

MINIMUM DATA SET (MDS) - Version 3.0 RESIDENT ASSESSMENT AND CARE SCREENING *Nursing Home Comprehensive (NC) Item Set*

Section A	Identification Information
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A0050. Type of Record

Enter Code <input style="width: 100%;" type="text"/>	<ol style="list-style-type: none"> 1. Add new record → Continue to A0100, Facility Provider Numbers 2. Modify existing record → Continue to A0100, Facility Provider Numbers 3. Inactivate existing record → Skip to X0150, Type of Provider
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A0100. Facility Provider Numbers

	<p>A. National Provider Identifier (NPI):</p> <p>B. CMS Certification Number (CCN):</p> <p>C. State Provider Number:</p>
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A0200. Type of Provider

Enter Code <input style="width: 100%;" type="text"/>	<p>Type of provider</p> <ol style="list-style-type: none"> 1. Nursing home (SNF/NF) 2. Swing Bed 	<div style="border: 1px solid black; padding: 2px; color: white; font-weight: bold;">RUG III</div>
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A0300. Optional State Assessment

Complete only if A0200 = 1

Enter Code <input style="width: 100%;" type="text"/>	<p>A. Is this assessment for state payment purposes only?</p> <ol style="list-style-type: none"> 0. No 1. Yes
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A0310. Type of Assessment

Enter Code <input style="width: 100%;" type="text"/>	<p>A. Federal OBRA Reason for Assessment</p> <ol style="list-style-type: none"> 01. Admission assessment (required by day 14) 02. Quarterly review assessment 03. Annual assessment 04. Significant change in status assessment 05. Significant correction to prior comprehensive assessment 06. Significant correction to prior quarterly assessment 99. None of the above 	<div style="border: 1px solid black; padding: 2px; color: white; font-weight: bold;">CATs</div> <div style="border: 1px solid black; padding: 2px; color: white; font-weight: bold;">RUG III</div>
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Enter Code <input style="width: 100%;" type="text"/>	<p>B. PPS Assessment</p> <p>PPS Scheduled Assessment for a Medicare Part A Stay</p> <ol style="list-style-type: none"> 01. 5-day scheduled assessment <p>PPS Unscheduled Assessment for a Medicare Part A Stay</p> <ol style="list-style-type: none"> 08. IPA - Interim Payment Assessment <p>Not PPS Assessment</p> <ol style="list-style-type: none"> 99. None of the above 	<div style="border: 1px solid black; padding: 2px; color: white; font-weight: bold;">PDPM</div> <div style="border: 1px solid black; padding: 2px; color: white; font-weight: bold;">RUG III</div>
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Enter Code <input style="width: 100%;" type="text"/>	<p>E. Is this assessment the first assessment (OBRA, Scheduled PPS, or Discharge) since the most recent admission/entry or reentry?</p> <ol style="list-style-type: none"> 0. No 1. Yes
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Enter Code <input style="width: 100%;" type="text"/>	<p>F. Entry/discharge reporting</p> <ol style="list-style-type: none"> 01. Entry tracking record 10. Discharge assessment-return not anticipated 11. Discharge assessment-return anticipated 12. Death in facility tracking record 99. None of the above
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A0310 continued on next page

Section A	Identification Information
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A0310. Type of Assessment - Continued

Enter Code <input style="width:20px; height:20px;" type="text"/>	G. Type of discharge - Complete only if A0310F = 10 or 11 1. Planned 2. Unplanned
Enter Code <input style="width:20px; height:20px;" type="text"/>	G1. Is this a SNF Part A Interrupted Stay? 0. No 1. Yes
Enter Code <input style="width:20px; height:20px;" type="text"/>	H. Is this a SNF Part A PPS Discharge Assessment? 0. No 1. Yes

A0410. Unit Certification or Licensure Designation

Enter Code <input style="width:20px; height:20px;" type="text"/>	1. Unit is neither Medicare nor Medicaid certified and MDS data is not required by the State 2. Unit is neither Medicare nor Medicaid certified but MDS data is required by the State 3. Unit is Medicare and/or Medicaid certified
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A0500. Legal Name of Resident

A. First name: C. Last name:		B. Middle initial: D. Suffix:
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A0600. Social Security and Medicare Numbers

	A. Social Security Number: _____ - _____ - _____
	B. Medicare number: _____

A0700. Medicaid Number - Enter "+" if pending, "N" if not a Medicaid recipient

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A0800. Gender

Enter Code <input style="width:20px; height:20px;" type="text"/>	1. Male 2. Female
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A0900. Birth Date

	_____ - _____ - _____ Month Day Year
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A1000. Race/Ethnicity

↓ Check all that apply	
<input type="checkbox"/>	A. American Indian or Alaska Native
<input type="checkbox"/>	B. Asian
<input type="checkbox"/>	C. Black or African American
<input type="checkbox"/>	D. Hispanic or Latino
<input type="checkbox"/>	E. Native Hawaiian or Other Pacific Islander
<input type="checkbox"/>	F. White

Section A**Identification Information****A1100. Language**

Enter Code

A. Does the resident need or want an interpreter to communicate with a doctor or health care staff?

0. **No** → Skip to A1200, Marital Status
 1. **Yes** → Specify in A1100B, Preferred language
 9. **Unable to determine** → Skip to A1200, Marital Status

B. Preferred language:**A1200. Marital Status**

Enter Code

1. **Never married**
 2. **Married**
 3. **Widowed**
 4. **Separated**
 5. **Divorced**

A1300. Optional Resident Items**A. Medical record number:****B. Room number:****C. Name by which resident prefers to be addressed:****D. Lifetime occupation(s) - put "/" between two occupations:****A1500. Preadmission Screening and Resident Review (PASRR)**

Complete only if A0310A = 01, 03, 04, or 05

Enter Code

Is the resident currently considered by the state level II PASRR process to have serious mental illness and/or intellectual disability or a related condition?

0. **No** → Skip to A1550, Conditions Related to ID/DD Status
 1. **Yes** → Continue to A1510, Level II Preadmission Screening and Resident Review (PASRR) Conditions
 9. **Not a Medicaid-certified unit** → Skip to A1550, Conditions Related to ID/DD Status

A1510. Level II Preadmission Screening and Resident Review (PASRR) Conditions

Complete only if A0310A = 01, 03, 04, or 05

**Check all that apply****A. Serious mental illness****B. Intellectual Disability****C. Other related conditions**

Section A**Identification Information****A1550. Conditions Related to ID/DD Status**

If the resident is 22 years of age or older, complete only if A0310A = 01

If the resident is 21 years of age or younger, complete only if A0310A = 01, 03, 04, or 05

↓ **Check all conditions that are related to ID/DD status** that were manifested before age 22, and are likely to continue indefinitely

	ID/DD With Organic Condition
<input type="checkbox"/>	A. Down syndrome
<input type="checkbox"/>	B. Autism
<input type="checkbox"/>	C. Epilepsy
<input type="checkbox"/>	D. Other organic condition related to ID/DD
	ID/DD Without Organic Condition
<input type="checkbox"/>	E. ID/DD with no organic condition
	No ID/DD
<input type="checkbox"/>	Z. None of the above

Most Recent Admission/Entry or Reentry into this Facility**A1600. Entry Date**

	— — Month Day Year
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A1700. Type of Entry

Enter Code	<input type="checkbox"/> 1. Admission <input type="checkbox"/> 2. Reentry
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A1800. Entered From

Enter Code	<input type="checkbox"/> 01. Community (private home/apt., board/care, assisted living, group home) <input type="checkbox"/> 02. Another nursing home or swing bed <input type="checkbox"/> 03. Acute hospital <input type="checkbox"/> 04. Psychiatric hospital <input type="checkbox"/> 05. Inpatient rehabilitation facility <input type="checkbox"/> 06. ID/DD facility <input type="checkbox"/> 07. Hospice <input type="checkbox"/> 09. Long Term Care Hospital (LTCH) <input type="checkbox"/> 99. Other
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A1900. Admission Date (Date this episode of care in this facility began)

	— — Month Day Year
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A2000. Discharge Date

Complete only if A0310F = 10, 11, or 12

	— — Month Day Year
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Section A	Identification Information
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A2100. Discharge Status Complete only if A0310F = 10, 11, or 12

Enter Code <input style="width:100%; height: 20px;" type="text"/>	<ul style="list-style-type: none"> 01. Community (private home/apt., board/care, assisted living, group home) 02. Another nursing home or swing bed 03. Acute hospital 04. Psychiatric hospital 05. Inpatient rehabilitation facility 06. ID/DD facility 07. Hospice 08. Deceased 09. Long Term Care Hospital (LTCH) 99. Other
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A2200. Previous Assessment Reference Date for Significant Correction Complete only if A0310A = 05 or 06

	- - Month Day Year
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A2300. Assessment Reference Date

	Observation end date:
	- - Month Day Year



A2400. Medicare Stay Complete only if A0310G1= 0
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Enter Code <input style="width:100%; height: 20px;" type="text"/>	<p>A. Has the resident had a Medicare-covered stay since the most recent entry?</p> <ul style="list-style-type: none"> 0. No → Skip to B0100, Comatose 1. Yes → Continue to A2400B, Start date of most recent Medicare stay
	<p>B. Start date of most recent Medicare stay:</p> <p style="text-align: center; padding: 5px;"> - - Month Day Year </p>
	<p>C. End date of most recent Medicare stay - Enter dashes if stay is ongoing:</p> <p style="text-align: center; padding: 5px;"> - - Month Day Year </p>

Look back period for all items is 7 days unless another time frame is indicated

Section B Hearing, Speech, and Vision

B0100. Comatose

Enter Code <input style="width: 100%;" type="text"/>	Persistent vegetative state/no discernible consciousness 0. No → Continue to B0200, Hearing 1. Yes → Skip to G0110, Activities of Daily Living (ADL) Assistance	<div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px; font-size: 8px;">RUG III</div> <div style="border: 1px solid black; padding: 2px; font-size: 8px;">PDPM</div> </div>
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B0200. Hearing

Enter Code <input style="width: 100%;" type="text"/>	Ability to hear (with hearing aid or hearing appliances if normally used) 0. Adequate - no difficulty in normal conversation, social interaction, listening to TV 1. Minimal difficulty - difficulty in some environments (e.g., when person speaks softly or setting is noisy) 2. Moderate difficulty - speaker has to increase volume and speak distinctly 3. Highly impaired - absence of useful hearing	<div style="border: 1px solid black; padding: 2px; font-size: 8px;">CATs</div>
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B0300. Hearing Aid

Enter Code <input style="width: 100%;" type="text"/>	Hearing aid or other hearing appliance used in completing B0200, Hearing 0. No 1. Yes
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B0600. Speech Clarity

Enter Code <input style="width: 100%;" type="text"/>	Select best description of speech pattern 0. Clear speech - distinct intelligible words 1. Unclear speech - slurred or mumbled words 2. No speech - absence of spoken words
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B0700. Makes Self Understood

Enter Code <input style="width: 100%;" type="text"/>	Ability to express ideas and wants , consider both verbal and non-verbal expression 0. Understood 1. Usually understood - difficulty communicating some words or finishing thoughts but is able if prompted or given time 2. Sometimes understood - ability is limited to making concrete requests 3. Rarely/never understood	<div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; padding: 2px; font-size: 8px;">CATs</div> <div style="border: 1px solid black; padding: 2px; font-size: 8px;">RUG III</div> <div style="border: 1px solid black; padding: 2px; font-size: 8px;">PDPM</div> </div>
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B0800. Ability To Understand Others

Enter Code <input style="width: 100%;" type="text"/>	Understanding verbal content, however able (with hearing aid or device if used) 0. Understands - clear comprehension 1. Usually understands - misses some part/intent of message but comprehends most conversation 2. Sometimes understands - responds adequately to simple, direct communication only 3. Rarely/never understands	<div style="border: 1px solid black; padding: 2px; font-size: 8px;">CATs</div>
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B1000. Vision

Enter Code <input style="width: 100%;" type="text"/>	Ability to see in adequate light (with glasses or other visual appliances) 0. Adequate - sees fine detail, such as regular print in newspapers/books 1. Impaired - sees large print, but not regular print in newspapers/books 2. Moderately impaired - limited vision; not able to see newspaper headlines but can identify objects 3. Highly impaired - object identification in question, but eyes appear to follow objects 4. Severely impaired - no vision or sees only light, colors or shapes; eyes do not appear to follow objects	<div style="border: 1px solid black; padding: 2px; font-size: 8px;">CATs</div>
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B1200. Corrective Lenses

Enter Code <input style="width: 100%;" type="text"/>	Corrective lenses (contacts, glasses, or magnifying glass) used in completing B1000, Vision 0. No 1. Yes
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Section C	Cognitive Patterns
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C0100. Should Brief Interview for Mental Status (C0200-C0500) be Conducted?

Attempt to conduct interview with all residents

Enter Code <input style="width:100%; height:20px;" type="text"/>	0. No (resident is rarely/never understood) → Skip to and complete C0700-C1000, Staff Assessment for Mental Status 1. Yes → Continue to C0200, Repetition of Three Words	
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Brief Interview for Mental Status (BIMS)

C0200. Repetition of Three Words

Enter Code <input style="width:100%; height:20px;" type="text"/>	Ask resident: <i>"I am going to say three words for you to remember. Please repeat the words after I have said all three. The words are: sock, blue, and bed. Now tell me the three words."</i> Number of words repeated after first attempt 0. None 1. One 2. Two 3. Three	
After the resident's first attempt, repeat the words using cues (<i>"sock, something to wear; blue, a color; bed, a piece of furniture"</i>). You may repeat the words up to two more times.		

C0300. Temporal Orientation (orientation to year, month, and day)

Enter Code <input style="width:100%; height:20px;" type="text"/>	Ask resident: <i>"Please tell me what year it is right now."</i> A. Able to report correct year 0. Missed by > 5 years or no answer 1. Missed by 2-5 years 2. Missed by 1 year 3. Correct	
Enter Code <input style="width:100%; height:20px;" type="text"/>	Ask resident: <i>"What month are we in right now?"</i> B. Able to report correct month 0. Missed by > 1 month or no answer 1. Missed by 6 days to 1 month 2. Accurate within 5 days	
Enter Code <input style="width:100%; height:20px;" type="text"/>	Ask resident: <i>"What day of the week is today?"</i> C. Able to report correct day of the week 0. Incorrect or no answer 1. Correct	

C0400. Recall

Enter Code <input style="width:100%; height:20px;" type="text"/>	Ask resident: <i>"Let's go back to an earlier question. What were those three words that I asked you to repeat?"</i> If unable to remember a word, give cue (something to wear; a color; a piece of furniture) for that word. A. Able to recall "sock" 0. No - could not recall 1. Yes, after cueing ("something to wear") 2. Yes, no cue required	
Enter Code <input style="width:100%; height:20px;" type="text"/>	B. Able to recall "blue" 0. No - could not recall 1. Yes, after cueing ("a color") 2. Yes, no cue required	
Enter Code <input style="width:100%; height:20px;" type="text"/>	C. Able to recall "bed" 0. No - could not recall 1. Yes, after cueing ("a piece of furniture") 2. Yes, no cue required	

C0500. BIMS Summary Score

Enter Score <input style="width:100%; height:20px;" type="text"/>	Add scores for questions C0200-C0400 and fill in total score (00-15) Enter 99 if the resident was unable to complete the interview	
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Section C Cognitive Patterns

C0600. Should the Staff Assessment for Mental Status (C0700 - C1000) be Conducted?

