

LEASE TRANSFER APPLICATION

1. LEASE. Identify the lease to be transferred.

Acronym of Lease Proposed for Transfer	CAS BC2
Date Lease Expires	10/2/2027
Town	Yarmouth
Water Body	Broad Cove
Acreage	3.59 ac
Authorized Species	American Oysters
Army Corps of Engineers Authorization Number	NAE-2012-00386
Payment Type	<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card

2. TRANSFEROR. Identify the present lessee (*if multiple lessees, provide information for each one; use a separate sheet if necessary*).

Applicant	Knudsen Holdings LLC
Contact Person	Justine Simon
Address	33 Belfield Rd
City	Cape Elizabeth
County	Cumberland
State, Zip	ME, 04107
Country	USA
Telephone	(207) 415 9388
Email	justine@knudsen.earth

Note: *The email address you list here will be the primary means by which we will contact you. Please provide an email address that is checked regularly. If you do not use email, please leave this blank.*

3. PAST USE OF LEASE

Has this lease been used for aquaculture during its term?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
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A. If “Yes”, describe the aquaculture activities, including but not limited to seeding, cultivation, or harvest of organisms.

The lease site was seeded with American Oysters in spring/summer 2022, and harvested at various times in 2022 and 2023. Due to reclassification of the growing area, harvesting in 2024 was not possible on the originally planned timeline and will resume in January 2025 when the growing area is open.

B. If “No”, explain why no aquaculture has been conducted.

N/A

4. TRANSFEREE. Identify the proposed transferee (*if multiple transferees, provide information for each one; use a separate sheet if necessary*).

Applicant	Mimi's Oysters LLC
Contact Person	John Clapp
Address	276 Shore Rd
City	Bremen
County	Lincoln
State, Zip	Maine, 04551
Country	USA
Telephone	207-352-1820
Email	john@mimisoysters.com
Transfer Type	<input type="checkbox"/> Include transferee on lease with current leaseholder <input checked="" type="checkbox"/> Transfer entire lease to transferee

Note: The email address you list here will be the primary means by which we will contact you. Please provide an email address that is checked regularly. If you do not use email, please leave this blank.

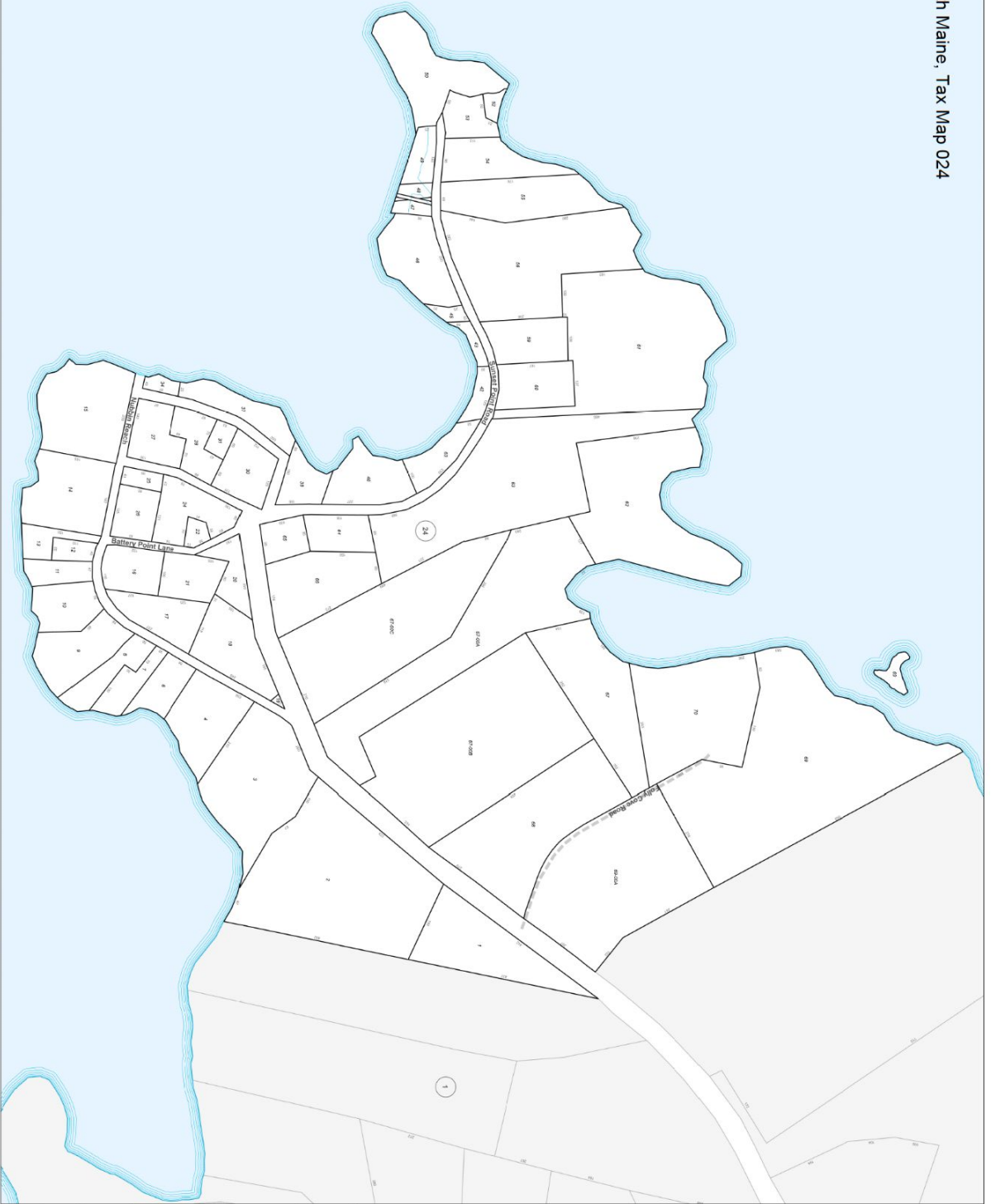
5. TRANSFEREE'S CURRENT LEASE(S). List all Maine aquaculture leases now held by the transferee(s).

