MAINE BUREAU OF PARKS AND LANDS PROJECT MANUAL

for

GRIFFITH HEAD BRIDGE RECONSTRUCTION

AT

REID STATE PARK
GEORGETOWN, MAINE
BGS #3207

Issued for Bid

August 17, 2022

PINNACLE HILL ENGINEERING

PROJECT MANUAL

for

GRIFFITH HEAD BRIDGE RECONSTRUCTION

REID STATE PARK GEORGETOWN, MAINE

BGS #3207

Issued for Bid

August 17, 2022

Prepared for:

MAINE DEPARTMENT OF AGRICULTURE, CONSERVATION AND FORESTRY

BUREAU OF PARKS AND LANDS



Prepared by:

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Canaan, Maine 04924
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(207)858-5349

00 11 13 Notice to Contractors

Maine Dept. of Agriculture, Conservation and Forestry Bureau of Parks and Lands

Reid Griffith Head Bridge at Reid State Park

BGS project number 3207

The project is for demolition and replacement of the existing timber bridge in Reid State Park in order to increase the bridge capacity.

The bridge is on an internal park road that leads to the parking lot and facilities at the east end of the main ocean beach. The existing three span timber bridge will be removed and a new timber bridge will be constructed using glue laminated pressure treated beams. The design of the new deck and sidewalk planking, and new guardrails will be similar to the existing. The foundations will be reused.

The cost of the work is estimated to be approximately \$300,000. The bridge will remain in use by the public until a sufficient portion of the new deck materials are on site to allow for an uninterrupted construction period and by a schedule approved by the Owner. The park road will be closed to the public until substantial completion of the new bridge. The contract award is expected to occur in *Sept 2022*. Final Completion date is on or before *1 May 2023*.

1. Submit bids on a completed Contractor Bid Form, plus bid security when required, all scanned and included as an attachment to an email with the subject line marked "*Bid for Reid Griffith Head Bridge*" and addressed to the Bid Administrator at: BGS.Architect@Maine.gov, so as to be received no later than 2:00:00 p.m. on 8 September 2022.

Bid submissions will be opened and read aloud at the time and date noted above at the Bureau of General Services office, accessible as a video conference call. Those who wish to participate in the call must submit a request for access to BGS.Architect@Maine.gov.

Any bid received after the noted time will not be considered a valid bid and will remain unopened. Any bid submitted by any other means will not be considered a valid bid. The Bid Administrator may require the Bidder to surrender a valid paper copy of the bid form or the bid security document in certain circumstances.

Questions on the bid opening process shall be addressed to the Bid Administrator: Joseph Ostwald, Director, Division of Planning, Design & Construction, Bureau of General Services, 77 State House Station, Augusta, Maine 04333-0077, BGS.Architect@Maine.gov.

- 2. The bid shall be submitted on the Contractor Bid Form (section 00 41 13) provided in the Bid Documents. The Owner reserves the right to accept or reject any or all bids as may best serve the interest of the Owner.
- 3. Bid security is required on this project. The Bidder shall include a satisfactory Bid Bond (section 00 43 13) or a certified or cashier's check for 5% of the bid amount with the completed bid form submitted to the Owner. The Bid Bond form is available on the BGS website.

00 11 13 Notice to Contractors

- 4. Performance and Payment Bonds are required on this project.

 The selected Contractor shall furnish a 100% contract Performance Bond (section 00 61 13.13) and a 100% contract Payment Bond (section 00 61 13.16) in the contract amount to cover the execution of the Work. Bond forms are available on the BGS website.
- 5. Filed Sub-bids are not required on this project.
- 6. There are no Pre-qualified General Contractors on this project.
- 7. An on-site pre-bid conference will be conducted for this project. It is optional for General Contractors, Subcontractors and suppliers. *The pre-bid conference will be at 8:30 a.m. 30 August 2022, at the project site, at Reid State Park.* Get directions from the ticket booth attendant if needed. Use the parking lot on the left before the bridge and meet at the bridge. Allow for delays if the beach traffic is heavy.
- 8. Bid Documents full sets only will be available on or about 17 August 2022 and may be obtained at no cost from:

Bureau of General Service website

https://www.maine.gov/dafs/bgs/business-opportunities

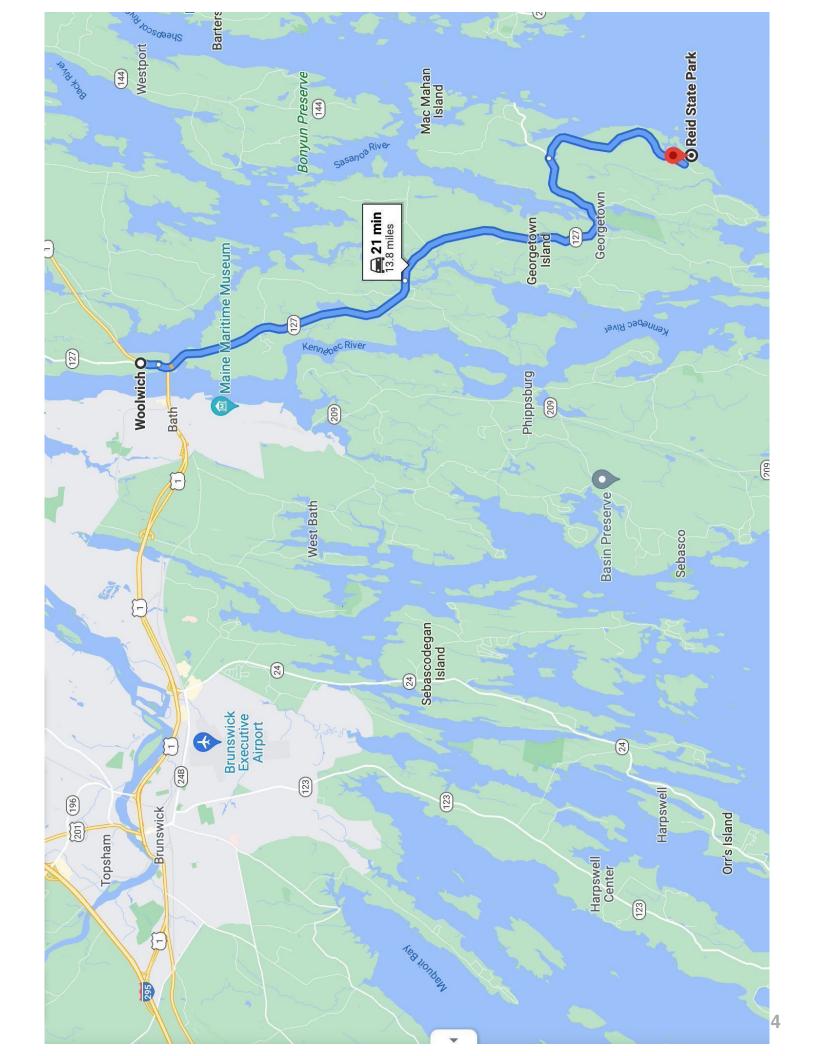
9. Bid Documents may be examined at:

