

EXPERIMENTAL LEASE APPLICATION

1. APPLICANT CONTACT INFORMATION

Applicant	Unicorn Oyster
Contact Person	Austin Watroba
Address	PO Box 627
City	Damariscotta
State, Zip	ME, 04543
County	Lincoln
Telephone	207-619-2725
Email	austin@unicornoyster.com
Payment Type	<input type="checkbox"/> Check (included) <input checked="" type="checkbox"/> Credit Card

Note: The email address you list here will be the primary means by which we will contact you. Please provide an email address checked regularly. If you do not use email, please leave this blank.

2. PROPOSED LEASE SITE INFORMATION

Location of Proposed Lease Site	
Town	Bristol
Waterbody	Damariscotta River
General Description (e.g. south of B Island)	East of Perkins Point
Lease Information	
Total acreage (4-acre maximum) and lease term (3-year maximum) requested	3.9
Type of culture (check all that apply)	<input type="checkbox"/> Bottom (no gear) <input checked="" type="checkbox"/> Suspended (gear in the water and/or on the bottom) <input type="checkbox"/> Net Pen (finfish)
Is any portion of the proposed lease site above mean low water?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Note: If you selected yes, you need to complete the steps outlined in the section titled: “17. Landowner/Municipal Permission Requirements”.

3. GROWING AREA DESIGNATION

Directions: Information for growing area designations can be found here:
<https://www.maine.gov/dmr/shellfish-sanitation-management/closures/index.html>

Growing Area Designation (e.g. “WL”):	WQ
Growing Area Section (e.g. “A1”):	Open /Approved

Note: If you are proposing to grow molluscan shellfish in waters classified as anything other than open/approved, you will need to contact the Bureau of Public Health to discuss you plans at the following email: DMRPublicHealthDiv@maine.gov

4. GENERAL LEASE INFORMATION

A Please complete the table below and add additional rows as needed.

Name of species to be cultivated <i>(include both common and scientific names):</i>	Name and address of the source of seed stock or juveniles	Maximum number (or biomass) of organisms you anticipate on the site at any given time
1 Eastern American Oyster Crassostrea Virginica	Muscongus Bay Aquaculture	950,000
2.		
3.		
4.		
5.		

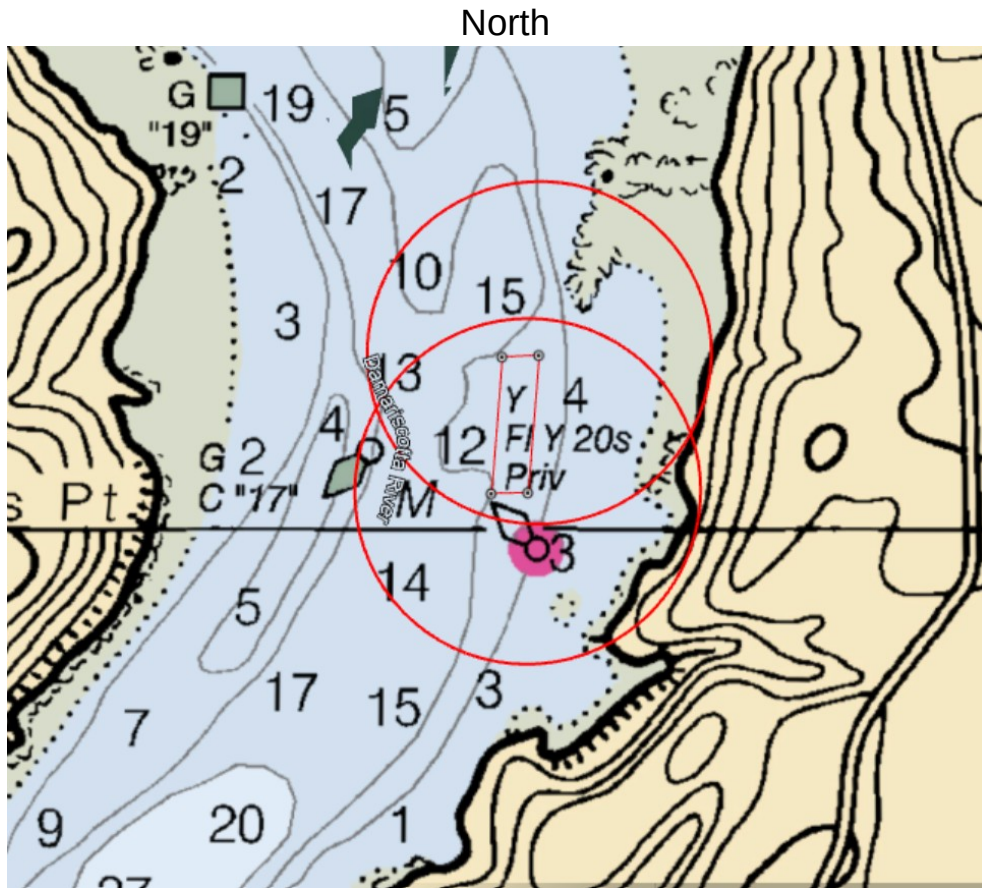
B. Do you intend to possess, transport, or sell whole or roe-on scallops? Yes No

If you answered “yes” please contact the Bureau of Public Health to discuss your plans at the following email: DMRPublicHealthDiv@maine.gov

Note: If you are proposing to grow molluscan shellfish, this application also serves as your written operational plan as required in the National Shellfish Sanitation Program (NSSP) Model Ordinance Chapter 2, and must be maintained in your files. If you wish to submit an operational plan separate from this application, please contact: DMRPublicHealthDiv@maine.gov

5. VICINITY MAP

Vicinity Map



South

Red circles indicate 1000' radius

|-----| 1000 feet

Boundary Drawing



Boundary Measurements

Datum: WGS84

SW to NW 800' @ 3.97 degrees

NW to NE 215' @ 88 degrees

NE to SE 804' @ 184 degrees

SE to SW 215' @ 269 degrees

7. RESEARCH PROGRAM AND OPERATIONS

Directions: If you are cultivating more than one species, you will need to provide the below information for each species. Please attach a separate page if needed.

A Type of study (check one): Scientific Research Commercial Research

Please note:

a) Scientific research is not kept confidential.

b) Experimental leases for commercial research are not renewable. Results of commercial research are kept confidential.

B What is the purpose of the study? If scientific, please include a detailed study design.

Purpose is to determine if the proposed area is a viable area for commercial cultivation.

C Describe the general culture process for each species proposed.

Surface Culture in growout cages.

D What months will the proposed activities (i.e. seeding, tending, and harvesting) occur?

