

**MAINE CLEAN WATER STATE REVOLVING FUND
ANNUAL REPORT
FOR FISCAL YEAR 2019 (7/01/18 TO 6/30/19)**

**PREPARED BY THE STATE OF MAINE
MAINE MUNICIPAL BOND BANK &
MAINE DEPARTMENT OF ENVIRONMENTAL PROTECTION**

FOR EPA REGION I



SEPTEMBER 30, 2019

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I. INTRODUCTION

The State of Maine herewith submits its Annual Report for the State Fiscal Year ended 6/30/2019. This report describes how the State of Maine has met the goals and objectives of its Clean Water State Revolving Loan Fund (CWSRF) for the previous year as identified in the Federal Fiscal Year 2018 Intended Use Plan (*See Exhibit I*); it identifies the actual use of program funds; and it provides details of the financial position of the Clean Water State Revolving Fund (CWSRF).

II. EXECUTIVE SUMMARY

Maine was awarded federal Title VI funds of \$12,424,000 on August 6, 2018. State matching funds equal to \$2,460,000, obtained from the Wholesale Liquor Operation Revenue Fund, were received on June 21, 2019. (*See Exhibit II for a detailed history of state match deposits by federal fiscal quarter.*)

Other funding sources received in SFY 2019 include principal repayments (*not including principal repayments for bonded loans paid to bond holders*) of \$29,963,942, interest repayments (*not including interest repayments for bonded loans paid to bond holders*) of \$2,846,591, and investment earnings of \$2,222,333. (*See Exhibit III for detail of all principal repayments received by the program by federal fiscal quarter.*) The total of all funds deposited into the CWSRF during the fiscal year was \$49,916,866.

This annual report differs from the FFY 2018 Intended Use Plan in the timing of binding commitments and disbursements, as well as in the projects that actually entered into binding commitments. The actual commitments are shown in detail in *Exhibits IV & V*. Twenty-six new binding loan commitments were entered into during the SFY 2019. A total of 15 municipal entities entered into loan agreements for construction projects, totaling \$48,205,310. Four municipal entities entered into loan agreements for planning projects, one loan funded \$20,000 for a climate adaptation plan and \$7,500 for 50% of a fiscal sustainability plan and the other three loans funded \$110,000 for 50% of three fiscal sustainability plans. Maine's CWSRF program also committed \$3,259,483 of federal repayment funds during the year to the Linked Deposit Loan Program operated in conjunction with the Maine Forest Service for 13 loans for the purchase of "green" forestry equipment. In addition, the CWSRF program committed \$369,373 in federal repayment funds for 3 loans for oil storage facility removal and replacement projects under the Compliance Assistance Loan Program, administered by the Finance Authority of Maine. The total of all binding commitments made during the year was \$51,971,666.

Twenty-six of the loan commitments entered into during the year provided financial assistance for the construction of wastewater treatment facilities or sustainability plans (section 212 projects). In addition, within SFY 2019, the Maine Municipal Bond Bank, the Maine Department of Environmental Protection (DEP), the Maine Department of

Agriculture, Conservation and Forestry, and the Finance Authority of Maine continued a non-point source program to fund agricultural best management practices. Also, the Maine Bond Bank and the Maine DEP continued to work with the Maine Forest Service and several local banks to fund the purchase of “green” forestry equipment. Finally, the Compliance Assistance Loan Program continued to work with the Finance Authority of Maine to address potential non-point source pollution issues related to above ground and underground oil storage tanks and facilities that are beyond the warranty period and in need of repair or replacement. These direct-link or pass through programs utilize CWSRF repayment funds to provide financial assistance for non-point source projects (section 319).

III. ACCOMPLISHMENTS AND GOALS

A. SHORT TERM ACCOMPLISHMENTS

Maine's revolving loan fund was established to improve water quality by maintaining and improving the State's inventory of municipal sewage facilities in perpetuity and by reducing non-point source pollution.

The following projects received funding during the SFY 2019:

Bangor-2019FR	C230071-11A	\$4,400,000	Pump Station Upgrade and Sewer System Rehabilitation
Bangor-2019FR	C230071-11B	\$500,000	Design for CSO Storage Tank
Berwick Sewer District-2018S	C230090-05	\$450,000	Septage Receiving and Dewatering
Maine Department of Environmental Protection/FAME-2018FR	CALP-19	\$369,373	Oil Storage Tank Replacement
Calais-2018S loan2	C230253-12A	\$700,000	Sewer System Rehabilitation
Calais-2018S loan1	C230253-12B	\$400,000	Design for Sewer System Rehab.
East Millinocket-2018S	C230148-04	\$725,642	Secondary Treatment Upgrade
East Millinocket-2018FR	C230148-05	\$5,241,000	Secondary Treatment Upgrade Interim Financing
Hampden-2019FFR	C230156-06	\$1,359,400	Sewer System Improvements
Hartland-2019SR	C230092-04	\$1,119,519	Secondary Treatment Upgrade

Hartland-2019SR	C230092-06	\$1,200,000	Secondary Treatment Upgrade Interim Financing
Kennebunk Sewer District-2019F	C230187-06	\$8,000,000	Secondary Treatment Upgrade
Maine Forest Service -2007FR	MFS-19	\$3,259,483	Equipment for Silviculture BMPs
Lincolnton Sewer District-2018FR	C230315-01	\$2,050,000	New Collection System and Secondary Treatment Facility
Livermore Falls-2018SR	C230094-03	\$50,000	Secondary Treatment Upgrade
Ogunquit Sewer District-2018SR	C230294-03	\$3,378,579	Secondary Treatment Upgrade
Portland-2018FFR	C230306-07	\$11,240,000	CSO Correction
Portland Water District-2018SR	C230122-13	\$2,200,000	Pump Station Upgrade
Portland Water District-2019SR	C230184-07	\$875,000	UV Disinfection Upgrade
Presque Isle Utilities District-2017S	C230140-14	\$10,000	Fiscal Sustainability Plan
Richmond Utilities District-2019SR	C230175-03	\$50,000	Fiscal Sustainability Plan
Rockland-2019F	C230108-04	\$2,372,000	CSO Correction
Rumford-2018S loan1	C230324-01A	\$1,000,000	Sewer System Rehabilitation
Rumford-2018S loan2	C230324-01B	\$379,000	Design for Sewer System Rehab.
Southwest Harbor Water & Sewer District-2019F	C230326-01	\$205,170	Sewer System Rehabilitation
Veazie Sewer District-2018SSR	C230158-04	\$370,000	Sewer System Rehabilitation
Veazie Sewer District-2019SR	C230158-05	\$40,000	Sewer System Rehabilitation
Vinalhaven-2018SR	C230263-04	\$27,500	Fiscal Sustainability and Climate Adaptation Plans
Total		\$51,971,666	

B. SHORT TERM GOALS

A total of \$143,513,192 of funding was available in SFY 2019 to fund projects listed in the FY 2018 IUP for renovations and improvements to publicly owned treatment works and appurtenant facilities. The available funds were comprised of \$11,927,040 FY 2018 Capitalization Grant Project Funds (*net of the 4% administrative money*), \$2,460,000 of state matching proceeds received during the year, \$32,810,533 of principal and interest repayments received during the year, \$2,222,333 of investment earnings received during the year, and \$94,093,286 of carry forward monies that were still available in the fund as of 6/30/2018. The projects funded will maintain or restore compliance in many facilities and improve water quality conditions in the receiving waters for others. The projects are listed on the Multi-Year CWSRF Project List.

At the close of SFY 2019, Maine's CWSRF program still had \$91,218,327 of uncommitted program funds available, consisting of \$2,474,200 state matching funds, and \$88,744,127 of repayment monies and interest earnings on the Fund.

C. LONG TERM ACCOMPLISHMENTS

During the state fiscal year, nine projects were completed (Initiation of Operations) within Maine's CWSRF program by the Town of Eliot, Lewiston-Auburn Water Pollution Control Authority, Lincoln Sanitary District, Town of Kennebunkport, Town of Machias, Portland Water District, Town of Skowhegan, Veazie Sewer District, and Winter Harbor Utilities District. In addition, 13 projects were completed in the Maine Forest Service Linked Deposit Loan Program and 3 in the Compliance Assistance Loan Program.

D. LONG TERM GOALS

The Water Quality Act of 1987 created an authority that allows EPA to make grants, which capitalize State Water Pollution Control Revolving Funds. Maine made the decision to take advantage of the federal dollars being offered and established a Clean Water State Revolving Fund (CWSRF). The Maine Municipal Bond Bank is the lending institution for the fund. The primary purpose of the fund is to, "acquire, design, plan, construct, enlarge, repair or improve a publicly owned sewage system, sewage treatment plant or to implement a related management program". The long-term goal of the CWSRF is to maintain and improve Maine's inventory of municipal sewage facilities in perpetuity. This will ensure preservation of the water quality gains that were realized by initial construction of them.

The Maine CWSRF program has expanded the eligible use of the funds to include loans to fund non-point source abatement projects administered by the Department of Agriculture, Conservation and Forestry and the Finance Authority of Maine, loans to fund the purchase

of “green” forestry equipment administered by the Maine Forest Service, loans to fund the repair and replacement of oil storage tanks and facilities funded through the Finance Authority of Maine (heretofore and hereinafter referred to as “FAME”), and loans to fund the construction of salt and sand sheds in some communities where non-point source pollution has been identified. The Maine CWSRF has a long-term goal of meeting the funding needs of these expanded uses of the Fund, while maintaining the ongoing capital needs of section 212 projects within the state.

It is the goal of the Maine CWSRF program to preserve the principal amounts of capitalization grant dollars in perpetuity, while fulfilling its lending obligations to treatment facilities within Maine in the easiest and most cost-effective manner possible. Maine continues to strive for funding mechanisms that will expedite loan repayments of current capitalization grant dollars to increase turnaround and create more funding for future loans in years following the award of all capitalization grants.

IV. DETAILS OF ACCOMPLISHMENTS

A. FUND FINANCIAL STATUS

1. Non-Point Source Projects

Maine's CWSRF has provided loans primarily to Section 212 publicly-owned treatment works since it was established, with the exception of loans in the following areas as of the end of SFY 2019.

Section 319 – Nonpoint Source Loans

- A total of \$3,203,752 in loans for traditional storm water management not associated with a POTW project.
- A total of \$14,555,194 in loans for landfill closures.
- Beginning in 2004 the DEP expanded the eligible use of funds for municipalities to design and construct sand/salt sheds in areas that the DEP has determined that ground water or surface water has been contaminated by sand/salt piles. The total of all salt/sand shed loans issued from the program was \$2,534,742.
- Maine’s CWSRF has also provided funding over the years to other state agencies to assist in the making of loans for other non-point source issues not typically eligible for funding under the CWSRF program. Since 1995, a total of \$3,183,630 has been committed to the Maine State Housing Authority for funding of eligible septic system repairs. In 2016, MSHA reviewed their administrative costs and determined that the costs exceeded the allowable fees and the program was

suspended. Therefore, there were not any loans issued from the program in SFY 2019. The total of all loans issued from the program is \$2,615,522 and a total of \$568,108 was decommitted from the program and returned back to the repayment account.

- Within SFY 2000, \$6,000,000 was committed to the FAME to make loans for the construction and repair of manure storage facilities. In SFY 2004, \$4,000,000 of that amount was decommitted and returned back to the Fund because it was deemed to not be immediately necessary for the program. In SFY 2012, the Maine DEP and the Maine Department of Agriculture worked with EPA Region 1 to expand the eligible uses of program funds and an additional \$2,334,260 was committed to the program for those additional uses. There were no loans in SFY 2019, therefore, the total amount of loan originations remains at \$1,334,260. As of 6/30/2019, a total of \$1,000,000 was still available for use from the program as \$2,000,000 was decommitted from the program and returned back to the Fund.
- Beginning in SFY 2007, Maine's CWSRF program began using repayment funds in the program to fund a linked deposit loan program for silviculture activities with the Maine Forest Service. During the reporting period a total of \$4,203,373 was committed to the program from funds that have been returned on linked deposit loans that were previously issued. A total of \$39,321,469 has been committed to this program since its inception. Thirteen loans totaling \$3,259,483 were made from the program during the reporting period, bringing the total of all loans issued from the program to 149 loans equaling \$36,439,466 as of 6/30/2019.
- Beginning in SFY 2018, Maine's CWSRF program committed \$10,000,000 of repayment funds to FAME in order to make loans for the repair and replacement of oil tank storage tanks and facilities located in the State. FAME originated three loans totaling \$369,373 during this fiscal year, bringing the total of all loans issued from the program to 4 loans equaling \$769,373 as of 6/30/2019.

