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January 28, 2025

Commissioner Melanie Loyzim Maine Department of Environmental Protection State of Maine 17 State House Station Augusta, Maine 04333

Submitted via email: rulecomments.dep@maine.gov

Re: Posting Draft Proposed Rule, Chapter 90: Products Containing Perfluoroalkyl and Polyfluoroalkyl Substances (December 20, 2024)

Dear Commissioner Loyzim:

AGC Chemicals Americas Inc. ("AGCCA") and its parent company, AGC America, Inc., (together, "AGC") appreciates this opportunity to provide comments on the Maine Department of Environmental Protection (DEP) "Posting Draft Chapter 90: Products Containing Perfluoroalkyl and Polyfluoroalkyl Substances" (December 20, 2024) (hereafter "Proposed Regulations"). AGCCA manufactures and supplies a range of specialized industrial chemicals and materials, including resins, coatings, films and membranes, that are incorporated into a wide range of products essential to the daily lives of Maine residents and businesses.

We greatly appreciate the efforts that have been undertaken to date by the Department of Environmental Protection ("DEP") to implement LD 1537, PL 630 (adopted April 2024) which amended Maine's precedent-setting PFAS in products law. As outlined below, we believe further refinements are needed to ensure that Maine businesses and residents continue to have access to a range of products that are essential to their daily lives and continued success.

In addition to these written comments, we have also attached a red-lined version of the Proposed Regulations, incorporating many of our suggested changes (Attachment 1).

The Regulations Should Include An Exemption Or Categorical "Currently Unavoidable Use" ("CUU") Determination For Fluoropolymers

As discussed in greater detail in our March 1, 2024, submission to DEP (Attachment 2), although fluoropolymers fall within the extremely broad definition of "PFAS" used in 38 M.R.S. § 1614(1) they are far different from the problematic PFAS chemicals, such as PFOA and PFOS, that have been found in drinking water, groundwater and biosolids. Unlike other PFAS chemicals, fluoropolymers are not soluble in water, nor do they degrade into smaller, water-soluble molecules - so they cannot enter drinking water or groundwater or migrate easily in the environment. Also, fluoropolymers are not bioavailable (i.e., they do not cross cell membranes) nor do they degrade to smaller, bioavailable molecules, so they do not present toxicity concerns associated with PFAS chemicals of concern. Indeed, peer-reviewed studies demonstrate that, because of these and other characteristics, fluoropolymers satisfy internationally-recognized criteria for being "Polymers of Low Concern" (PLC) – that is, polymers deemed to have insignificant environmental and human health impacts.¹

In addition to being of low concern with respect to potential health and environmental impacts, fluoropolymers possess a unique combination of properties such as resistance to extreme temperatures and harsh chemicals, mechanical resilience and resistance to degradation, low dielectric constant, and resistance to extreme weather, among many other properties. This unique combination of properties underlies the irreplaceability of fluoropolymers in a wide range of applications, including hundreds of products and technologies that are critical to daily life, such as semiconductors, fuel cells, wind turbines, printed circuit boards, coated wires, batteries, solar photovoltaics, avionics and other aircraft components, motor vehicle engines, manufacturing equipment, scientific instruments, and laboratory and diagnostic equipment, among others.

Alternative materials may be able to achieve comparable performance to fluoropolymers for one or a few specific parameters or properties, but overall, due to deficiencies in other properties, they have lower performance and other disadvantages as compared to fluoropolymers. The unmatched performance of fluoropolymers across multiple areas of performance means that, for most applications in which fluoropolymers are used, attempting to substitute other materials for fluoropolymers will result in a loss of reliability and durability that in many instances will have negative effects on health, safety and the environment.

Because thousands of products of critical importance to Maine businesses and residents rely on fluoropolymers due to their unique combination of properties, and because fluoropolymers are

¹ See "A critical review of the application of polymer of low concern regulatory criteria to fluoropolymers II: Fluoroplastics and fluoroelastomers," Korzeniowski, Stephen H., et al., <u>Integrated Environmental Assessment and</u> <u>Management 19, 2 (2023): 326–354. DOI: 10.1002/ieam</u>; "A Critical Review of the Application of Polymer of Low Concern and Regulatory Criteria to Fluoropolymers," <u>Integrated Environmental Assessment and Management, Henry,</u> <u>Barbara.J., et al., 14, 3 (2018): 316-334. DOI: 10.1002/ieam.4035</u>.

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of low concern with respect to potential health and environmental impacts, products made with or containing fluoropolymers should be excluded from the regulations or, in the alternative, should receive a categorical CUU determination written into the regulations. Specifically, Section 9(B) of the proposed regulations should be amended to add the following:

(1) Fluoropolymers (defined as polymeric substances for which the backbone of the polymer is either a per- or polyfluorinated carbon-only backbone or a perfluorinated polyether backbone), and products consisting of fluoropolymers.

Additional information supporting such a categorical CUU determination is provided in Attachment 2.

The CUU Process Requires Greater Certainty and Predictability

Section 9(A) of the Proposed Regulations provides that a manufacturer seeking a CUU determination for a product must submit an application for CUU determination at least 18 months prior to the date on which sales of the product would be prohibited under the law. However, the regulations do not specify a timeline for DEP and the Board of Environmental Protection to act on such an application. This creates the possibility that a product essential to health or safety of Maine residents, or necessary for the normal functioning of society in Maine, may be banned from commerce for an indeterminate length of time because DEP and/or the Board of Environmental Protection failed to act on a CUU application that was submitted timely (i.e., submitted more than 18 months from the effective date of the ban). Similarly, if DEP and/or the Board of Environmental Protection fail to promptly act on a CUU application, manufacturers that have submitted CUU proposals may feel compelled to withdraw essential products from the market in Maine, in anticipation of impending prohibitions on sale.

To avoid these perverse outcomes and assure that Maine residents do not suddenly and/or inadvertently lose access to products that should appropriately be designated as CUU (i.e., products that are critical to the health, safety, or daily life of Maine residents), the regulations should specify that, with respect to products for which a timely CUU application has been filed, the prohibition on sales will become effective either: (i) the date specified in the statute; or (ii) twelve months after the date on which DEP and the Board of Environmental Protection render a final determination on the product's CUU application, whichever date is **later**. This provision anticipates that CUU determinations should take no longer than six months to complete. Without this type of provision, Maine residents might suddenly and unexpectedly lose access to products essential to their health and safety.

Specifically, we propose amending the second paragraph of Section 9(A) of the proposed regulations to read as follows (new language is underlined):

For initial currently unavoidable use proposals, the requester shall submit the information in this section no later than 18 months prior to the applicable sales prohibition. The Department will not consider any proposals for an initial currently unavoidable use determination prior to 36 months in advance of the applicable sales prohibition; any proposals received prior to this date will need to be updated and resubmitted between 36 and 18 months before the effective date of the applicable sales prohibition (with the exception of CUU proposals for sales prohibitions taking effect 2026, which must be submitted no later than June 1, 2025). For products included in a currently unavoidable use proposal submitted within the timeframes referenced above, the prohibition on sales will become effective either: (i) the date specified in the statute; or (ii) twelve months after the date on which DEP and the Board of Environmental Protection render a final determination on the product's CUU application, whichever date is **later**.

Submitters of CUU Proposals Should Be Required to Submit Information To The Extent Known Or Reasonably Ascertainable By The Submitter

The proposed regulations currently require submission of information on health and environmental impacts to the extent that such information is "known or reasonably ascertainable by the manufacturer" (see Section 9(A)(9)). This same standard should be applied to all information required to support a CUU proposal. Accordingly, we propose to amend the last sentence in Section 9(A) preceding the enumerated list of information requirements to read as follows (new language is underlined):

A proposal must at a minimum contain the following information to the degree it is known or reasonably ascertainable

The Regulations Should Provide For Automatic Renewal Of CUU Determinations Unless New Information Indicates A Prior CUU Determination Is No Longer Valid

The Proposed Regulations include nearly three pages of detailed information that must be submitted by an applicant seeking a CUU determination. And if a CUU determination is granted, it is approved for only five years -- at which time a renewal application would need to be submitted. The five-year duration of CUU determinations is insufficient, given the critical nature (by definition) of CUU products and their (by definition) essentiality to protecting the health and safety of Maine workers and residents and/or the daily functioning of society. Moreover, the five-year CUU duration bears no relation to the length of time needed to (i) identify, (ii) evaluate, (iii) qualify, and (iv) deploy replacement materials. Abundant evidence demonstrates that in most critical applications of the sort that would be subject to a CUU determination, at least a decade or more would be needed to deploy suitable replacements – *if*

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*such replacements can be found.*² For this reason, the five-year duration for CUU determinations is wholly inadequate.

An equally important concern is the unduly burdensome and potentially disruptive process required to renew a CUU determination. Specifically, renewal of a CUU designation under the Proposed Regulations would require a new submission that addresses all of the same information elements addressed in the initial CUU application plus additional information regarding "any changes" since the time of the initial CUU determination as well as a summary of "efforts made during that time to develop or discover alternatives." Requiring a completely new application package addressing the same items of information as contained in the original CUU submission is unnecessarily burdensome for both the submitter and DEP. So is requiring the submitter to provide a detailed accounting of efforts undertaken to "develop" or "discover" a new alternative. Instead, the regulations should: (i) require the submitter to identify any changes to the originally-submitted information (including detailed information on potential replacements); and (ii) provide for automatic renewal of the CUU designation unless any new information not contained in the original submission indicates that automatic renewal is not warranted and a completely new submission is necessary. This approach is more efficient for both the applicant and the Department and would help to assure that Maine residents, businesses and workers have uninterrupted access to critical products that, by definition, are essential to health, safety or the functioning of society.

Specifically, we propose replacing the last two paragraphs of Section 9(A) with the following:

Upon the expiration date listed in Section 9(B), a currently unavoidable use determination shall be automatically renewed for an additional five years upon the submission of a renewal request unless information submitted with the renewal request leads the Department to conclude that a new CUU proposal must be submitted to renew the CUU determination. A renewal request under this paragraph must identify any changes to the information included in the most recent CUU proposal or renewal request submitted to the Department and must be submitted no later than 24 months prior to the expiration date of the CUU determination in effect. Within three months of receiving a renewal request the Department shall notify the submitter if the new information included in the renewal request requires the submission of a new CUU proposal. If the Department notifies a submitter that a new the CUU proposal is required, the proposal must be submitted to the Department within three months of that notification and the Department will have

² See, e.g., Letter from ThermoFisher to Minnesota Pollution Control Agency, March 1, 2024 (indicating at least 12 years needed to transition to alternatives); letter from <u>Truck & Engine Manufacturers Association to Minnesota</u> <u>Pollution Control Agency</u>, February 28, 2024 (indicating that decades may be needed to transition to alternatives); letter from Truck & Engine Manufacturers Association to Minnesota Pollution Control Agency, February 28, 2024 (indicating that decades may be needed to transition to alternatives); letter from Truck & Engine Manufacturers Association to Minnesota Pollution Control Agency, February 28, 2024 (indicating that decades may be needed to transition to alternatives). This correspondence may be accessed at the following url: (<u>https://minnesotaoah.granicusideas.com/discussions/39667-minnesota-pollution-control-agency-reguest-for-comments-on-pfas-in-products-currently-unavoidable-use-rule/topics/submit-a-comment-290</u>

three months to review the proposal. If a renewal request is not received within the time frame specified above, a new CUU proposal will be required, unless the Department in its discretion waives the deadline for submission of a renewal request.

Identical Products Should Be Regulated In The Same Manner

Due to the peculiar manner in which products are exempted under the statute and Section 4 of the Proposed Regulations, certain products may be simultaneously exempt from a prohibition on sales while identical products are banned from commerce. This is arbitrary and irrational, as well as detrimental to Maine residents and businesses.

For example, Section 4(A)(9) includes an exemption for "motor vehicles" and "motor vehicle equipment" that encompasses cars, trucks, motorcycles, all-terrain vehicles and farm equipment. These types of vehicles are typically manufactured with certain PFAS-containing components, including fluoropolymer-coated electrical wires and cables as well as fuel lines, seals and gaskets, because these fluoropolymer-containing components are proven to maintain their integrity when exposed to the high temperatures, harsh chemicals, and mechanical stresses inherent in both internal combustion engines as well as electric vehicles. These same components (i.e., fluoropolymer-coated electrical wires and cables, fuel lines, seals and gaskets, among others) provide the same critical safety and reliability functions in vehicles and equipment that are apparently not included in Section 4(A)(9), such as locomotives and rail cars, recreational vehicles such as snowmobiles, construction equipment and factory and warehouse equipment. Thus, it appears that the same components, performing the same safety and reliability functions in motorized vehicles and equipment, are both exempt and banned from commerce under the Proposed Regulations depending on the specific vehicle or equipment in which they are incorporated – with no apparent rhyme or reason.

Similarly, Section 4(A)(13) exempts "manufacturing equipment" used to manufacture certain categories of durable and non-durable goods, such as motor vehicles, aircraft, watercraft, and non-consumer electronics. Manufacturing equipment for these types of goods often include PFAS-containing components that are essential for worker safety as well as equipment reliability, such as fluoropolymer-coated electrical wires and cables as well as hoses, tubes, gaskets, seals, O-rings, expansion joints, valves and pumps that, due to their fluoropolymer content, are able to maintain their integrity when exposed to the high temperatures, harsh chemicals, and mechanical stresses that are typical with heavy manufacturing equipment. These same components are used in manufacturing equipment employed to produce goods for other sectors, such as the energy, natural resources and construction sectors, and they provide the same critical reliability and worker safety functions; however, they appear to be excluded from the exemption in Section 4(A)(13). In other words, the same products, performing the same safety and reliability functions in heavy manufacturing equipment are both exempt and banned from commerce, based solely on the specific products made by that manufacturing equipment. This defies logic. Presumably workers utilizing a piece of heavy manufacturing equipment should be afforded the same degree of protection against catastrophic equipment

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failure regardless of the specific goods being manufactured. Yet the Proposed Regulations would have the opposite effect – condemning some workers to utilize heavy manufacturing equipment with less durable and less reliable components simply because the goods they are manufacturing are utilized in industrial sectors other than those specified in Section 4(a)(13).

The same arbitrariness is evident elsewhere in Section 4. To avoid these harmful outcomes the statute must be implemented in a manner such that exempt products and product components are *uniformly* exempt and are not arbitrarily banned from commerce when used in some industry sectors but not others. This could accomplished by including in the regulations a categorical CUU determination for components of products enumerated in Section 4(A)(5) through (13) when used to perform the same or similar function in other products. Specifically, Section 9(B) of the proposed regulations could be amended to add the following:

(2) Components of the products enumerated in Section 4(A)(5)-(13) when used to perform the same or similar functions in other products.

DEP Should Expansively Interpret The Exemption In Section 4(A)(7) Of The Proposed Regulations

Section 4(A)(7) of the Proposed Regulations echoes Section 4(G) of the statute (38 M.R.S. § 1614(4)(G)) by providing for the exemption of products "developed or manufactured for purposes of public health, environmental or water quality testing." The precise scope of this exemption is unclear; however we urge DEP to interpret this provision broadly, to encompass PFAS-containing products manufactured for the purpose of providing a public health or environmental benefit. Under this interpretation, Maine residents would continue to have access to the public health and environmental benefits provided by fluoropolymer-based ion exchange membranes used for water purification and wastewater treatment, as an example.

The Regulations Should Explicitly Exempt Replacement Parts For Products Exempt Under Section 4(A)

The regulations should clarify that replacement parts for complex products and other equipment under section 4(A) are also exempt. The proposed regulations reflect the statutory exemption of several classes of complex products, such as watercraft, non-consumer electronics and certain manufacturing equipment. Failure to clarify that replacement parts for these products are also exempt could prevent their repair and lead to premature disposal of a large volume of otherwise serviceable equipment and products and could result in a substantial economic burden for Maine businesses, residents and government institutions. To address this concern we propose amending Section 4(A) by adding the following provision after item 13:

(14) Replacement parts for products described in Subsections 5 through 13, above.

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The Notification Provisions Should Be Modified To Facilitate Reporting Of PFAS Identity Information

The Department has modified Section 3(A)(1)(d) to provide for reporting of PFAS substances by chemical name, following the nomenclature of the international union of pure and applied chemistry (IUPAC), in lieu of reporting by CAS registry number. However, suppliers are often unwilling to provide downstream product manufacturers (i.e., companies that will be submitting notifications under the proposed regulations) with either CAS numbers or IUPAC names because this information is frequently considered to be confidential business information and may be protected against disclosure under federal law. To address this concern and facilitate the reporting of PFAS identity information the Department should allow reporting of U.S. EPA-assigned Accession numbers, PMN numbers or LVE numbers as an alternative to reporting CAS numbers, since virtually all chemicals in commerce with confidential chemical identities will have been assigned one of these unique identifiers by U.S. EPA. Because these identifiers, unlike CAS numbers and IUPAC names, are not themselves confidential, they are more readily obtained from suppliers. They can also be cross-referenced to EPA health and safety databases. Accordingly, we propose adding a new Section 3(A)(1)(d)(iii) as follows:

(ii) One of the following identifiers: EPA Accession Number, PMN number or Low Volume Exemption (LVE) number.