Lease Acronym	Expiration Date	Town	Water Body	Acreage	Authorized Species

6. RIPARIAN LANDOWNERS AND SITE ACCESS

A. If the lease is within 1,000 ft of shorefront land (**which extends to mean low water or 1,650 ft from shore, whichever is less, according to NOAA charts**), the following supporting documents are required:

1. A labeled copy of a tax map(s) including the following elements:
 - Label the map “Tax Map: Town of (name of town).”
 - Legible scale
 - Tax lot numbers clearly displayed
 - The boundaries of the lease
2. Please use the Riparian Landowner List (included on the next page) to list the name and address of every shorefront landowner within 1,000 ft of the lease. Have the tax collector or clerk of the municipality certify the list. Refer to the Riparian Determination guidance document to ensure all riparian landowners are included:
<https://www.maine.gov/dmr/aquaculture/forms/documents/RiparianDetermination.pdf>



**Assessors
Maps**

Property Boundary

Paper Streets

Water Body

Map Number

Sample Property Label

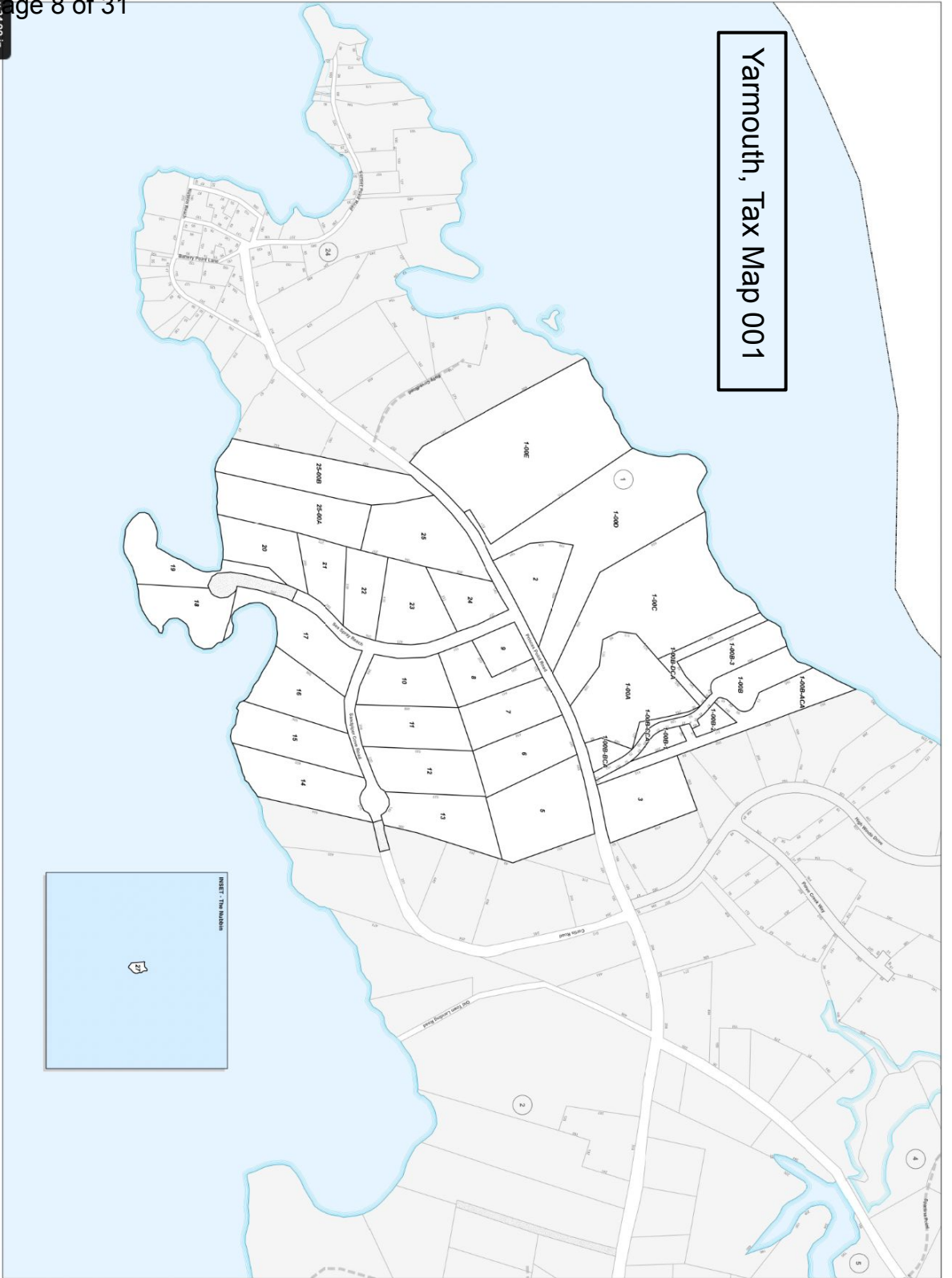
Lot 3
Unit 31
1.2 Acres



The Town of Yarmouth, Maine, and the State of Maine, do not warrant the accuracy of the information provided on this map. The information is provided for informational purposes only. Map Number 024 0223