Section 320 – National Estuaries Loans

- A total of \$2,095,000 for one loan in SFY 2009 (ARRA) for watershed management projects in the Long Creek Watershed.

2. Disbursements and Guarantees

During the state fiscal year, \$2,235,359 of FFY 2016 federal funds, \$4,727,850 of FFY 2017 federal funds and \$259,696 of FFY 2018 federal funds were disbursed as financial assistance and to pay administrative costs. In addition, \$1,699,245 of state matching funds and \$30,486,281 of repayment funds were disbursed as financial aid to recipients. A total of \$39,408,431 was disbursed from the Fund during the reporting period. *Exhibit VI* details

all administrative disbursements from the federal grant taken by the Maine DEP and the Maine Municipal Bond Bank.

3. Findings of the SFY 2019 Audit

The SFY 2019 Audit conducted by Baker Newman & Noyes Limited Liability Company found that the CWSRF program reporting procedures were in compliance with Generally Accepted Accounting Principles. The audit supports the findings of the SFY findings in the SFY 2019 Annual Report. The audited financials of the Maine Municipal Bond Bank are being sent to EPA along with this Annual Report. The annual report may differ from the SFY 2019 audit as the annual report is presented on cash basis versus an accrual basis of accounting.

The SFY 2019 Single Audit is not yet available. A copy of the single audit will be sent to EPA as soon as it is completed.

B. FINANCIAL ASSISTANCE ACTIVITY

1. Additional Subsidization

Binding Commitments

Exhibit IV shows a breakdown of the source of funding for all binding commitments entered into during the fiscal year. *Exhibit VII-1* provides detail of all loans that received additional subsidization from the program during the reporting year. Additional loan subsidies were provided to borrowers determined to be hardship communities by the program and to provide incentives for systems to follow fiscal sustainability plan requirements established by the program and to develop climate adaptation plans. In all cases the additional subsidies were provided in the form of loan forgiveness. A total of \$2,151,062 in forgiveness was provided to systems via loan commitments during the reporting year.

Of this amount, \$650,000 was provided to the Town of Hartland and \$365,700 to the Town of East Millinocket in association with the FY 2015 grant, bringing the total additional subsidy for that grant to \$3,239,400, despite there not being a minimum additional subsidy requirement associated with the FY 2015 grant. *See Exhibit VII-2.*

In addition, \$814,862 was provided to the City of Calais, \$108,000 to the Town of East Millinocket, \$50,000 to the Town of Livermore Falls, \$10,000 to the Presque Isle Utilities District, \$50,000 to the Richmond Utilities District, \$70,000 to the Town of Rumford, \$5,000 to the Southwest Harbor Water & Sewer District, and \$27,500 to the Town of Vinalhaven in association with the FY 2017 grant, bringing the total additional subsidy for that grant to \$2,389,862 and meeting the minimum commitment of \$1,026,300. *See Exhibit*

VII-3.

Finally, during the report year, no additional subsidies were provided to borrowers in association with the FY 2018 grant. The State intends to meet the minimum requirement of \$1,242,400 by entering into binding commitments for some of the \$4,969,600 in additional subsidies offered to borrowers on the 2018 Proposed Project List. *See Exhibit I.*

Disbursements

The Annual Appropriations Act requires the State to demonstrate that the minimum additional subsidy for each capitalization grant has been disbursed. The State provides additional subsidy in the form of loan forgiveness, the loan disbursements do not contain a subsidy amount, rather the subsidy is shown in the loan agreement as principal forgiveness that is not repaid. To demonstrate that the minimum subsidy requirements have been met and the subsidy disbursed, the State has prepared Exhibit VII-4, Maine – Additional Subsidies, showing the minimum additional subsidy required, and the amount of the additional subsidy disbursed by project and capitalization grant. Disbursements equaling or exceeding a capitalization grant’s minimum additional subsidy requirement, demonstrates that the minimum additional subsidy has been disbursed. Exhibit VII-4 shows the Capitalization Grants for which the minimum additional subsidy has not been fully disbursed or were not reported as having met the minimum in a prior annual report.

The 2014 minimum additional subsidy requirement of \$590,595 has not been met. During the report year, no disbursements were credited towards meeting this requirement. The \$68,818.51 to fulfill the requirement will come from the remaining \$345,289 in additional subsidy awarded to the City of Biddeford. The project is complete, and the City is currently working on a draw.

The 2016 minimum additional subsidy requirement of \$1,034,300 has not been met. During the report year, disbursements credited towards additional subsidy were made to the Ashland Water & Sewer District, \$29,842.64, the City of Calais, \$6,725.37, and the Town of Machias, \$529,213.71, bringing the total disbursements to borrowers with 2016 additional subsidy to \$330,951.69. The State intends to meet the remaining 2016 requirement, \$282,397.13, by making additional disbursements to Ashland Water & Sewer District, Calais, Eastport, the Fort Fairfield Utilities District, Rockland, and/or the Vassalboro Sanitary District,, a total of \$3,385,296.13.

The 2017 minimum additional subsidy requirement of \$1,026,300 has not been met. During the report year, disbursements credited towards additional subsidy were made to the City of Calais, \$91,974.05, the Town of East Millinocket, \$108,000.00, the Presque Isle Utilities District, \$10,000.00, the Southwest Harbor Water & Sewer District, \$5,000.00, the Winter Harbor Utilities District, \$300.00, the Lincoln Sanitary District, \$20,000.00, and the Town of Livermore Falls, \$5,550.00, bringing the total disbursements

to borrowers with 2017 additional subsidy to \$503,345.20. The State intends to meet the remaining 2017 requirement, \$522,954.80, by making additional disbursements to Bath, Calais, Fort Fairfield Utilities District, Rumford, Winter Harbor Utilities District, Livermore Falls, Vinalhaven, and/or Richmond Utilities District, a total of \$2,936,516.80.

The 2018 minimum additional subsidy requirement of \$1,242,400 has not been met. The State intends to meet the minimum requirement by making disbursements to Bangor, Bridgton, Hampden, Hartland, Livermore Falls, Madawaska, Presque Isle Utilities District, and/or Washburn, a total of \$3,849,600.

2. Green Project Reserve

The state continues to meet the Green Project Reserve (GPR) minimum requirement without the need for special solicitation. The state's priority system assigns additional priority points to project funding requests that included green components. In development of the state's priority list, applicants received up to a maximum of 20% increase in base points for the portion of the project involving green infrastructure.

During the reporting year, binding commitments were made to four municipalities with the following GPR amounts; City of Rockland \$20,000 FY 2016, Town of Vinalhaven \$20,000 FY 2017, Town of Rumford \$20,000 FY 2017, and Town of Hampden \$20,000 FY 2018, for Environmentally Innovative – development of a Climate Adaptation Plan. In addition, \$3,339,483 in Environmentally Innovative GPR loans were made through the Linked Deposit Loan Program with the Maine Forest Service. *For additional detail see Exhibit VIII-1.*

The state met its minimum green requirement of \$1,242,400 for the FY 2018 capitalization grant by entering into 13 binding commitments in the Linked Deposit Loan Program totaling \$4,137,510, and \$20,000 in GPR for Hampden. In all, a total of \$4,157,510 which is 33% of the FY 2018 capitalization grant and is more than the minimum 10% required for that grant. *See Exhibit VIII-2 for additional detail.*

3. Proportionality

The proportionality requirements state that federal draws must be made on a proportionate basis of 83 ⅓% federal and 16 ⅔% state, unless the state can draw all of its state matching funds for a particular cap grant year prior to making a draw of federal funds. The state met the proportionality requirement by drawing 100% of the required state match before making any federal draws.

C. LEVERAGING

To meet the long term needs of treatment facilities and water quality projects in Maine, the MMBB, in addition to lending federal capitalization grant and state matching dollars, can lend additional bond dollars for every federal and state dollar available. This is accomplished by making parallel loans of capitalization grant at 0% and bond loan dollars at market rates. This maximizes the total loan amount available and allows the overall loan interest rate to remain below market rate. The ratio of additional bond dollars added to the funds available varies depending on the market rate; however for estimating purposes it is roughly 1:1. The borrowers involved with the Bond Bank's CWSRF bond program receive an overall rating on the issue as opposed to an individual rating by community. The program is currently rated pure "Aaa" from Moody's and "AAA" by Standard & Poor's. The benefit of these ratings is reflected in the interest rates for all of the program borrowers.

Total bonds outstanding under the program were \$6,010,000 at 6/30/2019. In SFY 2019 the state opted not to leverage the Fund with bond proceeds because the demand for construction funds was adequately met by the existing federal, state and repayment money in the program.

D. PROVISIONS OF THE OPERATING AGREEMENT/CONDITIONS OF THE GRANT

To the best of our ability and knowledge, it is the opinion of both the Maine Municipal Bond Bank and the Department of Environmental Protection that the State of Maine CWSRF program has been in compliance with the provisions of the Operating Agreement/Conditions of the Grant. Pursuant to 40 § CFR 35.3165(b), the Maine Municipal Bond Bank and the State of Maine Department of Environmental Protection assure compliance with the following:

- (1) The State has reviewed all CWSRF funded section 212 projects in accordance with the approved environmental review procedures.
- (2) The State has deposited its match on or before the date on which each quarterly grant payment was made.
- (3) The State has assured compliance with the requirements of 40 CFR § 35.3135(f).
- (4) The State made binding commitments to provide assistance equal to 120 percent of the amount of each grant payment within one year after receiving the grant payment pursuant to 40 CFR § 35.3135(c).
- (5) The State expended all funds in an expeditious and timely manner pursuant to 40 CFR § 35.3135(d).

- (6) The State first used all funds as a result of capitalization grants to assure maintenance of progress toward compliance with the enforceable requirements of the Act pursuant to 40 CFR § 35.3135(e).

E. EPA GENERAL AND PROGRAMATIC TERMS AND CONDITIONS

- (1) The State has complied with P.L.111-88 and applied the requirements of section 513 of the Act (Davis-Bacon) to all loans for the construction of treatment works carried out in whole or in part with assistance from the Fund.
- (2) The State has complied with P.L. 113-76, Consolidated Appropriations Act, 2014 and applied the requirements of section 436, “American Iron and Steel” (AIS), in accordance with the Act.
- No projects funded in the reporting year received an AIS individual project waiver.
 - The following projects funded in the reporting year were exempt from the AIS requirements:
 - Refinances with construction completed prior to January 17, 2014:
 - None
 - Assistance agreements signed on or after January 17, 2014 for a project for which the engineering plans and specifications were approved by the responsible state agency prior to January 17, 2014:
 - None
 - Assistance agreements for nonpoint source projects or planning, i.e. non-treatment works construction, alteration, maintenance, or repair projects:
 - Livermore Falls 2018SR, C230094-03
 - Presque Isle Utilities District 2017S, C230140-14
 - Richmond Utilities District 2019SR, C230175-03
 - Vinalhaven 2018SR, C230263-04
 - Maine Forest Service-2007FR, MFS-19
 - Maine DEP/FAME 2018FR, CALP-19
- (3) The State continues to comply with the Federal Funding Accountability and Transparency Act (FFATA), P.L. 109-282, as amended.

During the reporting year, the State reported FY 2015 information for Portland, \$1,094,923, completing the FY 2015 requirement of \$10,798,000; FY 2017 information for – Rumford, \$1,000,000, Calais, \$700,000, and Bangor, \$4,363,000 completing the FY 2017 requirement of \$10,263,000; and FY 2018 information for Kennebunk, \$8,000,000 towards the \$12,424,000 requirement. The State intends to comply with the FFATA requirements for FY 2016 by reporting \$197,923 and the requirement for FY 2018 by reporting \$4,424,000 in the next fiscal year.

F. SUSTAINABILITY

The State has complied with EPA's Clean Water and Drinking Water Infrastructure Sustainability Policy by continuing to offer additional subsidies to communities to promote sustainability in two distinct areas, fiscal sustainability and climate adaptation planning. In SFY 2019, the State CWSRF provided \$337,500 in additional subsidies to nine communities (Calais, East Millinocket, Hartland, Livermore Falls, Presque Isle Utilities District, Richmond Utilities District, Rumford, Southwest Harbor Water & Sewer District, and Vinalhaven) to promote sustainability. The additional subsidies were committed to communities in the form of loan principal forgiveness.

Starting with the 2015 Intended Use Plan, the State offers loan forgiveness to communities for the development of a fiscal sustainability plan (FSP) or improvement to an existing one and for the development of a climate adaptation plan (CAP). To receive loan forgiveness for an FSP, the community must provide matching funds equal to the forgiven amount. FSP forgiveness is capped at \$50,000 per borrower. There is no matching fund requirement for the CAP which is capped at \$20,000 in forgiveness.

