

**FEDERAL FINANCIAL REPORT**

(Follow form Instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted Centers For Medicare & Medicaid Services	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) 1G1CMS331177-01	Page of 1   1 pages
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3. Recipient Organization (Name and complete address including Zip code)  
 State of Maine, Department of Health & Human Services, State House Station 11, Augusta, ME 04333-0011

4a. DUNS Number  809045594	4b. EIN  0-016000001	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)  013-10A-3080-07	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
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8. Project/Grant Period (Month, Day, Year) From: 04/01/2013 To: 9/30/2016	9. Reporting Period End Date (Month, Day, Year) 3/31/2014
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10. Transactions Cumulative

*(Use lines a-c for single or multiple grant reporting)*

<b>Federal Cash (To report multiple grants, also use FFR Attachment):</b>	
a. Cash Receipts	5,835,820.88
b. Cash Disbursements	5,383,594.30
c. Cash on Hand (line a minus b)	452,226.58

*(Use lines d-o for single grant reporting)*

<b>Federal Expenditures and Unobligated Balance:</b>	
d. Total Federal funds authorized	13,911,044.35
e. Federal share of expenditures	5,383,594.30
f. Federal share of unliquidated obligations	1,299,274.23
g. Total Federal share (sum of lines e and f)	6,682,868.53
h. Unobligated balance of Federal funds (line d minus g)	7,228,175.82

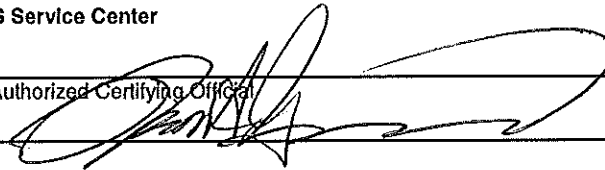
<b>Recipient Share:</b>	
i. Total recipient share required	-
j. Recipient share of expenditures	-
k. Remaining recipient share to be provided (line i minus j)	-

<b>Program Income:</b>	
l. Total Federal program income earned	-
m. Program income expended in accordance with the deduction alternative	-
n. Program income expended in accordance with the addition alternative	-
o. Unexpended program income (line l minus line m or line n)	-

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
	FIXED	3.683%	04/01/2013	3/31/2014	5,190,339.62	191,131.68	191,131.68
<b>g. Totals:</b>					<b>5,190,339.62</b>	<b>191,131.68</b>	<b>191,131.68</b>

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and intent set forth in the award documents. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official Raymond Girouard Director - DHHS Service Center	c. Telephone (Area code, number, and extension) 207-287-8888 d. Email Address Raymond.Girouard@Maine.gov
b. Signature of Authorized Certifying Official 	e. Date Report Submitted (Month, Day, Year) 10/29/2013

14. Agency use only:

Standard Form 425  
 OMB Approval Number: 0348-0061  
 Expiration Date: 10/31/2011

**Paperwork Burden Statement**  
 According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0348-0061. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0061), Washington, DC 20503.

**SIM**

Period ending 6/30/2014

STATE-INOVAIONCMS13

Grant Number:		1G1CMS331177-01		1G1CMS331177-02
Document Number:		SIMM131177		
Grant Year One Award	\$	6,282,402.00		
Grant Year Two Award	\$	7,628,642.35	\$	13,911,044.35

**DIRECT EXPENDITURES**

Bucket	(Multiple Items)	15, 24, 90
Unit	3080	
Dept	10A	
Doc Cd	(Multiple Items)	exclude CREF, CRAJ
Object Group	(Multiple Items)	exclude 8511