0" = 24.00' in

Yarmouth, Tax Map 001



**Assessors
Maps**

Property Boundary

Paper Streets

Water Body

45 Map Number

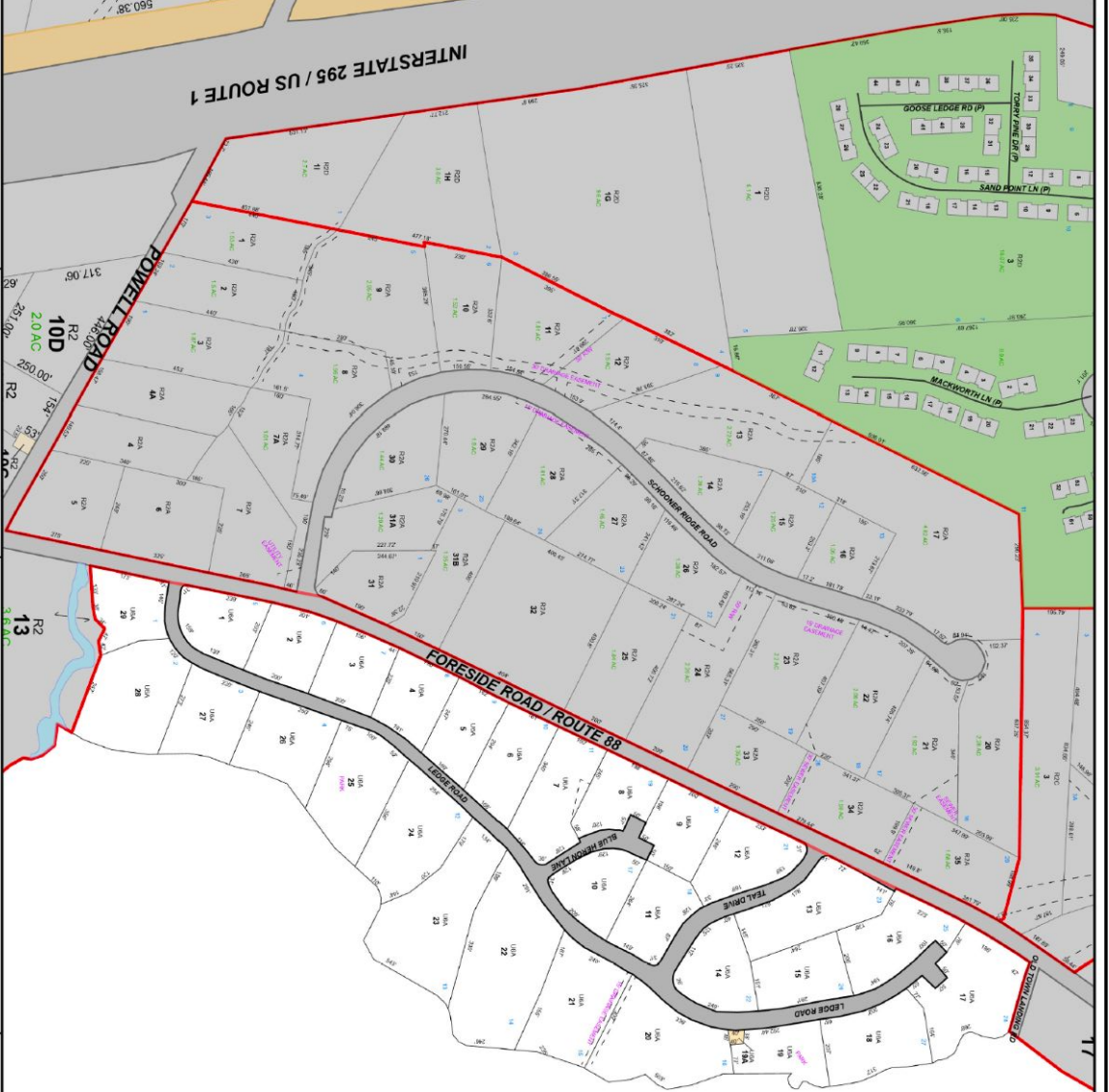
Sample Property Label

Lot 3
Unit 31
1.2 Acres



MAP 1

The Town of Yarmouth shall not be held liable for the accuracy of these maps. The maps are for reference purposes only. Maps revised 10/2020



Map(s) Prepared By:
DATE
BY

VIEWSHED
DATE
BY

Boundary Transactions for Viewshed are available on the Viewshed website. Viewshed is a registered trademark of Viewshed Software Corporation. Viewshed is not responsible for any errors or omissions on this map. All information is subject to change without notice.

- Legend**
- Viewshed Boundary
 - Wetland
 - Water
 - Road
 - Utility
 - Other Shaded
 - Taxable
 - Water