Seeding would occur April-August. Tending and harvesting/sorting would occur March-December.

During January and February after the cages have been moved to the bottom over winter the site will be dormant.

E How often will you be at the site during seeding and harvesting periods?

April-August seeding will occur on the proposed lease site. During seeding activity on the lease site will occur 2-3 times per week. Harvesting/sorting and tending will occur 2-4 times per week at most during the months of March-December.

We will spend little to no time at the site over winter when the cages are on the bottom .

F How frequently will you visit/tend the site for routine maintenance (i.e. flipping cages, etc.)?

2-4 times per week.

G Describe the harvesting techniques you will use. If you plan on using a drag, please provide the dimensions.

Harvesting/sorting will consist of pulling ADPI bags out of growout cages.

H Describe any overwintering or “off season” plans for the site. For example, will you remove gear from the site and/or deploy gear in different areas within the proposed site? Please include where gear or product will be located if moved from the site.

Gear will be overwintered on the bottom of the lease site in the growout cages which will have been sunk for the winter months starting when ice begins to form in December and ending when ice clears in March

I What type of machinery (e.g. generator, drag, grading equipment, etc.) will you be using

on the site? When and how often will the machinery be used?
Gas powered hydraulic lifting equipment will be utilized on the proposed lease site 2-3 days per week from May - November and 3-4 days per week in early spring (March - April). This machinery will not be used during the winter after the cages have been moved to the bottom of the river as described in 7H above.

J. Please provide details on any predator control techniques you plan to employ, including the use of bird deterrents. Will you use commercially available or custom equipment? If commercially available equipment, please include the brand and model names. If custom equipment, please attach a detailed schematic that includes the dimensions, materials, and function of the equipment.
Wire ties will be attached to cages to deter birds, however the animals in cages are seed oysters and will be harvested, sorted and redistributed back to cages or moved to wet storage. The final product is harvested from a wet storage raft covered and not accessible by birds.

8. EXISTING USES

Directions: Describe the existing uses of the proposed area. Please include the amount of activity, the time of year the activity occurs, frequency, and proximity to the lease site.

A Describe the existing uses of the proposed area in questions A.1 through A.5 below. Please include the a) type b) time of year c) frequency, and d) proximity to the lease site for each existing use.
1. Commercial Fishing
There has been no commercial fishing in the proposed area in the last 20 years based on observations made by neighboring oyster farms.
2. Recreational Fishing
Occasional recreational fishing (rod) in the summer months to the North of the lease off sugarloaf ledges.
3. Boating Activities (please also include the distance to any navigable channel(s) from your proposed site at low water)
The proposed lease is located about 500-700 feet to the east of the main channel. A lot of traffic occurs in the channel during the summer months. Both commercial and recreational vessels of all sizes travel up and down the channel located in this area.
4. Ingress and egress (i.e. coming and going) of shorefront property owners within 1,000 feet of the proposal (e.g. docks, moorings, landing boats on shore, etc.)

There is a mooring located approximately 900 feet to the South of the proposed lease. The channel is located to the west of this mooring and there is ample room to navigate off this mooring.

5. Other uses (kayaking, swimming, etc.)

None noted. Kayaking (or other hand powered vehicles) and swimming have not been observed in the proposed lease area for at least 5 years.

B Are there private docks, moorings, or other access points within 1,000 feet of the proposed lease? If yes, please include approximate distance from proposed lease.

There are two moorings approximately 900 and 1200 feet to the South of the proposed lease.

C Are there public beaches, parks, or docking facilities within 1,000 feet of the proposed lease site. If yes, please describe and include approximate distances from proposed lease.

None

D Are there any Limited Purpose Aquaculture (LPA) licenses or aquaculture leases within 1,000 feet of your proposed lease site? If yes, please list their acronyms below.

Current and pending aquaculture leases and active LPA licenses may be found here:
<https://www.maine.gov/dmr/aquaculture/leases/index.html>

There are 4 LPA's within the lease boundaries owned by Austin Watroba

LPA Acronyms:

AWAT120,AWAT220,AWAT320,AWAT420

9. CURRENT OPERATIONS

Directions: If a question does not pertain to your proposed operations, please write “**not applicable**” or “**N/A.**”

A Describe your existing aquaculture operations, including the acronyms of all active leases and/or licenses.
Existing operations consist of 4 commercial LPA’s consisting of 100 growout cages in total. The 4 LPA’s within the lease boundaries are owned by Austin Watroba LPA Acronyms: AWAT120,AWAT220,AWAT320,AWAT420

B What are your plans for any existing leases and/or Limited Purposed Aquaculture (LPA) licenses if the lease is granted? Will any existing leases and/or Limited Purpose Aquaculture (LPA) licenses be relinquished if the lease is granted? If so, please indicate which ones.
Existing LPA’s will be relinquished if lease is granted.

10. EXCLUSIVE USE

If your lease is granted, what activities would you request be excluded from occurring within the boundaries of the lease site? In your answer please address applicable commercial and recreational fishing, boating activities, and other activities you listed in the ‘Existing Uses’ section of this application.
None

11. ENVIRONMENTAL CHARACTERIZATION

Directions: Using your knowledge of the area, describe the environment of the proposed lease site. Be sure to include units of measurement in your answers (i.e. feet, cm/s).

A What are the approximate depths at mean low water?

The proposed lease has a mean low water depth of 8-12 feet

B What are the approximate depths at mean high water?

The proposed lease has a mean high water depth of 18-20 feet

C Provide the approximate current speed and direction during the ebb and flow.

The current speed is between 5-7 knots in a North and South direction.

D The following questions (D.1 through D.6) may be answered in writing or by submitting a video. If you plan to submit a video, please contact the Department prior to video collection.

1 What are the bottom characteristics (mud, sand, gravel, rock, ledge or some mix, etc.)?

The bottom characteristics consist of soft mud.

<p>2 Describe the bottom topography (flat, steep rough, etc.).</p>
<p>The bottom topography is flat with a sharp climb to a mud flat to the east of the proposed lease.</p>
<p>3 Describe marine organisms by species or common names. Based on your personal observations or other sources of information, are these species abundant, common, or rare?</p>
<p>There are crabs (common), worms (common), Shrimp (common).</p>
<p>4 Are there shellfish beds or fish migration routes in the surrounding area? If so, please describe.</p>
<p>None</p>
<p>5 Describe the presence and extent of submerged aquatic vegetation, i.e. eelgrass, within the proposed lease area. Please include the date of this observation along with the method of observation. If submerged aquatic vegetation is observed, please also describe the abundance below and sketch the limits of the beds in the vicinity map.</p>
<p>No aquatic vegetation was observed in the proposed lease. Observed on 10/21/21 while scuba diving on proposed lease.</p>

6 Describe the general shoreline and upland characteristics (rocky shoreline, forested, residential, etc.)

Shoreline to the east is a long mud flat at low tide which turns to forested area and residential houses upland.