Enter Code	0. No (resident was able to complete Brief Interview for Mental Status) → Skip to C1310, Signs and Symptoms of Delirium 1. Yes (resident was unable to complete Brief Interview for Mental Status) → Continue to C0700, Short-term Memory OK
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Staff Assessment for Mental Status

Do not conduct if Brief Interview for Mental Status (C0200-C0500) was completed

C0700. Short-term Memory OK

Enter Code	Seems or appears to recall after 5 minutes 0. Memory OK 1. Memory problem	  
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C0800. Long-term Memory OK




Enter Code	Seems or appears to recall long past 0. Memory OK 1. Memory problem	
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C0900. Memory/Recall Ability

↓ Check all that the resident was normally able to recall

- A. Current season**
- B. Location of own room**
- C. Staff names and faces**
- D. That he or she is in a nursing home/hospital swing bed**
- Z. None of the above** were recalled

C1000. Cognitive Skills for Daily Decision Making


Enter Code	Made decisions regarding tasks of daily life 0. Independent - decisions consistent/reasonable 1. Modified independence - some difficulty in new situations only 2. Moderately impaired - decisions poor; cues/supervision required 3. Severely impaired - never/rarely made decisions	  
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Delirium




C1310. Signs and Symptoms of Delirium (from CAM©)

Code **after completing** Brief Interview for Mental Status or Staff Assessment, and reviewing medical record

A. Acute Onset Mental Status Change

Enter Code	Is there evidence of an acute change in mental status from the resident's baseline? 0. No 1. Yes	
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↓ Enter Codes in Boxes

Coding: 0. Behavior not present 1. Behavior continuously present, does not fluctuate 2. Behavior present, fluctuates (comes and goes, changes in severity)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	B. Inattention - Did the resident have difficulty focusing attention, for example, being easily distractible or having difficulty keeping track of what was being said?  C. Disorganized Thinking - Was the resident's thinking disorganized or incoherent (rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject)?  D. Altered Level of Consciousness - Did the resident have altered level of consciousness, as indicated by any of the following criteria? <ul style="list-style-type: none"> ▪ vigilant - startled easily to any sound or touch ▪ lethargic - repeatedly dozed off when being asked questions, but responded to voice or touch ▪ stuporous - very difficult to arouse and keep aroused for the interview ▪ comatose - could not be aroused 
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Section D **Mood**

D0100. Should Resident Mood Interview be Conducted? - Attempt to conduct interview with all residents

Enter Code	<p>0. No (resident is rarely/never understood) → Skip to and complete D0500-D0600, Staff Assessment of Resident Mood (PHQ-9-OV)</p> <p>1. Yes → Continue to D0200, Resident Mood Interview (PHQ-9©)</p>
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D0200. Resident Mood Interview (PHQ-9©)

Say to resident: "Over the last 2 weeks, have you been bothered by any of the following problems?"

If symptom is present, enter 1 (yes) in column 1, Symptom Presence.
 If yes in column 1, then ask the resident: "About **how often** have you been bothered by this?"
 Read and show the resident a card with the symptom frequency choices. Indicate response in column 2, Symptom Frequency.

	1. Symptom Presence		2. Symptom Frequency	
	0. No (enter 0 in column 2)	1. Yes (enter 0-3 in column 2)	0. Never or 1 day	1. 2-6 days (several days) 2. 7-11 days (half or more of the days) 3. 12-14 days (nearly every day)
	↓ Enter Scores in Boxes ↓			
A. Little interest or pleasure in doing things	CATs	<input type="checkbox"/>	RUG III	PDPM
B. Feeling down, depressed, or hopeless	<input type="checkbox"/>	<input type="checkbox"/>	RUG III	PDPM
C. Trouble falling or staying asleep, or sleeping too much	<input type="checkbox"/>	<input type="checkbox"/>	RUG III	PDPM
D. Feeling tired or having little energy	<input type="checkbox"/>	<input type="checkbox"/>	RUG III	PDPM
E. Poor appetite or overeating	<input type="checkbox"/>	<input type="checkbox"/>	RUG III	PDPM
F. Feeling bad about yourself - or that you are a failure or have let yourself or your family down	<input type="checkbox"/>	<input type="checkbox"/>	RUG III	PDPM
G. Trouble concentrating on things, such as reading the newspaper or watching television	<input type="checkbox"/>	<input type="checkbox"/>	RUG III	PDPM
H. Moving or speaking so slowly that other people could have noticed. Or the opposite - being so fidgety or restless that you have been moving around a lot more than usual	<input type="checkbox"/>	<input type="checkbox"/>	RUG III	PDPM
I. Thoughts that you would be better off dead, or of hurting yourself in some way	CATs	<input type="checkbox"/>	RUG III	PDPM

D0300. Total Severity Score

Enter Score	<p>Add scores for all frequency responses in Column 2, Symptom Frequency. Total score must be between 00 and 27. Enter 99 if unable to complete interview (i.e., Symptom Frequency is blank for 3 or more items).</p>	CATs RUG III PDPM
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Section D **Mood**

D0500. Staff Assessment of Resident Mood (PHQ-9-OV*)

Do not conduct if Resident Mood Interview (D0200-D0300) was completed

Over the last 2 weeks, did the resident have any of the following problems or behaviors?

If symptom is present, enter 1 (yes) in column 1, Symptom Presence.
Then move to column 2, Symptom Frequency, and indicate symptom frequency.

1. Symptom Presence

- 0. **No** (enter 0 in column 2)
- 1. **Yes** (enter 0-3 in column 2)

2. Symptom Frequency

- 0. **Never or 1 day**
- 1. **2-6 days** (several days)
- 2. **7-11 days** (half or more of the days)
- 3. **12-14 days** (nearly every day)

	1. Symptom Presence	2. Symptom Frequency
↓ Enter Scores in Boxes ↓		
A. Little interest or pleasure in doing things	CATs	RUG III PDPM
B. Feeling or appearing down, depressed, or hopeless	<input type="checkbox"/>	RUG III PDPM
C. Trouble falling or staying asleep, or sleeping too much	<input type="checkbox"/>	RUG III PDPM
D. Feeling tired or having little energy	<input type="checkbox"/>	RUG III PDPM
E. Poor appetite or overeating	<input type="checkbox"/>	RUG III PDPM
F. Indicating that s/he feels bad about self, is a failure, or has let self or family down	<input type="checkbox"/>	RUG III PDPM
G. Trouble concentrating on things, such as reading the newspaper or watching television	<input type="checkbox"/>	RUG III PDPM
H. Moving or speaking so slowly that other people have noticed. Or the opposite - being so fidgety or restless that s/he has been moving around a lot more than usual	<input type="checkbox"/>	RUG III PDPM
I. States that life isn't worth living, wishes for death, or attempts to harm self	CATs	RUG III PDPM
J. Being short-tempered, easily annoyed	<input type="checkbox"/>	RUG III PDPM

D0600. Total Severity Score

Enter Score	<p>Add scores for all frequency responses in Column 2, Symptom Frequency. Total score must be between 00 and 30.</p>	CATs	RUG III	PDPM
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Section E	Behavior
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E0100. Potential Indicators of Psychosis

↓ Check all that apply

- | | | | |
|--------------------------|---|----------------|-------------|
| <input type="checkbox"/> | A. Hallucinations (perceptual experiences in the absence of real external sensory stimuli) | RUG III | PDPM |
| <input type="checkbox"/> | B. Delusions (misconceptions or beliefs that are firmly held, contrary to reality) | RUG III | PDPM |
| <input type="checkbox"/> | Z. None of the above | | |

Behavioral Symptoms

E0200. Behavioral Symptom - Presence & Frequency

Note presence of symptoms and their frequency

<p>Coding:</p> <p>0. Behavior not exhibited</p> <p>1. Behavior of this type occurred 1 to 3 days</p> <p>2. Behavior of this type occurred 4 to 6 days, but less than daily</p> <p>3. Behavior of this type occurred daily</p>	↓	Enter Codes in Boxes	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:5%;"><input type="checkbox"/></td> <td style="width:85%;">A. Physical behavioral symptoms directed toward others (e.g., hitting, kicking, pushing, scratching, grabbing, abusing others sexually)</td> <td style="width:10%;">CATs</td> <td style="width:10%;">RUG III</td> <td style="width:10%;">PDPM</td> </tr> <tr> <td><input type="checkbox"/></td> <td>B. Verbal behavioral symptoms directed toward others (e.g., threatening others, screaming at others, cursing at others)</td> <td>CATs</td> <td>RUG III</td> <td>PDPM</td> </tr> <tr> <td><input type="checkbox"/></td> <td>C. Other behavioral symptoms not directed toward others (e.g., physical symptoms such as hitting or scratching self, pacing, rummaging, public sexual acts, disrobing in public, throwing or smearing food or bodily wastes, or verbal/vocal symptoms like screaming, disruptive sounds)</td> <td>CATs</td> <td>RUG III</td> <td>PDPM</td> </tr> </table>	<input type="checkbox"/>	A. Physical behavioral symptoms directed toward others (e.g., hitting, kicking, pushing, scratching, grabbing, abusing others sexually)	CATs	RUG III	PDPM	<input type="checkbox"/>	B. Verbal behavioral symptoms directed toward others (e.g., threatening others, screaming at others, cursing at others)	CATs	RUG III	PDPM	<input type="checkbox"/>	C. Other behavioral symptoms not directed toward others (e.g., physical symptoms such as hitting or scratching self, pacing, rummaging, public sexual acts, disrobing in public, throwing or smearing food or bodily wastes, or verbal/vocal symptoms like screaming, disruptive sounds)	CATs	RUG III	PDPM
<input type="checkbox"/>	A. Physical behavioral symptoms directed toward others (e.g., hitting, kicking, pushing, scratching, grabbing, abusing others sexually)	CATs	RUG III	PDPM														
<input type="checkbox"/>	B. Verbal behavioral symptoms directed toward others (e.g., threatening others, screaming at others, cursing at others)	CATs	RUG III	PDPM														
<input type="checkbox"/>	C. Other behavioral symptoms not directed toward others (e.g., physical symptoms such as hitting or scratching self, pacing, rummaging, public sexual acts, disrobing in public, throwing or smearing food or bodily wastes, or verbal/vocal symptoms like screaming, disruptive sounds)	CATs	RUG III	PDPM														

E0300. Overall Presence of Behavioral Symptoms

Enter Code	<p>Were any behavioral symptoms in questions E0200 coded 1, 2, or 3?</p> <p>0. No → Skip to E0800, Rejection of Care</p> <p>1. Yes → Considering all of E0200, Behavioral Symptoms, answer E0500 and E0600 below</p>		CATs
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E0500. Impact on Resident

Enter Code		<p>Did any of the identified symptom(s):</p> <p>A. Put the resident at significant risk for physical illness or injury?</p> <p>0. No</p> <p>1. Yes</p>
Enter Code		<p>B. Significantly interfere with the resident's care?</p> <p>0. No</p> <p>1. Yes</p>
Enter Code		<p>C. Significantly interfere with the resident's participation in activities or social interactions?</p> <p>0. No</p> <p>1. Yes</p>

E0600. Impact on Others

Enter Code		<p>Did any of the identified symptom(s):</p> <p>A. Put others at significant risk for physical injury?</p> <p>0. No</p> <p>1. Yes</p>
Enter Code		<p>B. Significantly intrude on the privacy or activity of others?</p> <p>0. No</p> <p>1. Yes</p>
Enter Code		<p>C. Significantly disrupt care or living environment?</p> <p>0. No</p> <p>1. Yes</p>

E0800. Rejection of Care - Presence & Frequency

Enter Code	<p>Did the resident reject evaluation or care (e.g., bloodwork, taking medications, ADL assistance) that is necessary to achieve the resident's goals for health and well-being? Do not include behaviors that have already been addressed (e.g., by discussion or care planning with the resident or family), and determined to be consistent with resident values, preferences, or goals.</p> <p>0. Behavior not exhibited</p> <p>1. Behavior of this type occurred 1 to 3 days</p> <p>2. Behavior of this type occurred 4 to 6 days, but less than daily</p> <p>3. Behavior of this type occurred daily</p>		CATs	RUG III	PDPM
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Section E	Behavior
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E0900. Wandering - Presence & Frequency

Enter Code <input style="width: 100%; height: 20px;" type="text"/>	<p>Has the resident wandered?</p> <p>0. Behavior not exhibited → Skip to E1100, Change in Behavior or Other Symptoms</p> <p>1. Behavior of this type occurred 1 to 3 days</p> <p>2. Behavior of this type occurred 4 to 6 days, but less than daily</p> <p>3. Behavior of this type occurred daily</p>	<div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 2px; background-color: #cccccc;">CATs</div> <div style="border: 1px solid black; padding: 2px; background-color: #cccccc;">RUG III</div> <div style="border: 1px solid black; padding: 2px; background-color: #cccccc;">PDPM</div> </div>
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E1000. Wandering - Impact

Enter Code <input style="width: 100%; height: 20px;" type="text"/>	<p>A. Does the wandering place the resident at significant risk of getting to a potentially dangerous place (e.g., stairs, outside of the facility)?</p> <p>0. No</p> <p>1. Yes</p>
Enter Code <input style="width: 100%; height: 20px;" type="text"/>	<p>B. Does the wandering significantly intrude on the privacy or activities of others?</p> <p>0. No</p> <p>1. Yes</p>

E1100. Change in Behavior or Other Symptoms

Consider all of the symptoms assessed in items E0100 through E1000

Enter Code <input style="width: 100%; height: 20px;" type="text"/>	<p>How does resident's current behavior status, care rejection, or wandering compare to prior assessment (OBRA or Scheduled PPS)?</p> <p>0. Same</p> <p>1. Improved</p> <p>2. Worse</p> <p>3. N/A because no prior MDS assessment</p>	<div style="border: 1px solid black; padding: 2px; background-color: #cccccc;">CATs</div>
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Section F Preferences for Customary Routine and Activities

F0300. Should Interview for Daily and Activity Preferences be Conducted? - Attempt to interview all residents able to communicate. If resident is unable to complete, attempt to complete interview with family member or significant other

- | | |
|------------|---|
| Enter Code | 0. No (resident is rarely/never understood <u>and</u> family/significant other not available) → Skip to and complete F0800, Staff Assessment of Daily and Activity Preferences
1. Yes → Continue to F0400, Interview for Daily Preferences |
|------------|---|

F0400. Interview for Daily Preferences

Show resident the response options and say: **"While you are in this facility..."**

Coding: 1. Very important 2. Somewhat important 3. Not very important 4. Not important at all 5. Important, but can't do or no choice 9. No response or non-responsive	↓ Enter Codes in Boxes
	<input type="checkbox"/> A. how important is it to you to choose what clothes to wear?
	<input type="checkbox"/> B. how important is it to you to take care of your personal belongings or things?
	<input type="checkbox"/> C. how important is it to you to choose between a tub bath, shower, bed bath, or sponge bath?
	<input type="checkbox"/> D. how important is it to you to have snacks available between meals?
	<input type="checkbox"/> E. how important is it to you to choose your own bedtime?
	<input type="checkbox"/> F. how important is it to you to have your family or a close friend involved in discussions about your care?
	<input type="checkbox"/> G. how important is it to you to be able to use the phone in private?
	<input type="checkbox"/> H. how important is it to you to have a place to lock your things to keep them safe?

