## MAINE BOARD OF DENTAL PRACTICE

## Delegation of Application Reviews and Other Matters to Chairs and Board Staff – December 16, 2022

LICENSE/AUTHORITY/PERMIT TYPE	INITIAL LICENSURE/PERMIT	RENEWAL/REINSTATEMENT	
Dental Hygienist	Staff review	Renewals - staff review	
		Reinstatements – staff review	
Dental Hygiene Authorities			
Local Anesthesia	Staff review	• N/A	
Nitrous Oxide Analgesia	Staff review	• N/A	
Independent Practice Dental Hygiene	Staff review	• N/A	
Public Health Dental Hygiene	Staff review	• N/A	
Dental Therapy / Provisional	Subcommittee/Board	• N/A	
Dental Radiographer	Staff review	<ul> <li>Renewals - staff review</li> <li>Reinstatements - staff review</li> </ul>	
Dentists, Limited, Resident	Staff review	<ul> <li>Renewals - staff review</li> <li>Reinstatements - staff review</li> </ul>	
Denturists	Staff review	<ul> <li>Renewals - staff review</li> <li>Reinstatements - staff review</li> </ul>	
Expanded Function Dental Assistants	Staff review	<ul> <li>Renewals - staff review</li> <li>Reinstatements - staff review</li> </ul>	
Faculty	Subcommittee/Board review	<ul> <li>Renewals - staff review</li> <li>Reinstatements - staff review</li> </ul>	
Temporary	Staff review	• N/A	

Sedation Permits & 14 Day Notifications – Chapter 14	Staff review	<ul> <li>Renewals - staff review</li> <li>Reinstatements - staff review</li> </ul>
Registrations - Chapter 8	Staff review	Renewals – staff review
Pending Criminal Charge(s)	• N/A	• N/A
Board Chair authorized sign an Interim Consent Agreement to which the licensee agrees to stop practicing pending the outcome of the criminal charge(s). Board staff authorized to file complaint.		
Waiver of Regional Examination(s)	Staff review	Staff review
Subcommittees/Board delegated to staff the ability to waive the regional examination requirements in a reinstatement application if the waiver had been previously granted by the Board.		
Previously Disclosed Response(s)	Staff review	Staff review
Subcommittees/Board delegated to staff the ability to approve applications which include disclosures that were previously disclosed, reviewed, and approved by the Subcommittees/Board.		

Delegation Authority to Chairs and Board Staff – December 16, 2022 (cont.)		
Subpoenas – Issued pursuant to 10 M.R.S. § 8003-A(1)	• N/A	• N/A
Board delegated to Executive Director the ability to issue a subpoena in the course of a board investigation only after consultation with a complaint officer and/or assistant attorney general assigned to the board. The Executive Director may seek to compel compliance and remedial sanctions and may initiate contempt proceedings with the advice and assistance of the Board's assistant attorney general.		
Student Loan Default Disclosure(s)	Staff review	Staff review
Subcommittees/Board delegated to staff the ability to approve applications which include student loan default disclosures that include a statement regarding efforts to repay/payment plan.		
<b>Inactive to Active Licensure status request(s)</b> Subcommittees/Board delegated to staff the ability to approve requests in accordance with Board Rule, Chapter 13.	• N/A	Staff review
Incomplete applications	• N/A	• N/A
Subcommittees/Board delegated to staff the ability to administratively close applications that exceed the statutory time limit.		

Request to withdraw application	N/A	N/A
Subcommittee/Board delegated to staff the ability to grant requests for withdrawal barring any disclosures requiring review.		
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Reinstatement applications w/disclosures of unlicensed practice. All licensure categories of practice except for sedation permits. DH Subcommittee/Board delegated to staff the ability to relicense otherwise qualified individuals who have disclosed unlicensed practice. The delegation includes authorizing board staff, in coordination with the Office of the Attorney General, to initiate a complaint, and to offer the licensee a consent agreement to resolve the technical violation.	• N/A	The terms of the consent agreement include: <ul> <li>Admission;</li> <li>Warning; and</li> <li>Payment of \$50.00 civil penalty to be paid within 90 days. (Note: \$500.00 civil penalty for dentists.)</li> </ul>
Criminal conviction; disclosed DH Subcommittee/Board delegated to staff the ability to issue a license to an otherwise qualified applicant who has no conviction for either a potentially disqualifying crime, or a crime related to substance use or misuse. Board staff will consult with the Office of the Attorney General regarding the criminal conduct disclosure.	Staff review	Staff review

Delegation Authority to Chairs and Board Staff – December 16, 2022 (cont.)			
Criminal conviction; failure to disclose DH Subcommittee/Board delegated to staff the ability to issue a preliminary denial and offer the applicant a consent agreement in consultation with the Office of the Attorney General to resolve a violation of 32 M.R.S. § 18325(1)(A). This limited delegation applies only to convictions that are neither potentially non-qualifying crimes, nor crimes related to substance use or misuse.	<ul> <li>The terms of the consent agreement include:</li> <li>a) Admission;</li> <li>b) Warning; and</li> <li>c) Payment of \$50.00 civil penalty to be paid within 90 days. (Note: \$500.00 civil penalty for dentists.)</li> </ul>	<ul> <li>The terms of the consent agreement include: <ul> <li>a) Admission;</li> <li>b) Warning; and</li> <li>c) Payment of \$50.00 civil penalty to be paid within 90 days. (Note: \$500.00 civil penalty for dentists.)</li> </ul> </li> </ul>	
Criminal conviction; failure to report within 10 days DH Subcommittee/Board delegated to staff the ability to issue a preliminary denial and offer the applicant a consent agreement in consultation with the Office of the Attorney General to resolve a violation of 32 M.R.S. § 18352(1)(B). This limited delegation applies only to convictions that are neither potentially non-qualifying crimes, nor crimes related to substance use or misuse.	<ul> <li>The terms of the consent agreement include: <ul> <li>a) Admission;</li> <li>b) Warning; and</li> <li>c) Payment of \$50.00 civil penalty to be paid within 90 days. (Note: \$500.00 civil penalty for dentists.)</li> </ul> </li> </ul>	<ul> <li>The terms of the consent agreement include:         <ul> <li>a) Admission;</li> <li>b) Warning; and</li> <li>c) Payment of \$50.00 civil penalty to be paid within 90 days. (Note: \$500.00 civil penalty for dentists.)</li> </ul> </li> </ul>	
Request financial records; adjudicatory hearing proceedings If an applicant/licensee at hearing wishes to prove the inability to pay costs, then Board staff will need to obtain certain financial records in advance of the hearing. Failure to produce such records within a defined timeframe may subject the applicant/licensee to discipline pursuant to 32 M.R.S. § 18325(1)(M).	• N/A	• N/A	

Delegation Authority to Chairs and Board Staff – December 16, 2022 (cont.)			
Docket informal and formal complaint investigations	• N/A	• N/A	
Board staff is authorized to docket informal and formal complaint investigations pursuant to 32 M.R.S. § 18323(2) in consultation with a complaint officer and/or assistant attorney general assigned to the board.			

\*Note: At its March 13, 2020 meeting, the Board voted to authorize board staff to assess incremental fines for each year of unlicensed practice not to exceed three years for consent agreements involving unlicensed practice.