E Is your proposed lease located within a Maine Department of Inland Fisheries and Wildlife designated Essential Habitat?

Yes No

Note: The location of Essential Habitats in the State of Maine, along with information on how projects within these areas are reviewed, can be found here: <https://www.maine.gov/ifw/fish-wildlife/wildlife/endangered-threatened-species/essential-wildlife-habitat/index.html>

If a project is located within an Essential Habitat, applicants are strongly encouraged to contact the MDIFW Environmental Review Coordinator (John.Perry@maine.gov, phone: 207-287-5254) prior to application submission.

F Will your operations discharge anything into the water such as feed (pellets, kelp, etc.) or chemical additives (therapeutants, chemical treatments, etc.)?

Yes No

Note: If you answered yes, you must submit a video of the bottom using a method prescribed by the Department. **The video must be filmed between April 1 and November 15.** If a discharge is proposed you will also need to obtain a Maine Department of Environmental Protection (DEP) discharge permit. For information on this permit please contact DEP's Wastewater Licensing Program (Gregg.wood@maine.gov, 207-287-7693). Further sampling may be required by DMR, or DEP, depending on the characteristics of the site or the proposed activities.

12. STRUCTURES A,B

OVERHEAD VIEW

3.9 Acres

| N

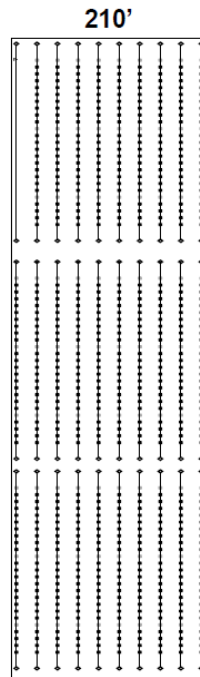
30 (4 pack) Oystergro cages per line

25 (6 pack) Oystergro cages per line

4 pack growout cages
3 sets of 10 rows of lines spaced 20' apart
Each line would contain a maximum of 30 cages spaced 7' apart. (900 cages max. total)

6 pack growout cages
3 sets of 10 rows of lines spaced at 20' apart
Each line would contain a maximum of 25 cages Spaced 8' apart (750 cages max.)

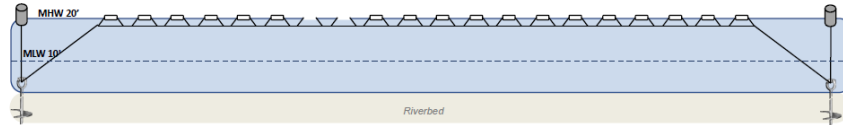
The outside anchors have 30' scope
From anchor to the 1st cage. The
Middle sets of anchors are utilized by
Both lines and have 20'-25' of scope
Between cage and anchor.



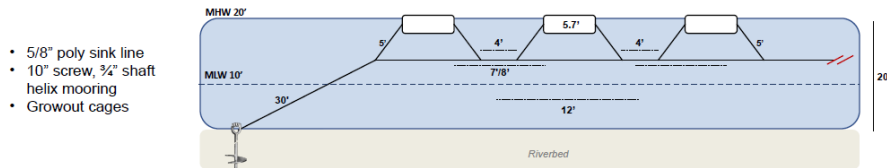
Proposed coordinates	
SW	44°00' 02.03"N, 69°32' 22.57"W
NW	44°00' 09.88 "N, 69°32' 21.77"W
NE	44°00' 09.97"N, 69°32' 18.86"W
SE	44°00' 02.08"N, 69°32' 19.66"W

- ◇ Helix anchor
- 5/8" poly line
- OysterGro Cages

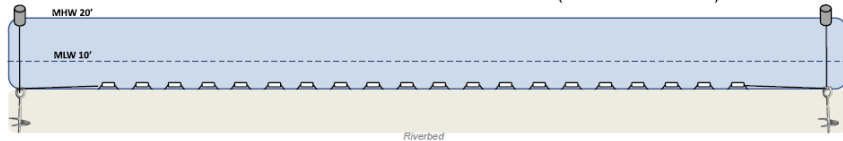
CROSS-SECTION VIEW: IN-SEASON (March – December)(lines of 25/30 growout cages)



TO SCALE CROSS-SECTION VIEW: IN-SEASON (March – December)



CROSS-SECTION VIEW: OVERWINTERING POSITION (December – March)



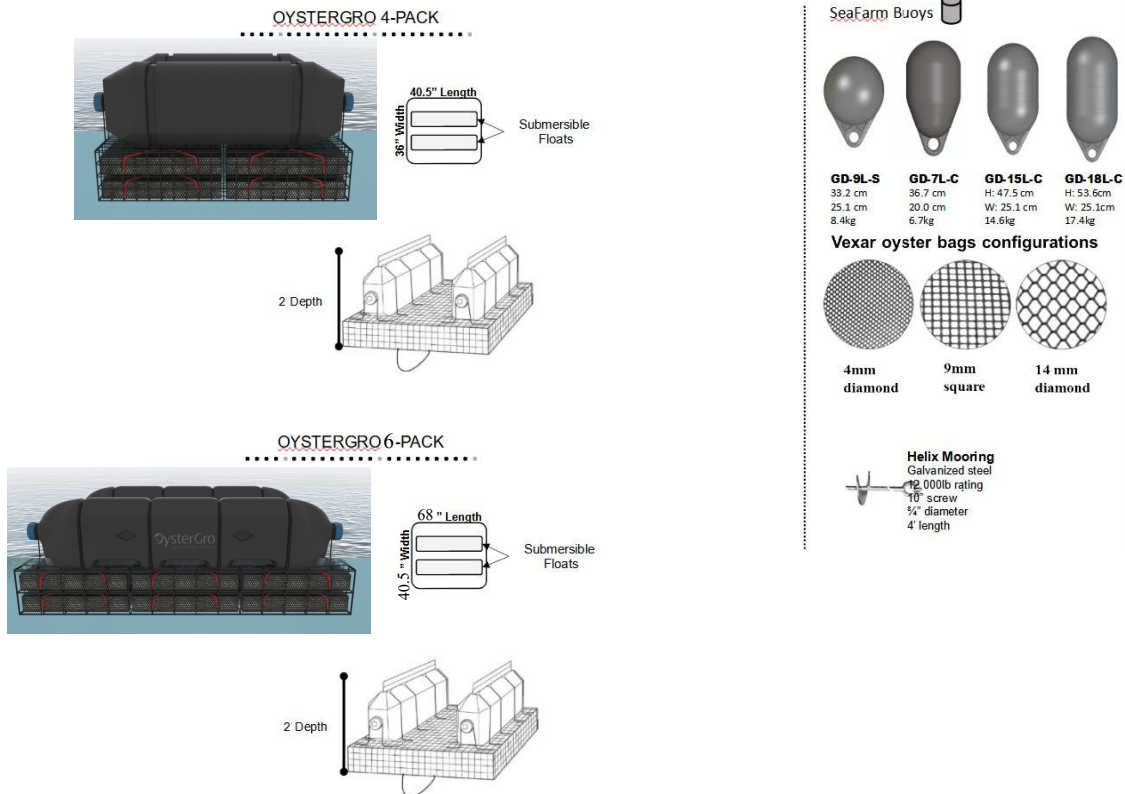
Views do not represent actual number of cages
(actual number is 25/30 growout cages per line)