AGC Maine Construction Summary

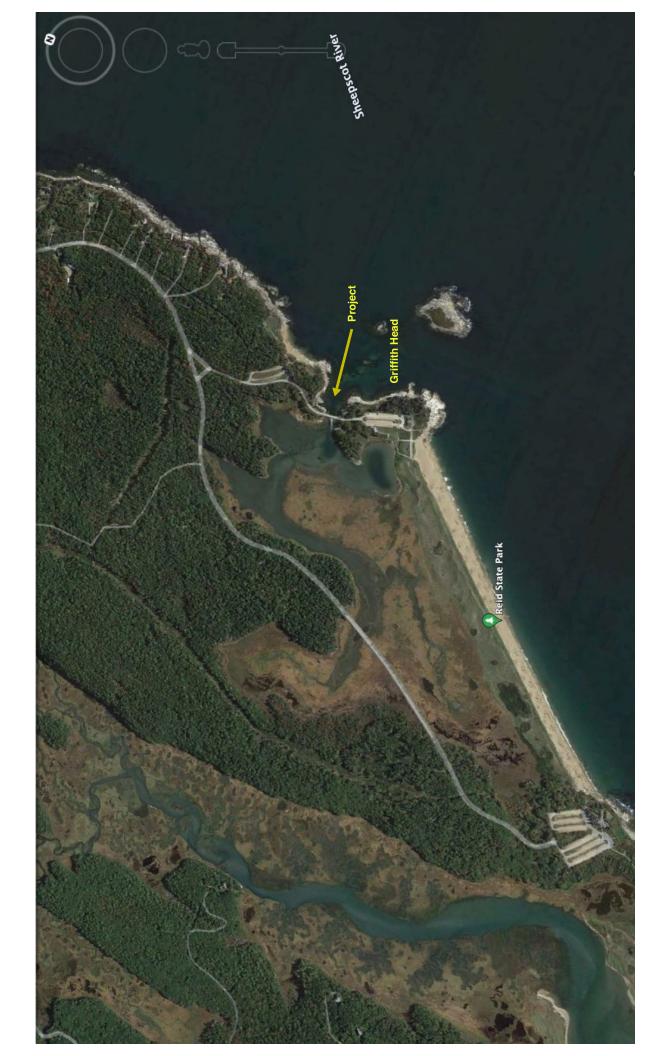
188 Whitten Road 734 Chestnut Street

Augusta, ME 04330 Manchester, NH 03104

Phone 207-622-4741 Fax 207-622-1625 Phone 603-627-8856 Fax 603-627-4524











00 21 13 Instructions to Bidders

- 1. Bidder Requirements
- 1.1 A bidder is a Contractor who is qualified, or has been specifically pre-qualified by the Bureau of General Services, to bid on the proposed project described in the Bid Documents.
- 1.2 Contractors and Subcontractors bidding on projects that utilize Filed Sub-bids shall follow the requirements outlined in these Bid Documents for such projects. See Section 00 22 13 for additional information.
- 1.3 Contractors and Subcontractors are not eligible to bid on the project when their access to project design documents prior to the bid period distribution of documents creates an unfair bidding advantage. Prohibited access includes consultation with the Owner or with design professionals engaged by the Owner regarding cost estimating, constructability review, or project scheduling. This prohibition to bid applies to open, competitive bidding or pre-qualified contractor bidding or Filed Sub-bidding. The Bureau may require additional information to determine if the activities of a Contractor constitute an unfair bidding advantage.
- 1.4 Each bidder is responsible for becoming thoroughly familiar with the Bid Documents prior to submitting a bid. The failure of a bidder to review evident site conditions, to attend available prebid conferences, or to receive, examine, or act on addenda to the Bid Documents shall not relieve that bidder from any obligation with respect to their bid or the execution of the work as a Contractor.
- 1.5 Prior to the award of the contract, General Contractor bidders or Filed Sub-bidders may be required to provide documented evidence to the Owner or the Bureau showing compliance with the provisions of this section, their business experience, financial capability, or performance on previous projects.
- 1.6 The selected General Contractor bidder will be required to provide proof of insurance before a contract can be executed.
- 1.7 Contracts developed from this bid shall not be assigned, sublet or transferred without the written consent of the Owner.
- 1.8 By submitting a bid the Contractor attests that it has not been declared ineligible to bid on State of Maine projects. The Director of the Bureau of General Services may disallow award of this contract to any Contractor if there is evidence that the Contractor or any of its Subcontractors, through their own fault, have been terminated, suspended for cause, debarred from bidding, agreed to refrain from bidding as part of a settlement, have defaulted on a contract, or had a contract completed by another party.
- 1.9 The Contractor attests that it is not presently indicted for or otherwise criminally or civilly charged by a Federal, State or local government entity with commission of any of the following offenses and has not within a three-year period preceding this bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction, or contract under a public transaction, violation of Federal or State anti-trust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.

00 21 13 Instructions to Bidders

- 1.10 The Contractor shall not make any award or permit any award (subgrant or contract) at any tier to any party which is debarred or suspended or is otherwise excluded from or ineligible for participation in Federal assistance programs or State of Maine projects.
- 2. Authority of Owner
- 2.1 The Owner reserves the right to accept or reject any or all bids as may best serve the interest of the Owner.
- 2.2 Subject to the Owner's stated right to accept or reject any or all bids, the Contractor shall be selected on the basis of the lowest dollar value of an acceptable Base Bid, or any combination of Base Bid plus Alternate Bids, as well as other limited cost modifications the Owner determines may best serve the interests of the Owner. An acceptable bid is a duly submitted bid from a responsive and responsible bidder.
- 2.3 The Owner reserves the right to require Bid Bonds or Performance and Payment Bonds for any project of any contract value.
- 3. Submitting Bids and Bid Requirements
- 3.1 Each bid shall be submitted on the forms provided in the Bid Documents.
- 3.2 Each bid shall be valid for a period of thirty calendar days following the Project bid closing date and time. The bid expiration date may be extended in unusual circumstances by mutual consent of the Bidder and the Owner. The bid amount shall not be modified due to the bid expiration date extension.
- 3.3 Any provision contained in a bid which shows cost escalation, or any modification of schedule or other requirements shall not be accepted. Such a provision causes the bid to be invalid, or, at the discretion of the Owner and BGS, that element of the bid submission may be disregarded for the purpose of awarding the contract without that provision.
- 3.4 Bidders shall include a Bid Bond or other approved bid security with the bid form submitted to the Owner when the bid form indicates such bid security is required. The bond value shall be 5% of the bid amount. The form of bond is shown in section 00 43 13.
- 3.5 Bidders recognize that inclusion of contract bonds and the cost of those bonds is dependent on the awarded contract dollar value. Therefore, a Base Bid, or any combination of Base Bid plus Alternate Bids, as well as other limited cost modifications, resulting in a contract award shall include the cost of Performance and Payment Bonds in the submitted bid amount when the construction contract value is over \$125,000.00. Similarly, the cost of Performance and Payment Bonds is excluded in the submitted bid amount when the construction contract value is \$125,000.00 or less unless bonds are specifically required by the Bid Documents. When required for the project, the selected Contractor shall provide these bonds before a contract can be executed, pursuant to 14 M.R.S.A., Section 871, Public Works Contractors' Surety Bond Law of 1971, subsection 3. The form of bonds is shown in section 00 61 13.13 and 00 61 13.16.