Thank you again for the opportunity to submit these comments. Should you have any questions or concerns about the information provided herein, please reach out to Ahmed El Kassmi at 610-423-4312 or by email at ahmed.elkassmi@agc.com.

Sincerely,

Christopher F. Correnti President and CEO AGC America, Inc.

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Ahmed El Kassmi, Ph.D Director, Product Stewardship & Regulatory Affairs AGC Chemicals Americas, Inc.

Attachment 1

POSTING DRAFT

Chapter 90: Products Containing Perfluoroalkyl and Polyfluoroalkyl Substances

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POSTING DRAFT

Chapter 90: Products Containing Perfluoroalkyl and Polyfluoroalkyl Substances

SUMMARY: This Chapter details the sales prohibitions for new and unused products containing intentionally added Perfluoroalkyl and Polyfluoroalkyl Substances (PFAS) as well as the notification requirements for products containing intentionally added PFAS determined to be a currently unavoidable use pursuant to 38 M.R.S. § 1614.

- 1. Applicability. Unless exempted in section 4, this Chapter applies to all new and unused products sold, offered for sale, or distributed for sale in the State of Maine which contain intentionally added perfluoroalkyl and polyfluoroalkyl substances.
- 2. Definitions.

Adult Mattress. "Adult mattress" is defined at 38 M.R.S. § 1614(1)(A-1).

Aerosol propellant. "Aerosol propellant" is defined at 38 M.R.S. § 1614(1)(A-2).

Air care product. "Air care product" is defined at 38 M.R.S. § 1614(1)(A-3).

Aircraft. "Aircraft" is defined at 38 M.R.S. § 1614(1)(A-4).

Alternative. "Alternative" is defined at 38 M.R.S. § 1614(1)(A-5).

Architectural fabric structure. "Architectural fabric structure" is defined at 38 M.R.S. § 1614(1)(A-6).

Artificial Turf. "Artificial turf" is defined at 38 M.R.S. § 1614(1)(A-7).

Automotive maintenance product. "Automotive maintenance product" is defined at 38 M.R.S. § 1614(1)(A-8).

NOTE: Automotive maintenance products may be used on or marketed for use on any style of motor vehicle. Automative maintenance products do not include items which are used in the mechanical maintenance of an automobile, such as oil, coolant, filters, and other consumable and replacement and repair parts.

Brand name. "Brand name" means a name, symbol, word, or mark that identifies a product, and attributes the product to the owner of the brand.

NOTE: While the FAA considers unmanned aerial vehicles, commonly referred to as drones, to be aircraft, for the purposes of this rule, due to their unmanned nature, they do not meet the definition of aircraft.

Carpet or rug. "Carpet or rug" is defined at 38 M.R.S. § 1614(1)(A).

- **Chemically-formulated.** "Chemically-formulated" means a synthetic substance that is formulated or manufactured by a chemical process or by a process that chemically changes a substance extracted from naturally occurring plant, animal, or mineral sources, except that such term does not apply to substances created by naturally occurring biological processes.
- Cleaning Product. "Cleaning product" is defined at 38 M.R.S. § 1614(1)(A-9).
- **Clothing item.** "Clothing item" means an article of wearing apparel designed to be worn on or about the human body. The term does not include accessories or special clothing, such as jewelry, watches, watchbands, handbags, handkerchiefs, umbrellas, scarves, ties, headbands, belts, and belt buckles, footwear, or articles of wearing apparel designed to be worn by animals.
- **Commercially available analytical method.** "Commercially available analytical method" means any test methodology used by a laboratory that performs analyses or tests for third parties to determine the concentration of PFAS in a product. Commercially available analytical methods do not need to be performed at a third-party laboratory; however, the method must remain unmodified when not performed by a third-party laboratory.
- **Consumer products.** "Consumer products" means goods which are marketed for and intended to be used primarily for personal, family or household purposes.
- **Container.** "Container" means any package as defined in 32 M.R.S. § 1732(4), which is meant to encase a liquid, powder, or gas by means of direct contact.
- **Cookware product.** "Cookware product" as defined at 38 M.R.S. § 1614(1)(A-10) is limited to houseware intended to be in direct contact with food or beverage. Cookware does not encompass items intended for use in and market exclusively for use in commercial, industrial, or institutional settings.
- **Cosmetic Product.** "Cosmetic Product" as defined at 38 M.R.S. § 1614(1)(A-11) to include inks, such as tattoos, implants, jewelry, and body modifications that are introduced into the human body unless otherwise exempted under section 4. Soap has the same meaning as 21 C.F.R. § 710.20, as amended up to April 1, 2024.
- **Cosolvent.** "Cosolvent" means substances added to a primary solvent in small amounts to increase the solubility of a poorly soluble compound.
- **Currently unavoidable use.** "Currently unavoidable use" is defined at 38 M.R.S. § 1614(1)(B).
- **Dental floss.** "Dental floss" means a product designed to clean between teeth in places that are not accessible with a toothbrush or an interdental brush. The product can be packaged

with pre-cut or continuous length of strong thread or fine tape and is specifically designed to be drawn between the teeth to remove food particles and prevent dental plaque, such as dental floss and dental tape. Dental floss includes products commonly referred to as flossers where a section of dental floss is mounted to a handle or device meant to facilitate the act of flossing. Dental floss does not include products such as water flossers or other similar devices.

Department. "Department" defined at 38 M.R.S. § 341-A(2).

- **Distribute for sale.** "Distribute for sale" means to ship or otherwise transport a product with the intent or understanding that it will be sold or offered for sale in Maine by a receiving party subsequent to its delivery.
- **Electronics.** "Electronics" means technology having electrical, digital, magnetic, wireless, optical, electromagnetic, or similar capabilities.
- **Environmental control technology.** "Environmental control technology" means any system, item of equipment or component having as its primary function the reduction or prevention of an environmental impact.
- **Essential for health, safety, or the functioning of society.** "Essential for health, safety or the functioning of society" is defined at 38 M.R.S. § 1614(1)(B-1).
- **Fabric.** "Fabric" means a textile made by weaving, knitting, or felting natural or synthetic fibers. For the purposes of this rule, fabric includes leather and synthetic leather.

Fabric treatment. "Fabric treatment" is defined at 38 M.R.S. § 1614(1)(C).

NOTE: Fabric treatments do not include fabric dyes.

- **Finished product.** "Finished product" means a product that has been manufactured, packaged, and is in the form, packaging, and condition in which it will be sold, offered for sale, or distributed for sale.
- Foam. "Foam" is defined at 38 M.R.S. § 1614(1)(C-1).
- **Fully fluorinated carbon atom.** "Fully fluorinated carbon atom" means a carbon atom on which all the hydrogen substituents have been replaced by fluorine.
- **Functionally equivalent.** "Functionally Equivalent" means a product or product component that functions in the same basic manner as the product it is being compared against to perform the same purpose to the same standard as the original PFAS containing product or product component it is being compared against.

Fluorinated container. "Fluorinated container" means any container which has been treated with fluorine atoms to create a permanent barrier.

- **Intentionally added PFAS.** "Intentionally added PFAS" is defined at 38 M.R.S. § 1614(1)(D).
- NOTE: Intentionally added PFAS includes degradation by-products serving a functional purpose or technical effect within the product or its components. Products containing intentionally added PFAS include products that consist solely of PFAS. Intentionally added PFAS does not include PFAS that is present in the final product as a contaminant or PFAS used in the manufacturing process or comes into contact with the product during the manufacturing process but is not present in the final product.
- **Intrinsic to the design or construction of a building.** "Intrinsic to the design or construction of a building" means those elements of a building or structure which are necessary to perform its intended purpose. Intrinsic to the design or construction of a building may include structural elements and elements meant to block light, wind, or precipitation. Intrinsic to the design or construction of a building does not include elements which are solely decorative or otherwise merely enhance the attractiveness of a structure or its function or those elements that are quickly or easily removed from the structure.

Juvenile product. "Juvenile product" is defined at 38 M.R.S. § 1614(1)(D-1).

- Known to or reasonably ascertainable by. "Known or reasonably ascertainable by" is defined at 38 M.R.S. § 1614(1)(D-2).
- Laboratory equipment. "Laboratory equipment" means any analytical instrument or support equipment that is required to generate the results of an analysis. Laboratory equipment includes, but is not limited to, any tool, gear, or appliance that is intended to be used in the creation of a substance, such as reaction vessels, gas generators, or preparatory or purifying equipment.

Manufacturer. "Manufacturer" is defined at 38 M.R.S. § 1614(1)(E).

Mattress. "Mattress" means a resilient material or combination of materials enclosed by ticking, intended for sleeping upon, and may include adult, youth, crib, bunk bed, futon, flip chairs, sleeper, water, or air mattresses.

Medical device. "Medical device" is defined at 38 M.R.S. § 1614(1)(E-1).

Menstruation products. "Menstruation products" means products used to catch menstrual flow, such as disposable and reusable pads, tampons, period underwear, and menstrual cups.

Off-highway vehicle. "Off-highway vehicle" is defined at 38 M.R.S. § 1614(1)(E-2).

- NOTE: A vehicle manufactured by the brand Stellantis and badged with the Jeep brand does not qualify solely based on its brand name.
- **Offer for sale.** "Offer for sale" means to make a product available for purchase, including through online sales platforms that deliver into the State of Maine.
- **Outdoor apparel for severe wet conditions.** "Outdoor apparel for severe wet conditions" is defined at 38 M.R.S. § 1614(1)(E-3).
- **Perfluoroalkyl and polyfluoroalkyl substances (PFAS).** "Perfluoroalkyl and polyfluoroalkyl substances" or "PFAS" is defined at 38 M.R.S. § 1614(1)(F).
- **Person.** "Person" means any individual; partnership; corporation; firm; or public or private organization of any character.
- Product. "Product" is defined at 38 M.R.S. § 1614(1)(H).
- NOTE: Product includes packages, packaging components, and food packaging as defined in 32 M.R.S. § 1732, when sold individually or in bulk and not used in marketing, handling, or protecting a product.

Product component. "Product component" is defined at 38 M.R.S. § 1614(1)(H).

- Proprietary information. "Proprietary information" is defined at 38 M.R.S. § 1614(1)(H-1).
- **Publicly available.** "Publicly available" means information that is lawfully made available to the general public from federal, state, or local government records, widely distributed media, or disclosures made to the general public that are required by federal, state, or local law.
- **Reasonably available.** "Reasonably available" means a PFAS alternative which is readily available in sufficient quantity and at a comparable cost to the PFAS, to include changes to the manufacturing process, it is intended to replace and performs as well as or better than PFAS in a specific application of PFAS in a product or product component.
- **Refrigerant.** "Refrigerant" is defined at 38 M.R.S. § 1614(1)(J).
- **Resilient floor covering.** "Resilient floor covering" means a non-textile floor that provides underfoot comfort and characteristically bounces back from repeated traffic or compression.
- Semiconductor. "Semiconductor" means material having conductivity characteristics intermediate between conductors and insulators, as well as a discrete functional object having two or more layers of metallic, insulating, or semiconductor material, deposited or otherwise placed on, or etched away or otherwise removed from, a piece of

semiconductor material in accordance with a predetermined micron or sub-micron pattern and intended to perform electronic and other related functions. Semiconductors do not include commonly associated materials such as printed circuit boards (PCB), PCB mounting solder, PCB mounting flux, external wires, PCB screen printing ink, connectors and sockets, or PCB conformal coatings.

NOTE: A product must meet the definition of a semiconductor product will not be considered a semiconductor solely because other products that serve the same or similar purpose are semiconductors.

Significant change. "Significant change" means a change in the composition of a product which results in the addition of a specific PFAS; a change in the amount of PFAS of more than a 10% increase, above the method variability allowed by the commercially available analytical method used, of the concentration that has been reported when compared to the existing notification; or a change in responsible official or contact information.

Single Use. "Single use" is defined at 38 M.R.S. § 1614(1)(K).

- **Soap.** "Soap" means a product composed mainly of the alkali salts of fatty acids, that is, the material you get when you combine fats or oils with an alkali, such as lye.
- **Substantially equivalent information.** "Substantially equivalent information" means information that the Department can reasonably identify as conveying the same information required in section 3(A). Substantially equivalent information must all be in a single document or location. Substantially equivalent information may include an existing notification by a person who manufactures a product or product component when the same product or product component is offered for sale under multiple brands.

Ski wax. "Ski wax" is defined at 38 M.R.S. § 1614(1)(L).

Textile. "Textile" is defined at 38 M.R.S. § 1614(1)(M).

Textile article. "Textile article" is defined at 38 M.R.S. § 1614(1)(N).

Upholstered furniture. "Upholstered furniture" is defined at 38 M.R.S. § 1614(1)(O).

Used. "Used" means the condition of a product having been installed, operated, or utilized for its intended purpose by at least one owner or operator. Used does not apply to a product that has been returned to a retailer or that is otherwise offered for resale without the product having been installed, operated, or utilized.

Vehicle. "Vehicle" is defined at 38 M.R.S. § 1614(1)(P).

3. Notification.

A. Upon the applicable effective date listed in section 5, a product containing intentionally added PFAS is prohibited from being sold, offered for sale, or distributed for sale in the State of Maine. This prohibition is effective immediately for all covered products, including those already in the stream of commerce. Only those products for which there is a currently unavoidable use determination and the Department has received a completed notification meeting the requirements under this section, including the accompanying fee, are permitted for sale after the effective date of the sales prohibition.

Upon the applicable effective date found in section 5, for any product which is covered by a currently unavoidable use determination listed in section 9(B) any manufacturer, with greater than 100 employees, of a product subject to this Chapter which is for sale in the State and that contains intentionally added PFAS shall submit to the Department a notification consisting of the following to the extent known to or reasonably ascertainable by the manufacture:

- NOTE: To prevent sales disruptions, the Department encourages manufacturers to submit notifications in advance of any applicable effective date as detailed in section 5 of this rule.
- (1) A notification under this section must include:
 - (a) A brief description of the product, including but not limited to;
 - (i) Global Product Classification (GPC) brick category and code, if available;
 - (I) If GPC is not applicable to the product the United States International Trade Commission's Harmonized Tariff System (HTS).
 - (ii) The North American Industry Classification System code for the sector or sectors in which the products containing intentionally added PFAS will be utilized.
 - (iii) The general type of the product; and
 - (iv) Its intended use.
 - (b) An estimate of the total number of units sold annually in the State of Maine or nationally;
 - (c) The purpose for which PFAS are used in the product, including PFAS in any product component;
 - (d) The identity of each PFAS by:

- (i) Its name and its chemical abstracts service (CAS) registry number; or
- (ii) <u>In the absence of this number t</u> he chemical name following the nomenclature of the international union of pure and applied chemistry (IUPAC); or-
- (ii)(iii)One of the following identifiers: EPA Accession Number, PMN number or Low Volume Exemption (LVE) number.
- (e) The amount of each of the PFAS in the product or any product component:
 - (i) Reported as an exact quantity as a concentration, determined using commercially available analytical methods;
 - (ii) The total organic fluorine if the amount of each PFAS is not known or reasonably ascertainable, determined using commercially available analytical methods;
 - (iii)Based on information provided by a supplier or as falling within a range approved by the Department.

If reporting PFAS as falling within a Department-approved range, implemented in the Department's online notification system, the manufacturer may rely on calculations specific to the inputs and outputs of their manufacturing process or that of a product component's manufacturer to determine the amount of PFAS present; or

(iv) If neither quantities of specific PFAS compounds or total organic fluorine are known or reasonably ascertainable, a manufacturer must provide the total weight of the product.

For product components for which the Department has previously received notifications, which are used in more complex products containing the reported components, the manufacturer of the more complex product shall either report PFAS in the product including its components or refer to the supplier's submitted notifications for product components and any PFAS in the remainder of the product.

(f) The name and address of the reporting manufacturer, and the name, address, email address, and phone number of a responsible official for the manufacturer. The responsible official provided must have the authority to execute or direct others to execute the steps in section 8 below.

For notifications submitted to the Department under the statutory requirement and prior to the availability of the digital reporting system, the notification must be submitted into the digital database within 90 days of its availability.

(g) Identification, by citation to a specific section of this Chapter, of the applicable determination by the Department that the use of PFAS in the product subject to the notification if a currently unavoidable use.

NOTE: To be considered a valid notification, where applicable, the information provided by the manufacturer must be consistent with the information listed in the applicable currently unavoidable use determination.