C) Gear Description

Directions: List and describe each individual gear type that you will use in the table below.

Specific Gear Type (e.g. soft mesh bag)	Dimensions (e.g. 16"x20"x2")	Time of year gear will be deployed (e.g. Spring, Winter, etc.)	Maximum amount of this gear type that will be deployed on the site (i.e. 200 cages, 100 lantern nets, etc.)	Species that will be grown using this gear type
Growout Cage 4-pack	36"x40.5"x9"	Year round: Mar. - Dec. on surface, Dec. - Mar. on bottom	900	American Oyster
Growout Cage 6-pack	40.5"x68"x9"	Year round: Mar. - Dec. on surface, Dec. - Mar. on bottom	750	American Oyster

D Gear Drawing



13. MARKING

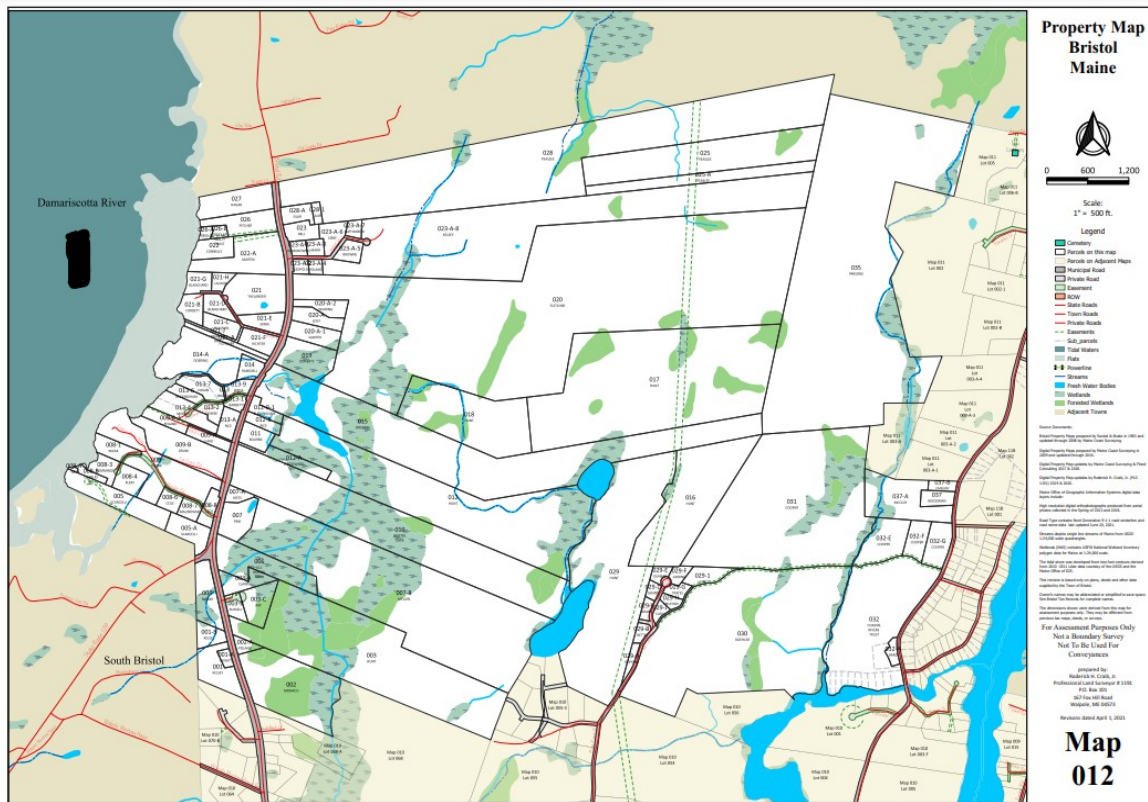
Will you be able to mark your site in accordance with DMR regulations, Chapter 2.80? In part, this requires marker buoys which clearly display the lease ID and the words SEA FARM to be located at each corner of the lease.