F0500. Interview for Activity Preferences

Show resident the response options and say: **"While you are in this facility..."**

Coding: 1. Very important 2. Somewhat important 3. Not very important 4. Not important at all 5. Important, but can't do or no choice 9. No response or non-responsive	↓ Enter Codes in Boxes
	<input type="checkbox"/> A. how important is it to you to have books, newspapers, and magazines to read? CATs
	<input type="checkbox"/> B. how important is it to you to listen to music you like? CATs
	<input type="checkbox"/> C. how important is it to you to be around animals such as pets? CATs
	<input type="checkbox"/> D. how important is it to you to keep up with the news? CATs
	<input type="checkbox"/> E. how important is it to you to do things with groups of people? CATs
	<input type="checkbox"/> F. how important is it to you to do your favorite activities? CATs
	<input type="checkbox"/> G. how important is it to you to go outside to get fresh air when the weather is good? CATs
	<input type="checkbox"/> H. how important is it to you to participate in religious services or practices? CATs

F0600. Daily and Activity Preferences Primary Respondent

Enter Code	Indicate primary respondent for Daily and Activity Preferences (F0400 and F0500) 1. Resident 2. Family or significant other (close friend or other representative) 9. Interview could not be completed by resident or family/significant other ("No response" to 3 or more items")	CATs
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Section F**Preferences for Customary Routine and Activities****F0700. Should the Staff Assessment of Daily and Activity Preferences be Conducted?**

Enter Code

0. **No** (because Interview for Daily and Activity Preferences (F0400 and F0500) was completed by resident or family/significant other) → Skip to and complete G0110, Activities of Daily Living (ADL) Assistance
1. **Yes** (because 3 or more items in Interview for Daily and Activity Preferences (F0400 and F0500) were not completed by resident or family/significant other) → Continue to F0800, Staff Assessment of Daily and Activity Preferences

F0800. Staff Assessment of Daily and Activity Preferences

Do not conduct if Interview for Daily and Activity Preferences (F0400-F0500) was completed

Resident Prefers:

↓ Check all that apply

<input type="checkbox"/>	A. Choosing clothes to wear	
<input type="checkbox"/>	B. Caring for personal belongings	
<input type="checkbox"/>	C. Receiving tub bath	
<input type="checkbox"/>	D. Receiving shower	
<input type="checkbox"/>	E. Receiving bed bath	
<input type="checkbox"/>	F. Receiving sponge bath	
<input type="checkbox"/>	G. Snacks between meals	
<input type="checkbox"/>	H. Staying up past 8:00 p.m.	
<input type="checkbox"/>	I. Family or significant other involvement in care discussions	
<input type="checkbox"/>	J. Use of phone in private	
<input type="checkbox"/>	K. Place to lock personal belongings	
<input type="checkbox"/>	L. Reading books, newspapers, or magazines	CATs
<input type="checkbox"/>	M. Listening to music	CATs
<input type="checkbox"/>	N. Being around animals such as pets	CATs
<input type="checkbox"/>	O. Keeping up with the news	CATs
<input type="checkbox"/>	P. Doing things with groups of people	CATs
<input type="checkbox"/>	Q. Participating in favorite activities	CATs
<input type="checkbox"/>	R. Spending time away from the nursing home	CATs
<input type="checkbox"/>	S. Spending time outdoors	CATs
<input type="checkbox"/>	T. Participating in religious activities or practices	CATs
<input type="checkbox"/>	Z. None of the above	

Section G	Functional Status
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G0110. Activities of Daily Living (ADL) Assistance
 Refer to the ADL flow chart in the RAI manual to facilitate accurate coding

Instructions for Rule of 3

- When an activity occurs three times at any one given level, code that level.
- When an activity occurs three times at multiple levels, code the most dependent, exceptions are total dependence (4), activity must require full assist every time, and activity did not occur (8), activity must not have occurred at all. Example, three times extensive assistance (3) and three times limited assistance (2), code extensive assistance (3).
- When an activity occurs at various levels, but not three times at any given level, apply the following:
 - When there is a combination of full staff performance, and extensive assistance, code extensive assistance.
 - When there is a combination of full staff performance, weight bearing assistance and/or non-weight bearing assistance code limited assistance (2).

If none of the above are met, code supervision.

<p>1. ADL Self-Performance Code for resident's performance over all shifts - not including setup. If the ADL activity occurred 3 or more times at various levels of assistance, code the most dependent - except for total dependence, which requires full staff performance every time</p> <p>Coding:</p> <p>Activity Occurred 3 or More Times</p> <ol style="list-style-type: none"> 0. Independent - no help or staff oversight at any time 1. Supervision - oversight, encouragement or cueing 2. Limited assistance - resident highly involved in activity; staff provide guided maneuvering of limbs or other non-weight-bearing assistance 3. Extensive assistance - resident involved in activity, staff provide weight-bearing support 4. Total dependence - full staff performance every time during entire 7-day period <p>Activity Occurred 2 or Fewer Times</p> <ol style="list-style-type: none"> 7. Activity occurred only once or twice - activity did occur but only once or twice 8. Activity did not occur - activity did not occur or family and/or non-facility staff provided care 100% of the time for that activity over the entire 7-day period 	<p>2. ADL Support Provided Code for most support provided over all shifts; code regardless of resident's self-performance classification</p> <p>Coding:</p> <ol style="list-style-type: none"> 0. No setup or physical help from staff 1. Setup help only 2. One person physical assist 3. Two+ persons physical assist 8. ADL activity itself did not occur or family and/or non-facility staff provided care 100% of the time for that activity over the entire 7-day period
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	1. Self-Performance	2. Support
↓ Enter Codes in Boxes ↓		
A. Bed mobility - how resident moves to and from lying position, turns side to side, and positions body while in bed or alternate sleep furniture	<div style="border: 1px solid black; display: inline-block; padding: 2px;">CATs</div> <div style="border: 1px solid black; display: inline-block; padding: 2px; margin-left: 10px;">RUG III</div>	<div style="border: 1px solid black; display: inline-block; padding: 2px;">RUG III</div>
B. Transfer - how resident moves between surfaces including to or from: bed, chair, wheelchair, standing position (excludes to/from bath/toilet)	<div style="border: 1px solid black; display: inline-block; padding: 2px;">CATs</div> <div style="border: 1px solid black; display: inline-block; padding: 2px; margin-left: 10px;">RUG III</div>	<div style="border: 1px solid black; display: inline-block; padding: 2px;">RUG III</div>
C. Walk in room - how resident walks between locations in his/her room	<div style="border: 1px solid black; display: inline-block; padding: 2px;">CATs</div>	
D. Walk in corridor - how resident walks in corridor on unit	<div style="border: 1px solid black; display: inline-block; padding: 2px;">CATs</div>	
E. Locomotion on unit - how resident moves between locations in his/her room and adjacent corridor on same floor. If in wheelchair, self-sufficiency once in chair	<div style="border: 1px solid black; display: inline-block; padding: 2px;">CATs</div>	
F. Locomotion off unit - how resident moves to and returns from off-unit locations (e.g., areas set aside for dining, activities or treatments). If facility has only one floor , how resident moves to and from distant areas on the floor. If in wheelchair, self-sufficiency once in chair	<div style="border: 1px solid black; display: inline-block; padding: 2px;">CATs</div>	
G. Dressing - how resident puts on, fastens and takes off all items of clothing, including donning/removing a prosthesis or TED hose. Dressing includes putting on and changing pajamas and housedresses	<div style="border: 1px solid black; display: inline-block; padding: 2px;">CATs</div>	
H. Eating - how resident eats and drinks, regardless of skill. Do not include eating/drinking during medication pass. Includes intake of nourishment by other means (e.g., tube feeding, total parenteral nutrition, IV fluids administered for nutrition or hydration)	<div style="border: 1px solid black; display: inline-block; padding: 2px;">CATs</div> <div style="border: 1px solid black; display: inline-block; padding: 2px; margin-left: 10px;">RUG III</div>	
I. Toilet use - how resident uses the toilet room, commode, bedpan, or urinal; transfers on/off toilet; cleanses self after elimination; changes pad; manages ostomy or catheter; and adjusts clothes. Do not include emptying of bedpan, urinal, bedside commode, catheter bag or ostomy bag	<div style="border: 1px solid black; display: inline-block; padding: 2px;">CATs</div> <div style="border: 1px solid black; display: inline-block; padding: 2px; margin-left: 10px;">RUG III</div>	<div style="border: 1px solid black; display: inline-block; padding: 2px;">RUG III</div>
J. Personal hygiene - how resident maintains personal hygiene, including combing hair, brushing teeth, shaving, applying makeup, washing/drying face and hands (excludes baths and showers)	<div style="border: 1px solid black; display: inline-block; padding: 2px;">CATs</div>	

Section G	Functional Status
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G0120. Bathing

How resident takes full-body bath/shower, sponge bath, and transfers in/out of tub/shower (**excludes** washing of back and hair). Code for **most dependent** in self-performance and support

Enter Code <input style="width:30px; height:20px;" type="text"/>	<p>A. Self-performance</p> <p>0. Independent - no help provided</p> <p>1. Supervision - oversight help only</p> <p>2. Physical help limited to transfer only</p> <p>3. Physical help in part of bathing activity</p> <p>4. Total dependence</p> <p>8. Activity itself did not occur or family and/or non-facility staff provided care 100% of the time for that activity over the entire 7-day period</p>	
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Enter Code <input style="width:30px; height:20px;" type="text"/>	<p>B. Support provided (Bathing support codes are as defined in item G0110 column 2, ADL Support Provided, above)</p>	
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G0300. Balance During Transitions and Walking

After observing the resident, **code the following walking and transition items for most dependent**

<p>Coding:</p> <p>0. Steady at all times</p> <p>1. Not steady, but able to stabilize without staff assistance</p> <p>2. Not steady, only able to stabilize with staff assistance</p> <p>8. Activity did not occur</p>	<p>↓ Enter Codes in Boxes</p> <input style="width:30px; height:20px;" type="text"/> <input style="width:30px; height:20px;" type="text"/> <input style="width:30px; height:20px;" type="text"/> <input style="width:30px; height:20px;" type="text"/> <input style="width:30px; height:20px;" type="text"/>	<p>A. Moving from seated to standing position</p> <p>B. Walking (with assistive device if used)</p> <p>C. Turning around and facing the opposite direction while walking</p> <p>D. Moving on and off toilet</p> <p>E. Surface-to-surface transfer (transfer between bed and chair or wheelchair)</p>	
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G0400. Functional Limitation in Range of Motion

Code for limitation that interfered with daily functions or placed resident at risk of injury

<p>Coding:</p> <p>0. No impairment</p> <p>1. Impairment on one side</p> <p>2. Impairment on both sides</p>	<p>↓ Enter Codes in Boxes</p> <input style="width:30px; height:20px;" type="text"/> <input style="width:30px; height:20px;" type="text"/>	<p>A. Upper extremity (shoulder, elbow, wrist, hand)</p> <p>B. Lower extremity (hip, knee, ankle, foot)</p>	
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G0600. Mobility Devices

↓ Check all that were normally used

<input type="checkbox"/>	A. Cane/crutch
<input type="checkbox"/>	B. Walker
<input type="checkbox"/>	C. Wheelchair (manual or electric)
<input type="checkbox"/>	D. Limb prosthesis
<input type="checkbox"/>	Z. None of the above were used

G0900. Functional Rehabilitation Potential

Complete only if A0310A = 01

Enter Code <input style="width:30px; height:20px;" type="text"/>	<p>A. Resident believes he or she is capable of increased independence in at least some ADLs</p> <p>0. No</p> <p>1. Yes</p> <p>9. Unable to determine</p>	
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Enter Code <input style="width:30px; height:20px;" type="text"/>	<p>B. Direct care staff believe resident is capable of increased independence in at least some ADLs</p> <p>0. No</p> <p>1. Yes</p>	
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Section GG**Functional Abilities and Goals - Admission (Start of SNF PPS Stay)**

GG0100. Prior Functioning: Everyday Activities. Indicate the resident's usual ability with everyday activities prior to the current illness, exacerbation, or injury
Complete only if A0310B = 01

	↓ Enter Codes in Boxes
Coding: 3. Independent - Resident completed the activities by him/herself, with or without an assistive device, with no assistance from a helper. 2. Needed Some Help - Resident needed partial assistance from another person to complete activities. 1. Dependent - A helper completed the activities for the resident. 8. Unknown. 9. Not Applicable.	<input type="checkbox"/>
	<input type="checkbox"/>
	<input type="checkbox"/>
	<input type="checkbox"/>
	A. Self-Care: Code the resident's need for assistance with bathing, dressing, using the toilet, or eating prior to the current illness, exacerbation, or injury.
	B. Indoor Mobility (Ambulation): Code the resident's need for assistance with walking from room to room (with or without a device such as cane, crutch, or walker) prior to the current illness, exacerbation, or injury.
	C. Stairs: Code the resident's need for assistance with internal or external stairs (with or without a device such as cane, crutch, or walker) prior to the current illness, exacerbation, or injury.
	D. Functional Cognition: Code the resident's need for assistance with planning regular tasks, such as shopping or remembering to take medication prior to the current illness, exacerbation, or injury.

GG0110. Prior Device Use. Indicate devices and aids used by the resident prior to the current illness, exacerbation, or injury
Complete only if A0310B = 01

	↓ Check all that apply
<input type="checkbox"/>	A. Manual wheelchair
<input type="checkbox"/>	B. Motorized wheelchair and/or scooter
<input type="checkbox"/>	C. Mechanical lift
<input type="checkbox"/>	D. Walker
<input type="checkbox"/>	E. Orthotics/Prosthetics
<input type="checkbox"/>	Z. None of the above

Section GG**Functional Abilities and Goals - Admission (Start of SNF PPS Stay)****GG0130. Self-Care** (Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B)

Complete only if A0310B = 01

Code the resident's usual performance at the start of the SNF PPS stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the SNF PPS stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).