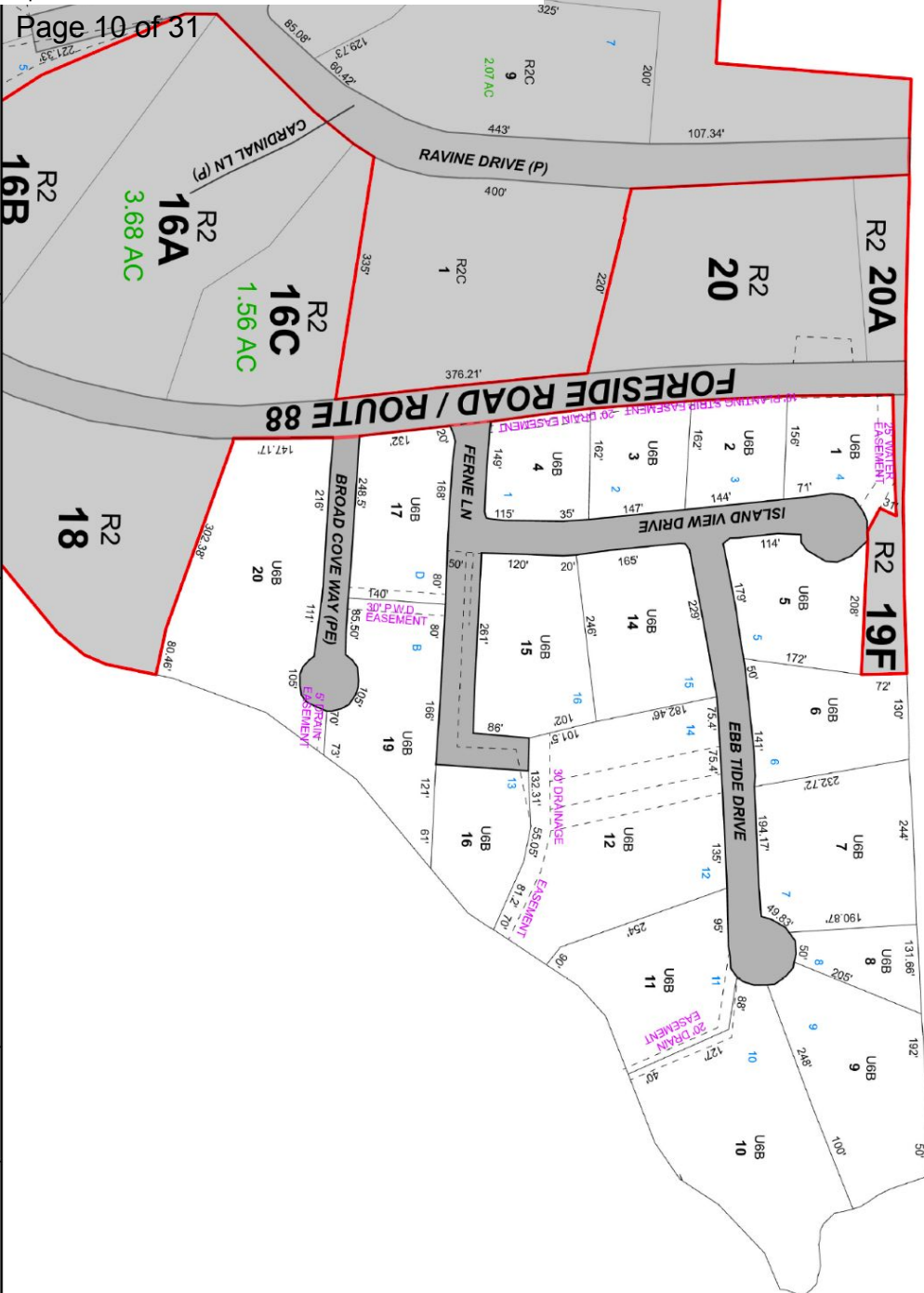
Town of Cumberland, Maine

500 Feet

Index
Map



Cumberland Tax Map
U06A
 Map updated to: April 1, 2024



Map Prepared by: **VIEWSHED**
314 State Ave Portland, ME 04102
 207.633.0444
 Showing boundaries and easements as filed with the State of Maine or as shown on the State of Maine's records.

Legend

- R2C
- U6B
- R2
- 30' DRAINAGE EASEMENT
- 30' DRAIN EASEMENT
- 25' DRAIN EASEMENT
- 20' DRAIN EASEMENT
- 20' DRAINAGE EASEMENT

Town of Cumberland, Maine

Index Map



Cumberland Tax Map
U06B
 Map updated to: April 1, 2024

300 Feet

RIPARIAN LANDOWNER LIST

THIS LIST MUST BE CERTIFIED BY THE TOWN CLERK

On this list, please include the map number, lot number, and the current owners' names and mailing addresses for all shorefront parcels within 1,000 feet of the lease site. It is the applicant's responsibility to assemble the information for the Town Clerk to certify. The Town Clerk only certifies that the information is correct according to the Town's records. Once you have completed the form, ask the Town Clerk to complete the certification section below. If riparian parcels are located within more than one municipality, provide a separate tax map and certified riparian list for each municipality.

TOWN OF: Yarmouth

MAP #	LOT #	Landowner name(s) and address(es)
<u>24</u>	<u>61</u>	HORTON HORACE W & BARABARA W 51 SUNSET POINT ROAD YARMOUTH, ME 04096
<u>24</u>	<u>62</u>	RIGBY SAM D & LAURA N - TRUSTEES 797 PRINCES POINT RD YARMOUTH, ME 04096
<u>24</u>	<u>63</u>	SUNSET POINT TRUST C/O JANE HARRIS 165 PRINCES POINT RD YARMOUTH, ME 04096
<u>24</u>	<u>67</u>	OLIVARES, ERIC CHACE + <i>Olivares, Sarah Ann</i> 24 FOLLY COVE RD YARMOUTH, ME 04096
<u>24</u>	<u>70</u>	TAYLOR, TAMMY & MICHAEL 46 FOLLY COVE RD YARMOUTH, ME 04096
<u>24</u>	<u>67-00A</u>	WEHNER, DANIEL T + <i>Wehner, Asako M.</i> 777 PRINCES POINT RD YARMOUTH, ME 04096

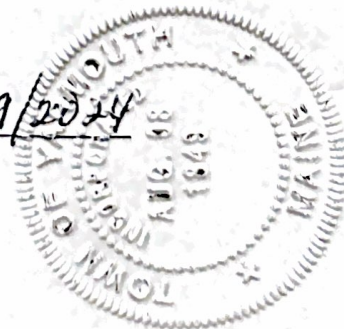
Please use additional sheets if necessary and attach hereto.

CERTIFICATION

I, Lisa Grant, Town Clerk for the Town of Yarmouth, certify that the names and addresses of the property owners listed above, as well as the map and lot numbers, are those listed in the records of this municipality and are current as of this date.

SIGNED: Lisa M. Grant

DATE: 11/19/2024



RIPARIAN LANDOWNER LIST

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On this list, please include the map number, lot number, and the current owners' names and mailing addresses for all shorefront parcels within 1,000 feet of the lease site. It is the applicant's responsibility to assemble the information for the Town Clerk to certify. The Town Clerk only certifies that the information is correct according to the Town's records. Once you have completed the form, ask the Town Clerk to complete the certification section below. If riparian parcels are located within more than one municipality, provide a separate tax map and certified riparian list for each municipality.