In the reporting year incentives for a fiscal sustainability plan totaled \$287,500 (Calais - \$5,000, East Millinocket - \$50,000, Hartland - \$50,000, Livermore Falls - \$50,000, Presque Isle Utilities District - \$10,000, Richmond Utilities District - \$50,000, Rumford - \$50,000, Southwest Harbor Water & Sewer District - \$5,000, and Vinalhaven - \$7,500). Incentives for a climate adaptation plan totaled \$60,000 for East Millinocket, Rumford, and Vinalhaven, \$20,000 each.

V. PROGRAM CHANGES

A. COMPARISON WITH THE INTENDED USE PLAN

Federal Fiscal Year 2018 IUP

Exhibit I shows the Intended Use Plan for FFY 2018. *Exhibit IV* shows the actual loan activity from the current and previous IUP's during this state fiscal year. A summary is as follows:

Total program administration disbursements made from the available 4% federal in SFY 2019 were \$259,696 made from FFY 2018 funds. *Exhibit VI* provides a summary of the administrative disbursements that were taken during the fiscal year by the DEP and by MMBB. A summary of total administrative disbursements taken throughout the life of the program from each federal award is on file at the Bank.

B. PROCEDURAL AND ADMINISTRATIVE CHANGES TO PROGRAM

There were no procedural or administrative changes to the program in the report year.

VI. FEES

During SFY 2019 the Bank continued to charge a 1.5% administrative fee and the DEP continued to charge a 3.5% administrative fee on all long-term loans made from the program. All of the fee revenue generated by the program was held outside of the Fund in separate accounts for each the Maine DEP and the Bank.

A. MMBB Fee Account

MMBB administration fees collected during the year on CWSRF federal, state and repayment funds loaned to eligible recipients totaled \$426,607 in SFY 2019. Fees collected on bond funds loaned to eligible recipients totaled \$28,605 during the year. Investment earnings on the MMBB fee account during the fiscal year totaled \$21,295. The total deposit of all fees and earnings into the MMBB fee account was \$476,507 during the reporting period. All of the MMBB administrative fees received were drawn upon solely for the purpose of paying the administrative expenses of the program not paid for by the 4% administrative money. During SFY 2019, a total of \$397,926 of fee income was disbursed for eligible MMBB administrative expenses of the program. Additional information regarding the total amount of administrative fees received throughout the life of the program is on file at the Maine Municipal Bond Bank.

Prior to SFY 1999 the Maine Municipal Bond Bank also charged a 1% loan origination fee which the borrowers were able to finance with proceeds of the loans from the program.

The Bank opted to waive its loan origination fee on commitments issued after SFY 1998 due to revised interpretations of the CWSRF statute on this issue. Additional information regarding the total amount of loan origination fees received throughout the duration of the program is on file at the Maine Municipal Bond Bank.

B. DEP Fee Account

DEP administrative and management fees collected during the year totaled \$790,273 and earnings on the DEP fee income totaled \$103,369. The total deposited into their account during SFY 2019 was \$893,642. Disbursements from the DEP fee account during the fiscal year totaled \$1,175,000 and were made solely for the purposes of reimbursing expenses incurred by the DEP staff to administer the program and for other water quality related purposes.

VII. PROPOSED IMPROVEMENTS

A. COMPLIANCE WITH FINDINGS OF SFY 2018 ANNUAL REPORT TO EPA

Section IV.b. of the SFY 2018 Program Evaluation Report identified an Action Item and Recommendation that the program, “Ensure that no payment requests are processed if the cost and effectiveness certification has not been submitted by the loan recipient.” Section 5.b. of the Department’s CWSRF Contract Award Approval Checklist contains the requirement that the Cost and Effectiveness Certification has been submitted for SRF files. Additional staff training was conducted to reaffirm this requirement.

VIII. ESTIMATED SUBSIDY PROVIDED

The subsidy provided for the State of Maine's CWSRF borrowers starts with passing on the Bank's strong credit rating. Most of our borrowers are not rated, or are less highly rated in the credit markets than we are and, therefore, we are able to pass on a savings on average of about 25 basis points just by issuing their loans in the Bond Bank's pool. In addition to the automatic subsidy that is provided by passing on the Bank’s low capital costs, CWSRF borrowers in Maine also receive a subsidy of up to 200 basis points below the Bank’s costs of funds. This structure assures that all the program participants receive a total savings on their cost of capital that is on average of up to 225 basis points (2.25%) and in some cases the savings level is even higher.

The market rate from which the subsidy is taken is obtained by pricing each loan, based on its term and repayment structure, in the bond market just prior to loan closing. Once the pure AAA tax-exempt bond cost for each borrowing is determined. If possible and in keeping with the minimum loan rate of 1.0%, the Bank reduces the rate of interest by 2 percentage points and calculates the total cost to the borrower at that level. If possible, the

Bank then further reduces the interest rate charged on each loan by an amount equal to the cost of its loan-servicing fee so that the borrowers receive a full 2% subsidy below the equivalent AAA tax-exempt rate.

It is difficult to quantify the dollar amount of savings provided to borrowers funded from the program because each individual borrower's savings will vary depending on the terms of their loan and the interest rate that they would be able to obtain in the marketplace. If we assume that all borrowers who received funding during the year were as highly rated as the bank in the tax-exempt market, then the estimated interest rate subsidy provided to all of our CWSRF borrowers in SFY 2019 was approximately \$5 Million. However, it is likely that the actual subsidy provided was much higher, considering that most of the borrowers funded would have a less favorable rating than the Bank. In addition, given that the program also provided a total of \$2,151,062 of loan forgiveness as additional subsidies to its borrowers, the total of all subsidies provided during the year is in excess of \$7 Million.

Final 2018 CWSRF Proposed Project List For Infrastructure (Construction) Projects

EXHIBIT I

Total Points	Entity and Project Type (1)	Needs Category	Project Description	Estimated Total Project Cost (Excludes FSP & CAP)	Co-Funded Project Cost From Other Funding Sources	CWSRF Project Funding	Additional FSP Borrowing Beyond Project	Requested CWSRF Loan Amount	Envir. Priority	Base Points	Applicant's Project Green Reserve (GPR) Cost	Affordability Principal Forgiveness Points **	Affordability Principal Forgiveness Percentage	Affordability Principal Forgiveness (3)	Fiscal Sustainability Plan Principal Forgiveness (4)	Climate Adaptation Plan Principal Forgiveness (5)	Total Green Project Reserve (Project+CAP Costs)	Total Principal Forgiveness	Loan Payback Amount	Total CWSRF Assistance Provided
43.20	Jay, Town of (212)	I	North Jay Pump Station and Force Main - Decommissioning of North Jay WWTF and connection to North Jay sewer system in village for treatment at the Livermore Falls WWTF.	\$3,500,000		\$3,500,000	\$0	\$3,500,000	4-H	27	\$3,500,000	6.15	0.00%	\$0	\$0	\$0	\$3,500,000	\$0	\$3,500,000	\$3,500,000
38.21	Madawaska, Town of (212)	I	Wastewater Treatment Facility, Pumping Station and Collection System Upgrades	\$9,820,000	\$8,000,000	\$1,820,000	\$50,000	\$1,870,000	4-H	27	\$750,000	7.68	58.98%	\$1,000,000	\$50,000	\$0	\$750,000	\$1,050,000	\$870,000	\$1,920,000
35.10	Bangor, City of (212)	V-A	Davis Brook Regulator Structure - Modification or replacement of CSO regulator/overflow structure into existing 42" interceptor.	\$2,500,000	\$0	\$2,500,000	\$0	\$2,500,000	4-H	27	\$0	7.02	49.28%	\$1,000,000	\$30,000	\$0	\$0	\$1,030,000	\$1,500,000	\$2,530,000
33.75	Bridgton, Town of (212)	I	New Centralized WWTF and Collection System Upgrade/Expansion - Pressurized drip dispersal system, and a hybrid gravity and STEP pressure sewer collection system.	\$22,880,000		\$22,880,000	\$30,000	\$22,910,000	2-L	25	\$0	11.27	100.00%	\$1,000,000	\$30,000	\$20,000	\$20,000	\$1,050,000	\$21,910,000	\$22,960,000
31.05	Hampden, Town of (212)	III-A	Sewer Collection System Improvements - Sewer replacement on portions of Western Ave and Main Rd North/Rt. 1A, including a replacement utility bridge crossing at the Grist Mill Bridge.	\$2,113,400		\$2,113,400	\$0	\$2,113,400	4-H	27	\$0	2.42	0.00%	\$0	\$50,000	\$0	\$0	\$50,000	\$2,113,400	\$2,163,400
30.80	Hartland, Town of (212)	I	Major WWTF Upgrade	\$13,072,000	\$11,919,517	\$1,152,483	\$0	\$1,152,483	5-H	22	\$0	9.23	85.19%	\$981,800	\$0	\$0	\$0	\$981,800	\$170,683	\$1,152,483
27.50	Livermore Falls, Town of (212)	I	Wastewater Treatment Facility Upgrade - New primary clarifiers, septage receiving, and roof on the sludge holding tanks.	\$5,348,000	\$4,339,968	\$1,008,032	\$0	\$1,008,032	5-H	22	\$0	8.35	69.72%	\$702,800	\$0	\$0	\$0	\$702,800	\$305,232	\$1,008,032
26.25	Presque Isle Sewer District, (212)	III-A	Tater Meal Pump Station Upgrade & Sewer System Investigation and Improvements/Replacement.	\$765,600		\$765,600	\$20,000	\$785,600	4-M	21	\$0	6.84	0.00%	\$0	\$20,000	\$0	\$0	\$20,000	\$785,600	\$805,600
18.40	Bingham, Town of (212)	I	Wastewater Treatment Facility Improvements - Replacement of influent screen, HVAC improvements, installation of plant water system and emergency power system, and upgrades to second process system.	\$608,000		\$608,000	\$0	\$608,000	5-M	16	\$0	11.40	100.00%	\$0	\$0	\$0	\$0	\$0	\$608,000	\$608,000
16.80	Bingham, Town of (212)	III-A	Sewer System Improvements - Replacement and relining of sewer lines, manhole rehabilitation, pump station upgrade and replacement (West Side of Route 201).	\$1,070,000		\$1,070,000	\$0	\$1,070,000	5-M	16	\$0	11.40	100.00%	\$0	\$0	\$0	\$0	\$0	\$1,070,000	\$1,070,000
	Maine Forest Direct Link Program - Recycled Funds Commitment (319)	VII-C	Reduce the non-point source pollution from timber harvesting. This program allows the CWSRF to encourage Best Management Practices in timber harvesting to protect water quality	\$1,934,287		\$1,934,287		\$1,934,287			\$1,934,287	Program commitment not environmentally ranked.					\$1,934,287	\$0	\$1,934,287	\$1,934,287
				\$63,611,287	\$24,259,485	\$39,351,802	\$100,000	\$39,451,802			\$6,184,287			\$4,684,600	\$180,000	\$20,000	\$6,204,287	\$4,884,600	\$34,767,202	\$39,651,802

2018 CWSRF Proposed Project List For Fiscal Sustainability Plans and Climate Adaptation Plans Without An Infrastructure (Construction) Project

Affordability Points	Entity and Project Type (1)	Needs Category	Project Description	Estimated Total Project Cost	Requested CWSRF Loan Amount	Affordability Principal Forgiveness Points **	Fiscal Sustainability Plan Principal Forgiveness (4)	Climate Adaptation Plan Principal Forgiveness (5)	Applicant's Green Project Reserve (GPR) Cost	Total Principal Forgiveness	Loan Payback Amount	Total CWSRF Assistance Provided
6.42	Washburn Water and Sewer District (212)	I	Fiscal Sustainability Plan	\$30,000	\$15,000	6.42	\$15,000	\$0	\$0	\$15,000	\$0	\$15,000
5.88	Gardiner, City of (212)	I	Fiscal Sustainability Plan and Climate Adaptation Plan	\$120,000	\$70,000	5.88	\$50,000	\$20,000	\$20,000	\$70,000	\$0	\$70,000
				\$150,000	\$85,000		\$65,000	\$20,000	\$20,000	\$85,000		\$85,000
Totals				\$63,761,287	\$39,536,802		\$245,000	\$40,000	\$6,224,287	\$4,969,600	\$34,767,202	\$39,736,802

** Linked to data in Affordability Table

(1) 212 is POTW; 319 is NPS; 320 is NPS Estuary

(2) GI = Green Infrastructure; WE = Water Efficiency; EE = Energy Efficiency; EI = Environmentally Innovative