00 21 13 Instructions to Bidders

- 3.6 Bidders may modify bids in writing, by the same means as the original bid submission, prior to the bid closing time. Such written amendments shall not disclose the amount of the initial bid. If so disclosed, the entire bid is considered invalid.
- 3.7 Bidders implicitly acknowledge all Addenda issued when they submit the bid form. By usual practice the Consultant shall not issue Addenda less than 72 hours prior to the bid closing time, to allow ample time for bidders to incorporate the information. However, some information, such as extending the bid due date and time, may be issued with shorter notice. Addenda shall be issued to all companies who are registered holders of Bid Documents.
- 3.8 A bid may be withdrawn without penalty if a written request by the bidder is presented to the Owner prior to the bid closing time. Such written withdrawal requests are subject to verification as required by the Bureau. After the bid closing time, such written withdrawal requests may be allowed in consideration of the bid bond or, without utilizing a bid bond, if the Contractor provides documented evidence to the satisfaction of the Bureau that factual errors had been made on the bid form.
- 3.9 In the event State of Maine Offices unexpectedly close on the published date of a public bid opening in the location of that bid opening, prior to the time of the scheduled deadline, the new deadline for the public bid opening will be the following business day at the originally scheduled hour of the day, at the original location. Official closings are posted on the State of Maine government website.
- 3.10 The Owner may require, in a Notice of Intent to Award letter to the apparent low bidder, a Schedule of Values, Project Schedule, and List of Subcontractors and Suppliers as both a demonstration of capability of the Bidder and as a condition of award.
- 3.11 Projects which require a State of Maine wage determination will include that schedule as part of the Bid Documents. See section 00 73 46, if such rates are required.
- 3.12 Projects which require compliance with the Davis-Bacon Act are subject to the regulations contained the Code for Federal Regulations and the federal wage determination which is made a part of the Bid Documents. See section 00 73 46, if such rates are required.
- 3.13 The Owner is exempt from the payment of Maine State sales and use taxes as provided in 36 M.R.S. §1760 (1). The Contractor and Subcontractors shall not include taxes on exempt items in the construction contract.

00 41 13 Contractor Bid Form

Griffith Head Bridge Reconstruction

3207

Bid Form submitted by:

Bid Administrator:

Joseph Ostwald
Bureau of General Services
111 Sewall Street, Cross State Office Building, 4th floor
77 State House Station
Augusta, Maine 04333-0077

BGS.Architect@Maine.gov

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Signature:	
Printed name and title:	
Phone number:	
Email address:	
if a corporation: List of all partners,	
if a partnership:	

The Bidder agrees, if the Owner offers to award the contract, to provide any and all bonds and certificates of insurance, as well as Schedule of Values, Project Schedule, and List of Subcontractors and Suppliers if required by the Owner, and to sign the designated Construction Contract within twelve calendar days after the date of notification of such acceptance, except if the twelfth day falls on a State of Maine government holiday or other closure day, or a Saturday, or a Sunday, in which case the aforementioned documents must be received before 12:00 noon on the first available business day following the holiday, other closure day, Saturday, or Sunday.

As a guarantee thereof, the Bidder submits, together with this bid, a bid bond or other acceptable instrument as and if required by the Bid Documents.

00 41 13 Contractor Bid Form

1. The Bidder, having carefully examined the <u>Griffith Head Bridge Reconstruction</u> P. Manual dated <u>August 17, 2022</u> , prepared by <u>Pinnacle Hill Engineering</u> , as well as Specifications, Drawings, and any Addenda, the form of contract, and the premises conditions relating to the work, proposes to furnish all labor, equipment and mater necessary for and reasonably incidental to the construction and completion of this the Base Bid amount of:			as ses and serials
		\$.00
2.	Allowances on this project.		
			\$ 0 <u>.00</u>
3.	Alternate Bids on this project. Any dollar amount line below that is left blank by the Bidder shall	ll be read as a bid of	\$0.00 .
	1 Described in Section 01 01 00 Summary of Work	\$.00
	2 "not used"	\$.00.
	3 "not used"	\$.00.
	4 "not used"	\$.00.
 4. 5. 	Bid security on this project. If noted above as required, or if the Base Bid amount exceeds \$12 with this bid form a satisfactory Bid Bond (section 00 43 13) or a of the bid amount with this completed bid form submitted to the Completed Sub-bids on this project. If noted above as required, the Bidder shall include with this bid is selected by the Bidder on the form provided (section 00 41 13F).	certified or cashier's Owner.	s check for 5%

revised 21 October 2020

00 43 13 Contractor Bid Bond

Bond No.: insert bond number

We, the undersigned, insert company name of Contractor, select type of entity of insert name of

municipality in the State of insert name of state as principal, and insert name of surety as Surety, are

hereby held and firmly bound unto select title of obligee in the penal sum of five percent of the bid

amount, for the payment of which, well and truly to be made, we hereby jointly and severally bind

ourselves, our heirs, executors, administrators, successors and assigns, signed this insert date, i.e.: 8th

day of *select month*, *select year*, which is the same date as that of the first specified bid due date, or

subsequent bid due date revised by addendum.

The condition of the above obligation is such that whereas the principal has submitted to the

Owner, or State of Maine, to a certain bid, attached hereto and hereby made a part hereof, to enter into a

contract in writing, for the construction of *insert name of project as designated in the contract*

documents

Now therefore:

If said bid shall be rejected, or, in the alternate,

If said bid shall be accepted and the principal shall execute and deliver a contract in the form of

contract attached hereto, properly completed in accordance with said bid, and shall furnish a bond for the

faithful performance of said contract, and for the payment of all persons performing labor or furnishing

material in connection therewith, and shall in all other respects perform the agreement created by the

acceptance of said bid, then this obligation shall be void.

Otherwise, the same shall remain in force and effect- it being expressly understood and agreed

that the liability of the Surety for any and all claims hereunder shall, in no event, exceed the penal amount

of this obligation as herein stated.

The Surety, for value received hereby stipulates and agrees that the obligation of said Surety and

its bonds shall be in no way impaired or affected by any extension of the time within which the Obligee

may accept such bid and said Surety does hereby waive notice of any such extension.

[Fillable bond forms may be downloaded from the Bureau of General Services website.]

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00 43 13 Contractor Bid Bond

In witness whereof, the principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set above.

Signed and sealed this <u>insert date, i.e.: 8th</u> day of <u>select month</u>, <u>select year</u>, which is the first specified bid due date, or subsequent bid due date revised by addendum.

Contractor

(Signature) insert name and title insert company name insert address insert city state zip code Surety (Signature) insert name and title insert company name insert address insert city state zip code

If Contractor is a partnership, all partners shall execute the bond. A power of attorney document indicating that it still is in full force and effect shall be provided by the person executing this bond.

State of Maine CONSTRUCTION CONTRACT

Large Construction Project

This form is used when the Contract value is \$50,000 or greater.

The Project Manual, Specifications and Drawings are considered part of this Contract.

Agreement entered into by and between the <u>insert contracting entity name</u> hereinafter called the *Owner* and <u>insert Contractor company name</u> hereinafter called the *Contractor*.

BGS Project No.: <i>insert number assigned by BGS</i>	Other Project No.:
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For the following Project: <u>title of project shown on documents</u> at <u>facility or campus name</u>, <u>municipality</u>, Maine.

The Specifications and the Drawings have been prepared by *firm name*, acting as Professional-of-Record and named in the documents as the Consultant Architect or Engineer.

The Owner and Contractor agree as follows:

ARTICLE 1 COMPENSATION AND PAYMENTS

1.1 The Owner shall pay the Contractor to furnish all labor, equipment, materials and incidentals necessary for the construction of the Work described in the Specifications and shown on the Drawings the Contract Amount as shown below.

Total Contract Amount	<u>\$0.00</u>
Alternate Bid number and name or "no Alternates"	<u>\$0.00</u>
Alternate Bid number and name or "no Alternates"	<u>\$0.00</u>
Alternate Bid number and name or "no Alternates"	<u>\$0.00</u>
Alternate Bid number and name or "no Alternates"	<u>\$0.00</u>
Alternate Bid number and name or "no Alternates"	<u>\$0.00</u>
Base Bid	<u>\$0.00</u>
Base Bid	\$0

- **1.2** The Contractor's requisition shall contain sufficient detail and supporting information for the Owner to evaluate and support the payment requested.
- **1.2.1** Payments are due and payable twenty-five working days from the date of receipt of a Contractor requisition which is approved by the Owner.
- **1.2.2** Provisions for late payments are governed by 5 M.R.S. Chapter 144, *Payment of Invoices Received from Business Concerns*, and interest shall be calculated at 1% per month.