Coding:

Safety and Quality of Performance - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

If activity was not attempted, code reason:

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

	1. Admission Performance	2. Discharge Goal	
	↓ Enter Codes in Boxes ↓		
PDPM	<input type="text"/>	<input type="text"/>	A. Eating: The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the resident.
PDPM	<input type="text"/>	<input type="text"/>	B. Oral hygiene: The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment.
PDPM	<input type="text"/>	<input type="text"/>	C. Toileting hygiene: The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment.
	<input type="text"/>	<input type="text"/>	E. Shower/bathe self: The ability to bathe self, including washing, rinsing, and drying self (excludes washing of back and hair). Does not include transferring in/out of tub/shower.
	<input type="text"/>	<input type="text"/>	F. Upper body dressing: The ability to dress and undress above the waist; including fasteners, if applicable.
	<input type="text"/>	<input type="text"/>	G. Lower body dressing: The ability to dress and undress below the waist, including fasteners; does not include footwear.
	<input type="text"/>	<input type="text"/>	H. Putting on/taking off footwear: The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility; including fasteners, if applicable.

Section GG**Functional Abilities and Goals - Admission (Start of SNF PPS Stay)****GG0170. Mobility** (Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B)

Complete only if A0310B = 01

Code the resident's usual performance at the start of the SNF PPS stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the SNF PPS stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).

Coding:

Safety and Quality of Performance - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

If activity was not attempted, code reason:

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

1. Admission Performance		2. Discharge Goal		
↓ Enter Codes in Boxes ↓				
				A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back on the bed.
PDPM				B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed.
PDPM				C. Lying to sitting on side of bed: The ability to move from lying on the back to sitting on the side of the bed with feet flat on the floor, and with no back support.
PDPM				D. Sit to stand: The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed.
PDPM				E. Chair/bed-to-chair transfer: The ability to transfer to and from a bed to a chair (or wheelchair).
PDPM				F. Toilet transfer: The ability to get on and off a toilet or commode.
				G. Car transfer: The ability to transfer in and out of a car or van on the passenger side. Does not include the ability to open/close door or fasten seat belt.
PDPM				I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space. If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170M, 1 step (curb)
PDPM				J. Walk 50 feet with two turns: Once standing, the ability to walk at least 50 feet and make two turns.
PDPM				K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space.

Section GG**Functional Abilities and Goals - Admission (Start of SNF PPS Stay)**

GG0170. Mobility (Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B) - Continued
Complete only if A0310B = 01

Code the resident's usual performance at the start of the SNF PPS stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the SNF PPS stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).

Coding:

Safety and Quality of Performance - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

If activity was not attempted, code reason:

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

1. Admission Performance	2. Discharge Goal	
↓	↓	Enter Codes in Boxes ↓
[]	[]	L. Walking 10 feet on uneven surfaces: The ability to walk 10 feet on uneven or sloping surfaces (indoor or outdoor), such as turf or gravel.
[]	[]	M. 1 step (curb): The ability to go up and down a curb and/or up and down one step. If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object
[]	[]	N. 4 steps: The ability to go up and down four steps with or without a rail. If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object
[]	[]	O. 12 steps: The ability to go up and down 12 steps with or without a rail.
[]	[]	P. Picking up object: The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor.
[]	[]	Q1. Does the resident use a wheelchair and/or scooter? 0. No → Skip to GG0130, Self Care (Discharge) 1. Yes → Continue to GG0170R, Wheel 50 feet with two turns
[]	[]	R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns.
[]	[]	RR1. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized
[]	[]	S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space.
[]	[]	SS1. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized

Section GG**Functional Abilities and Goals - Discharge (End of SNF PPS Stay)****GG0130. Self-Care** (Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C)Complete only if A0310G is not = 2 **and** A0310H = 1 **and** A2400C minus A2400B is greater than 2 **and** A2100 is not = 03**Code the resident's usual performance at the end of the SNF PPS stay for each activity using the 6-point scale. If an activity was not attempted at the end of the SNF PPS stay, code the reason.****Coding:****Safety and Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.*Activities may be completed with or without assistive devices.*

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

If activity was not attempted, code reason:

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

3. Discharge Performance	
Enter Codes in Boxes ↓	
[]	A. Eating: The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the resident.
[]	B. Oral hygiene: The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment.
[]	C. Toileting hygiene: The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment.
[]	E. Shower/bathe self: The ability to bathe self, including washing, rinsing, and drying self (excludes washing of back and hair). Does not include transferring in/out of tub/shower.
[]	F. Upper body dressing: The ability to dress and undress above the waist; including fasteners, if applicable.
[]	G. Lower body dressing: The ability to dress and undress below the waist, including fasteners; does not include footwear.
[]	H. Putting on/taking off footwear: The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility; including fasteners, if applicable.

Section GG**Functional Abilities and Goals - Discharge (End of SNF PPS Stay)****GG0170. Mobility** (Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C)Complete only if A0310G is not = 2 **and** A0310H = 1 **and** A2400C minus A2400B is greater than 2 **and** A2100 is not = 03**Code the resident's usual performance at the end of the SNF PPS stay for each activity using the 6-point scale. If an activity was not attempted at the end of the SNF PPS stay, code the reason.****Coding:****Safety and Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.*Activities may be completed with or without assistive devices.*

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

If activity was not attempted, code reason:

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

3. Discharge Performance	
Enter Codes in Boxes ↓	
[]	A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back on the bed.
[]	B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed.
[]	C. Lying to sitting on side of bed: The ability to move from lying on the back to sitting on the side of the bed with feet flat on the floor, and with no back support.
[]	D. Sit to stand: The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed.
[]	E. Chair/bed-to-chair transfer: The ability to transfer to and from a bed to a chair (or wheelchair).
[]	F. Toilet transfer: The ability to get on and off a toilet or commode.
[]	G. Car transfer: The ability to transfer in and out of a car or van on the passenger side. Does not include the ability to open/close door or fasten seat belt.
[]	I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space. If discharge performance is coded 07, 09, 10, or 88 → Skip to GG0170M, 1 step (curb)
[]	J. Walk 50 feet with two turns: Once standing, the ability to walk at least 50 feet and make two turns.
[]	K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space.

Section GG**Functional Abilities and Goals - Discharge (End of SNF PPS Stay)**

GG0170. Mobility (Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C) - Continued
Complete only if A0310G is not = 2 **and** A0310H = 1 **and** A2400C minus A2400B is greater than 2 **and** A2100 is not = 03

Code the resident's usual performance at the end of the SNF PPS stay for each activity using the 6-point scale. If an activity was not attempted at the end of the SNF PPS stay, code the reason.

Coding:

Safety and Quality of Performance - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

If activity was not attempted, code reason:

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

3. Discharge Performance	
Enter Codes in Boxes ↓	
<input type="text"/>	L. Walking 10 feet on uneven surfaces: The ability to walk 10 feet on uneven or sloping surfaces (indoor or outdoor), such as turf or gravel.
<input type="text"/>	M. 1 step (curb): The ability to go up and down a curb and/or up and down one step. If discharge performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object
<input type="text"/>	N. 4 steps: The ability to go up and down four steps with or without a rail. If discharge performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object
<input type="text"/>	O. 12 steps: The ability to go up and down 12 steps with or without a rail.
<input type="text"/>	P. Picking up object: The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor.
<input type="text"/>	Q3. Does the resident use a wheelchair and/or scooter? 0. No → Skip to H0100, Appliances 1. Yes → Continue to GG0170R, Wheel 50 feet with two turns
<input type="text"/>	R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns.
<input type="text"/>	RR3. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized
<input type="text"/>	S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space.
<input type="text"/>	SS3. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized

Section H**Bladder and Bowel****H0100. Appliances**

↓ Check all that apply

<input type="checkbox"/>	A. Indwelling catheter (including suprapubic catheter and nephrostomy tube)	CATs	
<input type="checkbox"/>	B. External catheter	CATs	
<input type="checkbox"/>	C. Ostomy (including urostomy, ileostomy, and colostomy)		PDPM
<input type="checkbox"/>	D. Intermittent catheterization	CATs	PDPM
<input type="checkbox"/>	Z. None of the above		

H0200. Urinary Toileting Program

Enter Code <input type="checkbox"/>	A. Has a trial of a toileting program (e.g., scheduled toileting, prompted voiding, or bladder training) been attempted on admission/entry or reentry or since urinary incontinence was noted in this facility? 0. No → Skip to H0300, Urinary Continence 1. Yes → Continue to H0200B, Response 9. Unable to determine → Skip to H0200C, Current toileting program or trial		
Enter Code <input type="checkbox"/>	B. Response - What was the resident's response to the trial program? 0. No improvement 1. Decreased wetness 2. Completely dry (continent) 9. Unable to determine or trial in progress		
Enter Code <input type="checkbox"/>	C. Current toileting program or trial - Is a toileting program (e.g., scheduled toileting, prompted voiding, or bladder training) currently being used to manage the resident's urinary continence? 0. No 1. Yes	RUG III	PDPM

H0300. Urinary Continence

Enter Code <input type="checkbox"/>	Urinary continence - Select the one category that best describes the resident 0. Always continent 1. Occasionally incontinent (less than 7 episodes of incontinence) 2. Frequently incontinent (7 or more episodes of urinary incontinence, but at least one episode of continent voiding) 3. Always incontinent (no episodes of continent voiding) 9. Not rated , resident had a catheter (indwelling, condom), urinary ostomy, or no urine output for the entire 7 days		CATs
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H0400. Bowel Continence

Enter Code <input type="checkbox"/>	Bowel continence - Select the one category that best describes the resident 0. Always continent 1. Occasionally incontinent (one episode of bowel incontinence) 2. Frequently incontinent (2 or more episodes of bowel incontinence, but at least one continent bowel movement) 3. Always incontinent (no episodes of continent bowel movements) 9. Not rated , resident had an ostomy or did not have a bowel movement for the entire 7 days		CATs
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H0500. Bowel Toileting Program

Enter Code <input type="checkbox"/>	Is a toileting program currently being used to manage the resident's bowel continence? 0. No 1. Yes	RUG III	PDPM
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H0600. Bowel Patterns

Enter Code <input type="checkbox"/>	Constipation present? 0. No 1. Yes		CATs
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Section I	Active Diagnoses
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I0020. Indicate the resident's primary medical condition category
 Complete only if A0310B = 01 or 08

Enter Code <input style="width: 100%; height: 20px;" type="text"/>	<p>Indicate the resident's primary medical condition category that best describes the primary reason for admission</p> <ol style="list-style-type: none"> 01. Stroke 02. Non-Traumatic Brain Dysfunction 03. Traumatic Brain Dysfunction 04. Non-Traumatic Spinal Cord Dysfunction 05. Traumatic Spinal Cord Dysfunction 06. Progressive Neurological Conditions 07. Other Neurological Conditions 08. Amputation 09. Hip and Knee Replacement 10. Fractures and Other Multiple Trauma 11. Other Orthopedic Conditions 12. Debility, Cardiorespiratory Conditions 13. Medically Complex Conditions 	<div style="background-color: #666; color: white; padding: 2px; border: 1px solid black;">PDPM</div>
	<p>I0020B. ICD Code</p> <div style="background-color: #ccc; height: 20px; width: 100%;"></div>	<div style="background-color: #666; color: white; padding: 2px; border: 1px solid black;">PDPM</div>

Section I**Active Diagnoses****Active Diagnoses in the last 7 days - Check all that apply**

Diagnoses listed in parentheses are provided as examples and should not be considered as all-inclusive lists

Cancer	
<input type="checkbox"/>	I0100. Cancer (with or without metastasis)
Heart/Circulation	
<input type="checkbox"/>	I0200. Anemia (e.g., aplastic, iron deficiency, pernicious, and sickle cell)
<input type="checkbox"/>	I0300. Atrial Fibrillation or Other Dysrhythmias (e.g., bradycardias and tachycardias)
<input type="checkbox"/>	I0400. Coronary Artery Disease (CAD) (e.g., angina, myocardial infarction, and atherosclerotic heart disease (ASHD))
<input type="checkbox"/>	I0500. Deep Venous Thrombosis (DVT), Pulmonary Embolus (PE), or Pulmonary Thrombo-Embolism (PTE)
<input type="checkbox"/>	I0600. Heart Failure (e.g., congestive heart failure (CHF) and pulmonary edema)
<input type="checkbox"/>	I0700. Hypertension
<input type="checkbox"/>	I0800. Orthostatic Hypotension
<input type="checkbox"/>	I0900. Peripheral Vascular Disease (PVD) or Peripheral Arterial Disease (PAD)
Gastrointestinal	
<input type="checkbox"/>	I1100. Cirrhosis
<input type="checkbox"/>	I1200. Gastroesophageal Reflux Disease (GERD) or Ulcer (e.g., esophageal, gastric, and peptic ulcers)
<input type="checkbox"/>	I1300. Ulcerative Colitis, Crohn's Disease, or Inflammatory Bowel Disease PDPM
Genitourinary	
<input type="checkbox"/>	I1400. Benign Prostatic Hyperplasia (BPH)
<input type="checkbox"/>	I1500. Renal Insufficiency, Renal Failure, or End-Stage Renal Disease (ESRD)
<input type="checkbox"/>	I1550. Neurogenic Bladder
<input type="checkbox"/>	I1650. Obstructive Uropathy
Infections	
<input type="checkbox"/>	I1700. Multidrug-Resistant Organism (MDRO) PDPM
<input type="checkbox"/>	I2000. Pneumonia CATs PDPM
<input type="checkbox"/>	I2100. Septicemia CATs RUG III PDPM
<input type="checkbox"/>	I2200. Tuberculosis CATs RUG III PDPM
<input type="checkbox"/>	I2300. Urinary Tract Infection (UTI) (LAST 30 DAYS) CATs
<input type="checkbox"/>	I2400. Viral Hepatitis (e.g., Hepatitis A, B, C, D, and E) CATs
<input type="checkbox"/>	I2500. Wound Infection (other than foot) CATs PDPM
Metabolic	
<input type="checkbox"/>	I2900. Diabetes Mellitus (DM) (e.g., diabetic retinopathy, nephropathy, and neuropathy) RUG III PDPM
<input type="checkbox"/>	I3100. Hyponatremia
<input type="checkbox"/>	I3200. Hyperkalemia
<input type="checkbox"/>	I3300. Hyperlipidemia (e.g., hypercholesterolemia)
<input type="checkbox"/>	I3400. Thyroid Disorder (e.g., hypothyroidism, hyperthyroidism, and Hashimoto's thyroiditis)
Musculoskeletal	
<input type="checkbox"/>	I3700. Arthritis (e.g., degenerative joint disease (DJD), osteoarthritis, and rheumatoid arthritis (RA))
<input type="checkbox"/>	I3800. Osteoporosis
<input type="checkbox"/>	I3900. Hip Fracture - any hip fracture that has a relationship to current status, treatments, monitoring (e.g., sub-capital fractures, and fractures of the trochanter and femoral neck)
<input type="checkbox"/>	I4000. Other Fracture
Neurological	
<input type="checkbox"/>	I4200. Alzheimer's Disease CATs
<input type="checkbox"/>	I4300. Aphasia RUG III PDPM
<input type="checkbox"/>	I4400. Cerebral Palsy RUG III PDPM
<input type="checkbox"/>	I4500. Cerebrovascular Accident (CVA), Transient Ischemic Attack (TIA), or Stroke PDPM
<input type="checkbox"/>	I4800. Non-Alzheimer's Dementia (e.g. Lewy body dementia, vascular or multi-infarct dementia; mixed dementia; frontotemporal dementia such as Pick's disease; and dementia related to stroke, Parkinson's or Creutzfeldt-Jakob diseases) CATs