TOWN OF: Yarmouth

MAP #	LOT #	Landowner name(s) and address(es)
<u>24</u>	<u>69</u>	FORREST FAMILY REVOCABLE TRUST 47 FOLLY COVE RD YARMOUTH, ME 04096
<u>1</u>	<u>1-00E</u>	HAMILTON-WALDRON STACIE E 685 PRINCES POINT ROAD YARMOUTH, ME 04096
<u>1</u>	<u>1-00D</u>	JOHNSON STEPHEN M & CYNTHIA R 621 PRINCES POINT ROAD YARMOUTH, ME 04096

Please use additional sheets if necessary and attach hereto.

CERTIFICATION

I, Lisa Brant, Town Clerk for the Town of Yarmouth, certify that the names and addresses of the property owners listed above, as well as the map and lot numbers, are those listed in the records of this municipality and are current as of this date.

SIGNED: Lisa M. Brant

DATE: 11/19/2024



RIPARIAN LANDOWNER LIST

*THIS LIST MUST BE **CERTIFIED** BY THE TOWN CLERK*

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TOWN OF: Cumberland

MAP #	LOT #	Landowner name(s) and address(es)
<u>U06A</u>	<u>18</u>	Richard F Gunta & Ann K Powers, 25 Ledge Rd., Cumberland Fsde, ME 04110 mail addy: 4 Barron Court, Andover, MA 01810
<u>U06A</u>	<u>19</u>	Schooner Rocks Association, 23 Ledge Rd., #8, Cumberland Fsde, ME 04110 mail addy: c/o Lee Thibodeau, 13 Ledge Rd., Cumberland Fsde, ME 04110
<u>U06A</u>	<u>20</u>	Janet J Stewart Trust, Robert K Stewart - Trustee, 21 Ledge Rd., Cumberland Fsde, ME 04110
<u>U06A</u>	<u>21</u>	Cynthia T Churchill, 17 Ledge Rd., Cumberland Fsde, ME 04110
<u>U06A</u>	<u>22</u>	Karen Marino, 15 Ledge Rd., Cumberland Fsde, ME 04110
<u>U06A</u>	<u>23</u>	Elisabeth H Thibodeau & Lee L Thibodeau, 13 Ledge Rd., Cumberland Fsde, ME 04110

Please use additional sheets if necessary and attach hereto.

CERTIFICATION

I, Jennifer S Rooten, Town Clerk for the Town of Cumberland, certify that the names and addresses of the property owners listed above, as well as the map and lot numbers, are those listed in the records of this municipality and are current as of this date.

SIGNED: Jennifer S Rooten **DATE:** 11/15/24

RIPARIAN LANDOWNER LIST

*THIS LIST MUST BE **CERTIFIED** BY THE TOWN CLERK*

On this list, please include the map number, lot number, and the current owners' names and mailing addresses for all shorefront parcels within 1,000 feet of the lease site. It is the applicant's responsibility to assemble the information for the Town Clerk to certify. The Town Clerk *only* certifies that the information is correct according to the Town's records. Once you have completed the form, ask the Town Clerk to complete the certification section below. If riparian parcels are located within more than one municipality, provide a separate tax map and certified riparian list for each municipality.

TOWN OF: Cumberland\

MAP #	LOT #	Landowner name(s) and address(es)
<u>U06A</u>	<u>24</u>	Stacie Lyn Spielfogel Trust, Stacie Lyn Spielfogel-Trustee & Bonnie L. Schweiger-Trustee, 11 Ledge Rd., Cumberland Fsde, ME 04110 mail addy: 3070 Hampton Place, Boca Raton, FL 33434
<u>U06A</u>	<u>25</u>	Schooner Rocks Association, 9 Ledge Rd., Cumberland Fsde, ME 04110, mail addy c/o Lee Thibodeau, 13 Ledge Rd., Cumberland Fsde, ME 04110
<u>U06A</u>	<u>26</u>	Laura & Jonathan Singer, 7 Ledge Rd., Cumberland Fsde, ME 04110
<u>U06A</u>	<u>27</u>	Norinne C. Stoloski Trust, Norinne C & William J Stoloski - Trustees, 5 Ledge Rd., Cumberland Fsde, ME 04110
<u>U06A</u>	<u>28</u>	Robert A & C Meredith H Swift, 3 Ledge Rd., Cumberland Fsde, ME 04110 mail addy: 13 Devon Rd., Malvern, PA 19355

Please use additional sheets if necessary and attach hereto.

CERTIFICATION

I, Jennifer S Doten, Town Clerk for the Town of Cumberland, certify that the names and addresses of the property owners listed above, as well as the map and lot numbers, are those listed in the records of this municipality and are current as of this date.

SIGNED: Jennifer S Doten **DATE:** 11/15/24

RIPARIAN LANDOWNER LIST

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On this list, please include the map number, lot number, and the current owners' names and mailing addresses for all shorefront parcels within 1,000 feet of the lease site. It is the applicant's responsibility to assemble the information for the Town Clerk to certify. The Town Clerk *only* certifies that the information is correct according to the Town's records. Once you have completed the form, ask the Town Clerk to complete the certification section below. If riparian parcels are located within more than one municipality, provide a separate tax map and certified riparian list for each municipality.

TOWN OF: Cumberland

MAP #	LOT #	Landowner name(s) and address(es)
U06B	10	Merle R. Nelson trust, 9 Edd Tide Dr., Cumberland Fsde, ME 04110 mail addy: c/o Bernstein Shur, PO Box 9729, Portland, ME 04104
U06B	20	Bruce & Janell Keller, 7 Broad Cove Way, Cumberland Fsde, ME 04110 mail addy: 128 Highland Ave, Montclair, NH 07042

Please use additional sheets if necessary and attach hereto.