(3) Affordability PF is limited to \$1,000,000 per Applicant

(4) Fiscal Sustainability Plan PF is limited to \$50,000 per Applicant

(5) Climate Adaptation Plan PF is limited to \$20,000 per Applicant

Maine Municipal Bond Bank

EXHIBIT II

Clean Water State Revolving Loan Fund

State Match Deposits By Federal Quarter
As Of 06/30/2019

Quarter	State
1989-2	\$1,800,000.00
1990-3	\$1,800,000.00
1991-1	\$3,600,000.00
1992-4	\$2,400,000.00
1993-3	\$3,100,469.00
1994-4	\$3,000,000.00
1995-3	\$1,000,000.00
1996-3	\$1,700,000.00
1997-3	\$2,300,000.00
1998-4	\$2,367,810.00
1999-4	\$2,100,000.00
2000-1	\$32,190.00
2000-4	\$1,600,000.00
2001-1	\$500,000.00
2001-3	\$1,400,000.00
2002-1	\$700,000.00
2002-3	\$1,334,886.00
2002-4	\$599,999.90
2003-1	\$42,175.46
2003-4	\$2,050,567.00
2004-1	\$0.20
2004-3	\$2,272,371.64
2005-4	\$1,000,000.00
2006-2	\$700,000.00
2006-4	\$1,400,000.00
2007-4	\$1,700,000.00
2008-4	\$1,700,000.00
2009-4	\$1,700,000.00
2010-4	\$3,000,000.00
2011-4	\$850,000.00
2013-4	\$4,335,000.00
2014-4	\$500,000.00
2015-3	\$3,500,000.00
2015-4	\$191,303.00
2016-3	\$2,068,600.00
2017-3	\$2,052,600.00
2018-3	\$2,484,800.00
2019-3	\$2,460,000.00
Report Total:	<u>\$65,342,772.20</u>

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT III

Principal Repayment By Federal Quarter
As Of 06/30/2019

Quarter	Federal	State	Bond	ARRA	Total
1992-1	\$118,538.40	\$39,317.60	\$250,425.00	\$0.00	\$408,281.00
1993-1	\$412,469.96	\$398,218.43	\$668,317.29	\$0.00	\$1,479,005.68
1994-1	\$1,136,688.71	\$246,579.15	\$1,763,478.08	\$0.00	\$3,146,745.94
1994-3	\$122,220.00	\$0.00	\$0.00	\$0.00	\$122,220.00
1995-1	\$1,437,517.87	\$378,159.32	\$2,134,332.55	\$0.00	\$3,950,009.74
1995-3	\$151,734.86	\$0.00	\$0.00	\$0.00	\$151,734.86
1996-1	\$1,578,139.52	\$391,961.02	\$2,248,280.25	\$0.00	\$4,218,380.79
1996-2	\$0.00	\$3,963.12	\$0.00	\$0.00	\$3,963.12
1996-3	\$448,016.18	\$3,671.15	\$0.00	\$0.00	\$451,687.33
1996-4	\$0.00	\$17,318.39	\$0.00	\$0.00	\$17,318.39
1997-1	\$1,594,464.22	\$398,367.29	\$2,365,284.97	\$0.00	\$4,358,116.48
1997-2	\$0.00	\$19,912.72	\$0.00	\$0.00	\$19,912.72
1997-3	\$2,486,654.86	\$22,914.33	\$0.00	\$0.00	\$2,509,569.19
1997-4	\$35,000.00	\$17,415.55	\$0.00	\$0.00	\$52,415.55
1998-1	\$2,012,019.74	\$550,419.35	\$2,702,281.61	\$0.00	\$5,264,720.70
1998-2	\$0.00	\$21,624.73	\$0.00	\$0.00	\$21,624.73
1998-3	\$168,864.86	\$29,021.88	\$0.00	\$0.00	\$197,886.74
1998-4	\$35,000.00	\$35,269.93	\$0.00	\$0.00	\$70,269.93
1999-1	\$3,011,086.14	\$742,708.44	\$3,589,915.29	\$0.00	\$7,343,709.87
1999-2	\$0.00	\$35,811.78	\$0.00	\$0.00	\$35,811.78
1999-3	\$470,557.93	\$43,877.01	\$0.00	\$0.00	\$514,434.94
1999-4	\$35,000.00	\$35,996.18	\$0.00	\$0.00	\$70,996.18
2000-1	\$3,385,636.15	\$1,042,117.90	\$3,650,420.96	\$0.00	\$8,078,175.01
2000-2	\$1,154,800.00	\$531,234.79	\$0.00	\$0.00	\$1,686,034.79
2000-3	\$892,427.93	\$194,228.31	\$0.00	\$0.00	\$1,086,656.24
2000-4	\$39,028.04	\$33,640.63	\$0.00	\$0.00	\$72,668.67
2001-1	\$4,107,614.12	\$1,283,157.51	\$3,714,801.29	\$0.00	\$9,105,572.92
2001-2	\$4,912.38	\$33,192.77	\$0.00	\$0.00	\$38,105.15
2001-3	\$1,259,457.09	\$210,736.10	\$0.00	\$0.00	\$1,470,193.19
2001-4	\$129,675.44	\$20,568.65	\$0.00	\$0.00	\$150,244.09
2002-1	\$3,603,159.66	\$2,063,932.03	\$3,784,856.08	\$0.00	\$9,451,947.77
2002-2	\$10,871.37	\$31,492.09	\$0.00	\$0.00	\$42,363.46
2002-3	\$1,752,958.72	\$294,065.27	\$0.00	\$0.00	\$2,047,023.99
2002-4	\$9,450.53	\$23,050.31	\$0.00	\$0.00	\$32,500.84
2003-1	\$4,156,261.77	\$1,361,230.30	\$3,816,127.29	\$0.00	\$9,333,619.36
2003-2	\$17,393.40	\$36,212.52	\$0.00	\$0.00	\$53,605.92
2003-3	\$8,612,202.83	\$2,622,027.37	\$0.00	\$0.00	\$11,234,230.20
2003-4	\$1,437,368.28	\$719,605.57	\$0.00	\$0.00	\$2,156,973.85
2004-1	\$6,745,807.18	\$1,742,328.30	\$4,629,741.05	\$0.00	\$13,117,876.53
2004-2	\$9,515.43	\$15,320.14	\$0.00	\$0.00	\$24,835.57
2004-3	\$1,709,352.99	\$441,009.02	\$0.00	\$0.00	\$2,150,362.01
2004-4	\$315,099.70	\$101,028.09	\$0.00	\$0.00	\$416,127.79

Maine Municipal Bond Bank

EXHIBIT III continued

Clean Water State Revolving Loan Fund

Principal Repayment By Federal Quarter

As Of 06/30/2019

Quarter	Federal	State	Bond	ARRA	Total
2005-1	\$7,458,914.86	\$1,750,147.15	\$5,009,420.41	\$0.00	\$14,218,482.42
2005-2	\$1,007,194.03	\$266,969.76	\$0.00	\$0.00	\$1,274,163.79
2005-3	\$2,296,177.95	\$396,727.29	\$0.00	\$0.00	\$2,692,905.24
2005-4	\$101,553.90	\$22,440.24	\$0.00	\$0.00	\$123,994.14
2006-1	\$8,222,762.87	\$1,956,465.37	\$5,451,335.12	\$0.00	\$15,630,563.36
2006-2	\$12,858.94	\$29,493.67	\$0.00	\$0.00	\$42,352.61
2006-3	\$2,413,656.39	\$410,571.94	\$0.00	\$0.00	\$2,824,228.33
2006-4	\$275,024.98	\$32,556.07	\$0.00	\$0.00	\$307,581.05
2007-1	\$9,388,811.93	\$2,143,121.54	\$5,567,251.73	\$0.00	\$17,099,185.20
2007-2	\$9,175.52	\$23,435.53	\$0.00	\$0.00	\$32,611.05
2007-3	\$5,648,652.40	\$507,174.65	\$0.00	\$0.00	\$6,155,827.05
2007-4	\$429,708.40	\$22,168.35	\$0.00	\$0.00	\$451,876.75
2008-1	\$8,412,892.05	\$1,850,769.03	\$5,685,071.06	\$0.00	\$15,948,732.14
2008-2	\$10,094.85	\$25,455.33	\$0.00	\$0.00	\$35,550.18
2008-3	\$7,380,141.11	\$806,232.70	\$0.00	\$0.00	\$8,186,373.81
2008-4	\$13,014,351.86	\$265,348.76	\$0.00	\$0.00	\$13,279,700.62
2009-1	\$9,145,088.74	\$1,903,269.98	\$5,738,635.23	\$0.00	\$16,786,993.95
2009-2	\$78,328.50	\$38,348.43	\$0.00	\$0.00	\$116,676.93
2009-3	\$4,172,147.64	\$739,471.42	\$0.00	\$0.00	\$4,911,619.06
2009-4	\$1,887,965.17	\$210,089.09	\$0.00	\$0.00	\$2,098,054.26
2010-1	\$10,345,615.76	\$1,923,033.33	\$5,876,808.55	\$160,158.73	\$18,305,616.37
2010-2	\$1,453,911.53	\$41,367.48	\$0.00	\$0.00	\$1,495,279.01
2010-3	\$4,315,155.05	\$914,569.30	\$0.00	\$226,021.06	\$5,455,745.41
2010-4	\$3,618,447.08	\$807,133.17	\$0.00	\$14,390.20	\$4,439,970.45
2011-1	\$13,089,220.77	\$2,074,763.21	\$6,071,070.45	\$238,865.06	\$21,473,919.49
2011-2	\$757,889.97	\$18,434.94	\$0.00	\$0.00	\$776,324.91
2011-3	\$5,052,265.03	\$967,556.57	\$0.00	\$253,255.26	\$6,273,076.86
2011-4	\$1,265,460.68	\$250,694.06	\$0.00	\$37,879.15	\$1,554,033.89
2012-1	\$11,748,656.66	\$2,203,576.94	\$6,990,478.62	\$253,255.26	\$21,195,967.48
2012-2	\$325,672.27	\$318.01	\$0.00	\$37,879.15	\$363,869.43
2012-3	\$5,309,327.08	\$1,043,698.01	\$0.00	\$253,255.26	\$6,606,280.35
2012-4	\$1,739,931.68	\$250,890.80	\$0.00	\$37,879.15	\$2,028,701.63
2013-1	\$12,268,177.30	\$2,530,704.80	\$5,137,561.55	\$253,255.26	\$20,189,698.91
2013-2	\$2,239,455.11	\$17,627.72	\$0.00	\$37,879.15	\$2,294,961.98
2013-3	\$5,392,623.71	\$1,007,351.18	\$0.00	\$253,255.26	\$6,653,230.15
2013-4	\$1,102,019.60	\$254,484.86	\$0.00	\$37,897.15	\$1,394,401.61
2014-1	\$11,619,060.37	\$2,382,453.96	\$6,656,904.39	\$253,255.26	\$20,911,673.98
2014-2	\$352,629.07	\$332.64	\$0.00	\$37,861.15	\$390,822.86
2014-3	\$5,788,211.02	\$1,262,013.33	\$0.00	\$253,255.26	\$7,303,479.61
2014-4	\$916,183.91	\$254,720.81	\$0.00	\$37,879.15	\$1,208,783.87
2015-1	\$12,763,976.88	\$2,299,017.06	\$3,572,708.26	\$253,255.26	\$18,888,957.46
2015-2	\$502,497.41	\$340.20	\$0.00	\$37,879.15	\$540,716.76

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT III continued

Principal Repayment By Federal Quarter

As Of 06/30/2019

Quarter	Federal	State	Bond	ARRA	Total
2015-3	\$6,149,509.37	\$1,284,124.51	\$5,134.72	\$253,255.26	\$7,692,023.86
2015-4	\$1,935,105.11	\$388,156.28	\$0.00	\$37,879.15	\$2,361,140.54
2016-1	\$16,504,758.30	\$2,307,184.53	\$3,324,803.08	\$253,255.26	\$22,390,001.17
2016-2	\$280,705.93	\$23,872.94	\$0.00	\$37,879.15	\$342,458.02
2016-3	\$6,812,926.59	\$1,328,904.49	\$0.00	\$253,255.26	\$8,395,086.34
2016-4	\$2,005,264.20	\$280,093.80	\$0.00	\$37,879.15	\$2,323,237.15
2017-1	\$13,891,211.70	\$2,319,429.46	\$3,310,365.24	\$253,255.26	\$19,774,261.66
2017-2	\$567,415.28	\$26,963.84	\$0.00	\$37,879.15	\$632,258.27
2017-3	\$6,866,361.84	\$1,519,386.56	\$0.00	\$253,255.26	\$8,639,003.66
2017-4	\$2,075,123.59	\$565,985.66	\$0.00	\$37,879.15	\$2,678,988.40
2018-1	\$14,038,382.45	\$2,466,746.04	\$3,260,653.07	\$253,255.26	\$20,019,036.82
2018-2	\$633,916.96	\$27,024.93	\$0.00	\$37,879.15	\$698,821.04
2018-3	\$7,421,483.99	\$1,350,603.22	\$0.00	\$253,255.26	\$9,025,342.47
2018-4	\$2,503,670.72	\$274,997.63	\$0.00	\$37,879.15	\$2,816,547.50
2019-1	\$14,255,556.26	\$2,329,323.49	\$2,909,790.76	\$253,255.26	\$19,747,925.77
2019-2	\$655,035.56	\$38,737.21	\$0.00	\$37,879.15	\$731,651.92
2019-3	\$7,961,386.13	\$1,362,966.15	\$0.00	\$253,255.26	\$9,577,607.54
Report Total:	<u>\$352,496,670.27</u>	<u>\$68,032,544.46</u>	<u>\$109,886,253.95</u>	<u>\$5,550,840.87</u>	<u>\$535,966,309.55</u>

