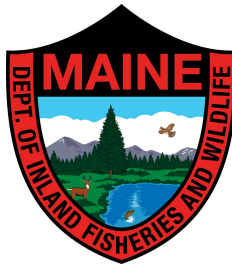


# **PROJECT MANUAL**

## **Ashland Back up Generator for the Maine Department of Inland Fisheries & Wildlife**

**BGS Project # 3767**

**Issued Date: March 7, 2025**



**HALEY WARD®**

ENGINEERING | ENVIRONMENTAL | SURVEYING

1 Merchant Plaza Suite 701  
Bangor Maine 04401  
207-989-4824 [haleyward.com](http://haleyward.com)

**00 01 10**  
**Table Of Contents**

**Division 00 – Procurement and Contracting Requirements**

*3 pages*

**INTRODUCTORY INFORMATION**

00 01 01	Project Title Page .....
00 01 10	Table of Contents .....
00 01 15	List of Drawing Sheets .....

**PROCUREMENT REQUIREMENTS**

**00 10 00 SOLICITATION**

00 11 13	Notice to Contractors for Email Bid.....
----------	--

**00 20 00 INSTRUCTIONS FOR PROCUREMENT**

00 21 13	Instructions to Bidders .....
----------	-------------------------------

**00 40 00 PROCUREMENT FORMS AND SUPPLEMENTS**

**00 41 00 Bid Forms**

00 41 13	Bid Form – Stipulated Sum (Single-Prime Contract) .....
----------	---

**00 43 00 Procurement Form Supplements**

00 43 13	Contractor Bid Security Form .....
----------	------------------------------------

**CONTRACTING REQUIREMENTS**

**00 50 00 CONTRACTING FORMS AND SUPPLEMENTS**

**00 52 00 Agreement Forms**

00 52 13	Contract Agreement Sample .....
----------	---------------------------------

**00 60 00 PROJECT FORMS**

**00 62 00 Certificates and Other Forms**

00 62 76	Application for Payment Form.....
00 62 76.01	Continuation Sheet .....

**00 63 00 Clarification and Modification Forms**

00 63 63	Change Order Form .....
00 63 46	Construction Change Directive Form.....

**00 01 10**  
**Table Of Contents**

*3 pages*

<b>00 70 00</b>	<b>CONDITIONS OF THE CONTRACT</b> .....	
<b>00 71 00</b>	<b>Contracting Definitions</b> .....	
<b>00 72 00</b>	<b>General Conditions</b>	
00 72 13	General Conditions – Stipulated Sum (Single-Prime Contract).....	
<b>00 73 00</b>	<b>Supplementary Conditions</b>	
00 73 46	Wage Determination Schedule.....	

**Division 01 – General Requirements**

**01 00 00 GENERAL REQUIREMENTS**

<b>01 10 00</b>	<b>SUMMARY</b> .....	
-----------------	----------------------	--

**01 20 00 PRICE AND PAYMENT PROCEDURES**

<b>01 20 00</b>	<b>Price and Payment Procedures</b>	
<b>01 25 00</b>	<b>Substitution Procedures</b> .....	

**01 30 00 ADMINISTRATIVE REQUIREMENTS**

<b>01 33 00</b>	<b>Submittal Procedures</b> .....	
-----------------	-----------------------------------	--

<b>01 40 00</b>	<b>QUALITY REQUIREMENTS</b> .....	
-----------------	-----------------------------------	--

<b>01 60 00</b>	<b>PRODUCT REQUIREMENTS</b> .....	
-----------------	-----------------------------------	--

**Division 26- Electrical**

26 05 11	Requirements for Electrical Installations.....	
26 05 19	Low-Voltage Electrical Power Conductors and Cables.....	
26 05 26	Grounding and Bonding for Electrical Systems.....	
26 05 29	Hangers and Supports for Electrical Systems.....	
26 05 33	Raceway and Boxes for Electrical Systems.....	

**00 01 10**  
**Table Of Contents**

*3 pages*

26 05 48	Seismic Controls for Electrical Systems.....
26 05 53	Identification for Electrical Systems.....
26 24 16	Panelboards.....
26 32 13	Diesel emergency engine generators.....
26 36 00	Transfer Switches.....

**00 01 15**  
**List of Drawing Sheets**

G001	Cover
E001	Electrical Abbreviations, Notes & Details
E101	Electrical Power First Floor Plan
E501	Electrical Schedules and Details

**00 11 13**  
**Notice to Contractors**

**Ashland Backup Generator**

3767

*The installation of a backup diesel Generator to serve the MDIFW Ashland Regional Office Facility*

The contract shall designate the Substantial Completion Date on or before *17 November 2025*, and the Contract Final Completion Date on or before *1 December 2025*.

1. Submit bids on a completed Contractor Bid Form (section 00 41 13), provided in the Bid Documents, include bid security when required, and scan each item as an attachment to an email addressed to: richard.parker@maine.gov, so as to be received no later than **1:45 p.m. on 17 April 2025**. The email subject line shall be marked "**Bid for Ashland Backup Generator**".

Bid submissions will be opened and read aloud at **2:00 p.m.** on the date noted above at the Inland Fisheries and Wildlife office, accessible as a video conference call. Those who wish to participate in the call must submit a request for access to richard.parker@maine.gov.

Any bid received after the noted time will not be considered a valid bid and will remain unopened. Any bid submitted by any other means will not be considered a valid bid. In certain circumstances, the Bureau of General Services may require the Bidder to surrender a valid paper copy of the bid form or the bid security document. The Owner reserves the right to accept or reject any or all bids as may best serve the interest of the Owner.

2. Questions and comments on the *bid opening process* shall be addressed to: Division of Engineering, Inland Fisheries and Wildlife, 41 State House Station, Augusta, Maine 04333-0041, richard.parker@maine.gov.
3. Questions and comments regarding the *project* design specifications or drawings shall be directed in writing to the Consultant during the bid period prior to the question and comment deadline of 5:00 p.m. on *10 April 2025*.

*Haley Ward / Inland Fisheries and Wildlife*  
*Richard Parker*  
*richard.parker@maine.gov*

4. ☒ Bid security is required on this project.  
The Bidder shall include a satisfactory Bid Bond (section 00 43 13) or a certified or cashier's check for 5% of the bid amount with the completed bid form submitted to the Owner. The Bid Bond form is available on the BGS website.  
*or*  
☐ Bid security is not required on this project.

**00 11 13**  
**Notice to Contractors**

5. ☐ Performance and Payment Bonds are required on this project.  
If noted above as required, or if any combination of Base Bid and Alternate Bids amounts selected in the award of the contract exceeds \$125,000.00, the selected Contractor shall furnish a 100% contract Performance Bond (section 00 61 13.13) and a 100% contract Payment Bond (section 00 61 13.16) in the contract amount to cover the execution of the Work. Bond forms are available on the BGS website.  
*or*  
☒ Performance and Payment Bonds are not required on this project.
6. Filed Sub-bids *are not required* on this project.
7. ☐ Pre-qualified General Contractors are utilized on this project.  
*insert the company name, city and state for each*  
*or*  
☒ Pre-qualified General Contractors are not utilized on this project.
8. ☒ An on-site pre-bid conference ( ☐ *mandatory* or ☒ *optional* ) will be conducted for this project. The pre-bid conference is intended for General Contractors. Subcontractors and suppliers are welcome to attend. Contractors who arrive late or leave early for a mandatory meeting may be prohibited from participating in this meeting and bidding.  
*April 2, 2025 at 11:00 A.M.*  
*63 Station Hill, Ashland Maine 04732*  
*insert other details as needed*  
  
*or*  
☐ An on-site pre-bid conference will not be conducted for this project.
9. Bid Documents - full sets only - will be available on or about *March 14, 2025* and may be obtained *at no cost to download* from:  
*Bureau of General Services*  
*<https://www.maine.gov/dafs/bgs/business-opportunities#invitationforbid>*
10. Bid Documents may be examined at:
- |   |  |
|---|--|
| <i>AGC Maine</i><br><i>188 Whitten Road, Augusta, ME 04330</i><br><i>207-622-4741</i> | <i>Construction Summary</i><br><i>734 Chestnut Street, Manchester, NH 03104</i><br><i>603-627-8856</i> |
|---|--|

**00 21 13**  
**Instructions to Bidders**

**1. Bidder Requirements**

- 1.1 A bidder is a Contractor which is evidently qualified, or has been specifically pre-qualified by the Bureau of General Services, to bid on the proposed project described in the Bid Documents.
- 1.2 Contractors and Subcontractors bidding on projects that utilize Filed Sub-bids shall follow the requirements outlined in these Bid Documents for such projects. See Section 00 22 13 for additional information.
- 1.3 Contractors and Subcontractors are not eligible to bid on the project when their access to project design documents prior to the bid period distribution of documents creates an unfair bidding advantage. Prohibited access includes consultation with the Owner or with design professionals engaged by the Owner regarding cost estimating, constructability review, or project scheduling. This prohibition to bid applies to open, competitive bidding or pre-qualified contractor bidding or Filed Sub-bidding. The Bureau may require additional information to determine if the activities of a Contractor constitute an unfair bidding advantage.
- 1.4 Each bidder is responsible for becoming thoroughly familiar with the Bid Documents prior to submitting a bid. The failure of a bidder to review evident site conditions, to attend available pre-bid conferences, or to receive, examine, or act on addenda to the Bid Documents shall not relieve that bidder from any obligation with respect to their bid or the execution of the work as a Contractor.
- 1.5 Prior to the award of the contract, General Contractor bidders or Filed Sub-bidders may be required to provide documented evidence to the Owner or the Bureau showing compliance with the provisions of this section, their business experience, financial capability, or performance on previous projects.
- 1.6 The selected General Contractor bidder will be required to provide proof of insurance before a contract can be executed.
- 1.7 Contracts developed from this bid shall not be assigned, sublet or transferred without the written consent of the Owner.
- 1.8 By submitting a bid the Contractor attests that it has not been declared ineligible to bid on State of Maine projects. The Director of the Bureau of General Services may disallow award of this contract to any Contractor if there is evidence that the Contractor or any of its Subcontractors, through their own fault, have been terminated, suspended for cause, debarred from bidding, agreed to refrain from bidding as part of a settlement, have defaulted on a contract, or had a contract completed by another party.
- 1.9 The Contractor attests that it is not presently indicted for or otherwise criminally or civilly charged by a Federal, State or local government entity with commission of any of the following offenses and has not within a three-year period preceding this bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction, or contract under a public transaction, violation of Federal or State anti-trust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.



**00 21 13**  
**Instructions to Bidders**

- 1.10 The Contractor shall not make any award or permit any award (subgrant or contract) at any tier to any party which is debarred or suspended or is otherwise excluded from or ineligible for participation in Federal assistance programs or State of Maine projects.
2. Authority of Owner
  - 2.1 The Owner reserves the right to accept or reject any or all bids as may best serve the interest of the Owner.
  - 2.2 Subject to the Owner's stated right to accept or reject any or all bids, the Contractor shall be selected on the basis of the lowest dollar value of an acceptable Base Bid, or any combination of Base Bid plus Alternate Bids, as well as other limited cost modifications the Owner determines may best serve the interests of the Owner. An acceptable bid is a duly submitted bid from a responsive and responsible bidder.
  - 2.3 The Owner reserves the right to require Bid Bonds or Performance and Payment Bonds for any project of any contract value.
3. Submitting Bids and Bid Requirements
  - 3.1 Each bid shall be submitted on the forms provided in the Bid Documents.
  - 3.2 Each bid shall be valid for a period of thirty calendar days following the Project bid closing date and time. The bid expiration date may be extended in unusual circumstances by mutual consent of the Bidder and the Owner. The bid amount shall not be modified due to the bid expiration date extension.
  - 3.3 Any provision contained in a bid which shows cost escalation, or any modification of schedule or other requirements shall not be accepted. Such a provision causes the bid to be invalid, or, at the discretion of the Owner and BGS, that element of the bid submission may be disregarded for the purpose of awarding the contract without that provision.
  - 3.4 Bidders shall include a Bid Bond or other approved bid security with the bid form submitted to the Owner when the bid form indicates such bid security is required. The bond value shall be 5% of the bid amount. The form of bond is shown in section 00 43 13.
  - 3.5 Bidders recognize that inclusion of contract bonds and the cost of those bonds is dependent on the awarded contract dollar value. Therefore, a Base Bid, or any combination of Base Bid plus Alternate Bids, as well as other limited cost modifications, resulting in a contract award shall include the cost of Performance and Payment Bonds in the submitted bid amount when the construction contract value is over \$125,000.00. Similarly, the cost of Performance and Payment Bonds is excluded in the submitted bid amount when the construction contract value is \$125,000.00 or less unless bonds are specifically required by the Bid Documents. When required for the project, the selected Contractor shall provide these bonds before a contract can be executed, pursuant to 14 M.R.S.A., Section 871, Public Works Contractors' Surety Bond Law of 1971, subsection 3. The form of bonds is shown in section 00 61 13.13 and 00 61 13.16.

**00 21 13**  
**Instructions to Bidders**

- 3.6 Bidders may modify bids in writing, by the same means as the original bid submission, prior to the bid closing time. Such written amendments shall not disclose the amount of the initial bid. If so disclosed, the entire bid is considered invalid.
- 3.7 Bidders implicitly acknowledge all Addenda issued when they submit the bid form. By usual practice the Consultant shall not issue Addenda less than 72 hours prior to the bid closing time, to allow ample time for bidders to incorporate the information. However, some information, such as extending the bid due date and time, may be issued with shorter notice. Addenda shall be issued to all companies who are registered holders of Bid Documents.
- 3.8 A bid may be withdrawn without penalty if a written request by the bidder is presented to the Owner prior to the bid closing time. Such written withdrawal requests are subject to verification as required by the Bureau.
- A bid may be withdrawn without penalty after the bid closing time if, in the determination of the Bureau, evidence provided by the Contractor shows an apparent unintended error such as a miscalculation, or an erroneous number on estimating documents, was the cause of an inaccurate bid. The Bureau may allow withdrawal in consideration of the bid bond or, without utilizing a bid bond, if the Bureau considers documented evidence provided by the Contractor shows factual errors had been made on the bid form.
- 3.9 In the event State of Maine Offices unexpectedly close on the published date of a public bid opening in the location of that bid opening, prior to the time of the scheduled deadline, the new deadline for the public bid opening will be the following business day at the originally scheduled hour of the day, at the original location. Official closings are posted on the State of Maine government website.
- 3.10 The Owner may require, in a Notice of Intent to Award letter to the apparent low bidder, a Schedule of Values, Project Schedule, and List of Subcontractors and Suppliers as both a demonstration of capability of the Bidder and as a condition of award.
- 3.11 Projects which require a State of Maine wage determination will include that schedule as part of the Bid Documents. See section 00 73 46, if such rates are required.
- 3.12 Projects which require compliance with the Davis-Bacon Act are subject to the regulations contained the Code for Federal Regulations and the federal wage determination which is made a part of the Bid Documents. See section 00 73 46, if such rates are required.
- 3.13 The Owner is exempt from the payment of Maine State sales and use taxes as provided in 36 M.R.S. §1760 (1). The Contractor and Subcontractors shall not include taxes on exempt items in the construction contract.

**00 41 13**  
**Contractor Bid Form**

**Ashland Backup Generator**

3767

Bid Form submitted by: *email only to email address below*

Bid Administrator:

*Richard Parker*  
Inland Fisheries and Wildlife  
353 Water Street  
41 State House Station  
Augusta, Maine 04333-0041

BGS.Architect@Maine.gov

Bidder:

Signature: \_\_\_\_\_

Printed name and  
title: \_\_\_\_\_

Company name: \_\_\_\_\_

Mailing address: \_\_\_\_\_

City, state, zip code: \_\_\_\_\_

Phone number: \_\_\_\_\_

Email address: \_\_\_\_\_

State of  
incorporation,  
if a corporation: \_\_\_\_\_

List of all partners,  
if a partnership: \_\_\_\_\_

The Bidder agrees, if the Owner offers to award the contract, to provide any and all bonds and certificates of insurance, as well as Schedule of Values, Project Schedule, and List of Subcontractors and Suppliers if required by the Owner, and to sign the designated Construction Contract within twelve calendar days after the date of notification of such acceptance, except if the twelfth day falls on a State of Maine government holiday or other closure day, or a Saturday, or a Sunday, in which case the aforementioned documents must be received before 12:00 noon on the first available business day following the holiday, other closure day, Saturday, or Sunday.

As a guarantee thereof, the Bidder submits, together with this bid, a bid bond or other acceptable instrument as and if required by the Bid Documents.

**00 41 13**  
**Contractor Bid Form**

1. The Bidder, having carefully examined the Ashland Backup Generator Project Manual dated March 7 2025, prepared by Haley Ward, as well as Specifications, Drawings, and any Addenda, the form of contract, and the premises and conditions relating to the work, proposes to furnish all labor, equipment and materials necessary for and reasonably incidental to the construction and completion of this project for the **Base Bid** amount of:

\$ \_\_\_\_\_ .00

2. Allowances *are not included* on this project.

*No Allowances*

\$ 0.00

3. Alternate Bids <*Bid Administrator to select...*> on this project.

*Alternate Bids are as shown below*

Any dollar amount line below that is left blank by the Bidder shall be read as a bid of **\$0.00**.

*1 Service Drop Conductors Replacement see plan E501* \$ \_\_\_\_\_ .00

*2 Not Used* \$ \_\_\_\_\_ .00

*3 Not Used* \$ \_\_\_\_\_ .00

*4 Not Used* \$ \_\_\_\_\_ .00

4. Bid security *is not required* on this project.

If noted above as required, or if the Base Bid amount exceeds \$125,000.00, the Bidder shall include with this bid form a satisfactory Bid Bond (section 00 43 13) or a certified or cashier's check for 5% of the bid amount with this completed bid form submitted to the Owner.

5. Filed Sub-bids *are not required* on this project.

If noted above as required, the Bidder shall include with this bid form a list of each Filed Sub-bidder selected by the Bidder on the form provided (section 00 41 13F).

**00 43 13**  
**Contractor Bid Bond**

Bond No.: insert bond number

We, the undersigned, insert company name of Contractor, select type of entity of insert name of municipality in the State of insert name of state as principal, and insert name of surety as Surety, are hereby held and firmly bound unto select title of obligee in the penal sum of five percent of the bid amount, for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns, signed this insert date, i.e.: 8th day of select month, select year, which is the same date as that of the first specified bid due date, or subsequent bid due date revised by addendum.

The condition of the above obligation is such that whereas the principal has submitted to the Owner, or State of Maine, to a certain bid, attached hereto and hereby made a part hereof, to enter into a contract in writing, for the construction of insert name of project as designated in the contract documents

Now therefore:

If said bid shall be rejected, or, in the alternate,

If said bid shall be accepted and the principal shall execute and deliver a contract in the form of contract attached hereto, properly completed in accordance with said bid, and shall furnish a bond for the faithful performance of said contract, and for the payment of all persons performing labor or furnishing material in connection therewith, and shall in all other respects perform the agreement created by the acceptance of said bid, then this obligation shall be void.

Otherwise, the same shall remain in force and effect- it being expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall, in no event, exceed the penal amount of this obligation as herein stated.

The Surety, for value received hereby stipulates and agrees that the obligation of said Surety and its bonds shall be in no way impaired or affected by any extension of the time within which the Obligee may accept such bid and said Surety does hereby waive notice of any such extension.

**00 43 13**  
**Contractor Bid Bond**

In witness whereof, the principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set above.

Signed and sealed this insert date, i.e.: 8th day of select month, select year, which is the same date as that of the first specified bid due date, or subsequent bid due date revised by addendum.

**Contractor**

\_\_\_\_\_  
(Signature)

*insert name and title*

*insert company name*

*insert address*  
*insert city state zip code*

**Surety**

\_\_\_\_\_  
(Signature)

*insert name and title*

*insert company name*

*insert address*  
*insert city state zip code*

If Contractor is a partnership, all partners shall execute the bond. A power of attorney document indicating that it still is in full force and effect shall be provided by the person executing this bond.

## State of Maine CONSTRUCTION CONTRACT

### Large Construction Project

*This form is used when the Contract value is \$50,000 or greater.  
The Project Manual, Specifications and Drawings, and any Addenda are considered part of this Contract.*

Agreement entered into by and between the contracting entity name hereinafter called the **Owner** and Contractor company name hereinafter called the **Contractor**.

BGS Project No.: number assigned by BGS

Other Project No.: \_\_\_\_\_

For the following Project: title of project as shown on bid documents at facility or campus name, municipality, Maine.

The Specifications and the Drawings have been prepared by Consultant firm name, acting as Professional-of-Record and named in the documents as the Consultant Architect or Engineer.

The *Owner* and *Contractor* agree as follows:

#### ARTICLE 1 COMPENSATION AND PAYMENTS

**1.1** The Owner shall pay the Contractor to furnish all labor, equipment, materials and incidentals necessary for the construction of the Work described in the Specifications and shown on the Drawings the Contract Amount as shown below.

Base Bid	<u>\$0.00</u>
<u>Alternate Bid number and name or "no Alternates"</u>	<u>\$0.00</u>
<u>Alternate Bid number and name or "no Alternates"</u>	<u>\$0.00</u>
<u>Alternate Bid number and name or "no Alternates"</u>	<u>\$0.00</u>
<u>Alternate Bid number and name or "no Alternates"</u>	<u>\$0.00</u>
<u>Alternate Bid number and name or "no Alternates"</u>	<u>\$0.00</u>
<b>Total Contract Amount</b>	<b><u>\$0.00</u></b>

**1.2** The Contractor's requisition shall contain sufficient detail and supporting information for the Owner to evaluate and support the payment requested.

**1.2.1** Payments are due and payable twenty-five working days from the date of receipt of a Contractor requisition which is approved by the Owner.

**1.2.2** Provisions for late payments are governed by 5 M.R.S. Chapter 144, *Payment of Invoices Received from Business Concerns*, and interest shall be calculated at 1% per month.

#### ARTICLE 2 COMMENCEMENT AND COMPLETION DATES

**2.1** The Work of this Contract shall commence no sooner than the date this document is executed by the approval authority, or a subsequent date designated in the contract documents.

**2.2** The Substantial Completion Date shall be \_\_\_\_\_.

**2.3** The Work of this Contract shall be completed on or before the Contract Final Completion Date of \_\_\_\_\_.

**2.4** The Contract Expiration Date shall be \_\_\_\_\_. (This date is the Owner's deadline for internal management of contract accounts. The Contract Expiration Date does not directly relate to any contract obligation of the Contractor.)

### **ARTICLE 3 INELIGIBLE BIDDER**

**3.1** By signing this contract the Contractor attests that it has not been declared ineligible to bid on State of Maine projects. The Bureau of General Services may disallow award of this contract to any Contractor if there is evidence that the Contractor or any of its Subcontractors, through their own fault, have been terminated, suspended for cause, debarred from bidding, agreed to refrain from bidding as part of a settlement, have defaulted on a contract, or had a contract completed by another party.

**3.2** By signing this contract the Contractor attests that it is not presently indicted for or otherwise criminally or civilly charged by a Federal, State or local government entity with commission of any of the following offenses and has not within a three-year period preceding this bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction, or contract under a public transaction, violation of Federal or State anti-trust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.

**3.3** The Contractor shall not make any award or permit any award (subgrant or contract) at any tier to any party which is debarred or suspended or is otherwise excluded from or ineligible for participation in Federal assistance programs or State of Maine projects.

### **ARTICLE 4 CONTRACTOR'S RESPONSIBILITIES**

**4.1** On this project, the Contractor shall furnish the Owner the appropriate contract bonds in the amount of 100% of the Contract Sum. Contract bonds are mandated if the Contract Sum exceeds \$125,000, or if bonds are specifically required by the Contract Documents.

**4.2** The Contractor shall comply with all laws, codes and regulations applicable to the Work.

**4.3** The Contractor shall acquire all permits and third-party approvals applicable to the Work not specifically identified as provided by the Owner. Costs for Contractor-provided permits and third-party approvals shall be included in the Contract Sum identified in Section 1.1 above.

**4.4** The Contractor shall remain an independent agent for the duration of this Contract, shall not become an employee of the State of Maine, and shall assure that no State employee will be compensated by, or otherwise benefit from, this Contract.

**4.5** The Contractor shall be responsible for any design cost, construction cost, or other cost incurred on the Project to the extent caused by the negligent acts, errors or omissions of the Contractor or their Subcontractors in the performance of Work under this Contract.



**ARTICLE 5 OWNER'S RESPONSIBILITIES**

**5.1** The Owner shall provide full information about the objectives, schedule, constraints and existing conditions of the project. The Owner has established a budget with reasonable contingencies that meets the project requirements.

**5.2** By signing this contract, the Owner attests that all State of Maine procurement requirements for this contract have been met, including the solicitation of competitive bids.

**ARTICLE 6 INSTRUMENTS OF SERVICE**

**6.1** The Contractor's use of the drawings, specifications and other documents known as the Consultant's Instruments of Service is limited to the execution of the Contractor's scope of work of this project unless the Contractor receives the written consent of the Owner and Consultant for use elsewhere.

**ARTICLE 7 MISCELLANEOUS PROVISIONS**

**7.1** This Contract shall be governed by the laws of the State of Maine.

**7.2** The Owner and Contractor, respectively, bind themselves, their partners, successors, assigns and legal representatives to this Contract. Neither party to this Contract shall assign the Contract as a whole without written consent of the other party, which consent the Owner may withhold without cause.

**7.3** Notwithstanding any other provision of this Agreement, if the Owner does not receive sufficient funds to fund this Agreement or funds are de-appropriated, or if the Owner does not receive legal authority from the Maine State Legislature or Maine Courts to expend funds intended for this Agreement, then the Owner is not obligated to make payment under this Agreement; provided, however, the Owner shall be obligated to pay for services satisfactorily performed prior to any such non-appropriation in accordance with the termination provisions of this Agreement. The Owner shall timely notify the Contractor of any non-appropriation and the effective date of the non-appropriation.

**ARTICLE 8 CONTRACT DOCUMENTS**

**8.1** The Project Manual, Specifications and Drawings, and any Addenda, together with this agreement, form the contract. Each element is as fully a part of the Contract as if hereto attached or herein repeated.

**8.2** Specifications: *indicate date of issuance of project manual*

**8.3** Drawings: *note here or attach each sheet number and title*

**8.4** Addenda: *note each addenda number and date, or "none"*

BGS Project No.: \_\_\_\_\_

The Contract is effective as of the date executed by the approval authority.

**OWNER****CONTRACTOR**

---

*Signature* *Date*  
*name and title**name of contracting entity*  
*address**telephone*  
*email address*

---

*Signature* *Date*  
*name and title**name of contractor company*  
*address**telephone*  
*email address*  
*Vendor Number**Indicate the names of the review and approval individuals appropriate to the approval authority.*

<b>select proper approval authority</b>			
<b>Reviewed by:</b>		<b>Approved by:</b>	
<hr/> <i>Signature</i> <i>Date</i> <i>insert name</i>		<hr/> <i>Signature</i> <i>Date</i> <i>John Kenney, P.E.</i>	
<i>Project Manager/ Contract Administrator</i>		<i>Director, Planning Design and Construction Division (PDCD)</i>	

**State of Maine**  
**CONSTRUCTION CONTRACT**  
**Application for Payment**

**Project name**

location / school / campus

Application Number: **1****Contractor Company name**

address

city state zip code

Period Start Date: **1-Jul-2020**Period End Date: **31-Jul-2020**BGS Project No.: **n**Other Project No.: **x**

1	Original Contract Amount		<b>\$0</b>
2	Net of Change Orders to Date	(from table below)	<b>\$0</b>
3	Contract Sum to Date	(line 1 plus or minus line 2)	<b>\$0</b>
4	Total Completed and Stored to Date	(column G on Continuation Sheet)	<b>\$0</b>
5a	5% Retainage of Completed Work	(columns D + E x 5%)	<b>\$0</b>
5b	5% Retainage of Stored Materials	(column F x 5%)	<b>\$0</b>
5c	Total Retainage	(column I)	<b>\$0</b>
6	Total Earned Less Retainage	(line 4 minus line 5c)	<b>\$0</b>
7	Less Previous Approved Applications for Payment	(line 6 from previous Application)	<b>\$0</b>
8	Current Payment Due	(line 6 minus line 7)	<b>\$0</b>
9	Balance to Finish, Including Retainage	(line 3 minus line 6)	<b>\$0</b>

Change Order Summary	Additions	Deductions
Total Changes Approved in Previous Months	<b>\$0</b>	<b>\$0</b>
Total Changes Approved this Month	<b>\$0</b>	<b>\$0</b>
Subtotals	<b>\$0</b>	<b>\$0</b>
Net of Change Orders to Date		<b>\$0</b>

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information, and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which the previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

**Contractor**

Type company name here

Type person's name, title here

signature

date

In accordance with the Contract Documents, based on on-site observations and the data comprising this Application, the Consultant certifies to the Owner that to the best of the Consultant's knowledge, information, and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the Amount Certified. **Amount Certified:** \_\_\_\_\_

**Consultant (Architect or Engineer)**

Type firm name here

Type person's name, title here

signature

date

**Owner**

Type contracting entity name here

Type person's name, title here

signature

date

**Owner's Rep / other - clear this text if not used**

Type entity name here

Type person's name, title here

signature

date

**Bureau of General Services**

Type person's name, title here

signature

date

**State of Maine**  
**CONSTRUCTION CONTRACT**  
**Application for Payment - Continuation Sheet**

Application Number: **1**  
 Period Start Date: **1-Jul-2020**  
 Period End Date: **31-Jul-2020**  
 BGS Project No.: **n**  
 Other Project No.: **x**

### Project name

**Contractor Company name**

page 1  
of 2

[illegible]

	Total	\$0	\$0	\$0	\$0	\$0	0.0%	\$0	\$0
--	-------	-----	-----	-----	-----	-----	------	-----	-----

Application Number: **1**  
 Period Start Date: **1-Jul-2020**  
 Period End Date: **31-Jul-2020**  
 BGS Project No.: **n**  
 Other Project No.: **x**

**Contractor Company name**

page 2  
of 2

[illegible]

**State of Maine**  
**CONSTRUCTION CONTRACT**  
**Construction Change Directive**

**Project name**  
 location / school / campus

C. C. D. Number: **1**  
 CP (Change Proposal) Number **1**  
 Issue Date of this Document: **31-Oct-2021**

**Contractor Company name**  
 address  
 city state zip code

BGS Project No.: **n**  
 Other Project No.: **x**

<b>CCD Item</b>	Type name of CCD item here		
<b>Description of Work</b>	Type brief description here of work scope here.		
<b>Reason or Necessity of Work</b>	Type brief justification for change here.		
<b>Method of Compensation</b>	Select from drop down box...	<b>Projected Total Cost</b>	<b>\$0</b>
<b>Supporting Documentation</b>	is attached	<b>Projected Calendar Days*</b>	<b>0</b>

\* Calendar Days refers to Contract Final Completion Date only.

Fully describe the scope of work of the CCD item in the table above and on attached drawings and specifications as necessary.

Indicate the reason for the work, and the estimated schedule and cost impacts.

This CCD records the order to do the work. The documented actual final time and cost changes are subject to approval in a subsequent Change Order process.

**Consultant**  
 (Architect or Engineer) Type firm name here  
 Type person's name, title here

-----  
 signature date

**Contractor**  
 Type company name here  
 Type person's name, title here

-----  
 signature date

**Owner**  
 Type contracting entity name here  
 Type person's name, title here

-----  
 signature date

**Owner's Rep**  
 Type entity name here  
 Type person's name, title here

-----  
 signature date

**Bureau of**  
**General Services** Division of Planning, Design & Construction  
 Type person's name, title here

-----  
 signature date

**State of Maine**  
**CONSTRUCTION CONTRACT**  
**Change Order**

**Project name**

location / school / campus

Change Order Number: **1**Issue Date of this Document: **31-Dec-2022****Contractor Company name**

address

city state zip code

BGS Project No.: **n**Other Project No.: **x****Cost Change***Show Deduct as a negative number, e.g.: "-\$850".*

	Add	Deduct	Total
Net Amount of this Change Order	\$0	\$0	
Net Amount of Previous Change Orders	\$0	\$0	
Net of Change Orders to Date	\$0	\$0	\$0
Original Contract Amount			\$0
<b>Revised Contract Amount</b>			<b>\$0</b>

**Time Change***Show Deduct as a negative number, e.g.: "-8".*

	Add	Deduct	Total
Net Calendar Days Adjusted by this Change Order	0	0	
Net Calendar Days Adjusted by Previous Change Orders	0	0	
Net of Change Orders to Date	0	0	0
Original Contract Final Completion Date			31-Dec-2023
<b>Revised Contract Final Completion Date*</b>			<b>31-Dec-2023</b>

**Consultant (Architect or Engineer)**

Type firm name here

Type person's name, title here

signature

date

**Contractor**

Type company name here

Type person's name, title here

signature

date

**Owner**

Type contracting entity name here

Type person's name, title here

signature

date

**Type Entity, such as "Owner's Rep", or "not used"**

Type entity name here

Type person's name, title here

signature

date

**Bureau of General Services**

Division of Planning, Design &amp; Construction

Type person's name, title here

signature

date

Attach the "List of Change Order Items" sheet, plus all supporting documentation for each Change Order Item.

Substantial Completion Date: the deadline for first beneficial use by Owner, as certified by Consultant.

\* **Contract Final Completion Date** : the Contractor's final completion deadline for contract work.

Contract Expiration Date: the Owner's deadline for internal management of contract accounts;

Contract Expiration Date does not directly relate to any contract obligation of the Contractor.

1-Dec-2023

31-Dec-2023

29-Feb-2024



## List of Change Order Items

Project name

C. O. Number: 1

Contractor Company name

CO Item No.	CP No.	Item Name	Reason Code	Calendar Days*	Cost
1	1	Type brief name of Change Order Item here		0	\$0
				0	\$0
				0	\$0
				0	\$0
				0	\$0
				0	\$0
				0	\$0
				0	\$0
				0	\$0
				0	\$0
				0	\$0
				0	\$0
				0	\$0
				0	\$0
				0	\$0
				0	\$0
Totals				0	\$0

## Reason Codes

EO Error or omission of Consultant  
 UC Unforeseen job site condition  
 OC Owner-generated change  
 RC Regulatory authority-generated change  
 CC Contractor-generated change

\* Calendar Days shows Contract Final Completion Date impact only.

Attach this sheet to the BGS "Change Order" cover sheet (with cost and time summaries, and signatures). Attach a "Details" sheet, and other supporting documentation, for each Change Order Item listed above.

## Details of Change Order Item

## Project name

location / school / campus

Change Order Item Number

1

CP (Change Proposal) Number

1

Issue Date of this Document:

31-Oct-2021

## Contractor Company name

address

city state zip code

BGS Project No.:

n

Other Project No.:

x

<b>Change Order Item</b>	Type name of Change Order Item here			
<b>Description of Work</b>	Type brief description here of work scope here.			
<b>Reason or Necessity of Work</b>	Type brief justification for change here.			
<b>Cost Breakdown</b>	Work by Subcontractor only	Work by Sub and Contractor	Work by Contractor only	
Subcontractor base cost	\$0	\$0		
Subcontractor markup	\$0	\$0		
Contractor base cost		\$0	\$0	
Contractor markup	\$0	\$0	\$0	
Subtotal	\$0	\$0	\$0	
<b>Compensation</b>	lump sum		<b>Total Cost</b>	<b>\$0</b>
<b>Initiated by</b>	Consultant		<b>Calendar Days*</b>	0
<b>Reason Code</b>	CC	<b>Supporting Documentation</b>		is attached

<i>EO</i>	<i>UC</i>	<i>OC</i>	<i>RC</i>	<i>CC</i>
<i>Error or omission of Consultant</i>	<i>Unforeseen job site condition</i>	<i>Owner- generated change</i>	<i>Regulatory authority- generated change</i>	<i>Contractor- generated change</i>

\* Calendar Days shows Contract Final Completion Date impact only.