- (2) Waiver of notification. The Department may waive all or part of the notification requirement under section 3(A)(1) if the Department determines that substantially equivalent information is publicly available, except that the Department will not issue a waiver for the information required in subsections 1(f) and (g) above.
 - (a) The Department will evaluate issuing a waiver to the notification requirement if the manufacturer submits a request containing the following:
 - (i) A description of the product(s) for which a waiver is requested;
 - (ii) A list of which requirements of section 3(A)(1) the manufacturer seeks a waiver for;
 - (iii) A description of any publicly available records which contain information substantially equivalent to the information required in section 3(A)1, above;
 - (iv) A statement that information in subsection 2(a)(iii) above is updated in a similar manner as required by subsection D below and;
 - (v) A link to or copy of all publicly available substantially equivalent information described by the manufacturer.
 - (b) The manufacturer shall still complete the notification for any requirements that were not waived and include directions to where the publicly available substantially equivalent information can be found, and pay the fee established in section 6.
- **B.** The information required in subsection A above must be submitted in a form approved by the Department. Electronic submission of complete information to the Department's online notification system satisfies this requirement.
- **C.** A manufacturer may submit a single notification to the Department for multiple products if all of the products are covered by the same currently unavoidable use determination found in section 9(B).
- **D.** A manufacturer shall update the information in the notification whenever there is a significant change in the reported information or when requested to do so by the Department.

- (1) In the event of a significant change or request by the Department, a manufacturer shall update their notification:
 - (a) Within 60 days of a request by the Department;
 - (b) Within 30 days of any change in responsible official or contact information; or
 - (c) Prior to the start of sales of a product with a new formulation or when there is a significant change in the amount or type of PFAS present in the product.
- (2) A manufacturer may voluntarily update the notification whenever a PFAS is reduced or eliminated, or to inactive status whenever a product is modified such that it no longer contains any intentionally added PFAS.
- **E.** A notification is not effective until the Department has received payment of the fee required by section 6.
- **F.** A manufacturer shall provide, upon request by the Department, evidence sufficient to demonstrate the accuracy of the information reported in subsection A.
- **G.** Notifications to the Department expire on the same date the applicable currently unavoidable use determination, in section 9(B), lapses.

NOTE: See section 9(A) for procedures for requesting a new currently unavoidable use determination, including determinations for products covered by a determination that will expire.

4. Exemptions.

- A. The following are exempt from the requirements of this Chapter:
 - (1) A product for which federal law governs the presence of PFAS in the product in a manner that preempts state authority. For this purpose, the provisions of this Chapter are severable, and if any phrase, section, or subsection is preempted by federal law, the validity of the remainder of this Chapter shall not be affected;
 - (2) A package as defined at 32 M.R.S. § 1732(4), for a product, except when the package is the product of the manufacturer. The exemption under this subsection does not apply to the package of a product prohibited from sale, offer for sale, or distribution for sale pursuant to sections 5(B), (C), (E), or (F) if that package is a fluorinated container or container that otherwise contains intentionally added PFAS;
 - (3) A used product or product component;
 - (4) A firefighting or fire-suppressing foam or related product regulated under 38 M.R.S.

§ 424-C;

- (5) A prosthetic or orthotic device or any product that is a medical device, drug or biologic or that is otherwise used in a medical setting or in medical applications that are regulated by or under the jurisdiction of the United States Food and Drug Administration (FDA);
- (6) A veterinary product intended for use in or on animals, including diagnostic equipment or test kits and the components and any product that is a veterinary medical device, drug, biologic or parasiticide or that is otherwise used in a veterinary medical setting or in veterinary medical applications that are regulated by or under the jurisdiction of:
 - (a) The FDA;
 - (b) The United States Department of Agriculture (USDA) pursuant to the federal Virus-Serum-Toxin Act; or
 - (c) The Environmental Protection Agency pursuant to the Federal Insecticide, Fungicide, and Rodenticide Act, except that any such product approved by the EPA pursuant to that law for aerial or land application are not exempt from this Chapter.
- (7) A product developed or manufactured for the purposes of public health, environmental or water quality testing;
- (8) A product required to meet standards or requirements of the FAA, the National Aeronautics and Space Administration (NASA), the United States Department of Defense (DOD) or the United States Department of Homeland Security (DHS), except that the exemption under this subsection does not apply to any textile article or refrigerant that is included in or as a component part of such products;
- (9) A motor vehicle or motor vehicle equipment regulated under federal motor vehicle safety standards, as defined in 49 U.S.C. § 30102(a)(10), and any other motor vehicle, including an off-highway vehicle or specialty motor vehicle, such as an all-terrain vehicle, side-by-side vehicle, farm equipment or personal assistive mobility device, except that the exemption under this subsection does not apply to any textile article or refrigerant that is included in or as a component of such products;
- (10) A watercraft as defined in 32 M.R.S. § 13001(28), or a seaplane, expect that the exemption under this subsection does not apply to any textile article or refrigerant that is included in or as a component part of such products;
 - (11) A semiconductor, including semiconductors incorporated into electronic equipment, and equipment and materials used in the manufacture of semiconductors;

NOTE: While semiconductors incorporated into electronic equipment are exempted from

this Chapter, electronic equipment in their entirety is not. Manufacturers of

electronic equipment are still subject to sales prohibitions, currently unavoidable use determinations, and notification requirements on the balance of their product which is not comprised of semiconductors.

- (12) Non-consumer electronics and non-consumer laboratory equipment not ordinarily used for personal, family or household purposes; and
- (13) Equipment directly used in the manufacture or development of products described in subsections 5 through 12, above; and-
- (13)(14) Replacement parts for products described in Subsections 5 through 13, above.
- NOTE: The statutory basis for this rulemaking contains certain exemptions of products that are regulated by, or are under the jurisdiction of, certain federal agencies pursuant to federal law. The Department understands the legislative intent to be that any changes to federal law that affect these exemptions will apply to the exemptions as soon as the federal changes become effective. No amendment of this rule will be necessary for such changes to apply to the Department's operation of this program.

5. Prohibition on Sale of Products Containing Intentionally Added PFAS.

A. Except as provided pursuant to subsection H and section 9(B), effective January 1, 2023, a person may not sell, offer for sale, or distribute for sale in the State of Maine a carpet or rug that contains intentionally added PFAS.

This prohibition does not apply to the sale or resale of a used carpet or rug.

B. Except as provided pursuant to subsection H and section 9(B), effective January 1, 2023, a person may not sell, offer for sale, or distribute for sale in the State of Maine a fabric treatment that contains intentionally added PFAS.

The prohibition under this subsection applies to fabric treatment that does not contain intentionally added PFAS but that is sold, offered for sale or distributed for sale in a fluorinated container or in a container that otherwise contains intentionally added PFAS.

This prohibition does not apply to the sale or resale of a used fabric treatment or used product to which fabric treatment has been applied.

- **C.** Except as provided in subsection H and section 9(B), effective January 1, 2026, a person may not sell, offer for sale or distribute for sale in the State of Maine:
 - (1) A cleaning product containing intentionally added PFAS;

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- (2) A cookware product containing intentionally added PFAS;
- (3) A cosmetic product containing intentionally added PFAS;
- (4) Dental floss containing intentionally added PFAS;
- (5) A juvenile product containing intentionally added PFAS;
- (6) A menstruation product containing intentionally added PFAS;
- (7) A textile article containing intentionally added PFAS. The prohibition under this subsection does not include:
 - (a) Outdoor apparel for severe wet conditions; or
 - (b) A textile article that is included in or a component part of a watercraft, aircraft or motor vehicle, including an off-highway vehicle;
- (8) Ski wax containing intentionally added PFAS; or
- (9) Upholstered furniture containing intentionally added PFAS.

The prohibitions under this subsection apply to any of the products listed in subsections 1 through 9 that do not contain intentionally added PFAS but that are sold, offered for sale or distributed for sale in a fluorinated container or container that otherwise contains intentionally added PFAS.

The prohibitions under this subsection do not apply to products that are sold, offered for sale or distributed in used condition.

- **D.** Except as provided in subsection H and section 9(B), effective January 1, 2029, a person may not sell, offer for sale or distribute for sale in the State of Maine;
 - (1) Artificial turf containing intentionally added PFAS; or
 - (2) Outdoor apparel for severe wet conditions containing intentionally added PFAS, unless the apparel is accompanied by a legible, easily discernable disclosure that includes the following statement: "Made with PFAS chemicals." The disclosure requirement under this subsection applies to all sales, offers for sale or distributions for sale in the State of Maine for outdoor apparel for severe wet conditions containing intentionally added PFAS.

The prohibitions under this subsection do not apply to any listed products that are sold, offered for sale or distributed for sale in used condition.

E. Except as provided in subsection H and section 9(B), effective January 1, 2032, a person may not sell, offer for sale, or distribute for sale in the State of Maine any product that is

not already prohibited for sale under subsections A, B, C, D, or G that contains intentionally added PFAS. This prohibition does not apply to the sale or resale of a used product.

The prohibition under this subsection applies to any such products that do not contain intentionally added PFAS but that are sold, offered for sale or distributed for sale in a fluorinated container or in a container that otherwise contains intentionally added PFAS.

The prohibitions under this subsection do not apply to:

- (1) Any such product sold, offered for sale or distributed for sale in used condition; and
- (2) Products subject to subsection F, below.
- **F.** Except as provided in subsection H and section 9(B), effective January 1, 2040, a person may not sell, offer for sale or distribute for sale in the State of Maine:
 - (1) Cooling, heating, ventilation, air conditioning or refrigeration equipment that contains intentionally added PFAS; or
 - (2) Refrigerants, foams, or aerosol propellants that contain intentionally added PFAS.

The prohibitions under this subsection apply to any of the listed products that do not contain PFAS but are sold, offered for sale or distributed for sale in a fluorinated container or in a container that otherwise contains intentionally added PFAS.

The prohibition of this subsection does not apply to any such products sold, offered for sale or distributed for sale in used condition or to parts and other servicing needs for cooling, heating, ventilation, air conditioning or refrigeration equipment, including refrigerants used in servicing such equipment as long as the refrigerant is listed as acceptable, acceptable subject to use conditions or acceptable subject to narrowed use limits by the EPA pursuant to the Significant New Alternatives Program at 42 U.S.C. 82(G), as long as the refrigerant, foam, or aerosol propellant is sold, offered for sale or distributed for sale for the use for which it is listed pursuant to that program.

- **G.** The Department has identified the following products by category or use that contain intentionally added PFAS. Beginning on the date listed below a person may not sell, offer for sale, or distribute for sale the listed items in the State of Maine:
 - (1) [Reserved]. Example: Beginning January 1, XXXX a person may not sell, offer for sale, or distribute for sale in the State of Maine PRODUCT CATEGORY that contains intentionally added PFAS.
- **H.** The prohibitions in section 5 do not apply to a retailer in the State of Maine unless the retailer sells offers for sale or distributes for sale in the State of Maine a product containing intentionally added PFAS for which the retailer has received a notification

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pursuant section 8(2) that the sale of the product is prohibited.

6. Fees.

A. Fee amount. To cover the administrative costs incurred by the Department to administer the program, a manufacturer of products required by section 3 to provide notice shall, as part of the submission of notification, pay a fee of \$1,500 for each notification submitted.

For the purposes of calculating fees, each submission of all the information required in section 3(A)(1), which has not been waived, for either an individual product or a group of products reported under a single currently unavoidable use determination will be considered a separate notification.

NOTE: Notifications are required only for products which are subject to a currently unavoidable use determination and are sold, offered for sale, or distributed for sale in the State of Maine. Product components that are incorporated into complex products which are sold, offered for sale, or distributed for sale in Maine are not subject to the notification requirement, even when information regarding the product components is provided as part of that product's notification submission.

A fee is required for notifications of products, including those submitted under a subsequent currently unavoidable use determination. No fee is required for information updates to an existing notification or changes to inactive status.

B. Fees will be considered paid either when funds are transferred to the Treasurer of the State of Maine or when a confirmation of electronic payment is transmitted. If paying electronically via the Department's reporting database, a receipt confirming digital payment will be issued.

7. Failure to Provide Notice.

A. Beginning January 1, 2032, unless granted a waiver in accordance with section 3(A)(2) above, a person may not sell, offer for sale, or distribute for sale in the State of Maine a product containing intentionally added PFAS regardless of whether the Department has determined a current unavoidable use exists if the manufacturer has failed to provide the information required under section 3.

The prohibition in this section does not apply to a retailer in the State of Maine unless the retailer sells, offers for sale, or distributes for sale in the State of Maine a product for which the retailer has received a notification pursuant to section 8(A)(2) that the sale of the product is prohibited.

NOTE: Violations of this Chapter are subject to the Department's enforcement authority under 38 M.R.S. §§ 347-A - 349. The Department's initial focus will be on encouraging voluntary compliance. If a person resists efforts to achieve voluntary compliance the Department may take progressive steps to achieve compliance.

8. Certificate of Compliance.

- **A.** If the Department has reason to believe that a product contains intentionally added PFAS and is being sold, offered for sale, or distributed for sale in violation of sections 5 and 7, the Department may direct the manufacturer of the product to, within 30 days:
 - (1) Provide the Department with certification, on forms provided by the Department, attesting that the product does not contain intentionally added PFAS; or
 - (2) Notify any persons who sell, offer for sale, or distribute for sale that product in Maine that the sale of that product is prohibited in Maine, and provide the Department with a list of the names and addresses of those notified.

9. Currently Unavoidable Use.

A. Proposal for Currently Unavoidable Use Determinations.

Proposals for currently unavoidable use ("CUU") determinations may be submitted by manufacturers individually or collectively. A separate proposal must be submitted for each individual combination of product category and the associated industrial sector. The Department requests that manufacturers submit their proposals to <u>PFASProducts@maine.gov</u> with a subject line of "CUU Proposal for [GPC/HTC] in [NAICS] sector by [Proposal Submitter's Name or Organization]".

For initial currently unavoidable use proposals, the requester shall submit the information in this section no later than 18 months prior to the applicable sales prohibition. The Department will not consider any proposals for an initial currently unavoidable use determination prior to 36 months in advance of the applicable sales prohibition; any proposals received prior to this date will need to be updated and resubmitted between 36 and 18 months before the effective date of the applicable sales prohibition (with the exception of CUU proposals for sales prohibitions taking effect 2026, which must be submitted no later than June 1, 2025). For products included in a currently unavoidable use proposal submitted within the timeframes referenced above, the prohibition on sales will become effective either: (i) the date specified in the statute; or (ii) twelve months after the date on which DEP and the Board of Environmental Protection render a final determination on the products' CUU application, whichever date is later.

Proposals received after the 18 months prior to the sales prohibition effective date may be evaluated for inclusion in a subsequent rulemaking. Proposals received after the sales prohibition is in effect will be evaluated for inclusion in a subsequent Department CUU rulemaking.

A proposal must, at a minimum, contain <u>the following information to the degree it is known</u> or reasonably ascertainable:

(1) A brief description of the type of product to which PFAS is intentionally added

including:

- (a) A brief narrative of the product; its physical structure and appearance; how it functions; and if applicable its place in larger items, systems, or processes;
- (b) If applicable, the Global Product Classification (GPC) brick category and code, or if GPC is not applicable then the Harmonized Tariff System (HTS) code; and
- (c) The North American Industry Classification System (NAICS) code for the sector or sectors in which the products containing intentionally added PFAS will be utilized.
- (2) An explanation of why the availability of PFAS in the specific product identified in subsection 1 is essential for health, safety or the functioning of society. This may include or take the form of a description of the negative impact that would be caused by the unavailability of PFAS for use in the product and the subsequent unavailability or unsatisfactory performance of the product;
- (3) A description of how the specific use of PFAS in the product is essential to the function of the product. Including:
 - (a) If this use of PFAS is required by federal or state law or regulation, provide citations to that requirement. For the purposes of this subsection, "required" means the applicable statute or regulation specifically states that PFAS or a specific PFAS is required to be present in the product, not that the proposer's understanding or experience of PFAS is necessary to meet a performance standard; such performance standards may be addressed in subsection b, below; and
- NOTE: Products required to meet certain federal standards or regulated under certain federal programs are exempt from this Chapter. See section 4 for more information.
 - (b) The required specific characteristic or combination of characteristics that necessitate the use of PFAS chemicals.
- (4) A description of whether there are alternatives for this specific use of PFAS which are reasonably available including:
 - (a) Identification of specific compounds, classes of materials, or combinations of materials identified as potential alternatives including the removal of PFAS without substitution;
 - (b) An assessment of how the materials in subsection a, above, meet or fail to meet the criteria identified in 3(b);

- (c) An assessment if materials identified in subsection a, above, are anticipated to be available in sufficient quantities to meet production needs without regard to cost;
- (d) An assessment of the anticipated cost difference between obtaining PFAS for use in a product and obtaining the material identified in (a), for the same purpose;
- (e) A comparison of the known risks to human health and the environment between PFAS and the materials identified in (a); and
- (f) An assessment of whether there are feasible changes to the manufacturing process of the product that would eliminate the need for PFAS.
- (5) A list of federal regulations, other State of Maine rules, and regulations of other states which the product described in subsection 1 is subject to by reason of containing intentionally added PFAS, including;
 - (a) Details of any sales prohibition the product is subject to because of containing intentionally added PFAS including;
 - (i) Whether that sales prohibition is absolute or if there is a process similar to the State of Maine's currently unavoidable use determination.
 - (ii) If there is a similar process available, whether the requester has filed a proposal under the relevant state or federal program, and its status.
- (6) If, in another jurisdiction the product is subject to an absolute prohibition or no currently unavoidable use determination or similar has been made, a list of comparable products that the proposer is aware of remaining available for sale, offered for sale, or distributed for sale within that jurisdiction;
- (7) If a similar program's sales prohibition is identified as applicable in subsection 5 and similar products are available for sale, offered for sale, or distributed for sale;
 - (a) A justification explaining how products available in compliance with other similar sales prohibitions are not reasonably available alternatives for the product subject to the proposed CUU in the State of Maine. This may include demonstrating that additional sales in the State of Maine would result in such an increased demand for the PFAS alternative that it would no longer be available in sufficient quantities, such a demonstration must include an assessment that an increase in production of the PFAS alternative is not possible; or
 - (b) Documentation demonstrating that products containing PFAS alternatives in other jurisdictions would not perform as intended in the State of Maine due to differing physical or climate conditions in the State of Maine;
- (8) Contact information for the submitter of the proposal. The contact person or persons should be familiar with the contents of the proposal and, if necessary, be able to

answer Department questions or provide additional requested information; and

- (9) Any information known or reasonably ascertainable by the manufacturer regarding the impacts on human health or the environment of PFAS in the product. At a minimum this should include the following items, if available;
 - (a) Any information documenting impacts on human health as a result of the specific use of PFAS in the product;
 - (b) A description of the likely pathways of human exposure for the specific use of PFAS in the product;
 - (c) Any information documenting environmental impacts as a result of the specific use of PFAS in the product;
 - (d) A description of any likely pathways for environmental release of PFAS as a result of the specific use of PFAS in the product; and
 - (e) A description of the product's fate at the end of its lifecycle. This should include;
 - (i) Documentation of any product stewardship programs or other governmentimposed processes at the end of a product's lifecycle,
 - (ii) How the product is intended to be disposed of, such as landfilling or via a sewage or septage system, and
 - (iii) The recycling rate of the product.