Fisc Yr	Fisc Qtr	Accept Dt	Program	Prog Period	Inrl Posting Am
2013	4	5/31/2013	Unknown	Unknown	4,807.69
		6/25/2013	Unknown	Unknown	9,444.38
		6/27/2013	Unknown	Unknown	171.00
		7/11/2013	Unknown	Unknown	4,828.20
2014	1	7/12/2013	Unknown	Unknown	(0.00)
		7/26/2013	Unknown	Unknown	4,807.69
		8/1/2013	Unknown	Unknown	893.23
		8/8/2013	Unknown	Unknown	4,834.58
		8/9/2013	Unknown	Unknown	-
		8/12/2013	SIM	F2013	128.94
		8/14/2013	Unknown	Unknown	46.47
		8/20/2013	Unknown	Unknown	4,459.60
		8/23/2013	SIM	F2013	1,041.65
			Unknown	Unknown	4,807.69
		9/11/2013	Unknown	Unknown	98.00
		9/12/2013	Unknown	Unknown	4,807.69
		9/13/2013	Unknown	Unknown	-
		9/17/2013	SIM	F2013	281.96
			Unknown	Unknown	4,807.69
		9/20/2013	Unknown	Unknown	-
		9/23/2013	Unknown	Unknown	290.00
		9/24/2013	Unknown	Unknown	679,822.57
		9/26/2013	SIM	F2013	152.30
			Unknown	Unknown	653,573.34
		9/27/2013	SIM	F2013	254.11
			Unknown	Unknown	-
		10/1/2013	SIM	F2013	3,366.41
			Unknown	Unknown	4,807.69
		10/4/2013	Unknown	Unknown	-
		10/11/2013	Unknown	Unknown	88,764.55
		10/15/2013	SIM	F2013	3,357.39
		10/16/2013	Unknown	Unknown	4,807.69
		10/18/2013	Unknown	Unknown	183,512.43
		10/21/2013	SIM	F2013	259.37
		10/25/2013	Unknown	Unknown	54,119.00
		10/29/2013	SIM	F2013	2,806.56
		10/30/2013	Unknown	Unknown	4,807.69
		11/1/2013	Unknown	Unknown	726.00
		11/8/2013	SIM	F2013	167.23
		11/12/2013	SIM	F2013	3,342.74
		11/13/2013	Unknown	Unknown	4,807.69
		11/15/2013	Unknown	Unknown	-
		11/18/2013	SIM	F2013	701.74
		11/19/2013	SIM	F2013	152.30
		11/20/2013	SIM	F2013	326,786.66
		11/22/2013	SIM	F2013	-
		11/26/2013	SIM	F2013	1,650.32
			Unknown	Unknown	4,807.69
		11/27/2013	Unknown	Unknown	-
		12/5/2013	SIM	F2013	240.00
		12/9/2013	SIM	F2013	381.60
12/10/2013	SIM	F2013	774.60		
	Unknown	Unknown	4,807.69		
12/12/2013	SIM	F2013	120.05		
12/13/2013	SIM	F2013	-		
	Unknown	Unknown	-		
12/18/2013	Unknown	Unknown	270,364.34		
12/20/2013	Unknown	Unknown	28,787.45		
12/23/2013	SIM	F2013	120.00		
	Unknown	Unknown	1,255,221.00		
12/24/2013	SIM	F2013	1,825.11		
12/27/2013	SIM	F2013	1,099.33		
	Unknown	Unknown	4,807.69		

**DIRECT EXPENDITURES**

Bucket	(Multiple Items)	15, 24, 90			
Unit	3080				
Dept	10A				
Doc Cd	(Multiple Items)	exclude CREF, CRAJ			
Object Group	(Multiple Items)	exclude 8511			
	3				
		1/1/2014	SIM	F2013	2,604.64
		1/10/2014	Unknown	Unknown	4,807.69
		1/21/2014	SIM	F2013	2,600.42
		1/22/2014	Unknown	Unknown	4,807.69
		1/24/2014	SIM	F2013	63.59
			Unknown	Unknown	-
		1/28/2014	SIM	F2013	1,850.00
			Unknown	Unknown	300,477.00
		1/31/2014	SIM	F2013	296.70
			Unknown	Unknown	-
		2/4/2014	SIM	F2013	3,587.52
		2/5/2014	Unknown	Unknown	4,807.69
		2/7/2014	Unknown	Unknown	929.10
		2/11/2014	Unknown	Unknown	135,182.17
		2/13/2014	Unknown	Unknown	279,008.00
		2/14/2014	SIM	F2013	6,901.46
			Unknown	Unknown	1,183.20
		2/18/2014	SIM	F2013	3,136.94
		2/19/2014	SIM	F2013	14,869.64
		2/20/2014	Unknown	Unknown	4,807.69
		2/21/2014	SIM	F2013	296.70
			Unknown	Unknown	-
		2/26/2014	Unknown	Unknown	618,047.00
		2/27/2014	SIM	F2013	2,423.00
		2/28/2014	Unknown	Unknown	359.60
		3/3/2014	SIM	F2013	33.22
		3/4/2014	SIM	F2013	3,069.47
			Unknown	Unknown	188.00
		3/5/2014	Unknown	Unknown	4,807.69
		3/7/2014	Unknown	Unknown	-
		3/14/2014	SIM	F2013	546.70
		3/18/2014	SIM	F2013	2,934.01
			Unknown	Unknown	139,988.69
		3/21/2014	SIM	F2013	1,188.61
			Unknown	Unknown	-
<b>Grand Total</b>					<b>5,192,462.62</b>