## Consultant

(Architect or Engineer)

Type firm name here

Type person's name, title here

signature

date

## Contractor

Type company name here

Type person's name, title here

signature

date

## Owner

Type contracting entity name here

Type person's name, title here

signature

date

## Owner's Rep

Type entity name here

Type person's name, title here

signature

date

Bureau of  
General Services

Division of Planning, Design &amp; Construction

Type person's name, title here

signature

date

**00 71 00**  
**Definitions**

1. Definitions

- 1.1 *Addendum*: A document issued by the Consultant that amends the Bid Documents. Addenda shall not be issued less than seventy-two hours prior to the specified bid opening time.
- 1.2 *Allowance*: A specified dollar amount for a particular scope of work or service included in the Work that is identified in the Bid Documents and included in each Bidder's Bid. The Contractor shall document expenditures for an Allowance during the Project. Any unused balance shall be credited to the Owner. The Contractor is responsible for notifying the Owner of anticipated expenses greater than the specified amount and the Owner is responsible for those additional expenses.
- 1.3 *Alternate Bid*: The Contractor's written offer of a specified dollar amount, submitted on the Bid Form, for the performance of a particular scope of work described in the Bid Documents. The Owner determines the low bidder based on the sum of the base Bid and any combination of Alternate Bids that the Owner selects.
- 1.4 *Architect*: A Consultant acting as, or supporting, the Professional-of-Record who is responsible for the design of the Project. Equivalent to "Consultant" in State of Maine contract forms.
- 1.5 *Architectural Supplemental Instruction (ASI)*: A written instruction from the Architect for the purpose of clarification of the Contract Documents. An ASI does not alter the Contract Price or Contract Time. ASIs may be responses to RFIs and shall be issued by the Architect in a timely manner to avoid any negative impact on the Schedule of the Work.
- 1.6 *Bid*: The Contractor's written offer of a specified dollar amount or amounts, submitted on a form included in the Bid Documents, for the performance of the Work. A Bid may include bonds or other requirements. A base Bid is separate and distinct from Alternate Bids, being the only cost component necessary for the award of the contract, and representing the minimum amount of Work that is essential for the functioning of the Project.
- 1.7 *Bid Bond*: The security designated in the Bid Documents, furnished by Bidders as a guaranty of good faith to enter into a contract with the Owner, should a contract be awarded to that Bidder.
- 1.8 *Bidder*: Any business entity, individual or corporation that submits a bid for the performance of the work described in the Bid Documents, acting directly or through a duly authorized representative. See also *Responsive and Responsible Bidder*.
- 1.9 *Bid Documents*: The drawings, procurement and contracting requirements, general requirements, and the written specifications -including all addenda, that a bidder is required to reference in the submission of a bid.
- 1.10 *Bureau*: The State of Maine Bureau of General Services, or BGS, in the Department of Administrative and Financial Services.
- 1.11 *Calendar days*: Consecutive days, as occurring on a calendar, taking into account each day of the week, month, year, and any religious, national or local holidays. Calendar days are used for changes in Contract Time.

**00 71 00**  
**Definitions**

- 1.12 *Certificate of Substantial Completion*: A document developed by the Consultant that describes the final status of the Work and establishes the date that the Owner may use the facility for its intended purpose. The Certificate of Substantial Completion may also include a provisional list of items - a "punch list" - remaining to be completed by the Contractor. The Certificate of Substantial Completion identifies the date from which the project warranty period commences.
- 1.13 *Certificate of Occupancy*: A document developed by a local jurisdiction such as the Code Enforcement Officer that grants permission to the Owner to occupy a building.
- 1.14 *Change Order (CO)*: A document that modifies the contract and establishes the basis of a specific adjustment to the Contract Price or the Contract Time, or both. Change Orders may address correction of omissions, errors, and document discrepancies, or additional requirements. Change Orders should include all labor, materials and incidentals required to complete the work described. A Change Order is not valid until signed by the Contractor, Owner and Consultant and approved by the Bureau.
- 1.15 *Change Order Proposal (COP) (see also Proposal)*: Contract change proposed by the Contractor regarding the contract amount, requirements, or time. The Contractor implements the work of a COP after it is accepted by all parties. Accepted COPs are incorporated into the contract by Change Order.
- 1.16 *Clerk of the Works*: The authorized representative of the Consultant on the job site. Clerk of the Works is sometimes called the Architect's representative.
- 1.17 *Construction Change Directive (CCD)*: A written order prepared by the Consultant and signed by the Owner and Consultant, directing a change in the Work prior to final agreement with the Contractor on adjustment, if any, in the Contract Price or Contract Time, or both.
- 1.18 *Contract*: A written agreement between the Owner and the successful bidder which obligates the Contractor to perform the work specified in the Contract Documents and obligates the Owner to compensate the Contractor at the mutually accepted sum, rates or prices.
- 1.19 *Contract Bonds (also known as Payment and Performance Bonds)*: The approved forms of security, furnished by the Contractor and their surety, which guarantee the faithful performance of all the terms of the contract and the payment of all bills for labor, materials and equipment by the Contractor.
- 1.20 *Contract Documents*: The drawings and written specifications (including all addenda), Standard General Conditions, and the contract (including all Change Orders subsequently incorporated in the documents).
- 1.21 *Contract Expiration Date*: Date determined by the Owner as a deadline for internal management of contract accounts. This allows time after the Contract Final Completion Date for processing the final Requisition for Payment. The Contract Expiration Date does not directly relate to any contract obligation of the Contractor.
- 1.22 *Contract Final Completion Date*: Point of time when the Work is fully completed in compliance with the Contract Documents, as certified by the Consultant. Final payment to the Contractor is due upon Final Completion of the Project.
- 1.23 *Contract Price*: The dollar amount of the construction contract, also called *Contract Sum*.

**00 71 00**  
**Definitions**

- 1.24 *Contract Time*: The designated duration of time to execute the Work of the contract, with a specific date for completion.
- 1.25 *Contractor*: Also called the "General Contractor" or "GC" the individual or entity undertaking the execution of the general contract work under the terms of the contract with the Owner, acting directly or through a duly authorized representative. The Contractor is responsible for the means, methods and materials utilized in the execution and completion of the Work.
- 1.26 *Consultant*: The Architect or Engineer acting as Professional-of-Record for the Project. The Consultant is responsible for the design of the Project.
- 1.27 *Drawings*: The graphic and pictorial portion of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.
- 1.28 *Engineer*: A Consultant acting as, or supporting, the Professional-of-Record who is responsible for the design of the Project. Equivalent to "Consultant" in State of Maine contract forms.
- 1.29 *Filed Sub-bid*: The designated major Subcontractor's (or, in some cases, Contractor's) written offer of a specified dollar amount or amounts, submitted on a form included in the Bid Documents, for the performance of a particular portion of the Work. A Filed Sub-bid may include bonds or other requirements.
- 1.30 *General Requirements*: The on-site overhead expense items the Contractor provides for the Project, typically including, but not limited to, building permits, construction supervision, Contract Bonds, insurance, field office, temporary utilities, rubbish removal, and site fencing. Overhead expenses of the Contractor's general operation are not included. Sometimes referred to as the Contractor's General Conditions.
- 1.31 *Owner*: The State agency which is represented by duly authorized individuals. The Owner is responsible for defining the scope of the Project and compensation to the Consultant and Contractor.
- 1.32 *Owner's Representative*: The individual or entity contracted by the Owner to be an advisor and information conduit regarding the Project.
- 1.33 *Overhead*: General and administrative expenses of the Contractor's principal and branch offices, including payroll costs and other compensation of Contractor employees, deductibles paid on any insurance policy, charges against the Contractor for delinquent payments, and costs related to the correction of defective work, and the Contractor's capital expenses, including interest on capital used for the work.
- 1.34 *Performance and Payment Bonds (also known as Contract Bonds)*: The approved forms of security, furnished by the Contractor and their surety, which guarantee the faithful performance of all the terms of the contract and the payment of all bills for labor, materials and equipment by the Contractor.
- 1.35 *Post-Bid Addendum*: Document issued by the Consultant that defines a potential Change Order prior to signing of the construction contract. The Post-Bid Addendum allows the Owner to negotiate

**00 71 00**  
**Definitions**

contract changes with the Bidder submitting the lowest valid bid, only if the negotiated changes to the Bid Documents result in no change or no increase in the bid price.

A Post-Bid Addendum may also be issued after a competitive construction Bid opening to those Bidders who submitted a Bid initially, for the purpose of rebidding the Project work without re-advertising.

- 1.36 *Project*: The construction project proposed by the Owner to be constructed according to the Contract Documents. The Project, a public improvement, may be tied logistically to other public improvements and other activities conducted by the Owner or other contractors.
- 1.37 *Proposal (see also Change Order Proposal)*: The Contractor's written offer submitted to the Owner for consideration containing a specified dollar amount or rate, for a specific scope of work, and including a schedule impact, if any. A proposal shall include all costs for overhead and profit. The Contractor implements the work of a Proposal after it is accepted by all parties. Accepted Proposals are incorporated into the contract by Change Order.
- 1.38 *Proposal Request (PR)*: An Owner's written request to the Contractor for a Change Order Proposal.
- 1.39 *Punch List*: A document that identifies the items of work remaining to be done by the Contractor at the Close Out of a Project. The Punch List is created as a result of a final inspection of the work only after the Contractor attests that all of the Work is in its complete and permanent status.
- 1.40 *Request For Information (RFI)*: A Contractor's written request to the Consultant for clarification, definition or description of the Work. RFIs shall be presented by the Contractor in a timely manner to avoid any negative impact on the Schedule of the Work.
- 1.41 *Request For Proposal (RFP)*: An Owner's written request to the Contractor for a Change Order Proposal.
- 1.42 *Requisition for Payment*: The document in which the Contractor certifies that the Work described is, to the best of the Contractor's knowledge, information and belief, complete and that all previous payments have been paid by the Contractor to Subcontractors and suppliers, and that the current requested payment is now due. See *Schedule of Values*.
- 1.43 *Responsive and Responsible Bidder*: A bidder who complies, when submitting a bid on a given project, with the following *responsive* standards, as required by the Bid Documents:
  - submits specific qualifications to bid the project, if required;
  - attends mandatory pre-bid conferences, if required;
  - submits a bid prior to the close of the bid period;
  - submits a complete bid form;
  - submits a bid without indications of intent contrary to the stated requirements;
  - submits other materials and information, such as bid security, as required;
 and, meets the following minimums regarding these *responsible* standards:
  - sustains a satisfactory record of project performance;
  - maintains a permanent place of business in a known physical location;
  - possesses the financial means for short- and long-term operations;
  - possesses the appropriate technical experience and capabilities;
  - employs adequate personnel and subcontractor resources;

**00 71 00**  
**Definitions**

maintains the equipment needed to perform the work;  
complies with the proposed implementation schedule;  
complies with the insurance and bonding requirements;  
provides post-construction warranty coverage;  
and other criteria which can be considered relevant to the contract.

- 1.44 *Retainage*: The amount, calculated at five percent (5%) of the contract value or a scheduled value, that the Owner shall withhold from the Contractor until the work or portion of work is declared substantially complete or otherwise accepted by the Owner. The Owner may, if requested, reduce the amount withheld if the Owner deems it desirable and prudent to do so. (See Title 5 M.R.S.A., Section 1746.)
- 1.45 *Sample*: A physical example provided by the Contractor which illustrates materials, equipment or workmanship and establishes standards by which the Work will be judged.
- 1.46 *Schedule of the Work*: The document prepared by the Contractor and approved by the Owner that specifies the dates on which the Contractor plans to begin and complete various parts of the Work, including dates on which information and approvals are required from the Owner.
- 1.47 *Schedule of Values*: The document prepared by the Contractor and approved by the Owner before the commencement of the Work that specifies the dollar values of discrete portions of the Work equal in sum to the contract amount. The Schedule of Values is used to document progress payments of the Work in regular (usually monthly) requisitions for payment. See *Requisition for Payment*.
- 1.48 *Shop Drawings*: The drawings, diagrams, schedules and other data specially prepared for the Work by the Contractor or a Subcontractor, manufacturer, supplier or distributor to illustrate some portion of the Work.
- 1.49 *Specifications*: The portion of the Contract Documents consisting of the written requirements of the Work for materials, equipment, systems, standards, workmanship, and performance of related services.
- 1.50 *Subcontractor*: An individual or entity undertaking the execution of any part of the Work by virtue of a written agreement with the Contractor or any other Subcontractor. Also, an individual or entity retained by the Contractor or any other Subcontractor as an independent contractor to provide the labor, materials, equipment or services necessary to complete a specific portion of the Work.
- 1.51 *Substantial Completion Date*: Point of time when the Work or a designated portion of the Work is sufficiently complete in compliance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended purpose without unscheduled disruption. Substantial Completion is documented by the date of the Certificate of Substantial Completion signed by the Owner and the Contractor.
- 1.52 *Superintendent*: The representative of the Contractor on the job site, authorized by the Contractor to receive and fulfill instructions from the Consultant.
- 1.53 *Surety*: The individual or entity that is legally bound with the Contractor and Subcontractor to insure the faithful performance of the contract and for the payment of the bills for labor, materials and equipment by the Contractor and Subcontractors.

**00 71 00**  
**Definitions**

- 1.54 *Work*: The construction and services, whether completed or partially completed, including all labor, materials, equipment and services provided or to be provided by the Contractor and Subcontractors to fulfill the requirements of the Project as described in the Contract Documents.



**00 72 13**  
**General Conditions**

Table of Contents of this General Conditions Section

1. Preconstruction Conference .....	2
2. Intent and Correlation of Contract Documents .....	2
3. Additional Drawings and Specifications .....	3
4. Ownership of Contract Documents .....	3
5. Permits, Laws, and Regulations .....	3
6. Taxes .....	4
7. Labor and Wages .....	4
8. Indemnification .....	5
9. Insurance Requirements .....	5
10. Contract Bonds .....	6
11. Patents and Royalties .....	7
12. Surveys, Layout of Work .....	7
13. Record of Documents .....	7
14. Allowances .....	8
15. Shop Drawings .....	8
16. Samples .....	8
17. Substitutions .....	8
18. Assignment of Contract .....	9
19. Separate Contracts .....	9
20. Subcontracts .....	10
21. Contractor-Subcontractor Relationship .....	10
22. Supervision of the Work .....	11
23. Observation of the Work .....	11
24. Consultant's Status .....	12
25. Management of the Premises .....	12
26. Safety and Security of the Premises .....	13
27. Changes in the Work .....	14
28. Correction of the Work .....	15
29. Owner's Right to do Work .....	16
30. Termination of Contract and Stop Work Action .....	16
31. Delays and Extension of Time .....	17
32. Payments to the Contractor .....	18
33. Payments Withheld .....	19
34. Liens .....	19
35. Workmanship .....	19
36. Close-out of the Work .....	20
37. Date of Completion and Liquidated Damages .....	21
38. Dispute Resolution .....	21

**00 72 13**  
**General Conditions**

**1. Preconstruction Conference**

- 1.1 The Contractor shall, upon acceptance of a contract and prior to commencing work, schedule a preconstruction conference with the Owner and Consultant. The purpose of this conference is as follows.
  - 1.1.1 Introduce all parties who have a significant role in the Project, including:
    - Owner (State agency or other contracting entity)
      - Owner's Representative
    - Consultant (Architect or Engineer)
      - Subconsultants
      - Clerk-of-the-works
    - Contractor (GC)
      - Superintendent
      - Subcontractors
    - Other State agencies
    - Construction testing company
    - Commissioning agent
    - Special Inspections agent
    - Bureau of General Services (BGS);
  - 1.1.2 Review the responsibilities of each party;
  - 1.1.3 Review any previously-identified special provisions of the Project;
  - 1.1.4 Review the Schedule of the Work calendar submitted by the Contractor to be approved by the Owner and Consultant;
  - 1.1.5 Review the Schedule of Values form submitted by the Contractor to be approved by the Owner and Consultant;
  - 1.1.6 Establish routines for Shop Drawing approval, contract changes, requisitions, et cetera;
  - 1.1.7 discuss jobsite issues;
  - 1.1.8 Discuss Project close-out procedures;
  - 1.1.9 Provide an opportunity for clarification of Contract Documents before work begins; and
  - 1.1.10 Schedule regular meetings at appropriate intervals for the review of the progress of the Work.

**2. Intent and Correlation of Contract Documents**

- 2.1 The intent of the Contract Documents is to describe the complete Project. The Contract Documents consist of various components; each component complements the others. What is shown as a requirement by any one component shall be inferred as a requirement on all corresponding components.
- 2.2 The Contractor shall furnish all labor, equipment and materials, tools, transportation, insurance, services, supplies, operations and methods necessary for, and reasonably incidental to, the construction and completion of the Project. Any work that deviates from the Contract Documents which appears to be required by the exigencies of construction or by inconsistencies in the Contract Documents, will be determined by the Consultant and authorized in writing by the Consultant, Owner and the Bureau prior to execution. The Contractor shall be responsible for requesting clarifying information where the intent of the Contract Documents is uncertain.
- 2.3 The Contractor shall not utilize any apparent error or omission in the Contract Documents to the disadvantage of the Owner. The Contractor shall promptly notify the Consultant in writing of such errors or omissions. The Consultant shall make any corrections or clarifications necessary in such a situation to document the true intent of the Contract Documents.

**00 72 13**  
**General Conditions**

**3. Additional Drawings and Specifications**

- 3.1 Upon the written request of the Contractor, the Owner shall provide, at no expense to the Contractor, up to five sets of printed Drawings and Specifications for the execution of the Work.
- 3.2 The Consultant shall promptly furnish to the Contractor revised Drawings and Specifications, for the area of the documents where those revisions apply, when corrections or clarifications are made by the Consultant. All such information shall be consistent with, and reasonably inferred from, the Contract Documents. The Contractor shall do no work without the proper Drawings and Specifications.

**4. Ownership of Contract Documents**

- 4.1 The designs represented on the Contract Documents are the property of the Consultant. The Drawings and Specifications shall not be used on other work without consent of the Consultant.

**5. Permits, Laws, and Regulations**

- 5.1 The Owner is responsible for obtaining any zoning approvals or other similar local project approvals necessary to complete the Work, unless otherwise specified in the Contract Documents.
- 5.2 The Owner is responsible for obtaining Maine Department of Environmental Protection, Maine Department of Transportation, or other similar state government project approvals necessary to complete the Work, unless otherwise indicated in the Contract Documents.
- 5.3 The Owner is responsible for obtaining any federal agency project approvals necessary to complete the Work, unless otherwise indicated in the Contract Documents.
- 5.4 The Owner is responsible for obtaining all easements for permanent structures or permanent changes in existing facilities.
- 5.5 The Contractor is responsible for obtaining and paying for all permits and licenses necessary for the implementation of the Work. The Contractor shall notify the Owner of any delays, variance or restrictions that may result from the issuing of permits and licenses.
- 5.6 The Contractor shall comply with all ordinances, laws, rules and regulations and make all required notices bearing on the implementation of the Work. In the event the Contractor observes disagreement between the Drawings and Specifications and any ordinances, laws, rules and regulations, the Contractor shall promptly notify the Consultant in writing. Any necessary changes shall be made as provided in the contract for changes in the work. The Contractor shall not perform any work knowing it to be contrary to such ordinances, laws, rules and regulations.
- 5.7 The Contractor shall comply with local, state and federal regulations regarding construction safety and all other aspects of the Work.
- 5.8 The Contractor shall comply with the Maine Code of Fair Practices and Affirmative Action, 5 M.R.S. §784 (2).

**00 72 13**  
**General Conditions**

**6. Taxes**

- 6.1 The Owner is exempt from the payment of Maine State sales and use taxes as provided in 36 M.R.S. §1760 (1). The Contractor and Subcontractors shall not include taxes on exempt items in the construction contract.
- 6.2 Section 1760 further provides in subsection 61 that sales to a construction contractor or its subcontractor of tangible personal property that is to be physically incorporated in, and become a permanent part of, real property for sale to or owned by the Owner, are exempt from Maine State sales and use taxes. Tangible personal property is defined in 36 M.R.S. §1752 (17).
- 6.3 The Contractor may contact Maine Revenue Services, 24 State House Station, Augusta, Maine 04333 for guidance on tax exempt regulations authorized by 36 M.R.S. §1760 and detailed in Rule 302 (18-125 CMR 302).

**7. Labor and Wages**

- 7.1 The Contractor shall conform to the labor laws of the State of Maine, and all other laws, ordinances, and legal requirements affecting the work in Maine.
- 7.2 The Consultant shall include a wage determination document prepared by the Maine Department of Labor in the Contract Documents for state-funded contracts in excess of \$50,000. The document shows the minimum wages required to be paid to each category of labor employed on the project.
- 7.3 On projects requiring a Maine wage determination, the Contractor shall submit monthly payroll records to the Owner ("the contracting agency") showing the name and occupation of all workers and all independent contractors employed on the project. The monthly submission must also include the Contractor's company name, the title of the project, hours worked, hourly rate or other method of remuneration, and the actual wages or other compensation paid to each person.
- 7.4 The Contractor shall not reveal, in the payroll records submitted to the Owner, personal information regarding workers and independent contractors, other than the information described above. Such information shall not include Social Security number, employee identification number, or employee address or phone number, for example.
- 7.5 The Contractor shall conform to Maine statute (39-A M.R.S. §105-A (6)) by providing to the Workers' Compensation Board a list of all subcontractors and independent contractors on the job site and a record of the entity to whom that subcontractor or independent contractor is directly contracted and by whom that subcontractor or independent contractor is insured for workers' compensation purposes.
- 7.6 The Contractor shall enforce strict discipline and good order among their employees at all times, and shall not employ any person unfit or unskilled to do the work assigned to them.
- 7.7 The Contractor shall promptly pay all employees when their compensation is due, shall promptly pay all others who have billed and are due for materials, supplies and services used in the Work, and shall promptly pay all others who have billed and are due for insurance, workers compensation coverage, federal and state unemployment compensation, and Social Security

**00 72 13**  
**General Conditions**

charges pertaining to this Project. Before final payments are made, the Contractor shall furnish to the Owner affidavits that all such payments described above have been made.

- 7.8 The Contractor may contact the Maine Department of Labor, 54 State House Station, Augusta, Maine 04333 for guidance on labor issues.
- 7.9 The Contractor may contact the Maine Workers' Compensation Board, 27 State House Station, Augusta, Maine 04333 for guidance on workers' compensation issues.

**8. Indemnification**

- 8.1 The Contractor shall indemnify and hold harmless the Owner and its officers and employees from and against any and all damages, liabilities, and costs, including reasonable attorney's fees, and defense costs, for any and all injuries to persons or property, including claims for violation of intellectual property rights, to the extent caused by the negligent acts or omissions of the Contractor, its employees, agents, officers or subcontractors in the performance of work under this Agreement. The Contractor shall not be liable for claims to the extent caused by the negligent acts or omissions of the Owner or for actions taken in reasonable reliance on written instructions of the Owner.
- 8.2 The Contractor shall notify the Owner promptly of all claims arising out of the performance of work under this Agreement by the Contractor, its employees or agents, officers or subcontractors.
- 8.3 This indemnity provision shall survive the termination of the Agreement, completion of the project or the expiration of the term of the Agreement.

**9. Insurance Requirements**

- 9.1 The Contractor shall provide, with each original of the signed Contract, an insurance certificate or certificates acceptable to the Owner and BGS. The Contractor shall submit insurance certificates to the Owner and BGS at the commencement of this Contract and at policy renewal or revision dates. The certificates shall identify the project name and BGS project number, and shall name the Owner as certificate holder and as additional insured for general liability and automobile liability coverages. The submitted forms shall contain a provision that coverage afforded under the insurance policies will not be canceled or materially changed unless at least ten days prior written notice by registered letter has been given to the Owner and BGS.
- 9.2 The Owner does not warrant or represent that the insurance required herein constitutes an insurance portfolio which adequately addresses all risks faced by the Contractor or its Subcontractors. The Contractor is responsible for the existence, extent and adequacy of insurance prior to commencement of work. The Contractor shall not allow any Subcontractor to commence work until all similar insurance required of the Subcontractor has been confirmed by the Contractor.
- 9.3 The Contractor shall procure and maintain primary insurance for the duration of the Project and, if written on a Claims-Made basis, shall also procure and maintain Extended Reporting Period (ERP) insurance for the period of time that any claims could be brought. The Contractor shall ensure that all Subcontractors they engage or employ will procure and maintain similar insurance

**00 72 13**  
**General Conditions**

in form and amount acceptable to the Owner and BGS. At a minimum, the insurance shall be of the types and limits set forth herein protecting the Contractor from claims which may result from the Contractor's execution of the Work, whether such execution be by the Contractor or by those employed by the Contractor or by those for whose acts they may be liable. All required insurance coverages shall be placed with carriers authorized to conduct business in the State of Maine by the Maine Bureau of Insurance.

- 9.3.1 The Contractor shall have Workers' Compensation insurance for all employees on the Project site in accordance with the requirements of the Workers' Compensation law of the State of Maine.

Minimum acceptable limits for Employer's Liability are:

Bodily Injury by Accident.....	\$500,000
Bodily Injury by Disease .....	\$500,000 Each Employee
Bodily Injury by Disease .....	\$500,000 Policy Limit

- 9.3.2 The Contractor shall have Commercial General Liability insurance providing coverage for bodily injury and property damage liability for all hazards of the Project including premise and operations, products and completed operations, contractual, and personal injury liabilities. The policy shall include collapse and underground coverage as well as explosion coverage if explosion hazards exist. Aggregate limits shall apply on a location or project basis. Minimum acceptable limits are:

General aggregate limit.....	\$2,000,000
Products and completed operations aggregate.....	\$1,000,000
Each occurrence limit .....	\$1,000,000
Personal injury aggregate.....	\$1,000,000

- 9.3.3 The Contractor shall have Automobile Liability insurance against claims for bodily injury, death or property damage resulting from the maintenance, ownership or use of all owned, non-owned and hired automobiles, trucks and trailers. Minimum acceptable limit is:

Any one accident or loss .....	\$500,000
--------------------------------	-----------

- 9.3.4 For the portion of a project which is new construction, the Contractor shall procure and maintain Builder's Risk insurance naming the Owner, Contractor, and any Subcontractor as insureds as their interest may appear. Covered causes of loss form shall be all Risks of Direct Physical Loss, endorsed to include flood, earthquake, transit and sprinkler leakage where sprinkler coverage is applicable. Unless specifically authorized in writing by the Owner, the limit of insurance shall not be less than the initial contract amount, for the portion of the project which is new construction, and coverage shall apply during the entire contract period and until the work is accepted by the Owner.

- 9.3.5 The Contractor shall have Owner's Protective Liability insurance for contract values \$50,000 and above, naming the Owner as the Named Insured. Minimum acceptable limits are:

General aggregate limit.....	\$2,000,000
Each occurrence limit .....	\$1,000,000

## 10. Contract Bonds

- 10.1 When noted as required in the Bid Documents, the Contractor shall provide to the Owner a Performance Bond and a Payment Bond, or "contract bonds", upon execution of the contract. Each bond value shall be for the full amount of the contract and issued by a surety company authorized to do business in the State of Maine as approved by the Owner. The bonds shall be

**00 72 13**  
**General Conditions**

executed on the forms furnished in the Bid Documents. The bonds shall allow for any subsequent additions or deductions of the contract.

- 10.2 The contract bonds shall continue in effect for one year after final acceptance of the contract to protect the Owner's interest in connection with the one year guarantee of workmanship and materials and to assure settlement of claims for the payment of all bills for labor, materials and equipment by the Contractor.

**11. Patents and Royalties**

- 11.1 The Contractor shall, for all time, secure for the Owner the free and undisputed right to the use of any patented articles or methods used in the Work. The expense of defending any suits for infringement or alleged infringement of such patents shall be borne by the Contractor. Awards made regarding patent suits shall be paid by the Contractor. The Contractor shall hold the Owner harmless regarding patent suits that may arise due to installations made by the Contractor, and to any awards made as a result of such suits.
- 11.2 Any royalty payments related to the work done by the Contractor for the Project shall be borne by the Contractor. The Contractor shall hold the Owner harmless regarding any royalty payments that may arise due to installations made by the Contractor.

**12. Surveys, Layout of Work**

- 12.1 The Owner shall furnish all property surveys unless otherwise specified.
- 12.2 The Contractor is responsible for correctly staking out the Work on the site. The Contractor shall employ a competent surveyor to position all construction on the site. The surveyor shall run the axis lines, establish correct datum points and check each line and point on the site to insure their accuracy. All such lines and points shall be carefully preserved throughout the construction.
- 12.3 The Contractor shall lay out all work from dimensions given on the Drawings. The Contractor shall take measurements and verify dimensions of any existing work that affects the Work or to which the Work is to be fitted. The Contractor is solely responsible for the accuracy of all measurements. The Contractor shall verify all grades, lines, levels, elevations and dimensions shown on the Drawings and report any errors or inconsistencies to the Consultant prior to commencing work.

**13. Record of Documents**

- 13.1 The Contractor shall maintain one complete set of Contract Documents on the jobsite, in good order and current status, for access by the Owner and Consultant.
- 13.2 The Contractor shall maintain, continuously updated, complete records of Requests for Information, Architectural Supplemental Instructions (or equivalent), Information Bulletins, supplemental sketches, Change Order Proposals, Change Orders, Shop Drawings, testing reports, et cetera, for access by the Owner and Consultant.

**00 72 13**  
**General Conditions**

**14. Allowances**

- 14.1 The Contract Price shall include all allowances described in the Contract Documents. The Contractor shall include all overhead and profit necessary to implement each allowance in their Contract Price.
- 14.2 The Contractor shall not be required to employ parties for allowance work against whom the Contractor has a reasonable objection. In such a case, the Contractor shall notify the Owner in writing of their position and shall propose an alternative party to complete the work of the allowance.

**15. Shop Drawings**

- 15.1 The Contractor shall administer Shop Drawings prepared by the Contractor, Subcontractors, suppliers or others to conform to the approved Schedule of the Work. The Contractor shall verify all field measurements, check and authorize all Shop Drawings and schedules required by the Work. The Contractor is the responsible party and contact for the Contractor's work as well as that of Subcontractors, suppliers or others who provide Shop Drawings.
- 15.2 The Consultant shall review and acknowledge Shop Drawings, with reasonable promptness, for general conformity with the design concept of the project and compliance with the information provided in the Contract Documents.
- 15.3 The Contractor shall provide monthly updated logs containing: requests for information, information bulletins, supplemental instructions, supplemental sketches, change order proposals, change orders, submittals, testing and deficiencies.
- 15.4 The Contractor shall make any corrections required by the Consultant, and shall submit a quantity of corrected copies as may be needed. The acceptance of Shop Drawings or schedules by the Consultant shall not relieve the Contractor from responsibility for deviations from Drawings and Specifications, unless the Contractor has called such deviations to the attention of the Consultant at the time of submission and secured the Consultant's written approval. The acceptance of Shop Drawings or schedules by the Consultant does not relieve the Contractor from responsibility for errors in Shop Drawings or schedules.

**16. Samples**

- 16.1 The Contractor shall furnish for approval, with reasonable promptness, all samples as directed by the Consultant. The Consultant shall review and approve such samples, with reasonable promptness, for general conformity with the design concept of the project and compliance with the information provided in the Contract Documents. The subsequent work shall be in accord with the approved samples.

**17. Substitutions**

- 17.1 The Contractor shall furnish items and materials described in the Contract Documents. If the item or material specified describes a proprietary product, or uses the name of a manufacturer, the term "or approved equal" shall be implied, if it is not included in the text. The specific item or material specified establishes a minimum standard for the general design, level of quality, type, function, durability, efficiency, reliability, compatibility, warranty coverage, installation factors



**00 72 13**  
**General Conditions**

and required maintenance. The Drawing or written Specification shall not be construed to exclude other manufacturers products of comparable design, quality, and efficiency.

- 17.2 The Contractor may submit detailed information about a proposed substitution to the Consultant for consideration. Particular models of items and particular materials which the Contractor asserts to be equal to the items and materials identified in the Contract Documents shall be allowed only with written approval by the Consultant. The request for substitution shall include a cost comparison and a reason or reasons for the substitution.
- 17.3 The Consultant may request additional information about the proposed substitution. The approval or rejection of a proposed substitution may be based on timeliness of the request, source of the information, the considerations of minimum standards described above, or other considerations. The Consultant should briefly state the rationale for the decision. The decision shall be considered final.
- 17.4 The duration of a substitution review process can not be the basis for a claim for delay in the Schedule of the Work.

**18. Assignment of Contract**

- 18.1 The Contractor shall not assign or sublet the contract as a whole without the written consent of the Owner. The Contractor shall not assign any money due to the Contractor without the written consent of the Owner.

**19. Separate Contracts**

- 19.1 The Owner reserves the right to create other contracts in connection with this Project using similar General Conditions. The Contractor shall allow the Owner's other contractors reasonable opportunity for the delivery and storage of materials and the execution of their work. The Contractor shall coordinate and properly connect the Work of all contractors.
- 19.2 The Contractor shall promptly report to the Consultant and Owner any apparent deficiencies in work of the Owner's other contractors that impacts the proper execution or results of the Contractor. The Contractor's failure to observe or report any deficiencies constitutes an acceptance of the Owner's other contractors work as suitable for the interface of the Contractor's work, except for latent deficiencies in the Owner's other contractors work.
- 19.3 Similarly, the Contractor shall promptly report to the Consultant and Owner any apparent deficiencies in their own work that would impact the proper execution or results of the Owner's other contractors.
- 19.4 The Contractor shall report to the Consultant and Owner any conflicts or claims for damages with the Owner's other contractors and settle such conflicts or claims for damages by mutual agreement or arbitration, if necessary, at no expense to the Owner.
- 19.5 In the event the Owner's other contractors sue the Owner regarding any damage alleged to have been caused by the Contractor, the Owner shall notify the Contractor, who shall defend such proceedings at the Contractor's expense. The Contractor shall pay or satisfy any judgment that may arise against the Owner, and pay all other costs incurred.

**00 72 13**  
**General Conditions**

**20. Subcontracts**

- 20.1 The Contractor shall not subcontract any part of this contract without the written permission of the Owner.
- 20.2 The Contractor shall submit a complete list of named Subcontractors and material suppliers to the Consultant and Owner for approval by the Owner prior to commencing work. The Subcontractors named shall be reputable companies of recognized standing with a record of satisfactory work.
- 20.3 The Contractor shall not employ any Subcontractor or use any material until they have been approved, or where there is reason to believe the resulting work will not comply with the Contract Documents.
- 20.4 The Contractor, not the Owner, is as fully responsible for the acts and omissions of Subcontractors and of persons employed by them, as the Contractor is for the acts and omissions of persons directly or indirectly employed by the Contractor.
- 20.5 Neither the Contract Documents nor any Contractor-Subcontractor contract shall indicate, infer or create any direct contractual relationship between any Subcontractor and the Owner.