Neurological Diagnoses continued on next page

Section I**Active Diagnoses****Active Diagnoses in the last 7 days - Check all that apply**

Diagnoses listed in parentheses are provided as examples and should not be considered as all-inclusive lists

Neurological - Continued

- I4900. Hemiplegia or Hemiparesis** RUG III PDPM
- I5000. Paraplegia**
- I5100. Quadriplegia** RUG III PDPM
- I5200. Multiple Sclerosis (MS)** RUG III PDPM
- I5250. Huntington's Disease**
- I5300. Parkinson's Disease** PDPM
- I5350. Tourette's Syndrome**
- I5400. Seizure Disorder or Epilepsy**
- I5500. Traumatic Brain Injury (TBI)** RUG III PDPM

Nutritional

- I5600. Malnutrition** (protein or calorie) or at risk for malnutrition PDPM

Psychiatric/Mood Disorder

- I5700. Anxiety Disorder**
- I5800. Depression** (other than bipolar)
- I5900. Bipolar Disorder**
- I5950. Psychotic Disorder** (other than schizophrenia)
- I6000. Schizophrenia** (e.g., schizoaffective and schizophreniform disorders)
- I6100. Post Traumatic Stress Disorder (PTSD)**

Pulmonary

- I6200. Asthma, Chronic Obstructive Pulmonary Disease (COPD), or Chronic Lung Disease** (e.g., chronic bronchitis and restrictive lung diseases such as asbestosis) PDPM
- I6300. Respiratory Failure** PDPM

Vision

- I6500. Cataracts, Glaucoma, or Macular Degeneration** CATs

None of Above

- I7900. None of the above active diagnoses** within the last 7 days

Other**I8000. Additional active diagnoses**Enter diagnosis on line and ICD code in boxes. Include the decimal for the code in the appropriate box. PDPM

- A. _____
- B. _____
- C. _____
- D. _____
- E. _____
- F. _____
- G. _____
- H. _____
- I. _____
- J. _____

Section J Health Conditions

J0100. Pain Management - Complete for all residents, regardless of current pain level

At any time in the last 5 days, has the resident:

Enter Code <input type="checkbox"/>	A. Received scheduled pain medication regimen? 0. No 1. Yes
Enter Code <input type="checkbox"/>	B. Received PRN pain medications OR was offered and declined? 0. No 1. Yes
Enter Code <input type="checkbox"/>	C. Received non-medication intervention for pain? 0. No 1. Yes

J0200. Should Pain Assessment Interview be Conducted?
Attempt to conduct interview with all residents. If resident is comatose, skip to J1100, Shortness of Breath (dyspnea)

Enter Code <input type="checkbox"/>	0. No (resident is rarely/never understood) → Skip to and complete J0800, Indicators of Pain or Possible Pain 1. Yes → Continue to J0300, Pain Presence
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Pain Assessment Interview

J0300. Pain Presence

Enter Code <input type="checkbox"/>	Ask resident: "Have you had pain or hurting at any time in the last 5 days?" 0. No → Skip to J1100, Shortness of Breath 1. Yes → Continue to J0400, Pain Frequency 9. Unable to answer → Skip to J0800, Indicators of Pain or Possible Pain
--	--

J0400. Pain Frequency

Enter Code <input type="checkbox"/>	Ask resident: "How much of the time have you experienced pain or hurting over the last 5 days?" 1. Almost constantly 2. Frequently 3. Occasionally 4. Rarely 9. Unable to answer	CATs
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J0500. Pain Effect on Function

Enter Code <input type="checkbox"/>	A. Ask resident: "Over the past 5 days, has pain made it hard for you to sleep at night?" 0. No 1. Yes 9. Unable to answer	CATs
Enter Code <input type="checkbox"/>	B. Ask resident: "Over the past 5 days, have you limited your day-to-day activities because of pain?" 0. No 1. Yes 9. Unable to answer	CATs

J0600. Pain Intensity - Administer **ONLY ONE** of the following pain intensity questions (A or B)

Enter Rating <input type="checkbox"/>	A. Numeric Rating Scale (00-10) Ask resident: "Please rate your worst pain over the last 5 days on a zero to ten scale, with zero being no pain and ten as the worst pain you can imagine." (Show resident 00 -10 pain scale) Enter two-digit response. Enter 99 if unable to answer.	CATs
Enter Code <input type="checkbox"/>	B. Verbal Descriptor Scale Ask resident: "Please rate the intensity of your worst pain over the last 5 days." (Show resident verbal scale) 1. Mild 2. Moderate 3. Severe 4. Very severe, horrible 9. Unable to answer	CATs



Section J Health Conditions

J0700. Should the Staff Assessment for Pain be Conducted?

Enter Code 0. **No** (J0400 = 1 thru 4) → Skip to J1100, Shortness of Breath (dyspnea)
 1. **Yes** (J0400 = 9) → Continue to J0800, Indicators of Pain or Possible Pain

Staff Assessment for Pain

J0800. Indicators of Pain or Possible Pain in the last 5 days

↓ Check all that apply

- A. Non-verbal sounds** (e.g., crying, whining, gasping, moaning, or groaning) CATs
- B. Vocal complaints of pain** (e.g., that hurts, ouch, stop) CATs
- C. Facial expressions** (e.g., grimaces, wincing, wrinkled forehead, furrowed brow, clenched teeth or jaw) CATs
- D. Protective body movements or postures** (e.g., bracing, guarding, rubbing or massaging a body part/area, clutching or holding a body part during movement) CATs
- Z. None of these signs observed or documented** → If checked, skip to J1100, Shortness of Breath (dyspnea)

J0850. Frequency of Indicator of Pain or Possible Pain in the last 5 days

Enter Code Frequency with which resident complains or shows evidence of pain or possible pain
 1. **Indicators of pain** or possible pain observed **1 to 2 days**
 2. **Indicators of pain** or possible pain observed **3 to 4 days**
 3. **Indicators of pain** or possible pain observed **daily**

Other Health Conditions

J1100. Shortness of Breath (dyspnea)

↓ Check all that apply

- A. Shortness of breath** or trouble breathing **with exertion** (e.g., walking, bathing, transferring)
- B. Shortness of breath** or trouble breathing **when sitting at rest**
- C. Shortness of breath** or trouble breathing **when lying flat** PDPM
- Z. None of the above**

J1300. Current Tobacco Use

Enter Code **Tobacco use**
 0. **No**
 1. **Yes**

J1400. Prognosis

Enter Code Does the resident have a condition or chronic disease that may result in a **life expectancy of less than 6 months?** (Requires physician documentation)
 0. **No**
 1. **Yes**

J1550. Problem Conditions

↓ Check all that apply

- A. Fever** CATs RUG III PDPM
- B. Vomiting** CATs RUG III PDPM
- C. Dehydrated** CATs RUG III
- D. Internal bleeding** CATs RUG III
- Z. None of the above**

Section J	Health Conditions
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J1700. Fall History on Admission/Entry or Reentry

Complete only if A0310A = 01 or A0310E = 1

Enter Code <input style="width: 100%;" type="text"/>	A. Did the resident have a fall any time in the last month prior to admission/entry or reentry? 0. No 1. Yes 9. Unable to determine	
Enter Code <input style="width: 100%;" type="text"/>	B. Did the resident have a fall any time in the last 2-6 months prior to admission/entry or reentry? 0. No 1. Yes 9. Unable to determine	
Enter Code <input style="width: 100%;" type="text"/>	C. Did the resident have any fracture related to a fall in the 6 months prior to admission/entry or reentry? 0. No 1. Yes 9. Unable to determine	

J1800. Any Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS), whichever is more recent

Enter Code <input style="width: 100%;" type="text"/>	Has the resident had any falls since admission/entry or reentry or the prior assessment (OBRA or Scheduled PPS), whichever is more recent? 0. No → Skip to J2000, Prior Surgery 1. Yes → Continue to J1900, Number of Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS)	
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J1900. Number of Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS), whichever is more recent

↓ Enter Codes in Boxes							
Coding: 0. None 1. One 2. Two or more	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; padding: 5px;"><input style="width: 100%;" type="text"/></td> <td style="padding: 5px;">A. No injury - no evidence of any injury is noted on physical assessment by the nurse or primary care clinician; no complaints of pain or injury by the resident; no change in the resident's behavior is noted after the fall</td> </tr> <tr> <td style="padding: 5px;"><input style="width: 100%;" type="text"/></td> <td style="padding: 5px;">B. Injury (except major) - skin tears, abrasions, lacerations, superficial bruises, hematomas and sprains; or any fall-related injury that causes the resident to complain of pain</td> </tr> <tr> <td style="padding: 5px;"><input style="width: 100%;" type="text"/></td> <td style="padding: 5px;">C. Major injury - bone fractures, joint dislocations, closed head injuries with altered consciousness, subdural hematoma</td> </tr> </table>	<input style="width: 100%;" type="text"/>	A. No injury - no evidence of any injury is noted on physical assessment by the nurse or primary care clinician; no complaints of pain or injury by the resident; no change in the resident's behavior is noted after the fall	<input style="width: 100%;" type="text"/>	B. Injury (except major) - skin tears, abrasions, lacerations, superficial bruises, hematomas and sprains; or any fall-related injury that causes the resident to complain of pain	<input style="width: 100%;" type="text"/>	C. Major injury - bone fractures, joint dislocations, closed head injuries with altered consciousness, subdural hematoma
<input style="width: 100%;" type="text"/>	A. No injury - no evidence of any injury is noted on physical assessment by the nurse or primary care clinician; no complaints of pain or injury by the resident; no change in the resident's behavior is noted after the fall						
<input style="width: 100%;" type="text"/>	B. Injury (except major) - skin tears, abrasions, lacerations, superficial bruises, hematomas and sprains; or any fall-related injury that causes the resident to complain of pain						
<input style="width: 100%;" type="text"/>	C. Major injury - bone fractures, joint dislocations, closed head injuries with altered consciousness, subdural hematoma						

J2000. Prior Surgery - Complete only if A0310B = 01

Enter Code <input style="width: 100%;" type="text"/>	Did the resident have major surgery during the 100 days prior to admission ? 0. No 1. Yes 8. Unknown
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J2100. Recent Surgery Requiring Active SNF Care - Complete only if A0310B = 01 or 08

Enter Code <input style="width: 100%;" type="text"/>	Did the resident have a major surgical procedure during the prior inpatient hospital stay that requires active care during the SNF stay? 0. No 1. Yes 8. Unknown	
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Section J	Health Conditions
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Surgical Procedures - Complete only if J2100 = 1

↓ Check all that apply

Major Joint Replacement

- | | | |
|--------------------------|---|------|
| <input type="checkbox"/> | J2300. Knee Replacement - partial or total | PDPM |
| <input type="checkbox"/> | J2310. Hip Replacement - partial or total | PDPM |
| <input type="checkbox"/> | J2320. Ankle Replacement - partial or total | PDPM |
| <input type="checkbox"/> | J2330. Shoulder Replacement - partial or total | PDPM |

Spinal Surgery

- | | | |
|--------------------------|--|------|
| <input type="checkbox"/> | J2400. Involving the spinal cord or major spinal nerves | PDPM |
| <input type="checkbox"/> | J2410. Involving fusion of spinal bones | PDPM |
| <input type="checkbox"/> | J2420. Involving lamina, discs, or facets | PDPM |
| <input type="checkbox"/> | J2499. Other major spinal surgery | |

Other Orthopedic Surgery

- | | | |
|--------------------------|--|------|
| <input type="checkbox"/> | J2500. Repair fractures of the shoulder (including clavicle and scapula) or arm (but not hand) | PDPM |
| <input type="checkbox"/> | J2510. Repair fractures of the pelvis, hip, leg, knee, or ankle (not foot) | PDPM |
| <input type="checkbox"/> | J2520. Repair but not replace joints | PDPM |
| <input type="checkbox"/> | J2530. Repair other bones (such as hand, foot, jaw) | PDPM |
| <input type="checkbox"/> | J2599. Other major orthopedic surgery | |

Neurological Surgery

- | | | |
|--------------------------|--|------|
| <input type="checkbox"/> | J2600. Involving the brain, surrounding tissue or blood vessels (excludes skull and skin but includes cranial nerves) | PDPM |
| <input type="checkbox"/> | J2610. Involving the peripheral or autonomic nervous system - open or percutaneous | PDPM |
| <input type="checkbox"/> | J2620. Insertion or removal of spinal or brain neurostimulators, electrodes, catheters, or CSF drainage devices | PDPM |
| <input type="checkbox"/> | J2699. Other major neurological surgery | |

Cardiopulmonary Surgery

- | | | |
|--------------------------|--|------|
| <input type="checkbox"/> | J2700. Involving the heart or major blood vessels - open or percutaneous procedures | PDPM |
| <input type="checkbox"/> | J2710. Involving the respiratory system, including lungs, bronchi, trachea, larynx, or vocal cords - open or endoscopic | PDPM |
| <input type="checkbox"/> | J2799. Other major cardiopulmonary surgery | |

Genitourinary Surgery

- | | | |
|--------------------------|---|------|
| <input type="checkbox"/> | J2800. Involving male or female organs (such as prostate, testes, ovaries, uterus, vagina, external genitalia) | PDPM |
| <input type="checkbox"/> | J2810. Involving the kidneys, ureters, adrenal glands, or bladder - open or laparoscopic (includes creation or removal of nephrostomies or urostomies) | PDPM |
| <input type="checkbox"/> | J2899. Other major genitourinary surgery | |