AdvantageME CT#

ARTICLE 2 COMMENCEMENT AND COMPLETION DATES

- **2.1** The Work of this Contract shall commence no sooner than the date this document is executed by the approval authority, or a subsequent date designated in the contract documents.
- 2.2 The Substantial Completion Date shall be <u>15 December 2023</u>.
- **2.3** The Work of this Contract shall be completed on or before the <u>Contract Final Completion</u> Date of *31 December 2023*.
- **2.4** The Contract Expiration Date shall be <u>29 February 2024</u>. (This date is the <u>Owner's</u> deadline for internal management of contract accounts. The Contract Expiration Date does not directly relate to any contract obligation of the Contractor.)

ARTICLE 3 INELIGIBLE BIDDER

- 3.1 By signing this contract the Contractor attests that it has not been declared ineligible to bid on State of Maine projects. The Bureau of General Services may disallow award of this contract to any Contractor if there is evidence that the Contractor or any of its Subcontractors, through their own fault, have been terminated, suspended for cause, debarred from bidding, agreed to refrain from bidding as part of a settlement, have defaulted on a contract, or had a contract completed by another party.
- 3.2 By signing this contract the Contractor attests that it is not presently indicted for or otherwise criminally or civilly charged by a Federal, State or local government entity with commission of any of the following offenses and has not within a three-year period preceding this bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction, or contract under a public transaction, violation of Federal or State anti-trust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.
- 3.3 The Contractor shall not make any award or permit any award (subgrant or contract) at any tier to any party which is debarred or suspended or is otherwise excluded from or ineligible for participation in Federal assistance programs or State of Maine projects.

ARTICLE 4 CONTRACTOR'S RESPONSIBILITIES

- 1. On this project, the Contractor furnish the Owner the appropriate contract bonds in the amount of 100% of the Contract Sum. Contract bonds are mandated if the Contract Sum exceeds \$125,000, or if bonds are specifically required by the Contract Documents.
- 2. The Contractor shall comply with all laws, codes and regulations applicable to the Work.
- 3. The Contractor shall acquire all permits and third-party approvals applicable to the Work not specifically identified as provided by the Owner. Costs for Contractor-provided

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permits and third-party approvals shall be included in the Contract Sum identified in Section 1.1 above.

- 4. The Contractor shall remain an independent agent for the duration of this Contract, shall not become an employee of the State of Maine, and shall assure that no State employee will be compensated by, or otherwise benefit from, this Contract.
- 5. The Contractor shall be responsible for any design cost, construction cost, or other cost incurred on the Project to the extent caused by the negligent acts, errors or omissions of the Contractor or their Subcontractors in the performance of Work under this Contract.

ARTICLE 5 OWNER'S RESPONSIBILITIES

- 1. The Owner shall provide full information about the objectives, schedule, constraints and existing conditions of the project. The Owner has established a budget with reasonable contingencies that meets the project requirements.
- 2. By signing this contract, the Owner attests that all State of Maine procurement requirements for this contract have been met, including the solicitation of competitive bids.

ARTICLE 6 INSTRUMENTS OF SERVICE

1. The Contractor's use of the drawings, specifications and other documents known as the Consultant's Instruments of Service is limited to the execution of the Contractor's scope of work of this project unless the Contractor receives the written consent of the Owner and Consultant for use elsewhere.

ARTICLE 7 MISCELLANEOUS PROVISIONS

- 1. This Contract shall be governed by the laws of the State of Maine.
- 2. The Owner and Contractor, respectively, bind themselves, their partners, successors, assigns and legal representatives to this Contract. Neither party to this Contract shall assign the Contract as a whole without written consent of the other party, which consent the Owner may withhold without cause.
- 3. Notwithstanding any other provision of this Agreement, if the Owner does not receive sufficient funds to fund this Agreement or funds are de-appropriated, or if the Owner does not receive legal authority from the Maine State Legislature or Maine Courts to expend funds intended for this Agreement, then the Owner is not obligated to make payment under this Agreement; provided, however, the Owner shall be obligated to pay for services satisfactorily performed prior to any such non-appropriation in accordance with the termination provisions of this Agreement. The Owner shall timely notify the Contractor of any non-appropriation and the effective date of the non-appropriation.

ARTICLE 8 CONTRACT DOCUMENTS

- 1. The General Conditions of the contract, instructions to bidders, bid form, Special Provisions, the written specifications and the drawings, and any Addenda, together with this agreement, form the contract. Each element is as fully a part of the Contract as if hereto attached or herein repeated.
- 2. Specifications: *indicate date of issuance of project manual*
- 3. Drawings: *note each sheet number and title*
- 4. Addenda: note each addenda number and date, or "none"



The Contract is effective as of the date executed by the approval authority.

OWNER CONTRACTOR Signature Signature Date Date name and title name and title name of contractor company name of contracting entity address addresstelephone telephone email address email address Vendor Number

Indicate the names of the review and approval individuals appropriate to the approval authority.

Reviewed by:		Approved by:		
Signature	Date	Signature	Date	
insert name		Joseph H. Ostwald		
Project Manager/ Contract Administrator		Director, Planning, Design & Construction		

00 61 13.13 Contractor Performance Bond

Bond No.: insert bond number

We, the undersigned, <u>insert company name of Contractor</u>, <u>select type of entity</u> of <u>insert name of municipality</u> in the State of <u>insert name of state</u> as principal, and <u>insert name of surety</u> as Surety, are hereby held and firmly bound unto <u>select title of obligee</u> in the penal sum of the Contract Price \$ <u>insert</u> <u>the Contract Price in numbers</u> for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

The condition of the above obligation is such that if the principal shall promptly and faithfully perform the contract entered into this <u>insert date</u>, i.e.: 8th day of <u>select month</u>, <u>select year</u>, which is the same date as that of the notice of intent to award letter, or in the absence of such a letter, not later than the date the Owner signs the construction contract, for the construction of <u>insert name of project as</u> <u>designated in the contract documents</u>, then this obligation shall be null and void.

Otherwise, the same shall remain in force and effect- it being expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall, in no event, exceed the penal amount of this obligation as herein stated.

The Surety, for value received hereby stipulates and agrees that the obligation of said Surety and its bonds shall be in no way impaired or affected by any extension of the time which the Obligee may accept during the performance of the contract and said Surety does hereby waive notice of any such extension.

00 61 13.13 Contractor Performance Bond

In witness whereof, the principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set above.

Signed and sealed this <u>insert date, i.e.: 8th</u> day of <u>select month</u>, <u>select year</u>, which is the same date as that of the notice of intent to award letter, or in the absence of such a letter, not later than the date the Owner signs the construction contract.

Contractor

(Signature) insert name and title insert company name insert address insert city state zip code Surety (Signature) insert name and title insert company name insert address insert city state zip code

If Contractor is a partnership, all partners shall execute the bond. A power of attorney document indicating that it still is in full force and effect shall be provided by the person executing this bond.

revised 21 October 2020

00 61 13.16 Contractor Payment Bond

Bond No.: insert bond number

We, the undersigned, <u>insert company name of Contractor</u>, <u>select type of entity</u> of <u>insert name of municipality</u> in the State of <u>insert name of state</u> as principal, and <u>insert name of surety</u> as Surety, are hereby held and firmly bound unto <u>select title of obligee</u> in the penal sum of the Contract Price \$ <u>insert</u> <u>the Contract Price in numbers</u> for the use and benefit of claimants, defined as an entity having a contract with the principal or with a subcontractor of the principal for labor, materials, or both labor and materials, used or reasonably required for use in the performance of the contract, for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

The condition of the above obligation is such that if the principal shall promptly satisfy all claims and demands incurred for all labor and materials, used or required by the principal in connection with the work described in the contract entered into this *insert date*, *i.e.*: 8th day of select month, select year, which is the same date as that of the notice of intent to award letter, or in the absence of such a letter, not later than the date the Owner signs the construction contract, for the construction of insert name of project as designated in the contract documents, and shall fully reimburse the obligee for all outlay and expense with said obligee may incur in making good any default of said principal, then this obligation shall be null and void.