**21. Contractor-Subcontractor Relationship**

- 21.1 The Contractor shall be bound to the Subcontractor by all the obligations in the Contract Documents that bind the Contractor to the Owner.
- 21.2 The Contractor shall pay the Subcontractor, in proportion to the dollar value of the work completed and requisitioned by the Subcontractor, the approved dollar amount allowed to the Contractor no more than seven days after receipt of payment from the Owner.
- 21.3 The Contractor shall pay the Subcontractor accordingly if the Contract Documents or the subcontract provide for earlier or larger payments than described in the provision above.
- 21.4 The Contractor shall pay the Subcontractor for completed and requisitioned subcontract work, less retainage, no more than seven days after receipt of payment from the Owner for the Contractor's approved Requisition for Payment, even if the Consultant fails to certify a portion of the Requisition for Payment for a cause not the fault of the Subcontractor.
- 21.5 The Contractor shall not make a claim for liquidated damages or penalty for delay in any amount in excess of amounts that are specified by the subcontract.
- 21.6 The Contractor shall not make a claim for services rendered or materials furnished by the Subcontractor unless written notice is given by the Contractor to the Subcontractor within ten calendar days of the day in which the claim originated.
- 21.7 The Contractor shall give the Subcontractor an opportunity to present and to submit evidence in any progress conference or disputes involving subcontract work.

**00 72 13**  
**General Conditions**

- 21.8 The Contractor shall pay the Subcontractor a just share of any fire insurance payment received by the Contractor.
- 21.9 The Subcontractor shall be bound to the Contractor by the terms of the Contract Documents and assumes toward the Contractor all the obligations and responsibilities that the Contractor, by those documents, assumes toward the Owner.
- 21.10 The Subcontractor shall submit applications for payment to the Contractor in such reasonable time as to enable the Contractor to apply for payment as specified.
- 21.11 The Subcontractor shall make any claims for extra cost, extensions of time or damages, to the Contractor in the manner provided in these General Conditions for like claims by the Contractor to the Owner, except that the time for the Subcontractor to make claims for extra cost is seven calendar days after the receipt of Consultant's instructions.

**22. Supervision of the Work**

- 22.1 During all stages of the Work the Contractor shall have a competent superintendent, with any necessary assistant superintendents, overseeing the project. The superintendent shall not be reassigned without the consent of the Owner unless a superintendent ceases to be employed by the Contractor due to unsatisfactory performance.
- 22.2 The superintendent represents the Contractor on the jobsite. Directives given by the Consultant or Owner to the superintendent shall be as binding as if given directly to the Contractor's main office. All important directives shall be confirmed in writing to the Contractor. The Consultant and Owner are not responsible for the acts or omissions of the superintendent or assistant superintendents.
- 22.3 The Contractor shall provide supervision of the Work equal to the industry's highest standard of care. The superintendent shall carefully study and compare all Contract Documents and promptly report any error, inconsistency or omission discovered to the Consultant. The Contractor may not necessarily be held liable for damages resulting directly from any error, inconsistency or omission in the Contract Documents or other instructions by the Consultant that was not revealed by the superintendent in a timely way.

**23. Observation of the Work**

- 23.1 The Contractor shall allow the Owner, the Consultant and the Bureau continuous access to the site for the purpose of observation of the progress of the work. All necessary safeguards and accommodations for such observations shall be provided by the Contractor.
- 23.2 The Contractor shall coordinate all required testing, approval or demonstration of the Work. The Contractor shall give sufficient notice to the appropriate parties of readiness for testing, inspection or examination.
- 23.3 The Contractor shall schedule inspections and obtain all required certificates of inspection for inspections by a party other than the Consultant.

**00 72 13**  
**General Conditions**

- 23.4 The Consultant shall make all scheduled observations promptly, prior to the work being concealed or buried by the Contractor. If approval of the Work is required of the Consultant, the Contractor shall notify the Consultant of the construction schedule in this regard. Work concealed or buried prior to the Consultant's approval may need to be uncovered at the Contractor's expense.
- 23.5 The Consultant may order reexamination of questioned work, and, if so ordered, the work must be uncovered by the Contractor. If the work is found to conform to the Contract Documents, the Owner shall pay the expense of the reexamination and remedial work. If the work is found to not conform to the Contract Documents, the Contractor shall pay the expense, unless the defect in the work was caused by the Owner's Contractor, whose responsibility the reexamination expense becomes.
- 23.6 The Bureau shall periodically observe the Work during the course of construction and make recommendations to the Contractor or Consultant as necessary. Such recommendations shall be considered and implemented through the usual means for changes to the Work.
24. Consultant's Status
- 24.1 The Consultant represents the Owner during the construction period, and observes the work in progress on behalf of the Owner. The Consultant has authority to act on behalf of the Owner only to the extent expressly provided by the Contract Documents or otherwise demonstrated to the Contractor. The Consultant has authority to stop the work whenever such an action is necessary, in the Consultant's reasonable opinion, to ensure the proper execution of the contract.
- 24.2 The Consultant is the interpreter of the conditions of the contract and the judge of its performance. The Consultant shall favor neither the Owner nor the Contractor, but shall use the Consultant's powers under the contract to enforce faithful performance by both parties.
- 24.3 In the event of the termination of the Consultant's employment on the project prior to completion of the work, the Owner shall appoint a capable and reputable replacement. The status of the new Consultant relative to this contract shall be that of the former Consultant.
25. Management of the Premises
- 25.1 The Contractor shall place equipment and materials, and conduct activities on the premises in a manner that does not unreasonably hinder site circulation, environmental stability, or any long term effect. Likewise, the Consultant's directions shall not cause the use of premises to be impeded for the Contractor or Owner.
- 25.2 The Contractor shall not use the premises for any purpose other than that which is directly related to the scope of work. The Owner shall not use the premises for any purpose incompatible with the proposed work simultaneous to the work of the Contractor.
- 25.3 The Contractor shall enforce the Consultant's instructions regarding information posted on the premises such as signage and advertisements, as well as activities conducted on the premises such as fires, and smoking.

**00 72 13**  
**General Conditions**

- 25.4 The Owner may occupy any part of the Project that is completed with the written consent of the Contractor, and without prejudice to any of the rights of the Owner or Contractor. Such use or occupancy shall not, in and of itself, be construed as a final acceptance of any work or materials.

**26. Safety and Security of the Premises**

- 26.1 The Contractor shall designate, and make known to the Consultant and the Owner, a safety officer whose duty is the prevention of accidents on the site.
- 26.2 The Contractor shall continuously maintain security on the premises and protect from unreasonable occasion of injury all people authorized to be on the job site. The Contractor shall also effectively protect the property and adjacent properties from damage or loss.
- 26.3 The Contractor shall take all necessary precautions to ensure the safety of workers and others on and adjacent to the site, abiding by applicable local, state and federal safety regulations. The Contractor shall erect and continuously maintain safeguards for the protection of workers and others, and shall post signs and other warnings regarding hazards associated with the construction process, such as protruding fasteners, moving equipment, trenches and holes, scaffolding, window, door or stair openings, and falling materials.
- 26.4 The Contractor shall restore the premises to conditions that existed prior to the start of the project at areas not intended to be altered according to the Contract Documents.
- 26.5 The Contractor shall protect existing utilities and exercise care working in the vicinity of utilities shown in the Drawings and Specifications or otherwise located by the Contractor.
- 26.6 The Contractor shall protect from damage existing trees and other significant plantings and landscape features of the site which will remain a permanent part of the site. If necessary or indicated in the Contract Documents, tree trunks shall be boxed and barriers erected to prevent damage to tree branches or roots.
- 26.7 The Contractor shall repair or replace damage to the Work caused by the Contractor's or Subcontractor's forces, including that which is reasonably protected, at the expense of the responsible party.
- 26.8 The Contractor shall not load, or allow to be loaded, any part of the Project with a force which imperils personal or structural safety. The Consultant may consult with the Contractor on such means and methods of construction, however, the ultimate responsibility lies with the Contractor.
- 26.9 The Contractor shall not jeopardize any work in place with subsequent construction activities such as blasting, drilling, excavating, cutting, patching or altering work. The Consultant must approve altering any structural components of the project. The Contractor shall supervise all construction activities carried out by others on site to ensure that the work is neatly done and in a manner that will not endanger the structure or the component parts.
- 26.10 The Contractor may act with their sole discretion in emergency situations that potentially effect health, life or serious damage to the premises or adjacent properties, to prevent such potential loss or injury. The Contractor may negotiate with the Owner for compensation for expenses due to such emergency work.

**00 72 13**  
**General Conditions**

- 26.11 The Contractor and Subcontractors shall have no responsibility for the identification, discovery, presence, handling, removal or disposal of, or exposure of persons to, hazardous materials in any form at the project site. The Contractor shall avoid disruption of any hazardous materials or toxic substances at the project site and promptly notify the Owner in writing on the occasion of such a discovery.
- 26.12 The Contractor shall keep the premises free of any unsafe accumulation of waste materials caused by the work. The Contractor shall regularly keep the spaces "broom clean". See the Close-out of the Work provisions of this section regarding cleaning at the completion of the project.

**27. Changes in the Work**

- 27.1 The Contractor shall not proceed with extra work without an approved Change Order or Construction Change Directive. A Change Order which has been properly signed by all parties shall become a part of the contract.
- 27.2 A Change Order is the usual document for directing changes in the Work. In certain circumstances, however, the Owner may utilize a Construction Change Directive to direct the Contractor to perform changes in the Work that are generally consistent with the scope of the project. The Owner shall use a Construction Change Directive only when the normal process for approving changes to the Work has failed to the detriment of the Project, or when agreement on the terms of a Change Order cannot be met, or when an urgent situation requires, in the Owner's judgment, prompt action by the Contractor.
- 27.3 The Consultant shall prepare the Construction Change Directive representing a complete scope of work, with proposed Contract Price and Contract Time revisions, if any, clearly stated.
- 27.4 The Contractor shall promptly carry out a Construction Change Directive which has been signed by the Owner and the Consultant. Work thus completed by the Contractor constitutes the basis for a Change Order. Changes in the Contract Price and Contract Time shall be as defined in the Construction Change Directive unless subsequently negotiated with some other terms.
- 27.5 The method of determining the dollar value of extra work shall be by:
- .1 an estimate of the Contractor accepted by Owner as a lump sum, or
  - .2 unit prices named in the contract or subsequently agreed upon, or
  - .3 cost plus a designated percentage, or
  - .4 cost plus a fixed fee.
- 27.6 The Contractor shall determine the dollar value of the extra work for both the lump sum and cost plus designated percentage methods so as not to exceed the following rates. The rates include all overhead and profit expenses.
- .1 Contractor - for any work performed by the Contractor's own forces, up to 20% of the cost;
  - .2 Subcontractor - for work performed by Subcontractor's own forces, up to 20% of the cost;
  - .3 Contractor - for work performed by Contractor's Subcontractor, up to 10% of the amount due the Subcontractor.
- 27.7 The Contractor shall keep and provide records as needed or directed for the cost plus designated percentage method. The Consultant shall review and certify the appropriate amount which

**00 72 13**  
**General Conditions**

includes the Contractor's overhead and profit. The Owner shall make payments based on the Consultant's certificate.

- 27.8 Cost reflected in Change Orders shall be limited to the following: cost of materials, cost of delivery, cost of labor (including Social Security, pension, Workers' Compensation insurance, and unemployment insurance), and cost of rental of power tools and equipment. Labor cost may include a pro-ratio share of a foreman's time only in the case of an extension of contract time granted due to the Change Order.
- 27.9 Overhead reflected in Change Orders shall be limited to the following: bond premium, supervision, wages of clerks, time keepers, and watchmen, small tools, incidental expenses, general office expenses, and all other overhead expenses directly related to the Change Order.
- 27.10 The Contractor shall provide credit to the Owner for labor, materials, equipment and other costs but not overhead and profit expenses for those Change Order items that result in a net value of credit to the contract.
- 27.11 The Owner may change the scope of work of the Project without invalidating the contract. The Owner shall notify the Contractor of a change of the scope of work for the Owner's Contractors, which may affect the work of this Contractor, without invalidating the contract. Change Orders for extension of the time caused by such changes shall be developed at the time of directing the change in scope of work.
- 27.12 The Consultant may order minor changes in the Work, not involving extra cost, which is consistent with the intent of the design or project.
- 27.13 The Contractor shall immediately give written notification to the Consultant of latent conditions discovered at the site which materially differ from those represented in the Drawings or Specifications, and which may eventually result in a change in the scope of work. The Contractor shall suspend work until receiving direction from the Consultant. The Consultant shall promptly investigate the conditions and respond to the Contractor's notice with direction that avoids any unnecessary delay of the Work. The Consultant shall determine if the discovered conditions warrant a Change Order.
- 27.14 The Contractor shall, within ten calendar days of receipt of the information, give written notification to the Consultant if the Contractor claims that instructions by the Consultant will constitute extra cost not accounted for by Change Order or otherwise under the contract. The Consultant shall promptly respond to the Contractor's notice with direction that avoids any unnecessary delay of the Work. The Consultant shall determine if the Contractor's claim warrants a Change Order.

**28. Correction of the Work**

- 28.1 The Contractor shall promptly remove from the premises all work the Consultant declares is non-conforming to the contract. The Contractor shall replace the work properly at no expense to the Owner. The Contractor is also responsible for the expenses of others whose work was damaged or destroyed by such remedial work.

**00 72 13**  
**General Conditions**

- 28.2 The Owner may elect to remove non-conforming work if it is not removed by the Contractor within a reasonable time, that time defined in a written notice from the Consultant. The Owner may elect to store removed non-conforming work not removed by the Contractor at the Contractor's expense. The Owner may, with ten days written notice, dispose of materials which the Contractor does not remove. The Owner may sell the materials and apply the net proceeds, after deducting all expenses, to the costs that should have been borne by the Contractor.
- 28.3 The Contractor shall remedy any defects due to faulty materials or workmanship and pay for any related damage to other work which appears within a period of one year from the date of substantial completion, and in accord with the terms of any guarantees provided in the contract. The Owner shall promptly give notice of observed defects to the Contractor and Consultant. The Consultant shall determine the status of all claimed defects. The Contractor shall perform all remedial work without unjustifiable delay in either the initial response or the corrective action.
- 28.4 The Consultant may authorize, after a reasonable notification to the Contractor, an equitable deduction from the contract amount in lieu of the Contractor correcting non-conforming or defective work.
29. Owner's Right to do Work
- 29.1 The Owner may, using other contractors, correct deficiencies attributable to the Contractor, or complete unfinished work. Such action shall take place only after giving the Contractor three days written notice, and provided the Consultant approves of the proposed course of action as an appropriate remedy. The Owner may then deduct the cost of the remedial work from the amount due the Contractor.
- 29.2 The Owner may act with their sole discretion when the Contractor is unable to take action in emergency situations that potentially effect health, life or serious damage to the premises or adjacent properties, to prevent such potential loss or injury. The Owner shall inform the Contractor of the emergency work performed, particularly where it may affect the work of the Contractor.
30. Termination of Contract and Stop Work Action
- 30.1 The Owner may, owing to a certificate of the Consultant indicating that sufficient cause exists to justify such action, without prejudice to any other right or remedy and after giving the Contractor and the Contractor's surety seven days written notice, terminate the employment of the Contractor. At that time the Owner may take possession of the premises and of all materials,



**00 72 13**  
**General Conditions**

tools and appliances on the premises and finish the work by whatever method the Owner may deem expedient. Cause for such action by the Owner includes:

- .1 the contractor is adjudged bankrupt, or makes a general assignment for the benefit of its creditors, or
- .2 a receiver is appointed due to the Contractor's insolvency, or
- .3 the Contractor persistently or repeatedly refuses or fails to provide enough properly skilled workers or proper materials, or
- .4 the Contractor fails to make prompt payment to Subcontractors or suppliers of materials or labor, or
- .5 the Contractor persistently disregards laws, ordinances or the instructions of the Consultant, or is otherwise found guilty of a substantial violation of a provision of the Contract Documents.

- 30.2 The Contractor is not entitled, as a consequence of the termination of the employment of the Contractor as described above, to receive any further payment until the Work is finished. If the unpaid balance of the contract amount exceeds the expense of finishing the Work, including compensation for additional architectural, managerial and administrative services, such balance shall be paid to the Contractor. If the expense of finishing the Work exceeds the unpaid balance, the Contractor shall pay the difference to the Owner. The Consultant shall certify the expense incurred by the Contractor's default. This obligation for payment shall continue to exist after termination of the contract.
- 30.3 The Contractor may, if the Work is stopped by order of any court or other public authority for a period of thirty consecutive days, and through no act or fault of the Contractor or of anyone employed by the Contractor, with seven days written notice to the Owner and the Consultant, terminate this contract. The Contractor may then recover from the Owner payment for all work executed, any proven loss and reasonable profit and damage.
- 30.4 The Contractor may, if the Consultant fails to issue a certificate for payment within seven days after the Contractor's formal request for payment, through no fault of the Contractor, or if the Owner fails to pay to the Contractor within 30 days after submission of any sum certified by the Consultant, with seven days written notice to the Owner and the Consultant, stop the Work or terminate this Contract.

**31. Delays and Extension of Time**

- 31.1 The completion date of the contract shall be extended if the work is delayed by changes ordered in the work which have approved time extensions, or by an act or neglect of the Owner, the Consultant, or the Owner's Contractor, or by strikes, lockouts, fire, flooding, unusual delay in transportation, unavoidable casualties, or by other causes beyond the Contractor's control. The Consultant shall determine the status of all claimed causes.
- 31.2 The contract shall not be extended for delay occurring more than seven calendar days before the Contractor's claim made in writing to the Consultant. In case of a continuing cause of delay, only one claim is necessary.
- 31.3 The contract shall not be extended due to failure of the Consultant to furnish drawings if no schedule or agreement is made between the Contractor and the Consultant indicating the dates

**00 72 13**  
**General Conditions**

which drawings shall be furnished and fourteen calendar days has passed after said date for such drawings.

- 31.4 This article does not exclude the recovery of damages for delay by either party under other provisions in the Contract Document.

**32. Payments to the Contractor**

- 32.1 As noted under *Preconstruction Conference* in this section, the Contractor shall submit a Schedule of Values form, before the first application for payment, for approval by the Owner and Consultant. The Consultant may direct the Contractor to provide evidence that supports the correctness of the form. The approved Schedule of Values shall be used as a basis for payments.
- 32.2 The Contractor shall submit an application for each payment ("Requisition for Payment") on a form approved by the Owner and Consultant. The Consultant may require receipts or other documents showing the Contractor's payments for materials and labor, including payments to Subcontractors.
- 32.3 The Contractor shall submit Requisitions for Payment as the work progresses not more frequently than once each month, unless the Owner approves a more frequent interval due to unusual circumstances. The Requisition for Payment is based on the proportionate quantities of the various classes of work completed or incorporated in the Work, in agreement with the actual progress of the Work and the dollar value indicated in the Schedule of Values.
- 32.4 The Consultant shall verify and certify each Requisition for Payment which appears to be complete and correct prior to payment being made by the Owner. The Consultant may certify an appropriate amount for materials not incorporated in the Work which have been delivered and suitably stored at the site. The Contractor shall submit bills of sale, insurance certificates, or other such documents that will adequately protect the Owner's interests prior to payments being certified.
- 32.5 In the event any materials delivered but not yet incorporated in the Work have been included in a certified Requisition for Payment with payment made, and said materials thereafter are damaged, deteriorated or destroyed, or for any reason whatsoever become unsuitable or unavailable for use in the Work, the full amount previously allowed shall be deducted from subsequent payments unless the Contractor satisfactorily replaces said material.
- 32.6 The Contractor may request certification of an appropriate dollar amount for materials not incorporated in the Work which have been delivered and suitably stored away from the site. The Contractor shall submit bills of sale, insurance certificates, right-of-entry documents or other such documents that will adequately protect the Owner's interests. The Consultant shall determine if the Contractor's documentation for the materials is complete and specifically designated for the Project. The Owner may allow certification of such payments.
- 32.7 Subcontractors may request, and shall receive from the Consultant, copies of approved Requisitions for Payment showing the amounts certified in the Schedule of Values.
- 32.8 Certified Requisitions for Payment, payments made to the Contractor, or partial or entire occupancy of the project by the Owner shall not constitute an acceptance of any work that does

**00 72 13**  
**General Conditions**

not conform to the Contract Documents. The making and acceptance of the final payment constitutes a waiver of all claims by the Owner, other than those arising from unsettled liens, from faulty work or materials appearing within one year from final payment or from requirements of the Drawings and Specifications, and of all claims by the Contractor, except those previously made and still unsettled.

**33. Payments Withheld**

- 33.1 The Owner shall retain five percent of each payment due the Contractor as part security for the fulfillment of the contract by the Contractor. The Owner may make payment of a portion of this “retainage” to the Contractor temporarily or permanently during the progress of the Work. The Owner may thereafter withhold further payments until the full amount of the five percent is reestablished. The Contractor may deposit with the Maine State Treasurer certain securities in place of retainage amounts due according to Maine Statute (5 M.R.S. §1746).
- 33.2 The Consultant may withhold or nullify the whole or a portion of any Requisitions for Payment submitted by the Contractor in the amount that may be necessary, in his reasonable opinion, to protect the Owner from loss due to any of the following:
- .1 defective work not remedied;
  - .2 claims filed or reasonable evidence indicating probable filing of claims;
  - .3 failure to make payments properly to Subcontractors or suppliers;
  - .4 a reasonable doubt that the contract can be completed for the balance then unpaid;
  - .5 liability for damage to another contractor.

The Owner shall make payment to the Contractor, in the amount withheld, when the above circumstances are removed.

**34. Liens**

- 34.1 The Contractor shall deliver to the Owner a complete release of all liens arising out of this contract before the final payment or any part of the retainage payment is released. The Contractor shall provide with the release of liens an affidavit asserting each release includes all labor and materials for which a lien could be filed. Alternately, the Contractor, in the event any Subcontractor or supplier refuses to furnish a release of lien in full, may furnish a bond satisfactory to the Owner, to indemnify the Owner against any lien.
- 34.2 In the event any lien remains unsatisfied after all payments to the Contractor are made by the Owner, the Contractor shall refund to the Owner all money that the latter may be compelled to pay in discharging such lien, including all cost and reasonable attorney’s fees.

**35. Workmanship**

- 35.1 The Contractor shall provide materials, equipment, and installed work equal to or better than the quality specified in the Contract Documents and approved in submittal and sample. The installation methods shall be of the highest standards, and the best obtainable from the respective trades. The Consultant’s decision on the quality of work shall be final.

**00 72 13**  
**General Conditions**

- 35.2 The Contractor shall know local labor conditions for skilled and unskilled labor in order to apply the labor appropriately to the Work. All labor shall be performed by individuals well skilled in their respective trades.
- 35.3 The Contractor shall perform all cutting, fitting, patching and placing of work in such a manner to allow subsequent work to fit properly, whether that be by the Contractor, the Owner's Contractors or others. The Owner and Consultant may advise the Contractor regarding such subsequent work. Notwithstanding the notification or knowledge of such subsequent work, the Contractor may be directed to comply with this standard of compatible construction by the Consultant at the Contractor's expense.
- 35.4 The Contractor shall request clarification or revision of any design work by the Consultant, prior to commencing that work, in a circumstance where the Contractor believes the work cannot feasibly be completed at the highest quality, or as indicated in the Contract Documents. The Consultant shall respond to such requests in a timely way, providing clarifying information, a feasible revision, or instruction allowing a reduced quality of work. The Contractor shall follow the direction of the Consultant regarding the required request for information.
- 35.5 The Contractor shall guarantee the Work against any defects in workmanship and materials for a period of one year commencing with the date of the Certificate of Substantial Completion, unless specified otherwise for specific elements of the project. The Work may also be subdivided in mutually agreed upon components, each defined by a separate Certificate of Substantial Completion.
36. Close-out of the Work
- 36.1 The Contractor shall remove from the premises all waste materials caused by the work. The Contractor shall make the spaces "broom clean" unless a more thorough cleaning is specified. The Contractor shall clean all windows and glass immediately prior to the final inspection, unless otherwise directed.
- 36.2 The Owner may conduct the cleaning of the premises where the Contractor, duly notified by the Consultant, fails to adequately complete the task. The expense of this cleaning may be deducted from the sum due to the Contractor.
- 36.3 The Contractor shall participate in all final inspections and acknowledge the documentation of unsatisfactory work, customarily called the "punch list", to be corrected by the Contractor. The Consultant shall document the successful completion of the Work in a dated Certificate of Substantial Completion, to be signed by Owner, Consultant, and Contractor.
- 36.4 The Contractor shall not call for final inspection of any portion of the Work that is not completely and permanently installed. The Contractor may be found liable for the expenses of individuals called to final inspection meetings prematurely.
- 36.5 The Contractor and all major Subcontractors shall participate in the end-of-warranty-period conference, typically scheduled close to one year after the Substantial Completion date.

**00 72 13**  
**General Conditions**

**37. Date of Completion and Liquidated Damages**

- 37.1 The Contractor may make a written request to the Owner for an extension or reduction of time, if necessary. The request shall include the reasons the Contractor believes justifies the proposed completion date. The Owner may grant the revision of the contract completion date if the Work was delayed due to conditions beyond the control and the responsibility of the Contractor. The Contractor shall not conduct unauthorized accelerated work or file delay claims to recover alleged damages for unauthorized early completion.
- 37.2 The Contractor shall vigorously pursue the completion of the Work and notify the Owner of any factors that have, may, or will affect the approved Schedule of the Work. The Contractor may be found responsible for expenses of the Owner or Consultant if the Contractor fails to make notification of project delays.
- 37.3 The Project is planned to be done in an orderly fashion which allows for an iterative submittal review process, construction administration including minor changes in the Work and some bad weather. The Contractor shall not file delay claims to recover alleged damages on work the Consultant determines has followed the expected rate of progress.
- 37.4 The Consultant shall prepare the Certificate of Substantial Completion which, when signed by the Owner and the Contractor, documents the date of Substantial Completion of the Work or a designated portion of the Work. The Owner shall not consider the issuance of a Certificate of Occupancy by an outside authority a prerequisite for Substantial Completion if the Certificate of Occupancy cannot be obtained due to factors beyond the Contractor's control.
- 37.5 Liquidated Damages may be deducted from the sum due to the Contractor for each calendar day that the Work remains uncompleted after the completion date specified in the Contract or an approved amended completion date. The dollar amount per day shall be calculated using the Schedule of Liquidated Damages table shown below.

If the original contract amount is:	The per day Liquidated Damages shall be:
Less than \$100,000	\$250
\$100,000 to less than \$2,000,000	\$750
\$2,000,000 to less than \$10,000,000	\$1,500
\$10,000,000 and greater	\$1,500 plus \$250 for each \$2,000,000 over \$10,000,000

**38. Dispute Resolution**

**38.1 Mediation**

- 38.1.1 A dispute between the parties which arises under this Contract which cannot be resolved through informal negotiation, shall be submitted to a neutral mediator jointly selected by the parties.
- 38.1.2 Either party may file suit before or during mediation if the party, in good faith, deems it to be necessary to avoid losing the right to sue due to a statute of limitations. If suit is filed before good faith mediation efforts are completed, the party filing suit shall agree to stay all proceedings in the lawsuit pending completion of the mediation process, provided such stay is without prejudice.

**00 72 13**  
**General Conditions**

38.1.3 In any mediation between the Owner and the Consultant, the Owner has the right to consolidate related claims between Owner and Contractor.

38.2 Arbitration

38.2.1 If the dispute is not resolved through mediation, the dispute shall be settled by arbitration. The arbitration shall be conducted before a panel of three arbitrators. Each party shall select one arbitrator; the third arbitrator shall be appointed by the arbitrators selected by the parties. The arbitration shall be conducted in accordance with the Maine Uniform Arbitration Act (MUAA), except as otherwise provided in this section.

38.2.2 The decision of the arbitrators shall be final and binding upon all parties. The decision may be entered in court as provided in the MUAA.

38.2.3 The costs of the arbitration, including the arbitrators' fees shall be borne equally by the parties to the arbitration, unless the arbitrator orders otherwise.

38.2.4 In any arbitration between the Owner and the Consultant, the Owner has the right to consolidate related claims between Owner and Contractor.

**00 73 46**  
**Wage Determination Schedule**

**PART 1- GENERAL**

**1.1 Related Documents**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specifications Sections, apply to this Section.

**1.2 Summary**

- A. This Section includes the wage determination requirements for Contractors as issued by the State of Maine Department of Labor Bureau of Labor Standards or the United States Department of Labor.

**1.3 Requirements**

- A. Conform to the wage determination schedule for this project which is shown on the following page.

**PART 2 - PRODUCTS (not used)**

**PART 3 - EXECUTION (not used)**

**00 73 46**  
**Wage Determination Schedule**

**2025 Fair Minimum Wage Rates – Heavy & Bridge Aroostook County**

<u>Occupational Title</u>	<u>Minimum Wage</u>	<u>Minimum Benefit</u>	<u>Total</u>
Brickmasons And Blockmasons	\$31.00	\$6.52	\$37.52
Bulldozer Operator	\$32.68	\$5.28	\$37.96
Carpenter	\$29.00	\$6.74	\$35.74
Cement Masons And Concrete Finisher	\$26.50	\$0.00	\$26.50
Construction And Maintenance Painters	\$26.50	\$5.73	\$32.23
Construction Laborer	\$26.00	\$3.99	\$29.99
Crane And Tower Operators	\$37.25	\$7.89	\$45.14
Crushing Grinding And Polishing Machine Operators	\$27.50	\$5.64	\$33.14
Earth Drillers - Except Oil And Gas	\$22.42	\$4.18	\$26.60
Electrical Power - Line Installer And Repairers	\$40.54	\$13.59	\$54.13
Electricians	\$40.00	\$7.95	\$47.95
Elevator Installers And Repairers	\$71.21	\$43.75	\$114.96
Loading Machine And Dragline Operators	\$28.50	\$4.13	\$32.63
Excavator Operator	\$32.97	\$7.32	\$40.29
Fence Erectors	\$22.00	\$2.06	\$24.06
Flaggers	\$22.43	\$0.56	\$22.99
Floor Layers - Except Carpet/Wood/Hard Tiles	\$26.50	\$3.83	\$30.33
Glaziers	\$46.26	\$22.61	\$68.87
Grader/Scraper Operator	\$31.00	\$6.86	\$37.86
Hazardous Materials Removal Workers	\$21.13	\$1.14	\$22.27
Heating And Air Conditioning And Refrigeration Mechanics And Installers	\$35.00	\$5.49	\$40.49
Heavy And Tractor - Trailer Truck Drivers	\$26.25	\$3.28	\$29.53
Highway Maintenance Workers	\$22.85	\$4.79	\$27.64
Industrial Machinery Mechanics	\$29.50	\$3.83	\$33.33
Industrial Truck And Tractor Operators	\$26.17	\$3.49	\$29.66
Insulation Worker - Mechanical	\$25.50	\$6.07	\$31.57
Ironworker - Ornamental	\$31.37	\$25.82	\$57.19
Light Truck Or Delivery Services Drivers	\$28.00	\$3.95	\$31.95
Millwrights	\$34.96	\$8.61	\$43.57
Mobile Heavy Equipment Mechanics - Except Engines	\$33.50	\$8.06	\$41.56
Operating Engineers And Other Equipment Operators	\$41.13	\$29.17	\$70.30
Paving Surfacing And Tamping Equipment Operators	\$30.00	\$5.04	\$35.04
Pile-Driver Operators	\$36.00	\$2.87	\$38.87
Pipe/Steam/Sprinkler Fitter	\$33.25	\$8.37	\$41.62
Pipelayers	\$27.48	\$4.72	\$32.20
Plumbers	\$35.00	\$4.47	\$39.47
Pump Operators - Except Wellhead Pumpers	\$56.03	\$34.76	\$90.79
Radio Cellular And Tower Equipment Installers	\$30.00	\$6.10	\$36.10
Reinforcing Iron And Rebar Workers	\$31.37	\$25.82	\$57.19
Riggers	\$30.50	\$8.25	\$38.75
Roofers	\$24.67	\$4.23	\$28.90
Sheet Metal Workers	\$27.00	\$6.21	\$33.21
Structural Iron And Steel Workers	\$32.00	\$8.52	\$40.52
Tapers	\$28.50	\$3.93	\$32.43
Telecommunications Equipment Installers And Repairers - Except Line Installers	\$29.00	\$5.43	\$34.43
Telecommunications Line Installers And Repairers	\$25.00	\$4.45	\$29.45

Welders are classified as the trade to which welding is incidental (e.g. welding structural steel is Structural Iron and Steel Worker)

Apprentices – The minimum wage rates for registered apprentices are the rates recognized in the sponsorship agreement for registered apprentices working in the pertinent classification.

For any other specific trade on this project not listed above, contact the Bureau of Labor Standards for further clarification.

Title 26 §1310 requires that a clearly legible statement of all fair minimum wage and benefits rates to be paid the several classes of laborers, workers and mechanics employed on the construction on the public work must be kept posted in a prominent and easily accessible place at the site by each contractor and subcontractor subject to sections 1304 to 1313.

Appeal – Any person affected by the determination of these rates may appeal to the Commissioner of Labor by filing a written notice with the Commissioner stating the specific grounds of the objection within ten (10) days from the filing of these rates.





## SECTION 01 10 00

### SUMMARY

#### PART 1 - GENERAL

##### 1.1 SUMMARY

- A. Section Includes:
  - 1. Contract description.
  - 2. Contractor's use of Site.
  - 3. Owner occupancy.
  - 4. Permits.
  - 5. Specification conventions.

##### 1.2 CONTRACT DESCRIPTION

Work of the Project includes implementing a standby generator on a concrete pad with a service entrance rated automatic transfer switch and associated controls at the existing facility. Generator equipment includes a diesel standby pad-mounted generator, SER ATS, Controls, cabling/conduit, and modifications to existing utility service entrance.

The site is located at 63 Station Street, Ashland, Maine.

##### 1.3 CONTRACTOR'S USE OF SITE

- A. Limit use of Site to allow:
  - 1. Owner occupancy.
  - 2. Work by Owner.
  - 3. Work by Others.

##### 1.4 OWNER OCCUPANCY

- A. Schedule and substantially complete designated portions of the Work for occupancy before Substantial Completion of the entire Work.
  - 1. Owner intends to occupy portions of the Project before Final Completion. The schedule and sequence for occupancy of the building will be worked out as the project proceeds.
  - 2. Owner's use and occupancy of designated areas before Substantial Completion of the entire Project do not relieve Contractor of responsibility to maintain specified insurance coverages on a 100 percent basis until date of final payment.
- B. Cooperate with Owner to minimize conflict and to facilitate Owner's operations.
- C. Schedule the Work to accommodate Owner occupancy.

##### 1.5 PERMITS

- A. Furnish all necessary permits for construction of Work.



## 1.6 SPECIFICATION CONVENTIONS

- A. These Specifications are written in imperative mood and streamlined form. This imperative language is directed to Contractor unless specifically noted otherwise. The words "shall be" are included by inference where a colon (:) is used within sentences or phrases.

PART 2 - PRODUCTS - Not Used

PART 3 - EXECUTION - Not Used

END OF SECTION



## SECTION 01 20 00

### PRICE AND PAYMENT PROCEDURES

#### PART 1 - GENERAL

##### 1.1 SECTION INCLUDES

- A. Schedule of Values.
- B. Application for Payment.
- C. Change procedures.
- D. Defect assessment.
- E. Unit prices.
- F. Alternates.
- G. Submit printed schedule on AIA G703 - Continuation Sheet for G702.
- H. Submit Schedule of Values within 15 days after date established in Notice to Proceed.
- I. Format: Use Table of Contents of this Project Manual. Identify each line item with number and title of major Specification Section. Also identify Site mobilization and bonds and insurance.
- J. Include in each line item amount of allowances as specified in this Section.
- K. Include separately from each line item, direct proportional amount of Contractor's overhead and profit.
- L. Revise schedule to list approved Change Orders with each Application for Payment.

