Maine Sentinel Event Notification and Near Miss Reporting Form

This form is required pursuant to 22 MRSA, Chapter 1684, and 10-44 CMR Chapter 114, Rules Governing the Reporting of Sentinel Events

State notification of a Sentinel Event is required within one (1) business day of discovery. Do not delay notification, for any reason, including Medical Examiner results or internal discussions.

1. What is being reported?
   - Sentinel Event
   - Near Miss

2. Today’s Date: ____________________________
   - Date of Discovery: ____________________________
   - Date of Event: ____________________________
   - Time of Event: ____________________________ AM/PM
   - Date of Death (if applicable): ____________________________

3. Facility Name: ____________________________
   Reporter’s Name: ____________________________ Title: ____________________________
   Telephone Number: ____________________________ E-mail Address: ____________________________

4. Briefly describe the event including location: ____________________________________________
   ____________________________________________
   ____________________________________________
   ____________________________________________

5. Patient Age: __________ M □ F □ Admitting Diagnosis: ____________________________

6. Does this event meet NQF criteria? □ Y □ N
   (If yes, continue on the back – check appropriate box. If no, continue to question 7)

7. What type of event is being reported?
   - Unanticipated Death unrelated to the natural course of the patient’s illness or underlying condition, or proper treatment of that underlying condition.
   - Major permanent loss of function, present at discharge, unrelated to the natural course of the patient’s illness.
   - Major Permanent loss of function, within 48 Hrs., unrelated to the natural course of the patient’s illness.
   - Unanticipated Death within 48 Hrs. of Treatment
   - Suicide within 48 hours of discharge
   - Major Permanent Loss of Function in perinatal infant with a birth weight over 2,500 grams, unrelated to the natural course of the mother or patient’s illness or underlying condition.
   - Unanticipated Perinatal Death with a birth weight over 2,500 grams, unrelated to the natural course of the mother or patient’s illness or underlying condition
   - Unanticipated Transfer to another facility

Submission by Confidential Fax (207) 287-3251 or by secure email.

Sentinel Event Hotline (207) 287-5813

This information is protected from public disclosure

Page 1 of 2

Revised December, 2019
### Surgical or Invasive Events
- Surgery or other invasive procedure performed on the wrong site
- Surgery or other invasive procedure performed on the wrong patient
- Wrong surgical or other invasive procedure performed on a patient
- Unintended retention of a foreign object in a patient after surgery or other invasive procedure
- Intraoperative or immediately postoperative/post-procedure death in an American Society of Anesthesiologists Class I patient

### Product or Device Events
- Patient death or serious injury associated with the use of contaminated drugs, devices, or biologics provided by the healthcare setting
- Patient death or serious injury associated with the use or function of a device in patient care, in which the device is used or functions other than as intended
- Patient death or serious injury associated with intravascular air embolism that occurs while being cared for in a healthcare setting

### Patient Protection Events
- Discharge or release of a patient of any age, who is unable to make decisions, to other than an authorized person
- Patient death or serious injury associated with patient elopement (disappearance)
- Patient suicide, attempted suicide or self-harm resulting in serious injury, while being cared for in a healthcare setting

### Care Management Events
- Patient death or serious injury associated with a medication error (e.g., errors involving the wrong drug, wrong dose, wrong patient, wrong time, wrong rate, wrong preparation, or wrong route of administration)
- Patient death or serious injury associated with unsafe administration of blood products
- Maternal death or serious injury associated with labor or delivery in a low-risk pregnancy while being cared for in a healthcare setting
- Death or serious injury of a neonate associated with labor or delivery in a low-risk pregnancy
- Patient death or serious injury associated with a fall while being cared for in a healthcare setting
- Stage 3 or 4 pressure and unstageable pressure ulcers acquired after admission/presentation to a healthcare setting
- Artificial insemination with the wrong donor sperm or wrong egg
- Patient death or serious injury resulting from the irretrievable loss of an irreplaceable biological specimen
- Patient death or serious injury resulting from failure to follow up on or communicate laboratory, pathology or radiology test results

### Environmental Events
- Patient or staff death or serious injury with an electric shock in the course of a patient care process in a healthcare setting
- Any incident in which systems designated for oxygen or other gas to be delivered to a patient contains no gas, the wrong gas or is contaminated by toxic substances
- Patient or staff death or serious injury associated with a burn incurred from any source while being cared for in a healthcare setting
- Patient death or serious injury associated with the use physical restraints or bedrails while being cared for in a healthcare setting

### Radiologic Events
- Death or serious injury of a patient or staff associated with the introduction of a metal object into the MRI area

### Potential Criminal Events
- Any instance of care ordered by or provided by someone impersonating a physician, nurse, pharmacist, or other licensed healthcare provider
- Abduction of a patient/resident of any age
- Sexual abuse/assault on a patient or staff member within or on the grounds of the healthcare setting
- Death or serious injury of a patient or staff member resulting from a physical assault (i.e., battery) that occurs within or on the grounds of the healthcare setting