

Office of MaineCare Services Section 1115 Substance Use Disorder Care Initiative



What is an IMD?

Institute of Mental Disease (IMD)

- Hospital, nursing facility, or other institution of more than 16 beds
- Primarily engaged in providing diagnosis, treatment, or care of persons with mental illness

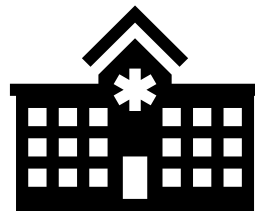
IMD Exclusion

- Enacted in 1965 as part of the Social Security Amendments (P.L. 89-97)
 - Assured primary responsibility for funding inpatient psychiatric services in IMDs falls on states.
 - Hospitals and Psychiatric Residential Treatment Facilities providing inpatient psychiatric treatment to beneficiaries under 21 years of age are exempt

What is an IMD?

Is it an IMD?

- ✗ Appendix B Private Non-Medical Institution with 15 beds
- ✓ Appendix B Private Non-Medical Institution with 20 beds
- ✗ Medically supervised withdrawal unit of an acute care hospital that has a total of 200 beds
- ✗ Psychiatric Residential Treatment Facility
- ✓ State Operated Psychiatric Hospitals (Riverview or Dorothea Dix)
- ✗ Psychiatric Hospitals for Children under 21 (Spring Harbor and Acadia Hospitals)



What are 1115 waivers?

- Gives U.S. Health and Human Services the authority to waive certain provisions of Medicaid law to give states flexibility to design and improve their programs.
- Waivers may approve experimental, pilot, or demonstration projects that promote the objectives of Medicaid and the Child Health Insurance Program (CHIP).

1115 IMD Exclusion Waiver

- November 2017: Centers for Medicare and Medicaid Services (CMS) issued a “State Medicaid Director” letter ([SMD #17-003](#)), which offered states a new opportunity to address Substance Use Disorders (SUD)/Opioid Use Disorders (OUD) through waiving what is known as the “IMD Exclusion.”
- IMD exclusion prevents federal Medicaid funds from paying for IMD services for adults under age 65.

Maine's Demonstration

Maine's 1115 SUD IMD Exclusion Waiver submission to CMS (November 2019) paired expansion of access to facility-based care with system reform and creation of “pilot” programs to close gaps in Maine's SUD system of care.

- Maine received CMS approval for the IMD exclusion December 2020.
- Pilot Programs (still under CMS review):
 - Home-based skills development service
 - Parenting support programs
 - Attachment and Biobehavioral Catch-up
 - Visit Coaching
 - Structured Recovery Housing
 - Eligibility expansion for parents working toward reunification

MaineCare's Existing SUD Delivery System

Care Coordination

- Targeted Case Management
- Opioid Health Homes

Outpatient services

- Early Intervention Services
- Comprehensive Assessment and Outpatient Therapy
- Intensive Outpatient Therapy
- Medication Management
- Medication Assisted Treatment (e.g. Office-Based Opioid Treatment, Methadone)

Residential Services

- Halfway House Services
- Extended Care
- Residential Rehabilitation Type I
- Residential Rehabilitation Type II
- Adolescent Residential Rehabilitation
- Non-Hospital Medically Managed Withdrawal

1115 Waiver Components

- Execute Implementation Plan
- Quarterly reporting on monitoring metrics
- Independent evaluation of waiver impacts
- Mid-point assessment
- Budget/expenditure reporting
- Changes to MIHMS system

1115 Waiver Components continued...

Goals and Milestones are set by CMS. Every state must submit an implementation plan addressing how it will meet the goals and milestones, which must be approved before the state can operationalize the demonstration.

Demonstration Goals

1. Increase rates of identification, initiation, and engagement in treatment.
2. Increase adherence to and retention in treatment, especially in community settings.
3. Reduce overdose deaths, particularly those due to opioids.
4. Reduce utilization of emergency departments and inpatient hospital settings for treatment where the utilization is preventable or medically inappropriate through improved access to other continuum of care services.
5. Reduce readmissions to the same or higher level of care where the readmission is preventable or medically inappropriate.
6. Improve access to care for physical health conditions among beneficiaries.

1115 Waiver Components continued...

Milestones

- Access to critical levels of care for OUD and other SUDs
- Widespread use of evidence-based, SUD-specific patient placement criteria;
- Use of nationally recognized, evidence-based, SUD program standards to set residential treatment provider qualifications;
- Sufficient provider capacity at each level of care, including MAT;
- Implementation of comprehensive treatment and prevention strategies to address opioid misuse and OUD; and
- Improved care coordination and transitions between levels of care

Implementation Plan Highlights

Initiatives in Progress	Planned Activities
SUPPORT for ME: Service Locator Tool	Kepro assistance in appropriateness of care assessments (TBD)
SUPPORT for ME: Provider capacity, access, and care integration assessments	Close quality assurance gaps to create a more integrated residential provider oversight process (OCFS, OBH, Licensing, MaineCare)
SUPPORT for ME: Appendix B rate study	Strengthen requirements/incentives for App. B providers to offer/ facilitate access to MAT
Require use of American Society of Addiction Medicine (ASAM) patient placement criteria	<p>Add ASAM placement criteria expectation to OHH; revise model to expand access</p> <p>Use SUPPORT for ME Learning Community to educate providers on ASAM</p>
Improve Prescription Drug Monitoring Plan functionality	
MaineCare policy and Value Based Purchasing: Clarify coverage; remove stigmatizing language; assess ways to improve access to SUD services, support transitions of care; explore incentives and quality measurement to improve outcomes across the continuum.	

