation of the extent, if any, to which the child		
More than or equal to 10 hours	Less than 10 hours	Total # of hours:	will not participate with non-disabled children in the regular class and in extracurricular and other non-academic activities (MUSER IX.3.A.(1)(e)):  LRE1- Compliance:  Include how the nature and severity of the disability affects the student's ability to be with same-age peers		
FOR K-12 ONLY					
What percentage of time is this child with non-disabled children? %  LRE3- Compliance:  Percentage of time with same age peers is recorded	An explanation of the extent, if any, to which the child will not participate with non-disabled children in the regular class and in extracurricular and other non-academic activities (MUSER IX.3.A.(1)(e)):  LRE1- Compliance:  Include how the nature and severity of the disability affects the student's ability to be with same-age peers				

IF THE CHILD IS NOT IN 9<sup>TH</sup> GRADE AND/OR IS AT LEAST 16 YEARS OLD, DO NOT COMPLETE SECTION 9 AND SECTION 10.

Child's Name:	Date of Birth:
TRA1-AWN- Compliance:	
☐ Purpose of the meeting is checked on the AWN (Po	ost-secondary goals and transition services)
TRA2-AWN- Compliance:	
☐ Child is invited to the meeting	
☐ List as participant invited to the meeting	
☐ Best Practice: Including them in the salutation e.g.	., "Dear Parent and Student"
TRA3-IEP Section 9G & Parental Consent to Invite Outs	
☐ If appropriate, list any participating agency that is i	
9G	mirited to the 121 realitimeeting with phot consent in
_	vental Concept to Invite Other Agencies to IFD
Document prior consent or lack of consent with Pa	rental Consent to invite Other Agencies to IEP
Meetings-Postsecondary Goals & Transition Services	
TRA4-WN- Compliance:	
☐ Statement in the Written Notice that the transition	n plan and post-secondary goals are being updated
9. POST-SECONDARY TRANSITION PLAN	
This section must be completed for each IEP, during, but not later than 9 <sup>th</sup> grade or age 16, whichever comes first. This section must be updated annually (MUSER IX.3(A)(1)(h)).	IDEA 300.102(a)(3)(i)-(iii) – Limitation to FAPE – NOTE: Graduation with a regular diploma will permanently end entitlement to a free appropriate public education (FAPE) under the federal Individuals with Disabilities Education Act and Maine's Unified Special Education Regulations. Therefore, after graduation, this child will no longer be entitled to receive special education and related services.
A. Projected Date of Graduation/Program Completion:	
B. List of Transition Assessments Completed:	
TRA5- Compliance:	
Document all transition assessments that have I	
☐ Best Practice: Include the year the assessment	
C. In the case of the child not attending the meeting, document ef secondary preferences and interests:	forts made (prior to the IEP meeting) to obtain the child's post-
D. Measurable Post-Secondary Goals (MUSER IX.3.(A)(1)(h)(i)	
Goals must be based on current age-appropriate transition assessm	ients.
TRA9- Compliance:	
At least one annual goal in Section 5 that addresses	/aligns to the post-secondary goals
Education/Training Goal	
After graduation, child's name, will education/training goal.	
TRA6a- Compliance:	the child will receive in order to pursue their career
choice	, the child will receive in order to pursue their career
Employment Goal	
After graduation, <u>child's name</u> , will <u>employment goal</u> .	
TRA6b- Compliance:	
lacktriangle Take the education or training goal and make a stat	ement about employment
<ul><li>Education/training and employment goals should b</li></ul>	e in alignment
Independent Living Skill Goal (when appropriate)	
After graduation, <u>child's name</u> , will <u>independent living skill</u> .	
TRA6c- Compliance:	the standard and a second of the second
Should be considered for all children, not just those	with significant cognitive deficits

Effective: 08/01/2020

Child's Name: Date of Birth: E. Planned Course of Study (MUSER IX.3.(A)(I)(h)(ii)) The class schedule must be multi-year (through exit), specific, individualized, and directly linked to the post-secondary goals. Course of Study must address all post-secondary goals that are identified for the child. TRA7- Compliance: ☐ Indicate a multi-year high school plan (first year of high school to anticipated exit date) F. Transition Services and Activities (MUSER IX.3(A)(I)(h)(ii) Describe the activities provided by the adults in the school and in the community, that will enable and promote the child's progress toward meeting annual and post-secondary goals. Include special education, general education, related services, services from other agencies, and services provided by families, as appropriate for the child's needs. Transition services and activities should be specific and individualized. TRA8- Compliance: ☐ Should NOT include future services/activities ■ Leaving previous years services in this section ☐ Must be at least ONE transition service for each; only if appropriate for daily living skills ☐ Best Practice: documented in a bulleted list Education/Instruction and Related Services: Career/Employment and Other Post-Secondary Adult Living Objectives: Community Experiences: If Appropriate, Daily Living Skills and/or Functional Vocational Evaluation: G. Agencies Responsible to Provide and/or Pay for Services (MUSER IX.3.E.(1)(2)) What agency linkages, if any, have been made? Written parental consent must be obtained prior to the IEP meeting invitation of any agency or organization that is likely to be responsible for providing or paying for transition services. TRA3- Compliance: ■ Need parental consent to invite them to the IEP meeting/prior to AWN ☐ Consent is needed for every meeting where transition planning is discussed □ Documented with Parental Consent to Invite Other Agencies to IEP Meetings-Postsecondary Goals & **Transition Services** 10. AGE OF MAJORITY (IDEA 300.320(c)) – Transfer of Rights at Age of Majority If the child will turn 17 during the duration of this IEP, the child and parent(s) have been informed of rights at the age of majority (age 18). ☐ YES Date Informed: □ N/A