Other Major Surgery

- | | | |
|--------------------------|--|------|
| <input type="checkbox"/> | J2900. Involving tendons, ligaments, or muscles | PDPM |
| <input type="checkbox"/> | J2910. Involving the gastrointestinal tract or abdominal contents from the esophagus to the anus, the biliary tree, gall bladder, liver, pancreas, or spleen - open or laparoscopic (including creation or removal of ostomies or percutaneous feeding tubes, or hernia repair) | PDPM |
| <input type="checkbox"/> | J2920. Involving the endocrine organs (such as thyroid, parathyroid), neck, lymph nodes, or thymus - open | PDPM |
| <input type="checkbox"/> | J2930. Involving the breast | PDPM |
| <input type="checkbox"/> | J2940. Repair of deep ulcers, internal brachytherapy, bone marrow or stem cell harvest or transplant | PDPM |
| <input type="checkbox"/> | J5000. Other major surgery not listed above | |

Section K	Swallowing/Nutritional Status
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K0100. Swallowing Disorder
 Signs and symptoms of possible swallowing disorder

↓ **Check all that apply**

<input type="checkbox"/>	A. Loss of liquids/solids from mouth when eating or drinking	PDPM
<input type="checkbox"/>	B. Holding food in mouth/cheeks or residual food in mouth after meals	PDPM
<input type="checkbox"/>	C. Coughing or choking during meals or when swallowing medications	PDPM
<input type="checkbox"/>	D. Complaints of difficulty or pain with swallowing	PDPM
<input type="checkbox"/>	Z. None of the above	

K0200. Height and Weight - While measuring, if the number is X.1 - X.4 round down; X.5 or greater round up

<input type="text"/>	inches	A. Height (in inches). Record most recent height measure since the most recent admission/entry or reentry	CATs
<input type="text"/>	pounds	B. Weight (in pounds). Base weight on most recent measure in last 30 days; measure weight consistently, according to standard facility practice (e.g., in a.m. after voiding, before meal, with shoes off, etc.)	CATs

K0300. Weight Loss

Loss of 5% or more in the last month or loss of 10% or more in last 6 months

Enter Code	<p>0. No or unknown</p> <p>1. Yes, on physician-prescribed weight-loss regimen</p> <p>2. Yes, not on physician-prescribed weight-loss regimen</p>	CATs RUG III PDPM
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K0310. Weight Gain

Gain of 5% or more in the last month or gain of 10% or more in last 6 months

Enter Code	<p>0. No or unknown</p> <p>1. Yes, on physician-prescribed weight-gain regimen</p> <p>2. Yes, not on physician-prescribed weight-gain regimen</p>	CATs
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K0510. Nutritional Approaches
 Check all of the following nutritional approaches that were performed during the last 7 days

	1. While NOT a Resident	2. While a Resident
<p>1. While NOT a Resident Performed <i>while NOT a resident</i> of this facility and within the last 7 days. Only check column 1 if resident entered (admission or reentry) IN THE LAST 7 DAYS. If resident last entered 7 or more days ago, leave column 1 blank</p> <p>2. While a Resident Performed <i>while a resident</i> of this facility and within the last 7 days</p>	1. While NOT a Resident	2. While a Resident
	↓ Check all that apply ↓	
A. Parenteral/IV feeding	CATs RUG III <input type="checkbox"/> PDPM	CATs RUG III <input type="checkbox"/> PDPM
B. Feeding tube - nasogastric or abdominal (PEG)	CATs RUG III <input type="checkbox"/> PDPM	CATs RUG III <input type="checkbox"/> PDPM
C. Mechanically altered diet - require change in texture of food or liquids (e.g., pureed food, thickened liquids)		CATs <input type="checkbox"/> PDPM
D. Therapeutic diet (e.g., low salt, diabetic, low cholesterol)		CATs <input type="checkbox"/>
Z. None of the above	<input type="checkbox"/>	<input type="checkbox"/>

Section K	Swallowing/Nutritional Status
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K0710. Percent Intake by Artificial Route - Complete K0710 only if Column 1 and/or Column 2 are checked for K0510A and/or K0510B		
2. While a Resident Performed <i>while a resident</i> of this facility and within the <i>last 7 days</i> 3. During Entire 7 Days Performed during the entire <i>last 7 days</i>	2. While a Resident	3. During Entire 7 Days
	↓	↓
	Enter Codes	
A. Proportion of total calories the resident received through parenteral or tube feeding 1. 25% or less 2. 26-50% 3. 51% or more	<input type="checkbox"/> PDPM	<input type="checkbox"/> RUG III <input type="checkbox"/> PDPM
B. Average fluid intake per day by IV or tube feeding 1. 500 cc/day or less 2. 501 cc/day or more	<input type="checkbox"/> PDPM	<input type="checkbox"/> RUG III <input type="checkbox"/> PDPM

Section L	Oral/Dental Status
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L0200. Dental		
↓ Check all that apply		
<input type="checkbox"/>	A. Broken or loosely fitting full or partial denture (chipped, cracked, uncleanable, or loose)	CATs
<input type="checkbox"/>	B. No natural teeth or tooth fragment(s) (edentulous)	CATs
<input type="checkbox"/>	C. Abnormal mouth tissue (ulcers, masses, oral lesions, including under denture or partial if one is worn)	CATs
<input type="checkbox"/>	D. Obvious or likely cavity or broken natural teeth	CATs
<input type="checkbox"/>	E. Inflamed or bleeding gums or loose natural teeth	CATs
<input type="checkbox"/>	F. Mouth or facial pain, discomfort or difficulty with chewing	CATs
<input type="checkbox"/>	G. Unable to examine	
<input type="checkbox"/>	Z. None of the above were present	

Section M	Skin Conditions
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**Report based on highest stage of existing ulcers/injuries at their worst;
do not "reverse" stage**

M0100. Determination of Pressure Ulcer/Injury Risk

↓ Check all that apply

- A. Resident has a pressure ulcer/injury, a scar over bony prominence, or a non-removable dressing/device**
- B. Formal assessment instrument/tool** (e.g., Braden, Norton, or other)
- C. Clinical assessment**
- Z. None of the above**

M0150. Risk of Pressure Ulcers/Injuries

Enter Code <input style="width: 100%;" type="text"/>	Is this resident at risk of developing pressure ulcers/injuries? 0. No 1. Yes	
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M0210. Unhealed Pressure Ulcers/Injuries

Enter Code <input style="width: 100%;" type="text"/>	Does this resident have one or more unhealed pressure ulcers/injuries? 0. No → Skip to M1030, Number of Venous and Arterial Ulcers 1. Yes → Continue to M0300, Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage
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M0300. Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage

Enter Number <input style="width: 100%;" type="text"/>	A. Stage 1: Intact skin with non-blanchable redness of a localized area usually over a bony prominence. Darkly pigmented skin may not have a visible blanching; in dark skin tones only it may appear with persistent blue or purple hues	
	1. Number of Stage 1 pressure injuries	
Enter Number <input style="width: 100%;" type="text"/>	B. Stage 2: Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister	
	1. Number of Stage 2 pressure ulcers - If 0 → Skip to M0300C, Stage 3	
Enter Number <input style="width: 100%;" type="text"/>	2. Number of these Stage 2 pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry	
Enter Number <input style="width: 100%;" type="text"/>	C. Stage 3: Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling	
	1. Number of Stage 3 pressure ulcers - If 0 → Skip to M0300D, Stage 4	
Enter Number <input style="width: 100%;" type="text"/>	2. Number of these Stage 3 pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry	
Enter Number <input style="width: 100%;" type="text"/>	D. Stage 4: Full thickness tissue loss with exposed bone, tendon or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling	
	1. Number of Stage 4 pressure ulcers - If 0 → Skip to M0300E, Unstageable - Non-removable dressing/device	
Enter Number <input style="width: 100%;" type="text"/>	2. Number of these Stage 4 pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry	

M0300 continued on next page

Section M	Skin Conditions
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M0300. Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage - Continued

Enter Number <input style="width:30px; height:20px;" type="text"/>	E. Unstageable - Non-removable dressing/device: Known but not stageable due to non-removable dressing/device	
Enter Number <input style="width:30px; height:20px;" type="text"/>	1. Number of unstageable pressure ulcers/injuries due to non-removable dressing/device - If 0 → Skip to M0300F, Unstageable - Slough and/or eschar	<input style="background-color: #ccccff; border: 1px solid black; padding: 2px 5px;" type="button" value="CATs"/>
Enter Number <input style="width:30px; height:20px;" type="text"/>	2. Number of these unstageable pressure ulcers/injuries that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry	
Enter Number <input style="width:30px; height:20px;" type="text"/>	F. Unstageable - Slough and/or eschar: Known but not stageable due to coverage of wound bed by slough and/or eschar	
Enter Number <input style="width:30px; height:20px;" type="text"/>	1. Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar - If 0 → Skip to M0300G, Unstageable - Deep tissue injury	<input style="background-color: #ccccff; border: 1px solid black; padding: 2px 5px;" type="button" value="CATs"/> <input style="background-color: #ffcccc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="RUG III"/> <input style="background-color: #ccffcc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="PDPM"/>
Enter Number <input style="width:30px; height:20px;" type="text"/>	2. Number of these unstageable pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry	
Enter Number <input style="width:30px; height:20px;" type="text"/>	G. Unstageable - Deep tissue injury:	
Enter Number <input style="width:30px; height:20px;" type="text"/>	1. Number of unstageable pressure injuries presenting as deep tissue injury - If 0 → Skip to M1030, Number of Venous and Arterial Ulcers	<input style="background-color: #ccccff; border: 1px solid black; padding: 2px 5px;" type="button" value="CATs"/>
Enter Number <input style="width:30px; height:20px;" type="text"/>	2. Number of these unstageable pressure injuries that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry	

M1030. Number of Venous and Arterial Ulcers

Enter Number <input style="width:30px; height:20px;" type="text"/>	Enter the total number of venous and arterial ulcers present	<input style="background-color: #ffcccc; border: 1px solid black; padding: 2px 5px;" type="button" value="RUG III"/> <input style="background-color: #ccffcc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="PDPM"/>
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M1040. Other Ulcers, Wounds and Skin Problems

↓ Check all that apply		
Foot Problems		
<input type="checkbox"/>	A. Infection of the foot (e.g., cellulitis, purulent drainage)	<input style="background-color: #ccccff; border: 1px solid black; padding: 2px 5px;" type="button" value="CATs"/> <input style="background-color: #ffcccc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="RUG III"/> <input style="background-color: #ccffcc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="PDPM"/>
<input type="checkbox"/>	B. Diabetic foot ulcer(s)	<input style="background-color: #ffcccc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="RUG III"/> <input style="background-color: #ccffcc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="PDPM"/>
<input type="checkbox"/>	C. Other open lesion(s) on the foot	<input style="background-color: #ffcccc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="RUG III"/> <input style="background-color: #ccffcc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="PDPM"/>
Other Problems		
<input type="checkbox"/>	D. Open lesion(s) other than ulcers, rashes, cuts (e.g., cancer lesion)	<input style="background-color: #ffcccc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="RUG III"/> <input style="background-color: #ccffcc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="PDPM"/>
<input type="checkbox"/>	E. Surgical wound(s)	<input style="background-color: #ffcccc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="RUG III"/> <input style="background-color: #ccffcc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="PDPM"/>
<input type="checkbox"/>	F. Burn(s) (second or third degree)	<input style="background-color: #ffcccc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="RUG III"/> <input style="background-color: #ccffcc; border: 1px solid black; padding: 2px 5px; margin-left: 5px;" type="button" value="PDPM"/>
<input type="checkbox"/>	G. Skin tear(s)	
<input type="checkbox"/>	H. Moisture Associated Skin Damage (MASD) (e.g., incontinence-associated dermatitis [IAD], perspiration, drainage)	<input style="background-color: #ccccff; border: 1px solid black; padding: 2px 5px;" type="button" value="CATs"/>
None of the Above		
<input type="checkbox"/>	Z. None of the above were present	

Section M**Skin Conditions****M1200. Skin and Ulcer/Injury Treatments**

↓ Check all that apply

<input type="checkbox"/>	A. Pressure reducing device for chair	RUG III	PDPM
<input type="checkbox"/>	B. Pressure reducing device for bed	RUG III	PDPM
<input type="checkbox"/>	C. Turning/repositioning program	RUG III	PDPM
<input type="checkbox"/>	D. Nutrition or hydration intervention to manage skin problems	RUG III	PDPM
<input type="checkbox"/>	E. Pressure ulcer/injury care	RUG III	PDPM
<input type="checkbox"/>	F. Surgical wound care	RUG III	PDPM
<input type="checkbox"/>	G. Application of nonsurgical dressings (with or without topical medications) other than to feet	RUG III	PDPM
<input type="checkbox"/>	H. Applications of ointments/medications other than to feet	RUG III	PDPM
<input type="checkbox"/>	I. Application of dressings to feet (with or without topical medications)	RUG III	PDPM
<input type="checkbox"/>	Z. None of the above were provided		

Section N	Medications
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N0300. Injections

Enter Days <input style="width: 20px; height: 20px;" type="text"/>	Record the number of days that injections of any type were received during the last 7 days or since admission/entry or reentry if less than 7 days. If 0 → Skip to N0410, Medications Received	RUG III
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N0350. Insulin

Enter Days <input style="width: 20px; height: 20px;" type="text"/>	A. Insulin injections - Record the number of days that insulin injections were received during the last 7 days or since admission/entry or reentry if less than 7 days	PDPM
Enter Days <input style="width: 20px; height: 20px;" type="text"/>	B. Orders for insulin - Record the number of days the physician (or authorized assistant or practitioner) changed the resident's insulin orders during the last 7 days or since admission/entry or reentry if less than 7 days	PDPM

N0410. Medications Received

Indicate the number of DAYS the resident received the following medications by pharmacological classification, not how it is used, during the last 7 days or since admission/entry or reentry if less than 7 days. Enter "0" if medication was not received by the resident during the last 7 days

Enter Days <input style="width: 20px; height: 20px;" type="text"/>	A. Antipsychotic	CATs
Enter Days <input style="width: 20px; height: 20px;" type="text"/>	B. Antianxiety	CATs
Enter Days <input style="width: 20px; height: 20px;" type="text"/>	C. Antidepressant	CATs
Enter Days <input style="width: 20px; height: 20px;" type="text"/>	D. Hypnotic	CATs
Enter Days <input style="width: 20px; height: 20px;" type="text"/>	E. Anticoagulant (e.g., warfarin, heparin, or low-molecular weight heparin)	
Enter Days <input style="width: 20px; height: 20px;" type="text"/>	F. Antibiotic	
Enter Days <input style="width: 20px; height: 20px;" type="text"/>	G. Diuretic	
Enter Days <input style="width: 20px; height: 20px;" type="text"/>	H. Opioid	