##### 1.2 APPLICATION FOR PAYMENT

- A. Submit via email a copy of Application for Payment on AIA G702 - Application and Certificate for Payment and AIA G703 - Continuation Sheet for G702.
- B. Content and Format: Use Schedule of Values for listing items in Application for Payment.
- C. Submit updated construction schedule with each Application for Payment.
- D. Payment Period: Submit at intervals stipulated in the Contract for Construction.
- E. Submit submittals with transmittal letter as specified in Section 013300 - Submittal Procedures.



- F. Submit three copies of waivers requested by Owner.
- G. Substantiating Data: When Architect/Engineer requires substantiating information, submit data justifying dollar amounts in question. Include the following with Application for Payment:
  - 1. Current construction photographs specified in Section 01 33 00 - Submittal Procedures.
  - 2. Partial release of liens from major Subcontractors and vendors.
  - 3. Affidavits attesting to off-Site stored products.
  - 4. Construction Progress Schedule, revised and current as specified in Section 01 33 00 - Submittal Procedures.

### 1.3 CHANGE PROCEDURES

- A. Submittals: Submit name of individual who is authorized to receive change documents and is responsible for informing others in Contractor's employ or Subcontractors of changes to the Work.
- B. Carefully study and compare Contract Documents before proceeding with fabrication and installation of Work. Promptly advise Architect/Engineer of any error, inconsistency, omission, or apparent discrepancy.
- C. Requests for Interpretation (RFI) and Clarifications: Allot time in construction scheduling for liaison with Architect/Engineer; establish procedures for handling queries and clarifications.
  - 1. Use AIA G716 - Request for Information for requesting interpretations.
  - 2. Architect/Engineer may respond with a direct answer on the Request for Interpretation form, AIA G710 - Architect's Supplemental Instruction or AIA G709 - Work Changes Proposal Request.
- D. Architect/Engineer will advise of minor changes in the Work not involving adjustment to Contract Sum/Price or Contract Time by issuing supplemental instructions on AIA G710.
- E. Architect/Engineer may issue AIA G709 including a detailed description of proposed change with supplementary or revised Drawings and Specifications, a change in Contract Time for executing the change with stipulation of overtime work required and with the period of time during which the requested price will be considered valid. Contractor will prepare and submit estimate within 10 days.
- F. Contractor may propose changes by submitting a request for change to Architect/Engineer, describing proposed change and its full effect on the Work. Include a statement describing reason for the change and the effect on Contract Sum/Price and Contract Time with full documentation and a statement describing effect on the Work by separate or other Contractors.
- G. Stipulated Sum/Price Change Order: Based on AIA G709 and Contractor's fixed price quotation or Contractor's request for Change Order as approved by Architect/Engineer.



- H. Unit Price Change Order: For Contract unit prices and quantities, the Change Order will be executed on a fixed unit price basis. For unit costs or quantities of units of that which are not predetermined, execute Work under Construction Change Directive. Changes in Contract Sum/Price or Contract Time will be computed as specified for Time and Material Change Order.
  - I. Construction Change Directive: Architect/Engineer may issue directive, on AIA G714 - Construction Change Directive signed by Owner, instructing Contractor to proceed with change in the Work, for subsequent inclusion in a Change Order. Document will describe changes in the Work and designate method of determining any change in Contract Sum/Price or Contract Time. Promptly execute change.
  - J. Time and Material Change Order: Submit itemized account and supporting data after completion of change, within time limits indicated in Conditions of the Contract. Architect/Engineer will determine change allowable in Contract Sum/Price and Contract Time as provided in Contract Documents.
  - K. Maintain detailed records of Work done on time and material basis. Provide full information required for evaluation of proposed changes and to substantiate costs for changes in the Work.
  - L. Document each quotation for change in Project Cost or Time with sufficient data to allow evaluation of quotation.
  - M. Change Order Forms: AIA G701 - Change Order.
  - N. Execution of Change Orders: Architect/Engineer will issue Change Orders for signatures of parties as provided in Conditions of the Contract.
  - O. Correlation of Contractor Submittals:
    - 1. Promptly revise Schedule of Values and Application for Payment forms to record each authorized Change Order as separate line item and adjust Contract Sum/Price.
    - 2. Promptly revise Progress Schedules to reflect change in Contract Time, revise subschedules to adjust times for other items of Work affected by the change and resubmit.
    - 3. Promptly enter changes in Record Documents.
- 1.4 DEFECT ASSESSMENT
- A. Replace the Work, or portions of the Work, not conforming to specified requirements.
  - B. If, in the opinion of Architect/Engineer, it is not practical to remove and replace the Work, Architect/Engineer will direct appropriate remedy or adjust payment.
  - C. The defective Work may remain, but unit sum/price will be adjusted to new sum/price at discretion of Architect/Engineer.



- D. Defective Work will be partially repaired according to instructions of Architect/Engineer, and unit sum/price will be adjusted to new sum/price at discretion Architect/Engineer.
- E. Individual Specification Sections may modify these options or may identify specific formula or percentage sum/price reduction.
- F. Authority of Architect/Engineer to assess defects and identify payment adjustments is final.
- G. Nonpayment for Rejected Products: Payment will not be made for rejected products for any of the following reasons:
  - 1. Products wasted or disposed of in a manner that is not acceptable.
  - 2. Products determined as unacceptable before or after placement.
  - 3. Products not completely unloaded from transporting vehicle.
  - 4. Products placed beyond lines and levels of the required Work.
  - 5. Products remaining on hand after completion of the Work.
  - 6. Loading, hauling, and disposing of rejected products.

PART 2 - PRODUCTS - Not Used

PART 3 - EXECUTION - Not Used

END OF SECTION



## SECTION 01 25 00

### SUBSTITUTION PROCEDURES

#### PART 1 - GENERAL

##### 1.1 SECTION INCLUDES

- A. Quality assurance.
- B. Product options.
- C. Product substitution procedures.

##### 1.2 QUALITY ASSURANCE

- A. Contract is based on products and standards established in Contract Documents without consideration of proposed substitutions.
- B. Products specified define standard of quality, type, function, dimension, appearance, and performance required.
- C. Substitution Proposals: Permitted for specified products except where specified otherwise. Do not substitute products unless substitution has been accepted and approved in writing by Owner.

##### 1.3 PRODUCT OPTIONS

- A. See Section 01 60 00 - Product Requirements.

##### 1.4 PRODUCT SUBSTITUTION PROCEDURES

- A. Architect/Engineer will consider requests for substitutions only within 30 days after date established in Notice to Proceed.
- B. Substitutions may be considered when a product becomes unavailable through no fault of Contractor.
- C. Document each request with complete data, substantiating compliance of proposed substitution with Contract Documents, including:
  - 1. Manufacturer's name and address, product, trade name, model, or catalog number, performance and test data, and reference standards.
  - 2. Itemized point-by-point comparison of proposed substitution with specified product, listing variations in quality, performance, and other pertinent characteristics.
  - 3. Reference to Article and Paragraph numbers in Specification Section.
  - 4. Cost data comparing proposed substitution with specified product and amount of net change to Contract Sum.
  - 5. Changes required in other Work.
  - 6. Availability of maintenance service and source of replacement parts as applicable.
  - 7. Certified test data to show compliance with performance characteristics specified.
  - 8. Samples when applicable or requested.
  - 9. Other information as necessary to assist Architect/Engineer's evaluation.



- D. A request constitutes a representation that Contractor:
  - 1. Has investigated proposed product and determined that it meets or exceeds quality level of specified product.
  - 2. Will provide same warranty for substitution as for specified product.
  - 3. Will coordinate installation and make changes to other Work that may be required for the Work to be complete with no additional cost to Owner.
  - 4. Waives claims for additional costs or time extension that may subsequently become apparent.
  - 5. Will coordinate installation of the accepted substitute, making such changes as may be required for the Work to be complete in all respects.
  - 6. Will reimburse Owner and Architect/Engineer for review or redesign services associated with reapproval by authorities having jurisdiction.
- E. Substitutions will not be considered when they are indicated or implied on Shop Drawing or Product Data submittals without separate written request or when acceptance will require revision to Contract Documents.
- F. Substitution Submittal Procedure:
  - 1. Submit requests for substitutions on CSI Form 13.1A Substitution Request-After the Bidding/Negotiating Stage.
  - 2. Submit three copies of Request for Substitution for consideration. Limit each request to one proposed substitution.
  - 3. Submit Shop Drawings, Product Data, and certified test results attesting to proposed product equivalence. Burden of proof is on proposer.
  - 4. Architect/Engineer will notify Contractor in writing of decision to accept or reject request.

## 1.5 INSTALLER SUBSTITUTION PROCEDURES

- A. Architect/Engineer will consider requests for substitutions only within 30 days after date established in Notice to Proceed.
- B. Document each request with:
  - 1. Installer's qualifications.
  - 2. Installer's experience in work similar to that specified.
  - 3. Other information as necessary to assist Architect/Engineer's evaluation.
- C. Substitution Submittal Procedure:
  - 1. Submit three copies of Request for Substitution for consideration. Limit each request to one proposed substitution.
  - 2. Architect/Engineer will notify Contractor in writing of decision to accept or reject request.

PART 2 - PRODUCTS - Not Used

PART 3 - EXECUTION - Not Used

END OF SECTION





SECTION 01 33 00  
SUBMITTAL PROCEDURES

PART 1 - GENERAL

1.1 SECTION INCLUDES

- A. Definitions.
- B. Submittal procedures.
- C. Construction progress schedules.
- D. Proposed product list.
- E. Product data.
- F. Use of electronic CAD files of Project Drawings.
- G. Shop Drawings.
- H. Samples.
- I. Other submittals.
- J. Design data.
- K. Test reports.
- L. Certificates.
- M. Manufacturer's instructions.
- N. Manufacturer's field reports.
- O. Erection Drawings.
- P. Construction photographs.
- Q. Contractor review.
- R. Architect/Engineer review.



## 1.2 DEFINITIONS

- A. Action Submittals: Written and graphic information and physical samples that require Architect/Engineer's responsive action.
- B. Informational Submittals: Written and graphic information and physical Samples that do not require Architect/Engineer's responsive action. Submittals may be rejected for not complying with requirements.

## 1.3 SUBMITTAL PROCEDURES

- A. Transmit each submittal with AIA G810 - Transmittal Letter.
- B. Sequentially number transmittal forms. Mark revised submittals with original number and sequential alphabetic suffix.
- C. Identify: Project, Contractor, Subcontractor and supplier, pertinent Drawing and detail number, and Specification Section number appropriate to submittal.
- D. Apply Contractor's stamp, signed or initialed, certifying that review, approval, verification of products required, field dimensions, adjacent construction Work, and coordination of information is according to requirements of the Work and Contract Documents.
- E. Schedule submittals to expedite Project, and deliver to Architect/Engineer at business address or submit electronic submittals via email as PDF electronic files. Coordinate submission of related items.
- F. For each submittal for review, allow 15 days excluding delivery time to and from Contractor.
- G. Identify variations in Contract Documents and product or system limitations that may be detrimental to successful performance of completed Work.
- H. Allow space on submittals for Contractor and Architect/Engineer review stamps.
- I. When revised for resubmission, identify changes made since previous submission.
- J. Distribute copies of reviewed submittals as appropriate. Instruct parties to promptly report inability to comply with requirements.
- K. Submittals not requested will not be recognized nor processed.
- L. Incomplete Submittals: Architect/Engineer will not review. Complete submittals for each item are required. Delays resulting from incomplete submittals are not the responsibility of Architect/Engineer.



#### 1.4 PROPOSED PRODUCT LIST

- A. Within 15 days after date of Notice to Proceed, submit list of major products proposed for use, with name of manufacturer, trade name, and model number of each product.
- B. For products specified only by reference standards, indicate manufacturer, trade name, model or catalog designation, and reference standards.

#### 1.5 PRODUCT DATA

- A. Product Data: Action Submittal: Submit to Architect/Engineer for review for assessing conformance with information given and design concept expressed in Contract Documents.
- B. Submit number of copies Contractor requires, plus two copies Architect/Engineer will retain.
- C. Submit electronic submittals via email as PDF electronic files.
- D. Mark each copy to identify applicable products, models, options, and other data. Supplement manufacturers' standard data to provide information specific to this Project.
- E. Indicate product utility and electrical characteristics, utility connection requirements, and location of utility outlets for service for functional equipment and appliances.

#### 1.6 ELECTRONIC CAD FILES OF PROJECT DRAWINGS

- A. Electronic CAD Files of Project Drawings: May only be used to expedite production of Shop Drawings for the Project. Use for other Projects or purposes is not allowed.
- B. Electronic CAD Files of Project Drawings: Distributed only under the following conditions:
  - 1. Use of files is solely at receiver's risk. Architect/Engineer does not warrant accuracy of files. Receiving files in electronic form does not relieve receiver of responsibilities for measurements, dimensions, and quantities set forth in Contract Documents. In the event of ambiguity, discrepancy, or conflict between information on electronic media and that in Contract Documents, notify Architect/Engineer of discrepancy and use information in hard-copy Drawings and Specifications.
  - 2. CAD files do not necessarily represent the latest Contract Documents, existing conditions, and as-built conditions. Receiver is responsible for determining and complying with these conditions and for incorporating addenda and modifications.
  - 3. User is responsible for removing information not normally provided on Shop Drawings and removing references to Contract Documents. Shop Drawings submitted with information associated with other trades or with references to Contract Documents will not be reviewed and will be immediately returned.



4. Receiver shall not hold Architect/Engineer responsible for data or file clean-up required to make files usable, nor for error or malfunction in translation, interpretation, or use of this electronic information.
5. Receiver shall understand that even though Architect/Engineer has computer virus scanning software to detect presence of computer viruses, there is no guarantee that computer viruses are not present in files or in electronic media.
6. Receiver shall not hold Architect/Engineer responsible for such viruses or their consequences, and shall hold Architect/Engineer harmless against costs, losses, or damage caused by presence of computer virus in files or media.
7. Receiver shall sign Architect/Engineer's release form to gain access to CAD files.
8. Access to or use of Architect/Engineer's CAD files is at the Architect/Engineer's sole discretion.

## 1.7 SHOP DRAWINGS

- A. Shop Drawings: Action Submittal: Submit to Architect/Engineer for assessing conformance with information given and design concept expressed in Contract Documents.
- B. Indicate special utility and electrical characteristics, utility connection requirements, and location of utility outlets for service for functional equipment and appliances.
- C. When required by individual Specification Sections, provide Shop Drawings signed and sealed by a professional Engineer responsible for designing components shown on Shop Drawings.
  1. Include signed and sealed calculations to support design.
  2. Submit Shop Drawings and calculations in form suitable for submission to and approval by authorities having jurisdiction.
  3. Make revisions and provide additional information when required by authorities having jurisdiction.
- D. Submit number of opaque reproductions Contractor requires, plus two copies Architect/Engineer will retain.
- E. Submit electronic submittals via email as PDF electronic files.
- F. After review, produce copies and distribute according to "Submittal Procedures" Article and for record documents described in DFE Section 01 00 00 – Administrative Provisions.

## 1.8 SAMPLES

- A. Samples: Action Submittal: Submit to Architect/Engineer for assessing conformance with information given and design concept expressed in Contract Documents.
- B. Samples for Selection as Specified in Product Sections:
  1. Submit to Architect/Engineer for aesthetic, color, and finish selection.



2. Submit Samples of finishes, textures, and patterns for Architect/Engineer selection.

- C. Submit Samples to illustrate functional and aesthetic characteristics of products, with integral parts and attachment devices. Coordinate Sample submittals for interfacing work.
- D. Include identification on each Sample, with full Project information.
- E. Submit number of Samples specified in individual Specification Sections; Architect/Engineer will retain one Sample.
- F. Reviewed Samples that may be used in the Work are indicated in individual Specification Sections.
- G. Samples will not be used for testing purposes unless specifically stated in Specification Section.

#### 1.9 OTHER SUBMITTALS

- A. Informational Submittal: Submit data for Architect/Engineer's knowledge as Contract administrator or for Owner.
- B. Submit information for assessing conformance with information given and design concept expressed in Contract Documents.

#### 1.10 TEST REPORTS

- A. Informational Submittal: Submit reports for Architect/Engineer's knowledge as Contract administrator or for Owner.
- B. Submit test reports for information for assessing conformance with information given and design concept expressed in Contract Documents.

#### 1.11 CERTIFICATES

- A. Informational Submittal: Submit certification by manufacturer, installation/application Subcontractor, or Contractor to Architect/Engineer, in quantities specified for Product Data.
- B. Indicate material or product conforms to or exceeds specified requirements. Submit supporting reference data, affidavits, and certifications as appropriate.
- C. Certificates may be recent or previous test results on material or product but must be acceptable to Architect/Engineer.



#### 1.12 MANUFACTURER'S INSTRUCTIONS

- A. Informational Submittal: Submit manufacturer's installation instructions for Architect/Engineer's knowledge as Contract administrator or for Owner.
- B. Submit printed instructions for delivery, storage, assembly, installation, startup, adjusting, and finishing, to Architect/Engineer in quantities specified for Product Data.
- C. Indicate special procedures, perimeter conditions requiring special attention, and special environmental criteria required for application or installation.

#### 1.13 MANUFACTURER'S FIELD REPORTS

- A. Informational Submittal: Submit reports for Architect/Engineer's knowledge as Contract administrator or for Owner.
- B. Submit report within 5 days of observation to Architect/Engineer for information.
- C. Submit reports for information for assessing conformance with information given and design concept expressed in Contract Documents.

#### 1.14 ERECTION DRAWINGS

- A. Informational Submittal: Submit Drawings for Architect/Engineer's knowledge as Contract administrator or for Owner.
- B. Submit Drawings for information assessing conformance with information given and design concept expressed in Contract Documents.
- C. Data indicating inappropriate or unacceptable Work may be subject to action by Architect/Engineer or Owner.

#### 1.15 CONTRACTOR REVIEW

- A. Review for compliance with Contract Documents and approve submittals before transmitting to Architect/Engineer.
- B. Contractor: Responsible for:
  - 1. Determination and verification of materials including manufacturer's catalog numbers.
  - 2. Determination and verification of field measurements and field construction criteria.
  - 3. Checking and coordinating information in submittal with requirements of Work and of Contract Documents.
  - 4. Determination of accuracy and completeness of dimensions and quantities.
  - 5. Confirmation and coordination of dimensions and field conditions at Site.
  - 6. Construction means, techniques, sequences, and procedures.
  - 7. Safety precautions.
  - 8. Coordination and performance of Work of all trades.



- C. Stamp, sign or initial, and date each submittal to certify compliance with requirements of Contract Documents.
- D. Do not fabricate products or begin Work for which submittals are required until approved submittals have been received from Architect/Engineer.

#### 1.16 ARCHITECT/ENGINEER REVIEW

- A. Do not make "mass submittals" to Architect/Engineer. "Mass submittals" are defined as six or more submittals or items in one day or 15 or more submittals or items in one week. If "mass submittals" are received, Architect/Engineer's review time stated above will be extended as necessary to perform proper review. Architect/Engineer will review "mass submittals" based on priority determined by Architect/Engineer after consultation with Owner and Contractor.
- B. Informational submittals and other similar data are for Architect/Engineer's information, do not require Architect/Engineer's responsive action, and will not be reviewed or returned with comment.
- C. Submittals made by Contractor that are not required by Contract Documents may be returned without action.
- D. Submittal approval does not authorize changes to Contract requirements unless accompanied by Change Order, Architect's Supplemental Instruction, or Construction Change Directive.
- E. Owner may withhold monies due to Contractor to cover additional costs beyond the second submittal review.

PART 2 - PRODUCTS - Not Used

PART 3 - EXECUTION - Not Used

END OF SECTION



SECTION 01 40 00  
QUALITY REQUIREMENTS

PART 1 - GENERAL

1.1 SECTION INCLUDES

- A. Quality control.
- B. Tolerances.
- C. References.
- D. Labeling.
- E. Mockup requirements.
- F. Testing and inspection services.
- G. Manufacturers' field services.

1.2 QUALITY CONTROL

- A. Monitor quality control over suppliers, manufacturers, products, services, Site conditions, and workmanship, to produce Work of specified quality.
- B. Comply with specified standards as the minimum quality for the Work except where more stringent tolerances, codes, or specified requirements indicate higher standards or more precise workmanship.
- C. Perform Work using persons qualified to produce required and specified quality.
- D. Products, materials, and equipment may be subject to inspection by Architect/Engineer at place of manufacture or fabrication. Such inspections shall not relieve Contractor of complying with requirements of Contract Documents.
- E. Supervise performance of Work in such manner and by such means to ensure that Work, whether completed or in progress, will not be subjected to harmful, dangerous, damaging, or otherwise deleterious exposure during construction period.

1.3 TOLERANCES

- A. Monitor fabrication and installation tolerance control of products to produce acceptable Work. Do not permit tolerances to accumulate.





- B. Comply with manufacturers' recommended tolerances and tolerance requirements in reference standards. When such tolerances conflict with Contract Documents, request clarification from Architect/Engineer before proceeding.
- C. Adjust products to appropriate dimensions; position before securing products in place.

#### 1.4 REFERENCES

- A. For products or workmanship specified by association, trade, or other consensus standards, comply with requirements of standard except when more rigid requirements are specified or are required by applicable codes.
- B. Conform to reference standard by date of issue current as of date for receiving Bids except where specific date is established by code.
- C. Obtain copies of standards and maintain on Site when required by product Specification Sections.
- D. When requirements of indicated reference standards conflict with Contract Documents, request clarification from Architect/Engineer before proceeding.
- E. Neither contractual relationships, duties, or responsibilities of parties in Contract nor those of Architect/Engineer shall be altered from Contract Documents by mention or inference in reference documents.

#### 1.5 LABELING

- A. Attach label from agency approved by authorities having jurisdiction for products, assemblies, and systems required to be labeled by applicable code.
- B. Label Information: Include manufacturer's or fabricator's identification, approved agency identification, and the following information, as applicable, on each label:
  - 1. Model number.
  - 2. Serial number.
  - 3. Performance characteristics.
- C. Manufacturer's Nameplates, Trademarks, Logos, and Other Identifying Marks on Products: Not allowed on surfaces exposed to view in public areas, interior or exterior.

#### 1.6 MOCK-UP REQUIREMENTS

- A. Tests will be performed under provisions identified in this Section and identified in individual product Specification Sections.
- B. Assemble and erect specified or indicated items with specified or indicated attachment and anchorage devices, flashings, seals, and finishes.
- C. Accepted mockups shall be comparison standard for remaining Work.



- D. Where mockup has been accepted by Architect/Engineer and is specified in product Specification Sections to be removed, remove mockup and clear area when directed to do so by Architect/Engineer.

## 1.7 TESTING AND INSPECTION SERVICES

- A. Contractor will employ and pay for services of an independent testing agency or laboratory acceptable to Owner to perform specified testing.
  - 1. Before starting Work, submit testing laboratory name, address, and telephone number, and names of full-time Professional Engineers and specialists and responsible officer.
  - 2. Submit copy of report of laboratory facilities' inspection made by Materials Reference Laboratory of National Bureau of Standards during most recent inspection, with memorandum of remedies of deficiencies reported by inspection.
- B. Independent firm will perform tests, inspections, and other services specified in individual Specification Sections and as required by Architect/Engineer.
  - 1. Laboratory: Authorized to operate at Project location.
  - 2. Laboratory Staff: Maintain full-time Professional Engineers and specialists on staff to review services.
  - 3. Testing Equipment: Calibrated at reasonable intervals with devices of an accuracy traceable to National Bureau of Standards or accepted values of natural physical constants.
- C. Testing, inspections, and source quality control may occur on or off Project Site. Perform off-Site testing as required by Architect/Engineer or Owner.
- D. Reports shall be submitted by independent firm to Architect/Engineer, Contractor, and authorities having jurisdiction, in duplicate, indicating observations and results of tests and compliance or noncompliance with Contract Documents.
  - 1. Submit final report indicating correction of Work previously reported as noncompliant.
- E. Cooperate with independent firm; furnish samples of materials, design mix, equipment, tools, storage, safe access, and assistance by incidental labor as requested.
  - 1. Notify Architect/Engineer and independent firm 24 hours before expected time for operations requiring services.
  - 2. Make arrangements with independent firm and pay for additional Samples and tests required for Contractor's use.
- F. Employment of testing agency or laboratory shall not relieve Contractor of obligation to perform Work according to requirements of Contract Documents.
- G. Retesting or re-inspection required because of nonconformance with specified or indicated requirements shall be performed by same independent firm on instructions from Architect/Engineer. Payment for retesting or re-inspection will be charged to Contractor by deducting testing charges from Contract Sum/Price.



- H. Agency Responsibilities:
  - 1. Test Samples of mixes submitted by Contractor.
  - 2. Provide qualified personnel at Site. Cooperate with Architect/Engineer and Contractor in performance of services.
  - 3. Perform indicated sampling and testing of products according to specified standards.
  - 4. Ascertain compliance of materials and mixes with requirements of Contract Documents.
  - 5. Promptly notify Architect/Engineer and Contractor of observed irregularities or nonconformance of Work or products.
  - 6. Perform additional tests required by Architect/Engineer.
  - 7. Attend preconstruction meetings and progress meetings.
- I. Agency Reports: After each test, promptly submit two copies of report to Architect/Engineer, Contractor, and authorities having jurisdiction. When requested by Architect/Engineer, provide interpretation of test results. Include the following:
  - 1. Date issued.
  - 2. Project title and number.
  - 3. Name of inspector.
  - 4. Date and time of sampling or inspection.
  - 5. Identification of product and Specification Section.
  - 6. Location in Project.
  - 7. Type of inspection or test.
  - 8. Date of test.
  - 9. Results of tests.
  - 10. Conformance with Contract Documents.
- J. Limits on Testing Authority:
  - 1. Agency or laboratory may not release, revoke, alter, or enlarge on requirements of Contract Documents.
  - 2. Agency or laboratory may not approve or accept any portion of the Work.
  - 3. Agency or laboratory may not assume duties of Contractor.
  - 4. Agency or laboratory has no authority to stop the Work.

## 1.8 MANUFACTURER'S FIELD SERVICES

- A. When specified in individual Specification Sections, require material or product suppliers or manufacturers to provide qualified staff personnel to observe Site conditions, conditions of surfaces and installation, quality of workmanship, startup of equipment, testing, adjusting, and balancing of equipment and commissioning as applicable, and to initiate instructions when necessary.
- B. Submit qualifications of observer to Architect/Engineer 30 days in advance of required observations. Observer is subject to approval of Architect/Engineer.
- C. Report observations and Site decisions or instructions given to applicators or installers that are supplemental or contrary to manufacturer's written instructions.



- D. Refer to Section 01 33 00 - Submittal Procedures, "Manufacturer's Field Reports" Article.

PART 2 - PRODUCTS - Not Used

PART 3 - EXECUTION - Not Used

END OF SECTION



SECTION 01 60 00  
PRODUCT REQUIREMENTS

PART 1 - GENERAL

1.1 SECTION INCLUDES

- A. Products.
- B. Product delivery requirements.
- C. Product storage and handling requirements.
- D. Product options.
- E. Equipment electrical characteristics and components.

1.2 PRODUCTS

- A. At minimum, comply with specified requirements and reference standards.
- B. Specified products define standard of quality, type, function, dimension, appearance, and performance required.
- C. Furnish products of qualified manufacturers that are suitable for intended use. Furnish products of each type by single manufacturer unless specified otherwise. Confirm that manufacturer's production capacity can provide sufficient product, on time, to meet Project requirements.

1.3 PRODUCT DELIVERY REQUIREMENTS

- A. Transport and handle products according to manufacturer's instructions.
- B. Promptly inspect shipments to ensure products comply with requirements, quantities are correct, and products are undamaged.
- C. Provide equipment and personnel to handle products; use methods to prevent soiling, disfigurement, or damage.

1.4 PRODUCT STORAGE AND HANDLING REQUIREMENTS

- A. Store and protect products according to manufacturer's instructions.
- B. Store products with seals and labels intact and legible.
- C. Store sensitive products in weathertight, climate-controlled enclosures in an environment suitable to product.



- D. For exterior storage of fabricated products, place products on sloped supports aboveground.
- E. Provide bonded off-Site storage and protection when Site does not permit on-Site storage or protection.
- F. Cover products subject to deterioration with impervious sheet covering. Provide ventilation to prevent condensation and degradation of products.
- G. Store loose granular materials on solid flat surfaces in well-drained area. Prevent mixing with foreign matter.
- H. Provide equipment and personnel to store products; use methods to prevent soiling, disfigurement, or damage.
- I. Arrange storage of products to permit access for inspection. Periodically inspect to verify products are undamaged and are maintained in acceptable condition.

#### 1.5 PRODUCT OPTIONS

- A. Products Specified by Reference Standards or by Description Only: Products complying with specified reference standards or description.
- B. Products Specified by Naming One or More Manufacturers: Products of one of manufacturers named and complying with Specifications; no options or substitutions allowed.
- C. Products Specified by Naming One or More Manufacturers with Provision for Substitutions: Submit Request for Substitution for any manufacturer not named.

### PART 2 - PRODUCTS

#### 2.1 EQUIPMENT ELECTRICAL CHARACTERISTICS AND COMPONENTS

- A. Wiring Terminations: Furnish terminal lugs to match branch circuit conductor quantities, sizes, and materials indicated. Include lugs for terminal box.
- B. Cord and Plug: Furnish minimum 6-foot long cord and plug including grounding connector for connection to electric wiring system. Cord of longer length may be specified in individual Specification Sections.

### PART 3 - EXECUTION - Not Used

END OF SECTION



## SECTION 26 05 11

### REQUIREMENTS FOR ELECTRICAL INSTALLATIONS

#### PART 1 - GENERAL

##### 1.1 DESCRIPTION

- A. This section applies to all sections of Division 26.
- B. Furnish and install electrical systems, materials, equipment, and accessories in accordance with the specifications and drawings. Capacities and ratings of motors, transformers, conductors and cable, panelboards, and other items and arrangements for the specified items are shown on the drawings.
- C. Electrical service entrance equipment and arrangements for temporary and permanent connections to the electric utility company's system shall conform to the electric utility company's requirements and paid for by Electrical Contractor. Coordinate fuses, circuit breakers and relays with the electric utility company's system and obtain electric utility company approval for sizes and settings of these devices.
- D. Conductor ampacities specified or shown on the drawings are based on copper conductors, with the conduit and raceways sized per NEC.

##### 1.2 MINIMUM REQUIREMENTS

- A. The International Building Code (IBC), National Electrical Code (NEC), Underwriters Laboratories, Inc. (UL), and National Fire Protection Association (NFPA) codes and standards are the minimum requirements for materials and installation.
- B. The drawings and specifications shall govern in those instances where requirements are greater than those stated in the above codes and standards.

##### 1.3 TEST STANDARDS

- A. All materials and equipment shall be listed, labeled, or certified by a Nationally Recognized Testing Laboratory (NRTL) to meet Underwriters Laboratories, Inc. (UL), standards where test standards have been established. Materials and equipment which are not covered by UL standards will be accepted, providing those materials and equipment are listed, labeled, certified or otherwise determined to meet the safety requirements of a NRTL. Materials and equipment which no NRTL accepts, certifies, lists, labels, or determines to be safe, will be considered if inspected or tested in accordance with national industrial standards, such as ANSI, NEMA, and NETA. Evidence of compliance shall include certified test reports and definitive shop drawings.



B. Definitions:

1. Listed: Materials and equipment included in a list published by an organization that is acceptable to the Authority Having Jurisdiction and concerned with evaluation of products or services, that maintains periodic inspection of production or listed materials and equipment or periodic evaluation of services, and whose listing states that the materials and equipment either meets appropriate designated standards or has been tested and found suitable for a specified purpose.
2. Labeled: Materials and equipment to which has been attached a label, symbol, or other identifying mark of an organization that is acceptable to the Authority Having Jurisdiction and concerned with product evaluation, that maintains periodic inspection of production of labeled materials and equipment, and by who is labeling the manufacturer indicates compliance with appropriate standards or performance in a specified manner.
3. Certified: Materials and equipment which:
  - a. Have been tested and found by a NRTL to meet nationally recognized standards or to be safe for use in a specified manner.
  - b. Are periodically inspected by a NRTL.
  - c. Bear a label, tag, or other record of certification.
4. Nationally Recognized Testing Laboratory: Testing laboratory which is recognized and approved by the Secretary of Labor in accordance with OSHA regulations.

1.4 QUALIFICATIONS (PRODUCTS AND SERVICES)

- A. Manufacturer's Qualifications: The manufacturer shall regularly and currently produce, as one of the manufacturer's principal products, the materials and equipment specified for this project, and shall have manufactured the materials and equipment for at least three years.

1.5 APPLICABLE PUBLICATIONS

- A. Applicable publications listed in all Sections of Division 26 are the latest issue, unless otherwise noted.
- B. Products specified in all sections of Division 26 shall comply with the applicable publications listed in each section.

1.6 QUALITY ASSURANCE

- A. Materials and equipment furnished shall be new and of current production by manufacturers regularly engaged in the manufacture of such items, and for which replacement parts shall be available.
- B. When more than one unit of the same class or type of materials and equipment is required, such units shall be the product of a single manufacturer.





- C. Equipment Assemblies and Components:
  - 1. Components of an assembled unit need not be products of the same manufacturer.
  - 2. Manufacturers of equipment assemblies, which include components made by others, shall assume complete responsibility for the final assembled unit.
  - 3. Components shall be compatible with each other and with the total assembly for the intended service.
  - 4. Constituent parts which are similar shall be the product of a single manufacturer.
- D. Factory wiring and terminals shall be identified on the equipment being furnished and on all wiring diagrams.

#### 1.7 VARIATIONS FROM CONTRACT REQUIREMENTS

- A. Where the Contractor requests variations from the contract requirements, the connecting work and related components shall include, but not be limited to additions or changes to branch circuits, circuit protective devices, conduits, wire, feeders, controls, panels and installation methods.

#### 1.8 MATERIALS AND EQUIPMENT PROTECTION

- A. Materials and equipment shall be protected during shipment and storage against physical damage, vermin, dirt, corrosive substances, fumes, moisture, cold and rain.
  - 1. Store materials and equipment indoors in clean dry space with uniform temperature to prevent condensation.
  - 2. During installation, equipment shall be protected against entry of foreign matter, and be vacuum-cleaned both inside and outside before testing and operating. Compressed air shall not be used to clean equipment. Remove loose packing and flammable materials from inside equipment.
  - 3. Damaged equipment shall be repaired or replaced, as determined by the Engineer.

#### 1.9 WORK PERFORMANCE

- A. All electrical work shall comply with the requirements of NFPA 70 (NEC), NFPA 70B, and NFPA 70E.
- B. Job site safety and worker safety is the responsibility of the Contractor.
- C. Coordinate location of equipment and conduit with other trades to minimize interference.

#### 1.10 EQUIPMENT INSTALLATION AND REQUIREMENTS

- A. Equipment location shall be as close as practical to locations shown on the drawings.
- B. Working clearances shall not be less than specified in the NEC.



C. Inaccessible Equipment:

1. Where the Owner/Engineer determines that the Contractor has installed equipment not readily accessible for operation and maintenance, the equipment shall be removed and reinstalled as directed at no additional cost to the Owner.
2. "Readily accessible" is defined as being capable of being reached quickly for operation, maintenance, or inspections without the use of ladders, or without climbing or crawling under or over obstacles such as, but not limited to, motors, pumps, belt guards, transformers, piping, ductwork, conduit and raceways.

1.11 EQUIPMENT IDENTIFICATION

- A. In addition to the requirements of the NEC, install an identification sign which clearly indicates information required for use and maintenance of items such as panelboards, cabinets, fused and non-fused safety switches, separately enclosed circuit breakers, individual breakers, control devices and other significant equipment.