Information submitted to the Department must contain sufficient detail or supporting documentation to satisfy the requirements of the currently unavoidable use as essential for health, safety or the functioning of society for which alternatives are not reasonably available.

If any of the information above is omitted from the proposal, the requestor must explain why this information is omitted.

NOTE: While 38 M.R.S. § 1614(12) and section 10 provide a mechanism for the protection of proprietary information, currently unavoidable use determinations are subject to the Department's rulemaking process including approval by the Board of Environmental Protection in a public meeting and response to public comments. Should a proposal for a currently unavoidable use determination contain claims of confidentiality, the Department may determine that there is insufficient publicly available information to justify a rulemaking. The Department strongly recommends that all proposals for currently unavoidable use determinations do not contain claims of confidentiality.

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Upon the expiration date listed in Section 9(B), a currently unavoidable use determination shall be automatically renewed for an additional five years upon the submission of a renewal request unless information submitted with the renewal request leads the Department to conclude that a new CUU proposal must be submitted to renew the CUU determination. A renewal request under this paragraph must identify any changes to the information included in the most recent CUU proposal or renewal request submitted to the Department and must be submitted no later than 24 months prior to the expiration date of the CUU determination in effect. Within three months of receiving a renewal request the Department shall notify the submitter if the new information included in the renewal request requires the submission of a new CUU proposal. If the Department notifies a submitter that a new the CUU proposal is required, the proposal must be submitted to the Department within three months of that notification and the Department will have three months to review the proposal. If a renewal request is not received within the time frame specified above, a new CUU proposal will be required, unless the Department in its discretion waives the deadline for submission of a renewal request.

Upon the expiration date listed in s 9(B), a currently unavoidable use determination is nolonger applicable, and all sales, offers for sale, or distributions for sale are immediatelyprohibited.

If a person believes the currently unavoidable use remains, they may submit a proposal to the Department for a new currently unavoidable use determination. That proposal, in addition to the information required above, must include a description of any changes since the time of the first currently unavoidable use determination and a summary of efforts made during that time to develop or discover alternatives or to make existing alternatives reasonably available. The Department will consider all subsequent proposals no sooner than 24 months prior to and no later than 12 months prior to the expiration date of the determination in effect. Proposals received after the expiration of the applicable CUU designation will be evaluated and considered for inclusion in a subsequent Department CUU rulemaking.

B. Department Designations of Currently Unavoidable Use.

The Department has determined that the following uses of PFAS are currently unavoidable uses. Each determination will remain in effect until the date listed below.

- (1) Fluoropolymers (defined as polymeric substances for which the backbone of the polymer is either a per- or polyfluorinated carbon-only backbone or a perfluorinated polyether backbone), and products consisting of fluoropolymers.
- (2) Components of the products enumerated in Section 4(A)(5)-(13) when used to perform the same or similar functions in other products.

NOTE: Example: The use of PFAS in products within the HTC/GPC classification ### in the industrial sector with the NAICS code ### is a currently unavoidable use until month day, year (either 5 years from applicable prohibition OR held blank to be filled in by SOS as 5 years from effective date).

10. Proprietary Information.

Information provided to the Department pursuant to this Chapter is a public record as provided by 38 M.R.S. § 1310-B(1). A party may designate proprietary information that it submits to the Department pursuant to this Chapter confidential in the manner prescribed by 38 M.R.S. § 1310-B(2). Such designations will be handled by the Department in accordance with 38 M.R.S. § 1310-B(2).

This subsection does not authorize a manufacturer to refuse to disclose to the Department information required under this Chapter.

AUTHORITY: 38 M.R.S. § 1614

Attachment 2



March 1, 2024

Commissioner Melanie Loyzim Maine Department of Environmental Protection State of Maine 17 State House Station Augusta, Maine 04333

Submitted via: pfasproducts@maine.gov

Re: Products to be Designated as "Currently Unavoidable Use" Under 38 M.R.S. § 1614

Dear Commissioner Loyzim:

AGC Chemicals Americas ("AGCCA") and its parent company, AGC America, Inc., appreciate this opportunity to identify products and product categories that should be designated as "currently unavoidable uses" ("CUU") under 38 M.R.S. § 1614 (for purposes of this submission, we will refer to this statute as the "PFAS in Products Law" or simply the "Law").

AGCCA manufactures and supplies a range of specialized industrial chemicals and materials, including resins, coatings, films and membranes, that are incorporated into a wide range of products essential to the daily lives of Maine residents and businesses. Many of these materials are comprised of fluoropolymers. Although fluoropolymers fall within the extremely broad definition of "PFAS" used in the Law, they are very much *unlike* the PFAS chemicals that have been found in drinking water, groundwater and biosolids, such as PFOA and PFOS. For example, unlike those PFAS chemicals of concern, fluoropolymers are not soluble in water, so they cannot enter drinking water or groundwater. Furthermore, fluoropolymers do not degrade into smaller, water-soluble molecules. Also, fluoropolymers are not bioavailable nor do they degrade to smaller, bioavailable molecules, so they do not present toxicity concerns associated with PFAS chemicals of concern. Indeed, peer-reviewed studies demonstrate that, because of these and other characteristics, fluoropolymers satisfy internationally-recognized

criteria for being "Polymers of Low Concern" (PLC) -- i.e., polymers deemed to have insignificant environmental and human health impacts.¹

Fluoropolymers also possess a unique combination of properties that make them critical to the performance of a wide range of products and technologies, such as semiconductors, fuel cells, wind turbines, printed circuit boards, coated wires, batteries, solar photovoltaics, avionics, aircraft components, motor vehicle engines, manufacturing equipment, scientific instruments, and laboratory and diagnostic equipment, among others. This unique, and irreplaceable, combination of properties includes the following:

- **Heat resistance**: fluoropolymers are able to maintain their physical properties at very high temperatures. This makes them particularly suitable for use in aerospace and electronic components.
- **Chemical resistance**: fluoropolymers are highly resistant to chemicals, acids, fuels, and solvents. This makes them a material of choice for use in chemical processing equipment, aerospace, automotive and pharmaceuticals.
- **Mechanical resilience**: mechanical properties include high tensile strength, flexibility, and impact resistance. This is particularly important in applications such as seals and gaskets as well as architectural films and coatings.
- **Electrical properties**: fluoropolymers have low dielectric constant, high insulation durability, and are used as sheathing materials for wire and cable due to their excellent electrical properties.
- **Inertness**: fluoropolymers are inert, non-reactive and stable (they do not degrade or decompose over time). These properties make them critical to a wide range of industrial and commercial applications in situations where equipment is likely to be exposed to chemicals.
- **Cryogenic properties**: fluoropolymers present excellent cryogenic properties, which makes them particularly suitable for use in high-tech applications such as aerospace, electronics or chemical industries.
- Separation / barrier properties: fluoropolymers have excellent moisture barrier and superior gas separation properties. Fluoropolymer membranes are essential to the production of clean hydrogen.

¹ See "A critical review of the application of polymer of low concern regulatory criteria to fluoropolymers II: Fluoroplastics and fluoroelastomers," Korzeniowski, Stephen H., et al., <u>Integrated Environmental Assessment and</u> <u>Management 19, 2 (2023): 326–354. DOI: 10.1002/ieam</u>; "A Critical Review of the Application of Polymer of Low Concern and Regulatory Criteria to Fluoropolymers," <u>Integrated Environmental Assessment and Management</u>, <u>Henry, Barbara.J., et al.,14, 3 (2018): 316-334. DOI: 10.1002/ieam.4035</u>.

- **Dielectric properties**: dielectric properties cover low dielectric constant (Dk) and dissipation factor (Df) and are unaffected by fluctuations in temperature and humidity. This makes fluoropolymers a critical material for use in electronics and telecommunication applications.
- Weather resistance: fluoropolymers are able to maintain their physical properties even when exposed to harsh weather conditions, e.g., environmental degradation, including exposure to ozone, ultraviolet radiation and extreme temperatures. This makes them an essential material for architectural coating and films.
- **Durability**: fluoropolymers can withstand harsh conditions while maintaining their physical properties. This makes them particularly important for use in seals, gaskets, and wires and cables insulation.
- **Non-stick properties**: fluoropolymers prevent sticking, making them a material of choice for applications for which friction and adhesion are concerns is a concern.

This unique *combination* of properties underlies the irreplaceability of fluoropolymers in a wide range of applications, including those noted above. Alternative materials may be able to achieve comparable performance to fluoropolymers for one or a few specific parameters or properties, but overall, due to deficiencies in other properties, they have lower performance and other disadvantages as compared to fluoropolymers. Thus, while alternatives might be considered to be comparable in one or two areas of performance, they often fail to offer the combination of properties that fluoropolymers deliver. It is also important to highlight that, because fluoropolymers are generally more expensive than potential alternatives, for applications where the superior performance of fluoropolymers is <u>not</u> necessary, the market has already switched to non-fluoropolymer alternatives.

The unmatched performance of fluoropolymers across multiple areas of performance means that, for most applications in which fluoropolymers are used, attempting to substitute other materials for fluoropolymers will result in a loss of reliability and durability that in many instances will have negative effects on health, safety and the environment as well as negative economic impacts. For example, if a seal or gasket fails in a piece of heavy equipment or a heavy-duty vehicle due to temperature, chemical and mechanical stresses, the failure of that seal could threaten worker safety and result in releases of chemicals into the environment, in addition to causing economic losses due to repair costs and equipment down time. These adverse impacts are averted by the use of fluoropolymers.

Similarly, if a household or commercial appliance fails because a printed circuit board in the appliance was not protected by a fluoropolymer coating and suffered an electrical short as a result, the repair costs and, perhaps collateral costs (e.g., from spoilage) will cause economic loss to the consumer, which will disproportionately impact members of disadvantaged communities. Alternatively, in such a circumstance, the affected appliance might be disposed of prematurely, creating unnecessary waste, unnecessarily occupying landfill space, and unnecessarily consuming virgin resources to manufacture a replacement machine.

Because of the favorable health and environmental safety profile of fluoropolymers, as well as their irreplaceability in a wide range of products and applications that are essential to the daily lives of Maine residents and the daily operations of Maine businesses, fluoropolymers [GPC Brick Code 10008165] should be designated as CUU. Moreover, because fluoropolymers are critical components in such a wide range of essential products and applications, as illustrated by the examples described above, we believe it is impossible to compile a comprehensive list of essential products for which fluoropolymers are CUU – which is why fluoropolymers themselves should be designated as CUU. In this regard, we urge DEP to heed the admonitions of the US Department of Defense in their recent report surveying uses of PFAS compounds that are critical to the national security of the United States.² In that report, the Department concluded that:

PFAS are critical to DoD mission success and readiness and to many national sectors of critical infrastructure, including information technology, critical manufacturing, health care, renewable energy, and transportation.... Most of the structurally defined PFAS are *critical to the national security of the United States*, not because they are used exclusively in military applications (although a few are) but because of the civil-military commonality and the potentially broad civilian impact. (emphasis in original)³

Importantly, many of the critical PFAS applications identified by DoD are fluoropolymer applications. These include:

- subcomponents in modern Li-ion batteries: electrolyte solutions, cathode binders, separator coatings, casing materials, and gaskets;
- semiconductor fabrication;
- microelectronics applications, including base laminate materials used in Radio Frequency (RF) and microwave circuits;
- printed circuit boards;
- mold release agents and films typically used in composite manufacturing processes;
- hoses, tubing, hydraulic system lines, O-rings, seals and gaskets, tapes, and cables and connectors widely used in civil and military aircraft, space systems, vehicles, weapon systems, utility systems, and other applications;
- resins for specialty high-temperature or weather-/UVresistant composites; and

² US Department of Defense, <u>Report on Critical Per- and Polyfluoroalkyl Substance Uses</u> (August 2023), available at: <u>https://www.acq.osd.mil/eie/eer/ecc/pfas/docs/reports/Report-on-Critical-PFAS-Substance-Uses.pdf</u> ("DoD report").

³ Id. at 15.

• specialty filters and membranes (e.g., aviation filters).⁴

Finally, it is noteworthy that the Department of Defense spent nearly \$100,000 and took more than one year to complete its report. Nevertheless, the Department highlighted that the information on critical uses contained in the report "represents a fraction of the mission critical PFAS uses" due to a lack of knowledge about the composition of products and components. Therefore, DoD noted, "a more complete understanding of PFAS essential uses would require an extensive and complex evaluation of the market, a gap analysis of current requirements for manufacturer-provided product information, and illumination of the value chain of products." In other words, identifying all currently unavoidable uses of PFAS is a herculean task, and the DoD's year-long effort to catalogue such uses touched only the tip of the iceberg.

For this reason and others articulated above, we urge DEP to designate fluoropolymers (and articles manufactured from fluoropolymers) as CUU, since it is impossible to identify all individual products and components in which the use of fluoropolymers is currently unavoidable. Nevertheless, should DEP disagree with this approach, we have attempted in this submission to identify a range of specific applications where the use of fluoropolymers is essential and should be designated as CUU, as well as representative products within those applications. This information is summarized in the table below, with more detailed information for each application provided as attachments to this letter.

Sector	Representative application(s)	Attachment
	 Cable and wire coatings and sheathing for civil and military aircraft, aerospace, motor vehicles, watercraft, and other transportation modes, including high temperature sensor cables (e.g., sensor cables for emissions reduction and improvement of engine efficiency) 	
	 Mold release film for composites used for aircraft and helicopter fuselage, wings, etc. 	
Transportation	 Coatings for aircraft exteriors and interiors and motor vehicle exteriors 	<u>A</u>
	 Fuel cell components including: polymer electrolyte, catalyst ink binder for Proton Exchange Membrane Fuel Cell (PEMFC), as well as humidifier/drier in balance of system for fuel cell vehicle to control moisture of incoming hydrogen required for reliable and efficient operation of the fuel cell. 	
	 Hoses and tubes, including brakes hoses, hydraulic hoses and fuel hoses to reduce evaporative fuel emissions in combustion engine vehicles 	

⁴ Id. at A1-A7.

