HIPAA Version 5010 Update for PAG/TAG

October 20, 2011
# Agenda

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Maine’s Path to HIPAA Version 5010 Compliance

Minimize risk and change to the system

Business

• Remediate EDI to meet HIPAA Version 5010 certification standards for electronic transactions

• Remediation of system “as is”
  • All current system functionality will be functional in the new system
  • Same data sets as for 4010 with required HIPAA requirements

• Use of expanded 5010 and ICD-10 sets will be decided and applied in ICD-10 business assessments for deployment on 10/1/2013

Technical

• Software upgrade not new development
  • 5010 compliant version
  • ICD-10 technically compliant version

• Improvements to technical infrastructure

• Creation of a new parallel technical environment to eliminate impact of project to current system and operations
Timeline and Key Activities

Current environment

- Ongoing Operations
- Config and Rates Deployment
- Emergency TRs

New environment

- User Acceptance Testing
- Remediation
- 5010 Environment
- Pilot Test
- Data and Technical Sync
- 5010 Certification
- 5010 Claims Submission

Timeline:
- Oct 16
- Oct 23
- Oct 30
- Nov 6
- Nov 13
- Nov 20
- Nov 27
- Dec 4
- Dec 11
- Dec 18
- Dec 25
- Jan 1

Cutover Period
5010 Communication Activities

• Launched OMS dedicated 5010 / ICD-10 website
  • Under the “Current Projects” section
    • http://maine.gov/dhhs/oms/5010_icd10/5010_index.html

• Conducted HIPAA Version 5010 Trading Partner Readiness Survey

• Conducting final review of companion guides

• Molina Provider Outreach team soliciting volunteers for HIPAA Version 5010 pilot testing
<table>
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<th>Distributed</th>
<th>Online survey was open from October 5\textsuperscript{th} through October 11\textsuperscript{th}.</th>
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<td>Responses</td>
<td>190 responses and 92% were from providers.</td>
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<td>Awareness</td>
<td>60% of respondents are aware of 5010.</td>
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| Trading Partner Requests | Respondents want frequent, targeted communications about 5010.  
Respondents are interested in other trading partner experiences. |
Reminder–5010 Certification Testing

• Trading Partners can log in to the portal using the user name and password created when obtaining a Trading Partner Agreement.

• Trading Partners can submit test files via the self-service portal to become HIPAA Version 5010 compliant.

• In order to get certified, Trading Partners must successfully submit three test files with more than 15 transactions in each file.

• A HIPAA Version 5010 testing guide is available to assist with testing.
  - HealthPas Online > Presentations
1. **Test file is submitted.**

2. **TA1 Message**: Message reads “Success” if file has passed validation and “Failed” if unsuccessful.

3. **File validation.**

4. **999 Acknowledgment**: Informs the trading partner that the file successfully arrived at its destination as (1) accepted or (2) accepted with errors as identified during HIPAA Levels I-II validation.


6. **Business Rejection Report**: Describes testing errors identified during file validation.

7. **Status of test file is checked on the MIHMS Portal.**
• Of the 481 Trading Partners who currently submit electronically, only 44 have submitted test files.

• 14 Trading Partners are certified to submit HIPAA Version 5010 837 and 270 transactions.

**HIPAA Version 5010 Certified Trading Partners**

- City of Biddeford – 837 Professional
- Classic Optical Laboratories, Inc. – 837 Professional & 270 Eligibility Inquiry
- Cortex EDI, Inc. – 837 Professional
- FDS, INC – 837 Professional
- HealthReach CHC – 837 Institutional
- Kennebec Valley Mental Health Center – 837 Institutional & 837 Professional
- Lincare – 837 Professional
- Office Ally – 837 Professional
- Port Resources – 837 Institutional and 837 Professional
- Recondo Technology, Inc – 270 Eligibility Inquiry
- SeniorsPlus – 837 Professional
- Wolf Eye Associates PA – 837 Professional
- Youth Alternatives Ingraham – 837 Institutional and 837 Professional
5010 Pilot Testing

- Soliciting volunteers to participate in 5010 pilot activities
  - Molina Provider Outreach team soliciting volunteers for HIPAA Version 5010 pilot testing
  - 26 survey respondents expressed interest in participating in the pilot
  - Nine volunteers identified and two have completed HIPAA Version 5010 certification testing

- Prerequisites for participating in the pilot:
  - Currently submitting electronically to MIHMS
  - Completed 5010 certification testing
  - Participants are limited to those trading partners who are currently submitting 837 institutional, professional, or dental claims or 270 eligibility inquiry transactions

- If you would like to volunteer, please contact Matt Galletta at matt.w.galletta@maine.gov and put “Volunteer for Pilot Testing” in the subject line
Summary

- Activities for achieving 5010 compliance are well underway.
- Companion guides are under final review by the State.
- Pilot testing projected to start in November.