Otherwise, the same shall remain in force and effect- it being expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall, in no event, exceed the penal amount of this obligation as herein stated.

The Surety, for value received hereby stipulates and agrees that the obligation of said Surety and its bonds shall be in no way impaired or affected by any extension of the time which the Obligee may accept during the performance of the contract and said Surety does hereby waive notice of any such extension.

00 61 13.16 Contractor Payment Bond

In witness whereof, the principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set above.

Signed and sealed this <u>insert date, i.e.: 8th</u> day of <u>select month</u>, <u>select year</u>, which is the same date as that of the notice of intent to award letter, or in the absence of such a letter, not later than the date the Owner signs the construction contract.

Contractor

(Signature) insert name and title insert company name insert city state zip code Surety (Signature) insert name and title insert company name insert company name insert city state zip code

If Contractor is a partnership, all partners shall execute the bond. A power of attorney document indicating that it still is in full force and effect shall be provided by the person executing this bond.

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1. Definitions

- 1.1 *Addendum*: A document issued by the Consultant that amends the Bid Documents. Addenda shall not be issued less than seventy-two hours prior to the specified bid opening time.
- 1.2 Allowance: A specified dollar amount for a particular scope of work or service included in the Work that is identified in the Bid Documents and included in each Bidder's Bid. The Contractor shall document expenditures for an Allowance during the Project. Any unused balance shall be credited to the Owner. The Contractor is responsible for notifying the Owner of anticipated expenses greater than the specified amount and the Owner is responsible for those additional expenses.
- 1.3 Alternate Bid: The Contractor's written offer of a specified dollar amount, submitted on the Bid Form, for the performance of a particular scope of work described in the Bid Documents. The Owner determines the low bidder based on the sum of the base Bid and any combination of Alternate Bids that the Owner selects.
- 1.4 *Architect*: A Consultant acting as, or supporting, the Professional-of-Record who is responsible for the design of the Project. Equivalent to "Consultant" in State of Maine contract forms.
- 1.5 Architectural Supplemental Instruction (ASI): A written instruction from the Architect for the purpose of clarification of the Contract Documents. An ASI does not alter the Contract Price or Contract Time. ASIs may be responses to RFIs and shall be issued by the Architect in a timely manner to avoid any negative impact on the Schedule of the Work.
- 1.6 Bid: The Contractor's written offer of a specified dollar amount or amounts, submitted on a form included in the Bid Documents, for the performance of the Work. A Bid may include bonds or other requirements. A base Bid is separate and distinct from Alternate Bids, being the only cost component necessary for the award of the contract, and representing the minimum amount of Work that is essential for the functioning of the Project.
- 1.7 *Bid Bond*: The security designated in the Bid Documents, furnished by Bidders as a guaranty of good faith to enter into a contract with the Owner, should a contract be awarded to that Bidder.
- 1.8 *Bidder*: Any business entity, individual or corporation that submits a bid for the performance of the work described in the Bid Documents, acting directly or through a duly authorized representative. See also *Responsive and Responsible Bidder*.
- 1.9 *Bid Documents*: The drawings, procurement and contracting requirements, general requirements, and the written specifications -including all addenda, that a bidder is required to reference in the submission of a bid.
- 1.10 *Bureau*: The State of Maine Bureau of General Services, or BGS, in the Department of Administrative and Financial Services.
- 1.11 *Calendar days*: Consecutive days, as occurring on a calendar, taking into account each day of the week, month, year, and any religious, national or local holidays. Calendar days are used for changes in Contract Time.

- 1.12 *Certificate of Substantial Completion*: A document developed by the Consultant that describes the final status of the Work and establishes the date that the Owner may use the facility for its intended purpose. The Certificate of Substantial Completion may also include a provisional list of items a "punch list" remaining to be completed by the Contractor. The Certificate of Substantial Completion identifies the date from which the project warranty period commences.
- 1.13 *Certificate of Occupancy*: A document developed by a local jurisdiction such as the Code Enforcement Officer that grants permission to the Owner to occupy a building.
- 1.14 Change Order (CO): A document that modifies the contract and establishes the basis of a specific adjustment to the Contract Price or the Contract Time, or both. Change Orders may address correction of omissions, errors, and document discrepancies, or additional requirements. Change Orders should include all labor, materials and incidentals required to complete the work described. A Change Order is not valid until signed by the Contractor, Owner and Consultant and approved by the Bureau.
- 1.15 Change Order Proposal (COP) (see also Proposal): Contract change proposed by the Contractor regarding the contract amount, requirements, or time. The Contractor implements the work of a COP after it is accepted by all parties. Accepted COPs are incorporated into the contract by Change Order.
- 1.16 *Clerk of the Works*: The authorized representative of the Consultant on the job site. Clerk of the Works is sometimes called the Architect's representative.
- 1.17 *Construction Change Directive (CCD)*: A written order prepared by the Consultant and signed by the Owner and Consultant, directing a change in the Work prior to final agreement with the Contractor on adjustment, if any, in the Contract Price or Contract Time, or both.
- 1.18 *Contract*: A written agreement between the Owner and the successful bidder which obligates the Contractor to perform the work specified in the Contract Documents and obligates the Owner to compensate the Contractor at the mutually accepted sum, rates or prices.
- 1.19 *Contract Bonds (also known as Payment and Performance Bonds)*: The approved forms of security, furnished by the Contractor and their surety, which guarantee the faithful performance of all the terms of the contract and the payment of all bills for labor, materials and equipment by the Contractor.
- 1.20 *Contract Documents*: The drawings and written specifications (including all addenda), Standard General Conditions, and the contract (including all Change Orders subsequently incorporated in the documents).
- 1.21 *Contract Expiration Date*: Date determined by the Owner as a deadline for internal management of contract accounts. This allows time after the Contract Final Completion Date for processing the final Requisition for Payment. The Contract Expiration Date does not directly relate to any contract obligation of the Contractor.
- 1.22 *Contract Final Completion Date*: Point of time when the Work is fully completed in compliance with the Contract Documents, as certified by the Consultant. Final payment to the Contractor is due upon Final Completion of the Project.
- 1.23 Contract Price: The dollar amount of the construction contract, also called Contract Sum.