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

Net Commitments Between 07/01/2018 And 06/30/2019

EXHIBIT IV

Loan	First Commitment	Last Commitment	Federal New	State New	Bond New	Federal Repay	State Repay	ARRA New	Row Total
Bangor-2019FR-Odlin Rd Design Loan B	03/11/2019	03/11/2019	\$0.00	\$0.00	\$0.00	\$500,000.00	\$0.00	\$0.00	\$500,000.00
Bangor-2019FR-Odlin Rd Project Loan A	03/11/2019	03/11/2019	\$0.00	\$0.00	\$0.00	\$4,400,000.00	\$0.00	\$0.00	\$4,400,000.00
Berwick Sewer Dist-2018S	07/27/2018	07/27/2018	\$0.00	\$450,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$450,000.00
Calais-2018S loan #1	07/06/2018	07/06/2018	\$0.00	\$400,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$400,000.00
Calais-2018S loan #2	07/06/2018	07/06/2018	\$0.00	\$700,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$700,000.00
East Millinocket-2018FR	09/24/2018	09/24/2018	\$0.00	\$0.00	\$0.00	\$5,241,000.00	\$0.00	\$0.00	\$5,241,000.00
East Millinocket-2018S	09/24/2018	09/24/2018	\$0.00	\$725,642.00	\$0.00	\$0.00	\$0.00	\$0.00	\$725,642.00
FAME-2018FR (Oil Tank Removal)	06/30/2019	06/30/2019	\$0.00	\$0.00	\$0.00	\$369,373.00	\$0.00	\$0.00	\$369,373.00
Hampden-2019FFR	04/23/2019	04/23/2019	\$1,349,870.00	\$0.00	\$0.00	\$9,530.00	\$0.00	\$0.00	\$1,359,400.00
Hartland-2019SR	05/10/2019	05/10/2019	\$0.00	\$0.00	\$0.00	\$0.00	\$1,119,519.00	\$0.00	\$1,119,519.00
Hartland-2019SR (USDA RD)	05/10/2019	09/11/2019	\$0.00	\$0.00	\$0.00	\$0.00	\$1,200,000.00	\$0.00	\$1,200,000.00
Kennebunk Sewer Dist-2019F	02/01/2019	02/01/2019	\$8,000,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$8,000,000.00
Lincolnton Sewer Dist-2018FR	09/14/2018	09/14/2018	\$0.00	\$0.00	\$0.00	\$2,050,000.00	\$0.00	\$0.00	\$2,050,000.00
Livermore Falls-2018SR	08/08/2018	08/08/2018	\$0.00	\$0.00	\$0.00	\$0.00	\$50,000.00	\$0.00	\$50,000.00
Maine Forest Service-2007FR	03/01/2007	09/24/2019	\$0.00	\$0.00	\$0.00	\$3,259,483.00	\$0.00	\$0.00	\$3,259,483.00
Ogunquit Sewer Dist-2018SR	11/13/2018	11/13/2018	\$0.00	\$0.00	\$0.00	\$0.00	\$3,378,579.00	\$0.00	\$3,378,579.00
Portland-2018FFR	07/02/2018	07/02/2018	\$523,193.42	\$0.00	\$0.00	\$10,716,806.58	\$0.00	\$0.00	\$11,240,000.00
Presque Isle Utilities Dist-2017S	10/12/2017	10/11/2018	\$0.00	\$0.00	\$0.00	\$0.00	\$10,000.00	\$0.00	\$10,000.00
PWD-2018SR	08/31/2018	08/31/2018	\$0.00	\$0.00	\$0.00	\$0.00	\$2,200,000.00	\$0.00	\$2,200,000.00
PWD-2019SR	06/01/2019	06/01/2019	\$0.00	\$0.00	\$0.00	\$0.00	\$875,000.00	\$0.00	\$875,000.00
Richmond Utilities Dist-2019SR (FSP)	01/08/2019	01/08/2019	\$0.00	\$0.00	\$0.00	\$0.00	\$50,000.00	\$0.00	\$50,000.00
Rockland-2019F	04/15/2019	08/22/2019	\$2,372,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,372,000.00
Rumford-2018S loan #1	07/20/2018	07/20/2018	\$0.00	\$1,000,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,000,000.00
Rumford-2018S loan #2	07/20/2018	07/20/2018	\$0.00	\$379,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$379,000.00
Southwest Harbor Water & Sewer Dist-2019F	02/21/2019	02/21/2019	\$205,170.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$205,170.00
Veazie Sewer District-2018SSR	09/28/2018	09/28/2018	\$0.00	\$56,771.50	\$0.00	\$0.00	\$313,228.50	\$0.00	\$370,000.00
Veazie Sewer District-2019SR	03/01/2019	03/01/2019	\$0.00	\$0.00	\$0.00	\$0.00	\$40,000.00	\$0.00	\$40,000.00
Vinalhaven-2018SR (CAP)	11/29/2018	11/29/2018	\$0.00	\$0.00	\$0.00	\$0.00	\$20,000.00	\$0.00	\$20,000.00
Vinalhaven-2018SR (FSP)	11/29/2018	11/29/2018	\$0.00	\$0.00	\$0.00	\$0.00	\$7,500.00	\$0.00	\$7,500.00
			\$12,450,233.42	\$3,711,413.50	\$0.00	\$26,546,192.58	\$9,263,826.50	\$0.00	\$51,971,666.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter		Federal Commitment	ARRA Commitment
1990-2			
	Brunswick Sewer Dist-1990A	\$3,113,650.00	\$0.00
	Lisbon-1990S	\$405,000.00	\$0.00
	PWD-1990A	\$2,370,768.00	\$0.00
	Total For Quarter:	\$5,889,418.00	\$0.00
1991-1			
	LAWPCA-1991A	\$1,800,000.00	\$0.00
	Thomaston-1991A	\$478,534.78	\$0.00
	York Sewer District-1991A	\$90,135.44	\$0.00
	Total For Quarter:	\$2,368,670.22	\$0.00
1991-2			
	Bangor-1991A	\$4,375,705.78	\$0.00
	LAWPCA-1991A	\$43,838.00	\$0.00
	LAWPCA-1991A	-\$1,084,914.00	\$0.00
	PWD-1991A-#1(Portland)	\$247,337.00	\$0.00
	PWD-1991A-#2(Westbrook)	\$578,437.00	\$0.00
	Thomaston-1991A	\$476,194.00	\$0.00
	Thomaston-1991A	-\$292,700.58	\$0.00
	York Sewer District-1991A	\$317,483.00	\$0.00
	York Sewer District-1991A	-\$53,203.34	\$0.00
	Total For Quarter:	\$4,608,176.86	\$0.00
1992-3			
	Farmington-1992A	\$3,513,763.00	\$0.00
	Kittery-1992A	\$452,777.39	\$0.00
	Presque Isle Sewer Dist-1992A	\$201,947.19	\$0.00
	South Berwick Sewer Dist-1992A	\$850,946.00	\$0.00
	Total For Quarter:	\$5,019,433.58	\$0.00
1992-4			
	PWD-1992A-#1(Portland)	\$427,608.88	\$0.00
	PWD-1992A-#2(Westbrook)	\$388,661.37	\$0.00
	Total For Quarter:	\$816,270.25	\$0.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter	Federal Commitment	ARRA Commitment
1993-1		
Bath-1992A	\$1,348,680.00	\$0.00
Farmington-1992A	\$866,750.21	\$0.00
Farmington-1992A	-\$1,879,863.21	\$0.00
Kittery-1992A	\$1,529,058.34	\$0.00
Kittery-1992A	-\$253,048.23	\$0.00
LAWPCA-1992A	\$2,475,050.66	\$0.00
Presque Isle Sewer Dist-1992A	\$11,900.17	\$0.00
Presque Isle Sewer Dist-1992A	-\$112,357.36	\$0.00
PWD-1992A-#1(Portland)	\$129,790.76	\$0.00
PWD-1992A-#1(Portland)	-\$237,579.49	\$0.00
PWD-1992A-#2(Westbrook)	\$43,367.11	\$0.00
PWD-1992A-#2(Westbrook)	-\$215,707.06	\$0.00
Westbrook-1992A	\$363,172.50	\$0.00
York Sewer District-1992A	\$2,815,642.50	\$0.00
Total For Quarter:	\$6,884,856.90	\$0.00
1993-2		
Bangor-1993A-TFU-Loan 1	\$349,818.04	\$0.00
Total For Quarter:	\$349,818.04	\$0.00
1993-3		
Brewer-1993F	\$2,363,400.00	\$0.00
Total For Quarter:	\$2,363,400.00	\$0.00
1993-4		
Carrabassett Valley Sani-1993A	\$2,400,000.00	\$0.00
Total For Quarter:	\$2,400,000.00	\$0.00
1994-1		
Bangor-1993A-CSO-Loan 2	\$426,276.99	\$0.00
Bangor-1993A-TFU-Loan 1	\$698,875.63	\$0.00
Bangor-1993A-TFU-Loan 1	-\$166,163.57	\$0.00
Carrabassett Valley Sani-1993A	\$210,740.00	\$0.00
Carrabassett Valley Sani-1993A	-\$1,138,560.00	\$0.00
Rockland-1993A	\$122,360.00	\$0.00
South Berwick Sewer Dist-1993A	\$1,581,475.27	\$0.00
Total For Quarter:	\$1,735,004.32	\$0.00
1994-3		
Fort Fairfield Utilities Dist-1994F	\$443,322.88	\$0.00
Total For Quarter:	\$443,322.88	\$0.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter		Federal Commitment	ARRA Commitment
1995-1			
	Kennebec Sanitary District-1994F	\$80,808.00	\$0.00
	Lincoln Sanitary Dist-1994F	\$50,000.00	\$0.00
	Total For Quarter:	\$130,808.00	\$0.00
1995-2			
	Bangor-1996A	\$1,500,000.00	\$0.00
	Total For Quarter:	\$1,500,000.00	\$0.00
1995-3			
	Augusta Sanitary Dist-1995F	\$415,191.00	\$0.00
	Fort Kent -1995F	\$2,335,000.00	\$0.00
	Saco-1996A	\$4,091,132.89	\$0.00
	Total For Quarter:	\$6,841,323.89	\$0.00
1995-4			
	Old Town-1996A	\$477,321.35	\$0.00
	Orono-1996A	\$446,654.44	\$0.00
	Presque Isle Sewer Dist-1996A	\$267,435.16	\$0.00
	Total For Quarter:	\$1,191,410.95	\$0.00
1996-1			
	Kennebec Sanitary District-1997B	\$3,743,677.08	\$0.00
	Total For Quarter:	\$3,743,677.08	\$0.00
1996-3			
	Bangor-1996A	\$699,312.96	\$0.00
	Bangor-1996A	-\$739,110.00	\$0.00
	Bar Harbor-1997A	\$4,353,431.44	\$0.00
	Hampden-1997A	\$1,500,000.00	\$0.00
	Mt Desert-1996A	\$623,986.02	\$0.00
	Old Town-1996A	-\$34,202.18	\$0.00
	Orono-1996A	\$365,142.80	\$0.00
	Orono-1996A	-\$88,634.11	\$0.00
	Presque Isle Sewer Dist-1996A	\$20,302.85	\$0.00
	Presque Isle Sewer Dist-1996A	-\$133,982.34	\$0.00
	Saco-1996A	\$493,879.32	\$0.00
	Saco-1996A	-\$2,078,295.50	\$0.00
	Total For Quarter:	\$4,981,831.26	\$0.00