Sector	Representative application(s)	Attachment
	 Oil seal components, piston rings, shock absorbers, bearings and gasket 	
	• Lubricants where other lubricants are not suitable, such as bushings for car door hinges, and trunk lids	
	 In ABS and braking systems because of safety needs 	
	Coatings for engine parts, protection film	
	Semiconductors	
	Molding assist film for power semiconductors packaging	
	Coating for electronic semiconductor wires	
	Air and liquid filtration filters used in the semiconductor industry	
	 Molded products for semiconductor equipment, tubes/release sheets used during semiconductor processing 	
	Advanced Semiconductor Packaging	
	Pellicles for Semiconductor chip manufacturing	
	 Seals, gaskets, O-rings, packings, linings and coatings for pipes and joints for semiconductor manufacture 	
	Encapsulating material for UVC LED chip	
	Surface coatings of fixing films	
Electronics	 Batteries Solid-state lithium batteries for electric vehicles 	<u>B</u>
	 Printed Circuit Boards Mold release film in compression lamination of printed circuit boards, in semiconductors, optoelectronics components, standard packaging to protect memory chips and sensor devices used for mobile devices, data centers, and LED lens production 	
	Substrate for print circuit board	
	 Sound transmission membranes in circuit boards, antennas for mobile phones, technical / industrial linings, electromagnetic flowmeters 	
	 Cables & Wire, Other Coating material for wires, coaxial cables and various other cables for chemical resistance conforming with international factory mutual standards (fire risk reduction) 	

Sector	Representative application(s)			
	 Heat-resistant sheath wire in electronic equipment operating at high frequencies and high temperature 			
	· Optical fibers			
	 Antifouling and mold-release coating agent for touch panel glasses, lenses and mirrors; functional anti-smudge coatings applied to various substrates (e.g. glass, metal, plastic), removing sebum and fingerprints on exterior parts (e.g. cover glass, housing, camera module in portable devices) especially smart phones and other touchscreen applications; coatings for automotive use (e.g. instrument panels with touchscreen interface); adhesion prevention for glass and parts for multifunctional printers 			
	Plastic optical fiber (POF) in telecommunication			
	 Coating of special optical cables called "buffer tubes" 			
Communications	 Coating of signal cables 	<u>C</u>		
	 Tubes and machine or injection molded parts, printed circuit boards material for use in high-speed communication technology 			
	Tubes, catheters, etc			
	 Catheters for intravenous and inside body interventions; small "non-kink" tubes; endoscopy; pancreatic and biliary stents; foreign body retrieval devices; balloon dilators; needles, brushes and specialty items; single use snares in colonoscopies; endoprostheses 			
Medical devices and life sciences	 Gaskets; diaphragms in medical ventilators/respirators and sterile syringe filters; membrane filters for sterile venting of gases, aggressive fluids, acids & non-aqueous solvents, gas filtration and aerosol sampling; humidifier/drier membranes used in CPAP (Continuous Positive Airway Pressure) machines; breath gas analyzers. 	<u>D</u>		
	Artificial blood vessels			
	Dialysis-related devices			
	 Surface coating for medical devices 			
	 Packaging of terminally sterilized medical devices 			
	Coatings for biochip devices			
	Equipment & Manufacture			
	Laminate rubber stoppers			

Sector	Representative application(s)			
	Wire sheath material for medical equipment			
	Humidification or conditioning of various medical gasses			
	 Tubes, seals, gaskets, O-rings, lining of vessels, pipes, valves, hoses, process control devices, pumps, gas scrubbers, dryers, evaporators, heat exchangers and connectors for pharmaceutical manufacturing equipment 			
	 Coating for image plate of medical printing film 			
	 Roofing and façade material for membrane structures such as train stations, sport stadia, shopping malls, airports, exhibition centers, bridges, greenhouses for commercial-scale growth of fruits, vegetables, flowers, etc. 			
	 Sports facilities and sewage disposal facilities 			
Construction and	 Light weight and composite constructions (development / future application) 	E		
Infrastructure	Heat-resistant flexible wire			
	 Architectural coatings and paints 			
	Sliding bearings			
	 Anti-graffiti overlay for traffic signage / safety 			
	 Laminate films to provide antifouling and touch-proofing of metals, fire and heat resistance and oil resistance to kitchen hoods 			
	Food industry			
	 Seals, O-rings, gaskets, tubing and pipes, valves and fitments, tank linings, sensor covers, and non-adhesive coating for food equipment 			
Food Contact	Lining of food cans	<u>F</u>		
and Processing	Ion exchange membranes	÷		
	 Industrial-scale food and feed processing equipment, in seals, tubes, pipes, hoses, o-rings, gaskets, valves and fitments, conveyor belting, tank lining, filter membranes, sensor covers, lubricants and equipment specific to food and feed transport. 			
Energy	Oil & Gas and Mining			
- 01	 Cables and cable outer "jackets", including sub-sea heating cables and self-regulating heating cables. 			

Sector	Representative application(s)	Attachment
	Structural or fluid handling components	
	Coating resin material for electrical wires for crude oil drilling	
	 Wire insulation for downhole sensor cables, extract duct coating, trace heating for cold production areas, and self-regulating heating cables for cold areas 	
	Dehumidification of sample gas for analysis	
	 Packers, blow out preventers, seals, gaskets and O-rings 	
	Nuclear	
	 Cables and wires, including cables of control rooms, sensor cables, and general cables for the industry. 	
	Photovoltaics and Wind	
	 Building integrated photovoltaic (BIPV) modules, solar panels, molding wind turbine composites 	
	 Next-generation solar cells for BIPV and megasolar projects 	
	Coatings for PV modules	
	 Coatings for wind turbine blades and towers 	
	Hydrogen	
	 Proton Exchange Membrane Electrolyzer (PEMEL): water electrolysis, electromechanical hydrogen compressors and purification and electrolysis plant for renewable hydrogen production 	
	Other	
	 Separator for REDOX flow batteries 	
	 Exchange Membrane Electrolyzer for anion exchange membrane water electrolysis (AEM) 	
	Binders for electrode materials in batteries	
	 Release films used for photovoltaic cells, proton exchange membrane of fuel cells, Li-ion batteries 	
	 Key polymer electrolyte, also used as a key ingredient of catalyst ink's binder for Proton Exchange Membrane Fuel Cell (PEMFC)) 	
	 Coating of tidal power cables 	
	 Humidification or conditioning of various gases 	

Sector	Representative application(s)	Attachment	
	Chemical Industry		
	 Coating material for industrial wires, coaxial cables and various other cables 		
	 Hoses, tubes, gaskets and other seals 		
	Distillation column packings		
	 Rotolining or electrostatic coating, e.g., vessels, tanks, pipes, tubes, elbows, complex manifolds, pump casings and filter housings 		
	 Electrodialysis processes for wastewater treatment (desalination and salt concentration) and separation of organic components and inorganic salts (cosmetics, medicals, food, medicine, and purification of intermediates in inorganic synthesis) 		
	Expansion joints, compensators and bellows		
	 Bearings, ball joints, hinges, calipers, valves 	H	
Manufacture/ Processing	 Ion exchange membranes for production of caustic soda, potash, chlorine for use in end products such as: paper, aluminum, wind turbines, hydrazine used in fuel cells, rocket fuels, pharmaceuticals, antiseptics, nylon, EDTA, soaps, cleaning agents, household bleaches and germicides, and many organic and inorganic chemicals 		
	Metal Plating		
	 Acid recovery (acid and metal salt separation process by electrodialysis/diffusion dialysis) 		
	Water treatment		
	Industrial water treatment; electrodialysis		
	Lubricants		
	 Solid lubricants where other lubricants are not suitable; thread seal pastes 		
	 Coatings for improved rub and scuff resistance, reduction of friction, chemical inertness and temperature resistance and to impart release characteristics (e.g., mold release agents) 		
	Misc. Equipment		
	 Manufacturing equipment such as belts, rollers, heat-sealers in dying, laminating, drying processes 		
	 Dryers used to remove moisture from gas samples prior to analysis to improve signal resolution 		

Sector	Representative application(s)	Attachment
	 Dehumidification or humidification pretreatment in pneumatics or compressed gas 	
	 Manufacturing equipment, including seals, hoses, gaskets, o-rings, valves, linings in vessels, pipes, reactors, process control devices, pumps, gas scrubbers, 3D printers 	

We would welcome the opportunity to discuss this request with you, and we would be happy to provide you with additional information regarding the products and applications identified in this submission. Should you have any questions or concerns, please reach out to Ahmed El Kassmi at 610-423-4312 or by email at <u>ahmed.elkassmi@agc.com</u>.

Sincerely,

Christopher F. Correnti President and CEO AGC America, Inc.

A. S.L. Km

Ahmed El Kassmi, Ph.D Director, Product Stewardship & Regulatory Affairs AGC Chemicals Americas, Inc.

Attachment A -- Transportation Applications

Safe, reliable and accessible transportation is the lifeblood of our economy and is an essential feature of modern life. Fluoropolymers perform critical and irreplaceable functions for all modes of transportation.

Fluoropolymers are used for sheathing for cable and wire used in motor vehicles (on- and offroad), civil and military aircraft, spacecraft, watercraft, and other modes of transportation. Fluoropolymers are essential for this application because they provide flexibility plus durable and reliable protection against extreme temperatures, aggressive fluids such as hydraulic fluids and fuels, humidity, vibration and compression. For example, aircraft wires must comply with the international standard SAE AS22759, which requires temperature resistance of -65 ~ 200 °C, and similar high performance is required for electric vehicle (EV) cables. Potential alternative materials, such as polyvinyl chloride, polyethylene, alkane-imide and polyamide are not suitable for these applications due to one or more of the following deficiencies: inadequate heat resistance, poor arc resistance, poor moisture resistance, or cracking. Similarly, fluoropolymers are essential to satisfying international standard for automotive cables, ISO 6722-2, which cannot be satisfied by these potential alternatives. Only SIR (silicone rubber), polyether ether ketone (PEEK), mica, and ceramic can provide similar heat resistance as compared to fluoropolymers, however they fail to ensure similar mechanical strength and chemical resistance. Thus, the use of potential alternatives would lead to premature deterioration of the wire sheath material (insulation degradation or insulation breakdown), which could lead to electrical leakage, resulting in equipment failure, electrical shock and fire hazards. Furthermore, fluoropolymers have superior electrical properties (low dielectric constant and low dielectric loss tangent) compared to potential alternatives (dielectric constant below 2,1 kHz and dielectric loss tangent below 0.0002 kHz). This becomes increasingly important as larger volumes of data are transmitted and the wavelengths used shift toward higher frequencies, which are more susceptible to attenuation during transmission. Fluoropolymers are the material with the least loss during this transmission and are the most suitable insulating material for high frequencies.⁵

Fluoropolymers also provide critical functionality for hoses, fuel lines and gaskets and seals (such as crankshaft seals, transmission seals, pinion seals, and shock absorber seals) which

⁵ Notably, only fluoropolymers can meet the following international standards for automotive cables: ISO 6722-1; ISO 6722-2; LV 112-1; LV 112-2; LV 112-3; LV 112-4; LV 122; LV 212; LV 213-1; LV 213-2; LV 216-1 and LV 216-2.

require the following combination of properties: durability, heat resistance, oil and fuel resistance, flexibility and sealing. These properties are essential to assure that vehicle fluids do not leak, resulting in potential safety concerns, human and environmental exposures, decreased reliability and increased repair costs. For consumers (and personal vehicles), increased repair costs would disproportionately impact disadvantaged communities. Only fluoropolymers can provide the required properties to satisfy the relevant standards for Rubber Products in Automotive Applications (ASTM D2000). According to the standard, in operating environments surpassing 250 °C, type H or higher (required heat resistance) and class K (required oil resistance) should be used, meaning that only fluoroelastomer-based rubber can meet the performance level. Non-fluorinated materials, such as silicone, do not provide the same level of performance, and do not fulfil industry standards. At the current time, there is no prospect of technically or economically feasible substitution.

In fuel lines, the use of fluoropolymers ensures the necessary flexibility without the need for a corrugated structure, which reduces the loss of efficiency due to air contamination and eliminates the need for replacement as there is no deterioration. The primary alternative, PA6 (polyamide 6), has poorer barrier performance therefore increasing the likelihood of fuel vapor leaking into the environment. This is essential as environmental requirements for motor vehicles, in terms of fuel emissions reductions, become increasingly stringent.

Fluoropolymer membranes are also essential for fuel cells used in transportation, providing essential release properties, chemical durability to solvents of catalyst ink, and non-contaminating to platinum supported carbon and ionomer binders for suitable catalyst layer formation of fuel cells. The operating conditions inside fuel cells are harsh, with OH radicals being constantly generated at operating temperatures of 60 to 100 °C. Non-fluorinated polymer materials can only be used for short periods of time, as the polymer decomposes, rendering operation impossible. For example, the Fenton test (test method for fuel cells) shows that hydrocarbon-based materials degrade five times more than fluorinated materials. If non-fluorinated materials such as PEEK-(Polyetheretherketone) based hydrocarbon electrolyte polymers are used in fuel cell vehicles, the critical components of the fuel cell (stack parts) will need to be replaced more frequently, which means that the operating time of the fuel cell vehicle would not be assured and the amount of waste generated considerably higher. Fluoropolymer membranes are the only materials that can withstand operation for tens of thousands of hours in the presence of radicals. They are also the only materials that allow the cell to operate at high power density.

The US Department of Energy (DOE)⁶ standard for fuel cell vehicles include high performance and continuous service life, and fuel cells using fluoropolymer ionomer membranes can be used continuously for more than 25,000 hours. In addition, vehicles need to be able to generate electricity instantaneously, which solid oxide fuel cells are not able to do, making them unsuitable for automotive applications. Hydrocarbon-based electrolytes are not durable for the required length of operation time in stationary applications such as back-up power for datacenter. Although other types of stationary power generation exist, such as SOFCs (solid oxide fuel cell) made of inorganic materials, they are not suitable for generating instantaneous power. Fluoropolymer humidifier/drier membranes are also essential in fuel cell electric vehicles to control moisture of the incoming hydrogen, which is necessary to ensure reliable operating conditions of the fuel cell.

Fluoropolymers are also essential to EV batteries, which need higher voltages and, in turn, require greater heat resistance and superior insulation properties for sealing materials. Fluoropolymers provide sufficient heat resistance and insulation to withstand high voltages associated with EV batteries. They are also essential for use as binders in EV batteries, due to their ability to function in strong oxidization environments. None of the potential alternatives to fluoropolymers simultaneously meet the required chemical, heat, and voltage resistance, as well as adhesion to the substrate. If used as binders, these alternatives will be oxidized and tattered. Fluoropolymers used as a binder in Li-ion batteries provide extended lifetime and performance over a broad range of conditions. Those batteries are the central component of EV and their use is expected to increase significantly until 2030 and beyond.

In addition, fluoropolymers are required to bring ORFBs (Organic Redox Flow Batteries) to the market, to provide superior performance to rechargeable batteries and reduce greenhouse gas emissions. The use of fluoropolymer anion exchange membranes will also offer high durability and stability in the ORFB application. These applications - highly dependent on the use of fluoropolymers - will be the cornerstone of the decarbonization of US transportation. Also, for power semiconductors used in fuel cell vehicles and battery electric vehicles, fluoropolymer film is essential to provide the required properties of non-adhesion, high melting point (200-280°C) and mechanical properties at molding temperatures (100-200°C). Those properties are needed to prevent contamination of semiconductors and protect molding equipment and therefore ensure high performance and energy efficiency of fuel cell vehicles and battery electric vehicles.

⁶ Fuel Cell Technologies Overview, US Department of Energy, Arlington V.A., 2023. <u>Fuel Cell Technologies Subprogram Overview</u> (energy.gov) <u>https://www.hydrogen.energy.gov/pdfs/review23/fc000_papageorgopoulos_2023_o.pdf</u>

Fluoropolymers are also essential for aircraft exterior coatings, to protect the aircraft from harsh environmental conditions during flights at high speed of about 800 to 900 km/h (e.g., temperature spikes and drops, atmospheric pressure, friction, strong ultraviolet rays, dust, rain, hail, etc.). They also prevent oxygen seepage, reducing the likelihood of corrosion of the fuselage. Fluoropolymer coatings also provide improved fuel efficiency due to reduced icing (wings and fuselage) and high resistance to physical friction (e.g., wind at the wing sections). Use of fluoropolymer exterior coatings also allows for reduced volatile organic compounds (VOC) and CO2 emissions by lengthening the period of re-coating, and reducing the energy demand required for repainting. Fluoropolymers are difficult to replace for this application due to the exacting industry standards required to be met.^{7,8,9,10} Fluoropolymer coatings are also important for aircraft interiors, to provide excellent stain resistance as well as color and gloss retention, while satisfying applicable smoke and fire prevention criteria.

There are no suitable fluorine-free alternatives available that would provide the same level of protection as fluoropolymer coatings. Only two-component polyurethane paints, that were used before the introduction of fluoropolymer coatings, have been identified as readily available and potential alternatives. However, using non-fluoropolymer materials that are less weather resistant than fluoropolymers will increase the maintenance frequency significantly. A comparison of high weather resistance, chemical resistance, and room temperature baking and manufacturing show that non-fluoropolymer material has a product lifecycle of approximately 5 years, compared to 10 years for fluoropolymer coatings.^{11,12}

Similarly, fluoropolymer-coated automotive films provide weather, heat and corrosion resistance that performs 3-5 times better than available alternative materials, ensuring the longest lifetime of vehicles, the least efforts and costs for removing and re-applying the film to protect the car's appearance in the long term. Non-fluorinated alternatives do not provide the same level of performance. For example, polyurethane film causes reduced performance (e.g., deteriorated dirt

⁷ Aerospace Industry Standards, NQA, <u>What Standards Apply to the Aerospace Industry? https://www.nqa.com/en-us/certification/sectors/aerospacehttps://www.nqa.com/en-us/certification/sectors/aerospacehttps://www.sae.org/standards/aerospacehttps://enhancequality.com/standards/aerospace</u>

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⁸ Aerospace Quality Standards, QSE. <u>Quality Systems Enhancement | Aerospace Quality Standards (enhancequality.com)</u>

⁹ ISO-25.220, Surface treatment and coating including processes and equipment for surface treatment and coating. <u>ISO - 25.220</u> - <u>Surface treatment and coating</u>

¹⁰ ISO-25.220.60, Organic coatings. <u>ISO - 25.220.60 - Organic coatings</u>

¹¹ Wind Hullo Topcoat. <u>wind hullo.pdf (nttoryo.co.jp)</u>

¹² Wind Hullo Topcoat. <u>wind_hullo.pdf (nttoryo.co.jp)</u>

removal when insects adhere to the film), resulting in increased frequency of film replacement and manual cleaning. Acrylic films do not provide the same level of acid resistance that is required to protect the roof of a car. Finally, polyester films provide inferior protection of gloss in continuous accelerated weathering tests and natural exposure tests, and is inferior to fluoropolymers in terms of weather, heat and corrosion resistance, stain protection, and self-healing.