Operationalizing the Demonstration

Pilots:

- Still awaiting decision from CMS. If approved, instructions will follow.

Demonstration:

- OMS is configuring our systems to have an IMD Specialty under Provider Type 55.
 - Providers must be enrolled as MaineCare providers with this Provider Type.
 - Currently enrolled providers will need to update their enrollment through a Maintenance Case in [HealthPAS](#).
 - Providers will need to update their license with the Division of Licensing and Certification.
 - Submit a Substance Abuse Agency License Application and Change form.
 - A State Fire Marshall inspection may be needed for approval.

Starting Your Enrollment

- Submitting the Enrollment/Maintenance Case
- Utilizing the MaineCare Health PAS Online Portal

<https://mainecare.maine.gov/Default.aspx>

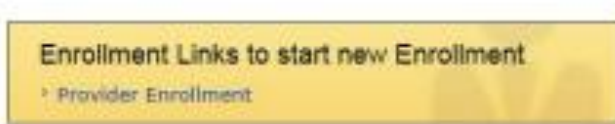
The screenshot displays the MaineCare Services website interface. At the top, the header includes the MaineCare Services logo, the text "An Office of the Department of Health and Human Services", and links for "Enable Accessibility" and "Help". A navigation menu below the header contains "Home", "Form Entry", "Account Maintenance", "File Exchange", "Provider Directory", "MaineCare Information", "Surveys", and "Contact Us". The "Account Maintenance" menu is expanded, showing options for "User Account" (Manage Users, Reset Password, User Status) and "Trading Partner Account" (Manage Providers, Account Information, EDI Certification Status, and "Provider Enrollment" which is highlighted with a red box). Below the navigation, there are sections for "MaineCare Online Portal" (Serving the Maine to improve health), "Announcements" (dated 11/3/2015 4:16 PM, regarding Home Health Services Notification Cover Sheet Version 2.0), "Help Documents" (with "Provider Documents" selected, listing items like Approved Billing Agencies and Clearinghouses, Definition of Benefits, Fee Schedules, Forms, Handbooks, and Provider Enrollment / Special Enrollment Tools), and "News for Providers" (dated 11/3/2015 4:43 PM, regarding the updated Radiology CPT Screening Guide). At the bottom, there are tabs for "GENERAL", "MEMBER", and "PROVIDER".

Starting Your Enrollment continued

- Enrollment User Guides Available
- Contact Provider Services 1-800-690-5585, Option 1



The banner features the MaineCare Services logo on the left, which includes the state seal and the text "MaineCare Services" and "An Office of the Department of Health and Human Services". On the right, there are links for "Accessibility" and "Help", and a language dropdown menu set to "English". Below the banner is a navigation bar with the following items: "Provider Enrollment Application", "Back to Portal", "Home", "Sign Out", and "Toll Free: 1-866-690-5585".



Enrollment Links to start new Enrollment
Provider Enrollment

To begin Maintenance or view your existing enrollment with MaineCare, please use the Action Button in the grid below

My Enrollment Applications							
Case Number	Provider ID	Provider Name	Email Address	Enumeration Type	Enrollment Status	Enrollment Type	Actions
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	

Adding a New Specialty to MaineCare

- 1115 SUD Waiver requires to ADD Specialty IMD Waiver
- Terminate your current Specialty
- Ensure your Agency License is updated
- Submit your maintenance case



Provider Type/Specialty

Billing Provider ID: Enrollment Case Number: - 1 Status: **NEW - MAINTENANCE**

Service Location Details

Service Location Number : HELP TEXT 7.24.2016-001 City, State : AUGUSTA, ME

Specialties

You must enter your PRIMARY specialty first. The system defaults the first entry as the PRIMARY specialty.

Provider Type	Specialty	Begin Date	Term Date
Hospital	HOSPITAL	11/1/2015	

EDIT

DELETE

ADD

Provider Type/Specialty

Provider Type* : Hospital

Begin Date* : 11/1/2015

Specialty* : HOSPITAL

Term Date :

Licensing Process

DLC SUD Agency Application/Change Form Submission

<https://www.maine.gov/dhhs/dlc/licensing-certification/behavioral-health>

Change of capacity and new site applications require a licensing inspection and an inspection by the State Fire Marshal's Office (SFMO).

- When DLC receives the agency application, a SFMO inspection will be requested, and will take place independently of the DLC inspection.
- DLC approval of increased capacity and new site applications is dependent upon SFMO approval.
- Following DLC approval of change applications, an updated license and letter will be sent to the provider reflecting the new site or capacity.

Questions?

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