CERTIFICATION

I, Jennifer S Doten, Town Clerk for the Town of Cumberland, certify that the names and addresses of the property owners listed above, as well as the map and lot numbers, are those listed in the records of this municipality and are current as of this date.

SIGNED: Jennifer S Doten **DATE:** 11/15/24

7. TECHNICAL CAPABILITY OF THE TRANSFEREE

Provide information regarding professional expertise. For each transferee, attaching a resume or documentation of practical experience necessary to undertake aquaculture activities would satisfy this requirement.
John Clapp has been cultivating oysters since 2016, and in Maine since 2018. Currently, he operates Mimi's Oysters in Bremen which consists of individual LPA sites and a wholesale dealers facility. Harvest and sales logs submitted monthly to DMR will corroborate our activity and ability to operate a new site in Broad Cove.

8. FINANCIAL CAPABILITY OF THE TRANSFEREE

A. Please provide a letter from a financial institution indicating the applicant has an account in good standing. Note: Any financial information you submit with your application is part of the public record. Please exercise discretion when submitting financial information.
Attached.

B. Please provide cost estimates of the aquaculture activities the transferee plans to conduct on this lease.
Operating the new site will utilize existing resources and does not represent a significant financial burden to include into existing operations. Anticipated expenses for the site include: Seed: \$25,000; Boat: \$50,000; Bottom cages: \$2,800; Harvesting equipment/supplies: \$2,500; Operating costs (incl. labor): \$48,000

9. BIRD DETERRENT

Suspended culture gear can attract birds that roost on the gear and defecate, potentially creating a pollution source impacting shellfish held within the gear. In order to comply with the National Shellfish Sanitation Program (NSSP) Model Ordinance (MO), DMR is requiring that all applications involving the suspended culture of shellfish include a description of mitigation or deterrent measures to minimize the potential pollution impacts of birds at the proposed site. If appropriate, include sketches or photos that clearly depict those measures put into practice.

Examples may include:

- Submerging suspended gear and associated product at a depth sufficient to deter roosting for two weeks before harvest
- Attaching physical deterrents (i.e. zip ties) to gear
- The site is proposed for the culture of seed only
- The site is proposed for the culture of adductor-only scallops (i.e. no other shellfish species would be grown on the site)
- Proposed gear would always be suspended below the surface of the water at a depth sufficient to deter roosting (i.e. as is common for scallop lantern nets)

N/A. No suspended gear is authorized on the site.

10. FORM OF OWNERSHIP

State law limits the maximum aquaculture lease acreage a lessee can hold to 1,000 acres. Lease acreage held by a corporation or partnership is attributed to the stockholders or partners in the same proportion as their ownership in the corporation or partnership. For example, a 35% shareholder in a company holding a 100-acre lease is deemed to hold 35 acres (See [DMR Rule 2.12\(3\)](#)).

A. Individuals. Answer the following questions if any transferees are assuming this lease as individuals.

1. Have you applied for other aquaculture leases in Maine?	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

2. If “Yes”, list the transferee’s name and state the outcome and current status of each lease

3. How many acres of aquaculture leases do you currently hold, whether as an individual, a stockholder, a partner, or other co-owner of a lease or leases?	
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4. Have you ever been arrested, indicted, or convicted of or adjudicated to be responsible for, any violation of any state or federal marine resources or environmental protection law?	<input type="checkbox"/> Yes <input type="checkbox"/> No
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5. If “Yes”, list all such incidents.

B. Corporations or Partnerships. Complete the following steps if any transferees are organized as corporations or partnerships, including LLCs.

1. Include a copy of corporate filing information with this application
2. Complete and submit with this application the “Corporate Applicant Information Document” available at:
<http://www.maine.gov/dmr/aquaculture/forms/standard.html>

11. TRANSFEROR’S STATEMENT *(if multiple lessees/transferors, provide additional completed signature pages as necessary)*

By signing this application, the UNDERSIGNED LESSEE hereby states that they have read and understand the requirements of the Department’s statutes and rules governing aquaculture and the transfer application instructions. The undersigned lessee certifies that the following information is true and correct:

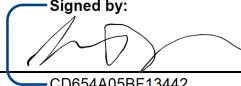
- The lease site is in good order and is in compliance with all DMR aquaculture laws and regulations;
- There are no rent bills outstanding;
- The required bond or escrow account is in place and will remain effective until the transferee obtains new coverage; and
- All required reports have been filed.

Matthew Odlin

Print name: _____

Manager

Title *(if corporate applicant)*: _____

Transferor’s Signature:  Date: 12/9/2024
Signed by: CD654A05BF13442...

Print name: _____

Title *(if corporate applicant)*: _____

Transferor’s Signature: _____ Date: _____

12. TRANSFEREE’S STATEMENT *(if multiple transferees, provide additional completed signature pages as necessary)*

By signing this application, the UNDERSIGNED TRANSFEREE hereby states that they have read and understand the requirements of the Department’s statutes and rules governing aquaculture and the transfer application instructions. The undersigned transferee hereby certifies that:

- The information in this application is true and correct;
- I understand that I am bound by the requirements of Maine law and DMR’s statutes and rules governing aquaculture;
- I understand that I am assuming the existing lease, including any conditions; and
- Upon approval of the transfer by DMR I will either open an escrow account or obtain a performance bond, as required by DMR.

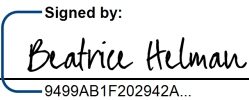
Print name: John Clapp

Title *(if corporate applicant)*: Member

Transferee’s Signature: John J. Clapp Date: 11/27/24

Print name: Beatrice Helman

Title *(if corporate applicant)*: Member

Transferee’s Signature:  Date: 12/9/2024
Signed by: Beatrice Helman 9499AB1F202942A...

**APPLICATION SUBMISSION
CHECKLIST**

Please note: This checklist is provided for the applicant's reference and does not need to be returned with the application.