Representative GPC Brick Codes of CUU products:

As discussed previously, and consistent with conclusions of the Department of Defense, it is impossible to enumerate every individual product or product category in which the use of fluoropolymers is CUU. However, since DEP has requested that stakeholders identify CUU products by GPC Brick code or HTS code where available, provided below is a list of GPC Brick codes for products or product categories in the transportation sector that are representative of the range of products in that sector that are CUU.

GPC Brick Codes: 10008049; 10005232; 10005233; 10006383; 10006382; 10005131; 10006846; 10005132; 10003084; 10003083; 10003080; 10002906; 10003105; 10003106; 10003762; 10003029; 10006374; 10008291; 10006772; 10006373; 10006773; 10008339; 10008050

Attachment B – Electronics Applications

Safe, reliable, affordable and durable electronics and electrical components are essential to virtually all facets of modern life, and fluoropolymers are essential to enabling those technologies. This is exemplified by the CHIPS Act, which is intended to ensure US leadership in "the technology that forms the foundation of everything from automobiles to household appliances to defense systems."¹³

Semiconductors

Fluoropolymers are essential in the manufacturing of semiconductors, in wet cleaning and wet etch processing equipment, where high purity, high chemical and temperature resistance and low flammability are required. Fluoropolymer resins with an adhesive function provide the ability to bond to metals including copper and other polymers (e.g., polyimides and polyamides). Adhesive fluoropolymers provide critical benefits as coatings for chemical resistance. They are essential because of their ability to not react with other chemicals, not to leach contaminants that could potentially negatively impact yield and to be stable under process conditions including elevated temperature. Fluoropolymer tubing additionally presents the advantage of being highly flexible, which allows for easier design and implementation in wet etch processing equipment and fluoropolymer coating on metal parts provides corrosion protection, allowing for increased efficiency as no primer or adhesive interlayer is needed.

Fluoropolymers are essential as pellicle films used in the photolithography process for the protection of photomasks from particle contamination in semiconductor lithography processing. They provide practical light resistance for the excimer laser in an environment that is irradiated with exposure wavelength ArF (193nm) and KrF (248nm), and achieve superior light transmittance through extremely high transparency (>95%). Additional essential performance requirements for pellicle film provided by fluoropolymers are uniformity of film thickness which helps avoid the tearing of the film, and low refractive index, and extinction coefficient which ensures that the light maintains a straight path without losing power. Fluoropolymer film

¹³ The White House, FACT SHEET: CHIPS and Science Act Will Lower Costs, Create Jobs, Strengthen Supply Chains, and Counter China (Aug 9, 2022) available at <u>https://www.whitehouse.gov/briefing-room/statements-</u>releases/2022/08/09/fact-sheet-chips-and-science-act-will-lower-costs-create-jobs-strengthen-supply-chains-and-counter-china/

coatings to a thickness of a few sub-microns, are essential for semiconductor innovation and associated node size reductions.

Fluoropolymers are critical as a UVC transparent window material or encapsulant for UVC LEDs to extract higher levels of light (i.e., to optimize the use of energy/electricity) from the UVC LED chip. Fluoropolymers are the only material that can simultaneously achieve the performance required for transparent encapsulants and for UVC LEDs, namely UVC durability, electrical insulation and water vapor barrier properties, which also ensure the proper functioning of the UVC LED. Synthetic quartz is not a suitable alternative for UVC-LEDs as it requires adhesives to be used as the encapsulation materials, which easily deteriorates with strong UVC light. Other potential alternatives such as acrylic resin, generally absorb the UVC light and cannot be used for this application.

Top Anti-reflective coatings (TARC) are widely used in the semiconductor industry, particularly in the manufacturing of semiconductors, integrated circuits, printed circuit boards, and other related components. TARC helps to reduce unwanted reflection of light from the surface of these materials, thereby improving optical performance and increasing efficiency. This coating is crucial for enhancing the functionality and guality of various electronic devices, ensuring better performance, and reducing the losses caused by reflections. The performance requirements of TARC material and its raw polymer are to simultaneously meet low refractive index, low surface energy, and solubility in water and developer. TARC material made of fluoropolymers has a unique performance superiority, such as low refractive index, low surface energy, and simultaneous solubility in water and developer. These performance requirements are directly related to the yield of commercial semiconductor manufacturing and process suitability. To our knowledge, there is no suitable alternative to fluoropolymer TARC material on the market. Similarly, BARC (Bottom Anti Reflective Coating) is an inadequate alternative to TARC, since BARC is only used for the production of certain semiconductors that have narrow patterning made by ArF immersion or EUV, as it requires a completely different manufacturing process and setting from those utilizing TARC made of fluoropolymers. Therefore, BARC is not considered a realistic and viable option for most semiconductor manufacturers to replace TARC. Furthermore, BARC is generally an inorganic layer stuck to the wafer and needs dry etching process to be removed from the wafer surface. On the other hand, TARC is easily removed together with photoresist at once due to performance requirements.

Thermal processes such as rapid thermal processing, Low Pressure Chemical Vapor Deposition, oxidation, diffusion and lamp annealing in semiconductor manufacturing processes require

significant chemical and heat resistance. The ultra-high temperature (up to 260°C), plasma resistance (exposure to O2 plasma, F2 plasma or a mixture of both) and insulation properties (high breakdown voltage) of fluoropolymers make them invaluable for semiconductor fabrication processes (and other industrial extreme high-temperature areas) requiring elastomer seals. Also of critical importance, fluoropolymers do not form particle contaminants because they have high chemical bonding energy, making them resistant to plasma cleavage. This ensures that no particles adhere to the wafer, causing malfunctions. To our knowledge, there are no potential substitute materials that satisfy these requirements.

Fluoropolymers are also essential for the packaging of semiconductors, as they are the only material that can provide the necessary properties of high-temperature durability, anti-static, easy release, and mechanical properties (e.g. tensile elongation of 600% or above, elastic modulus of 70MPa or below) to package semiconductors without deforming or damaging chips, particularly at high temperatures ranging 150 – 220 °C. Other films, such as polyethylene, polybutylene telephthalate (PBT), and polyvinyl chloride (PVC) have inferior mechanical properties and less flexibility at high temperatures compared to fluoropolymer film and can cause damage/deformation of chips and decrease in productivity due to oligomer contamination.

Fluoropolymers also exhibit superior electrical properties (low dielectric constant and low dielectric loss tangent) compared to other potential alternatives (dielectric constant around 2,1 and dielectric loss tangent below 0.0002). Those properties maintain signal integrity and reduce transmission loss, and they also have a low thermal expansion coefficient as heat is generated. As increasing volumes of data are transmitted, the wavelengths used are shifting toward higher frequencies, with higher frequencies being more susceptible to attenuation during transmission. Modified polyimide and liquid crystal polymers have been evaluated as alternative materials, but none of these materials has achieved electrical properties comparable to those of fluoropolymers. The dielectric loss tangent of both "alternative" materials is more than 0.001. High-speed data communication requires higher frequencies, so there is a possibility that communication speeds may reach a ceiling if fluoropolymers are not used. To our understanding, no alternative has been found to be able to meet one or more essential functional quality characteristics of fluoropolymers such as adhesion, dielectric constant and ability to process, and there is no prospect of technically or economically viable alternatives at present.

In addition to the critical functions described above, fluoropolymers also play an essential role in assuring the "clean room" environment necessary for semiconductor manufacture. Specifically, fluoropolymer membranes are used to meet the high-performance filter standard EN 1822 (ULPA,

HEPA) which is essential for semiconductor and LCD related plants and equipment. These fluoropolymer membrane filters combine high air permeability and collection efficiency, and can reduce power costs compared to non-woven filters and glass filters made of other materials. In addition to their superior performance, fluoropolymer filters do not have the potential to leach boron (B), which is present with glass filter media, and can adversely affect the performance of semiconductors. Similarly, filters with both high chemical resistance and filtration performance are required for filtration of chemicals used in semiconductors. Fluoropolymer membranes are among the few materials that can satisfy these requirements. Ceramic, a potential alternative, has high chemical resistance but is very expensive and therefore difficult to replace for economic reasons.

For more information on the importance of fluorine materials used in the semiconductor manufacturing process, please refer to the technical documents available on SIA (Semiconductor Industry Association) website.

Batteries

Fluoropolymers are essential components of high-performance lithium-ion rechargeable and lithium metal rechargeable batteries. High power and energy dense batteries require very thin high-performance gaskets. For gaskets to function optimally, proper thermal functionality is essential, for which a stable and compressive polymer providing a high degree of insulation to withstand very high currents of up to 280 amps is needed. Chemical resistance is also a requirement. The high compressive and moisture properties of fluoropolymers are required to enable adequate, reliable gasket performance. Other potential methods and materials such as multilayer construction do not provide long-term and leak-proof lining systems and would lead to frequent maintenance intervals. In addition, materials such as high-alloy steels (e.g., hastelloy, inconel, titanium, zirconium) are not sufficiently chemical-resistant.

For nickel-metal hydride batteries, the binder is required to have strong alkali resistance and the polymers need to be dispersed. This essential functionality is provided by fluoropolymers, which also may be used in the electrodes of lithium-ion batteries, where chemical resistance and low flammability are key requirements. Furthermore, to meet increasing performance demands, next generation batteries with higher functionality will need binder materials that can meet more exacting requirements in terms of alkaline solution concentration and voltage (oxidation potential of the cathode). Fluoropolymers are essential for providing these functionalities.

Studies assessing alternatives to fluoropolymer binders have been conducted without finding promising non-fluorinated alternatives.¹⁴ None of the potential alternatives simultaneously meet the required chemical, heat, and voltage resistance, as well as the adhesion to the substrate at a level comparable to fluoropolymer materials. Within battery applications, fluoropolymers are mainly used as binder because of their ability to function in strong oxidization environments. For lithium-ion batteries at positive electrodes, more than 4V is occurring, which causes oxidization. When applying non-fluorinated polymers as binder, these polymers will be oxidized and tattered. As holes are generated these polymers lose their function as a binder.

Printed Circuit Boards

Fluoropolymers are essential components of copper-clad laminates (CCL), the key material in printed circuit boards, because they combine the critical performance characteristics required for this application: heat resistance (solder reflow endurance temperature 300 °C), solder resistance, water resistance, good adhesion to copper foil, and low dielectric constant. We are not aware of suitable alternatives that provide equivalent performance and reliability. Fluoropolymers are also used to coat printed circuit boards to provide protection against moisture or other contamination that might lead to short circuit and device failure.

Wire & Cable

Insulating fluoropolymer coatings are essential components of wire and cable, to assure the safety of the structures within which wire and cable are used, and to comply with factory mutual standards including FM 4922 – the Global Specification for ventilation/duct extract systems. Fluoropolymer sheathing is essential for this because of their superior chemical and heat resistance and their non-flammability.

Fluoropolymer coated wire is rated to at least 260 °C, which provides the necessary protection for use in automotive, aerospace and industrial high temperature applications. These include thermocouples, self-regulating heater cables and any location where a temperature of above 200 °C is needed for extended periods of time. In addition, fluoropolymer coated wire is used in high voltage, high frequency heating cables, needed in many subsea applications that require high temperature resistance, low dielectric losses and the ability to withstand electrical and chemical breakdown over a long service life. Fluoropolymers are critical for achieving these performance requirements. Similarly, self-regulating heater cables are used for freeze

¹⁴ Application for Derogation from PFAS Restrictions For Specific Uses in BATTERIES, Battery association of Japan, available at: <u>https://www.baj.or.jp/about/ades5k0000001vxx-att/ades5k0000001wa9.pdf</u>

protection and process maintenance. In high temperature and high chemical resistance heating, fluoropolymers are necessary to both conduct electricity in the inner layer of the cable, as well as provide insulation in the outer layers.

Because of their low dielectric constant, fluoropolymers used in wires and cables confer a low dielectric loss up to 250 °C. Potential alternatives such as polyolefin-based materials do not offer a viable substitution potential, as their applications are limited to temperatures below 80-100 °C. Polyimide (PI) and liquid crystal polymers (LCP) are also potential alternatives to fluoropolymers for wire and cable and electronics coatings more broadly. However, both PI and LCP are unsuitable for many applications, due to their high dielectric constant.

Finally, as a technology enabling future innovations, fluoropolymer coated wire and cable is ideally suited for use in electric engines in aerospace applications. These require high temperature, high voltage and high frequency low loss performance. Partial discharge issues affect these cables at high altitude so semi conductive fluoropolymers are a promising solution. As such, fluoropolymers will be a critical material in the long-term decarbonization of air-travel.

Other

Electronic equipment with touchscreen interfaces, such as smart phones and tablets, require a smudge-resistant, easy-to-clean surface to maintain optimal performance. Fluoropolymerbased functional coatings are both hydrophobic and oleophobic and provide excellent waterand oil- repellency to such surfaces by forming an extremely thin monomolecular layer on the surface. Moreover, fluoropolymer-based functional coatings impart these benefits with no change in optical properties and they provide high resistance to abrasion (e.g., steel wool), UV exposure (e.g., outdoor sun light), and chemicals (e.g., acid, base, and a set of solvents).

Conventional anti-fouling and mold-release coatings exhibit low abrasion resistance, which causes them to wear-off quickly from friction as they are used. This makes it necessary to apply overly thick coatings or reapply frequently to keep the desired effects. Additionally, some coating agents have no oil repellency, a drawback that makes them prone to build up fingerprints, sebum, and other oily smudges. Other possible alternatives include washing with mild soap or wiping with alcohol; however, these are liquid-based and moisture, or excessive wiping might cause damage to the equipment.

Representative GPC Brick Codes of CUU products:

As discussed previously, and consistent with conclusions of the Department of Defense, it is impossible to enumerate every individual product or product category in which the use of fluoropolymers is CUU. However, since DEP has requested that stakeholders identify CUU products by GPC Brick code or HTS code where available, provided below is a list of GPC Brick codes for products or product categories in the electronics sector that are representative of the range of products in that sector that are CUU.

GPC Brick Codes: 10005757; 10005754; 10005759; 10005758; 10005541; 10000546; 10000704; 10000548; 10008395; 10005211; 10008390; 10008394; 10005661; 10005662; 10005667; 10005559; 10008363; 10001122; 10001123; 10001125

Attachment C – Communications Applications

Fast, reliable data and voice communication is an essential feature of modern society, and a major contributor to health and safety. Fluoropolymers are irreplaceable in enabling this technology.

In the telecommunications sector, fiber optics is a critical technology allowing the fast transmission of large amounts of data. Amorphous fluoropolymers are used in plastic optical fiber cables due to their excellent light transmission. As data transmission speeds increase (>10Gbps), optical data transmission will become more efficient and low attenuation of light rays in the 650-1300nm laser wavelength range for data communication is required. Fluoropolymers provide this functionality. In addition, when wiring indoors or in automobiles or aircraft, data must be transmitted correctly and reliably even when the cable is bent because it passes through narrow spaces. Plastic optical fibers made of fluoropolymers enable the necessary flexibility and durability, whereas potential alternatives cannot provide that required functionality. For example, acrylic resin and quartz glass are considered as alternative candidates for optical fibers. However, acrylic resin is not suitable for high-speed data transmission due to its high transmission loss in the 650-1300 nm range. Meanwhile quartz glass is not suitable for installation in confined spaces, e.g., indoors, in automobiles and airplanes, because of safety risks stemming from reduced amounts of information being transmitted (i.e. information exchange in airplanes and cars is lost) and its intrinsic risk of fiber break due to bending.

Fluoropolymer "buffer tubes" are also used to hold and carry fiber optic cables to protect them from the potentially harsh adjacent environment and thereby enhance the reliability and integrity of data being transmitted. Similarly, fluoropolymer insulation is critically important for tidal power/signal cables, to provide temperature and chemical resistance necessary for protracted exposure to sea water. More generally, fluoropolymer sheathing also provides excellent dielectric properties and therefore improved performance for high-volume data transmission and connectivity.

Fluoropolymer coatings on circuit boards also ensure low signal loss, which is essential to the future of 5G or higher transmission speeds. By comparison, potential alternatives are inadequate. For example, Polyimide (PI) has a high dielectric constant of 3.0 due to the presence of polar groups in its structure and cannot be used for high-speed communications after 5G, while Liquid Crystal Polymer (LCP) has a high dielectric constant of 2.9 and also

cannot be used. Fluorine has low dielectric loss due to its low polarity and is essential in the 28 GHz band used for high-speed communications after 5G. In high-speed wireless communication signal transmission, the characteristic impedance of printed circuit boards must be matched to 50 Ω . For this purpose, it is important to have a low dielectric constant, as provided by fluoropolymers.

