



Maine Adult Use Marijuana Establishment Principal Attestation

Section 4(a)(1): Maine Adult Use Marijuana Establishment – Principals. Each person listed in Section 4(a) of the application must complete this Principal Attestation and must attest under penalty of perjury to the accuracy of the information provided in this Principal Attestation form.

Notice: This License Application for Maine Adult Use Marijuana Establishment is an official document. If you provide false information on your application, and/or do not disclose all information the application asks, the license is subject to denial, and you may be subject to criminal prosecution.

Legal Name (First, Middle, Last)	Title	SSN	DOB
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List every municipality and state in which you have resided during the previous five years:

Are you an employee of the Department of Administrative and Financial Services, Office of Marijuana Policy or any other state agency with regulatory authority over Adult Use Marijuana?

Yes No

Are you an employee of the State of Maine?

Yes No If "yes," by which agency are you employed _____.

Are you a member of law enforcement, a corrections officer, or a person subject to the provisions found in Title 25, Chapter 341 of the Maine Revised Statutes?

Yes No

Section 4(a)(2). State of Maine Residency. Title 28-B defines "Resident" as a natural person who can answer "yes" to the following three questions. – *This section is not applicable for Maine Adult Use Marijuana Establishment License Application – Testing Facility.* If you are a principal listed on a Maine Adult Use Marijuana Establishment License Application – Testing Facility, you may skip this section.

1. Have you filed a resident individual income tax return in this State pursuant to Title 36, Part 8 in each of the four years prior to the year in which this application for licensure will be filed?

Yes No

2. Are you currently domiciled in this State?

Yes No

3. Do you maintain a permanent place of abode in this State and spend in the aggregate more than 183 days of the taxable year in this State?

Yes No

Section 4(a)(3). Prior Drug Convictions.

1. Have you ever been convicted for a violation of a state or federal controlled substance law that is a crime punishable by imprisonment for one year or more?

Yes No

2. If you answered "yes" to question 1 above, was the offense for which the sentence, including any term of probation, incarceration or supervised release, was completed 10 or more years prior to the submission of an application for a license under this Rule?

Yes No

3. If you answered "yes" to question 1 above, was the offense regarding conduct that is now authorized under the Personal Adult Use of Marijuana and Marijuana Products; Home Cultivation of Marijuana for Personal Use?

Yes No

Section 4(a)(4). Character and Fitness Requirements.

1. Have you ever been convicted of, or currently facing prosecution for, any state or federal offense involving dishonesty, deception, misappropriation, or fraud?

Yes No If "yes," explain here:

2. Have you ever faced penalties under the Maine adult use marijuana program?

Yes No If "yes," explain here:

3. Have you had an individual identification card issued under the Adult Use Marijuana Program revoked within the previous two years?

Yes No If "yes," explain here:

4. Have you ever been subject to two or more individual identification card revocations that were issued under the Adult Use Marijuana Program?

Yes No If "yes," explain here:

5. Have you had a registry identification card or registration certificate issued under the Maine Medical Use of Marijuana Act subject to revocation, suspension, limitation, or any other penalization?

Yes No If "yes," explain here:

6. Have you ever been subject to an enforcement action in the adult use or medical use of any state's marijuana programs?

Yes No If "yes," list and describe each enforcement action here:

7. Do you have outstanding court-ordered payments?

Yes No If "yes," explain here:

8. Do you have past due taxes or fees?

Yes No If "yes," explain here:

9. Do you have other tax delinquencies?

Yes No If "yes," explain here:

Section 4(a)(5). Other Marijuana Establishments. Identify any other marijuana establishments, including those outside of Maine, in which you hold a direct or indirect financial interest. Attach a separate sheet if necessary.

Name of Marijuana Establishment

Location of Marijuana Establishment

Description of Financial Interest

Acknowledgment and Signature.

I understand I am responsible for knowing and complying with all state laws and regulations governing Adult Use Marijuana pursuant to the Maine Revised Statutes, as well as the rules promulgated thereunder.

Agree Disagree

I understand and agree to provide documents, if requested, to prove what I have stated in this Principal Attestation form. I understand and agree that federal, state and local officials or other persons and organizations may verify the information I have given. If I have given incorrect information, my application may be denied, and I may be charged with giving false information. I understand the questions on this application and the penalty for hiding or giving false information or breaking any of the rules in the penalty warning. I certify under penalty of perjury that my answers, including those related to drug offenses are true and complete.

Principal's Signature

Date