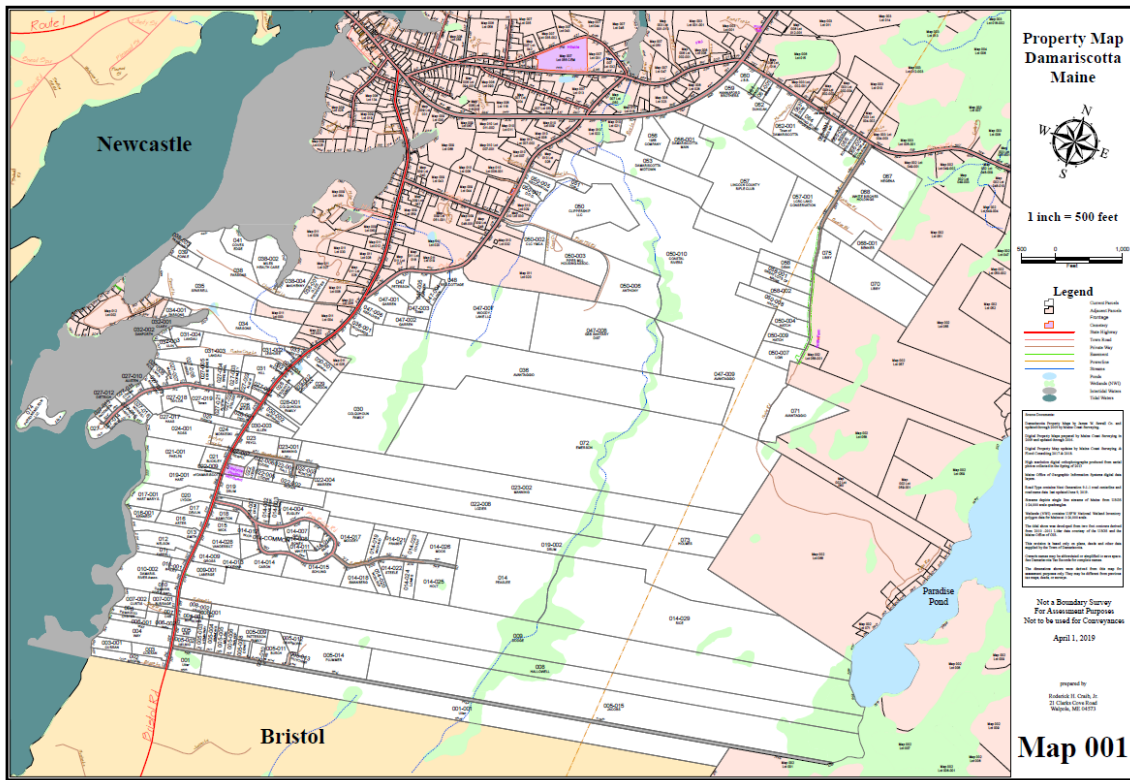
Yes No

If you answered no, explain why and suggest alternate markings.

Note: If a lease is granted, you will also be required to mark the site in accordance with appropriate US Coast Guard Regulations. If you have questions about US Coast Guard regulations contact: 1st Coast Guard District, Aids to Navigation Office.

14. RIPARIAN LANDOWNERS AND SITE ACCESS





RIPARIAN LANDOWNER LIST

THIS LIST MUST BE CERTIFIED BY THE TOWN CLERK

TOWN OF: Bristol

MAP #	LOT #	Landowner name(s) and address(es)
012	014A	Doering, Marian H. 184 Spring Rd <u>SPEERY RD</u> Bethany CT 06524-3548
012	026	Pitcher, Shirley H. & Donald F. Jr. 10 Miles Rd Hingham MA 02043
012	026A	Treidler Franz 423 Bristol Rd Bristol ME 04539
012	022	Connelly, Richard S. 837 Elmwood Rd Pownal ME 04069
012	022A	Martin, Joseph 53 Westview Rd Damarisocotta ME 04543
012	021G	Blanchard, Laura J. P.O. Box 23 Round Pond ME 04564
012	021B	Corbett, Janet R. P.O. Box 93 Newcastle ME 04553
012	013-4	Moller, Rolf & Aline M. Revocable Trusts 43 Woodward Farm Rd. Bristol, ME. 04539-3008
012	013-6	Buckingham, Alexia A. 31 Woodward Farm Rd. Bristol, ME. 04539-3008
012	013-7	Hasan, Samira & Redding, Matthew 27 Woodwrđ Farm Rd. Bristol, ME. 04539-3008

CERTIFICATION

I, RACHEL BIZARRO, Town Clerk for the Town of BRISTOL certify that the names and addresses of the property owners listed above, as well as the map and lot numbers, are those listed in the records of this municipality and are current as of this date.

SIGNED: Rachel Bizarno DATE: 01/19/2022



JANET T. MILLS
GOVERNOR

STATE OF MAINE
DEPARTMENT OF MARINE RESOURCES
21 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0021

PATRICK C. KELIHER
COMMISSIONER

- RIPARIAN OWNERS LIST -

THIS LIST MUST BE CERTIFIED BY THE TOWN CLERK

On this list, please include the map number, lot number, and the current owners' names and mailing addresses for all shorefront parcels within 1,000 feet of the lease site. It is the applicant's responsibility to assemble the information for the Town Clerk to certify. The Town Clerk *only* certifies that the information is correct according to the Town's records. Once you have completed the form, ask the Town Clerk to complete the certification section below. If riparian parcels are located within more than one municipality, provide a separate, tax map and certified riparian list for each municipality.

TOWN OF: Bristol

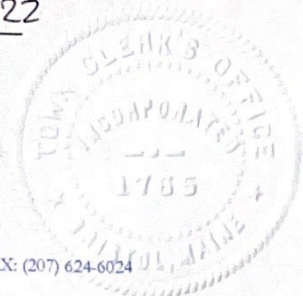
MAP #	LOT #	Landowner name(s) and address(es)
12	27	Seth A. Hagar 401 Bristol Rd. Bristol ME 04539
12	21-J	Right of way
12	21-A	Joan B. Fletcher 452 Bristol Rd. Bristol ME 04539
12	14-A	Marian H. Doering 184 Sperry Rd Bethany CT 06524

Please use additional sheets if necessary and attach hereto.

CERTIFICATION

I, Ein Reeves ^{DEP} Town Clerk for the Town of BRISTOL, certify that the names and addresses of the property owners listed above, as well as the map and lot numbers, are those listed in the records of this municipality and are current as of this date.

SIGNED: Ein E. Reeves DATE: 9/22/22



OFFICES AT 32 BLOSSOM LANE, MARQUARDT BUILDING, AUGUSTA, MAINE
<http://www.Maine.gov/dmr>

PHONE: (207) 624-6550

FAX: (207) 624-6024



JANET T. MILLS
GOVERNOR

STATE OF MAINE
DEPARTMENT OF MARINE RESOURCES
21 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0021

PATRICK C. KELIHER
COMMISSIONER

- RIPARIAN OWNERS LIST -

THIS LIST MUST BE CERTIFIED BY THE TOWN CLERK

On this list, please include the map number, lot number, and the current owners' names and mailing addresses for all shorefront parcels within 1,000 feet of the lease site. It is the applicant's responsibility to assemble the information for the Town Clerk to certify. The Town Clerk *only* certifies that the information is correct according to the Town's records. Once you have completed the form, ask the Town Clerk to complete the certification section below. If riparian parcels are located within more than one municipality, provide a separate, tax map and certified riparian list for each municipality.

TOWN OF: Damariscotta

MAP #	LOT #	Landowner name(s) and address(es)
001	002	James Briggs <i>Susan ShipSKV</i> 597 New Gloucester Rd N. Yarmouth ME 04097 <i>1449 Lively Ridge Road Atlanta, GA 30329</i>
001	003-001	Brian D. Curran, David A Curran 387 Bristol Rd. Damariscotta ME 04543

Please use additional sheets if necessary and attach hereto.

CERTIFICATION

I, *Tara Oliver*, Town Clerk for the Town of *Damariscotta*, certify that the names and addresses of the property owners listed above, as well as the map and lot numbers, are those listed in the records of this municipality and are current as of this date.