Representative GPC Brick Codes of CUU products:

As discussed previously, and consistent with conclusions of the Department of Defense, it is impossible to enumerate every individual product or product category in which the use of fluoropolymers is CUU. However, since DEP has requested that stakeholders identify CUU products by GPC Brick code or HTS code where available, provided below is a list of GPC Brick codes for products or product categories in the communications sector that are representative of the range of products in that sector that are CUU.

GPC Brick Codes: 10001379; 10001380; 10001382; 10003779; 10001385; 10001386; 10001198; 10001123; 10001122; 10001126; 10001172; 10001170; 10001124; 10001116; 10001141; 10001142; 10001145; 10006276; 10001147; 10006743

Attachment D – Medical and Life Sciences Applications

Medical devices and the equipment and devices needed for medical and life sciences research are, *per se*, essential to health. Many of these technologies would not be possible without fluoropolymers.

Fluoropolymers are essential components of endoscopes, catheters, laparoscopic devices, stents, balloon dilators, needles, brushes, pacemakers, artificial blood vessels, dialysis-related devices, stent surface coating and other items inserted or implanted into the body for diagnostic or therapeutic purposes. Fluoropolymers are necessary for these applications because of the combination of properties they possess. Specifically, they are biocompatible, resistant to contamination and easy to clean, resistant to bodily fluids as well as chemicals (such as chemical sterilizers) and irradiation, do not degrade in heat (and are therefore autoclavable), corrosion resistant, and have a low dielectric constant and therefore superior electrical insulating capabilities. Similarly, fluoropolymers do not stick to surfaces and are anti-kinking. These properties are of utmost importance for devices requiring high lubricity and flexibility in navigating human physiology. Potential alternatives cannot provide the same functionality as fluoropolymers in these critical applications. For example, the low mechanical strength and tearability of silicone-based materials agents can lead to higher risks of contamination.

Fluoropolymers are also used as wire coating materials for medical equipment. Medical equipment has many hinge parts that bend and stretch, requiring high mechanical strength in addition to insulation and flame resistance. Silicone materials are known as alternatives, but they are not used due to their low mechanical strength and tear resistance.

Biochip or analysis chips (microfluidic devices) for medical and DNA diagnostic applications use fluoropolymers to impart water and oil repellence and electrical insulation. By forming a fluoropolymer coating on a glass surface, it is possible to produce fine hydrophilic / hydrophobic patterns that serves as a dielectric, hydrophobic surface in electrowetting. The fine patterns ensure that a fluorescence observation can be carried out. Fluoropolymers also provide low autofluorescence and a refractive index which is close to that of water (1.34), which ensures that it can easily be read with a microscope. Furthermore, due to its wettability control, fluoropolymers enable a change of wetness under an electric voltage, which allows for the manipulation of microscopic droplets. Potential alternatives studied to date demonstrate inferior or inadequate performance. For example, Parylene and PDMS lack the required water and oil

repellency, anti-biofouling property, and chemical resistance for electrowetting on dielectric (EWOD) devices, and glass substrates lack the required high water and oil repellency, only reaching contact angle of 44 degree for water and 21 degree for oil (n-hexadecane).

Fluoropolymer membranes are also essential in gas analysis and applications requiring humidification and/or dehumidification. In the medical sector, breath gas analyzers are needed to monitor the effects of drugs on patients, metabolism and other diagnostic purposes. Humidifier / drier membranes have a key role in controlling the level of moisture in oxygen or other gasses administered to patients and can be used in moisture-wicking sampling lines for intubated and non-intubated patients in low-and high-humidity applications. There are no adequate substitutes for these applications. For example, hollow fiber humidification modules present risks of oxygen leakage. In addition, potential alternatives provide lower detection accuracy and response performance of capnography and asthma analyzers, leading to impaired patient monitoring. Fluoropolymers are needed for high water vapor selective permeability, high separation ratio with other component gases, and a non-porous membrane to prevent the permeation of bacteria.

Fluoropolymer coatings also play an important role in diagnostic imaging, by preventing contamination or soiling of the image plate. Without the protection of a fluoropolymer coating, if contamination occurs at the time of imaging, the patient may have to undergo additional imaging or, even worse, the distortion in an image may lead to misdiagnosis. To our knowledge there are no suitable alternatives for diagnostic imaging plates that provide the comparable protection against surface contamination.

Representative GPC Brick Codes of CUU products:

As discussed previously, and consistent with conclusions of the Department of Defense, it is impossible to enumerate every individual product or product category in which the use of fluoropolymers is CUU. However, since DEP has requested that stakeholders identify CUU products by GPC Brick code or HTS code where available, provided below is a list of GPC Brick codes for products or product categories in the medical and life sciences sector that are representative of the range of products in that sector that are CUU.

GPC Brick Codes: 10000849; 10000852; 10000847; 100005844; 10008118; 10000456; 10000457; 10000912; 10000922; 10000681; 10000901; 10000883; 10000916; 10000877; 10000878

Attachment E – Infrastructure and Construction Applications

Reliable construction and infrastructure form the backbone of modern society and are essential to its continued functioning and existence. Fluoropolymers play an essential role in preserving and protecting infrastructure and enabling the reliable, effective and sustainable construction practices that are essential to the continuation of modern society.

Fluoropolymer-based coatings (FBCs) offer superior performance, service life, sustainability, appearance and value for applications on a wide variety of metal substrates used in commercial and monumental building projects. These fluoropolymer-based systems include film-forming binder resins used in settings where extreme durability and lifespan of several decades or more are needed to provide substrate protection. FBCs extend the lifespan of the underlying materials and are a critical specification for certain products and end markets. FBCs can be applied to a variety of components used in projects ranging from pre-engineered metal buildings to municipal arenas and skyscrapers. Important properties that FBCs enable for construction include, but are not limited to the following:

- Adhesion, flexibility, formability, abrasion resistance, hardness and impact resistance;
- Resistance to chemicals, flame spread/surface burning; and
- Durability as demonstrated by UV-resistance, film integrity, low film erosion rate, humidity resistance and corrosion resistance.

FBCs have been shown not to be susceptible to attack by UV light, which results in a coating that is highly resistant to degradation upon exposure to sunlight, unlike virtually all other polymers. This property provides a very high resistance to fading and chalking as well as very good long-term maintenance of gloss and color. Apart from being highly resistant to UV light, the FBCs are also highly resistant to many chemicals and can have excellent stain resistance. Due to these superior qualities, FBCs also tend to carry a premium price compared to most other coating systems. Because of their solar reflectance, FBCs used on roofing also offer the additional benefits of lower energy usage from higher solar reflectivity and lower roof

temperatures and a lower carbon footprint, as well as lower maintenance costs and increased efficiency and longer lifespan of HVAC equipment.¹⁵

We are aware of no other coating technology that enables the performance parameters of durability and product longevity that are the defining characteristic of FBCs. Indeed, outdoor exposure testing demonstrates that FBCs have an erosion rate approaching 50 percent less than other coating technology options used in Infrastructure & Construction settings. This difference explains why FBCs have a life expectancy of 50 years or more in many settings compared to 20 years or less for some alternate technologies. This reinforces why FBCs are so unique and useful in the development of durable and essential building products.¹⁶ In addition, the FBCs long lifespan means less recoating is necessary and less VOC's are emitted (as a result of the recoating process) as compared to other alternatives.

Bridge structures clearly need durable coating performance to protect the painted metal substrate below and maintain the bridge's structural integrity. Any coating system must last a long time given how difficult, disruptive and expensive the recoat process is. Bridges are subject to highly adverse environmental conditions including high intensity sunlight, fog, rain, saltwater (coastal areas) spray and constant automobile exhaust among other stressor factors. The superior anti-weathering performance of fluoropolymers allows the paint system to prolong the bridge's service life and decrease the number of re-painting cycles, contributing to lower life cycle costs for municipalities (and residents) and reduced VOC emissions and lower CO2 generation. The same is true for water tanks and other large pieces of infrastructure. Available data conclusively establish that FBC's substantially outperform potential alternatives with respect to weather and corrosion resistance. For example, compared to commonly used urethane resin paints, the service life of this product can be expected to be three times longer, while reducing CO2 emissions by approximately 38% over 100 years and VOCs by about 50% in 100 years.¹⁷

Fluoropolymer films are used as essential structural elements, such as roofing, wall panels and canopies in a variety of buildings and structures, including large public structures such as sports stadiums, airports and other transportation hubs. The use of fluoropolymer films in this application has several essential benefits, including, crucially, a substantial reduction in the

¹⁵ White Paper on Fluoropolymers in Infrasturcture and Construction (December 2023), asvailable at https://fluoropolymerpartnership.com/wp-content/uploads/2023/12/PFP-White-Paper-on-Fluoropolymers-in-Infrastructure-and-Construction.pdf

¹⁶ Id.

¹⁷ Id.

volume of material, typically concrete, steel and/or glass, that would otherwise be required for a structure. The reduced use of concrete, steel and glass, in turn, results in lower CO2 emissions as well as less waste being generated and sent to landfill upon demolition of the structure. In addition, fluoropolymer films have excellent light harvesting properties for light with wavelengths from 300 to 2100 nm and at all angles of incidence. Several properties of fluoropolymer films, together, cause them to be uniquely suited to this application and therefore essential. These include:

- Excellent resistance to temperature extremes, weather, chemicals, stains and fouling ("self-cleaning");
- Superior durability -- retains at least 90% of its initial tensile strength and elongation after 30 years of exposure to rain and ultraviolet rays;
- Lightweight but strong, requiring minimal structural support, highly resistant to tear propagation, no breaking or splintering;
- Self-extinguishing, UL V-0 certified for combustion resistance, ASTM E 108 for Fire Test of Roof Coverings, designated as a non-combustible material (Japan), and European Combustion Test EU EN13501-1; non-flammable material certified B1 in DIN4202 part 1;
- Superior sound absorption.

Fluoropolymer film made of ETFE resin is also used in greenhouses, to improve the growth efficiency of fruits, vegetables and plants. This film has a higher light and UV transmission rate than glass, polyethylene or polycarbonate, allowing the full spectrum of sunlight to pass through the growing area. The result is increased production, earlier blooms, more colorful petals, sweeter fruit and higher quality vegetables.

Overall, potential alternatives to fluoropolymers (e.g., glass, PVC, fiberglass-impregnated membranes without a fluoropolymer layer for protection) are unsuitable. They present safety concerns in terms of increased flammability and degradation of components due to low flame resistance and low weatherability. They are also less weather resistant and require early replacement, which shortens the structure's lifespan by 15-20 years. For example, with glass and PVC, the product life is 10-50% of fluoropolymer film and the frequency of replacement is 2-10 times higher. They also contribute to greater CO2 emissions.

Representative GPC Brick Codes of CUU products:

As discussed previously, and consistent with conclusions of the Department of Defense, it is impossible to enumerate every individual product or product category in which the use of fluoropolymers is CUU. However, since DEP has requested that stakeholders identify CUU products by GPC Brick code or HTS code where available, provided below is a list of GPC Brick codes for products or product categories in the infrastructure and construction sector that are representative of the range of products in that sector that are CUU.

GPC Brick Codes: 100006895;10008143; 10005655; 10002687; 10002686; 10003942; 10003943; 10002433

Attachment F – Food Contact and Processing Applications

It is self-evident that food is essential to health. In modern society, it is also essential to be able to process, store, transport and prepare food in a manner that is sanitary and preserves the purity and cleanliness of our food. Fluoropolymers are an essential technology for achieving these requirements.

Fluoropolymers play an essential role in food production and processing, including in the applications listed below. Importantly, for all of these applications, the U.S. Food and Drug Administration (FDA) has extensively reviewed the safety and efficacy of the fluoropolymers in use, and has authorized their continued use.

- In food and feed production equipment, fluoropolymers are used as base film in ion exchange membranes for water treatment and separation of organic components and inorganic salts in the electrodialysis process.
- In linings of food cans, fluoropolymer film is laminated with steel plates and, due to their chemical and temperature resistance, function to prevent corrosion of the can.
- In tubes and hoses, fluoropolymers provide superior heat and water resistance and durability. This combination of properties is critical because sterile cleaning with high temperature steam is standard for food applications, and it is common to clean under high pressure steam conditions at 121 °C for 15 minutes (see, e.g., ISO 17665, JIS T 0816-1).
- In tubes, hoses, gaskets and other food processing and handling equipment, fluoropolymers provide excellent heat resistance, oil and chemical resistance, helping to assure the purity of foods being processed and prevent cross-contamination.
- In food contact surfaces including processing, storage and packaging, fluoropolymers provide non-stick efficacy, heat and chemical resistance, cleanability, wear (abrasion) resistance and superior friction coefficient. They are also highly effective mold release agents for plastic packaging, helping to assure the purity and physical integrity of the packaging.

Fluoropolymers are essential in these applications because of their unique combination of properties. For example, silicone materials have been tested but are not suitable due to their

low mechanical strength and tearability. Ceramic coatings provide sufficient heat resistance, but their release properties are inferior and insufficient. They are also more difficult to coat than fluoropolymers, making it difficult to coat complex and fine shapes evenly, and they are more expensive than fluoropolymers to coat. To our knowledge, no non-fluorinated material has so far been found with mold release and processability comparable to fluoropolymers coupled with heat resistance above 200 °C. Silicones and ceramics have been widely accepted and used for such applications in the past, but fluoropolymers have been used in applications where these materials are inadequate in terms of performance. Therefore, if the use of fluoropolymers is prohibited, the risk of process purity degradation, leakage and foreign material contamination due to accelerated component degradation will increase and have a significant impact on manufacturing control and maintenance systems.

Representative GPC Brick Codes of CUU products:

As discussed previously, and consistent with conclusions of the Department of Defense, it is impossible to enumerate every individual product or product category in which the use of fluoropolymers is CUU. However, since DEP has requested that stakeholders identify CUU products by GPC Brick code or HTS code where available, provided below is a list of GPC Brick codes for products or product categories in the food sector that are representative of the range of products in that sector that are CUU.

GPC Brick Codes: 10001951; 10001950; 10003690; 10003691; 10003694; 10003695; 10001938; 10002135; 10004016; 10004022; 10004054; 10004024;

10004054

Attachment G – Energy Applications

Modern society runs on energy. While we as a society seek to transition entirely to clean energy solutions such as solar, wind and clean hydrogen, until we complete that transition we rely on an "all of the above" approach to meeting our energy needs. Thus, the development, generation, capture, storage, transmission and distribution of all sources of energy are essential functions in our society, and fluoropolymers are essential to all of those activities.

Solar Panels

Fluoropolymers are critical components of solar panels. Lightweight, durable, transparent fluoropolymer films used on top of flexible or rigid solar modules have higher light transmittance than glass while providing long-term weather protection as well as a "self-cleaning" anti-fouling functionality. Moreover, because of their weather resistance, these fluoropolymer films retain their superior performance characteristics for more than 25 years. These functionalities combine to increase the electrical output of the solar panel by as much as 30%. In addition, because of their light weight in comparison to glass, fluoropolymer films open up more roof spaces to photovoltaic modules made with fluoropolymers, facilitating the expansion of solar panel deployment.

Because of their unique combination of properties, fluoropolymers are also uniquely well suited for use as back sheets (films) in photovoltaic solar panel construction. Back sheets are used on solar panels to help protect the solar cell from weather, humidity, and impact damage. They can also help provide electrical isolation for safety purposes. Thus, the proper choice of the back sheet can increase the panel life and reduce the cost of electricity generated from the panel over the solar panel cell's life. Materials used in this end-use should have significant UV resistance and stability, corrosion resistance and flexibility. Fluoropolymers satisfy all of these criteria. They are light in weight and have extended durability in full exposure to a variety of environmental conditions, including intense sunlight and heat.

Finally, fluoropolymers are essential components of mold release films and transport materials used in the production of solar cells. For example, in the production of solar cells, cell modules and surface materials such as glass are laminated with EVA (ethylene vinyl acetate resin) at 150 °C under vacuum. Because EVA is extremely adhesive under high temperatures, the

materials used for lamination and transport must have excellent non-adhesiveness and heat resistance. Furthermore, they must be organic materials that will not damage surface materials such as glass. At present, the only technically and economically feasible materials that provide these functionalities are fluoropolymers. For example, a material with excellent non-stick properties (low surface tension) is high-density polyethylene, but it does not have a heat resistance of 150°C and therefore is not a feasible alternative. The use of multilayer film, where several types of film are laminated together, has also been proposed as an alternative, but the technology to separate and recover each layer has not been established, making recycling difficult and reducing the recyclability after use.

Wind Turbines

Fluoropolymer coatings perform an essential function for wind turbines (both the blades and the turbine tower) by imparting weather resistance and durability as well as "self-cleaning" functionality. These properties are especially important for offshore windmills, to provide resistance to the corrosive effects of seawater. They are also especially important for the wind-cut parts of the blades in snowy locations, where snow would otherwise adhere to the blade and clump, forcing the turbine to stop operation due to the risk of falling snow.