1.12 RECORD DRAWINGS/AS-BUILT DRAWINGS

- A. The Electrical Subcontractor shall maintain current at the site a set of his drawings on which he shall accurately show the actual installation of all work provided under his Contract indicating hereon any variation from the Contract Drawings, in accordance with the General Conditions and Division I. Changes, whether resulting from formal change orders or other instructions issued by the Engineer, shall be recorded. Include changes in sizes, location, and dimensions of conduit, switch gear, lighting fixtures, fire alarm equipment, wiring devices, etc.
- B. The marked-up prints will be used as a guide for determining the progress of the work installed. They shall be inspected periodically by the Engineer and Owner and they shall be corrected immediately if found either inaccurate or incomplete. This procedure is mandatory.
- C. At the completion of the job, these prints shall be submitted to the General Contractor and then to the Engineer for final review and comment. The prints will be returned with appropriate comments and recommendations. These corrected prints, together with corrected prints indicating all the revisions, additions and deletions of work, shall form the basis for preparing a set of As-built Record Drawings.
- D. The Subcontractor shall be responsible for generating as-built Record Drawings utilizing Adobe Acrobat PDF based documents. A bound set of plans, as well as the computer files, on a disk or USB storage drive, shall be turned over to the Engineer for review. After acceptance of the as-built documents by the Engineer, the Electrical Subcontractor shall make any corrections necessary to the as-built documents and prepare one set of 24" X 36" or USB storage drive for distribution to the Owner via the Engineer.

1.12 SUBMITTALS

- A. The Engineer's approval shall be obtained for all materials and equipment before delivery to the job site. Delivery, storage or installation of materials and equipment which has not had prior approval will not be permitted.



- B. All submittals shall include adequate descriptive literature, catalog cuts, shop drawings, test reports, certifications, samples, and other data necessary for the Engineer to ascertain that the proposed materials and equipment comply with drawing and specification requirements. Catalog cuts submitted for approval shall be legible and clearly identify specific materials and equipment being submitted.
- C. Submittals for individual systems and equipment assemblies which consist of more than one item or component shall be made for the system or assembly as a whole. Partial submittals will not be considered for approval.
  - 1. Submittals shall be marked to show specification reference including the section and paragraph numbers.
  - 2. Submit each section separately.
- D. The submittals shall include the following:
  - 1. Information that confirms compliance with contract requirements. Include the manufacturer's name, model or catalog numbers, catalog information, technical data sheets, shop drawings, manuals, pictures, nameplate data, and test reports as required.

#### 1.13 SINGULAR NUMBER

- A. Where any device or part of equipment is referred to in these specifications in the singular number (e.g., "the switch"), this reference shall be deemed to apply to as many such devices as are required to complete the installation as shown on the drawings.

#### 1.14 WARRANTY

- A. All work performed and all equipment and material furnished under this Division shall be free from defects and shall remain so for a period of one year from the date of acceptance of the entire installation by the Owner.

#### PART 2 - PRODUCTS (NOT USED)

#### PART 3 - EXECUTION (NOT USED)

END OF SECTION



## SECTION 26 05 19

### LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

#### PART 1 - GENERAL

##### 1.01 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

##### 1.02 SUMMARY

- A. Section Includes:
  - 1. Building wires and cables rated 2000 V and less.
  - 2. Connectors, splices, and terminations rated 2000 V and less.

##### 1.03 SUBMITTALS

- A. Product Data: For each type of product.
- B. Product Schedule: Indicate type, use, location, and termination locations.
- C. Qualification Data: For manufacturer's authorized service representative.
- D. Field quality-control reports.

##### 1.04 QUALITY ASSURANCE

- A. Testing Agency Qualifications: Member company of NETA.
  - 1. Testing Agency's Field Supervisor: Certified by NETA to supervise on-site testing.

#### PART 2 - PRODUCTS

##### 2.01 CONDUCTORS AND CABLES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Alpha Wire Company.
  - 2. American Bare Conductor.
  - 3. Belden Inc.
  - 4. Cerro Wire LLC.
  - 5. Encore Wire Corporation.
  - 6. General Cable Technologies Corporation.
  - 7. Service Wire Co.
  - 8. Southwire Company.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."



- D. Comply with UL 1277, UL 1685, and NFPA 70 for Type TC-ER cable used in VFC circuits.
- E. Conductors: Copper, complying with NEMA WC 70/ICEA S-95-658.
  - 1. Conductor Insulation: Comply with NEMA WC 70/ICEA S-95-658 for Type THHN/THWN-2 and Type XHHW-2.
  - 2. PV Conductor Insulation: Comply with UL 4703.
- F. Cable: Comply with NEMA WC 70/ICEA S-95-658 for Type AC metal-clad cable, Type MC with ground wire.

## 2.02 CONNECTORS AND SPLICES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. 3M Electrical Products.
  - 2. AFC Cable Systems; a part of Atkore International.
  - 3. Gardner Bender.
  - 4. Hubbell Power Systems, Inc.
  - 5. Ideal Industries, Inc.
  - 6. ILSCO.
  - 7. NSi Industries LLC.
  - 8. O-Z/Gedney; a brand of Emerson Industrial Automation.
  - 9. Service Wire Co.
  - 10. Thomas & Betts Corporation; A Member of the ABB Group.
- B. Description: Factory-fabricated connectors and splices of size, ampacity rating, material, type, and class for application and service indicated; listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

## PART 3 - EXECUTION

### 3.01 CONDUCTOR MATERIAL APPLICATIONS

- A. Feeders: Copper; solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.
- B. Feeders: Copper for feeders smaller than No. 4 AWG; copper or aluminum for feeders No. 4 AWG and larger. Conductors shall be solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.
- C. Branch Circuits: Copper. Solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.
- D. Branch Circuits: Copper. Solid for No. 12 AWG and smaller; stranded for No. 10 AWG and larger.

### 3.02 CONDUCTOR INSULATION AND MULTI-CONDUCTOR CABLE APPLICATIONS AND WIRING METHODS

- A. Service Entrance: Type XHHW-2, single conductors in raceway.



- B. Exposed Feeders: Type XHHW-2, single conductors in raceway.
- C. Feeders Concealed in Ceilings, Walls, Partitions, and Crawlspace: Type THHN/THWN-2, single conductors in raceway.
- D. Coordinate "Feeders Concealed in Concrete, below Slabs-on-Grade, and Underground" Paragraph below with Section 26 05 33 – "Raceway and Boxes for Electrical Systems.
- E. Feeders Concealed in Concrete, below Slabs-on-Grade, and Underground: Type THHN/THWN-2, single conductors in raceway.
- F. Exposed Branch Circuits, Including in Crawlspace: Type THHN/THWN-2, single conductors in raceway.
- G. Branch Circuits Concealed in Ceilings, Walls, and Partitions: Metal-clad cable, Type MC.
- H. Cord Drops and Portable Appliance Connections: Type SO, hard service cord with stainless-steel, wire-mesh, strain relief device at terminations to suit application.

### 3.03 INSTALLATION OF CONDUCTORS AND CABLES

- A. Conceal cables in finished walls, ceilings, and floors unless otherwise indicated.
- B. Complete raceway installation between conductor and cable termination points according to Section 26 05 33 "Raceways and Boxes for Electrical Systems" prior to pulling conductors and cables.
- C. Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- D. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips, that will not damage cables or raceway.
- E. Install exposed cables parallel and perpendicular to surfaces of exposed structural members, and follow surface contours where possible.
- F. Support cables according to Section 26 05 29 "Hangers and Supports for Electrical Systems."

### 3.04 CONNECTIONS

- A. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A-486B.
- B. Make splices, terminations, and taps that are compatible with conductor material and that possess equivalent or better mechanical strength and insulation ratings than unspliced conductors.
  - 1. Use oxide inhibitor in each splice, termination, and tap for aluminum conductors.
- C. Wiring at Outlets: Install conductor at each outlet, with at least 12 inches of slack.



### 3.05 IDENTIFICATION

- A. Identify and color-code conductors and cables according to Section 26 05 53 "Identification for Electrical Systems."
- B. Identify each spare conductor at each end with identity number and location of other end of conductor, and identify as spare conductor.

### 3.06 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

- A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies.

### 3.07 FIRESTOPPING

- A. Apply firestopping to electrical penetrations of fire-rated floor and wall assemblies to restore original fire-resistance rating of assembly.

### 3.08 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections with the assistance of a factory-authorized service representative:
  - 1. After installing conductors and cables and before electrical circuitry has been energized, test service entrance and feeder conductors for compliance with requirements.
  - 2. Perform each of the following visual and electrical tests:
    - a. Inspect exposed sections of conductor and cable for physical damage and correct connection according to the single-line diagram.
    - b. Test bolted connections for high resistance using one of the following:
      - 1) A low-resistance ohmmeter.
      - 2) Calibrated torque wrench.
      - 3) Thermographic survey.
    - c. Inspect compression applied connectors for correct cable match and indentation.
    - d. Inspect for correct identification.
    - e. Inspect cable jacket and condition.
    - f. Insulation-resistance test on each conductor with respect to ground and adjacent conductors. Apply a potential of 500-V dc for 300-V rated cable and 1000-V dc for 600-V rated cable for a one-minute duration.
    - g. Continuity test on each conductor and cable.
    - h. Uniform resistance of parallel conductors.
  - 3. Initial Infrared Scanning: After Substantial Completion, but before Final Acceptance, perform an infrared scan of each splice in conductors No. 3 AWG and larger. Remove box and equipment covers so splices are accessible to portable scanner. Correct deficiencies determined during the scan.
    - a. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
    - b. Record of Infrared Scanning: Prepare a certified report that identifies switches checked and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.



4. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each switch 11 months after date of Substantial Completion.
- B. Cables will be considered defective if they do not pass tests and inspections.
- C. Prepare test and inspection reports to record the following:
1. Procedures used.
  2. Results that comply with requirements.
  3. Results that do not comply with requirements and corrective action taken to achieve compliance with requirements.

END OF SECTION





## SECTION 26 05 26

### GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

#### PART 1 - GENERAL

##### 1.1 DESCRIPTION

- A. This section specifies the furnishing, installation, connection, and testing of grounding and bonding equipment, indicated as grounding equipment in this section.
- B. “Grounding electrode system” refers to grounding electrode conductors and all electrodes required or allowed by NEC.
- C. The terms “connect” and “bond” are used interchangeably in this section and have the same meaning.

##### 1.2 RELATED WORK

- A. Section 26 05 11, Requirements for Electrical Installations: Requirements that apply to all sections of Division 26.
- B. Section 26 05 19, Low-Voltage Electrical Power Conductors and Cables: Low-voltage conductors.
- C. Section 26 05 33, Raceway and Boxes for Electrical Systems: Conduit and boxes.
- D. Section 26 24 16, Panelboards: Low-voltage panelboards.

##### 1.3 SUBMITTALS

- A. Submit six copies of the following in accordance with Section 26 05 11, Requirements for Electrical Installations.
  - 1. Shop Drawings:
    - a. Submit sufficient information to demonstrate compliance with drawings and specifications.
- B. See Section 26 05 11, Requirements for Electrical Installations, Article 1.12 Submittals for further requirements.
- C. See Section 26 05 11, Requirements for Electrical Installations, Article 1.4 quality assurance for further requirements.

##### 1.4 APPLICABLE PUBLICATIONS

- A. Publications listed below (including amendments, addenda, revisions, supplements, and errata) form a part of this specification to the extent referenced. Publications are referenced in the text by designation only.



- B. American Society for Testing and Materials (ASTM):
  - B1-07.....Standard Specification for Hard-Drawn Copper Wire
  - B3-07.....Standard Specification for Soft or Annealed Copper Wire
  - B8-11.....Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
- C. Institute of Electrical and Electronics Engineers, Inc. (IEEE):
  - 81-83 .....IEEE Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System Part 1: Normal Measurements
- D. National Fire Protection Association (NFPA):
  - 70-11 .....National Electrical Code (NEC)
  - 70E-12.....National Electrical Safety Code
  - 99-12 .....Health Care Facilities
- E. Underwriters Laboratories, Inc. (UL):
  - 44-10 .....Thermoset-Insulated Wires and Cables
  - 83-08 .....Thermoplastic-Insulated Wires and Cables
  - 467-07 .....Grounding and Bonding Equipment

## PART 2 - PRODUCTS

### 2.1 GROUNDING AND BONDING CONDUCTORS

- A. Equipment grounding conductors shall be insulated stranded copper, except that sizes No. 10 AWG and smaller shall be solid copper. Insulation color shall be continuous green for all equipment grounding conductors, except that wire sizes No. 4 AWG and larger shall be identified per NEC.
- B. Bonding conductors shall be bare stranded copper, except that sizes No. 10 AWG and smaller shall be bare solid copper. Bonding conductors shall be stranded for final connection to motors, transformers, and vibrating equipment.
- C. Conductor sizes shall not be less than shown on the drawings, or not less than required by the NEC, whichever is greater.

### 2.2 GROUND RODS

- A. Copper clad steel 19 mm (0.75 inch) diameter by 3 M (10 feet) long.
- B. Quantity of rods shall be as shown on the drawings, and as required to obtain the specified ground resistance.

### 2.3 CONCRETE ENCASED ELECTRODE

- A. Concrete encased electrode shall be No. 4 AWG bare copper wire, installed per NEC.



## 2.4 GROUND CONNECTIONS

- A. Below Grade and Inaccessible Locations: Exothermic-welded type connectors.
- B. Above Grade:
  - 1. Bonding Jumpers: Listed for use with aluminum and copper conductors. For wire sizes No. 8 AWG and larger, use compression-type connectors. For wire sizes smaller than No. 8 AWG, use mechanical type lugs. Connectors or lugs shall use zinc-plated steel bolts, nuts, and washers. Bolts shall be torqued to the values recommended by the manufacturer.
  - 2. Connection to Building Steel: Exothermic-welded type connectors.
  - 3. Connection to Grounding Bus Bars: Listed for use with aluminum and copper conductors. Use mechanical type lugs, with zinc-plated steel bolts, nuts, and washers. Bolts shall be torqued to the values recommended by the manufacturer.

## 2.5 GROUND TERMINAL BLOCKS

- A. At any equipment mounting location (e.g., backboards and hinged cover enclosures) where rack-type ground bars cannot be mounted, provide mechanical type lugs, with zinc-plated steel bolts, nuts, and washers. Bolts shall be torqued to the values recommended by the manufacturer.

## PART 3 - EXECUTION

### 3.1 GENERAL

- A. Install grounding equipment in accordance with the NEC, as shown on the drawings, and as specified herein.
- B. System Grounding:
  - 1. Secondary service neutrals: Ground at the supply side of the secondary disconnecting means and at the related transformer.
- C. Equipment Grounding: Metallic piping, building structural steel, electrical enclosures, raceways, junction boxes, outlet boxes, cabinets, machine frames, and other conductive items in close proximity with electrical circuits, shall be bonded and grounded.

### 3.2 INACCESSIBLE GROUNDING CONNECTIONS

- A. Make grounding connections, which are normally buried or otherwise inaccessible, by exothermic weld.

### 3.3 SECONDARY VOLTAGE EQUIPMENT AND CIRCUITS

- A. Main Bonding Jumper: Bond the secondary service neutral to the ground bus in the service equipment.





- B. Metallic Piping, Building Structural Steel, and Supplemental Electrode(s):
  - 1. Provide a grounding electrode conductor sized per NEC between the service equipment ground bus and all metallic water pipe systems, building structural steel, and supplemental or made electrodes. Provide jumpers across insulating joints in the metallic piping.
- C. Panelboards and other electrical equipment:
  - 1. Connect the equipment grounding conductors to the ground bus.
  - 2. Connect metallic conduits by grounding bushings and equipment grounding conductor to the equipment ground bus.

### 3.4 RACEWAY

- A. Conduit Systems:
  - 1. Ground all metallic conduit systems. All metallic conduit systems shall contain an equipment grounding conductor.
  - 2. Non-metallic conduit systems, except non-metallic feeder conduits that carry a grounded conductor from exterior transformers to interior or building-mounted service entrance equipment, shall contain an equipment grounding conductor.
  - 3. Metallic conduit that only contains a grounding conductor, and is provided for its mechanical protection, shall be bonded to that conductor at the entrance and exit from the conduit.
  - 4. Metallic conduits which terminate without mechanical connection to an electrical equipment housing by means of locknut and bushings or adapters, shall be provided with grounding bushings. Connect bushings with an equipment grounding conductor to the equipment ground bus.
- B. Feeders and Branch Circuits: Install equipment grounding conductors with all feeders, and power and lighting branch circuits.
- C. Boxes, Cabinets, Enclosures, and Panelboards:
  - 1. Bond the equipment grounding conductor to each pullbox, junction box, outlet box, device box, cabinets, and other enclosures through which the conductor passes (except for special grounding systems for intensive care units and other critical units shown).
  - 2. Provide lugs in each box and enclosure for equipment grounding conductor termination.
- D. Wireway Systems:
  - 1. Bond the metallic structures of wireway to provide electrical continuity throughout the wireway system, by connecting a No. 6 AWG bonding jumper at all intermediate metallic enclosures and across all section junctions.
  - 2. Install insulated No. 6 AWG bonding jumpers between the wireway system, bonded as required above, and the closest building ground at each end and approximately every 16 M (50 feet).
  - 3. Use insulated No. 6 AWG bonding jumpers to ground or bond metallic wireway at each end for all intermediate metallic enclosures and across all section junctions.
  - 4. Use insulated No. 6 AWG bonding jumpers to ground cable tray to column-mounted building ground plates (pads) at each end and approximately every 15 M (49 feet).



- E. Receptacles shall not be grounded through their mounting screws. Ground receptacles with a jumper from the receptacle green ground terminal to the device box ground screw and a jumper to the branch circuit equipment grounding conductor.
- F. Ground lighting fixtures to the equipment grounding conductor of the wiring system. Fixtures connected with flexible conduit shall have a green ground wire included with the power wires from the fixture through the flexible conduit to the first outlet box.
- G. Fixed electrical appliances and equipment shall be provided with a ground lug for termination of the equipment grounding conductor.

### 3.5 CORROSION INHIBITORS

- A. When making grounding and bonding connections, apply a corrosion inhibitor to all contact surfaces. Use corrosion inhibitor appropriate for protecting a connection between the metals used.

### 3.6 CONDUCTIVE PIPING

- A. Bond all conductive piping systems, interior and exterior, to the grounding electrode system. Bonding connections shall be made as close as practical to the equipment ground bus.

### 3.7 GROUND RESISTANCE

- A. Grounding system resistance to ground shall not exceed 5 ohms. Make any modifications or additions to the grounding electrode system necessary for compliance without additional cost to the Owner. Final tests shall ensure that this requirement is met.
- B. Grounding system resistance shall comply with the electric utility company ground resistance requirements.

### 3.8 GROUND ROD INSTALLATION

- A. For outdoor installations, drive each rod vertically in the earth, until top of rod is 610 mm (24 inches) below final grade.
- B. For indoor installations, leave 100 mm (4 inches) of each rod exposed.
- C. Where buried or permanently concealed ground connections are required, make the connections by the exothermic process, to form solid metal joints. Make accessible ground connections with mechanical pressure-type ground connectors.
- D. Where rock or impenetrable soil prevents the driving of vertical ground rods, install angled ground rods or grounding electrodes in horizontal trenches to achieve the specified ground resistance.



### 3.9 ACCEPTANCE CHECKS AND TESTS

- A. Resistance of the grounding electrode system shall be measured using a four-terminal fall-of-potential method as defined in IEEE 81. Ground resistance measurements shall be made before the electrical distribution system is energized or connected to the electric utility company ground system, and shall be made in normally dry conditions not fewer than 48 hours after the last rainfall.
- B. Resistance measurements of separate grounding electrode systems shall be made before the systems are bonded together. The combined resistance of separate systems may be used to meet the required resistance, but the specified number of electrodes must still be provided.

END OF SECTION



## SECTION 26 05 29

### HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

#### PART 1 - GENERAL

##### 1.01 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

##### 1.02 SUMMARY

- A. Section Includes:
  - 1. Hangers and supports for electrical equipment and systems.
  - 2. Construction requirements for concrete bases.

##### 1.03 SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for the following:
    - a. Hangers.
    - b. Steel slotted support systems.
    - c. Nonmetallic support systems.
    - d. Trapeze hangers.
    - e. Clamps.
    - f. Brackets.
  - 2. Include rated capacities and furnished specialties and accessories.
- B. Shop Drawings: For fabrication and installation details for electrical hangers and support systems.
  - 1. Trapeze hangers. Include product data for components.
  - 2. Vibration Isolation Base Details: Detail fabrication, including anchorages and attachments to structure and to supported equipment. Include adjustable motor bases, rails, and frames for equipment mounting.
- C. Delegated-Design Submittal: For hangers and supports for electrical systems.
  - 1. Include design calculations and details of trapeze hangers.
  - 2. Include design calculations for seismic restraints.
- D. Coordination Drawings: Reflected ceiling plan(s) and other details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
  - 1. Suspended ceiling components.
  - 2. Structural members to which hangers and supports will be attached.
  - 3. Size and location of initial access modules for acoustical tile.
  - 4. Items penetrating finished ceiling, including the following:
    - a. Lighting fixtures.
    - b. Air outlets and inlets.





- c. Speakers.
- d. Sprinklers.
- e. Access panels.
- f. Projectors.

E. Welding certificates.

## PART 2 - PRODUCTS

### 2.01 PERFORMANCE REQUIREMENTS

- A. Surface-Burning Characteristics: Comply with ASTM E 84; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.
  - 1. Flame Rating: Class 1.
  - 2. Self-extinguishing according to ASTM D 635.

### 2.02 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS

- A. Steel Slotted Support Systems: Comply with MFMA-4 factory-fabricated components for field assembly.
  - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
    - a. Allied Tube & Conduit; a part of Atkore International.
    - b. B-line, an Eaton business.
    - c. ERICO International Corporation.
    - d. Flex-Strut Inc.
    - e. GS Metals Corp.
    - f. G-Strut.
    - g. Haydon Corporation.
    - h. Metal Ties Innovation.
    - i. Thomas & Betts Corporation, A Member of the ABB Group.
    - j. Unistrut; Part of Atkore International.
    - k. Wesanco, Inc.
  - 2. Material: Galvanized steel.
  - 3. Channel Width: 1-5/8 inches.
  - 4. Metallic Coatings: Hot-dip galvanized after fabrication and applied according to MFMA-4.
  - 5. Protect finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.
  - 6. Channel Dimensions: Selected for applicable load criteria.
- B. Conduit and Cable Support Devices: Steel and malleable-iron hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.
- C. Support for Conductors in Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug or plugs for nonarmored electrical conductors or cables in riser conduits. Plugs shall have number, size, and shape of conductor gripping pieces as required to suit individual conductors or cables supported. Body shall be made of malleable iron.



- D. Structural Steel for Fabricated Supports and Restraints: ASTM A 36/A 36M steel plates, shapes, and bars; black and galvanized.
- E. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:
  - 1. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened Portland cement concrete, steel, or wood, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
    - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
      - 1) Hilti, Inc.
      - 2) ITW Ramset/Red Head; Illinois Tool Works, Inc.
      - 3) MKT Fastening, LLC.
      - 4) Simpson Strong-Tie Co., Inc.
  - 2. Mechanical-Expansion Anchors: Insert-wedge-type, zinc-coated steel, for use in hardened Portland cement concrete, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
    - a. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
      - 1) B-line, an Eaton business.
      - 2) Empire Tool and Manufacturing Co., Inc.
      - 3) Hilti, Inc.
      - 4) ITW Ramset/Red Head; Illinois Tool Works, Inc.
      - 5) MKT Fastening, LLC.
  - 3. Concrete Inserts: Steel or malleable-iron, slotted support system units are similar to MSS Type 18 units and comply with MFMA-4 or MSS SP-58.
  - 4. Clamps for Attachment to Steel Structural Elements: MSS SP-58 units are suitable for attached structural element.
  - 5. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM A 325.
  - 6. Toggle Bolts: All-steel springhead type.
  - 7. Hanger Rods: Threaded steel.

## 2.03 FABRICATED METAL EQUIPMENT SUPPORT ASSEMBLIES

- A. Description: Welded or bolted structural-steel shapes, shop or field fabricated to fit dimensions of supported equipment.

## PART 3 - EXECUTION

### 3.01 APPLICATION

- A. Comply with NECA 1 and NECA 101 for application of hangers and supports for electrical equipment and systems unless requirements in this Section are stricter.
- B. Comply with requirements for raceways and boxes specified in Section 26 05 33 "Raceways and Boxes for Electrical Systems."



- C. Maximum Support Spacing and Minimum Hanger Rod Size for Raceway: Space supports for EMTs, IMCs, and RMCs as required by NFPA 70. Minimum rod size shall be 1/4 inch in diameter.
- D. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slotted or other support system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.
  - 1. Secure raceways and cables to these supports with single-bolt conduit clamps or single-bolt conduit clamps using spring friction action for retention in support channel.
- E. Spring-steel clamps designed for supporting single conduits without bolts may be used for 1-1/2-inch and smaller raceways serving branch circuits and communication systems above suspended ceilings and for fastening raceways to trapeze supports.

### 3.02 SUPPORT INSTALLATION

- A. Comply with NECA 1 and NECA 101 for installation requirements except as specified in this article.
- B. Raceway Support Methods: In addition to methods described in NECA 1, EMTs, IMCs, and RMCs may be supported by openings through structure members, according to NFPA 70.
- C. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.
- D. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:
  - 1. To Wood: Fasten with lag screws or through bolts.
  - 2. To New Concrete: Bolt to concrete inserts.
  - 3. To Masonry: Approved toggle-type bolts on hollow masonry units and expansion anchor fasteners on solid masonry units.
  - 4. To Existing Concrete: Expansion anchor fasteners.
  - 5. Instead of expansion anchors, powder-actuated driven threaded studs provided with lock washers and nuts may be used in existing standard-weight concrete 4 inches thick or greater. Do not use for anchorage to lightweight-aggregate concrete or for slabs less than 4 inches thick.
  - 6. To Steel: Beam clamps (MSS SP-58, Type 19, 21, 23, 25, or 27), complying with MSS SP-69.
  - 7. To Light Steel: Sheet metal screws.
  - 8. Items Mounted on Hollow Walls and Nonstructural Building Surfaces: Mount cabinets, panelboards, disconnect switches, control enclosures, pull and junction boxes, transformers, and other devices on slotted-channel racks attached to substrate by means that comply with seismic-restraint strength and anchorage requirements.



- E. Drill holes for expansion anchors in concrete at locations and to depths that avoid the need for reinforcing bars.

### 3.03 PAINTING

- A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
  - 1. Apply paint by brush or spray to provide minimum dry film thickness of 2.0 mils.
- B. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

END OF SECTION



## SECTION 26 05 33

### RACEWAY AND BOXES FOR ELECTRICAL SYSTEMS

#### PART 1 - GENERAL

##### 1.1 DESCRIPTION

- A. This section specifies the furnishing, installation, and connection of conduit, fittings, and boxes, to form complete, coordinated, grounded raceway systems. Raceways are required for all wiring unless shown or specified otherwise.
- B. Definitions: The term conduit, as used in this specification, shall mean any or all of the raceway types specified.

##### 1.2 RELATED WORK

- A. Section 26 05 11, Requirements for Electrical Installations: General electrical requirements and items that are common to more than one section of Division 26.
- B. Section 26 05 26, Grounding and Bonding for Electrical Systems: Requirements for personnel safety and to provide a low impedance path for possible ground fault currents.
- C. Section 31 23 17, Trenching.
- D. Section 31 23 23, Backfill.

##### 1.3 QUALITY ASSURANCE

- A. Refer to Paragraph, Quality Assurance, in Section 26 05 11, Requirements for Electrical Installations.

##### 1.4 SUBMITTALS

- A. Submit six copies of the following in accordance with Section 26 05 11, Requirements for Electrical Installations.
  - 1. Shop Drawings:
    - a. Submit the following data for approval:
      - 1) Raceway types and sizes.
      - 2) Conduit bodies, connectors and fittings.
      - 3) Junction and pull boxes, types and sizes.
- B. See Section 26 05 11, Requirements for Electrical Installations, Article 1.12 Submittals for further requirements.



## 1.5 APPLICABLE PUBLICATIONS

- A. Publications listed below (including amendments, addenda, revisions, supplements, and errata) form a part of this specification to the extent referenced. Publications are referenced in the text by designation only.
- B. American National Standards Institute (ANSI):  
C80.1-05.....Electrical Rigid Steel Conduit  
C80.3-05.....Steel Electrical Metal Tubing  
C80.6-05.....Electrical Intermediate Metal Conduit
- C. National Fire Protection Association (NFPA):  
70-11 .....National Electrical Code (NEC)
- D. Underwriters Laboratories, Inc. (UL):  
1-05 .....Flexible Metal Conduit  
5-11 .....Surface Metal Raceway and Fittings  
6-07 .....Electrical Rigid Metal Conduit - Steel  
50-95 .....Enclosures for Electrical Equipment  
360-13 .....Liquid-Tight Flexible Steel Conduit  
467-13 .....Grounding and Bonding Equipment  
514A-13 .....Metallic Outlet Boxes  
514B-12.....Conduit, Tubing, and Cable Fittings  
514C-07.....Nonmetallic Outlet Boxes, Flush-Device Boxes and Covers  
651-11 .....Schedule 40 and 80 Rigid PVC Conduit and Fittings  
651A-11 .....Type EB and A Rigid PVC Conduit and HDPE Conduit  
797-07 .....Electrical Metallic Tubing  
1242-06 .....Electrical Intermediate Metal Conduit - Steel
- E. National Electrical Manufacturers Association (NEMA):  
TC-2-13 .....Electrical Polyvinyl Chloride (PVC) Tubing and Conduit  
TC-3-13 .....PVC Fittings for Use with Rigid PVC Conduit and Tubing  
FB1-12 .....Fittings, Cast Metal Boxes and Conduit Bodies for Conduit, Electrical Metallic Tubing and Cable  
FB2.10-13 .....Selection and Installation Guidelines for Fittings for use with Non-Flexible Conduit or Tubing (Rigid Metal Conduit, Intermediate Metallic Conduit, and Electrical Metallic Tubing)  
FB2.20-12 .....Selection and Installation Guidelines for Fittings for use with Flexible Electrical Conduit and Cable
- F. American Iron and Steel Institute (AISI):  
S100-2007 .....North American Specification for the Design of Cold-Formed Steel Structural Members



## PART 2 - PRODUCTS

### 2.1 MATERIAL

- A. Conduit Size: In accordance with the NEC, but not less than 13 mm (0.5-inch) unless otherwise shown. Where permitted by the NEC, 13 mm (0.5-inch) flexible conduit may be used for tap connections to recessed lighting fixtures.
- B. Conduit:
  - 1. Size: In accordance with the NEC, but not less than 13 mm (0.5-inch).
  - 2. Rigid Steel Conduit (RMC): Shall conform to UL 6 and ANSI C80.1.
  - 3. Rigid aluminum: Shall conform to UL 6A and ANSI C80.5.
  - 4. Rigid Intermediate Steel Conduit (IMC): Shall conform to UL 1242 and ANSI C80.6.
  - 5. Electrical Metallic Tubing (EMT): Shall conform to UL 797 and ANSI C80.3. Maximum size not to exceed 105 mm (4 inches) and shall be permitted only with cable rated 600 V or less.
  - 6. Flexible Metal Conduit: Shall conform to UL 1.
  - 7. Liquid-tight Flexible Metal Conduit: Shall conform to UL 360.
  - 8. Direct Burial Plastic Conduit: Shall conform to UL 651 and UL 651A, heavy wall PVC or high density polyethylene (PE).
  - 9. Surface Metal Raceway: Shall conform to UL 5.
- C. Conduit Fittings:
  - 1. Rigid Steel and Intermediate Metallic Conduit Fittings:
    - a. Fittings shall meet the requirements of UL 514B and NEMA FB1.
    - b. Standard threaded couplings, locknuts, bushings, conduit bodies, and elbows: Only steel or malleable iron materials are acceptable. Integral retractable type IMC couplings are also acceptable.
    - c. Locknuts: Bonding type with sharp edges for digging into the metal wall of an enclosure.
    - d. Bushings: Metallic insulating type, consisting of an insulating insert, molded or locked into the metallic body of the fitting. Bushings made entirely of metal or nonmetallic material are not permitted.
    - e. Erickson (Union-Type) and Set Screw Type Couplings: Approved for use in concrete are permitted for use to complete a conduit run where conduit is installed in concrete. Use set screws of case-hardened steel with hex head and cup point to firmly seat in conduit wall for positive ground. Tightening of set screws with pliers is prohibited.
    - f. Sealing Fittings: Threaded cast iron type. Use continuous drain-type sealing fittings to prevent passage of water vapor. In concealed work, install fittings in flush steel boxes with blank cover plates having the same finishes as that of other electrical plates in the room.
  - 2. Rigid Aluminum Conduit Fittings:
    - a. Standard threaded couplings, locknuts, bushings, conduit bodies, and elbows: Malleable iron, steel or aluminum alloy materials; Zinc or cadmium plate iron or steel fittings. Aluminum fittings containing more than 0.4% copper are prohibited.
    - b. Locknuts and Bushings: As specified for rigid steel and IMC conduit.
    - c. Set Screw Fittings: Not permitted for use with aluminum conduit.



3. Electrical Metallic Tubing Fittings:
    - a. Fittings and conduit bodies shall meet the requirements of UL 514B, ANSI C80.3, and NEMA FB1.
    - b. Only steel or malleable iron materials are acceptable.
    - c. Setscrew Couplings and Connectors: Use setscrews of case-hardened steel with hex head and cup point, to firmly seat in wall of conduit for positive grounding.
    - d. Indent-type connectors or couplings are prohibited.
    - e. Die-cast or pressure-cast zinc-alloy fittings or fittings made of "pot metal" are prohibited.
  4. Flexible Metal Conduit Fittings:
    - a. Conform to UL 514B. Only steel or malleable iron materials are acceptable.
    - b. Clamp-type, with insulated throat.
  5. Liquid-tight Flexible Metal Conduit Fittings:
    - a. Fittings shall meet the requirements of UL 514B and NEMA FB1.
    - b. Only steel or malleable iron materials are acceptable.
    - c. Fittings must incorporate a threaded grounding cone, a steel or plastic compression ring, and a gland for tightening. Connectors shall have insulated throats.
  6. Direct Burial Plastic Conduit Fittings: Fittings shall meet the requirements of UL 514C and NEMA TC3.
  7. Surface Metal Raceway Fittings: As recommended by the raceway manufacturer. Include couplings, offsets, elbows, expansion joints, adapters, hold-down straps, end caps, conduit entry fittings, accessories, and other fittings as required for complete system.
  8. Expansion and Deflection Couplings:
    - a. Conform to UL 467 and UL 514B.
    - b. Accommodate a 19 mm (0.75-inch) deflection, expansion, or contraction in any direction, and allow 30 degree angular deflections.
    - c. Include internal flexible metal braid, sized to guarantee conduit ground continuity and a low-impedance path for fault currents, in accordance with UL 467 and the NEC tables for equipment grounding conductors.
    - d. Jacket: Flexible, corrosion-resistant, watertight, moisture and heat-resistant molded rubber material with stainless steel jacket clamps.
- D. Conduit Supports:
1. Parts and Hardware: Zinc-coat or provide equivalent corrosion protection.
  2. Individual Conduit Hangers: Designed for the purpose, having a pre-assembled closure bolt and nut, and provisions for receiving a hanger rod.
  3. Multiple Conduit (Trapeze) Hangers: Not less than 38 mm x 38 mm (1.5 x 1.5 inches), 12-gauge steel, cold-formed, lipped channels; with not less than 9 mm (0.375-inch) diameter steel hanger rods.
  4. Solid Masonry and Concrete Anchors: Self-drilling expansion shields, or machine bolt expansion.
- E. Outlet, Junction, and Pull Boxes:
1. UL-50 and UL-514A.
  2. Rustproof cast metal where required by the NEC or shown on drawings.
  3. Sheet Metal Boxes: Galvanized steel, except where shown on drawings.