SIGNED: *Tara Oliver* DATE: *9/22/22*

OFFICES AT 32 BLOSSOM LANE, MARQUARDT BUILDING, AUGUSTA, MAINE
<http://www.Maine.gov/dmr>

PHONE: (207) 624-6550

FAX: (207) 624-6024

A Will your access to the lease area be across riparian land?

Yes No

Note: If you selected yes, you will need to complete the landowner permission requirements included in “17. Landowner/Municipal Permission Requirements” of this application.

B How will you access the proposed site?

Proposed lease will be accessed by boat.

15. ESCROW ACCOUNT OR PERFORMANCE BOND

Check the category that describes your operation:

Check Here	Lease Category	Amount of Required Escrow or Performance Bond
<input type="checkbox"/>	No gear/structure, no discharge	None
<input type="checkbox"/>	No gear/structure, discharge	\$500.00
<input type="checkbox"/>	≤ 400 square feet of gear/structure, no discharge	\$1,500.00
<input checked="" type="checkbox"/>	>400 square feet of gear/structure, no discharge	\$5,000.00*
<input type="checkbox"/>	Gear/Structure, discharge	\$25,000.00

*DMR may increase the bond/escrow requirements for leases with more than 2,000 feet of structure.

I, (*printed name of applicant*) Austin Watroba have read DMR Aquaculture Regulations 2.64(12)(B)) and if this proposed lease is granted by DMR I will either open an escrow account or obtain a performance bond, depending on the category of lease.



Applicant Signature

Note: Add title if signing on behalf of a corporate applicant.

Date November 1, 2021,
Amended June 30, 2022
Amended September 30, 2022

ADDITIONAL APPLICANTS: Each applicant must sign this section indicating that they will open an escrow account or obtain a performance bond. Use the space below for additional persons listed on the application. You may attach additional pages, if necessary.

I, (*printed name of applicant*) _____ have read DMR Aquaculture Regulations 2.64(10)(D) and if this proposed lease is granted by DMR I will either open an escrow account or obtain a performance bond, depending on the category of lease.

Applicant Signature

Note: Add title if signing on behalf of a corporate applicant.


Date

16. APPLICANT SIGNATURE PAGE

I hereby state that the information included in this application is true and correct. I have also read and understand the requirements of the Department's rules governing aquaculture and the application instructions pertaining to the experimental lease process.

Printed name: Austin Watroba

Title (if corporate applicant): President

Signature:  Date: November 1, 2021,
Amended June 30, 2022
Amended September 30, 2022

18 U.S.C. Section 1001 provides that: Whoever, in any manner within the jurisdiction of any department or agency of the United States knowingly and willfully falsifies, conceals, or covers up any trick, scheme, or disguises a material fact or makes any false, fictitious or fraudulent statements or representations or makes or uses any false writing or document knowing same to contain any false, fictitious or fraudulent statements or entry, shall be fined not more than \$10,000 or imprisoned not more than five years or both.

Note:

- All applicants must sign and date this page. Please use the space below, if additional signatures are required.
- Corporate applicants, please be sure to include the title (i.e. President, Treasurer, etc.) of the individual(s) signing on the company's behalf.

17. LANDOWNER/MUNCIPAL PERMISSION REQUIREMENTS *(if applicable)*

PART I: The use of private property to access your site.

Pursuant to 2.64(C)(6) if you are using private property to access the proposed lease site, you need to submit written permission from the property owner with your application. It is your responsibility to obtain written permission. Please note that the Department does not provide forms for landowner or municipal permission. **If any portion of your site is also intertidal you will need to complete the steps outlined in Part II, below.**

PART II: If any portion of the site is intertidal you need to complete the following steps:

Step I: Obtain written permission from all intertidal landowners.

Pursuant to 2.64(C)(6) the Department requires *written permission of every owner of intertidal land in, on, or over which the experimental activity will occur*. It is your responsibility to obtain written permission and include it with your application materials. Please note that the Department does not provide forms for landowner permission.

Step II: Determine if the municipality where your site is located has a shellfish conservation program.

Pursuant to 12 MRSA §6072(3) *In any municipality with a shellfish conservation program under section 6671, the Commissioner may not lease areas in the intertidal zone within the municipality without the consent of the municipal officers.*

If the municipality where the proposed lease site is located has a shellfish conservation program, it is your responsibility to obtain consent for the proposed lease site from the municipal officers (i.e. the selectmen or councilors of the town, or the mayor and aldermen or councilors of a city.) Consent means a majority vote of the municipal officers as recorded in a public meeting.

It is your responsibility to contact the municipality and determine if they have a shellfish conservation program. Best practices would include discussing your plans with shellfish committee members, but only the consent of municipal officers is required.

1. Does the municipality, where the proposed site is located, have a shellfish conservation program? Yes No

If you answered yes, please attach documentation from a public meeting demonstrating that a majority of municipal officers have consented to your proposal.



MAINE DEPARTMENT OF MARINE RESOURCES

Aquaculture Division, 21 State House Station, Augusta, ME 04333-0021 (207) 624-6567

**CORPORATE APPLICANT FORM
For Standard and Experimental Aquaculture Lease Applications**

Corporations or partnerships that apply for aquaculture leases in the State of Maine must complete this form. Corporations must submit information as requested under A. Corporate Applicant. Partnerships must submit information as requested under B. Partnership Applicant.

A. Corporate Applicant

Note: You must attach a copy of the Articles of Incorporation (Inc.) or Certificate of Formation (LLC) to your application.

1. Name of Corporation: Unicorn Oyster

2. Date of incorporation: November 1, 2019 State of incorporation: ME

3. List the names, addresses, and titles of all officers:

Name	Address	Title
Austin Watroba	4 Loiselle Ln., N. Chelmsford, MA 01863	President

Please use additional sheets if necessary and attach to the application.

4. List the names and addresses of all directors/members:

Name	Address
No directors, Unicorn Oyster is	a ME Corporation managed by the shareholder(s)

Please use additional sheets if necessary and attach to the application.

5. Has the corporation, or any stockholder, director, or officer applied for an aquaculture lease for Maine lands in the past? Yes No

If you selected “yes,” please indicate who applied for the lease and the status of the application or lease.

6. List the names and addresses of all stockholders who own or control at least 5% of the outstanding stock and the percentage of outstanding stock currently owned or controlled by each stockholder.

Name	Address	Percentage of Owned Stock
Austin Watroba	4 Loiselle Ln., N. Chelmsford, MA 01863	100%

Please use additional sheets if necessary and attach to the application.