I. Content

Technical capability documentation

Financial capability documentation

Tax map(s)

Riparian list, certified (*if applicable*)

Corporate applicant information document and articles of incorporation or documentation of partnership (*if applicable*)

II. Formatting

All pages (including attachments) are numbered sequentially

All attachments are clearly labeled and legible

All questions have been answered and signature pages signed

Please do not staple applications

JOHN G. CLAPP
276 Shore Road • Bremen, ME 04551

WORK EXPERIENCE

Mimi's Oysters

Owner

- Own and operate a small oyster farm, now in its 4th year of operation

Bremen, ME

Dec 2020 – Current

Running Tide Technologies

Nursery Manager

- Oversaw development and operation of the shellfish nursery program

Portland, ME

Mar 2021 – June 2023

Glidden Point Oyster Company

Farm Manager

- Scheduled and supervised daily farm operations on 30-acres of bottom and top culture oyster leases on the Damariscotta River from upweller stage through harvest and sale
- Developed farm production, inventory, efficiency, and quality database leading to the creation of key performance indicators to monitor and forecast farm output
- Designed and tested drag harvesting equipment and methods that increased harvest rates by 200%
- Worked with Sales and General Manager to coordinate harvest schedule with fluctuating market conditions to ensure positive cash flow of farm and wholesale business units
- Formulated and executed farm budgets and capital investment allocations on an annual and per project basis
- Coordinated labor, equipment, infrastructure and seedstock needs for partner farms along the Midcoast

Edgecomb, ME

June 2018 – Mar. 2021

Blue Yonder Oyster Farm

Farm Manager

- Scheduled and supervised farm crew of 3-5 people during daily operation
- Forecasted future seed volume while monitoring survival and growth of crop
- Recorded and analyzed harvest and labor data from seed delivery through harvest (1.5-2.5 years)
- Coordinated with buyers and balanced harvest schedule with market demand to ensure year round supply

Duxbury, MA

Sept. 2016 – Oct. 2018

EDUCATION

Columbia University, Columbia Engineering

St. Mark's School

New York, NY – May 2014

Southborough, MA – May 2010

Bank of America, N.A.
The Private Bank
100 Federal Street
Boston, MA 02110

November 14th , 2024

RE: Maine Department of Marine Resources

To Whom it May Concern,

This letter will serve as your confirmation that MIMI'S OYSTERS LLC has a banking relationship that is in good standing with our institution. If there are any additional questions or concerns that I can answer, Please feel free to call me at (617) 434- 5231

Sincerely,



Assistant Vice President
Wealth Management Associate

BANK OF AMERICA PRIVATE BANK
100 Federal Street / MA5-100-09-14 /
Boston, MA 02110
T: (617) 434-5231
F: (617) 310-3144
Elizabeth.Jogani@BofA.com



MAINE DEPARTMENT OF MARINE RESOURCES

Aquaculture Division, 21 State House Station, Augusta, ME 04333-0021 (207) 624-6567

**CORPORATE APPLICANT FORM
For Standard and Experimental Aquaculture Lease Applications**

Corporations or partnerships that apply for aquaculture leases in the State of Maine must complete this form. Corporations must submit information as requested under A. Corporate Applicant. Partnerships must submit information as requested under B. Partnership Applicant.

A. Corporate Applicant

Note: You must attach a copy of the Articles of Incorporation (Inc.) or Certificate of Formation (LLC) to your application.

1. Name of Corporation: Mimi's Oysters LLC

2. Date of incorporation: 5/7/2021 State of incorporation: ME

3. List the names, addresses, and titles of all officers:

Name	Address	Title
John Clapp	276 Shore Road, Bremen, ME 04551	Member
Beatrice Helman	276 Shore Road, Bremen, ME 04551	Member

Please use additional sheets if necessary and attach to the application.

4. List the names and addresses of all directors/members:

Name	Address

Please use additional sheets if necessary and attach to the application.

5. Has the corporation, or any stockholder, director, or officer applied for an aquaculture lease for Maine lands in the past? Yes No

If you selected “yes,” please indicate who applied for the lease and the status of the application or lease.

6. List the names and addresses of all stockholders who own or control at least 5% of the outstanding stock and the percentage of outstanding stock currently owned or controlled by each stockholder.

Name	Address	Percentage of Owned Stock
John Clapp	276 Shore Rd, Bremen, ME 04551	50
Beatrice Helman	276 Shore Rd, Bremen, ME 04551	50

Please use additional sheets if necessary and attach to the application.

7. List the names and addresses of stockholders, directors, or officers owning an interest, either directly or beneficially, in any other Maine aquaculture leases, as well as the quantity of acreage from existing aquaculture leases attributed to each such person based on the percentage of owned stock listed in question 6. If none, write, “None.”

Name	Address	Lease Acronym	Acreage

Please use additional sheets if necessary and attach to the application.

8. Has the corporation or any officer, director, member, or shareholder listed in item 5 above ever been arrested, indicted, convicted of, or adjudicated to be responsible for any violation of any marine resources or environmental protection law, whether state or federal?

Yes No

If you selected “yes”, please provide details.

B. Partnership Applicant

Note: You must attach a copy of either the Certificate of Limited Partnership or documentation of the formation of a General Partnership to your application.

1. Name of Partnership: _____

2. Date of formation: _____ State of partnership: _____

3. List the names, addresses, and ownership shares of all partners:

Name	Address	Ownership Shares

Please use additional sheets if necessary and attach to the application.

4. Has the partnership, or any partner applied for an aquaculture lease for Maine lands in the past? Yes No

If you selected “yes,” please indicate who applied for the lease and the status of the application or lease.

5. List the names and addresses of any partner owning an interest, either directly or beneficially, in any other Maine aquaculture leases, as well as the quantity of acreage from existing aquaculture leases attributed to each such person, based on their ownership shares from question 3.