N0450. Antipsychotic Medication Review

Enter Code <input style="width: 20px; height: 20px;" type="text"/>	A. Did the resident receive antipsychotic medications since admission/entry or reentry or the prior OBRA assessment, whichever is more recent? 0. No - Antipsychotics were not received → Skip N0450B, N0450C, N0450D, and N0450E 1. Yes - Antipsychotics were received on a routine basis only → Continue to N0450B, Has a GDR been attempted? 2. Yes - Antipsychotics were received on a PRN basis only → Continue to N0450B, Has a GDR been attempted? 3. Yes - Antipsychotics were received on a routine and PRN basis → Continue to N0450B, Has a GDR been attempted?
Enter Code <input style="width: 20px; height: 20px;" type="text"/>	B. Has a gradual dose reduction (GDR) been attempted? 0. No → Skip to N0450D, Physician documented GDR as clinically contraindicated 1. Yes → Continue to N0450C, Date of last attempted GDR
	C. Date of last attempted GDR: <div style="display: flex; justify-content: space-around; align-items: center;"> — — — </div> <div style="display: flex; justify-content: space-around; align-items: center; margin-top: 5px;"> Month Day Year </div>

N0450 continued on next page

Section N**Medications****N0450. Antipsychotic Medication Review - Continued**

Enter Code <input type="checkbox"/>	<p>D. Physician documented GDR as clinically contraindicated</p> <p>0. No - GDR has not been documented by a physician as clinically contraindicated → Skip N0450E Date physician documented GDR as clinically contraindicated</p> <p>1. Yes - GDR has been documented by a physician as clinically contraindicated → Continue to N0450E, Date physician documented GDR as clinically contraindicated</p>
	<p>E. Date physician documented GDR as clinically contraindicated:</p> <p style="text-align: center;"> _____ - - _____ Month Day Year </p>

N2001. Drug Regimen Review - Complete only if A0310B = 01

Enter Code <input type="checkbox"/>	<p>Did a complete drug regimen review identify potential clinically significant medication issues?</p> <p>0. No - No issues found during review</p> <p>1. Yes - Issues found during review</p> <p>9. NA - Resident is not taking any medications</p>
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N2003. Medication Follow-up - Complete only if N2001 = 1

Enter Code <input type="checkbox"/>	<p>Did the facility contact a physician (or physician-designee) by midnight of the next calendar day and complete prescribed/recommended actions in response to the identified potential clinically significant medication issues?</p> <p>0. No</p> <p>1. Yes</p>
--	--

N2005. Medication Intervention - Complete only if A0310H = 1

Enter Code <input type="checkbox"/>	<p>Did the facility contact and complete physician (or physician-designee) prescribed/recommended actions by midnight of the next calendar day each time potential clinically significant medication issues were identified since the admission?</p> <p>0. No</p> <p>1. Yes</p> <p>9. NA - There were no potential clinically significant medication issues identified since admission or resident is not taking any medications</p>
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Section O Special Treatments, Procedures, and Programs

O0100. Special Treatments, Procedures, and Programs

Check all of the following treatments, procedures, and programs that were performed during the last 14 days

1. While NOT a Resident Performed <i>while NOT a resident</i> of this facility and within the last 14 days . Only check column 1 if resident entered (admission or reentry) IN THE LAST 14 DAYS. If resident last entered 14 or more days ago, leave column 1 blank 2. While a Resident Performed <i>while a resident</i> of this facility and within the last 14 days	1. While NOT a Resident	2. While a Resident
↓ Check all that apply ↓		
Cancer Treatments		
A. Chemotherapy	RUG III <input type="checkbox"/>	RUG III <input type="checkbox"/> PDPM <input type="checkbox"/>
B. Radiation	RUG III <input type="checkbox"/>	RUG III <input type="checkbox"/> PDPM <input type="checkbox"/>
Respiratory Treatments		
C. Oxygen therapy	RUG III <input type="checkbox"/>	RUG III <input type="checkbox"/> PDPM <input type="checkbox"/>
D. Suctioning	RUG III <input type="checkbox"/>	RUG III <input type="checkbox"/> PDPM <input type="checkbox"/>
E. Tracheostomy care	RUG III <input type="checkbox"/>	RUG III <input type="checkbox"/> PDPM <input type="checkbox"/>
F. Invasive Mechanical Ventilator (ventilator or respirator)	RUG III <input type="checkbox"/>	RUG III <input type="checkbox"/> PDPM <input type="checkbox"/>
G. Non-Invasive Mechanical Ventilator (BiPAP/CPAP)	<input type="checkbox"/>	<input type="checkbox"/>
Other		
H. IV medications	RUG III <input type="checkbox"/>	RUG III <input type="checkbox"/> PDPM <input type="checkbox"/>
I. Transfusions	RUG III <input type="checkbox"/>	RUG III <input type="checkbox"/> PDPM <input type="checkbox"/>
J. Dialysis	RUG III <input type="checkbox"/>	RUG III <input type="checkbox"/> PDPM <input type="checkbox"/>
K. Hospice care	<input type="checkbox"/>	<input type="checkbox"/>
M. Isolation or quarantine for active infectious disease (does not include standard body/fluid precautions)	<input type="checkbox"/>	<input type="checkbox"/> PDPM <input type="checkbox"/>
None of the Above		
Z. None of the above	<input type="checkbox"/>	<input type="checkbox"/>

O0250. Influenza Vaccine - Refer to current version of RAI manual for current influenza vaccination season and reporting period

Enter Code <input type="checkbox"/>	A. Did the resident receive the influenza vaccine in this facility for this year's influenza vaccination season? 0. No → Skip to O0250C, If influenza vaccine not received, state reason 1. Yes → Continue to O0250B, Date influenza vaccine received
Enter Code <input type="checkbox"/>	B. Date influenza vaccine received → Complete date and skip to O0300A, Is the resident's Pneumococcal vaccination up to date? _____ - _____ - _____ Month Day Year
Enter Code <input type="checkbox"/>	C. If influenza vaccine not received, state reason: 1. Resident not in this facility during this year's influenza vaccination season 2. Received outside of this facility 3. Not eligible - medical contraindication 4. Offered and declined 5. Not offered 6. Inability to obtain influenza vaccine due to a declared shortage 9. None of the above

O0300. Pneumococcal Vaccine

Enter Code <input type="checkbox"/>	A. Is the resident's Pneumococcal vaccination up to date? 0. No → Continue to O0300B, If Pneumococcal vaccine not received, state reason 1. Yes → Skip to O0400, Therapies
Enter Code <input type="checkbox"/>	B. If Pneumococcal vaccine not received, state reason: 1. Not eligible - medical contraindication 2. Offered and declined 3. Not offered

Section O**Special Treatments, Procedures, and Programs****O0400. Therapies****A. Speech-Language Pathology and Audiology Services**

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Days

1. Individual minutes - record the total number of minutes this therapy was administered to the resident **individually** in the last 7 days RUG III

2. Concurrent minutes - record the total number of minutes this therapy was administered to the resident **concurrently with one other resident** in the last 7 days RUG III

3. Group minutes - record the total number of minutes this therapy was administered to the resident as **part of a group of residents** in the last 7 days RUG III

If the sum of individual, concurrent, and group minutes is zero, → skip to O0400A5, Therapy start date

3A. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in **co-treatment sessions** in the last 7 days

4. Days - record the **number of days** this therapy was administered for **at least 15 minutes** a day in the last 7 days RUG III

5. Therapy start date - record the date the most recent therapy regimen (since the most recent entry) started

6. Therapy end date - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing

— — — — —
 Month Day Year Month Day Year

B. Occupational Therapy

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Days

1. Individual minutes - record the total number of minutes this therapy was administered to the resident **individually** in the last 7 days RUG III

2. Concurrent minutes - record the total number of minutes this therapy was administered to the resident **concurrently with one other resident** in the last 7 days RUG III

3. Group minutes - record the total number of minutes this therapy was administered to the resident as **part of a group of residents** in the last 7 days RUG III

If the sum of individual, concurrent, and group minutes is zero, → skip to O0400B5, Therapy start date

3A. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in **co-treatment sessions** in the last 7 days

4. Days - record the **number of days** this therapy was administered for **at least 15 minutes** a day in the last 7 days RUG III







5. Therapy start date - record the date the most recent therapy regimen (since the most recent entry) started

6. Therapy end date - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing

— — — — —
 Month Day Year Month Day Year

O0400 continued on next page

Section O**Special Treatments, Procedures, and Programs****O0400. Therapies - Continued**

	C. Physical Therapy								
Enter Number of Minutes <input type="text"/>	1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually in the last 7 days 								
Enter Number of Minutes <input type="text"/>	2. Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident in the last 7 days 								
Enter Number of Minutes <input type="text"/>	3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents in the last 7 days 								
Enter Number of Minutes <input type="text"/>	If the sum of individual, concurrent, and group minutes is zero, → skip to O0400C5, Therapy start date								
Enter Number of Days <input type="text"/>	3A. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions in the last 7 days								
Enter Number of Days <input type="text"/>	4. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days 								
	5. Therapy start date - record the date the most recent therapy regimen (since the most recent entry) started								
	6. Therapy end date - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing								
	<table style="width: 100%; border: none;"> <tr> <td style="text-align: center;">-</td> <td style="text-align: center;">-</td> <td style="text-align: center;">-</td> <td style="text-align: center;">-</td> </tr> <tr> <td style="text-align: center;">Month</td> <td style="text-align: center;">Day</td> <td style="text-align: center;">Year</td> <td style="text-align: center;">Year</td> </tr> </table>	-	-	-	-	Month	Day	Year	Year
-	-	-	-						
Month	Day	Year	Year						
	D. Respiratory Therapy								
Enter Number of Minutes <input type="text"/>	1. Total minutes - record the total number of minutes this therapy was administered to the resident in the last 7 days If zero, → skip to O0400E, Psychological Therapy								
Enter Number of Days <input type="text"/>	2. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days  								
	E. Psychological Therapy (by any licensed mental health professional)								
Enter Number of Minutes <input type="text"/>	1. Total minutes - record the total number of minutes this therapy was administered to the resident in the last 7 days If zero, → skip to O0400F, Recreational Therapy								
Enter Number of Days <input type="text"/>	2. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days								
	F. Recreational Therapy (includes recreational and music therapy)								
Enter Number of Minutes <input type="text"/>	1. Total minutes - record the total number of minutes this therapy was administered to the resident in the last 7 days If zero, → skip to O0420, Distinct Calendar Days of Therapy								
Enter Number of Days <input type="text"/>	2. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days								
	O0420. Distinct Calendar Days of Therapy								
Enter Number of Days <input type="text"/>	Record the number of calendar days that the resident received Speech-Language Pathology and Audiology Services, Occupational Therapy, or Physical Therapy for at least 15 minutes in the past 7 days.								

Section O**Special Treatments, Procedures, and Programs****O0425. Part A Therapies**

Complete only if A0310H = 1

	A. Speech-Language Pathology and Audiology Services
Enter Number of Minutes <input type="text"/>	<ol style="list-style-type: none"> Individual minutes - record the total number of minutes this therapy was administered to the resident individually since the start date of the resident's most recent Medicare Part A stay (A2400B) Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident since the start date of the resident's most recent Medicare Part A stay (A2400B) Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents since the start date of the resident's most recent Medicare Part A stay (A2400B) <p>If the sum of individual, concurrent, and group minutes is zero, → skip to O0425B, Occupational Therapy</p> <ol style="list-style-type: none"> Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions since the start date of the resident's most recent Medicare Part A stay (A2400B) Days - record the number of days this therapy was administered for at least 15 minutes a day since the start date of the resident's most recent Medicare Part A stay (A2400B)
Enter Number of Minutes <input type="text"/>	
Enter Number of Minutes <input type="text"/>	
Enter Number of Minutes <input type="text"/>	
Enter Number of Days <input type="text"/>	
B. Occupational Therapy	
Enter Number of Minutes <input type="text"/>	<ol style="list-style-type: none"> Individual minutes - record the total number of minutes this therapy was administered to the resident individually since the start date of the resident's most recent Medicare Part A stay (A2400B) Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident since the start date of the resident's most recent Medicare Part A stay (A2400B) Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents since the start date of the resident's most recent Medicare Part A stay (A2400B) <p>If the sum of individual, concurrent, and group minutes is zero, → skip to O0425C, Physical Therapy</p> <ol style="list-style-type: none"> Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions since the start date of the resident's most recent Medicare Part A stay (A2400B) Days - record the number of days this therapy was administered for at least 15 minutes a day since the start date of the resident's most recent Medicare Part A stay (A2400B)
Enter Number of Minutes <input type="text"/>	
Enter Number of Minutes <input type="text"/>	
Enter Number of Minutes <input type="text"/>	
Enter Number of Days <input type="text"/>	
C. Physical Therapy	
Enter Number of Minutes <input type="text"/>	<ol style="list-style-type: none"> Individual minutes - record the total number of minutes this therapy was administered to the resident individually since the start date of the resident's most recent Medicare Part A stay (A2400B) Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident since the start date of the resident's most recent Medicare Part A stay (A2400B) Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents since the start date of the resident's most recent Medicare Part A stay (A2400B) <p>If the sum of individual, concurrent, and group minutes is zero, → skip to O0430, Distinct Calendar Days of Part A Therapy</p> <ol style="list-style-type: none"> Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions since the start date of the resident's most recent Medicare Part A stay (A2400B) Days - record the number of days this therapy was administered for at least 15 minutes a day since the start date of the resident's most recent Medicare Part A stay (A2400B)
Enter Number of Minutes <input type="text"/>	
Enter Number of Minutes <input type="text"/>	
Enter Number of Minutes <input type="text"/>	
Enter Number of Days <input type="text"/>	
O0430. Distinct Calendar Days of Part A Therapy	
Complete only if A0310H = 1	
Enter Number of Days <input type="text"/>	Record the number of calendar days that the resident received Speech-Language Pathology and Audiology Services, Occupational Therapy, or Physical Therapy for at least 15 minutes since the start date of the resident's most recent Medicare Part A stay (A2400B)

Section O**Special Treatments, Procedures, and Programs****O0500. Restorative Nursing Programs**

Record the **number of days** each of the following restorative programs was performed (for at least 15 minutes a day) in the last 7 calendar days (enter 0 if none or less than 15 minutes daily)

Number of Days	Technique		
<input type="text"/>	A. Range of motion (passive)	<input type="text"/>	<input type="text"/>
<input type="text"/>	B. Range of motion (active)	<input type="text"/>	<input type="text"/>
<input type="text"/>	C. Splint or brace assistance	<input type="text"/>	<input type="text"/>
Number of Days	Training and Skill Practice In:		
<input type="text"/>	D. Bed mobility	<input type="text"/>	<input type="text"/>
<input type="text"/>	E. Transfer	<input type="text"/>	<input type="text"/>
<input type="text"/>	F. Walking	<input type="text"/>	<input type="text"/>
<input type="text"/>	G. Dressing and/or grooming	<input type="text"/>	<input type="text"/>
<input type="text"/>	H. Eating and/or swallowing	<input type="text"/>	<input type="text"/>
<input type="text"/>	I. Amputation/prostheses care	<input type="text"/>	<input type="text"/>
<input type="text"/>	J. Communication	<input type="text"/>	<input type="text"/>