1996-4			
	Carrabassett Valley Sani-1996F	\$250,000.00	\$0.00
	Total For Quarter:	\$250,000.00	\$0.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter		Federal Commitment	ARRA Commitment
1997-1			
	Bangor-1997A	\$614,483.09	\$0.00
	Brewer-1997B	\$4,000,000.00	\$0.00
	Total For Quarter:	\$4,614,483.09	\$0.00
1997-2			
	Gardiner-1997A	\$229,278.55	\$0.00
	Total For Quarter:	\$229,278.55	\$0.00
1997-3			
	Augusta Sanitary Dist-1997A	\$433,299.54	\$0.00
	Fort Kent -1997F	\$345,000.00	\$0.00
	Saco-1997FS	\$3,479,398.00	\$0.00
	Total For Quarter:	\$4,257,697.54	\$0.00
1997-4			
	Augusta Sanitary Dist-1997A	\$3,704,306.73	\$0.00
	Augusta Sanitary Dist-1997A	-\$213,216.16	\$0.00
	Bangor-1997A	\$442,473.35	\$0.00
	Bangor-1997A	-\$275,743.04	\$0.00
	Bar Harbor-1997A	\$977,866.28	\$0.00
	Bar Harbor-1997A	-\$1,958,331.96	\$0.00
	Bath-1997A	\$2,736,327.86	\$0.00
	Brewer-1997B	-\$1,254,788.53	\$0.00
	Brewer-1997B	-\$728,931.57	\$0.00
	Gardiner-1997A	\$471,761.04	\$0.00
	Gardiner-1997A	-\$107,584.38	\$0.00
	Hampden-1997A	-\$672,381.18	\$0.00
	Jay-1997FS	\$3,600,000.00	\$0.00
	Kennebec Sanitary District-1997B	-\$610,095.78	\$0.00
	Kennebunkport-1997A	\$354,998.55	\$0.00
	North Haven-1997A	\$166,315.88	\$0.00
	Oakland-1997A	\$233,473.88	\$0.00
	Total For Quarter:	\$6,866,450.97	\$0.00
1998-1			
	Hartland-1997F	\$1,215,500.00	\$0.00
	Total For Quarter:	\$1,215,500.00	\$0.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter		Federal Commitment	ARRA Commitment
1998-3			
	Madawaska-1998F	\$3,639,000.00	\$0.00
	Saco-1997FS	-\$300,000.00	\$0.00
	Total For Quarter:	\$3,339,000.00	\$0.00
1998-4			
	Jay-1997FS	\$600,000.00	\$0.00
	Total For Quarter:	\$600,000.00	\$0.00
1999-1			
	Rangeley-1998FS	\$111,602.15	\$0.00
	Rockland-1999FS	\$7,628,308.61	\$0.00
	Wells Sanitary Dist-1999FRFS	\$1,311,099.47	\$0.00
	Total For Quarter:	\$9,051,010.23	\$0.00
1999-2			
	Brewer-1999FRF	\$318,856.94	\$0.00
	Total For Quarter:	\$318,856.94	\$0.00
1999-3			
	Belfast-1999FS	\$400,000.00	\$0.00
	Lincoln Sanitary Dist-1999FS	\$1,892,360.00	\$0.00
	Total For Quarter:	\$2,292,360.00	\$0.00
1999-4			
	Freeport Sewer Dist-1999FS	\$1,000,000.00	\$0.00
	Pittsfield-1999FS	\$394,400.00	\$0.00
	Pittsfield-1999FS Interim Payoff	\$37,600.00	\$0.00
	PWD-1999FS #1	\$1,246,292.09	\$0.00
	PWD-1999FS #2	\$998,707.91	\$0.00
	PWD-2003C-#2(1999F #3)	\$955,000.00	\$0.00
	Total For Quarter:	\$4,632,000.00	\$0.00
2000-1			
	Veazie Sewer District-1999FS	\$472,000.00	\$0.00
	Wells Sanitary Dist-1999FRFS	\$3,200,000.00	\$0.00
	Total For Quarter:	\$3,672,000.00	\$0.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter	Federal Commitment	ARRA Commitment
2000-2		
Auburn Sewerage Dist-2000FS	\$797,600.00	\$0.00
Augusta Sanitary Dist-2000FS	\$1,200,000.00	\$0.00
Bar Harbor-2000FS-1	\$220,000.00	\$0.00
Bar Harbor-2000FS-2 RD Payoff	\$692,000.00	\$0.00
Belfast-1999FS	\$520,000.00	\$0.00
Brewer-1999FRF	\$373,593.36	\$0.00
Gardiner-2000FFRS	\$632,000.00	\$0.00
Total For Quarter:	\$4,435,193.36	\$0.00
2000-3		
Freeport Sewer Dist-1999FS	-\$92,000.00	\$0.00
Orono-2000FSR	\$659,619.23	\$0.00
Total For Quarter:	\$567,619.23	\$0.00
2001-2		
Gardiner-2000FFRS	-\$40,456.73	\$0.00
Total For Quarter:	-\$40,456.73	\$0.00
2001-3		
Auburn Sewerage Dist-2001FSFR	\$460,000.00	\$0.00
Augusta Sanitary Dist-2000FS	\$10,064,687.56	\$0.00
Skowhegan-2001FS	\$3,840,000.00	\$0.00
Veazie Sewer District-1999FS	\$772,800.00	\$0.00
Wells Sanitary Dist-1999FRFS	-\$300,000.00	\$0.00
Total For Quarter:	\$14,837,487.56	\$0.00
2001-4		
PWD-2003A-#1(Port&West)	\$5,184,274.17	\$0.00
Total For Quarter:	\$5,184,274.17	\$0.00
2002-1		
LAWPCA-2001FS	\$1,680,421.64	\$0.00
Paris Utility Dist-2001FS	\$100,000.00	\$0.00
Veazie Sewer District-1999FS	\$104,000.00	\$0.00
Vinalhaven-2001FS	\$320,000.00	\$0.00
Warren Sanitary Dist-2001FFR-#1-DIST	\$280,000.00	\$0.00
Warren Sanitary Dist-2001FFR-#2-DOC	\$720,000.00	\$0.00
Total For Quarter:	\$3,204,421.64	\$0.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter	Federal Commitment	ARRA Commitment
2002-2		
Augusta Sanitary Dist-2000FS	-\$2,121,138.00	\$0.00
Belfast-2002FS	\$560,000.00	\$0.00
Falmouth-2002FS	\$300,000.00	\$0.00
Penobscot Indian Nation-2002F	\$325,000.00	\$0.00
Total For Quarter:	-\$936,138.00	\$0.00
2002-3		
York Sewer District-2002FS	\$510,400.00	\$0.00
Total For Quarter:	\$510,400.00	\$0.00
2002-4		
Bethel(2002FS)-2003C	\$800,000.00	\$0.00
Machias-2002FS-Project	\$164,000.00	\$0.00
Total For Quarter:	\$964,000.00	\$0.00
2003-1		
Caribou Utilities District-2002FS	\$400,000.00	\$0.00
Fairfield-2003A	\$500,000.00	\$0.00
Old Town-2002FS #1	\$1,710,000.00	\$0.00
Old Town-2002FS #2	\$1,406,000.00	\$0.00
Warren Sanitary Dist-2001FFR-#1-DIST	\$3,533.52	\$0.00
Warren Sanitary Dist-2001FFR-#2-DOC	\$9,086.18	\$0.00
Winterport Sewer Dist-2003C	\$555,680.00	\$0.00
Total For Quarter:	\$4,584,299.70	\$0.00
2003-2		
Mt Desert-2003FFRS	\$238,400.00	\$0.00
Vinalhaven-2001FS	\$672,344.00	\$0.00
Total For Quarter:	\$910,744.00	\$0.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter	Federal Commitment	ARRA Commitment
2003-3		
Bangor-2003A	\$1,781,368.93	\$0.00
Bath-2003A	\$1,354,598.20	\$0.00
Fairfield-2003A	-\$38,749.82	\$0.00
Fairfield-2003A	-\$142,366.62	\$0.00
Jay-1997FS	-\$263,046.86	\$0.00
Jay-2003A	\$195,180.77	\$0.00
Kittery-2003A	\$876,910.18	\$0.00
Limerick-2003FS	\$108,000.00	\$0.00
Madawaska-1998F	-\$33,234.56	\$0.00
PWD-2003A-#1(Port&West)	\$249,784.69	\$0.00
PWD-2003A-#1(Port&West)	-\$1,765,400.00	\$0.00
York Sewer District-2003A	\$3,436,704.30	\$0.00
Total For Quarter:	\$5,759,749.21	\$0.00
2003-4		
Presque Isle Sewer Dist-2003FSFR	\$957,000.00	\$0.00
Total For Quarter:	\$957,000.00	\$0.00
2004-1		
Belfast-2003C	\$441,609.30	\$0.00
Bethel(2002FS)-2003C	-\$440,655.53	\$0.00
Bethel(2002FS)-2003C	-\$70,640.40	\$0.00
Camden-2003C	\$457,029.72	\$0.00
Caribou Utilities District-2003FFR	\$618,840.54	\$0.00
East Millinocket(2001FR)-2003C	-\$305,105.58	\$0.00
East Millinocket-2003F	\$12,000.00	\$0.00
Kennebunkport-2003C	\$651,555.97	\$0.00
Old Town-2002FS #1	-\$369,709.89	\$0.00
PWD-2003-#3(West&Gorham)	\$226,175.00	\$0.00
PWD-2003C-#1(2001FFR-2)	-\$22,115.67	\$0.00
PWD-2003C-#2(1999F #3)	-\$113,099.60	\$0.00
PWD-2003C-#2(1999F #3)	-\$45,500.00	\$0.00
Randolph(2000FRS)2003C	-\$601,887.35	\$0.00
Sanford Sewerage Dist-2003C	\$9,312,428.68	\$0.00
Wells Sanitary Dist-2003C	\$456,664.09	\$0.00
Winterport Sewer Dist-2003C	-\$81,691.58	\$0.00
Winterport Sewer Dist-2003C	-\$109,335.92	\$0.00
Total For Quarter:	\$10,016,561.78	\$0.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter		Federal Commitment	ARRA Commitment
2004-3			
	Richmond Utilities District-2003C	\$651,862.29	\$0.00
	Total For Quarter:	\$651,862.29	\$0.00
2005-1			
	Auburn Sewerage Dist-2004FS	\$1,000,000.00	\$0.00
	PWD-2004FS	\$1,659,205.20	\$0.00
	Skowhegan-2004FS	\$5,384,000.00	\$0.00
	Total For Quarter:	\$8,043,205.20	\$0.00
2005-2			
	Bangor-2005FFR	\$1,805,409.84	\$0.00
	Total For Quarter:	\$1,805,409.84	\$0.00
2006-1			
	Bethel(2002FS)-2003C	-\$138,865.90	\$0.00
	Kennebunk Sewer Dist-2005FS	\$688,000.00	\$0.00
	PWD-2005FS	\$1,520,000.00	\$0.00
	Total For Quarter:	\$2,069,134.10	\$0.00
2006-2			
	Wells Sanitary Dist-2006FS	\$1,840,000.00	\$0.00
	Total For Quarter:	\$1,840,000.00	\$0.00
2006-3			
	Anson-Madison Sanitary District-2006FS	\$752,620.00	\$0.00
	Total For Quarter:	\$752,620.00	\$0.00
2006-4			
	Falmouth-2006FFR	\$3,331,013.90	\$0.00
	Total For Quarter:	\$3,331,013.90	\$0.00
2007-1			
	PWD-2006FS	\$100,000.00	\$0.00
	Total For Quarter:	\$100,000.00	\$0.00
2007-2			
	Yarmouth-2007FS	\$1,225,825.60	\$0.00
	Total For Quarter:	\$1,225,825.60	\$0.00