- 1.24 *Contract Time*: The designated duration of time to execute the Work of the contract, with a specific date for completion.
- 1.25 *Contractor*: Also called the "General Contractor" or "GC" the individual or entity undertaking the execution of the general contract work under the terms of the contract with the Owner, acting directly or through a duly authorized representative. The Contractor is responsible for the means, methods and materials utilized in the execution and completion of the Work.
- 1.26 *Consultant*: The Architect or Engineer acting as Professional-of-Record for the Project. The Consultant is responsible for the design of the Project.
- 1.27 *Drawings*: The graphic and pictorial portion of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.
- 1.28 *Engineer*: A Consultant acting as, or supporting, the Professional-of-Record who is responsible for the design of the Project. Equivalent to "Consultant" in State of Maine contract forms.
- 1.29 *Filed Sub-bid*: The designated major Subcontractor's (or, in some cases, Contractor's) written offer of a specified dollar amount or amounts, submitted on a form included in the Bid Documents, for the performance of a particular portion of the Work. A Filed Sub-bid may include bonds or other requirements.
- 1.30 General Requirements: The on-site overhead expense items the Contractor provides for the Project, typically including, but not limited to, building permits, construction supervision, Contract Bonds, insurance, field office, temporary utilities, rubbish removal, and site fencing. Overhead expenses of the Contractor's general operation are not included. Sometimes referred to as the Contractor's General Conditions.
- 1.31 *Owner*: The State agency which is represented by duly authorized individuals. The Owner is responsible for defining the scope of the Project and compensation to the Consultant and Contractor.
- 1.32 *Owner's Representative*: The individual or entity contracted by the Owner to be an advisor and information conduit regarding the Project.
- 1.33 *Overhead*: General and administrative expenses of the Contractor's principal and branch offices, including payroll costs and other compensation of Contractor employees, deductibles paid on any insurance policy, charges against the Contractor for delinquent payments, and costs related to the correction of defective work, and the Contractor's capital expenses, including interest on capital used for the work.
- 1.34 *Performance and Payment Bonds (also known as Contract Bonds)*: The approved forms of security, furnished by the Contractor and their surety, which guarantee the faithful performance of all the terms of the contract and the payment of all bills for labor, materials and equipment by the Contractor.
- 1.35 *Post-Bid Addendum*: Document issued by the Consultant that defines a potential Change Order prior to signing of the construction contract. The Post-Bid Addendum allows the Owner to negotiate

contract changes with the Bidder submitting the lowest valid bid, only if the negotiated changes to the Bid Documents result in no change or no increase in the bid price.

A Post-Bid Addendum may also be issued after a competitive construction Bid opening to those Bidders who submitted a Bid initially, for the purpose of rebidding the Project work without readvertising.

- 1.36 *Project*: The construction project proposed by the Owner to be constructed according to the Contract Documents. The Project, a public improvement, may be tied logistically to other public improvements and other activities conducted by the Owner or other contractors.
- 1.37 Proposal (see also Change Order Proposal): The Contractor's written offer submitted to the Owner for consideration containing a specified dollar amount or rate, for a specific scope of work, and including a schedule impact, if any. A proposal shall include all costs for overhead and profit. The Contractor implements the work of a Proposal after it is accepted by all parties. Accepted Proposals are incorporated into the contract by Change Order.
- 1.38 Proposal Request (PR): An Owner's written request to the Contractor for a Change Order Proposal.
- 1.39 *Punch List*: A document that identifies the items of work remaining to be done by the Contractor at the Close Out of a Project. The Punch List is created as a result of a final inspection of the work only after the Contractor attests that all of the Work is in its complete and permanent status.
- 1.40 *Request For Information (RFI)*: A Contractor's written request to the Consultant for clarification, definition or description of the Work. RFIs shall be presented by the Contractor in a timely manner to avoid any negative impact on the Schedule of the Work.
- 1.41 Request For Proposal (RFP): An Owner's written request to the Contractor for a Change Order Proposal.
- 1.42 *Requisition for Payment*: The document in which the Contractor certifies that the Work described is, to the best of the Contractor's knowledge, information and belief, complete and that all previous payments have been paid by the Contractor to Subcontractors and suppliers, and that the current requested payment is now due. See *Schedule of Values*.
- 1.43 *Responsive and Responsible Bidder*: A bidder who complies, when submitting a bid on a given project, with the following *responsive* standards, as required by the Bid Documents:

submits specific qualifications to bid the project, if required;

attends mandatory pre-bid conferences, if required;

submits a bid prior to the close of the bid period;

submits a complete bid form;

submits a bid without indications of intent contrary to the stated requirements;

submits other materials and information, such as bid security, as required;

and, meets the following minimums regarding these responsible standards:

sustains a satisfactory record of project performance;

maintains a permanent place of business in a known physical location;

possesses the financial means for short- and long-term operations;

possesses the appropriate technical experience and capabilities;

employs adequate personnel and subcontractor resources;

maintains the equipment needed to perform the work; complies with the proposed implementation schedule; complies with the insurance and bonding requirements; provides post-construction warranty coverage; and other criteria which can be considered relevant to the contract.

- 1.44 *Retainage*: The amount, calculated at five percent (5%) of the contract value or a scheduled value, that the Owner shall withhold from the Contractor until the work or portion of work is declared substantially complete or otherwise accepted by the Owner. The Owner may, if requested, reduce the amount withheld if the Owner deems it desirable and prudent to do so. (See Title 5 M.R.S.A., Section 1746.)
- 1.45 *Sample*: A physical example provided by the Contractor which illustrates materials, equipment or workmanship and establishes standards by which the Work will be judged.
- 1.46 *Schedule of the Work*: The document prepared by the Contractor and approved by the Owner that specifies the dates on which the Contractor plans to begin and complete various parts of the Work, including dates on which information and approvals are required from the Owner.
- 1.47 *Schedule of Values*: The document prepared by the Contractor and approved by the Owner before the commencement of the Work that specifies the dollar values of discrete portions of the Work equal in sum to the contract amount. The Schedule of Values is used to document progress payments of the Work in regular (usually monthly) requisitions for payment. See *Requisition for Payment*.
- 1.48 *Shop Drawings*: The drawings, diagrams, schedules and other data specially prepared for the Work by the Contractor or a Subcontractor, manufacturer, supplier or distributor to illustrate some portion of the Work.
- 1.49 *Specifications*: The portion of the Contract Documents consisting of the written requirements of the Work for materials, equipment, systems, standards, workmanship, and performance of related services.
- 1.50 *Subcontractor*: An individual or entity undertaking the execution of any part of the Work by virtue of a written agreement with the Contractor or any other Subcontractor. Also, an individual or entity retained by the Contractor or any other Subcontractor as an independent contractor to provide the labor, materials, equipment or services necessary to complete a specific portion of the Work.
- 1.51 Substantial Completion Date: Point of time when the Work or a designated portion of the Work is sufficiently complete in compliance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended purpose without unscheduled disruption. Substantial Completion is documented by the date of the Certificate of Substantial Completion signed by the Owner and the Contractor.
- 1.52 *Superintendent*: The representative of the Contractor on the job site, authorized by the Contractor to receive and fulfill instructions from the Consultant.
- 1.53 *Surety*: The individual or entity that is legally bound with the Contractor and Subcontractor to insure the faithful performance of the contract and for the payment of the bills for labor, materials and equipment by the Contractor and Subcontractors.

1.54 *Work*: The construction and services, whether completed or partially completed, including all labor, materials, equipment and services provided or to be provided by the Contractor and Subcontractors to fulfill the requirements of the Project as described in the Contract Documents.

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1. Preconstruction Conference

- 1.1 The Contractor shall, upon acceptance of a contract and prior to commencing work, schedule a preconstruction conference with the Owner and Consultant. The purpose of this conference is as follows.
- 1.1.1 Introduce all parties who have a significant role in the Project, including:

Owner (State agency or other contracting entity)

Owner's Representative

Consultant (Architect or Engineer)

Subconsultants

Clerk-of-the-works

Contractor (GC)

Superintendent

Subcontractors

Other State agencies

Construction testing company

Commissioning agent

Special Inspections agent

Bureau of General Services (BGS);

- 1.1.2 Review the responsibilities of each party;
- 1.1.3 Review any previously-identified special provisions of the Project;
- 1.1.4 Review the Schedule of the Work calendar submitted by the Contractor to be approved by the Owner and Consultant;
- 1.1.5 Review the Schedule of Values form submitted by the Contractor to be approved by the Owner and Consultant;
- 1.1.6 Establish routines for Shop Drawing approval, contract changes, requisitions, et cetera;
- 1.1.7 discuss jobsite issues;
- 1.1.8 Discuss Project close-out procedures;
- 1.1.9 Provide an opportunity for clarification of Contract Documents before work begins; and
- 1.1.10 Schedule regular meetings at appropriate intervals for the review of the progress of the Work.
- 2. Intent and Correlation of Contract Documents
- 2.1 The intent of the Contract Documents is to describe the complete Project. The Contract Documents consist of various components; each component complements the others. What is shown as a requirement by any one component shall be inferred as a requirement on all corresponding components.
- 2.2 The Contractor shall furnish all labor, equipment and materials, tools, transportation, insurance, services, supplies, operations and methods necessary for, and reasonably incidental to, the construction and completion of the Project. Any work that deviates from the Contract Documents which appears to be required by the exigencies of construction or by inconsistencies in the Contract Documents, will be determined by the Consultant and authorized in writing by the Consultant, Owner and the Bureau prior to execution. The Contractor shall be responsible for requesting clarifying information where the intent of the Contract Documents is uncertain.
- 2.3 The Contractor shall not utilize any apparent error or omission in the Contract Documents to the disadvantage of the Owner. The Contractor shall promptly notify the Consultant in writing of such errors or omissions. The Consultant shall make any corrections or clarifications necessary in such a situation to document the true intent of the Contract Documents.