- F. Metal Wireways: Equip with hinged covers, except as shown on drawings. Include couplings, offsets, elbows, expansion joints, adapters, hold-down straps, end caps, and other fittings to match and mate with wireways as required for a complete system.

## PART 3 - EXECUTION

### 3.1 PENETRATIONS

- A. Cutting or Holes:
  - 1. Cut holes in advance where they should be placed in the structural elements, such as ribs or beams. Obtain the approval of the General Contractor prior to drilling through structural elements.
  - 2. Cut holes through concrete and masonry in new and existing structures with a diamond core drill or concrete saw. Pneumatic hammers, impact electric, hand, or manual hammer-type drills are not allowed.
- B. Firestop: Where conduits, wireways, and other electrical raceways pass through fire partitions, fire walls, smoke partitions, or floors, install a fire stop that provides an effective barrier against the spread of fire, smoke and gases with an approved firestop material.
- C. Waterproofing: At floor, exterior wall, and roof conduit penetrations, completely seal the gap around conduit to render it watertight.

### 3.2 INSTALLATION, GENERAL

- A. In accordance with UL, NEC, NEMA, as shown on drawings, and as specified herein.
- B. Raceway systems used for Essential Electrical Systems (EES) shall be entirely independent of other raceway systems.
- C. Install conduit as follows:
  - 1. In complete mechanically and electrically continuous runs before pulling in cables or wires.
  - 2. Unless otherwise indicated on the drawings or specified herein, installation of all conduits shall be concealed within finished walls, floors, and ceilings.
  - 3. Flattened, dented, or deformed conduit is not permitted. Remove and replace the damaged conduits with new conduits.
  - 4. Assure conduit installation does not encroach into the ceiling height head room, walkways, or doorways.
  - 5. Cut conduits square, ream, remove burrs, and draw up tight.
  - 6. Independently support conduit at 2.4 M (8 feet) on centers with specified materials and as shown on drawings.
  - 7. Do not use suspended ceilings, suspended ceiling supporting members, lighting fixtures, other conduits, cable tray, boxes, piping, or ducts to support conduits and conduit runs.
  - 8. Support within 300 mm (12 inches) of changes of direction, and within 300 mm (12 inches) of each enclosure to which connected.



9. Close ends of empty conduits with plugs or caps at the rough-in stage until wires are pulled in, to prevent entry of debris.
10. Conduit installations under fume and vent hoods are prohibited.
11. Secure conduits to cabinets, junction boxes, pull-boxes, and outlet boxes with bonding type locknuts. For rigid steel and IMC conduit installations, provide a locknut on the inside of the enclosure, made up wrench tight. Do not make conduit connections to junction box covers.
12. Conduit bodies shall only be used for changes in direction, and shall not contain splices.

D. Conduit Bends:

1. Make bends with standard conduit bending machines.
2. Conduit hickey may be used for slight offsets and for straightening stubbed out conduits.
3. Bending of conduits with a pipe tee or vise is prohibited.

E. Layout and Homeruns:

1. Install conduit with wiring, including homeruns, as shown on drawings.

### 3.3 CONCEALED WORK INSTALLATION

A. In Concrete:

1. Conduit: Rigid steel, IMC, or EMT. Do not install EMT in concrete slabs that are in contact with soil, gravel, or vapor barriers.
2. Align and run conduit in direct lines.
3. Installation of conduit in concrete that is less than 75 mm (3 inches) thick is prohibited.
  - a. Conduit outside diameter larger than one-third of the slab thickness is prohibited.
  - b. Space between conduits in slabs: Approximately six conduit diameters apart, and one conduit diameter at conduit crossings.
  - c. Install conduits approximately in the center of the slab so that there will be a minimum of 19 mm (0.75-inch) of concrete around the conduits.
4. Make couplings and connections watertight. Use thread compounds that are UL approved conductive type to ensure low resistance ground continuity through the conduits. Tightening setscrews with pliers is prohibited.

B. Above Furred or Suspended Ceilings and in Walls:

1. Conduit for Conductors 600 V and Below: Rigid steel, IMC, rigid aluminum, or EMT. Mixing different types of conduits in the same system is prohibited.
3. Align and run conduit parallel or perpendicular to the building lines.
4. Connect recessed lighting fixtures to conduit runs with maximum 1.8 M (6 feet) of flexible metal conduit extending from a junction box to the fixture.
5. Tightening set screws with pliers is prohibited.
6. For conduits running through metal studs, limit field cut holes to no more than 70% of web depth. Spacing between holes shall be at least 457 mm (18 inches). Cuts or notches in flanges or return lips shall not be permitted.



### 3.4 EXPOSED WORK INSTALLATION

- A. Conduit for Conductors 600 V and Below: Rigid steel, IMC, rigid aluminum, or EMT. Mixing different types of conduits in the system is prohibited.
- B. Align and run conduit parallel or perpendicular to the building lines.
- C. Install horizontal runs close to the ceiling or beams and secure with conduit straps.
- D. Support horizontal or vertical runs at not over 2.4 M (8 feet) intervals.
- E. Surface Metal Raceways: Use only where shown on drawings.
- F. Painting:
  - 1. Paint exposed conduit in Main Dining and Bar areas.

### 3.5 HAZARDOUS LOCATIONS

- A. Use rigid steel conduit only.
- B. Install UL approved sealing fittings that prevent passage of explosive vapors in hazardous areas equipped with explosion-proof lighting fixtures, switches, and receptacles, as required by the NEC.

### 3.6 WET OR DAMP LOCATIONS

- A. Use rigid steel or IMC conduits unless as shown on drawings.
- B. Provide sealing fittings to prevent passage of water vapor where conduits pass from warm to cold locations, i.e., refrigerated spaces, constant-temperature rooms, air-conditioned spaces, building exterior walls, roofs, or similar spaces.
- C. Use rigid steel or IMC conduit within 1.5 M (5 feet) of the exterior and below concrete building slabs in contact with soil, gravel, or vapor barriers, unless as shown on drawings. Conduit shall be half-lapped with 10 mil PVC tape before installation. After installation, completely recoat or retape any damaged areas of coating.
- D. Conduits run on roof shall be supported with integral galvanized lipped steel channel, attached to UV-inhibited polycarbonate or polypropylene blocks every 2.4 M (8 feet) with 9 mm (3/8-inch) galvanized threaded rods, square washer and locknut. Conduits shall be attached to steel channel with conduit clamps.

### 3.7 MOTORS AND VIBRATING EQUIPMENT

- A. Use flexible metal conduit for connections to motors and other electrical equipment subject to movement, vibration, misalignment, cramped quarters, or noise transmission.



- B. Use liquid-tight flexible metal conduit for installation in exterior locations, moisture or humidity laden atmosphere, corrosive atmosphere, water or spray wash-down operations, inside airstream of HVAC units, and locations subject to seepage or dripping of oil, grease, or water.
- C. Provide a green equipment grounding conductor with flexible and liquid-tight flexible metal conduit.

### 3.8 EXPANSION JOINTS

- A. Conduits 75 mm (3 inch) and larger that are secured to the building structure on opposite sides of a building expansion joint require expansion and deflection couplings. Install the couplings in accordance with the manufacturer's recommendations.
- B. Provide conduits smaller than 75 mm (3 inch) with junction boxes on both sides of the expansion joint. Connect flexible metal conduits to junction boxes with sufficient slack to produce a 125 mm (5 inch) vertical drop midway between the ends of the flexible metal conduit. Flexible metal conduit shall have a green insulated copper bonding jumper installed. In lieu of this flexible metal conduit, expansion and deflection couplings as specified above are acceptable.

### 3.9 CONDUIT SUPPORTS

- A. Safe working load shall not exceed one-quarter of proof test load of fastening devices.
- B. Use pipe straps or individual conduit hangers for supporting individual conduits.
- C. Support multiple conduit runs with trapeze hangers. Use trapeze hangers that are designed to support a load equal to or greater than the sum of the weights of the conduits, wires, hanger itself, and an additional 90 kg (200 lbs). Attach each conduit with U-bolts or other approved fasteners.
- D. Support conduit independently of junction boxes, pull-boxes, fixtures, suspended ceiling T-bars, angle supports, and similar items.
- E. Fasteners and Supports in Solid Masonry and Concrete:
  - 1. New Construction: Use steel or malleable iron concrete inserts set in place prior to placing the concrete.
- F. Hollow Masonry: Toggle bolts.
- G. Bolts supported only by plaster or gypsum wallboard are not acceptable.
- H. Metal Structures: Use machine screw fasteners or other devices specifically designed and approved for the application.
- I. Attachment by wood plugs, rawl plug, plastic, lead or soft metal anchors, or wood blocking and bolts supported only by plaster is prohibited.



- J. Chain, wire, or perforated strap shall not be used to support or fasten conduit.
- K. Spring steel type supports or fasteners are prohibited for all uses except horizontal and vertical supports/fasteners within walls.
- L. Vertical Supports: Vertical conduit runs shall have riser clamps and supports in accordance with the NEC and as shown. Provide supports for cable and wire with fittings that include internal wedges and retaining collars.

### 3.10 BOX INSTALLATION

- A. Boxes for Concealed Conduits:
  - 1. Flush-mounted.
  - 2. Provide raised covers for boxes to suit the wall or ceiling, construction, and finish.
- B. In addition to boxes shown, install additional boxes where needed to prevent damage to cables and wires during pulling-in operations or where more than the equivalent of (4)-90-degree bends are necessary.
- C. Locate pull boxes so that covers are accessible and easily removed. Coordinate locations with piping and ductwork where installed above ceilings.
- D. Remove only knockouts as required. Plug unused openings. Use threaded plugs for cast metal boxes and snap-in metal covers for sheet metal boxes.
- E. Outlet boxes mounted back-to-back in the same wall are prohibited. A minimum 600 mm (24 inch) center-to-center lateral spacing shall be maintained between boxes.
- F. Flush-mounted wall or ceiling boxes shall be installed with raised covers so that the front face of raised cover is flush with the wall. Surface-mounted wall or ceiling boxes shall be installed with surface-style flat or raised covers.
- F. Minimum size of outlet boxes for ground fault circuit interrupter (GFCI) receptacles is 100 mm (4 inches) square x 55 mm (2.125 inches) deep, with device covers for the wall material and thickness involved.
- H. On all branch circuit junction box covers, identify the circuits with black marker.

END OF SECTION



## SECTION 26 05 48

### SEISMIC CONTROLS FOR ELECTRICAL SYSTEMS

#### PART 1 - GENERAL

##### 1.01 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

##### 1.02 SUMMARY

- A. Section Includes:

- 1. Restraint channel bracings.
- 2. Restraint cables.
- 3. Seismic-restraint accessories.
- 4. Mechanical anchor bolts.
- 5. Adhesive anchor bolts.

- B. Intent

- 1. It is the intent of the seismic restraint portion of this specification to provide seismic restraints for non-structural building components. Restraint systems are intended to withstand the stipulated seismic accelerations applied through the component's center of gravity.
- 2. Each and every support attachment to the structure of equipment that meets the requirements of this specification must be positive.

- C. The work in this section includes the following:

- 1. Vibration isolation for equipment.
- 2. Seismic restraints for equipment.
- 3. Certification of seismic restraint designs and installation supervision.

- D. Definitions

- 1. The term EQUIPMENT will be used throughout this specification, and it includes ALL non-structural components within the facility and/or serving this facility, such as equipment located in outbuildings or outside of the main structure on the ground. Equipment buried underground are excluded but entry of services through the foundation walls are included. Equipment referred to above is a partial list of equipment for reference. (Equipment not listed is still included in this specification)
- 2. Life safety systems defined
  - a. All systems involved with fire protection.
  - b. All systems involved with and/or connected to emergency power supply.
- 3. Positive Attachment
  - a. Positive attachment is defined as a support location with a cast-in or wedge type expansion anchor, a double-sided beam clamp loaded perpendicular to a beam or a welded or through bolted connection to the structure.
- 4. Transverse Bracing
  - a. Restraint(s) applied to limit motion perpendicular or angular to the centerline of the conduit, cable tray or bus duct.



5. Longitudinal Bracing
  - a. Restraint(s) applied to limit motion along the centerline of conduit, cable tray or bus duct.

E. Related Requirements:

1. Section 26 05 29 "Hangers and Supports for Electrical Systems" for commonly used electrical supports and installation requirements.

1.03 SUBMITTALS

A. Product Data: For each type of product.

1. Illustrate and indicate style, material, strength, fastening provision, and finish for each type and size of seismic-restraint component used.
  - a. Tabulate types and sizes of seismic restraints, complete with report numbers and rated strength in tension and shear as evaluated by an agency acceptable to authorities having jurisdiction.
  - b. Annotate to indicate application of each product submitted and compliance with requirements.

B. Delegated-Design Submittal: For each seismic-restraint device.

1. Include design calculations and details for selecting seismic restraints complying with performance requirements, design criteria, and analysis data signed and sealed by the qualified professional engineer responsible for their preparation.
2. Design Calculations: Calculate static and dynamic loading caused by equipment weight, operation, and seismic and wind forces required to select seismic and wind restraints and for designing vibration isolation bases.
  - a. Coordinate design calculations with wind load calculations required for equipment mounted outdoors. Comply with requirements in other Sections for equipment mounted outdoors.
3. Seismic- and Wind- Restraint Details:
  - a. Design Analysis: To support selection and arrangement of seismic and wind restraints. Include calculations of combined tensile and shear loads.
  - b. Details: Indicate fabrication and arrangement. Detail attachments of restraints to the restrained items and to the structure. Show attachment locations, methods, and spacings. Identify components, list their strengths, and indicate directions and values of forces transmitted to the structure during seismic events. Indicate association with vibration isolation devices.
  - c. Coordinate seismic-restraint and vibration isolation details with wind-restraint details required for equipment mounted outdoors. Comply with requirements in other Sections for equipment mounted outdoors.
  - d. Preapproval and Evaluation Documentation: By an agency acceptable to authorities having jurisdiction, showing maximum ratings of restraint items and the basis for approval (tests or calculations).

C. Submittals

1. Provide catalog cuts or data sheets on specific vibration isolators and restraints to be utilized, detailing compliance with the specification. Reference "TYPE" as per "PRODUCTS" section of this specification.



2. Provide an itemized list of all isolated and non-isolated equipment including detailed schedules showing isolator and seismic restraints proposed for each piece of equipment, referencing material and seismic calculation drawing numbers.
- D. Shop Drawings
1. Show base or stand construction; include dimensions, structural member sizes and support point locations.
  2. Indicate isolation devices selected with complete dimensional and deflection data.
  3. When walls and slabs are used as seismic restraint locations, details of acceptable methods for conduit, cable tray and bus duct must be included.
  4. Provide specific details of seismic restraints and anchors; include number, size and locations for each piece of equipment.
  5. Coordinated or contract drawings shall be marked-up with the specific locations and types of restraints shown for conduit, cable tray and bus duct. Rod bracing requirements and assigned load at each and every restraint location shall be clearly delineated, "worst case" analyses are not acceptable. Any and all tributary loads shall be considered for proper restraint sizing.
  6. For ceiling suspended equipment, design restraints for a minimum installation angle of 30 degrees from vertical. Indicate maximum installation angle allowed for restraint system as well as braced and unbraced rod lengths at 30, 45, 60, 75 and 90 degrees from vertical, to determine when rod bracing is required.
- E. Seismic Certification and Analysis
1. Seismic restraint calculations must be provided for all connections of equipment to the structure. All performance of products (such as; strut, cable, anchors, clips, etc.) associated with restraints must be supported with manufacturer's data sheets or certified calculations.
  2. For equipment mounted outside of the building both the seismic acceleration and wind loads shall be calculated, the highest load shall be utilized for the design of the attachment of supports.
  3. Analyses must indicate calculated dead loads, derived loads and materials utilized for connections to equipment and structure and detail anchoring methods, bolt diameter, embedment and weld length.
  4. An in force, Errors and Omissions insurance certificate must accompany submittals. Manufacturer's product liability insurance certificates are NOT acceptable.
- F. Coordination Drawings: Show coordination of seismic bracing for electrical components with other systems and equipment in the vicinity, including other supports and seismic restraints.
- G. Qualification Data: For professional engineer and testing agency.
- H. Welding certificates.
- I. Field quality-control reports.





## 1.04 QUALITY ASSURANCE

- A. Testing Agency Qualifications: An independent agency, with the experience and capability to conduct the testing indicated, that is a nationally recognized testing laboratory as defined by OSHA in 29 CFR 1910.7 and that is acceptable to authorities having jurisdiction.
- B. Comply with seismic-restraint requirements in the IBC unless requirements in this Section are more stringent.
- C. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."
- D. Seismic-restraint devices shall have horizontal and vertical load testing and analysis. They shall bear anchorage preapproval from OSHPD in addition to preapproval, showing maximum seismic-restraint ratings, by ICC-ES or another agency acceptable to authorities having jurisdiction. Ratings based on independent testing are preferred to ratings based on calculations. If preapproved ratings are not available, submittals based on independent testing are preferred. Calculations (including combining shear and tensile loads) that support seismic-restraint designs must be signed and sealed by a qualified professional engineer.
- E. Comply with NFPA 70.

## 1.05 MANUFACTURER'S RESPONSIBILITY

- A. Manufacturer of vibration and seismic control equipment shall have the following responsibilities:
  - 1. Determine vibration isolation and seismic restraint sizes and locations.
  - 2. Provide vibration isolation and seismic restraints as specified.
  - 3. Provide installation instructions, drawings and field supervision to insure proper installation and performance of systems.

## PART 2 - PRODUCTS

### 2.01 PERFORMANCE REQUIREMENTS

- A. Wind-Restraint Loading:
  - 1. Basic Wind Speed: 100 mph.
  - 2. Building Classification Category: IV.
  - 3. Minimum 10 lb/sq. ft. multiplied by maximum area of HVAC component projected on vertical plane normal to wind direction and 45 degrees either side of normal.
- B. Seismic-Restraint Loading:
  - 1. Site Class, Seismic Use Group, Component Importance Factor as Defined in the IBC: Reference Structural Specifications.



## 2.02 RESTRAINT CHANNEL BRACINGS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. B-line, an Eaton business.
  - 2. Hilti, Inc.
  - 3. Mason Industries, Inc.
  - 4. Unistrut; Part of Atkore International.
- B. Description: MFMA-4, shop- or field-fabricated bracing assembly made of slotted steel channels with accessories for attachment to braced component at one end and to building structure at the other end, with other matching components, and with corrosion-resistant coating; rated in tension, compression, and torsion forces.

## 2.03 RESTRAINT CABLES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Kinetics Noise Control, Inc.
  - 2. Loos & Co., Inc.
  - 3. Vibration Mountings & Controls, Inc.
- B. Restraint Cables: ASTM A 603 galvanized or ASTM A 492 stainless-steel cables. End connections made of steel assemblies with thimbles, brackets, swivel, and bolts designed for restraining cable service; with a minimum of two clamping bolts for cable engagement.

## 2.04 SEISMIC-RESTRAINT ACCESSORIES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. B-line, an Eaton business.
  - 2. Kinetics Noise Control, Inc.
  - 3. Mason Industries, Inc.
  - 4. TOLCO; a brand of NIBCO INC.
- B. Hanger-Rod Stiffener: Reinforcing steel angle clamped to hanger rod.
- C. Hinged and Swivel Brace Attachments: Multifunctional steel connectors for attaching hangers to rigid channel bracings and restraint cables.
- D. Bushings for Floor-Mounted Equipment Anchor Bolts: Neoprene bushings designed for rigid equipment mountings and matched to type and size of anchor bolts and studs.
- E. Bushing Assemblies for Wall-Mounted Equipment Anchorage: Assemblies of neoprene elements and steel sleeves designed for rigid equipment mountings and matched to type and size of attachment devices used.
- F. Resilient Isolation Washers and Bushings: One-piece, molded, oil- and water-resistant neoprene, with a flat washer face.



## 2.05 MECHANICAL ANCHOR BOLTS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. B-line, an Eaton business.
  - 2. Hilti, Inc.
  - 3. Kinetics Noise Control, Inc.
  - 4. Mason Industries, Inc.
- B. Mechanical Anchor Bolts: Drilled-in and stud-wedge or female-wedge type in zinc-coated steel for interior applications and stainless steel for exterior applications. Select anchor bolts with strength required for anchor and as tested according to ASTM E 488.

## 2.06 ADHESIVE ANCHOR BOLTS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Hilti, Inc.
  - 2. Kinetics Noise Control, Inc.
  - 3. Mason Industries, Inc.
- B. Adhesive Anchor Bolts: Drilled-in and capsule anchor system containing PVC or urethane methacrylate-based resin and accelerator, or injected polymer or hybrid mortar adhesive. Provide anchor bolts and hardware with zinc-coated steel for interior applications and stainless steel for exterior applications. Select anchor bolts with strength required for anchor and as tested according to ASTM E 488.

## PART 3 - EXECUTION

### 3.01 EXAMINATION

- A. Examine areas and equipment to receive vibration isolation and seismic-control devices for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.
- B. Examine roughing-in for reinforcement and cast-in-place anchors to verify actual locations before installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.02 APPLICATIONS

- A. Multiple Raceways or Cables: Secure raceways and cables to trapeze member with clamps approved for application by an agency acceptable to authorities having jurisdiction.
- B. Hanger-Rod Stiffeners: Install hanger-rod stiffeners where indicated or scheduled on Drawings to receive them and where required to prevent buckling of hanger rods caused by seismic forces.



- C. Strength of Support and Seismic-Restraint Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static and seismic loads within specified loading limits.

### 3.03 SEISMIC-RESTRAINT DEVICE INSTALLATION

- A. Floor or roof mounted equipment shall be snubbed, anchored, bolted or welded to the structure. Calculations that determine that isolated equipment movement may be less than the operating clearance of snubbers (restraints) do not preclude the need for snubbers. Equipment must be positively attached to the structure.
- B. Suspended equipment such as transformers shall be two or four point independently braced with TYPE II restraints. Install cable braces taught for non-isolated equipment and slack with 1/2" cable deflection for isolated equipment. Rod bracing shall be installed as per approved submittals and shop drawings.
- C. Horizontally suspended conduit, cable trays and bus duct shall use RESTRAINT TYPE II. Maximum spacing of seismic bracing shall be as per TABLE A at the end of this section.
- D. For overhead supported equipment, over stress of the building structure must not occur. Bracing may occur from; flanges of structural beams, upper truss chords in bar joists or cast in place inserts or drilled and shielded inserts in concrete structures.
  - 1. Any individual calculated seismic load placed on the building structure (other than concrete slabs) that is greater than 2,000# must be reviewed by the project Structural Engineer for approval.
- E. Conduit Risers
  - 1. Where conduits pass through cored holes, holes must be packed with resilient material or fire stop as specified in other sections of this specification and/or state and local codes. No additional horizontal seismic bracing is required at these locations.
  - 2. Conduit risers through cored holes require a riser clamp at each floor level on top of the slab attached in a seismically approved manner for vertical restraint.
  - 3. Conduit risers in shafts require structural steel attached in a seismically approved manner at each floor level and a riser clamp at each floor level on top of, and fastened to the structural steel. The riser clamp and structural steel must be capable of withstanding all static and seismic loads.
- F. Fixtures such as panel lights shall be attached to lay-in ceilings with a minimum of four Teks screws or other means of positive attachment to the T- bar ceiling structure.
- G. Where base anchoring of equipment is insufficient to resist seismic forces, restraint TYPE II shall be located above the unit's center of gravity to suitably resist "g" forces specified.
- H. Non-isolated floor mounted equipment shall use RESTRAINT TYPE I or III.
- I. Coordinate the location of embedded connection hardware with supported equipment attachment and mounting points and with requirements for concrete reinforcement and formwork.



- J. Equipment and Hanger Restraints:
  - 1. Install resilient, bolt-isolation washers on equipment anchor bolts where clearance between anchor and adjacent surface exceeds 0.125 inch.
  - 2. Install seismic-restraint devices using methods approved by an agency acceptable to authorities having jurisdiction providing required submittals for component.
- K. Install cables so they do not bend across edges of adjacent equipment or building structure.
- L. Install bushing assemblies for mounting bolts for wall-mounted equipment, arranged to provide resilient media where equipment or equipment-mounting channels are attached to wall.
- M. Attachment to Structure: If specific attachment is not indicated, anchor bracing to structure at flanges of beams, at upper truss chords of bar joists, or at concrete members.
- N. Drilled-in Anchors:
  - 1. Identify position of reinforcing steel and other embedded items prior to drilling holes for anchors. Do not damage existing reinforcing or embedded items during coring or drilling. Notify the structural engineer if reinforcing steel or other embedded items are encountered during drilling. Locate and avoid prestressed tendons, electrical and telecommunications conduit, and gas lines.
  - 2. Do not drill holes in concrete or masonry until concrete, mortar, or grout has achieved full design strength.
  - 3. Wedge Anchors: Protect threads from damage during anchor installation. Heavy-duty sleeve anchors shall be installed with sleeve fully engaged in the structural element to which anchor is to be fastened.
  - 4. Adhesive Anchors: Clean holes to remove loose material and drilling dust prior to installation of adhesive. Place adhesive in holes proceeding from the bottom of the hole and progressing toward the surface in such a manner as to avoid introduction of air pockets in the adhesive.
  - 5. Set anchors to manufacturer's recommended torque using a torque wrench.
  - 6. Install zinc-coated steel anchors for interior and stainless-steel anchors for exterior applications.

### 3.04 ACCOMMODATION OF DIFFERENTIAL SEISMIC MOTION

- A. Install flexible connections in runs of raceways, cables, wireways, cable trays, and busways where they cross seismic joints, where adjacent sections or branches are supported by different structural elements, and where connection is terminated to equipment that is anchored to a different structural element from the one supporting them as they approach equipment.

### 3.05 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections:
  - 1. Provide evidence of recent calibration of test equipment by a testing agency acceptable to authorities having jurisdiction.



2. Schedule test with Owner, through Architect, before connecting anchorage device to restrained component (unless postconnection testing has been approved), and with at least seven days' advance notice.
3. Obtain Architect's approval before transmitting test loads to structure. Provide temporary load-spreading members.
4. Test at least four of each type and size of installed anchors and fasteners selected by Architect.
5. Test to 90 percent of rated proof load of device.

B. Seismic controls will be considered defective if they do not pass tests and inspections.

C. Prepare test and inspection reports.

### 3.06 ADJUSTING

- A. Adjust restraints to permit free movement of equipment within normal mode of operation.

### 3.07 INSPECTION

- A. If in the opinion of the project engineer the seismic restraint installation does not meet with the project requirements, an outside consultant will be retained to inspect, verify and submit corrective measures to be taken. The consultant's fees and all work associated with such a review shall be borne by the contractor.

TABLE A SEISMIC BRACING TABLE		
EQUIPMENT	TRANSVERSE	LONGITUDINAL
CONDUIT	40 Feet	80 Feet
BUS DUCT	30 Feet	60 Feet
CABLE TRAY	40 Feet	80 Feet

END OF SECTION



## SECTION 26 05 53

### IDENTIFICATION FOR ELECTRICAL SYSTEMS

#### PART 1 - GENERAL

##### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

##### 1.2 SUMMARY

- A. Section Includes:
  - 1. Identification for raceways.
  - 2. Identification of power and control cables.
  - 3. Identification for conductors.
  - 4. Underground-line warning tape.
  - 5. Warning labels and signs.
  - 6. Instruction signs.
  - 7. Equipment identification labels, including arc-flash warning labels.
  - 8. Miscellaneous identification products.

##### 1.3 SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for electrical identification products.
- B. Samples: For each type of label and sign to illustrate composition, size, colors, lettering style, mounting provisions, and graphic features of identification products.
- C. Identification Schedule: For each piece of electrical equipment and electrical system components to be an index of nomenclature for electrical equipment and system components used in identification signs and labels. Use same designations indicated on Drawings.
- D. Delegated-Design Submittal: For arc-flash hazard study.

#### PART 2 - PRODUCTS

##### 2.1 PERFORMANCE REQUIREMENTS

- A. Comply with ASME A13.1 and IEEE C2.
- B. Comply with NFPA 70.
- C. Comply with 29 CFR 1910.144 and 29 CFR 1910.145.
- D. Comply with ANSI Z535.4 for safety signs and labels.



- E. Adhesive-attached labeling materials, including label stocks, laminating adhesives, and inks used by label printers, shall comply with UL 969.
- F. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes.
  - 1. Temperature Change: 120 deg F, ambient; 180 deg F, material surfaces.

## 2.2 COLOR AND LEGEND REQUIREMENTS

- A. Raceways and Cables Carrying Circuits at 600 V or Less:
  - 1. Provide per legend on the construction drawings.
- B. Raceways and Cables Carrying Circuits at More Than 600 V:
  - 1. Black letters on an orange field.
  - 2. Legend: "DANGER - CONCEALED HIGH VOLTAGE WIRING."
- C. Warning labels and signs shall include, but are not limited to, the following legends:
  - 1. Multiple Power Source Warning: "DANGER - ELECTRICAL SHOCK HAZARD - EQUIPMENT HAS MULTIPLE POWER SOURCES."
  - 2. Workspace Clearance Warning: "WARNING - OSHA REGULATION - AREA IN FRONT OF ELECTRICAL EQUIPMENT MUST BE KEPT CLEAR FOR 36 INCHES."

## 2.3 LABELS

- A. Vinyl Labels for Raceways Carrying Circuits at 600 V or Less: Preprinted, flexible labels laminated with a clear, weather- and chemical-resistant coating and matching wraparound clear adhesive tape for securing label ends.
- B. Self-Adhesive Labels:
  - 1. Preprinted, 3-mil-thick, polyester flexible label with acrylic pressure-sensitive adhesive.
    - a. Self-Lamination: Clear; UV-, weather- and chemical-resistant; self-laminating, protective shield over the legend. Labels sized to fit the cable and/or raceway diameter, such that the clear shield overlaps the entire printed legend.
  - 2. Polyester, thermal, transfer-printed, 3-mil-thick, multicolor, weather- and UV-resistant, pressure-sensitive adhesive labels, configured for display on front cover, door, or other access to equipment unless otherwise indicated.
    - a. Nominal Size: 3.5-by-5-inch.
  - 3. Marker for Tags: Permanent, waterproof, black ink marker recommended by tag manufacturer.
  - 4. Marker for Tags: Machine-printed, permanent, waterproof, black ink recommended by printer manufacturer.

## 2.4 TAPES AND STENCILS

- A. Marker Tapes: Vinyl or vinyl-cloth, self-adhesive wraparound type, with circuit identification legend machine printed by thermal transfer or equivalent process.





- B. Self-Adhesive Vinyl Tape: Colored, heavy duty, waterproof, fade resistant; not less than 3 mils thick by 1 to 2 inches wide; compounded for outdoor use.
- C. Tape and Stencil for Raceways Carrying Circuits 600 V or Less: 4-inch-wide black stripes on 10-inch centers placed diagonally over orange background that extends full length of raceway or duct and is 12 inches wide. Stop stripes at legends.
- D. Floor Marking Tape: 2-inch-wide, 5-mil pressure-sensitive vinyl tape, with yellow and black stripes and clear vinyl overlay.
- E. Underground-Line Warning Tape
  - 1. Tape:
    - a. Recommended by manufacturer for the method of installation and suitable to identify and locate underground electrical and communications utility lines.
    - b. Printing on tape shall be permanent and shall not be damaged by burial operations.
    - c. Tape material and ink shall be chemically inert and not subject to degradation when exposed to acids, alkalis, and other destructive substances commonly found in soils.
  - 2. Color and Printing:
    - a. Comply with ANSI Z535.1, ANSI Z535.2, ANSI Z535.3, ANSI Z535.4, and ANSI Z535.5.
    - b. Inscriptions for Red-Colored Tapes: "ELECTRIC LINE, HIGH VOLTAGE".
    - c. Inscriptions for Orange-Colored Tapes: "TELEPHONE CABLE, CATV CABLE, COMMUNICATIONS CABLE, OPTICAL FIBER CABLE".
  - 3. Underground Marking Tape:
    - a. Detectable three-layer laminate, consisting of a printed pigmented polyolefin film, a solid aluminum-foil core, and a clear protective film that allows inspection of the continuity of the conductive core; bright colored, continuous-printed on one side with the inscription of the utility, compounded for direct-burial service.
    - b. Width: 3 inches.
    - c. Overall Thickness: 5 mils.
    - d. Foil Core Thickness: 0.35 mil.
    - e. Weight: 28 lb/1000 sq. ft..
    - f. Tensile according to ASTM D 882: 70 lbf and 4600 psi.
- F. Stenciled Legend: In nonfading, waterproof, black ink or paint. Minimum letter height shall be 1 inch.

## 2.5 TAGS

- A. Nonmetallic Preprinted Tags: Polyethylene tags, 0.023 inch thick, color-coded for phase and voltage level, with factory printed permanent designations; punched for use with self-locking cable tie fastener.



## 2.6 SIGNS

- A. Baked-Enamel Signs:
  - 1. Preprinted aluminum signs, punched or drilled for fasteners, with colors, legend, and size required for application.
  - 2. 1/4-inch grommets in corners for mounting.
  - 3. Nominal Size: 7 by 10 inches.
- B. Laminated Acrylic or Melamine Plastic Signs:
  - 1. Engraved legend.
  - 2. Thickness:
    - a. For signs up to 20 sq. inches, minimum 1/16-inch-.
    - b. For signs larger than 20 sq. inches, 1/8 inch thick.
    - c. Engraved legend with black letters on white face.
    - d. Punched or drilled for mechanical fasteners.
    - e. Framed with mitered acrylic molding and arranged for attachment at applicable equipment.

## 2.7 CABLE TIES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Ideal Industries, Inc.
  - 2. Marking Services, Inc.
  - 3. Panduit Corporation.
- B. General-Purpose Cable Ties: Fungus inert, self-extinguishing, one piece, self-locking, Type 6/6 nylon.
  - 1. Minimum Width: 3/16 inch.
  - 2. Tensile Strength at 73 deg F according to ASTM D 638: 12,000 psi.
  - 3. Temperature Range: Minus 40 to plus 185 deg F.
  - 4. Color: Black, except where used for color-coding.
- C. UV-Stabilized Cable Ties: Fungus inert, designed for continuous exposure to exterior sunlight, self-extinguishing, one piece, self-locking, Type 6/6 nylon.
  - 1. Minimum Width: 3/16 inch.
  - 2. Tensile Strength at 73 deg F according to ASTM D 638: 12,000 psi.
  - 3. Temperature Range: Minus 40 to plus 185 deg F.
  - 4. Color: Black.

## 2.8 MISCELLANEOUS IDENTIFICATION PRODUCTS

- A. Paint: Comply with requirements in painting Sections for paint materials and application requirements. Retain paint system applicable for surface material and location (exterior or interior).
- B. Fasteners for Labels and Signs: Self-tapping, stainless-steel screws or stainless-steel machine screws with nuts and flat and lock washers.



## PART 3 - EXECUTION

### 3.1 PREPARATION

- A. Self-Adhesive Identification Products: Before applying electrical identification products, clean substrates of substances that could impair bond, using materials and methods recommended by manufacturer of identification product.