O0600. Physician Examinations

Enter Days <input type="text"/>	Over the last 14 days, on how many days did the physician (or authorized assistant or practitioner) examine the resident?	<input type="text"/>
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O0700. Physician Orders

Enter Days <input type="text"/>	Over the last 14 days, on how many days did the physician (or authorized assistant or practitioner) change the resident's orders?	<input type="text"/>
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Section P Restraints and Alarms

P0100. Physical Restraints

Physical restraints are any manual method or physical or mechanical device, material or equipment attached or adjacent to the resident's body that the individual cannot remove easily which restricts freedom of movement or normal access to one's body

<p>Coding:</p> <ul style="list-style-type: none"> 0. Not used 1. Used less than daily 2. Used daily 	↓ Enter Codes in Boxes
	Used in Bed
	<input type="checkbox"/> A. Bed rail CATs
	<input type="checkbox"/> B. Trunk restraint CATs
	<input type="checkbox"/> C. Limb restraint CATs
	<input type="checkbox"/> D. Other CATs
	Used in Chair or Out of Bed
	<input type="checkbox"/> E. Trunk restraint CATs
	<input type="checkbox"/> F. Limb restraint CATs
	<input type="checkbox"/> G. Chair prevents rising CATs
<input type="checkbox"/> H. Other CATs	

P0200. Alarms

An alarm is any physical or electronic device that monitors resident movement and alerts the staff when movement is detected

<p>Coding:</p> <ul style="list-style-type: none"> 0. Not used 1. Used less than daily 2. Used daily 	↓ Enter Codes in Boxes
	<input type="checkbox"/> A. Bed alarm
	<input type="checkbox"/> B. Chair alarm
	<input type="checkbox"/> C. Floor mat alarm
	<input type="checkbox"/> D. Motion sensor alarm
	<input type="checkbox"/> E. Wander/elopement alarm
	<input type="checkbox"/> F. Other alarm

Section Q**Participation in Assessment and Goal Setting****Q0100. Participation in Assessment**

Enter Code <input type="checkbox"/>	A. Resident participated in assessment 0. No 1. Yes
Enter Code <input type="checkbox"/>	B. Family or significant other participated in assessment 0. No 1. Yes 9. Resident has no family or significant other
Enter Code <input type="checkbox"/>	C. Guardian or legally authorized representative participated in assessment 0. No 1. Yes 9. Resident has no guardian or legally authorized representative

Q0300. Resident's Overall Expectation

Complete only if A0310E = 1

Enter Code <input type="checkbox"/>	A. Select one for resident's overall goal established during assessment process 1. Expects to be discharged to the community 2. Expects to remain in this facility 3. Expects to be discharged to another facility/institution 9. Unknown or uncertain
Enter Code <input type="checkbox"/>	B. Indicate information source for Q0300A 1. Resident 2. If not resident, then family or significant other 3. If not resident, family, or significant other, then guardian or legally authorized representative 9. Unknown or uncertain

Q0400. Discharge Plan

Enter Code <input type="checkbox"/>	A. Is active discharge planning already occurring for the resident to return to the community? 0. No 1. Yes → Skip to Q0600, Referral
--	--

Q0490. Resident's Preference to Avoid Being Asked Question Q0500B

Complete only if A0310A = 02, 06, or 99

Enter Code <input type="checkbox"/>	Does the resident's clinical record document a request that this question be asked only on comprehensive assessments? 0. No 1. Yes → Skip to Q0600, Referral
--	---

Q0500. Return to Community

Enter Code <input type="checkbox"/>	B. Ask the resident (or family or significant other or guardian or legally authorized representative if resident is unable to understand or respond): "Do you want to talk to someone about the possibility of leaving this facility and returning to live and receive services in the community?" 0. No 1. Yes 9. Unknown or uncertain
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Q0550. Resident's Preference to Avoid Being Asked Question Q0500B Again

Enter Code <input type="checkbox"/>	A. Does the resident (or family or significant other or guardian or legally authorized representative if resident is unable to understand or respond) want to be asked about returning to the community on <u>all</u> assessments? (Rather than only on comprehensive assessments.) 0. No - then document in resident's clinical record and ask again only on the next comprehensive assessment 1. Yes 8. Information not available
Enter Code <input type="checkbox"/>	B. Indicate information source for Q0550A 1. Resident 2. If not resident, then family or significant other 3. If not resident, family or significant other, then guardian or legally authorized representative 9. None of the above

Section Q	Participation in Assessment and Goal Setting
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Q0600. Referral

Enter Code <input style="width:100%; height:20px;" type="text"/>	<p>Has a referral been made to the Local Contact Agency? (Document reasons in resident's clinical record)</p> <p>0. No - referral not needed</p> <p>1. No - referral is or may be needed (For more information see Appendix C, Care Area Assessment Resources #20)</p> <p>2. Yes - referral made</p>	
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Section V	Care Area Assessment (CAA) Summary
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V0100. Items From the Most Recent Prior OBRA or Scheduled PPS Assessment
Complete only if A0310E = 0 and if the following is true for the prior assessment : A0310A = 01- 06 or A0310B = 01

Enter Code <input style="width:100%; height:20px;" type="text"/>	<p>A. Prior Assessment Federal OBRA Reason for Assessment (A0310A value from prior assessment)</p> <p>01. Admission assessment (required by day 14)</p> <p>02. Quarterly review assessment</p> <p>03. Annual assessment</p> <p>04. Significant change in status assessment</p> <p>05. Significant correction to prior comprehensive assessment</p> <p>06. Significant correction to prior quarterly assessment</p> <p>99. None of the above</p>	
Enter Code <input style="width:100%; height:20px;" type="text"/>	<p>B. Prior Assessment PPS Reason for Assessment (A0310B value from prior assessment)</p> <p>01. 5-day scheduled assessment</p> <p>08. IPA - Interim Payment Assessment</p> <p>99. None of the above</p>	
Enter Score <input style="width:100%; height:20px;" type="text"/>	<p>C. Prior Assessment Reference Date (A2300 value from prior assessment)</p> <p style="text-align: center;"> _____ - _____ - _____ Month Day Year </p>	
Enter Score <input style="width:100%; height:20px;" type="text"/>	<p>D. Prior Assessment Brief Interview for Mental Status (BIMS) Summary Score (C0500 value from prior assessment)</p>	
Enter Score <input style="width:100%; height:20px;" type="text"/>	<p>E. Prior Assessment Resident Mood Interview (PHQ-9©) Total Severity Score (D0300 value from prior assessment)</p>	
Enter Score <input style="width:100%; height:20px;" type="text"/>	<p>F. Prior Assessment Staff Assessment of Resident Mood (PHQ-9-OV) Total Severity Score (D0600 value from prior assessment)</p>	

Section V**Care Area Assessment (CAA) Summary****V0200. CAAs and Care Planning**

1. Check column A if Care Area is triggered.
2. For each triggered Care Area, indicate whether a new care plan, care plan revision, or continuation of current care plan is necessary to address the problem(s) identified in your assessment of the care area. The Care Planning Decision column must be completed within 7 days of completing the RAI (MDS and CAA(s)). Check column B if the triggered care area is addressed in the care plan.
3. Indicate in the Location and Date of CAA Documentation column where information related to the CAA can be found. CAA documentation should include information on the complicating factors, risks, and any referrals for this resident for this care area.

A. CAA Results

Care Area	A. Care Area Triggered	B. Care Planning Decision	Location and Date of CAA documentation
	↓ Check all that apply ↓		
01. Delirium	<input type="checkbox"/>	<input type="checkbox"/>	
02. Cognitive Loss/Dementia	<input type="checkbox"/>	<input type="checkbox"/>	
03. Visual Function	<input type="checkbox"/>	<input type="checkbox"/>	
04. Communication	<input type="checkbox"/>	<input type="checkbox"/>	
05. ADL Functional/Rehabilitation Potential	<input type="checkbox"/>	<input type="checkbox"/>	
06. Urinary Incontinence and Indwelling Catheter	<input type="checkbox"/>	<input type="checkbox"/>	
07. Psychosocial Well-Being	<input type="checkbox"/>	<input type="checkbox"/>	
08. Mood State	<input type="checkbox"/>	<input type="checkbox"/>	
09. Behavioral Symptoms	<input type="checkbox"/>	<input type="checkbox"/>	
10. Activities	<input type="checkbox"/>	<input type="checkbox"/>	
11. Falls	<input type="checkbox"/>	<input type="checkbox"/>	
12. Nutritional Status	<input type="checkbox"/>	<input type="checkbox"/>	
13. Feeding Tube	<input type="checkbox"/>	<input type="checkbox"/>	
14. Dehydration/Fluid Maintenance	<input type="checkbox"/>	<input type="checkbox"/>	
15. Dental Care	<input type="checkbox"/>	<input type="checkbox"/>	
16. Pressure Ulcer	<input type="checkbox"/>	<input type="checkbox"/>	
17. Psychotropic Drug Use	<input type="checkbox"/>	<input type="checkbox"/>	
18. Physical Restraints	<input type="checkbox"/>	<input type="checkbox"/>	
19. Pain	<input type="checkbox"/>	<input type="checkbox"/>	
20. Return to Community Referral	<input type="checkbox"/>	<input type="checkbox"/>	

B. Signature of RN Coordinator for CAA Process and Date Signed

1. Signature _____

2. Date _____

— —
 Month Day Year

C. Signature of Person Completing Care Plan Decision and Date Signed

1. Signature _____

2. Date _____

— —
 Month Day Year

Section X**Correction Request****Complete Section X only if A0050 = 2 or 3**

Identification of Record to be Modified/Inactivated - The following items identify the existing assessment record that is in error. In this section, reproduce the information EXACTLY as it appeared on the existing erroneous record, even if the information is incorrect. This information is necessary to locate the existing record in the National MDS Database.

X0150. Type of Provider (A0200 on existing record to be modified/inactivated)

Enter Code **Type of provider**

1. **Nursing home (SNF/NF)**
2. **Swing Bed**

X0200. Name of Resident (A0500 on existing record to be modified/inactivated)

A. First name:

C. Last name:

X0300. Gender (A0800 on existing record to be modified/inactivated)

Enter Code

1. **Male**
2. **Female**

X0400. Birth Date (A0900 on existing record to be modified/inactivated)

Month Day Year

X0500. Social Security Number (A0600A on existing record to be modified/inactivated)

— —

X0570. Optional State Assessment (A0300A on existing record to be modified/inactivated)

Enter Code **A. Is this assessment for state payment purposes only?**

0. **No**
1. **Yes**

X0600. Type of Assessment (A0310 on existing record to be modified/inactivated)

Enter Code **A. Federal OBRA Reason for Assessment**

01. **Admission** assessment (required by day 14)
02. **Quarterly** review assessment
03. **Annual** assessment
04. **Significant change in status** assessment
05. **Significant correction to prior comprehensive** assessment
06. **Significant correction to prior quarterly** assessment
99. **None of the above**

Enter Code **B. PPS Assessment**

PPS Scheduled Assessment for a Medicare Part A Stay

01. **5-day** scheduled assessment

PPS Unscheduled Assessment for a Medicare Part A Stay

08. **IPA** - Interim Payment Assessment

Not PPS Assessment

99. **None of the above**

Enter Code **F. Entry/discharge reporting**

01. **Entry** tracking record
10. **Discharge** assessment-**return not anticipated**
11. **Discharge** assessment-**return anticipated**
12. **Death in facility** tracking record
99. **None of the above**

Enter Code **H. Is this a SNF Part A PPS Discharge Assessment?**

0. **No**
1. **Yes**

Section X**Correction Request****X0700. Date on existing record to be modified/inactivated - Complete one only****A. Assessment Reference Date** (A2300 on existing record to be modified/inactivated) - Complete only if X0600F = 99

— —
 Month Day Year

B. Discharge Date (A2000 on existing record to be modified/inactivated) - Complete only if X0600F = 10, 11, or 12

— —
 Month Day Year

C. Entry Date (A1600 on existing record to be modified/inactivated) - Complete only if X0600F = 01

— —
 Month Day Year

Correction Attestation Section - Complete this section to explain and attest to the modification/inactivation request**X0800. Correction Number**

Enter Number

Enter the number of correction requests to modify/inactivate the existing record, including the present one**X0900. Reasons for Modification** - Complete only if Type of Record is to modify a record in error (A0050 = 2)↓ **Check all that apply**

- A. Transcription error**
- B. Data entry error**
- C. Software product error**
- D. Item coding error**
- Z. Other error requiring modification**
 If "Other" checked, please specify: _____

X1050. Reasons for Inactivation - Complete only if Type of Record is to inactivate a record in error (A0050 = 3)↓ **Check all that apply**

- A. Event did not occur**
- Z. Other error requiring inactivation**
 If "Other" checked, please specify: _____

X1100. RN Assessment Coordinator Attestation of Completion**A. Attesting individual's first name:****B. Attesting individual's last name:****C. Attesting individual's title:****D. Signature****E. Attestation date**

— —
 Month Day Year

Section Z**Assessment Administration****Z0100. Medicare Part A Billing****A. Medicare Part A HIPPS code:****B. Version code:****Z0200. State Medicaid Billing (if required by the state)****A. Case Mix group:****B. Version code:****Z0250. Alternate State Medicaid Billing (if required by the state)****A. Case Mix group:****B. Version code:****Z0300. Insurance Billing****A. Billing code:****B. Billing version:**

Section Z**Assessment Administration****Z0400. Signature of Persons Completing the Assessment or Entry/Death Reporting**

I certify that the accompanying information accurately reflects resident assessment information for this resident and that I collected or coordinated collection of this information on the dates specified. To the best of my knowledge, this information was collected in accordance with applicable Medicare and Medicaid requirements. I understand that this information is used as a basis for ensuring that residents receive appropriate and quality care, and as a basis for payment from federal funds. I further understand that payment of such federal funds and continued participation in the government-funded health care programs is conditioned on the accuracy and truthfulness of this information, and that I may be personally subject to or may subject my organization to substantial criminal, civil, and/or administrative penalties for submitting false information. I also certify that I am authorized to submit this information by this facility on its behalf.

Signature	Title	Sections	Date Section Completed
A.			
B.			
C.			
D.			
E.			
F.			
G.			
H.			
I.			
J.			
K.			
L.			

Z0500. Signature of RN Assessment Coordinator Verifying Assessment Completion**A. Signature:****B. Date RN Assessment Coordinator signed assessment as complete:**

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Month Day Year

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