SETTLEMENT AGREEMENT

Effective August 7, 2025, the State of Maine Department of Administrative and Financial Services ("DAFS") and Jennifer M. Dunham of Hartland, Maine (collectively "the Parties") enter in this Settlement Agreement.

Mrs. Dunham was a registered caregiver pursuant to the Maine Medical Use of Cannabis Act, and on June 27, 2025, Elisa C. Ellis, Director of Licensing for the Office of Cannabis Policy at DAFS sent Mrs. Dunham a Notice of Revocation and Denial of Registry Identification Cards, notifying her that DAFS was revoking her registry identification card (CGR31423). On July 8, 2025 a revised Notice was sent to Mrs. Dunham, which separated the matter involving her from that involving her husband, Robert Russell Dunham.

Such actions are subject to an administrative hearing pursuant to 22 M.R.S. §2430-I (9) and then potentially to judicial review pursuant to 5 M.R.S. Chapter 375 and M.R.Civ.P. 80C, and Mrs. Dunham exercised her right to request a hearing on this matter.

NOW THEREFORE, as the Parties wish to settle this matter in consideration of the mutual promises set forth herein rather than continue the appeals process, the parties agree as follows:

- OCP, DAFS hereby rescinds the revocation and suspends Mrs. Dunham's registered caregiver card (CGR26995) from June 30, 2025 to December 30, 2025.
- 2. The suspension of Mrs. Dunham's caregiver registration (CGR26995) is based on Mrs. Dunham's conduct as stated in the July 8, 2025 letter including operating a registered caregiver store at 109 College Avenue in Waterville after local authorizations to continue operating that caregiver store had been denied and after her registration had expired, and failure to keep up current certificates from the Maine Revenue Services.
- 3. During the suspension, Mrs. Dunham will not participate in the Maine Medical Use of Cannabis Program, including having or holding any direct or indirect financial interest in a business, caregiver or dispensary registered under this program. Allowing medical cannabis to be grown on any property in which she has or holds any ownership interest during this period of suspension would violate this agreement,.
- 4. During the suspension, Mrs. Dunham will not participate in the Adult Use Cannabis Program, including applying for an adult use individual identification card or an adult use

cannabis establishment license under the Cannabis Legalization Act; and having or holding any direct or indirect financial interest in an adult use cannabis establishment. Allowing adult use cannabis to be grown on any property in which she has or holds any ownership interest during this period of suspension would violate this agreement, except that she may grow her own personal adult use cannabis plants in accordance with 28-B M.R.S. § 1502.

- 5. After the period of suspension ends on December 30, 2025, Mrs. Dunham will have to apply anew for a caregiver registry ID card and will also be eligible to apply for other participation in the Maine Medical Cannabis Program and the Adult Use Cannabis Program.
- 6. After December 30, 2025, this suspension will not be considered a bar to Mrs. Dunham's participation in the Maine Medical Cannabis Program as a registered caregiver. However, Mrs. Dunham shall not permit Robert Russell Dunham to act as her assistant until the suspension of his registered caregiver card ends on March 30, 2026. In addition, this suspension will not be a bar to application but will be considered as part of her background if she applies for a dispensary registration certificate.
- 7. Mrs. Dunham agrees to take corrective action as outlined below, in addition to complying with all other requirements of program participation when she participates in the Maine Medical Cannabis Program after December 30, 2025:
 - a. Mrs. Dunham shall not conduct any caregiver activities at 109 College Avenue, Waterville, Maine.
 - b. Mrs. Dunham shall stay current with any Maine State Revenue certificates or accounts for her business.
- 8. Wind-down plan: Mrs. Dunham has transferred any authorized harvested cannabis or products or plants to another registered caregiver.
- 9. DAFS reserves the right to take any appropriate action if Mrs. Dunham is found engaging in conduct not authorized by, or in violation of, the law or this Settlement Agreement.
- 10. This Settlement Agreement sets forth the entire agreement of the Parties, and any amendment hereof shall be in writing. Representations that are not contained in the Agreement or subsequent written amendment shall have no effect. The parties agree that once this agreement is signed the informal hearing is no longer necessary.

- The Parties acknowledge that this Agreement and its reduction to final written form is the 11. result of good faith negotiations between the Parties; said Parties and their respective counsel, if any, have carefully reviewed and examined this Agreement before execution by said Parties; any statute or rule of construction that ambiguities are to be resolved against the drafting party shall not be employed in the interpretation of this Agreement.
- This Settlement Agreement shall be governed by the laws of the State of Maine. 12.
- The signatory for DAFS represents that she is duly authorized and empowered to execute 13. this Settlement Agreement on behalf of DAFS, and that her signature is enough to legally bind DAFS to the terms of the Settlement Agreement.
- Mrs. Dunham represents that she is duly authorized and empowered to execute this 14. Settlement Agreement on her behalf, and her signature is enough to legally bind herself, including any ownership interest she has in medical cannabis plants or harvested cannabis due to having been a registered caregiver, to the terms of the Settlement Agreement.

IN WITNESS WHEREOF, the duly authorized representative of DAFS, and Mrs. Dunham have signed this Agreement below:

Jennifer M. Dunham

Date: 25/08/25

Elisa C. Ellis, Esq., Director of Licensing, OCP, DAFS

Date: 8 22 25