### 3.2 INSTALLATION

- A. Verify and coordinate identification names, abbreviations, colors, and other features with requirements in other Sections requiring identification applications, Drawings, Shop Drawings, manufacturer's wiring diagrams, and operation and maintenance manual. Use consistent designations throughout Project.
- B. Install identifying devices before installing acoustical ceilings and similar concealment.
- C. Verify identity of each item before installing identification products.
- D. Install identification materials and devices at locations for most convenient viewing without interference with operation and maintenance of equipment. Install access doors or panels to provide view of identifying devices.
- E. Apply identification devices to surfaces that require finish after completing finish work.
- F. Attach signs and plastic labels that are not self-adhesive type with mechanical fasteners appropriate to the location and substrate.
- G. Attach plastic raceway and cable labels that are not self-adhesive type with clear vinyl tape, with adhesive appropriate to the location and substrate.
- H. Cable Ties: For attaching tags. Use general-purpose type, except as listed below:
  - 1. Outdoors: UV-stabilized nylon.
- I. Painted Identification: Comply with requirements in painting Sections for surface preparation and paint application.
- J. Aluminum Wraparound Marker Labels and Metal Tags: Secure tight to surface of conductor or cable at a location with high visibility and accessibility.
- K. System Identification Color-Coding Bands for Raceways and Cables: Each color-coding band shall completely encircle cable or conduit. Place adjacent bands of two-color markings in contact, side by side. Locate bands at changes in direction, at penetrations of walls and floors, at 50-foot maximum intervals in straight runs, and at 25-foot maximum intervals in congested areas.
- L. During backfilling of trenches, install continuous underground-line warning tape directly above cable or raceway at 6 to 8 inches below finished grade. Use multiple tapes where width of multiple lines installed in a common trench or concrete envelope exceeds 16 inches overall.



### 3.3 IDENTIFICATION SCHEDULE

- A. Accessible Raceways, Armored and Metal-Clad Cables, More Than 600 V: Self-adhesive vinyl labels. Install labels at 30-foot maximum intervals.
- B. Accessible Raceways and Metal-Clad Cables, 600 V or Less, for Service, Feeder, and Branch Circuits, More Than 30 A and 120 V to Ground: Identify with self-adhesive vinyl tape applied in bands. Install labels at 30-foot maximum intervals.
- C. Power-Circuit Conductor Identification, 600 V or Less: For conductors in vaults, pull and junction boxes, manholes, and handholes, use color-coding conductor tape to identify the phase.
  - 1. Color-Coding for Phase- and Voltage-Level Identification, 600 V or Less: Use colors listed below for ungrounded service, feeder, and branch-circuit conductors.
    - a. Color shall be factory applied or field applied for sizes larger than No. 8 AWG if authorities having jurisdiction permit.
    - b. Colors for 240/120-V Circuits:
      - 1) Phase A: Black.
      - 2) Phase B: Red.
    - c. Field-Applied, Color-Coding Conductor Tape: Apply in half-lapped turns for a minimum distance of 6 inches from terminal points and in boxes where splices or taps are made. Apply last two turns of tape with no tension to prevent possible unwinding. Locate bands to avoid obscuring factory cable markings.
- D. Power-Circuit Conductor Identification, More Than 600 V: For conductors in vaults, pull and junction boxes, manholes, and handholes, use nonmetallic preprinted tags colored and marked to indicate phase, and a separate tag with the circuit designation.
- E. Install instructional sign, including the color code for grounded and ungrounded conductors using adhesive-film-type labels.
- F. Control-Circuit Conductor Identification: For conductors and cables in pull and junction boxes, manholes, and handholes, use self-adhesive vinyl labels with the conductor or cable designation, origin, and destination.
- G. Control-Circuit Conductor Termination Identification: For identification at terminations, provide self-adhesive vinyl labels with the conductor designation.
- H. Conductors To Be Extended in the Future: Attach write-on-tags to conductors and list source.
- I. Auxiliary Electrical Systems Conductor Identification: Identify field-installed alarm, control, and signal connections.
  - 1. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, and pull points. Identify by system and circuit designation.
  - 2. Use system of marker-tape designations that is uniform and consistent with system used by manufacturer for factory-installed connections.
  - 3. Coordinate identification with Project Drawings, manufacturer's wiring diagrams, and operation and maintenance manual.



- J. Locations of Underground Lines: Identify with underground-line warning tape for power, lighting, communication, and control wiring and optical-fiber cable.
  - 1. Limit use of underground-line warning tape to direct-buried cables.
  - 2. Install underground-line warning tape for direct-buried cables and cables in raceways.
- K. Workspace Indication: Install floor marking tape to show working clearances in the direction of access to live parts. Workspace shall comply with NFPA 70 and 29 CFR 1926.403 unless otherwise indicated. Do not install at flush-mounted panelboards and similar equipment in finished spaces.
- L. Warning Labels for Indoor Cabinets, Boxes, and Enclosures for Power and Lighting: Baked-enamel warning signs.
  - 1. Comply with 29 CFR 1910.145.
  - 2. Identify system voltage with black letters on an orange background.
  - 3. Apply to exterior of door, cover, or other access.
  - 4. For equipment with multiple power or control sources, apply to door or cover of equipment, including, but not limited to, the following:
    - a. Power-transfer switches.
    - b. Controls with external control power connections.
- M. Arc Flash Warning Labeling: Self-adhesive thermal transfer vinyl labels.
  - 1. Comply with NFPA 70E and ANSI Z535.4.
- N. Operating Instruction Signs: Install instruction signs to facilitate proper operation and maintenance of electrical systems and items to which they connect. Install instruction signs with approved legend where instructions are needed for system or equipment operation.
- O. Emergency Operating Instruction Signs: Install instruction signs with white legend on a red background with minimum 3/8-inch-high letters for emergency instructions at equipment used for power transfer and load shedding.
- P. Equipment Identification Labels: On each unit of equipment, install unique designation label that is consistent with wiring diagrams, schedules, and operation and maintenance manual. Apply labels to disconnect switches and protection equipment, central or master units, control panels, control stations, terminal cabinets, and racks of each system. Systems include power, lighting, control, communication, signal, monitoring, and alarm unless equipment is provided with its own identification.
  - 1. Labeling Instructions:
    - a. Indoor Equipment: Self-adhesive label. Unless otherwise indicated, provide a single line of text with 1/2-inch-high letters on 1-1/2-inch-high label; where two lines of text are required, use labels 2 inches high.
    - b. Outdoor Equipment: Engraved, laminated acrylic or melamine label.
    - c. Elevated Components: Increase sizes of labels and letters to those appropriate for viewing from the floor.
    - d. Unless labels are provided with self-adhesive means of attachment, fasten them with appropriate mechanical fasteners that do not change the NEMA or NRTL rating of the enclosure.



2. Equipment To Be Labeled:
  - a. Panelboards: Typewritten directory of circuits in the location provided by panelboard manufacturer. Panelboard identification shall be in the form of a self-adhesive, engraved, laminated acrylic or melamine label.
  - b. Enclosures and electrical cabinets.
  - c. Access doors and panels for concealed electrical items.
  - d. Transformers: Label that includes tag designation shown on Drawings for the transformer, feeder, and panelboards or equipment supplied by the secondary.
  - e. Substations.
  - f. Emergency system boxes and enclosures.
  - g. Enclosed switches.
  - h. Enclosed circuit breakers.
  - i. Enclosed controllers.
  - j. Variable-speed controllers.
  - k. Push-button stations.
  - l. Contactors.
  - m. Remote-controlled switches, dimmer modules, and control devices.
  - n. Monitoring and control equipment.

END OF SECTION



## SECTION 26 24 16

### PANELBOARDS

#### PART 1 - GENERAL

##### 1.1 DESCRIPTION

- A. This section specifies the connection of panelboards.

##### 1.2 RELATED WORK

- A. Section 26 05 11, Requirements for Electrical Installations: Requirements that apply to all sections of Division 26.
- B. Section 26 05 19, Low-Voltage Electrical Power Conductors and Cables: Low-voltage conductors.
- C. Section 26 05 26, Grounding and Bonding for Electrical Systems: Requirements for personnel safety and to provide a low impedance path for possible ground fault currents.
- D. Section 26 05 33, Raceway and Boxes for Electrical Systems: Conduits.

##### 1.3 SUBMITTALS

- A. Submit six copies of the following in accordance with Section 26 05 11, Requirements for Electrical Installations.
  - 1. Shop Drawings:
    - a. Submit sufficient information to demonstrate compliance with drawings and specifications.
    - b. Include electrical ratings, dimensions, mounting details, materials, required clearances, terminations, weight, circuit breakers, wiring and connection diagrams, accessories, and nameplate data.
- B. See Section 26 05 11, Requirements for Electrical Installations, Article 1.12 Submittals for further requirements.
- C. See Section 26 05 11, Requirements for Electrical Installations, Article 1.4 Quality Assurance for further requirements.

##### 1.4 APPLICABLE PUBLICATIONS

- A. Publications listed below (including amendments, addenda, revisions, supplements, and errata) form a part of this specification to the extent referenced. Publications are referenced in the text by designation only.



- B. International Code Council (ICC):  
IBC-12.....International Building Code
- C. National Electrical Manufacturers Association (NEMA):  
PB 1-11 .....Panelboards  
250-08 .....Enclosures for Electrical Equipment (1,000V Maximum)
- D. National Fire Protection Association (NFPA):  
70-11 .....National Electrical Code (NEC)  
70E-12.....Standard for Electrical Safety in the Workplace
- E. Underwriters Laboratories, Inc. (UL):  
50-95 .....Enclosures for Electrical Equipment  
67-09 .....Panelboards  
489-09 .....Molded Case Circuit Breakers and Circuit Breaker Enclosures

## PART 2 - PRODUCTS

### 2.1 MOLDED CASE CIRCUIT BREAKERS

- A. Circuit breakers shall be per UL, NEC, as shown on the drawings, and as specified.
- B. Circuit breakers shall be bolt-on type.
- C. Circuit breakers shall have minimum interrupting rating as required to withstand the available fault current, but not less than:
  - 1. 120/240 V Panelboard: 22,000 A symmetrical.
  - 2. Series rating of breakers is not allowed. System shall be Fully Rated.
- D. Circuit breakers shall have automatic, trip free, non-adjustable, inverse time, and instantaneous magnetic trips for less than 400 A frame. Circuit breakers with 400 A frames and above shall have magnetic trip, adjustable from 5x to 10x. Breaker magnetic trip setting shall be set to maximum, unless otherwise noted.
- E. Circuit breaker features shall be as follows:
  - 1. A rugged, integral housing of molded insulating material.
  - 2. Silver alloy contacts.
  - 3. Arc quenchers and phase barriers for each pole.
  - 4. Quick-make, quick-break, operating mechanisms.
  - 5. A trip element for each pole, thermal magnetic type with long time delay and instantaneous characteristics, a common trip bar for all poles and a single operator.
  - 6. Electrically and mechanically trip free.
  - 7. An operating handle which indicates closed, tripped, and open positions.
  - 8. An overload on one pole of a multi-pole breaker shall automatically cause all the poles of the breaker to open.





## PART 3 - EXECUTION

### 3.1 INSTALLATION

- A. Installation shall be in accordance with the manufacturer's instructions, the NEC, as shown on the drawings, and as specified.
- B. Install a printed schedule of circuits in each panelboard. Schedules shall reflect final load descriptions, room numbers, and room names connected to each circuit breaker. Schedules shall be printed on the panelboard directory cards and be installed in the appropriate panelboards.
- C. Provide blank cover for each unused circuit breaker mounting space.
- D. Panelboard enclosures shall not be used for conductors feeding through, spliced, or tapping off to other enclosures or devices.

### 3.2 ACCEPTANCE CHECKS AND TESTS

- A. Perform in accordance with the manufacturer's recommendations. In addition, include the following:
  - 1. Visual Inspection and Tests:
    - a. Compare equipment nameplate data with specifications and approved shop drawings.
    - b. Inspect physical, electrical, and mechanical condition.
    - c. Verify appropriate anchorage and required area clearances.
    - d. Verify that circuit breaker sizes and types correspond to approved shop drawings.

END OF SECTION



## SECTION 26 32 13

### DIESEL-ENGINE-DRIVEN GENERATOR SETS

#### PART 1 - GENERAL

##### 1.1 SUMMARY

- A. Section Includes:
  - 1. Engine-generator assembly.
  - 2. Diesel engine.
  - 3. Diesel fuel-oil system.
  - 4. Control and monitoring.
  - 5. Generator overcurrent and fault protection.
  - 6. Alternator, exciter, and voltage regulator.
  - 7. Outdoor engine generator enclosure.
  - 8. Vibration isolation devices.
  - 9. Finishes.
- B. Related Requirements:
  - 1. Section 26 36 00 "Transfer Switches" for transfer switches including sensors and relays to initiate automatic-starting and -stopping signals for engine generators.

##### 1.2 DEFINITIONS

- A. ECM: Engine control module.
- B. EPS: Emergency power supply.
- C. EPSS: Emergency power supply system.
- D. Operational Bandwidth: The total variation from the lowest to highest value of a parameter over the range of conditions indicated, expressed as a percentage of the nominal value of the parameter.

##### 1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include rated capacities, operating characteristics, electrical characteristics, and furnished specialties and accessories.
  - 2. Include thermal damage curve for generator.
  - 3. Include time-current characteristic curves for generator protective device.
  - 4. Include fuel consumption in gallons per hour (liters per hour) at 0.8 power factor at 50, 75, and 100 percent of generator capacity.
  - 5. Include generator efficiency at 0.8 power factor at 0.5, 0.75, and 1.0 times generator capacity.
  - 6. Include airflow requirements for cooling and combustion air in cubic feet per minute (cubic meters per minute) at 0.8 power factor at 104 deg F (40 deg C) cooling system rating. Provide radiator air flow restriction data for ambient rating.



7. Include generator characteristics, including, but not limited to, kilowatt rating, efficiency, reactances, and short-circuit current capability.

B. Shop Drawings:

1. Include plans and elevations for engine generator and other components specified. Indicate access requirements affected by height of subbase fuel tank.
2. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
3. Identify fluid drain ports.
4. Vibration Isolation Base Details: Detail including anchorages and attachments to structure and to supported equipment. Include base weights.
5. Include diagrams for power, signal, and control wiring. Complete schematic, wiring, and interconnection diagrams showing terminal markings for engine generators and functional relationship between all electrical components.

#### 1.4 INFORMATIONAL SUBMITTALS

A. Qualification Data: For Manufacturer.

B. Seismic Qualification Data: Certificates, for engine generator, accessories, and components, from manufacturer.

1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
2. Dimensioned Outline Drawings of Equipment Unit: With engine and generator mounted on rails, identify center of gravity and total weight including, supplied enclosure, silencer, subbase-mounted fuel tank, and each piece of equipment not integral to the engine generator, and locate and describe mounting and anchorage provisions.
3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.

C. Source Quality-Control Reports: Including, but not limited to, the following:

1. Certified Summary of prototype-unit test report.
2. Certified Test Reports: For components and accessories that are equivalent, but not identical, to those tested on prototype unit.
3. Report of factory test on units to be shipped for this Project, showing evidence of compliance with specified requirements.
4. Report of sound generation.
5. Report of exhaust emissions showing compliance with applicable regulations.
6. Certified Torsional Vibration Compatibility: Comply with NFPA 110.

#### 1.5 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For packaged engine generators to include in emergency, operation, and maintenance manuals.



- a) List of tools and replacement items recommended to be stored at Project for ready access. Include part and drawing numbers, current unit prices, and source of supply.
- b) Training plan.

## 1.6 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Fuses: One for every 10 of each type and rating, but no fewer than one of each.
  - 2. Replaceable Indicator Lamps: Two for every six of each type used, but no fewer than two of each.
  - 3. Filters: One set each of lubricating oil, fuel, and combustion-air filters.
  - 4. Tools: Each tool listed by part number in operations and maintenance manual.

## 1.7 QUALITY ASSURANCE

- A. Manufacturer Qualifications:
  - 1. ISO 9001 certified for design, development, production and service complete product line.
  - 2. Produced this type of equipment for a period of at least 10 years.
  - 3. Actively maintaining a 24-hour parts and service organization regularly engaged in maintenance contract programs to perform preventive maintenance and service on equipment similar to that specified.
  - 4. Furnish a service agreement that includes system operation under simulated operating conditions; adjustment to the generator set, transfer switch, and switchgear controls as required, and certification in the owner's maintenance log of repairs made and functional tests performed on all systems.
  - 5. Engine-driven generator assembly furnished by a single manufacturer, responsible for design, coordination, and testing of the complete system.
- B. Testing Agency Qualifications: Accredited by NETA.
  - 1. Testing Agency's Field Supervisor: Certified by NETA to supervise on-site testing.

## 1.8 WARRANTY

- A. Manufacturer's Warranty: Manufacturer agrees to repair or replace components of packaged engine generators and associated auxiliary components that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Period: 5 years from date of registered startup or commissioning.
  - 2. The generator set to include a warranty covering one (2) years or 2000 hours Standby, whichever occurs first (hours or years), to warrant against defective material and workmanship in accordance with the manufacturer's published warranty from the date of initial startup.



## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Kohler Power Systems; REOZJF Series engine generator offerings or comparable product by one of the following:
  - 1. Caterpillar, Inc.; Electric Power Division.
  - 2. Cummins Power Generation.
- B. Source Limitations: Obtain packaged engine generators and auxiliary components from single source from single manufacturer.

### 2.2 PERFORMANCE REQUIREMENTS

- A. Seismic Performance: Engine generator housing, subbase fuel tank, engine generator, batteries, battery racks, silencers, sound attenuating equipment, accessories, and components to withstand the effects of earthquake motions determined in accordance with ASCE/SEI 7.
  - 1. The term "withstand" means "the unit will remain in place without separation of any parts when subjected to the seismic forces specified and the unit will be fully operational after the seismic event."
  - 2. Shake-table testing to comply with ICC-ES AC156. Testing to be performed with all fluids at worst-case normal levels.
  - 3. Component Importance Factor: 1.0.
- B. B11 Compliance: Comply with B11.19.
- C. NFPA Compliance:
  - 1. Comply with NFPA 30.
  - 2. Comply with NFPA 37.
  - 3. Comply with NFPA 70.
  - 4. Comply with NFPA 99.
  - 5. Comply with NFPA 110 requirements.
- D. UL Compliance: Comply with UL 2200 "Stationary Engine Generator Assemblies."
- E. CSA Compliance: Comply with CSA.
- F. Engine Exhaust Emissions: Comply with EPA Tier 3 requirements and applicable state and local government requirements.
- G. Noise Emission: Comply with applicable state and local government requirements for maximum noise level at adjacent property boundaries due to sound emitted by engine generator, including engine, engine exhaust, engine cooling-air intake and discharge, and other components of installation.
  - 1. Maximum exhaust isolated sound pressure emitted by engine generator 84.5 dBA at a distance of 23 ft. (7 m) while operating at 100 percent load and including



engine, engine exhaust, engine cooling-air intake and discharge, and other components of installation.

2. Maximum sound pressure emitted by engine generator within sound-attenuating enclosure of 82 dB(A) at a distance of 23 ft. (7 m) while operating at 100 percent load and including engine, engine exhaust, engine cooling-air intake and discharge, and other components of installation.

H. Environmental Conditions: Engine generator system to withstand the following environmental conditions without mechanical or electrical damage or degradation of performance capability:

1. Ambient Temperature: -15 deg F to 104 deg F.
2. Altitude: Sea level to 1000 feet.

## 2.3 ENGINE GENERATOR ASSEMBLY DESCRIPTION

- A. Factory-assembled and -tested, liquid-cooled engine, with brushless generator and accessories.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and use.
- C. Power Rating: Standby.
- D. Overload Capacity: 110 percent of service load for 1 hour in 12 consecutive hours.
- E. EPSS Class: Engine generator to be classified as a Class 48 in accordance with NFPA 110.
- F. Tank Capacity Run Hours: 48 hours.
- G. Nameplate Rating: Per plans.
- H. Power Factor: 0.8, lagging.
- I. Frequency: 60 Hz.
- J. Voltage: 120/240V ac.
- K. Phase: Single Phase.
- L. Governor: Adjustable isochronous, with speed sensing.
- M. Mounting Frame: Structural steel framework to maintain alignment of mounted components without depending on concrete foundation. Provide lifting attachments sized and spaced to prevent deflection of base during lifting and moving.



N. Capacities and Characteristics:

1. Power Output Ratings: Nominal ratings as indicated at 0.8 power factor excluding power required for the continued and repeated operation of the unit and auxiliaries, with capacity as required to operate a unit as evidenced by records of prototype testing.
2. Nameplates: For each major system component to identify manufacturer's name and address, and model and serial number of component.

O. Engine Generator Performance:

1. Steady-State Voltage Operational Bandwidth: 0.5 percent of rated output voltage from no load to full load.
2. Transient Voltage Performance: Not more than 20 percent variation for 50 percent step-load increase or decrease and meets ISO8528-5, Class G2 for standard loads. Voltage to recover and remain within the steady-state operating band within six seconds.
3. Steady-State Frequency Operational Bandwidth: 0.25 percent of rated frequency from no load to full load.
4. Transient Frequency Performance: Less than 10 percent variation for 50 percent step-load increase or decrease and meets ISO8528-5, Class G2 for standard loads. Frequency to recover and remain within the steady-state operating band within five seconds.
5. Output Waveform: At no load, harmonic content measured line to line or line to neutral may not exceed 5 percent total and 3 percent for single harmonics. Telephone influence factor, determined in accordance with NEMA MG 1, may not exceed 50.
6. Sustained Short-Circuit Current: For a three-phase, bolted short circuit at system output terminals, system to supply a minimum of 250 percent of rated full-load current for not less than 10 seconds and then clear the fault automatically, without damage to generator system components.
7. Start Time:
  - a) Comply with NFPA 110, Type 10 system requirements.

P. Engine Generator Performance for Sensitive Loads:

1. Oversizing generator compared with the rated power output of the engine is permissible to meet specified performance.
  - a) Nameplate Data for Oversized Generator: Show ratings required by the Contract Documents rather than ratings that would normally be applied to generator size installed.
2. Steady-State Voltage Operational Bandwidth: 0.5 percent of rated output voltage from no load to full load.
3. Transient Voltage Performance: Not more than 15 percent variation for 50 percent step-load increase or decrease and meets ISO8528-5, Class G3 for sensitive loads. Voltage to recover and remain within the steady-state operating band within four seconds.
4. Steady-State Frequency Operational Bandwidth: Plus or minus 0.25 percent of rated frequency from no load to full load.



5. Transient Frequency Performance: Less than 7 percent variation for 50 percent step-load increase or decrease and meets ISO8528-5, Class G3 for sensitive loads. Frequency to recover and remain within the steady-state operating band within three seconds.
6. Output Waveform: At no load, harmonic content measured line to line and line to neutral may not exceed 5 percent total or 3 percent for a single harmonic. Telephone influence factor, determined in accordance with NEMA MG 1, may not exceed 50.
7. Sustained Short-Circuit Current: For a three-phase, bolted short circuit at system output terminals, system to supply a minimum of 300 percent of rated full-load current for not less than 10 seconds and then clear the fault automatically, without damage to winding insulation or other generator system components.
8. Excitation System: Performance to be unaffected by voltage distortion caused by nonlinear load.
9. Start Time:
  - a) Comply with NFPA 110, Type 10 system requirements.

## 2.4 DIESEL ENGINE

- A. Fuel: ASTM D975, diesel fuel oil, Grade 2-D S15.
  1. Ultra low sulfur <15 ppm.
- B. Rated Engine Speed: 1800 rpm.
- C. Minimum Standby Load Factor Rating: 70 percent.
- D. Lubrication System: Engine or skid-mounted.
  1. Filter and Strainer: Rated to particles that may damage engine per manufacturer's written instructions while passing full flow.
  2. Thermostatic Control Valve: Control flow in system to maintain optimum oil temperature. Unit to be capable of full flow and is designed to be fail-safe.
  3. Closed Crankcase Ventilation: System: Prevents crankcase oil vapor from draining or escaping the engine.
  4. Crankcase Drain: Arranged for complete gravity drainage to an easily removable container with no disassembly and without use of pumps, siphons, special tools, or appliances.
- E. Jacket Coolant Heater: Electric-tank type, factory installed in coolant jacket system. Comply with UL 499 and with NFPA 110 requirements for Level 1 equipment for heater capacity.
- F. Integral Cooling System: Closed loop, liquid cooled, with radiator factory mounted on engine generator set mounting frame and integral engine-driven coolant pump.
  1. Coolant: Solution of 50 percent ethylene-glycol-based antifreeze and 50 percent water, with anticorrosion additives as recommended by engine manufacturer.
  2. Size of Radiator: Adequate to contain expansion of total system coolant to 110 percent of capacity.





3. Expansion Tank: Constructed of welded steel plate and rated to withstand maximum closed-loop coolant system pressure for engine used. Equip with gage glass and petcock.
  4. Temperature Control: Self-contained, thermostatic-control valve modulates coolant flow automatically to maintain optimum constant coolant temperature as recommended by engine manufacturer.
  5. Coolant Hose: Flexible assembly with inside surface of nonporous rubber and outer covering of aging-, UV-, and abrasion-resistant material.
    - a) Rating: 50-psig (345-kPa) maximum working pressure with coolant at 215 deg F (102 deg C), and noncollapsible under vacuum.
- G. Muffler/Silencer:
1. Critical type, sized as recommended by engine manufacturer and selected with exhaust piping system to not exceed engine manufacturer's engine backpressure requirements.
    - a) Minimum sound attenuation of 25 dB at 500 Hz.
    - b) Sound level measured at a distance of 25 feet from exhaust discharge after installation is complete shall be 78 dBA or less.
- H. Air-Intake Filter: Standard-duty, engine-mounted air cleaner with replaceable dry-filter element and "blocked filter" indicator.
- I. Starting System: 12-V dc electric, with negative ground.
1. Cranking Cycle: As required by NFPA 110 for system level specified.
  2. Battery: Lead acid, with capacity within ambient temperature range specified in "Performance Requirements" Article to provide specified cranking cycle at least three times without recharging.
  3. Battery Cable: Size as recommended by engine manufacturer for cable length indicated. Include required interconnecting conductors and connection accessories.
  4. Battery Heater: Thermostatically controlled heater to be arranged to maintain battery above 50 deg F (10 deg C) regardless of external ambient temperature within range specified in "Performance Requirements" Article. Include accessories required to support and fasten batteries in place. Provide ventilation to exhaust battery gases.
  5. Battery-Charging Alternator: Factory mounted on engine with solid-state voltage regulation and continuous rating per manufacturer's standard.
- J. Battery Charger:
1. Source Limitations: Obtain battery charger from engine-driven generator manufacturer.
  2. UL Compliance: Comply with UL 1236 for Category BBHH.
  3. CE Certified.
  4. NFPA Compliance: Comply with NFPA 110.
  5. Environmental Conditions: Battery charger to withstand the following environmental conditions without mechanical or electrical damage or degradation of performance capability:



- a) Ambient Temperature: Minus -15 to plus 185 deg F with full charger output available up to 122 deg F.
  - b) Relative Humidity: 0 to 95 percent.
  - c) Altitude: Meets full performance requirements from sea level to 5000 ft. (1600 m). Chargers installed at higher altitudes may automatically derate output power to prevent overheating of internal components but remain operable.
6. Charger Operation: Current-limited, constant-voltage, automatic-boost-type charger designed for lead-acid batteries, with the following features:
- a) Automatic three-stage charge cycle for up to three independent batteries simultaneously per charger.
  - b) Output Voltage Regulation: Charger regulates output to within plus or minus 0.5 percent of manufacturer-provided voltage settings despite variations of input voltage, input frequency, and output current.
  - c) Battery Thermal Compensation: Battery temperature compensation with adjustable slope, factory set at minus 0.18 percent per degree C, and equipped for sensing battery temperature. Include battery temperature sensor mounted on battery negative terminal.
  - d) AC Input: Charger operates from any 45- to 65-Hz ac source with voltage ranging from 105- to 264-V rms.
7. LCD Digital Display: AC input voltmeter, DC output voltmeter, and ammeter (1 percent accuracy).
8. LED Lamp Indicators: Current limit, AC ON, and charger fail.
9. Charger Fail Alarm Contact: Voltage-free (dry type) form "C" output.
10. Filtered output for type VRLA AGM batteries.
11. Charger Enclosure: NEMA 250, Type 1 (IP20), wall mounted and rated for generator duty with charger enclosure vibration resistance.

## 2.5 DIESEL FUEL-OIL SYSTEM

- A. Piping: Fuel-oil piping to be Schedule 40 black steel. Cast iron, aluminum, copper, and galvanized steel may not be used in the fuel-oil system.
- B. Main Fuel Pump: Mounted on engine to provide primary fuel flow under starting and load conditions.
- C. Fuel Filtering: Remove water and contaminants larger than 1 micron.
- D. Relief-Bypass Valve: Automatically regulates pressure in fuel line and returns excess fuel to source.
- E. Subbase-Mounted, Double-Wall, Fuel-Oil Tank: Complying with UL 142 and including the following features:
  - 1. Steel Channel Support System: Reinforced steel box channel for generator support. Full height gussets at either end of channel and at generator mounting locations.
  - 2. Fuel Level Gauge: Direct-reading, UL-listed, magnetic fuel level gauge with a hermetically sealed, vacuum-tested dial.



3. Low-Fuel Alarm Contact: Float-type switch for remote or local annunciation of a low-fuel-level condition.
4. Fill Tube: 2-inch (25-mm) NPT opening with lockable cap.
5. Leak detection in interstitial space.
6. Vandal-resistant fill cap.
7. Fill-pipe spill containment, minimum capacity 5 gal. (19 L).
8. Emergency inner- and outer-tank UL-listed relief vents sized in accordance with American Petroleum Institute Standard No 2000 with an opening pressure of 0.5 psig (3.5 kPa) and full opening pressure of 2.5 psig (17 kPa).
9. Containment Provisions: Comply with requirements of authorities having jurisdiction.

## 2.6 CONTROL AND MONITORING

- A. Automatic Starting System Sequence of Operation: When mode-selector switch on the control and monitoring panel is in the automatic position, remote-control contacts in one or more separate automatic transfer switches initiate starting and stopping of engine generator. When mode-selector switch is switched to the on position, engine generator starts. The off position of the same switch initiates generator-set shutdown. When the engine generator is running, specified system or equipment failures or derangements automatically shut down engine generator and initiate alarms.
- B. Comply with UL 508A.
- C. Configuration:
  1. Operating and safety indications, protective devices, basic system controls, and engine gauges will be grouped in a common control and monitoring panel mounted on the engine generator. The mounting method will isolate the control panel from generator-set vibration. Panel will be powered from the engine generator battery.
- D. Control and Monitoring Panel:
  1. Digital engine generator controller with integrated 7-inch (175-mm) graphical touch screen TFT display and microprocessor, capable of local and remote control, monitoring, and programming, with battery backup.
  2. Digital engine generator controller with integrated alphanumeric display, providing two lines of data. The display has back lighting for ease of operator use in high- and low-light conditions. Capable of local and remote control, monitoring, and programming.
  3. Controller Face Ingress Protection: IP 65.
  4. Operating Temperature: Minus 40 to plus 158 deg F.
  5. Maximum Operating Humidity: 95 percent noncondensing.
  6. Corrosion Resistant: Tested in accordance with ASTM B117 (salt spray test).
  7. Controller Features:
    - a) Mode Selector: Allowing selection of one of the following modes:
      - 1) Off/Reset: Prohibits the generator from starting and resets shutdowns. In this mode, the controller does not respond to remote start and stop commands.



- 2) Manual: Allows user to locally start and stop to operate the generator. In this mode, the controller does not respond to remote start and stop commands.
- 3) Auto: Allows generator to start and stop based on remote commands. In this mode, the generator does not respond to manual start and stop commands.
- b) Emergency Stop Switch: Latch-type remote stop switch, red in color with mushroom-type head. Depressing the stop button will immediately stop the generator set and lock out any automatic remote starting.
- c) Audible Alarm: Horn sounds for specific warning and shutdown conditions.
- d) Alarm Silence/Lamp Test Pushbutton: Silences audible alarm when depressed. All controller-indicating lights are simultaneously illuminated while actuated.
- e) Fault Light: LED indicating abnormal conditions:
  - 1) Yellow: Active warning condition or mode selector switch not in automatic.
  - 2) Red: Active shutdown condition.
- f) Real-time clock and calendar for time-stamping events.
- g) Load Management:
  - 1) Programmable outputs to command the connect and disconnect of loads based on system state:
    - a) Loads connected based on available capacity.
    - b) Loads disconnected at system startup.
    - c) Loads disconnected based on a maximum kW setting or underfrequency setting.
  - 2) Support up to 16 load steps.
- h) Engine Control Features:
  - 1) Programmable engine start delay.
  - 2) Programmable engine cool-down delay.
  - 3) Programmable warm-up delay based on time or engine temperature.
  - 4) Programmable idle speed.
  - 5) Programmable cyclic cranking with adjustable on time, off time, and number of cycles.
- i) Event Logging:
  - 1) Maintain record of a minimum of 1,000 events with date and time locally for warning and shutdown faults.
  - 2) Event log easily available for download onto USB storage device or PC.
  - 3) Event Snapshot: Capture 15 seconds of critical data around the time of a fault or warning. Data to be viewable on the controller and downloadable.
- j) Data Logging: Capable of time-based recording of customized parameters.
  - 1) Parameters selectable from all monitored parameters.
  - 2) Sample period configurable from one second to one day.
  - 3) Collected data stored on USB storage device plugged into the control panel.
- k) Minimum of three user access levels.
- l) Password protection to prevent unauthorized modification to system parameters.



- m) Customizable Interface:
  - 1) Overview Screen: Dedicated screen allowing user to display up to 16 parameters for immediate access.
  - 2) Favorites: User-customizable menu set up for enhanced usability.
- 8. Monitoring Instruments: Accessible through the digital engine generator controller and viewable during operation.
  - a) Engine-coolant temperature.
  - b) Battery voltage.
  - c) Running-time meter.
  - d) Engine speed.
  - e) Oil pressure.
  - f) Fuel level (with optional sensor)
  - g) Fuel pressure.
  - h) Fuel Consumption.
  - i) Exhaust temperature (with optional sensor).
  - j) Ambient temperature.
  - k) Charge air pressure.
  - l) Charge air temperature.
  - m) AC output voltage for each phase, 0.25 percent accuracy.
  - n) AC output current for each phase, 0.25 percent accuracy.
  - o) AC frequency meter, 0.25 percent accuracy.
  - p) Power factor total and per phase with leading/lagging indication.
  - q) kW total and per phase, 0.5 percent accuracy.
  - r) kVARs total and per phase, 0.5 percent accuracy.
  - s) kVA total and per phase, 0.5 percent accuracy.
  - t) kW hours.
  - u) Generator duty level (actual kW loading divided by kW nameplate).
- 9. Service Data: Stored in the controller and available for display.
  - a) Generator model number and serial number.
  - b) ECM serial number.
  - c) Alternator part number.
  - d) Engine model number and serial number.
  - e) Controller serial number and firmware version.
- 10. Operational Records: Stored in controller beginning at system startup.
  - a) Total run-time hours.
  - b) Total loaded hours.
  - c) Total kW hours.
  - d) Controller run-time hours.
  - e) Number of starts.
  - f) Total unloaded hours.
  - g) Controller hours.
  - h) ECM run-time hours.
  - i) Number of crank attempts.
  - j) Last crank duration.
  - k) Last start runtime duration.
  - l) Last start date and time.
  - m) Last stop date and time.