Potential alternatives to fluoropolymer coatings are polyurethane and polysiloxane coatings. However, fluoropolymer coating systems are several times more durable than polyurethane resin coating system, meaning that polyurethane coatings would require several more recoatings during the 20-year design life of the wind turbine, as compared to fluoropolymer coatings. For wind turbines, which are often installed at high altitudes and in harsh environments, durability is an essential factor in their usefulness and efficiency.

Finally, fluoropolymers are essential components of mold release films and transport materials used in the production of wind turbine blades.

Clean Hydrogen

Fluoropolymers are an essential enabling material for several hydrogen technologies, including electrolysis membranes, electrodes, as well as sealing and lining equipment for hydrogen storage and transport equipment. Hydrogen is a highly flammable gas; therefore containing any potential leaks is essential for the safety of personnel and equipment. For this reasons, seals and linings in hydrogen transport and storage demand the superior chemical, heat and electrical resistance and overall durability of fluoropolymers.

The electrolyte membrane used in the PEM (Proton Exchange Membrane) water electrolyser that produces hydrogen from water is made of fluoropolymer, which is essential for the realisation of a hydrogen society because the PEM water electrolyser can operate at high current density, has high responsiveness to voltage fluctuations and is compact. Alternatives to hydrocarbon-based membranes have been proposed but they lack practical durability due to low thermal and chemical stability, difficulty in achieving high proton conductivity, low mechanical strength, etc. Without fluoropolymers, development and adoption of hydrogen generation, storage and transport technologies in the US will be severely constrained.

Batteries and Fuel Cells

As discussed more fully in Attachments A and B above, fluoropolymers are essential for use as binders, separator coatings, gaskets and seals, and electrolyte additives for batteries, due to their combination of chemical and heat resistance, dielectric properties, durability and adhesion to the substrate. None of the potential alternatives to fluoropolymers simultaneously meet these required performance characteristics.

In flow batteries, fluoropolymers provide the unique combined performance requirements for ORFB (organic redox flow batteries) systems, including (i) low voltage allowing for high energy efficiency; (ii) long lifespan, resulting in lower cost and environmental impact; and (iii) low activation crossover, allowing for higher efficiency and lower power consumption. Overall, fluoropolymers enable high retention of redox molecules, high chemical stability and good battery performance. ORFBs are set to replace vanadium-based RFBs (redox flow battery) on the market, with the following advantages:

- They do not use rare metals such as vanadium or rare materials
- The active materials can be synthesized organically, enabling significant cost reductions
- Higher voltage (up to twice)
- Higher durability, i.e. less waste generated
- Cost savings

Several non-fluorocarbon hydrocarbon anionic membranes have been tested for use in flow batteries. However, they cannot achieve the performance of fluoropolymers with respect to key parameters including voltage reduction, battery life and crossover reduction. Thus, there are no suitable alternatives for fluoropolymers in this application.

Nuclear

In nuclear generating facilities, fluoropolymers are essential for use in seals and wire jacketing, due to their heat resistance, chemical resistance, resistance to radiation, mechanical strength and insulation properties. This combination of properties is essential in the harsh environment of a nuclear reactor to mitigate against leakages and failure, and to assure safe and reliable operations. Potential alternatives are not suitable. For example, PE becomes brittle and breaks after irradiation, rendering it unusable. By comparison, fluoropolymers tested according to ASTM D2587 show that physical durability and integrity are maintained after 10[^]8 rads of irradiation.

Oil, Gas and Mining

Fluoropolymers are essential in a broad range of applications in the oil and gas extraction sector, as well as downstream, in transport and refining of petroleum products.

The "down hole" applications in which fluoropolymers are essential include packers, blow out preventers, seals, gaskets and O-rings, where heat resistance coupled with chemical resistance are required. Resistance to hydrogen sulfide is particularly important since it is a natural, poisonous by-product in many gas/oil wells and is highly corrosive. Fluoropolymers provide superior resistance to this chemical at high temperatures. In addition, high temperature steam is sometimes used to enhance the efficiency of oil well extraction particularly in older wells or where oil viscosity is high. Also in down hole applications, seals must be able to cope with a rapid gas decompression without losing seal integrity. In the worst case this could lead to an environmental incident or other safety-related issues. Explosive Decompression (ED) resistance or rapid gas decompression resistance is also a key property of fluoropolymers in these very harsh conditions. Fluoropolymers are unique in their ability to resist for prolonged periods the combination of stresses - chemical, thermal, and pressure - that are present in "down hole" applications. In many cases oil needs to be pumped up to the surface and "electrical submersible bags" are used in the drilling systems to house the pump mechanism. Fluoropolymers are used in the construction of this "electrical submersible bag", which needs to withstand the crude and other high temperature chemicals on the outside of the bag but also resist the "lubricating oil" from the pump on the inside. Fluoropolymers provide this dual resistance at high temperatures.

The internationally recognized industry standard for sealing materials used for oilfield equipment, i.e., NORSOK M-710, developed by the Norwegian Petroleum Industry, sets the

qualification requirements of non-metallic sealing materials and manufacturers, referencing ISO 23936. Fluoropolymers are the only polymers that can resist rapid expansion due to compressed gas absorption, which can cause seal failure, while maintaining necessary chemical resistance performance in environments where heat resistance is required above 200°C. Fluoropolymers are also used for wire sheathing in equipment used in these harsh operating environments where high heat and chemical resistance is required. Sometimes alternative materials such as polypropylene are mentioned, but they are poor at corrosion resistance and cannot be used in such environments, and no alternatives to fluoropolymers have been identified. Similarly, in the mining sector, fluoropolymers are essential to ensure the safe operation of equipment which needs to work continuously under extremely harsh and dangerous conditions with practically no margin for errors. Potential alternatives cannot meet the very high performance requirements for temperature (as high as 270°C), chemical and mechanical resistance.

Representative GPC Brick Codes of CUU products:

As discussed previously, and consistent with conclusions of the Department of Defense, it is impossible to enumerate every individual product or product category in which the use of fluoropolymers is CUU. However, since DEP has requested that stakeholders identify CUU products by GPC Brick code or HTS code where available, provided below is a list of GPC Brick codes for products or product categories in the energy sector that are representative of the range of products in that sector that are CUU.

GPC Brick Codes: 10008389; 10008393; 10008392; 10000546

Attachment H – Manufacturing & Processing Applications

Fluoropolymers are used in critical applications throughout the manufacturing sector, including the chemical industry, where they are essential because of their unique combination of performance characteristics. This section describes a representative cross section of the manufacturing and processing applications in which fluoropolymers play a critical role.

Fluoropolymers are essential for use in valve seals, pipe packing, gaskets and other seals in industrial processes that entail the use of hot, hazardous or corrosive liquids and gasses. Potential alternatives such as polyethylene or polyamide cannot provide the same degree of temperature and chemical resistance and mechanical strength as fluoropolymers, and their use in high stress industrial processes would result in an increased risk for leaks or catastrophic failures that could result in threats to human health and the environment. Compared to potential alternatives, fluoropolymers provide superior corrosion prevention, leak prevention, chemical emission reduction, lower maintenance costs and downtime, increased component life span, cleaner flue gas emissions and lower CO2 emissions, higher efficiency and production yield, improved quality and purity of products, and waste reduction.

In chemical, petroleum and pharmaceutical plants in particular, many systems need seals, linings, hoses, reactor vessels and other equipment that provide corrosion resistance, heat resistance, chemical resistance, mechanical strength and non-flammability. These critical functionalities are uniquely provided by fluoropolymers, which have a heat resistance of more than 250 °C, are chemically stable over a wide pH range of 1-13 and have virtually no leaching of impurities. In addition, fluoropolymers are particularly well suited to line complex shapes and parts in tanks, since they can be rotolined and spray coated. Fluoropolymers are similarly essential in for use as packing material in distillation processes, especially for very aggressive chemicals at high temperatures.

Potential alternative materials are not suitable, particularly for highly aggressive chemicals. In terms of heat resistance, ceramic and refractory fibers are sometimes used, but these materials create impurities and cannot be used in clean applications. Polyimide is inferior in terms of chemical resistance; silicones are inferior in terms of heat resistance; and PEEK which costs several times more than fluoropolymers, is difficult to process due to its high stiffness (flexural modulus 3,8 GPa) and high linear expansion coefficient (linear expansion coefficient 10.8).

Fluoropolymer ion-exchange membranes are essential for chlor-alkali electrolysis due to their low electric resistance and low susceptibility to impurities. These properties help achieve substantial energy savings, stable performance, and maintain 97-98% electrical current efficiency in the functioning of electrolyzers. Products made from chlorine and caustic alkaline are used in a variety of sectors, which include construction (PVC, aluminum, polyurethane thermal insulation), energy (e.g. wind turbines, hybrid car batteries purification, fuel cells), fertilizers and herbicides, health & personal care (water disinfection, soap manufacture, PVC blood bags, nylon surgical sutures), home care (dry cleaning, PVC windows, aluminum) pharmaceuticals (production e.g. aspirin, antibiotics, medicine packaging), safety (heat resistant and protective clothing police and fire services, Zinc chloride in forensic finger printing, sport (aluminum baseball bats, spandex, Aramid motor racing suits), technology (circuit boards, fiberoptics, semiconductors, smartphones), transportation (car parts, brake fluid, anti-freeze). This illustrates the importance and wide impacts of chlor-alkali electrolysis. The only potential alternatives to fluoropolymer ion-exchange membranes in this application require the use of either mercury or asbestos - both of which are highly restricted substances that present substantial risks to human health and the environment. Moreover, the mercury and asbestos technologies provide inferior performance (e.g., higher energy consumption, lower purity) than fluoropolymer ion-exchange membranes.

As discussed in Attachment G, above, fluoropolymer-based electrolysis membranes are also essential to the production of clean hydrogen, since membranes from alternative materials have lower chemical resistance and a much lower life span as well as significantly higher energy consumption (up to 50% higher) than those made from fluoropolymers. In addition, potential alternative hydrocarbon-based ion exchange membranes have low mechanical strength and are easily damaged during assembly, while fluoropolymer-based membranes have high mechanical strength which resists damage during assembly and maintenance (i.e. disassembly, cleaning, and inspection) and, thus, result in the creation of much less waste compared to non-fluorinated membranes. This is true for applications in all downstream sectors. Fluoropolymer membranes are also critical in wastewater treatment applications, for desalination of wastewater (where they can concentrate salinity to high concentrations while requiring low energy consumption, compared to potential alternatives) and metal plating (where due to their durability under oxidizing acid conditions and their chemical resistance more generally).

Fluoropolymers are also essential for various components used in a wide array of industrial applications, including:

- Plate heat exchangers, where fluoropolymers are typically used as gaskets or seals. Plate heat exchangers are used in many industrial applications to transfer heat between two fluids. Typical applications include: heating, ventilation and air conditioning (HVAC); refrigeration; engine or other mechanical cooling; food processing; oil production; boilers; aerospace, cryogenics; and pharmaceutical manufacturing. In many applications – particularly those involving corrosive chemicals and high temperatures – there are no suitable alternatives to fluoropolymers – which, among other benefits, allow complex plate heat exchanger systems to run for much longer times at higher temperatures – extending plant/production operation, reducing maintenance downtime and generating far less waste (spent gaskets) over the life of the production plant.
- **Stator/Mono pumps**, ranging from laboratory- to industrial-sized, particularly for operations involving corrosive chemicals, high temperatures or steam, where the chemical and temperature resistance of fluoropolymers is essential.
 - **Compressed gas storage and transportation equipment** which relies on the exceptional properties of fluoropolymers at cryogenic temperatures -- essential for equipment used in transporting, handling and storing liquefied gas (e.g., liquefied natural gas or liquefied hydrogen). At cryogenic temperatures (- 161 °C for liquid methane gas, -253 °C for liquid hydrogen) no other elastomeric materials are adequate.
- Hoses, tubes, gaskets and seals used in all types of industries for applications and processes that require: durability, flexibility, heat resistance (greater than 200 °C) and chemical resistance.
- **Rubber rollers** utilized in material handling, assembly, and manufacturing operations, especially those involving the use of acidic or alkaline chemicals and high temperatures, such as processes used in the manufacture of steel and aluminum.
- Wire and cable used in aggressive industrial environments. As discussed in Attachment A, above, fluoropolymers are essential in these applications because their unique combination of properties including durable and reliable protection against extreme temperatures, harsh chemicals, humidity, vibration and compression, as well as their flexibility and strength.

- Lubricants used in bearings, ball joints, hinges, calipers, valves and other components utilized in a wide range of industries including automotive, aerospace, chemical processing, packaging, medical and mining, among many others. Fluoropolymer lubricants impart superior surface lubricity and reduced wear over a wide temperature range; they are virtually immune from chemical attack, do not absorb water, have a wide temperature range (-190 °C to +260 °C), and have excellent weathering and aging characteristics.
 - **Conveyor belts, coaters, and thermal processing devices** used in various manufacturing and processing applications where chemical and temperature resistance are necessary for example, in textile, upholstery, and carpet manufacture.
 - **Humidifier / dryer membranes** used for compressed gasses, metals manufacturing and refrigeration units. Fluoropolymer membranes have high selective permeability to water vapor and high separation ratio with other component gases.

Representative GPC Brick Codes of CUU products:

As discussed previously, and consistent with conclusions of the Department of Defense, it is impossible to enumerate every individual product or product category in which the use of fluoropolymers is CUU. However, since DEP has requested that stakeholders identify CUU products by GPC Brick code or HTS code where available, provided below is a list of GPC Brick codes for products or product categories in the manufacturing sector that are representative of the range of products in that sector that are CUU.

GPC Brick Codes: 11040000; 11030000; 11020000; 10004016; 10005541;



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January 28, 2025

Commissioner Melanie Loyzim Maine Department of Environmental Protection State of Maine 17 State House Station Augusta, Maine 04333

Submitted via email: rulecomments.dep@maine.gov

Re: SUPPLEMENTAL COMMENTS -- Posting Draft Proposed Rule, Chapter 90: Products Containing Perfluoroalkyl and Polyfluoroalkyl Substances (December 20, 2024)

Dear Commissioner Loyzim:

AGC Chemicals Americas Inc. ("AGCCA") and its parent company, AGC America, Inc., (together, "AGC") offers the attached addendum to provide addition context for comments submitted earlier today, urging that "identical products should be regulated in the same manner" and that, more specifically, components of products exempt under Section 4(A)(5) through (13) should be exempt when used to perform the same or similar function in other products.

Thank you again for the opportunity to submit these comments. Should you have any questions, please reach out to Ahmed El Kassmi at 610-423-4312 or by email at <u>ahmed.elkassmi@agc.com</u>.

Christopher F. Correnti President and CEO AGC America, Inc.

Sincerely,

t. St Km

Ahmed El Kassmi, Ph.D Director, Product Stewardship & Regulatory Affairs AGC Chemicals Americas, Inc.

Addendum

DEP should ensure that products and product components exempt under Section 4 of the proposed regulations ("covered product components" below) are uniformly exempt and are not arbitrarily banned from commerce when used in some industry sectors ("covered sectors" below) but not others ("currently excluded sectors" below).

Regulation	Covered Sectors	Covered Product Components	Currently Excluded Sectors
§4(A)(7)	Products for public health, environmental or water testing	Cables, wires, sheathing, hoses, tubes, gaskets, seals, O-rings, optical fibers, gas exchange membranes, ion exchange membranes, distillation column packings, linings for vessels, tanks, pipes	Products intended to improve or protect public health and the environment (e.g., wastewater and water treatment equipment)
§4(A)(9)	Motor vehicles & equipment (e.g., cars, off-road vehicles)	Cables, wires, hoses, fuel lines, seals, gaskets, bearings, high performance coatings, specialty lubricants	Locomotives and railroad equipment; construction equipment; factory & warehouse equipment
§4(A)(12)	Non-consumer electronics (e.g., data center equipment; telecommunications equipment; business servers)	Cables, wires, sheathing, optical fibers, optical cable buffer tubes, sound transmission membranes, printed circuit boards, microprocessors, monitors, touchscreens, anti-fouling coatings	Laptops, cell phones, plenum cables in residential buildings; automated equipment for residential use
§4(A)(12)	Non-consumer laboratory equipment (e.g., analyzers, detection devices, measurement devices, fermentation and reaction vessels & equipment)	Hoses, tubes, gaskets, seals, O-rings, optical fibers, gas exchange membranes, ion exchange membranes, distillation column packings, linings for vessels, tanks, pipes	Manufacturing equipment for the chemical and life sciences industries; wastewater and water treatment equipment
§4(A)(13)	Equipment directly used to manufacture exempt products (e.g., manufacturing equipment and components for motor vehicles, aircraft, watercraft, non- consumer electronics)	Cables, wires, sheathing, hoses, tubes, gaskets, seals, O-rings, expansion joints, compensators, bellows, bearings, ball joints, hinges, calipers, valves, lubricants, pumps, process control devices, pipes, vessel linings, 3D printers, high performance coatings, belts, rollers, heat sealers, gas driers, moisture control and ion exchange membranes	Manufacturing equipment for all other sectors, including chemical industry, energy sector, non-transportation durable goods, "consumer" electronics, food processing, recreational equipment