**CHIPRA GRANT**

Period ending 6/30/2014

Grant Number: 1G1CMS331177-01  
 Document Number: SIMM131177  
 Grant Year One Award \$ 6,282,402.00  
 Grant Year Two Award \$ 7,628,642.35

**REVENUE/DRAWS**

Bucket 24  
 Unit 3080  
 Doc Cd CREF  
 Fund 013

Fisc Yr	Fisc Qtr	Accept Dt	Program	Prog Period	Jrnl Posting Am
2013	4	5/29/2013	Unknown	Unknown	-4,807.69
		6/24/2013	Unknown	Unknown	-4,807.69
		6/25/2013	Unknown	Unknown	-4,807.69
		6/26/2013	Unknown	Unknown	-171.00
2014	1	7/9/2013	Unknown	Unknown	-5,149.68
		7/10/2013	Unknown	Unknown	-20.50
		7/23/2013	Unknown	Unknown	-4,807.70
		7/24/2013	Unknown	Unknown	-26.89
		7/25/2013	Unknown	Unknown	-893.23
		7/29/2013	Unknown	Unknown	-182.10
		8/5/2013	Unknown	Unknown	-175.41
		8/6/2013	Unknown	Unknown	-4,807.69
		8/7/2013	Unknown	Unknown	-354.87
		8/13/2013	Unknown	Unknown	-4,459.60
		8/19/2013	Unknown	Unknown	182.10
		8/20/2013	Unknown	Unknown	-5,849.34
		8/30/2013	Unknown	Unknown	-98.00
		9/4/2013	Unknown	Unknown	-4,807.69
		9/9/2013	Unknown	Unknown	-597.07
		9/11/2013	Unknown	Unknown	-290.00
	9/16/2013	Unknown	Unknown	-679,822.57	
	9/17/2013	Unknown	Unknown	-658,381.03	
	9/18/2013	Unknown	Unknown	-536.07	
	9/19/2013	Unknown	Unknown	-152.30	
	9/25/2013	Unknown	Unknown	(88,764.55)	
	2	10/1/2013	Unknown	Unknown	(4,807.69)
		10/3/2013	Unknown	Unknown	-3,366.41
		10/7/2013	Unknown	Unknown	-233,012.49
		10/9/2013	Unknown	Unknown	-107.07
		10/11/2013	Unknown	Unknown	-152.30
		10/15/2013	Unknown	Unknown	-4,807.69
		10/16/2013	Unknown	Unknown	-3,357.39
		10/17/2013	Unknown	Unknown	-54,119.00
		10/22/2013	Unknown	Unknown	-726.00
		10/29/2013	Unknown	Unknown	-167.23
		10/30/2013	Unknown	Unknown	-8,315.99
11/6/2013		Unknown	Unknown	-326,786.66	
11/7/2013		Unknown	Unknown	-12,912.24	
11/13/2013		Unknown	Unknown	-8,302.73	
11/18/2013		Unknown	Unknown	-381.60	
11/22/2013		Unknown	Unknown	-240.00	
11/26/2013	Unknown	Unknown	-33,595.14		
11/27/2013	Unknown	Unknown	-1,650.32		
12/6/2013	Unknown	Unknown	-12,757.30		
12/10/2013	Unknown	Unknown	-4,927.69		
12/11/2013	Unknown	Unknown	-774.60		
12/18/2013	Unknown	Unknown	-1,525,585.34		
12/20/2013	Unknown	Unknown	-4,807.69		
12/23/2013	Unknown	Unknown	-152.30		
12/27/2013	Unknown	Unknown	-303,401.44		