- 3. Additional Drawings and Specifications
- 3.1 Upon the written request of the Contractor, the Owner shall provide, at no expense to the Contractor, up to five sets of printed Drawings and Specifications for the execution of the Work.
- 3.2 The Consultant shall promptly furnish to the Contractor revised Drawings and Specifications, for the area of the documents where those revisions apply, when corrections or clarifications are made by the Consultant. All such information shall be consistent with, and reasonably inferred from, the Contract Documents. The Contractor shall do no work without the proper Drawings and Specifications.
- 4. Ownership of Contract Documents
- 4.1 The designs represented on the Contract Documents are the property of the Consultant. The Drawings and Specifications shall not be used on other work without consent of the Consultant.
- 5. Permits, Laws, and Regulations
- 5.1 The Owner is responsible for obtaining any zoning approvals or other similar local project approvals necessary to complete the Work, unless otherwise specified in the Contract Documents.
- 5.2 The Owner is responsible for obtaining Maine Department of Environmental Protection, Maine Department of Transportation, or other similar state government project approvals necessary to complete the Work, unless otherwise indicated in the Contract Documents.
- 5.3 The Owner is responsible for obtaining any federal agency project approvals necessary to complete the Work, unless otherwise indicated in the Contract Documents.
- 5.4 The Owner is responsible for obtaining all easements for permanent structures or permanent changes in existing facilities.
- 5.5 The Contractor is responsible for obtaining and paying for all permits and licenses necessary for the implementation of the Work. The Contractor shall notify the Owner of any delays, variance or restrictions that may result from the issuing of permits and licenses.
- The Contractor shall comply with all ordinances, laws, rules and regulations and make all required notices bearing on the implementation of the Work. In the event the Contractor observes disagreement between the Drawings and Specifications and any ordinances, laws, rules and regulations, the Contractor shall promptly notify the Consultant in writing. Any necessary changes shall be made as provided in the contract for changes in the work. The Contractor shall not perform any work knowing it to be contrary to such ordinances, laws, rules and regulations.
- 5.7 The Contractor shall comply with local, state and federal regulations regarding construction safety and all other aspects of the Work.
- 5.8 The Contractor shall comply with the Maine Code of Fair Practices and Affirmative Action, 5 M.R.S. §784 (2).

6. Taxes

- 6.1 The Owner is exempt from the payment of Maine State sales and use taxes as provided in 36 M.R.S. §1760 (1). The Contractor and Subcontractors shall not include taxes on exempt items in the construction contract.
- 6.2 Section 1760 further provides in subsection 61 that sales to a construction contractor or its subcontractor of tangible personal property that is to be physically incorporated in, and become a permanent part of, real property for sale to or owned by the Owner, are exempt from Maine State sales and use taxes. Tangible personal property is defined in 36 M.R.S. §1752 (17).
- 6.3 The Contractor may contact Maine Revenue Services, 24 State House Station, Augusta, Maine 04333 for guidance on tax exempt regulations authorized by 36 M.R.S. §1760 and detailed in Rule 302 (18-125 CMR 302).

7. Labor and Wages

- 7.1 The Contractor shall conform to the labor laws of the State of Maine, and all other laws, ordinances, and legal requirements affecting the work in Maine.
- 7.2 The Consultant shall include a wage determination document prepared by the Maine Department of Labor in the Contract Documents for state-funded contracts in excess of \$50,000. The document shows the minimum wages required to be paid to each category of labor employed on the project.
- 7.3 On projects requiring a Maine wage determination, the Contractor shall submit monthly payroll records to the Owner ("the contracting agency") showing the name and occupation of all workers and all independent contractors employed on the project. The monthly submission must also include the Contractor's company name, the title of the project, hours worked, hourly rate or other method of remuneration, and the actual wages or other compensation paid to each person.
- 7.4 The Contractor shall not reveal, in the payroll records submitted to the Owner, personal information regarding workers and independent contractors, other than the information described above. Such information shall not include Social Security number, employee identification number, or employee address or phone number, for example.
- 7.5 The Contractor shall conform to Maine statute (39-A M.R.S. §105-A (6)) by providing to the Workers' Compensation Board a list of all subcontractors and independent contractors on the job site and a record of the entity to whom that subcontractor or independent contractor is directly contracted and by whom that subcontractor or independent contractor is insured for workers' compensation purposes.
- 7.6 The Contractor shall enforce strict discipline and good order among their employees at all times, and shall not employ any person unfit or unskilled to do the work assigned to them.
- 7.7 The Contractor shall promptly pay all employees when their compensation is due, shall promptly pay all others who have billed and are due for materials, supplies and services used in the Work, and shall promptly pay all others who have billed and are due for insurance, workers compensation coverage, federal and state unemployment compensation, and Social Security

- charges pertaining to this Project. Before final payments are made, the Contractor shall furnish to the Owner affidavits that all such payments described above have been made.
- 7.8 The Contractor may contact the Maine Department of Labor, 54 State House Station, Augusta, Maine 04333 for guidance on labor issues.
- 7.9 The Contractor may contact the Maine Workers' Compensation Board, 27 State House Station, Augusta, Maine 04333 for guidance on workers' compensation issues.

8. Indemnification

- 8.1 The Contractor shall indemnify and hold harmless the Owner and its officers and employees from and against any and all damages, liabilities, and costs, including reasonable attorney's fees, and defense costs, for any and all injuries to persons or property, including claims for violation of intellectual property rights, to the extent caused by the negligent acts or omissions of the Contractor, its employees, agents, officers or subcontractors in the performance of work under this Agreement. The Contractor shall not be liable for claims to the extent caused by the negligent acts or omissions of the Owner or for actions taken in reasonable reliance on written instructions of the Owner.
- 8.2 The Contractor shall notify the Owner promptly of all claims arising out of the performance of work under this Agreement by the Contractor, its employees or agents, officers or subcontractors.
- 8.3 This indemnity provision shall survive the termination of the Agreement, completion of the project or the expiration of the term of the Agreement.