11. Maintenance Records: Stored in controller beginning at system startup, user resettable to zero.
  - a) Total run-time hours since last maintenance.
  - b) Total loaded hours since last maintenance.
  - c) Total unloaded hours since last maintenance.
  - d) Total kW hours since last maintenance.
12. Controls and Protective Devices: Controls, shutdown devices, and common visual alarm indication, including the following:
  - a) Mode selector switch not in automatic position.
  - b) Overcrank shutdown.
  - c) Low lubricating-oil pressure warning.
  - d) Low lubricating-oil pressure shutdown.
  - e) Low coolant temperature warning.
  - f) High engine temperature warning.
  - g) High engine temperature shutdown.
  - h) Overspeed shutdown.
  - i) Low fuel pressure shutdown.
  - j) Low fuel main tank.
    - 1) Low-fuel-level alarm to be initiated when the level falls below that required for operation for duration required for the indicated EPSS class.
    - 2) Critically low-fuel-level warning.
  - k) Coolant low-level shutdown device.
  - l) Coolant high-temperature warning.
  - m) Coolant high-temperature shutdown.
  - n) ECM Digital Trouble Codes warnings.
  - o) ECM Digital Trouble Codes shutdown.
  - p) Loss of ECM Communications shutdown.
  - q) ECM mismatch shutdown.
  - r) Battery high-voltage warning.
  - s) Battery-charger malfunction warning.
  - t) Battery low-voltage warning.
  - u) Remote manual stop shutdown.
  - v) Local manual stop shutdown.
  - w) Alternator protection shutdown.
  - x) Overcurrent warning.
  - y) Overcurrent shutdown.
  - z) Under frequency warning.
  - aa) Under frequency shutdown.
  - bb) Over frequency warning.
  - cc) Over frequency shutdown.
  - dd) Over power warning.
  - ee) Over power shutdown.
  - ff) Under voltage warning.
  - gg) Under voltage shutdown.
  - hh) Over voltage warning.
  - ii) Over voltage shutdown.
  - jj) User-defined input warning.



- kk) User-defined input shutdown.
- ll) No oil pressure signal shutdown.
- mm) No speed sensor signal shutdown.
- nn) Fail-to-start shutdown.

- E. Connection to Datalink:
  - 1. Provide connections for datalink transmission of indications to remote data terminals via BACnet.
- F. Supporting Items: Sensors, transducers, terminals, relays, and other devices located on engine or generator unless otherwise indicated.
- G. Remote Emergency-Stop Switch: Wall mounted unless otherwise indicated. Push button must be permanently labeled and protected from accidental operation.
- H. Remote Alarm Annunciator: LED indicator light labeled with proper alarm conditions will identify each alarm event, and a common audible signal will sound for each alarm condition in accordance with NFPA 110. The silencing switch in face of panel will silence signal without altering visual indication. Connect so that after an alarm is silenced, clearing of initiating condition will reactivate alarm until silencing switch is reset. Controls to include "Lamp Test" momentary switch wired to illuminate all LED indicator lights regardless of alarm state while switch is on. Cabinet and faceplate are surface- or flush-mounting type to suit mounting conditions indicated.
- I. Start Signal Wiring Integrity Monitor: UL-listed modular system to monitor condition of generator remote start circuit(s), annunciate faults, and start generator in accordance with NFPA 70, Article 700.10(D)(4).
  - 1. Output Contacts: Two form "C" contacts, one for engine start and one for start circuit alarm.

## 2.7 GENERATOR OVERCURRENT AND FAULT PROTECTION

- A. Overcurrent protective devices to be coordinated to optimize selective tripping when a short circuit occurs.
  - 1. Overcurrent protective devices for the entire EPSS to be coordinated to optimize selective tripping when a short circuit occurs. Coordination of protective devices considers both utility and EPSS as the voltage source.
  - 2. Overcurrent protective devices for the EPSS to be accessible only to authorized personnel.
- B. Generator Overcurrent Protective Device:
  - 1. Molded-case circuit breaker, electronic-trip type; 100 percent rated; complying with UL 489:
    - a) Tripping Characteristics: Adjustable long-time and short-time delay and instantaneous.
    - b) Trip Settings: As indicated on plans.
    - c) Shunt Trip: Connected to trip breaker when engine generator is shut down by other protective devices.



- d) Mounting: Adjacent to, or integrated with, control and monitoring panel.
- C. Generator Protector: Microprocessor-based unit to continuously monitor current level in each phase of generator output, integrate generator heating effect over time, and predict when thermal damage of alternator will occur. When signaled by generator protector or other engine generator protective devices, a shunt-trip device in the generator disconnect switch opens the switch to disconnect the generator from load circuits. Protector performs the following functions:
1. Initiates a generator overload alarm when generator has operated at an overload equivalent to 110 percent of full-rated load for 60 seconds. Indication for this alarm is integrated with other engine generator malfunction alarms. Contacts to be available for load shed functions.
  2. Under three-phase fault conditions, generator to supply 300 percent of rated full-load current for up to 10 seconds.
  3. Tested to AC output short-circuit requirements.
  4. Energy Reduction Maintenance Switch/Mode.
- D. Generator Protective Relay: Multifunctional, microprocessor-based relay systems complying with IEEE C37.90.
1. Standard generator protective functions for shutdown with user-adjustable limits and time delays:
    - a) Over Voltage (ANSI Device 59):
      - 1) User-Adjustable Pickup: 100 to 130 percent.
      - 2) User-Adjustable Time Delay: Zero to 120 seconds.
    - b) Under Voltage (ANSI Device 27):
      - 1) User-Adjustable Pickup: 70 to 100 percent.
      - 2) User-Adjustable Time Delay: Zero to 120 seconds.
    - c) Over Frequency (ANSI Device 81O):
      - 1) User-Adjustable Pickup: 100 to 140 percent.
      - 2) User-Adjustable Time Delay: Zero to 120 seconds.
    - d) Reverse Power (ANSI Device 32R):
      - 1) User-Adjustable Pickup: Zero to 50 percent.
      - 2) User-Adjustable Time Delay: Zero to 120 seconds.
    - e) Over Power (ANSI Device 32O):
      - 1) User-Adjustable Pickup: 90 to 150 percent.
      - 2) User-Adjustable Time Delay: Zero to 120 seconds.
    - f) Loss of Field (ANSI Device 40 Reverse VARS):
      - 1) User-Adjustable Pickup: 10 to 100 percent.
      - 2) User-Adjustable Time Delay: Zero to 120 seconds.
    - g) Overcurrent with Voltage Range:
      - 1) User-Adjustable Range: 100 to 200 percent.
      - 2) User-Adjustable Time Delay: Zero to 120 seconds.
- E. Ground-Fault Indication: Comply with NFPA 70, "Emergency System" signals for ground fault.
1. Indicate ground fault with other engine generator alarm indications.
  2. Trip generator protective device on ground fault.
- 2.8 ALTERNATOR, EXCITER, AND VOLTAGE REGULATOR





- A. Comply with NEMA MG 1.
- B. Maximum Temperature Rise: 130 deg C at full load over 40C ambient.
- C. Drive: Alternator shaft to be directly connected to engine shaft. Exciter to be rotated integrally with alternator rotor.
- D. Electrical Insulation: Class H.
- E. Stator-Winding Leads: Brought out to terminal box to permit future reconnection for other voltages if required.
- F. Construction to prevent mechanical, electrical, and thermal damage due to vibration, overspeed up to 125 percent of rating, and heat during operation.
- G. Enclosure: Dripproof.
- H. Voltage Regulator: Microprocessor-based, high-speed digital voltage regulator, separate from exciter, with true RMS sensing, providing performance as specified and as required by NFPA 110.
  - 1. Maintain steady-state voltage within 0.25 percent from no load to full load.
  - 2. Adjusting Feature on Control and Monitoring Panel: Provide plus or minus 10 percent adjustment of output-voltage operating band.
- I. Alternator Strip Heater: Thermostatically controlled unit arranged to maintain stator windings above dew point.
- J. Windings: Two-thirds pitch stator winding and fully linked amortisseur winding.
- K. Subtransient Reactance: 12 percent, maximum.

## 2.9 OUTDOOR ENGINE GENERATOR ENCLOSURE

- A. Description:
  - 1. Vandal-resistant, sound-attenuating, weatherproof steel enclosure, with 14-gauge-thick walls; wind resistant. Multiple panels to be lockable and provide adequate access to components requiring maintenance, minimum two doors per side. Access to controller and main line circuit breaker in accordance with NFPA 70. Panels to be removable by one person without tools. Instruments and controls to be mounted within enclosure.
- B. Source Limitations: Obtain enclosure from engine-driven generator manufacturer.
- C. Structural Design and Anchorage: Comply with ASCE/SEI 7 for wind loads up to 150 mph.
- D. Minimum Snow Load Rating: 100 psf (33.5 kPa).



- E. Seismic Design: Comply with seismic requirements in Section 26 05 48 "Seismic Controls for Electrical Systems."
- F. Access doors and panels rubber sealed to prevent water intrusion and minimize noise.
- G. Hinged Doors: Lockable; keyed alike with recessed locks.
- H. Insulation Flammability Classification: UL 94 HF1.
- I. Muffler Location: Complete exhaust system located within enclosure.
- J. Engine-Cooling Airflow through Enclosure: Maintain temperature rise of system components within required limits.
- K. Basic Electrical Package:
  - 1. Load Center: 100A single-phase, 120/240 V ac.
  - 2. GFCI-duplex receptacles: Two 20 A, 125 V ac.
  - 3. AC Lighting: Two weather-resistant LED lighting maintained with control switches at each access door.
- L. Stainless steel latches, hinges, and hardware on external panels of enclosure.
- M. External, weatherproof, recessed-mounted emergency stop pushbutton.

## 2.10 VIBRATION ISOLATION DEVICES

- A. Elastomeric Vibration Isolators: Oil- and water-resistant elastomer neoprene or natural rubber, molded with a nonslip pattern and baseplates of sufficient stiffness for uniform loading over pad area, and factory cut to sizes that match requirements of supported equipment. Compliant with ISO 8528-9.
- B. Vibration isolation devices may not be used to accommodate misalignments or to make bends.

## 2.11 FINISHES

- A. Indoor and Outdoor Enclosures and Components: Heavy-duty, high-durability, fade-, scratch- and corrosion-resistant finish achieved through a multi-stage finishing process from the genset manufacturer including:
  - 1. Pre-cleaning: Enclosure components cleaned with a two-stage alkaline cleaning process to remove grease, grit, and grime from parts then subjected to a Zirconium-based conversion coating process to prepare the metal for electrocoat (e-coat) adhesion.
  - 2. Primer: All enclosure parts to receive 100 percent epoxy primer electrocoat (e-coat) with high-edge protection.
  - 3. Finish Coating: Powder-baked paint for superior finish, durability, and appearance.



4. Minimum Enclosure Corrosion Resistance: 3000 hours salt spray test in accordance with ASTM B117.
5. Powder coat for fading and abrasion resistance.

- B. Subbase Tank: Polyurea-texturized rubber coating for corrosion protection and adequate surface grip from the genset manufacturer.

## 2.12 SOURCE QUALITY CONTROL

- A. Prototype Testing: Factory test engine generator using same engine model, constructed of identical or equivalent components and equipped with identical or equivalent accessories.
  1. Tests: Comply with IEEE 115 and with NFPA 110, Level 1 Energy Converters.
- B. Project-Specific Equipment Tests: Before shipment, factory test engine generator and other system components and accessories manufactured specifically for this Project. Perform tests at rated load and power factor. Include the following tests:
  1. Test generator, exciter, and voltage regulator as a unit.
  2. Load Test: 25, 50, 75 and 100 percent rated load.
  3. Single-step load pickup.
  4. Safety shutdown.
  5. Overcrank.
  6. Locked rotor.
  7. Mechanical Readings: Oil pressure, ambient temperature, and coolant temperature.
  8. Test components and accessories furnished with installed unit that are not identical to those on tested prototype to demonstrate compatibility and reliability.
  9. Maximum power.
  10. Voltage regulation.
  11. Transient and steady-state governing.
  12. Provide 14 days' advance notice of tests and opportunity for observation of tests by Owner's representative.
  13. Report factory test results within 10 days of completion of test.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine areas, equipment bases, and conditions, with Installer present, for compliance with requirements for installation and other conditions affecting packaged engine generator performance.
- B. Examine roughing-in for piping systems and electrical connections. Verify actual locations of connections before packaged engine generator installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.



### 3.2 PREPARATION

- A. Interruption of Existing Electrical Service: Do not interrupt electrical service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary electrical service in accordance with requirements indicated:
  - 1. Notify Owner no fewer than five working days in advance of proposed interruption of electrical service.
  - 2. Do not proceed with interruption of electrical service without Owner's permission.

### 3.3 INSTALLATION

- A. Comply with NECA 1 and NECA 404.
- B. Comply with packaged engine generator manufacturers' written installation and alignment instructions and with NFPA 110.
- C. Equipment Mounting:
  - 1. Install engine generators on cast-in-place concrete equipment bases. Comply with requirements for equipment bases and foundations specified on plans and specifications.
  - 2. Coordinate size and location of concrete bases for engine generators. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork requirements are specified with concrete.
  - 3. Install engine generator with a skin-tight enclosure.
- D. Install packaged engine generator to provide access, without removing connections or accessories, for periodic maintenance.
- E. Drain Piping: Install condensate drain piping to muffler drain outlet full size of drain connection with a shutoff valve, stainless steel flexible connector, and Schedule 40 black steel.
- F. Fuel Piping:
  - 1. Copper and galvanized steel may not be used in the fuel-oil piping system.
- G. Install electrical devices furnished by equipment manufacturers but not specified to be factory mounted.

### 3.4 CONNECTIONS

- A. Piping installation requirements. are specified in other Sections. Drawings indicate general arrangement of piping and specialties.
- B. Connect fuel, cooling-system, and exhaust-system piping adjacent to packaged engine generator to allow space for service and maintenance.



- C. Connect engine exhaust pipe to engine with flexible connector.
- D. Connect fuel piping to engines with a gate valve and union and flexible connector.
- E. Ground equipment in accordance with NFPA 70.
- F. Connect wiring in accordance with NFPA 70 and Section 26 05 19 "Low-Voltage Electrical Power Conductors and Cables." Provide flexible conduit routed to the engine generator from a stationary element.

### 3.5 IDENTIFICATION

- A. Identify system components in accordance with Section 26 05 53 "Identification for Electrical Systems."
- B. Install a sign indicating the generator is installed as a separately derived source per NFPA 70.

### 3.6 FIELD QUALITY CONTROL

- A. Testing Agency:
  - 1. Owner will engage a qualified testing agency to perform tests and inspections.
  - 2. Engage a qualified testing agency to perform tests and inspections.
  - 3. Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.
  - 4. Perform tests and inspections with the assistance of a factory-authorized service representative.
- B. Tests and Inspections:
  - 1. Perform tests recommended by manufacturer and each visual and mechanical inspection and electrical and mechanical test listed in first two subparagraphs below, as specified in NETA ATS. Certify compliance with test parameters.
    - a) Visual and Mechanical Inspection:
      - 1) Compare equipment nameplate data with Drawings and the Specifications.
      - 2) Inspect physical and mechanical condition.
      - 3) Inspect anchorage, alignment, and grounding.
      - 4) Verify that the unit is clean.
    - b) Electrical and Mechanical Tests:
      - 1) Verify phase rotation, phasing, and synchronized operation as required by the application.
      - 2) Functionally test engine shutdown for low oil pressure, overtemperature, overspeed, and other protection features as applicable.
  - 2. NFPA 110 Acceptance Tests: Perform tests required by NFPA 110 that are additional to those specified here, including, but not limited to, single-step full-load pickup test.



3. Battery Tests: Equalize charging of battery cells in accordance with manufacturer's written instructions. Record individual cell voltages.
    - a) Measure charging voltage and voltages between available battery terminals for full-charging and float-charging conditions. Check electrolyte level and specific gravity under both conditions.
    - b) Test for contact integrity of all connectors. Perform an integrity load test and a capacity load test for the battery.
    - c) Verify acceptance of charge for each element of the battery after discharge.
    - d) Verify that measurements are within manufacturer's specifications.
  4. Battery-Charger Tests: Verify specified rates of charge for both equalizing and float-charging conditions.
  5. System Integrity Tests: Methodically verify proper installation, connection, and integrity of each element of engine generator system before and during system operation. Check for air, exhaust, and fluid leaks.
  6. Exhaust-System Back-Pressure Test: Use a manometer with a scale exceeding 40-inch wc (120 kPa). Connect to exhaust line close to engine exhaust manifold. Verify that back pressure at full-rated load is within manufacturer's written allowable limits for the engine.
  7. Exhaust Emissions Test: Comply with applicable government test criteria.
  8. Voltage and Frequency Transient Stability Tests: Use recording oscilloscope to measure voltage and frequency transients for 50 percent step-load increases and decreases and verify that performance is as specified.
  9. Noise Level Tests: Measure A-weighted level of noise emanating from engine generator installation, including engine exhaust and cooling-air intake and discharge, at four locations 23 ft. (7 m) from edge of the generator enclosure and on the property line and compare measured levels with required values.
- C. Coordinate tests with tests for transfer switches and run them concurrently.
- D. Test instruments to have been calibrated within the past 12 months, traceable to NIST Calibration Services, and adequate for making positive observation of test results. Make calibration records available for examination on request.
- E. Leak Test: After installation, inspect exhaust, coolant, and fuel systems and test for leaks. Repair leaks and retest until no leaks exist.
- F. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation for generator and associated equipment.
- G. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- H. Remove and replace malfunctioning units and retest as specified above.
- I. Retest: Correct deficiencies identified by tests and observations, and retest until specified requirements are met.



- J. Report results of tests and inspections in writing. Record adjustable relay settings and measured insulation resistances, time delays, and other values and observations. Attach a label or tag to each tested component indicating satisfactory completion of tests.
- K. Infrared Scanning: After Substantial Completion, but not more than 60 days after final acceptance, perform an infrared scan of each power wiring termination and each bus connection while running with maximum load.
  - 1. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan 11 months after date of Substantial Completion.
  - 2. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
  - 3. Record of Infrared Scanning: Prepare a certified report that identifies terminations and connections checked and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

### 3.7 MAINTENANCE SERVICE

- A. Initial Maintenance Service: Beginning at Substantial Completion, maintenance service to include 12 months' full maintenance by skilled employees of manufacturer's authorized service representative. Include quarterly preventive maintenance and exercising to check for proper starting, load transfer, and running under load. Include routine preventive maintenance as recommended by manufacturer and adjusting as required for proper operation. Parts to be manufacturer's authorized replacement parts and supplies.

### 3.8 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain packaged engine generators.

END OF SECTION



## SECTION 26 36 00

### TRANSFER SWITCHES

#### PART 1 - GENERAL

##### 1.01 SUMMARY

- A. Section Includes:
  - 1. Transfer switch controller.
  - 2. Standard (open) transition, contactor-type, transfer switches.
  - 3. Transfer switch accessories.

##### 1.02 SUBMITTALS

- A. Product Data: For each type of product.
  - 1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for transfer switches.
  - 2. Include rated capacities, operating characteristics, electrical characteristics, and accessories.
- B. Shop Drawings:
  - 1. Include plans, elevations, sections, details showing minimum clearances, conductor entry provisions, gutter space, and installed features and devices.
  - 2. Include material lists for each switch specified.
  - 3. Single-Line Diagram: Show connections between transfer switch, bypass/isolation switch, power sources, and load; and show interlocking provisions for each combined transfer switch and bypass/isolation switch.
  - 4. Riser Diagram: Show interconnection wiring between transfer switches, bypass/isolation switches, annunciators, and control panels.
- C. Seismic Qualification Data: Certificates, for transfer switches, accessories, and components, from manufacturer.
  - 1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  - 2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  - 3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- D. Field quality-control reports.
- E. Operation and Maintenance Data: For each type of product to include in emergency, operation, and maintenance manuals.

##### 1.03 WARRANTY

- A. Manufacturer's Warranty: Manufacturer agrees to repair or replace components of transfer switch or transfer switch components that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Period: Two years from date of Substantial Completion.





## PART 2 - PRODUCTS

### 2.01 PERFORMANCE REQUIREMENTS

- A. Basis-of-Design Product: Subject to compliance with requirements, provide Kohler Power Systems; transfer switches or comparable product by one of the following:
  - 1. Caterpillar, Inc.; Electric Power Division.
  - 2. Generac Power Systems, Inc.
  - 3. ASCO
- B. Source Limitations: Manufacturer must supply generators, transfer switches, and paralleling switchgear for single-source warranty, parts, and service through a factory authorized representative with factory-trained technicians.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- D. Comply with NEMA ICS 1.
- E. Comply with NFPA 99.
- F. Comply with NFPA 110.
- G. Comply with UL 1008 unless requirements of these Specifications are stricter.
- H. Indicated Current Ratings: Apply as defined in UL 1008 for continuous loading and total system transfer, including tungsten filament lamp loads not exceeding 30 percent of switch ampere rating, unless otherwise indicated.
- I. Tested Fault-Current Closing and Short-Circuit Ratings: Adequate for duty imposed by protective devices at installation locations in Project under the fault conditions indicated, based on testing according to UL 1008.
- J. Repetitive Accuracy of Solid-State Controls: All settings shall be plus or minus 2 percent or better over an operating temperature range of minus 20 to plus 70 deg C.
- K. Resistance to Damage by Voltage Transients: Components shall meet or exceed voltage-surge withstand capability requirements when tested according to IEEE C62.62. Components shall meet or exceed voltage-impulse withstand test of NEMA ICS 1.
- L. Electrical Operation: Accomplish by a non-fused, momentarily energized solenoid or electric-motor-operated mechanism. Switches for emergency or standby purposes shall be mechanically and electrically interlocked in both directions to prevent simultaneous connection to both power sources unless closed transition.
- M. Neutral shall be Switched.
- N. Neutral Terminal: Switched and fully rated unless otherwise indicated.
- O. Battery Charger: For generator starting batteries.
  - 1. Float type, rated 10 A.
  - 2. Ammeter to display charging current.
  - 3. Fused ac inputs and dc outputs.



- P. Annunciation, Control, and Programming Interface Components: Devices at transfer switches for communicating with remote programming devices, annunciators, or annunciator and control panels shall have communication capability matched with remote device.
- Q. Factory Wiring: Train and bundle factory wiring and label, consistent with Shop Drawings, by color-code or by numbered or lettered wire and cable with printed markers at terminations. Color-coding and wire and cable markers are specified in Section 26 05 53 "Identification for Electrical Systems."
  - 1. Designated Terminals: Pressure type, suitable for types and sizes of field wiring indicated.
  - 2. Power-Terminal Arrangement and Field-Wiring Space: Suitable for top, side, or bottom entrance of feeder conductors as indicated.
  - 3. Control Wiring: Equipped with lugs suitable for connection to terminal strips.
  - 4. Accessible via front access.
- R. Enclosures: NEMA 3R.

## 2.02 CONTACTOR-TYPE AUTOMATIC TRANSFER SWITCHES

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. ASCO
  - 2. Kohler Power Systems.
  - 3. Russelectric, Inc.
- B. Comply with Level 2 equipment according to NFPA 110.
- C. Switch Characteristics: Designed for continuous-duty repetitive transfer of full-rated current between active power sources.
  - 1. Limitation: Switches using molded-case switches or circuit breakers or insulated-case circuit-breaker components are unacceptable.
  - 2. Switch Action: Double throw; mechanically held in both directions.
  - 3. Contacts: Silver composition or silver alloy for load-current switching. Contactor-style automatic transfer-switch units, rated 600 A and higher, shall have separate arcing contacts.
  - 4. Conductor Connectors: Suitable for use with conductor material and sizes.
  - 5. Material: Tin-plated aluminum.
  - 6. Main and Neutral Lugs: Mechanical type.
  - 7. Ground Lugs and Bus-Configured Terminators: Mechanical type.
  - 8. Ground bar.
  - 9. Connectors shall be marked for conductor size and type according to UL 1008.
- D. Manual Switch Operation: Under load, with door closed and with either or both sources energized. Transfer time is the same as for electrical operation. Control circuit automatically disconnects from electrical operator during manual operation.
- E. Manual Switch Operation: Unloaded. Control circuit automatically disconnects from electrical operator during manual operation.



- F. Electric Nonautomatic Switch Operation: Electrically actuated by push buttons designated "Normal Source" and "Alternative Source." Switch shall be capable of transferring load in either direction with either or both sources energized.
- G. Signal-Before-Transfer Contacts: A set of normally open/normally closed dry contacts operates in advance of retransfer to normal source. Interval shall be adjustable from 1 to 30 seconds.
- H. Digital Communication Interface: Matched to capability of remote annunciator or annunciator and control panel.
- I. Automatic Transfer-Switch Controller Features:
  - 1. Controller operates through a period of loss of control power.
  - 2. Undervoltage Sensing for Each Phase of Normal and Alternate Source: Sense low phase-to-ground voltage on each phase. Pickup voltage shall be adjustable from 85 to 100 percent of nominal, and dropout voltage shall be adjustable from 75 to 98 percent of pickup value. Factory set for pickup at 90 percent and dropout at 85 percent.
  - 3. Voltage/Frequency Lockout Relay: Prevent premature transfer to generator. Pickup voltage shall be adjustable from 85 to 100 percent of nominal. Factory set for pickup at 90 percent. Pickup frequency shall be adjustable from 90 to 100 percent of nominal. Factory set for pickup at 95 percent.
  - 4. Time Delay for Retransfer to Normal Source: Adjustable from zero to 30 minutes, and factory set for 10 minutes. Override shall automatically defeat delay on loss of voltage or sustained undervoltage of emergency source, provided normal supply has been restored.
  - 5. Test Switch: Simulate normal-source failure.
  - 6. Switch-Position Pilot Lights: Indicate source to which load is connected.
  - 7. Source-Available Indicating Lights: Supervise sources via transfer-switch normal- and emergency-source sensing circuits.
    - a. Normal Power Supervision: Green light with nameplate engraved "Normal Source Available."
    - b. Emergency Power Supervision: Red light with nameplate engraved "Emergency Source Available."
  - 8. Unassigned Auxiliary Contacts: Two normally open, single-pole, double-throw contacts for each switch position, rated 10 A at 240-V ac.
  - 9. Transfer Override Switch: Overrides automatic retransfer control so transfer switch will remain connected to emergency power source regardless of condition of normal source. Pilot light indicates override status.
  - 10. Engine Starting Contacts: One isolated and normally closed, and one isolated and normally open; rated 10 A at 32-V dc minimum.
  - 11. Engine Shutdown Contacts: Instantaneous; shall initiate shutdown sequence at remote engine-generator controls after retransfer of load to normal source.
  - 12. Engine Shutdown Contacts: Time delay adjustable from zero to five minutes, and factory set for five minutes. Contacts shall initiate shutdown at remote engine-generator controls after retransfer of load to normal source.



13. Engine-Generator Exerciser: Solid-state, programmable-time switch starts engine generator and transfers load to it from normal source for a preset time, then retransfers and shuts down engine after a preset cool-down period. Initiates exercise cycle at preset intervals adjustable from 7 to 30 days. Running periods shall be adjustable from 10 to 30 minutes. Factory settings shall be for 7-day exercise cycle, 20-minute running period, and 5-minute cool-down period. Exerciser features include the following:
  - a. Exerciser Transfer Selector Switch: Permits selection of exercise with and without load transfer.
  - b. Push-button programming control with digital display of settings.
  - c. Integral battery operation of time switch when normal control power is unavailable.
- J. Large-Motor-Load Power Transfer:
  1. Programmed Neutral Switch Position: Switch operator with programmed neutral position arranged to provide a midpoint between the two working switch positions, with an intentional, time-controlled pause at midpoint during transfer. Adjustable pause from 0.5 to 30 seconds minimum, and factory set for 0.5 second unless otherwise indicated. Time delay occurs for both transfer directions. Disable pause unless both sources are live.

## 2.03 SOURCE QUALITY CONTROL

- A. Factory Tests: Test and inspect components, assembled switches, and associated equipment according to UL 1008. Ensure proper operation. Check transfer time and voltage, frequency, and time-delay settings for compliance with specified requirements. Perform dielectric strength test complying with NEMA ICS 1.
- B. Prepare test and inspection reports.
  1. For each of the tests required by UL 1008, performed on representative devices, for emergency systems. Include results of test for the following conditions:
    - a. Overvoltage.
    - b. Undervoltage.
    - c. Loss of supply voltage.
    - d. Reduction of supply voltage.
    - e. Alternative supply voltage or frequency is at minimum acceptable values.
    - f. Temperature rise.
    - g. Dielectric voltage-withstand; before and after short-circuit test.
    - h. Overload.
    - i. Contact opening.
    - j. Endurance.
    - k. Short circuit.
    - l. Short-time current capability.
    - m. Receptacle withstand capability.
    - n. Insulating base and supports damage.



## PART 3 - EXECUTION

### 3.01 INSTALLATION

- A. Floor-Mounting Switch: Anchor to floor by bolting.
  - 1. Install transfer switches on cast-in-place concrete equipment base(s).
  - 2. Coordinate size and location of concrete bases. Cast anchor-bolt inserts into bases.
  - 3. Provide workspace and clearances required by NFPA 70.
- B. Annunciator and Control Panel Mounting: Flush in wall unless otherwise indicated.
- C. Identify components according to Section 26 05 53 "Identification for Electrical Systems."
- D. Set field-adjustable intervals and delays, relays, and engine exerciser clock.
- E. Comply with NECA 1.

### 3.02 CONNECTIONS

- A. Wiring to Remote Components: Match type and number of cables and conductors to generator sets, control, and communication requirements of transfer switches as recommended by manufacturer. Increase raceway sizes at no additional cost to Owner if necessary to accommodate required wiring.
- B. Wiring Method: Install cables in raceways and cable trays except within electrical enclosures. Conceal raceway and cables except in unfinished spaces.
  - 1. Comply with requirements for raceways and boxes specified in Section 26 05 33 "Raceways and Boxes for Electrical Systems."
- C. Wiring within Enclosures: Bundle, lace, and train conductors to terminal points with no excess and without exceeding manufacturer's limitations on bending radii.
- D. Ground equipment according to Section 26 05 26 "Grounding and Bonding for Electrical Systems."
- E. Route and brace conductors according to manufacturer's written instructions. Do not obscure manufacturer's markings and labels.
- F. Final connections to equipment shall be made with liquidtight, flexible metallic conduit no more than 18 inches in length.

### 3.03 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.
- B. Provide full load test at the site, after installation. Utilize portable resistance load bank, to augment transferred loads to meet full load requirements. Simulate power failure including operation of transfer switch, automatic starting cycle, and automatic shutdown, and return to normal.
  - 1) Run set at 50% load for 2 hours.



- 2) Run set at 75% load for 2 hours.
  - 3) Run set at 100% load for 1 hour.
  - 4) Run test continuously from no load to full load. If test is interrupted for more than one hour then restart from step 1.
  - 5) DO NOT allow engine set to overheat.
- C. During test, record the following at 30 minute intervals:
- 1) Time of day.
  - 2) Engine Coolant temperature.
  - 3) Outside air temperature.
  - 4) Temperature within enclosure.
  - 5) Kilowatts.
  - 6) Amperes.
  - 7) Voltage.
  - 8) Frequency.
  - 9) Oil pressure.
- D. Test alarm and shutdown circuits by simulating conditions.
- E. Confirm operation of the remote annunciator.
- F. After installing equipment, test for compliance with requirements according to NETA ATS.
1. Visual and Mechanical Inspection:
    - a. Compare equipment nameplate data with Drawings and Specifications.
    - b. Inspect physical and mechanical condition.
    - c. Inspect anchorage, alignment, grounding, and required clearances.
    - d. Verify that the unit is clean.
    - e. Verify appropriate lubrication on moving current-carrying parts and on moving and sliding surfaces.
    - f. Verify that manual transfer warnings are attached and visible.
    - g. Verify tightness of all control connections.
    - h. Inspect bolted electrical connections for high resistance using one of the following methods, or both:
      - 1) Use of low-resistance ohmmeter.
      - 2) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method according to manufacturer's published data.
    - i. Perform manual transfer operation.
    - j. Verify positive mechanical interlocking between normal and alternate sources.
    - k. Perform visual and mechanical inspection of surge arresters.
    - l. Inspect control power transformers.
      - 1) Inspect for physical damage, cracked insulation, broken leads, tightness of connections, defective wiring, and overall general condition.
      - 2) Verify that primary and secondary fuse or circuit-breaker ratings match Drawings.
      - 3) Verify correct functioning of draw out disconnecting contacts, grounding contacts, and interlocks.



2. Electrical Tests:
  - a. Perform insulation-resistance tests on all control wiring with respect to ground.
  - b. Perform a contact/pole-resistance test. Compare measured values with manufacturer's acceptable values.
  - c. Verify settings and operation of control devices.
  - d. Calibrate and set all relays and timers.
  - e. Verify phase rotation, phasing, and synchronized operation.
  - f. Perform automatic transfer tests.
  - g. Verify correct operation and timing of the following functions:
    - 1) Normal source voltage-sensing and frequency-sensing relays.
    - 2) Engine start sequence.
    - 3) Time delay on transfer.
    - 4) Alternative source voltage-sensing and frequency-sensing relays.
    - 5) Automatic transfer operation.
    - 6) Interlocks and limit switch function.
    - 7) Time delay and retransfer on normal power restoration.
    - 8) Engine cool-down and shutdown feature.
3. Measure insulation resistance phase-to-phase and phase-to-ground with insulation-resistance tester. Include external annunciation and control circuits. Use test voltages and procedure recommended by manufacturer. Comply with manufacturer's specified minimum resistance.
  - a. Check for electrical continuity of circuits and for short circuits.
  - b. Inspect for physical damage, proper installation and connection, and integrity of barriers, covers, and safety features.
  - c. Verify that manual transfer warnings are properly placed.
  - d. Perform manual transfer operation.
4. After energizing circuits, perform each electrical test for transfer switches stated in NETA ATS and demonstrate interlocking sequence and operational function for each switch at least three times.
  - a. Simulate power failures of normal source to automatic transfer switches and retransfer from emergency source with normal source available.
  - b. Simulate loss of phase-to-ground voltage for each phase of normal source.
  - c. Verify time-delay settings.
  - d. Verify pickup and dropout voltages by data readout or inspection of control settings.
  - e. Test bypass/isolation unit functional modes and related automatic transfer-switch operations.
  - f. Verify proper sequence and correct timing of automatic engine starting, transfer time delay, retransfer time delay on restoration of normal power, and engine cool-down and shutdown.
- G. Coordinate tests with tests of generator and run them concurrently.
- H. Report results of tests and inspections in writing. Record adjustable relay settings and measured insulation and contact resistances and time delays. Attach a label or tag to each tested component indicating satisfactory completion of tests.
- I. Transfer switches will be considered defective if they do not pass tests and inspections.
- J. Remove and replace malfunctioning units and retest as specified above.



- K. Prepare test and inspection reports.
- L. Infrared Scanning: After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each switch. Remove all access panels so joints and connections are accessible to portable scanner.
  - 1. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
  - 2. Record of Infrared Scanning: Prepare a certified report that identifies switches checked and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.
  - 3. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan of each switch 11 months after date of Substantial Completion.

### 3.04 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain transfer switches and related equipment.
- B. Training shall include testing ground-fault protective devices and instructions to determine when the ground-fault system shall be retested. Include instructions on where ground-fault sensors are located and how to avoid negating the ground-fault protection scheme during testing and circuit modifications.
- C. Coordinate this training with that for generator equipment.
- D. Provide systems demonstration.
- E. Describe loads connected to emergency system and restrictions for future load additions.
- F. Simulate power outage by interrupting normal source, and demonstrate that system operates to provide emergency power.
- G. Provide placard, framed under glass, at the ATS and at the remote annunciator locations describing the sequence of operation, including manual override to force the system to transfer to standby generator source and assume standby loads.

END OF SECTION