**REVENUE/DRAWS**

Bucket	24
Unit	3080
Doc Cd	CREF
Fund	013

2014	3	1/7/2014	Unknown	Unknown	-63.59
		1/8/2014	Unknown	Unknown	-60,218.85
		1/9/2014	Unknown	Unknown	-4,807.69
		1/16/2014	Unknown	Unknown	-279,008.00
		1/22/2014	Unknown	Unknown	-143,519.38
		1/24/2014	Unknown	Unknown	-296.70
		1/29/2014	Unknown	Unknown	-1,850.00
		1/30/2014	Unknown	Unknown	-629.00
		2/4/2014	Unknown	Unknown	-4,807.69
		2/5/2014	Unknown	Unknown	-3,587.52
		2/6/2014	Unknown	Unknown	-587.42
		2/7/2014	Unknown	Unknown	-11,881.17
		2/10/2014	Unknown	Unknown	-296.70
		2/11/2014	Unknown	Unknown	-24,194.10
		2/19/2014	Unknown	Unknown	-7,944.63
		2/20/2014	Unknown	Unknown	-359.60
		2/24/2014	Unknown	Unknown	-618,047.00
		2/26/2014	Unknown	Unknown	-135,181.00
		3/4/2014	Unknown	Unknown	-4,807.69
		3/6/2014	Unknown	Unknown	-48,069.47
		3/7/2014	Unknown	Unknown	5,479.25
		3/12/2014	Unknown	Unknown	-546.70
		3/14/2014	Unknown	Unknown	-1,188.61
		3/18/2014	Unknown	Unknown	-31,895.98
		3/19/2014	Unknown	Unknown	-297,434.01
		3/20/2014	Unknown	Unknown	300.00
		3/24/2014	Unknown	Unknown	-135,181.00
		3/27/2014	Unknown	Unknown	-1,166.06
		3/31/2014	Unknown	Unknown	-228.00
<b>Grand Total</b>					<b>-5,835,820.88</b>

**CHIPRA GRANT**

Period ending 6/30/2014

Grant Number: 1G1CMS331177-01  
 Document Number: SUMME131177  
 Grant Year One Award \$ 6,282,402.60  
 Grant Year Two Award \$ 7,628,642.35