7. List the names and addresses of stockholders, directors, or officers owning an interest, either directly or beneficially, in any other Maine aquaculture leases, as well as the quantity of acreage from existing aquaculture leases attributed to each such person based on the percentage of owned stock listed in question 6. If none, write, “None.”

Name	Address	Lease Acronym	Acreage
None			

Please use additional sheets if necessary and attach to the application.

8. Has the corporation or any officer, director, member, or shareholder listed in item 5 above ever been arrested, indicted, convicted of, or adjudicated to be responsible for any violation of any marine resources or environmental protection law, whether state or federal?

Yes No

If you selected “yes”, please provide details.

B. Partnership Applicant

Note: You must attach a copy of either the Certificate of Limited Partnership or documentation of the formation of a General Partnership to your application.

1. Name of Partnership: _____

2. Date of formation: _____ State of partnership: _____

3. List the names, addresses, and ownership shares of all partners:

Name	Address	Ownership Shares

Please use additional sheets if necessary and attach to the application.

4. Has the partnership, or any partner applied for an aquaculture lease for Maine lands in the past? Yes No

If you selected “yes,” please indicate who applied for the lease and the status of the application or lease.

5. List the names and addresses of any partner owning an interest, either directly or beneficially, in any other Maine aquaculture leases, as well as the quantity of acreage from existing aquaculture leases attributed to each such person, based on their ownership shares from question 3.

Name	Address	Lease Acronym	Acreage

Please use additional sheets if necessary and attach to the application.

6. Has the partnership or any partner been arrested, indicted or convicted of or adjudicated to be responsible for any violation of marine resources or environmental protection law, whether State or Federal?

Yes No

If you selected “yes”, please provide details.

DOMESTIC
BUSINESS CORPORATION

STATE OF MAINE

ARTICLES OF INCORPORATION

File No. 20200302 D Pages 2
Fee Paid \$ 145
DCN 2193172310016 ARTI
---FILED---
11/04/2019

Julie L. Flynn
Deputy Secretary of State

A True Copy When Attested By Signature

Julie L. Flynn
Deputy Secretary of State

Pursuant to 13-C MRSA §202 and/or §1803, the undersigned executes and delivers the following Articles of Incorporation:

FIRST: The name of the corporation is Unicorn Oyster

SECOND: ("X" only if applicable)

This is a professional corporation**formed pursuant to 13 MRSA Chapter 22-A to provide the following professional services:

(type of professional services)

THIRD: ("X" only if applicable)

This is a benefit corporation formed pursuant to 13-C MRSA §1803. This election has been adopted by at least the minimum status vote as defined in 13-C MRSA §1802.7.

FOURTH: The Clerk is a: (select either a Commercial or Noncommercial Clerk – Person must be a Maine resident)

Commercial Clerk CRA Public Number: _____

(name of commercial clerk)

Noncommercial Clerk

Brian Kim-Mozeleski

(name of noncommercial clerk)

24 Joe Bryant Rd., Knox, ME 04986

(physical location, not P.O. Box – street, city, state and zip code)

PO Box 627, Damariscotta, ME 04543

(mailing address if different from above)

FIFTH: Pursuant to 5 MRSA §108.3, the clerk as listed above has consented to serve as the clerk for this corporation.

SIXTH:

("X" one box only)

There shall be only one class of shares. The number of authorized shares is 100,000.

(Optional) Name of class: Common

There shall be two or more classes or series of shares. The information required by 13-C MRSA §601 concerning each such class and series is set forth in Exhibit ___ attached hereto and made a part hereof.

SEVENTH:

("X" one box only)

The corporation will have a board of directors.

There will be no directors; the business of the Corporation will be managed by shareholders. (13-C MRSA §743)

EIGHTH:

(For corporations with directors, each of the following provisions is optional – "X" only if applicable)

The number of directors is limited as follows: not fewer than ___ nor more than ___ directors. (13-C MRSA §803)

To the fullest extent permitted by 13-C MRSA §202.2.D, a director shall have no liability to the Corporation or its shareholders for money damages for an action taken or a failure to take an action as a director.

Except as otherwise specified by contract or in its bylaws, the Corporation shall in all cases provide indemnification (including advances of expenses) to its directors and officers to the fullest extent permitted by law. (13-C MRSA §§202, 857 and 859)

NINTH:

("X" only if applicable)

The Corporation elects to have preemptive rights as defined in 13-C MRSA §641.

TENTH:

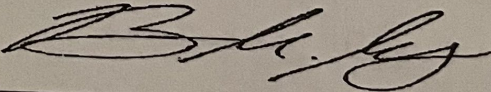
("X" only if applicable)

Additional provisions of these Articles of Incorporation are set forth in Exhibit ___ attached hereto and made a part hereof. (13-C MRSA §202 and 13-C MRSA §1811)

ELEVENTH:

Name and address of additional Incorporators is set forth on Exhibit ___ attached hereto.

Dated 10/30/2019

By 
(original written signature)

Brian Kim-Mozeleski
(type or print name of incorporator)

**The professional corporation name must contain one of the following: "chartered," "professional corporation," "professional association" or "service corporation" or the abbreviation "P.C.," "P.A." or "S.C.". Examples of professional service corporations are accountants, attorneys, chiropractors, dentists, registered nurses and veterinarians. (This is not an inclusive list – see 13 MRSA §723.7.)

*These articles must be dated and executed pursuant to 13-C MRSA §121.5. by an incorporator.

Please remit your payment made payable to the Maine Secretary of State.

Submit completed form to: **Secretary of State**
Division of Corporations, UCC and Commissions
101 State House Station, Augusta, ME 04333-0101
Telephone Inquiries: (207) 624-7752 Email Inquiries: CEC.Corporations@Maine.gov

DOMESTIC
BUSINESS CORPORATION

STATE OF MAINE

RESTATED ARTICLES
OF INCORPORATION

Unicorn Oyster

(Name of Corporation)

File No. 20200302 D Pages 4
Fee Paid \$ 80
DCN 2212743610079 REST
—FILED—
10/01/2021

Julie L. Flynn
Deputy Secretary of State

A True Copy When Attested By Signature

Julie L. Flynn
Deputy Secretary of State

Pursuant to 13-C MRSA §1007, the undersigned corporation executes and delivers the following Restated Articles of Incorporation:

FIRST: All restated statements required to be set forth in Articles of Incorporation (*MBCA-6-1) are attached as Exhibit A.

SECOND: ("X" one box only.)