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Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter	Federal Commitment	ARRA Commitment
2007-3		
Bangor-2007FS	\$1,600,000.00	\$0.00
Bucksport-2007FS	\$2,019,856.40	\$0.00
Camden-2007FS	\$708,000.00	\$0.00
Machias-2007FS	\$457,680.00	\$0.00
North Berwick Sanitary Dist-2007FS	\$289,680.00	\$0.00
Sanford Sewerage Dist-2007FFR	\$76,270.00	\$0.00
Total For Quarter:	\$5,151,486.40	\$0.00
2008-1		
Saint Agatha-2007FS	\$240,000.00	\$0.00
Skowhegan-2004FS	-\$75,000.00	\$0.00
Total For Quarter:	\$165,000.00	\$0.00
2008-2		
Houlton Water Co-2008FS-CW	\$508,300.00	\$0.00
Presque Isle Sewer Dist-2008FS	\$2,360,000.00	\$0.00
Total For Quarter:	\$2,868,300.00	\$0.00
2008-3		
Bangor-2008FS	\$1,600,000.00	\$0.00
Bath-2008FS	\$1,120,000.00	\$0.00
Berwick Sewer Dist-2008F	\$600,000.00	\$0.00
Greater Augusta Utility Dist-2008FFR	\$745,433.00	\$0.00
Millinocket-2008FS	\$839,315.00	\$0.00
Total For Quarter:	\$4,904,748.00	\$0.00
2009-1		
Starks-2008FS	\$120,000.00	\$0.00
Total For Quarter:	\$120,000.00	\$0.00
2009-2		
Houlton Water Co-2008FS-CW	-\$215,375.00	\$0.00
PWD-2009FS	\$1,000,000.00	\$0.00
York Sewer District-2009FS	\$535,515.00	\$0.00
Total For Quarter:	\$1,320,140.00	\$0.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter	Federal Commitment	ARRA Commitment
2009-3		
Auburn Sewerage Dist-2009ARRA	\$0.00	\$2,345,000.00
Auburn Sewerage Dist-2009FS	\$448,775.00	\$0.00
Biddeford-2009ARRA	\$0.00	\$2,754,000.00
Ellsworth-2009ARRA-CW	\$0.00	\$2,000,000.00
Guilford-Sangerville Sanitary Dist-2009FS	\$480,000.00	\$0.00
Limestone Water & Sewer Dist-2009ARRA	\$0.00	\$3,000,000.00
Machias-2007FS	-\$233,400.00	\$0.00
Oakland-2009ARRA	\$0.00	\$1,759,461.00
Portland-2009ARRA	\$0.00	\$3,000,000.00
Total For Quarter:	<u>\$695,375.00</u>	<u>\$14,858,461.00</u>
2009-4		
Bangor-2009ARRA-Loan 1	\$0.00	\$1,960,000.00
Bath-2009ARRA	\$0.00	\$57,488.00
Belfast-2009ARRA	\$0.00	\$2,710,000.00
Brewer-2009ARRA	\$0.00	\$750,877.00
Fairfield-2009ARRA	\$0.00	\$753,413.00
Hampden-2009FS	\$1,480,000.00	\$0.00
Lewiston-2009ARRA-CW	\$0.00	\$916,000.00
Long Creek Watershed Mngmnt Dist-2009ARRA	\$0.00	\$492,379.00
Loring Development Auth of ME-2009ARRA	\$0.00	\$860,000.00
Winterport Water Dist-2009ARRA-1	\$0.00	\$500,000.00
Total For Quarter:	<u>\$1,480,000.00</u>	<u>\$9,000,157.00</u>
2010-1		
Bangor-2009ARRA-Loan 2	\$0.00	\$1,040,000.00
Machias-2009ARRA	\$0.00	\$1,186,994.00
Portland-2009FFR	\$6,341,421.00	\$0.00
South Portland-2009ARRA	\$0.00	\$749,716.00
Winterport Water Dist-2009ARRA-2	\$0.00	\$685,379.00
Total For Quarter:	<u>\$6,341,421.00</u>	<u>\$3,662,089.00</u>
2010-2		
Long Creek Watershed Mngmnt Dist-2009ARRA	\$0.00	\$1,602,621.00
Total For Quarter:	<u>\$0.00</u>	<u>\$1,602,621.00</u>
2010-3		
Greater Augusta Utility Dist-2010FFR	\$67,000.00	\$0.00
Total For Quarter:	<u>\$67,000.00</u>	<u>\$0.00</u>

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter	Federal Commitment	ARRA Commitment
2011-1		
Biddeford-2010FS	\$970,732.00	\$0.00
Calais-2010FS-CW	\$1,810,400.00	\$0.00
Freeport Sewer Dist-2010FS	\$533,600.00	\$0.00
PWD-2010FS	\$2,400,000.00	\$0.00
Total For Quarter:	\$5,714,732.00	\$0.00
2011-2		
Ellsworth-2011FS-#1-CW	\$2,800,000.00	\$0.00
Ellsworth-2011FS-#2-CW	\$640,000.00	\$0.00
Limestone Water & Sewer Dist-2011FS	\$816,000.00	\$0.00
Machias-2011FS	\$516,000.00	\$0.00
Total For Quarter:	\$4,772,000.00	\$0.00
2011-3		
Brewer-2011FS	\$163,001.00	\$0.00
Portland-2011FFR	\$4,492,347.00	\$0.00
Total For Quarter:	\$4,655,348.00	\$0.00
2011-4		
Greater Augusta Utility Dist-2011(F)FRSR	\$51,533.33	\$0.00
Total For Quarter:	\$51,533.33	\$0.00
2012-1		
Machias-2011F	\$500,000.00	\$0.00
Portland-2011FS	\$5,836,000.00	\$0.00
PWD-2010FS	-\$2,400,000.00	\$0.00
PWD-2011FS	\$2,160,000.00	\$0.00
Total For Quarter:	\$6,096,000.00	\$0.00
2012-2		
Washburn Water & Sewer Dist-2012FS	\$262,400.00	\$0.00
Wells Sanitary Dist-2012FS	\$996,000.00	\$0.00
Total For Quarter:	\$1,258,400.00	\$0.00
2012-4		
Fairfield-2012FS	\$299,040.00	\$0.00
Houlton-2012FS	\$775,060.00	\$0.00
Presque Isle Sewer Dist-2012FS	\$96,000.00	\$0.00
Total For Quarter:	\$1,170,100.00	\$0.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter		Federal Commitment	ARRA Commitment
2013-1			
	Biddeford-2012FS	\$1,516.07	\$0.00
	Dixfield-2012F	\$147,000.00	\$0.00
	Ellsworth-2012F-CW	\$862,800.00	\$0.00
	Total For Quarter:	\$1,011,316.07	\$0.00
2013-4			
	Ellsworth-2011FS-#2-CW	-\$640,000.00	\$0.00
	Total For Quarter:	-\$640,000.00	\$0.00
2014-1			
	Sanford Sewerage Dist-2013FSSR	\$374,808.00	\$0.00
	Skowhegan-2013FFR	\$97,779.39	\$0.00
	Southwest Harbor-2013FS-CW	\$366,652.00	\$0.00
	Total For Quarter:	\$839,239.39	\$0.00
2014-3			
	Calais-2014FS-CW	\$254,156.00	\$0.00
	Dixfield-2014FS	\$91,663.00	\$0.00
	Eagle Lake Water & Sewer Dist-2014FS	\$193,326.00	\$0.00
	Houlton Water Co-2014FS-CW	\$155,827.00	\$0.00
	Total For Quarter:	\$694,972.00	\$0.00
2014-4			
	Freeport Sewer Dist-2014FS	\$593,450.00	\$0.00
	Houlton-2012FS	-\$188,825.00	\$0.00
	Total For Quarter:	\$404,625.00	\$0.00
2015-1			
	Bangor-2014FS	\$2,083,250.00	\$0.00
	Sorrento-2014FS	\$83,500.49	\$0.00
	Total For Quarter:	\$2,166,750.49	\$0.00
2015-2			
	Calais-2015FS-CW	\$282,200.00	\$0.00
	Total For Quarter:	\$282,200.00	\$0.00
2015-3			
	LAWPCA-2015FS	\$2,499,900.00	\$0.00
	Lubec-2015FS	\$83,330.00	\$0.00
	Pittsfield-2015FS	\$370,818.50	\$0.00
	Sanford Sewerage Dist-2015FS	\$2,194,520.00	\$0.00
	York Sewer Dist-2016FR(2015FFR)	\$393,085.64	\$0.00
	Total For Quarter:	\$5,541,654.14	\$0.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter		Federal Commitment	ARRA Commitment
2015-4			
	Kittery-2015F(FR)	\$11,565,397.76	\$0.00
	South Portland-2015F	\$3,500,000.00	\$0.00
	Total For Quarter:	\$15,065,397.76	\$0.00
2016-1			
	Kennebunk Sewer Dist-2015(F)FR	\$748,987.94	\$0.00
	Portland-2015F(FR)	\$3,001,012.06	\$0.00
	PWD-2015F-#1(Westbrook)-CW	\$1,000,000.00	\$0.00
	PWD-2015F-#2(East End)-CW	\$2,000,000.00	\$0.00
	Total For Quarter:	\$6,750,000.00	\$0.00
2016-2			
	Biddeford-2016FFR	\$4,235.73	\$0.00
	Brunswick Sewer Dist-2016FFR	\$8,261,014.30	\$0.00
	Madawaska-2015F(2014FR)	\$525,000.00	\$0.00
	Total For Quarter:	\$8,790,250.03	\$0.00
2016-3			
	Newport Sanitary District-2016FS	\$26,000.00	\$0.00
	PWD-2016FS-#1(Falmouth)	\$1,688,484.67	\$0.00
	Total For Quarter:	\$1,714,484.67	\$0.00
2016-4			
	PWD-2016F (Fore River)	\$1,200,000.00	\$0.00
	Total For Quarter:	\$1,200,000.00	\$0.00
2017-2			
	North Haven-2016F	\$450,000.00	\$0.00
	PWD-2017F-#2(Falmouth)	\$880,000.00	\$0.00
	Total For Quarter:	\$1,330,000.00	\$0.00
2017-3			
	Baileyville-2017FFR	\$2,500,000.00	\$0.00
	Eliot-2017F	\$1,700,000.00	\$0.00
	Machias-2017F	\$950,000.00	\$0.00
	PWD-2017F-#3(East End)-CW	\$1,900,000.00	\$0.00
	Total For Quarter:	\$7,050,000.00	\$0.00
2017-4			
	Calais-2017FS	\$16,355.00	\$0.00
	Freeport Sewer Dist-2017F	\$802,925.00	\$0.00
	Paris-2017F	\$730,000.00	\$0.00
	Total For Quarter:	\$1,549,280.00	\$0.00

Maine Municipal Bond Bank

Clean Water State Revolving Loan Fund

EXHIBIT V continued

Federal Commitments By Quarter Reported As Of 06/30/2019

Quarter		Federal Commitment	ARRA Commitment
2018-2			
	Bath-2018F	\$2,000,000.00	\$0.00
	Kennebunkport-2017F loan #1	\$1,700,000.00	\$0.00
	Kennebunkport-2017F loan #2	\$300,000.00	\$0.00
	Mechanic Falls Sanitary Dist-2018F	\$305,000.00	\$0.00
	PWD-2018F	\$1,000,000.00	\$0.00
	Total For Quarter:	\$5,305,000.00	\$0.00
2018-3			
	LAWPCA-2018F	\$475,000.00	\$0.00
	Millinocket-2018F loan A	\$2,200,000.00	\$0.00
	Millinocket-2018F loan B	\$805,000.00	\$0.00
	Paris-2017F	-\$378,790.42	\$0.00
	Winter Harbor Utilities District-2018F	\$923,077.00	\$0.00
	Total For Quarter:	\$4,024,286.58	\$0.00
2018-4			
	Portland-2018FFR	\$523,193.42	\$0.00
	Total For Quarter:	\$523,193.42	\$0.00
2019-2			
	Kennebunk Sewer Dist-2019F	\$8,000,000.00	\$0.00
	Southwest Harbor Water & Sewer Dist-2019F	\$205,170.00	\$0.00
	Total For Quarter:	\$8,205,170.00	\$0.00
2019-3			
	Hampden-2019FFR	\$1,349,870.00	\$0.00
	Rockland-2019F	\$2,372,000.00	\$0.00
	Total For Quarter:	\$3,721,870.00	\$0.00
	Report Total:	\$285,741,559.68	\$29,123,328.00

Clean Water State Revolving Loan Fund

2018-MMBB CWSRF Admin D

EXHIBIT VI

Draw Summary Between The Dates Of 8/6/2018 And 6/30/2019

Construction Fund Statistics		
Beginning Balance	\$49,696.00	The figures to the left are reflective of construction fund balances as of the dates indicated on this report.
Funds Drawn	\$49,696.00	
Available	<u>\$0.00</u>	

Draw	Date	Grant	Draw Amounts	Drawn To Date	Notes
1 - ADM	1/23/2019				
		F2018D-CW	\$48,635.83		MMBB SRF Admin Fees - December 2018
			<u>\$48,635.83</u>	\$48,635.83	
2 - ADM	2/19/2019				
		F2018D-CW	\$1,060.17		MMBB SRF Admin Fees - Jan. 2019, balance due of \$12,939.38 to be drawn from BB NONFed Fees
			<u>\$1,060.17</u>	\$49,696.00	