**INDIRECT EXPENDITURES**

Bucket	15		
Unit	3080		
Dept	10A		
Object Group	(Multiple Items)		30-59
Fisc Yr	2014		
Accept Dt	Doc ID	Inrd Posting Am	
7/12/2013	0000000000008379168	4,807.70	
	EFT0000000003783103	20.50	
7/26/2013	0000000000008415736	4,807.69	
8/1/2013	14B148354	893.23	
8/8/2013	14TELEF0138	26.89	
8/9/2013	0000000000008446811	4,807.69	
8/12/2013	14TELEF0292	128.94	
8/14/2013	PC81148C0476	46.47	
8/20/2013	PC81148C0582	523.70	
	PC81148C0581	3,935.90	
8/23/2013	14B1SEF0387	1,041.65	
	0000000000008479493	4,807.69	
9/13/2013	0000000000008525068	4,807.69	
	0000000000008524803	98.00	
9/17/2013	PAY0918131P10000107	281.96	
9/20/2013	0000000000008539970	4,807.69	
9/26/2013	14B1SEF0653	152.30	
9/27/2013	0000000000008559284	290.00	
	0000000000008560389	653,573.34	
	EFT0000000003406787	679,822.57	
	14TELEF0706	254.11	
10/1/2013	PAY1002131P10000102	3,366.41	
10/4/2013	0000000000008575727	4,807.69	
10/11/2013	0000000000008592632	88,764.55	
10/15/2013	PAY1016131P10000103	3,357.39	
10/18/2013	0000000000008604977	4,807.69	
	EFT0000000003438727	183,512.43	
10/21/2013	14B1SLB2079	152.30	
	14TELEF0884	107.07	
10/25/2013	0000000000008625117	54,119.00	
10/29/2013	PAY1030131P10000093	2,806.56	
11/1/2013	AG81148C1907	726.00	
	0000000000008637795	4,807.69	
11/8/2013	14TELEF1059	167.23	
11/12/2013	PAY1113131P10000101	3,342.74	
11/15/2013	0000000000008670980	4,807.69	
11/18/2013	PC81148C2067	701.74	
11/19/2013	14B1SEF1155	152.30	
11/22/2013	0000000000008691960	326,786.66	
11/26/2013	PAY1127131P10000105	1,650.32	
11/27/2013	0000000000008699892	4,807.69	
12/5/2013	PC81148C2959	240.00	
12/10/2013	PAY1211131P10000106	774.60	
12/12/2013	14TELEF1377	120.05	
12/13/2013	0000000000008732402	381.60	
	0000000000008732798	4,807.69	
12/20/2013	0000000000008753632	295,151.79	
12/23/2013	PC81148C2577	120.00	
12/24/2013	PAY1224131P10000099	1,825.11	
12/27/2013	EFT0000000003548758	677,179.00	
	0000000000008765898	4,807.69	
	0000000000008758900	578,042.00	
	8114AASP7RLSMCORR	1,099.33	
1/7/2014	PAY108141P10000103	2,452.34	
	14B1SEF2067	152.30	
1/10/2014	0000000000008793779	4,807.69	
1/21/2014	PAY0122141P10000103	2,600.42	
1/24/2014	14TELEF2377	63.59	
	0000000000008825932	4,807.69	
1/31/2014	14B1SEF2449	296.70	
	EFT0000000003608957	1,850.00	
	0000000000008843658	300,477.00	
2/4/2014	PAY0205141P10000103	3,587.52	
2/7/2014	PC81148C3263	120.00	
	0000000000008861847	4,807.69	
	PC81148C3264	809.10	
2/14/2014	PC81148C3435	629.00	
	0000000000008891361	554.20	
	EFT0000000003632522	279,008.00	
	0000000000008891676	135,182.17	
	EFT0000000003633120	6,901.46	
2/18/2014	PAY0219141P10000101	3,136.94	
2/21/2014	0000000000008907000	4,807.69	
	14B1SEF2715	296.70	
	EFT0000000003644658	14,869.64	
2/28/2014	0000000000008941270	477,847.00	
	0000000000008940592	359.60	
	EFT0000000003655610	140,200.00	
3/3/2014	14TELEF2780	33.22	
3/4/2014	AG81148C3622	188.00	
	PAY0305141P10000103	3,069.47	
3/7/2014	0000000000008968826	4,807.69	
3/14/2014	14B1SEF2985	546.70	
3/18/2014	PAY0319141P10000102	3,234.01	
3/21/2014	14TELEF3080	1,188.61	
	000000000000899017804	4,807.69	
	0000000000009016563	135,181.00	
<b>Grand Total</b>		<b>5,175,916.55</b>	

**STACAP:**

Bucket	15		
Unit	3080		
Object Group	85		
Fisc Yr	2014		3.68%
Fisc Yr	Accept Dt	Doc ID	Inrd Posting Am
2014	8/5/2013	CSAL0805130000001531	354.87
	9/5/2013	CSAL0905130000001974	597.07
	10/3/2013	CSAL1003130000002433	49,500.06
	11/6/2013	CSAL1105130000002896	12,912.24
	12/5/2013	CSAL1204130000003358	12,637.25
	1/6/2014	CSAL0106140000003812	57,766.51
	2/5/2014	CSAL0205140000004266	11,893.17
	3/6/2014	CSAL0305140000004714	39,520.75
	4/4/2014	CSAL0403140000005168	5,636.77
<b>Grand Total</b>			<b>190,618.69</b>



Expenses	5,192,462.62
Stacap	<u>191,131.68</u>
Total	5,383,594.30
Draws	<u>(5,835,820.88)</u>
Variance	(452,226.58)

1,398.55	Invoice drawn in Q3 paid Q4	3/18/2014
135,181.00	Invoice drawn in Q3 paid Q4	3/24/2014
25,689.74	Invoice drawn in Q3 paid Q4	3/18/2014
294,200.00	Invoice drawn in Q3 paid Q4	3/19/2014
1,166.06	Invoice drawn in Q3 paid Q4	3/26/2014
228.00	Invoice drawn in Q3 paid Q4	3/28/2014
<u>-5636.77</u>	Stacap for Q3, drawn Q4	4/4/2014
0.00	Variance	