HIPAA Version 5010 Update for PAG/TAG

November 17, 2011
## Agenda

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Status of HIPAA Version 5010 Transition

• MaineCare is targeted to begin the HIPAA Version 5010 upgrade on 12/08 (12:01 a.m.) and be back online Monday 12/12.

• Member eligibility will be available during the cutover period; however, it will be static and based on the most current file available prior to the upgrade.

• If an emergency or urgent prior authorization (PA) is needed during the cutover period, the request can be faxed to 1-866-598-3963.
Status of HIPAA Version 5010 Transition (continued)

• The portal will display a custom maintenance message that all portal functionality is unavailable.

• EFT transfer will not be affected; however electronic remits will not be available until after the upgrade and there is no anticipated effect on paper remits or checks.

• Regular listserv updates will be sent between now and the upgrade to HIPAA Version 5010.
Timeline and Key Activities

Current environment

- Ongoing Operations
- Config and Rates Deployment
- Emergency TRs

New environment

- User Acceptance Testing
- Remediation
- 5010 Environment
- Data and Technical Sync
- Trading Partner Certification
- 5010 Claims Submission

Timeline:
- Oct 16 - Oct 23
- Oct 30 - Nov 6
- Nov 13 - Nov 20
- Nov 27
- Dec 4
- Dec 11
- Dec 18
- Dec 25
- Jan 1

Pilot Period Opens

Cutover Period
Key Points

The table below details how 4010 and 5010 transactions will be handled during the dual processing period.

<table>
<thead>
<tr>
<th>837 Submission</th>
<th>Confirmation Response (Automated)</th>
<th>835 Response (Self-Select)</th>
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<tr>
<td>4010 Submission</td>
<td>997 (4010 Acknowledgment)</td>
<td>4010 formatted RA</td>
</tr>
<tr>
<td>5010 Submission</td>
<td>999 (5010 Acknowledgement)</td>
<td>Did not check box: 4010 formatted RA</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Checked box: 5010 formatted RA</td>
</tr>
</tbody>
</table>

- When trading partners submit 4010 or 5010 transactions, the confirmation response will align with the type of transaction submitted, i.e., a 997 acknowledgement for 4010 transactions and a 999 acknowledgement for 5010 transactions.
Key Points (continued)

• MIHMS will not accept HIPAA Version 5010 transactions before January 1, 2012. If a trading partner attempts to submit a 5010 transaction before January 1, 2012, an error message will be returned.

• If a trading partner submits a HIPAA Version 5010 transaction during the “dual processing” period, a 4010 835 will automatically be generated. In order to receive a 5010 835, trading partners need to update their account preferences and self-select to receive the 5010 835.

• After the dual processing period ends (March 31, 2012), all trading partners will be switched over to 5010 835’s.
5010 Communication Activities

• Day of Testing – *November 18th*
  • Promote awareness of HIPAA Version 5010 testing requirements
  • Provide online forum for live question and answer
    • Sessions: 10:00 a.m. to 11:00 a.m. and 1:00 p.m. to 2:00 p.m.
    • Go to [http://stateofmaine.adobeconnect.com/testing5010/](http://stateofmaine.adobeconnect.com/testing5010/)
    • Click “*Enter as Guest*” and enter your first and last name to sign in

• Launching Stakeholder Communications Team
  • Review messages to trading partners related to HIPAA Version 5010 and cutover activities (e.g., system availability)
  • Transition into supporting ICD-10 communications

• New FAQ document to be posted on the [HIPAA Version 5010 & ICD-10](http://www.healthit.gov) website
Dual Processing Summary

• On January 1, 2012, MaineCare will be ready to accept HIPAA Version 5010 electronic transactions.

• MaineCare has made the decision to continue to accept HIPAA Version 4010 transactions for a limited period, until March 31, 2012.

• After March 31, 2012, any transaction submitted in the 4010 format will be rejected.

• If you are a trading partner that is unable to submit 5010 transactions after March 31, 2012, your claims submission will need to be via Direct Data Entry (DDE) or through paper claims.
HIPAA Version 5010 Certification Progress

• Only 23% of 4010 certified trading partners have started testing.

• 36% of all the test files submitted contain less than 15 transactions, which is the minimum requirement for a valid test.
Details for HIPAA Version 5010 Pilot Testing

• Pilot testing will start no earlier than December 19, which is one week following the cutover to QNXT 4.8 for HIPAA Version 5010.

• Pilot testing is limited to those trading partners who submit electronically. This includes the submission of 837 institutional, professional and dental claims, as well as 270 eligibility inquiry transactions.

• 33 trading partners expressed interest in participating in the pilot, of which ten have become HIPAA Version 5010 certified.

• The objective for pilot testing is to have trading partners validate that transactions submitted in HIPAA Version 5010 process and adjudicate the same as a HIPAA Version 4010 transaction.
Pilot Testing Methodology

• Pilot testing consists of three phases:
  • The first phase is the data collection phase where trading partners submit electronic 5010 transactions to test UAT environment which is accessible via a public website.
  • The second phase is the adjudication period where the Molina EDI team will process the files submitted by the trading partners.
  • The third phase is the review period where trading partners will review the 835 or Remittance Advice (RA) they received as a result of submitting test files.

• Pilot testers for HIPAA Version 5010 will be asked to select a previous claim file that was submitted, adjudicated, and paid successfully in MIHMS and convert it to the HIPAA Version 5010 format.
Testing Tools

There are several resources available to prepare and assist trading partners during the testing process

- **HIPAA Version 5010 Companion Guides**: The companion guides provide instructions and guidance on transacting with MaineCare under the new HIPAA Version 5010 standards.

- **EDI X12 Batch File Testing Instructions**: The step-by-step instructions show trading partners how to navigate the MIHMS online portal and upload test files to the system.

- **MaineCare 5010/ICD-10 Website**: The website includes background information on HIPAA Version 5010, frequently asked questions, and an inquiry form to submit questions and comments.

- **Molina EDI Help Desk**: Questions about 5010 standards and testing can be directed to the EDI help desk at mainecaresupport@molinahealthcare.com or by calling 1-866-690-5585 and selecting option 3.
Summary

• Increased provider outreach and communication is necessary to build awareness and encourage trading partners to become HIPAA Version 5010 certified before January 1, 2012.

• Have suggestions, ideas, or recommendations for the OMS team? If so, please send them to Matt Galletta at Matt.W.Galletta@maine.gov.

• Time is running out! If you haven’t started testing, now is the time!