Clean Water State Revolving Loan Fund

2018-DEP CWSRF Admin D

EXHIBIT VI continued

Draw Summary Between The Dates Of 8/6/2018 And 6/30/2019

Construction Fund Statistics		
Beginning Balance	\$447,264.00	The figures to the left are reflective of construction fund balances as of the dates indicated on this report.
Funds Drawn	\$210,000.00	
Available	<u>\$237,264.00</u>	

Draw	Date	Grant	Draw Amounts	Drawn To Date	Notes
1 - ADM	4/23/2019				
		F2018D-CW	\$210,000.00		CW-DEP-2018-ADMIN-1
			<u>\$210,000.00</u>	\$210,000.00	

Clean Water SRF Reporting Additional Subsidy for Maine

EXHIBIT VII-1

Report Generated: 9/19/2019 -- Data Last Modified: 9/19/2019 2:43:00 PM

Tracking Number	Recipient	1st Agreement Date in Selected	Assistance Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Project Population Served	GPR Amount
C230092-04	Hartland, Town of	5/10/2019	1,119,519	0	0	650,000	813	0
C230094-03	Livermore Falls, Town of	8/8/2018	50,000	0	0	50,000	1,594	0
C230140-14	Presque Isle Utilities District	10/11/2018	10,000	0	0	10,000	0	0
C230148-04	East Millinocket, Town of	9/24/2018	725,642	0	0	473,700	1,640	0
C230175-03	Richmond Utilities District	1/8/2019	50,000	0	0	50,000	3,400	0
C230253-12A	Calais, City of	7/6/2018	700,000	0	0	517,720	2,900	0
C230253-12B	Calais, City of	7/6/2018	400,000	0	0	297,142	2,900	0
C230263-04	Vinalhaven, Town of	11/29/2018	27,500	0	0	27,500	1,140	20,000
C230324-01B	Rumford, Town of	7/20/2018	379,000	0	0	70,000	5,700	20,000
C230326-01	Southwest Harbor Water & Sewer District	2/21/2019	205,170	0	0	5,000	1,770	0
Total for all 10 Agreements			3,666,831	0	0	2,151,062	21,857	40,000

Clean Water SRF Reporting

Additional Subsidy for Maine - 2015 Cap Grant

Report Generated: 9/20/2019 -- Data Last Modified: 9/20/2019 2:20:00 PM

Tracking Number	Recipient	1st Agreement Date in Selected	Assistance Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Project Population Served	GPR Amount
C230076-04B	Kennebunkport, Town of	1/4/2018	300,000	0	0	40,000	3,585	20,000
C230092-04	Hartland, Town of	5/10/2019	1,119,519	0	0	650,000	813	0
C230148-04	East Millinocket, Town of	9/24/2018	725,642	0	0	365,700	1,640	0
C230150-03	Newport Sanitary District	5/11/2016	1,027,000	0	0	610,000	2,000	0
C230171-03	Norway, Town of	12/28/2016	111,840	0	0	61,198	100	0
C230189-03	Brownville, Town of	5/16/2016	959,000	0	0	630,000	700	166,000
C230199-03	Ashland Water & Sewer District	11/1/2017	990,200	0	0	282,502	1,238	0
C230322-01	Winter Harbor Utilities District	4/26/2018	923,077	0	0	600,000	200	0
Total for all 8 Agreements			6,156,278	0	0	3,239,400	10,276	186,000

Clean Water SRF Reporting

Additional Subsidy for Maine - 2017 Cap Grant

Report Generated: 9/19/2019 -- Data Last Modified: 9/19/2019 4:51:00 PM

Tracking Number	Recipient	1st Agreement Date in Selected	Assistance Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Project Population Served	GPR Amount
C230043-07	Bath, City of	3/9/2018	2,000,000	0	0	1,070,000	500	270,000
C230094-03	Livermore Falls, Town of	8/8/2018	50,000	0	0	50,000	1,594	0
C230107-05	Mechanic Falls Sanitary District	2/19/2018	305,000	0	0	152,000	1,900	0
C230125-04B	Millinocket, Town of	5/16/2018	805,000	0	0	5,000	4,300	0
C230140-14	Presque Isle Utilities District	10/12/2017	483,000	0	0	10,000	5,950	0
C230148-04	East Millinocket, Town of	9/24/2018	725,642	0	0	108,000	1,640	0
C230157-08	Lincoln Sanitary District	3/8/2018	20,000	0	0	20,000	4,964	0
C230175-03	Richmond Utilities District	1/8/2019	50,000	0	0	50,000	3,400	0
C230253-12A	Calais, City of	7/6/2018	700,000	0	0	517,720	2,900	0
C230253-12B	Calais, City of	7/6/2018	400,000	0	0	297,142	2,900	0
C230263-04	Vinalhaven, Town of	11/29/2018	27,500	0	0	27,500	1,140	20,000
C230322-02	Winter Harbor Utilities District	4/23/2018	7,500	0	0	7,500	200	0
C230324-01B	Rumford, Town of	7/20/2018	379,000	0	0	70,000	5,700	0
C230326-01	Southwest Harbor Water & Sewer District	2/21/2019	205,170	0	0	5,000	1,770	0
Total for all 14 Agreements			6,157,812	0	0	2,389,862	38,858	290,000

MAINE - ADDITIONAL SUBSIDIES
Supplement to CWSRF Annual Report for Fiscal Year 2019
Disbursements as of June 30, 2019

Capitalization Grant Year and Minimum Additional Subsidy	Loan No. and Borrower	Additional Subsidy Provided	Loan Disbursements	Subsidy Disbursed	Loan Disbursements in Excess of Minimum Additional Subsidy
2014					
	C230240-06, City of Biddeford (\$655,197 loan)	\$345,289	\$0.00	\$0.00	
	C230253-10, City of Calais (\$340,000 loan)	\$340,000	\$321,173.49	\$321,173.49	
	C230407-01, Town of Sorrento (\$100,603 loan)	\$100,603	\$100,603.00	\$100,603.00	
	C230117-02, City of South Portland (\$3,500,000 loan)	\$100,000	\$3,500,000.00	\$100,000.00	
\$590,595		\$885,892	\$3,921,776.49	\$521,776.49	No
2016					
	C230199-03, Ashland Water & Sewer District (\$990,200 loan; note: AdSub is split between 2015 (\$282,503) and 2016 (\$348,738), therefore only draws more than \$282,503 will be credited to 2016.)	\$348,738	\$192,590.18	\$0.00	
	C230253-11, City of Calais (\$431,000 - loan)	\$345,231	\$285,072.87	\$285,072.87	
	C230183-05, City of Eastport (\$1,000,000 - no loan yet)	\$786,800	\$0.00	\$0.00	
	C230102-03, Fort Fairfield Utilities District (\$1,332,467 - no loan yet)	\$1,070,000	\$0.00	\$0.00	
	C230093-08, Town of Machias (\$950,000 loan)	\$466,830	\$665,092.02	\$466,830.00	
	C230108-04, City of Rockland (\$2,391,999 loan)	\$70,000	\$0.00	\$0.00	
	C230178-03, Vassalboro Sanitary District (\$2,090,000 - loan)	\$1,049,600	\$0.00	\$0.00	
\$1,034,300		\$4,137,199	\$1,142,755.07	\$751,902.87	No
2017					
	C230043-07, City of Bath (\$2,070,000 loan)	\$1,070,000	\$115,521.15	\$115,521.15	
	C230253-12, City of Calais (\$1,100,000 loan) A & B	\$814,862	\$91,974.05	\$91,974.05	
	C230148-04, Town of East Millinocket (\$725,642 loan)	\$108,000	\$524,796.74	\$108,000.00	
	C230102-04, Fort Fairfield Utilities District (\$1,050,000 - no loan yet)	\$1,050,000	\$0.00	\$0.00	
	C230107-05, Mechanic Falls Sanitary District (\$305,000 loan)	\$152,000	\$223,550.80	\$152,000.00	
	C230125-04B, Town of Millinocket (\$805,000 loan)	\$5,000	\$214,841.11	\$5,000.00	
	C230140-14, Presque Isle Utilities District (\$483,000 loan)	\$10,000	\$468,786.92	\$10,000.00	

Capitalization Grant Year and Minimum Additional Subsidy	Loan No. and Borrower	Additional Subsidy Provided	Loan Disbursements	Subsidy Disbursed	Loan Disbursements in Excess of Minimum Additional Subsidy
	C230324-01, Town of Rumford (1,379,000 loan) A & B	\$70,000	\$0.00	\$0.00	
	C230326-01, Southwest Harbor Water & Sewer District (\$205,170 loan)	\$5,000	\$195,170.00	\$5,000.00	
	C230322-02, Winter Harbor Utilities District (\$7,500 loan)	\$7,500	\$300.00	\$300.00	
	C230157-08, Lincoln Sanitary District (\$20,000 loan)	\$20,000	\$20,000.00	\$20,000.00	
	C230094-03, Town of Livermore Falls (\$50,000 loan)	\$50,000	\$5,550.00	\$5,550.00	
	C230263-04, Town of Vinalhaven (\$27,500 loan)	\$27,500	\$0.00	\$0.00	
	C230175-03, Richmond Utilities District (\$50,000 loan)	\$50,000	\$0.00	\$0.00	
\$1,026,300		\$3,439,862	\$1,391,703.85	\$503,345.20	No
2018					
	C230071-12, City of Bangor (\$3,180,000 -no loan yet)	\$1,030,000	\$0.00	\$0.00	
	C230133-02, Town of Bridgton (\$2,080,000 - no loan yet)	\$1,050,000	\$0.00	\$0.00	
	C230156-06, Town of Hampden (\$1,409,000 loan)	\$50,000	\$0.00	\$0.00	
	C230092-05, Town of Hartland (\$1,152,483 - no loan yet)	\$981,800	\$0.00	\$0.00	
	C230094-04, Town of Livermore Falls (\$1,008,032 loan)	\$702,800	\$0.00	\$0.00	
	C230136-05, Town of Madawaska (\$1,745,000 - no loan yet)	1,50,000	\$0.00	\$0.00	
	C230140-15, Presque Isle Utilities District (\$805,600 - no loan yet)	\$20,000	\$0.00	\$0.00	
	C230116-02, Town of Washburn (\$15,000 - no loan yet)	\$15,000	\$0.00	\$0.00	
\$1,242,400		\$3,849,600	\$0	\$0.00	No

* Loans identified as "no loan" are projects listed on Project Priority Lists that have accepted the offers for additional subsidy in the form of loan principal forgiveness, but did not enter into a binding commitment by the end of the fiscal year.

Clean Water SRF Reporting GPR Funding for Maine

EXHIBIT VIII-1

Report Generated: 9/19/2019 -- Data Last Modified: 9/19/2019 2:43:00 PM

Tracking Number	Recipient	Initial Loan Date	Total Assistance Amount	GPR Amount	Green Infrastructure	Energy Efficiency	Water Efficiency	Green Innovative	Amount of add'l subsidy provided to fund this GPR
EPA Region: 1									
C230108-04	Rockland, City of	4/15/2019	2,372,000	20,000	0	0	0	20,000	0
C230156-06	Hampden, Town of	4/23/2019	1,359,400	20,000	0	0	0	20,000	0
C230263-04	Vinalhaven, Town of	11/29/2018	27,500	20,000	0	0	0	20,000	0
C230324-01B	Rumford, Town of	7/20/2018	379,000	20,000	0	0	0	20,000	0
MFS-19	Maine Forest Service	6/16/2019	3,259,483	3,259,483	0	0	0	3,259,483	0
Total for EPA Region: 1 (5 Agreements)			7,397,383	3,339,483	0	0	0	3,339,483	0
Total for all 5 Agreements			7,397,383	3,339,483	0	0	0	3,339,483	0

Clean Water SRF Reporting GPR Funding for Maine - 2018 Cap Grant

EXHIBIT VIII-2

Report Generated: 9/19/2019 -- Data Last Modified: 9/19/2019 4:51:00 PM

Tracking Number	Recipient	Initial Loan Date	Total Assistance Amount	GPR Amount	Green Infrastructure	Energy Efficiency	Water Efficiency	Green Innovative	Amount of add'l subsidy provided to fund this GPR
EPA Region: 1									
C230156-06	Hampden, Town of	4/23/2019	1,359,400	20,000	0	0	0	20,000	0
MFS-18	Maine Forest Service	6/29/2018	4,137,510	4,137,510	0	0	0	4,137,510	0
Total for EPA Region: 1 (2 Agreements)			5,496,910	4,157,510	0	0	0	4,157,510	0
Total for all 2 Agreements			5,496,910	4,157,510	0	0	0	4,157,510	0