Name	Address	Lease Acronym	Acreage

Please use additional sheets if necessary and attach to the application.

6. Has the partnership or any partner been arrested, indicted or convicted of or adjudicated to be responsible for any violation of marine resources or environmental protection law, whether State or Federal?

Yes No

If you selected "yes", please provide details.

MAINE
LIMITED LIABILITY COMPANY

STATE OF MAINE

CERTIFICATE OF FORMATION

File No. 20220315DC Pages 4
Fee Paid \$ 175
DCN 2211302330013 DLLL
FILED
05/07/2021

Jules A. Flynn
Deputy Secretary of State

A True Copy When Attested By Signature

Deputy Secretary of State

Pursuant to 31 MRSA §1531, the undersigned executes and delivers the following Certificate of Formation:

FIRST: The name of the limited liability company is:
Mimi's Oysters LLC

(A limited liability company name must contain the words "limited liability company" or "limited company" or the abbreviation "L.L.C.," "LLC," "L.C." or "LC" or, in the case of a low-profit limited liability company, "L3C" or "l3c" -- see 31 MRSA 1508.)

SECOND: Filing Date: (select one)

- Date of this filing; or
- Later effective date (specified here): _____

THIRD: Designation as a low profit LLC (Check only if applicable):

- This is a low-profit limited liability company pursuant to 31 MRSA §1611 meeting all qualifications set forth here:
 - A. The company intends to qualify as a low-profit limited liability company;
 - B. The company must at all times significantly further the accomplishment of one or more of the charitable or educational purposes within the meaning of Section 170(c)(2)(B) of the Internal Revenue Code of 1986, as it may be amended, revised or succeeded, and must list the specific charitable or educational purposes the company will further;
 - C. No significant purpose of the company is the production of income or the appreciation of property. The fact that a person produces significant income or capital appreciation is not, in the absence of other factors, conclusive evidence of a significant purpose involving the production of income or the appreciation of property; and
 - D. No purpose of the company is to accomplish one or more political or legislative purpose within the meaning of Section 170(c)(2)(D) of the Internal Revenue Code of 1986, or its successor.

FOURTH: Designation as a professional LLC (Check only if applicable):

- This is a professional limited liability company* formed pursuant to 13 MRSA Chapter 22-A to provide the following professional services:

(Type of professional services)

Form No. MLLC-6 (1 of 2)

FIFTH: The Registered Agent is a: (select either a Commercial or Noncommercial Registered Agent)

Commercial Registered Agent

CRA Public Number: P10068

Corporation Service Company

(Name of commercial registered agent)

Noncommercial Registered Agent

(Name of noncommercial registered agent)

(physical location, not P.O. Box – street, city, state and zip code)

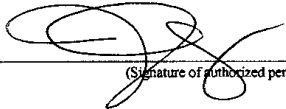
(mailing address if different from above)

SIXTH: Pursuant to 5 MRSA §105.2, the registered agent listed above has consented to serve as the registered agent for this limited liability company.

SEVENTH: Other matters the members determine to include are set forth in the attached Exhibit A, and made a part hereof.

****Authorized person(s)**

Dated May 5, 2021



(Signature of authorized person)

MMP Management LLC by Jacqueline R. McCoy

(Type or print name of authorized person)

(Signature of authorized person)

(Type or print name of authorized person)

***Examples** of professional service limited liability companies are accountants, attorneys, chiropractors, dentists, registered nurses and veterinarians. (This is not an inclusive list – see 13 MRSA §723.7)

****Pursuant to 31 MRSA §1676.1.A, Certificate of Formation MUST be signed by at least one authorized person.**

The execution of this certificate constitutes an oath or affirmation under the penalties of false swearing under 17-A MRSA §453.

Please remit your payment made payable to the Maine Secretary of State.

Submit completed form to:

**Secretary of State
Division of Corporations, UCC and Commissions
101 State House Station
Augusta, ME 04333-0101
Telephone Inquiries: (207) 624-7752**

Email Inquiries: CEC.Corporations@Maine.gov

Form No. MLLC-6 (2 of 2) Rev. 10/31/2012

EXHIBIT A

Mimi's Oysters LLC
A Maine Limited Liability Company

Mailing Address:

c/o MMP MANAGEMENT LLC
c/o Paul|McCoy Family Office Services LLP
31 St. James Avenue, Suite 740
Boston, MA 02116

Attn: Jacqueline R. McCoy

Purpose: The general character of the business of the LLC is to acquire, own, develop, construct, operate, manage and maintain an oyster farming business; and further to conduct any other business legally permissible under the Act or under applicable laws.

Date of Dissolution: the LLC has no specific date of dissolution.

Managers: The Managers of the LLC, with only one signature required, are:

BEATRICE P. HELMAN
276 Shore Road
Bremen, ME 04551

JOHN G. CLAPP
276 Shore Road
Bremen, ME 04551

MMP MANAGEMENT LLC
c/o Paul|McCoy Family Office Services LLP
31 St. James Avenue, Suite 740
Boston, MA 02116

Authorized Signatories: Mary Plum, Jacqueline R. McCoy and Kathleen McDonough, each authorized to sign with only on signature required.

Execution of Documents:

The Managers, BEATRICE P. HELMAN, JOHN G. CLAPP and/or MMP MANAGEMENT LLC (by and through its managers, Mary Plum, Jacqueline R. McCoy and Kathleen McDonough with only one signature required), are each authorized with only one signature required to execute any documents to be filed with the Office of the Secretary of State.

The Managers, BEATRICE P. HELMAN, JOHN G. CLAPP and/or MMP MANAGEMENT LLC (by and through its managers, Mary Plum, Jacqueline R. McCoy and Kathleen McDonough with only one signature required), are each authorized to execute, acknowledge, deliver and record any recordable instrument on behalf of the LLC purporting to affect an interest in real property.