



Maine Center for
Disease Control and Prevention

An Office of the
Department of Health and Human Services

Department of Health and Human Services
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Drinking Water Program

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VERY SMALL SYSTEM CAPACITY DEVELOPMENT GRANT

Eligible very small public water systems can receive grant monies for the preparation of a **document** that will assist them in the maintenance or enhancement of water quality by identifying possible improvements in technical, managerial, and financial operations (Capacity Development). Grants funding is awarded after the document is submitted to the Drinking Water Program (DWP) for approval and acceptance.

Who is eligible? Community public water systems with a **population of 100 or less**, and non-profit, non-community public water systems with a **population of 100 or less**. For-profit Non-Community water systems and Federally owned systems are not eligible.

At the present time, each eligible water system may only apply for one grant project per application per year. Any water system that has previously been awarded a Capacity Development Grant must complete that grant before applying for a new grant.

How much grant funding is available? The DWP has set aside \$50,000 for the 2018 grant program. Grant amounts are for 90% of the document cost up to a maximum grant amount of \$5,000. Water systems are responsible for paying their portion of project costs before the DWP will disburse grant funding.

What types of projects are eligible? Each eligible public water system must demonstrate a need for the document for which it is requesting funding assistance. No water system may receive a grant award for a document it has produced within the previous five years if it is the same or similar to any one listed below. Examples of eligible professionally prepared documents may include, but are not limited to:

- ◆ Comprehensive System Facilities Plans
- ◆ Capital Improvement Plans
- ◆ System Hydraulic Modeling Studies
- ◆ Comprehensive Operations and Maintenance Manuals
- ◆ System Vulnerability Assessments
- ◆ Emergency Response Plans
- ◆ Management Review Studies
- ◆ System Consolidation Studies
- ◆ Asset Management Plans¹
- ◆ GIS Infrastructure Mapping²
- ◆ Energy Audit Reports
- ◆ Water Audit Reports
- ◆ Water Storage Tank Upgrade/Replacement Evaluations
- ◆ Hydrogeologic Investigation Reports for locating new groundwater well locations³.
- ◆ Comprehensive Community Planning Studies which include a public water infrastructure component. Consideration will be given for funding the “drinking water” portion of such studies.
- ◆ Other professionally prepared documents that can be used to enhance system capacity, as determined by the DWP.

¹ The purchase of computer software is not an eligible expense.

² The purchase of computers, computer software, or GPS devices are not eligible expenses.

³ Test drilling may be funded under this grant program as part of a hydrogeologic investigation provided the drilling is for exploration purposes. Funds are not to be used to simply cover the cost of installing a production well at a predetermined location. Test wells must be installed in compliance with current guidance from The Maine Historic Preservation Commission for categorical exclusions from environmental review. Generally, small, track-mounted, drill rigs and wells of 2 inches or less diameter meet these criteria.

Who can prepare the document?

A Capacity Development Grant recipient may negotiate with professional engineering or consulting services of their choice for a proposal to produce the document. A grant recipient may, but will not be required to, select professional engineering or consulting services through a Request for Qualification or Request for Proposals process. The scope of the proposed study must be approved up front by the DWP through the application process.

What types of projects are not eligible? For-profit Non-Community water systems and Federally owned systems are not eligible. Construction projects will not be funded. A Preliminary Engineering Report (PER) developed as part of a project funded by USDA Rural Development is not eligible.

What project expenses are not eligible for grant funding? Work performed by the water system's personnel in support of a grant activity is not an eligible expense under the grant program. Work performed prior to receiving grant approval does not qualify for grant funding.

How long do you have to complete your project? The project must be completed within 1 year from the grant approval.

Can I get a project extension? A water system may apply for one project extension, for up to 6 months, using the Drinking Water Program Grant Project Extension Form, available on the Drinking Water Program website or by calling 287-5678. If a project is not completed by the date approved in the grant or extension, the water system forfeits the grant award. ***Additionally, a water system may request an extension up front, if it is anticipated the project will take longer than the time allotted. Please indicate this on your application.***

When will we receive grant funding? First, complete your project and pay your water system's portion of the project. Then submit a completed *Very Small System Capacity Development Grant Funding Request Form* (available on the Drinking Water Program website) with the following:

1. A copy of meeting minutes where the water system officials have discussed the need for the document and a grant award.
2. A written explanation of how the professional engineering services were selected.
3. A copy of the letter of agreement or contract between the water system and selected firm.
4. The completed document for Drinking Water Program review and approval. Copies of all reports and studies shall be provided to the DWP in an **electronic (.pdf) format**; and
5. Copies of all invoices, including proof of payment for your water system's portion of the project.

How can I get more information? Contact Sara Flanagan at (207) 287-5678 or e-mail sara.m.flanagan@maine.gov.

VERY SMALL SYSTEM CAPACITY DEVELOPMENT GRANT APPLICATION

Please complete this form and return to the DWP. Contact Sara Flanagan at (207) 287-5678 or e-mail sara.m.flanagan@maine.gov with questions.

PWS NAME: _____ PWSID#: _____

CONTACT: _____ TELEPHONE: _____

MAILING ADDRESS: _____ TOWN/CITY: _____

STATE: _____ ZIP CODE: _____ E-MAIL ADDRESS: _____

Provide a description of the project; please include the estimated cost for the document.

Additional information supporting your application is welcome but not required.

Signature: _____ **Title:** _____

Print Name: _____ **Date:** _____

*****RETURN TO THE DRINKING WATER PROGRAM*****

MAIL APPLICATION TO: DRINKING WATER PROGRAM
11 STATE HOUSE STATION
286 WATER STREET, 3RD FLOOR
AUGUSTA, ME 04333-0011
ATTN: SARA FLANAGAN

E-MAIL: sara.m.flanagan@maine.gov