The restated articles of incorporation consolidate all amendments into a single document **OR**

If a new amendment is included in the restated articles of incorporation the following must be completed:

The text of the new amendment was adopted on (date) July 1, 2021 and was duly approved as follows: ("X" one box only.)

by the incorporators – shareholder approval was not required **OR**

by the board of directors – shareholder approval was not required **OR**

by the shareholders in the manner required by this Act and by the articles of incorporation.

THIRD: If the text of the new amendment provides for an exchange, reclassification or cancellation of issued shares, provisions for implementing the amendment, if not contained in the amendment itself, are set forth in Exhibit A or as follows:

FOURTH: The effective date of the restated articles of incorporation (if other than the date of filing of the restated articles of incorporation) is July 1, 2021.

Dated September 14, 2021

**By

Austin Watroba
(signature)

Austin Watroba, Treasurer

(type or print name and capacity)

*Form MBCA-6-1 **MUST** accompany this filing.

These articles **MUST be signed by any duly authorized officer **OR** the clerk. (13-C MRSA §121.5)

Please remit your payment made payable to the Maine Secretary of State.

Submit completed form to: **Secretary of State, Division of Corporations, UCC and Commissions**
101 State House Station, Augusta, ME 04333-0101
Telephone Inquiries: (207) 624-7752 Email Inquiries: CEC.Corporations@Maine.gov

Articles of Incorporation
pursuant to 13-C MRSA §202
to accompany the following:

(Check one box only)

- Articles of Domestication (13-C MRSA §923)
- Articles of Domestication and Conversion (13-C MRSA §942)
- Articles of Entity Conversion (13-C MRSA §955.2 or 13-C MRSA §955.3)
- Articles/Certificate of Merger or Share Exchange (13-C MRSA §§1106 and 1107)
- Articles of Conversion of Partnership (31 MRSA §1093)
- Restated Articles of Incorporation (13-C MRSA §1007)

(Check only if applicable)

This is a professional corporation* formed pursuant to 13 MRSA Chapter 22-A to provide the following professional services:

(type of professional services)

(Check only if applicable)

This is a benefit corporation formed pursuant to 13-C MRSA §1803. This election has been adopted by at least the minimum status vote as defined in 13-C MRSA §1802.7.

FIRST: The name of the corporation is Unicorn Oyster

SECOND: The Clerk is a: (select **either** a Commercial or Noncommercial Clerk) – Person **must** be a Maine resident

Commercial Clerk CRA Public Number: _____

(name of commercial clerk)

Noncommercial Clerk

Bill Havre

(name of noncommercial clerk)

415 Congress St., STE 202A, Portland, ME

(physical location, not P.O. Box – street, city, state and zip code)

(mailing address if different from above)

THIRD: Pursuant to 5 MRSA §108.3, the new commercial clerk listed above has consented to serve as the clerk for this corporation.

FOURTH: (Check one box only)

There shall be only one class of shares. The number of authorized shares is 10,000.

(Optional) Name of class: _____

There shall be two or more classes or series of shares. The information required by 13-C MRSA §601 concerning each such class and series is set forth in Exhibit _____ attached hereto and made a part hereof.

FIFTH: (Check one box only)

The corporation will have a board of directors.

There will be no directors; the business of the Corporation will be managed by shareholders (13-C MRSA §743)

SIXTH: (For corporations with directors, each of the following provisions is optional – "X" only if applicable)

The number of directors is limited as follows: not fewer than _____ nor more than _____ directors. (13-C MRSA §803)

To the fullest extent permitted by 13-C MRSA §202.2.D., a director shall have no liability to the Corporation or its shareholders for money damages for an action taken or a failure to take an action as a director.

Except as otherwise specified by contract or in its bylaws, the Corporation shall in all cases provide indemnification (including advances of expenses) to its directors and officers to the fullest extent permitted by law. (13-C MRSA §§202, 857 and 859)

SEVENTH: (Check only if applicable)

The Corporation elects to have preemptive rights as defined in 13-C MRSA §641.

EIGHTH: (Check only if applicable)

Additional provisions of these Articles of Incorporation are set forth in Exhibit A attached hereto and made a part hereof. (13-C MRSA §202)

*The professional corporation name must contain one of the following: "chartered," "professional corporation," "professional association" or "service corporation" or the abbreviation "P.C.," "P.A." or "S.C.". Examples of professional service corporations are accountants, attorneys, chiropractors, dentists, registered nurses and veterinarians. (This is not an inclusive list – see 13 MRSA §723.7.)

The execution of this certificate constitutes an oath or affirmation, under the penalties of false swearing under 17-A MRSA §453.

Please remit your payment made payable to the Maine Secretary of State.

Submit completed form to: **Secretary of State**
Division of Corporations, UCC and Commissions
101 State House Station
Augusta, ME 04333-0101
Telephone Inquiries: (207) 624-7752 Email Inquiries: CEC.Corporations@Maine.gov

**UNANIMOUS WRITTEN CONSENT OF
THE SHAREHOLDERS
OF
UNICORN OYSTER
a Maine Corporation**

July 1, 2021

The undersigned, constituting all of the shareholders (the "Shareholders") of Unicorn Oyster, a Maine corporation (the "Company"), in accordance with the Bylaws of the Company, without the formality of convening a meeting, do hereby consent to the following actions, which shall constitute a special meeting of the Shareholders, and does hereby adopt the following resolutions by written action in lieu of a meeting:

I. APPROVAL OF CHANGE IN REGISTERED AGENT

WHEREAS, it is deemed to be in the best interest of the Company and its stockholders to change the registered agent from Brian Kim-Mozeleski, 24 Joe Bryant Rd., Knox, ME 04986 to Bill Havre of Registered Agents, Inc., 415 Congress St., STE 202A, Portland, ME 04101.

NOW, THEREFORE, BE IT RESOLVED, that a Statement of Change of Noncommercial Registered Agent shall be filed with the State of Maine as soon as administratively feasible to execute this change.

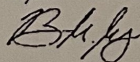
II. APPROVAL OF OWNERSHIP CHANGE

WHEREAS, it is acknowledged and agreed that the 5,000 shares of Company equity owned by Brian Kim-Mozeleski will be repurchased by the Company at the original sale price of \$1.01 per share, which is deemed to be equivalent to their fair value.

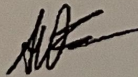
NOW, THEREFORE, BE IT RESOLVED, that the \$5,050 shareholder receivable balance due from Brian Kim-Mozeleski will be eliminated from the Company's balance sheet in exchange for his 5,000 shares of equity. Articles of Amendment shall be filed with the State of Maine as soon as administratively feasible to execute this change.

Signed

September 9, 2021



Brian Kim-Mozeleski



Austin Watroba