9. Insurance Requirements

- 9.1 The Contractor shall provide, with each original of the signed Contract, an insurance certificate or certificates acceptable to the Owner and BGS. The Contractor shall submit insurance certificates to the Owner and BGS at the commencement of this Contract and at policy renewal or revision dates. The certificates shall identify the project name and BGS project number, and shall name the Owner as certificate holder and as additional insured for general liability and automobile liability coverages. The submitted forms shall contain a provision that coverage afforded under the insurance policies will not be canceled or materially changed unless at least ten days prior written notice by registered letter has been given to the Owner and BGS.
- 9.2 The Owner does not warrant or represent that the insurance required herein constitutes an insurance portfolio which adequately addresses all risks faced by the Contractor or its Subcontractors. The Contractor is responsible for the existence, extent and adequacy of insurance prior to commencement of work. The Contractor shall not allow any Subcontractor to commence work until all similar insurance required of the Subcontractor has been confirmed by the Contractor.
- 9.3 The Contractor shall procure and maintain primary insurance for the duration of the Project and, if written on a Claims-Made basis, shall also procure and maintain Extended Reporting Period (ERP) insurance for the period of time that any claims could be brought. The Contractor shall ensure that all Subcontractors they engage or employ will procure and maintain similar insurance

in form and amount acceptable to the Owner and BGS. At a minimum, the insurance shall be of the types and limits set forth herein protecting the Contractor from claims which may result from the Contractor's execution of the Work, whether such execution be by the Contractor or by those employed by the Contractor or by those for whose acts they may be liable. All required insurance coverages shall be placed with carriers authorized to conduct business in the State of Maine by the Maine Bureau of Insurance.

9.3.1 The Contractor shall have Workers' Compensation insurance for all employees on the Project site in accordance with the requirements of the Workers' Compensation law of the State of Maine. Minimum acceptable limits for Employer's Liability are:

Bodily Injury by Accident	\$500,000
Bodily Injury by Disease	\$500,000 Each Employee
Bodily Injury by Disease	\$500,000 Policy Limit

9.3.2 The Contractor shall have Commercial General Liability insurance providing coverage for bodily injury and property damage liability for all hazards of the Project including premise and operations, products and completed operations, contractual, and personal injury liabilities. The policy shall include collapse and underground coverage as well as explosion coverage if explosion hazards exist. Aggregate limits shall apply on a location or project basis. Minimum acceptable limits are:

General aggregate limit	\$2,000,000
Products and completed operations aggregate	\$1,000,000
Each occurrence limit	\$1,000,000
Personal injury aggregate	\$1,000,000

9.3.3 The Contractor shall have Automobile Liability insurance against claims for bodily injury, death or property damage resulting from the maintenance, ownership or use of all owned, non-owned and hired automobiles, trucks and trailers. Minimum acceptable limit is:

Any one accident or loss\$500,000

- 9.3.4 For the portion of a project which is new construction, the Contractor shall procure and maintain Builder's Risk insurance naming the Owner, Contractor, and any Subcontractor as insureds as their interest may appear. Covered causes of loss form shall be all Risks of Direct Physical Loss, endorsed to include flood, earthquake, transit and sprinkler leakage where sprinkler coverage is applicable. Unless specifically authorized in writing by the Owner, the limit of insurance shall not be less than the initial contract amount, for the portion of the project which is new construction, and coverage shall apply during the entire contract period and until the work is accepted by the Owner.
- 9.3.5 The Contractor shall have Owner's Protective Liability insurance for contract values \$50,000 and above, naming the Owner as the Named Insured. Minimum acceptable limits are:

General aggregate lin	nit	\$2,000,000
Each occurrence limit	t	\$1,000,000

10. Contract Bonds

When noted as required in the Bid Documents, the Contractor shall provide to the Owner a Performance Bond and a Payment Bond, or "contract bonds", upon execution of the contract. Each bond value shall be for the full amount of the contract and issued by a surety company authorized to do business in the State of Maine as approved by the Owner. The bonds shall be

- executed on the forms furnished in the Bid Documents. The bonds shall allow for any subsequent additions or deductions of the contract.
- 10.2 The contract bonds shall continue in effect for one year after final acceptance of the contract to protect the Owner's interest in connection with the one year guarantee of workmanship and materials and to assure settlement of claims for the payment of all bills for labor, materials and equipment by the Contractor.

11. Patents and Royalties

- 11.1 The Contractor shall, for all time, secure for the Owner the free and undisputed right to the use of any patented articles or methods used in the Work. The expense of defending any suits for infringement or alleged infringement of such patents shall be borne by the Contractor. Awards made regarding patent suits shall be paid by the Contractor. The Contractor shall hold the Owner harmless regarding patent suits that may arise due to installations made by the Contractor, and to any awards made as a result of such suits.
- 11.2 Any royalty payments related to the work done by the Contractor for the Project shall be borne by the Contractor. The Contractor shall hold the Owner harmless regarding any royalty payments that may arise due to installations made by the Contractor.

12. Surveys, Layout of Work

- 12.1 The Owner shall furnish all property surveys unless otherwise specified.
- 12.2 The Contractor is responsible for correctly staking out the Work on the site. The Contractor shall employ a competent surveyor to position all construction on the site. The surveyor shall run the axis lines, establish correct datum points and check each line and point on the site to insure their accuracy. All such lines and points shall be carefully preserved throughout the construction.
- 12.3 The Contractor shall lay out all work from dimensions given on the Drawings. The Contractor shall take measurements and verify dimensions of any existing work that affects the Work or to which the Work is to be fitted. The Contractor is solely responsible for the accuracy of all measurements. The Contractor shall verify all grades, lines, levels, elevations and dimensions shown on the Drawings and report any errors or inconsistencies to the Consultant prior to commencing work.

13. Record of Documents

- 13.1 The Contractor shall maintain one complete set of Contract Documents on the jobsite, in good order and current status, for access by the Owner and Consultant.
- 13.2 The Contractor shall maintain, continuously updated, complete records of Requests for Information, Architectural Supplemental Instructions (or equivalent), Information Bulletins, supplemental sketches, Change Order Proposals, Change Orders, Shop Drawings, testing reports, et cetera, for access by the Owner and Consultant.

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14. Allowances

- 14.1 The Contract Price shall include all allowances described in the Contract Documents. The Contractor shall include all overhead and profit necessary to implement each allowance in their Contract Price.
- 14.2 The Contractor shall not be required to employ parties for allowance work against whom the Contractor has a reasonable objection. In such a case, the Contractor shall notify the Owner in writing of their position and shall propose an alternative party to complete the work of the allowance.

15. Shop Drawings

- 15.1 The Contractor shall administer Shop Drawings prepared by the Contractor, Subcontractors, suppliers or others to conform to the approved Schedule of the Work. The Contractor shall verify all field measurements, check and authorize all Shop Drawings and schedules required by the Work. The Contractor is the responsible party and contact for the Contractor's work as well as that of Subcontractors, suppliers or others who provide Shop Drawings.
- 15.2 The Consultant shall review and acknowledge Shop Drawings, with reasonable promptness, for general conformity with the design concept of the project and compliance with the information provided in the Contract Documents.
- 15.3 The Contractor shall provide monthly updated logs containing: requests for information, information bulletins, supplemental instructions, supplemental sketches, change order proposals, change orders, submittals, testing and deficiencies.
- 15.4 The Contractor shall make any corrections required by the Consultant, and shall submit a quantity of corrected copies as may be needed. The acceptance of Shop Drawings or schedules by the Consultant shall not relieve the Contractor from responsibility for deviations from Drawings and Specifications, unless the Contractor has called such deviations to the attention of the Consultant at the time of submission and secured the Consultant's written approval. The acceptance of Shop Drawings or schedules by the Consultant does not relieve the Contractor from responsibility for errors in Shop Drawings or schedules.

16. Samples

16.1 The Contractor shall furnish for approval, with reasonable promptness, all samples as directed by the Consultant. The Consultant shall review and approve such samples, with reasonable promptness, for general conformity with the design concept of the project and compliance with the information provided in the Contract Documents. The subsequent work shall be in accord with the approved samples.

17. Substitutions

17.1 The Contractor shall furnish items and materials described in the Contract Documents. If the item or material specified describes a proprietary product, or uses the name of a manufacturer, the term "or approved equal" shall be implied, if it is not included in the text. The specific item or material specified establishes a minimum standard for the general design, level of quality, type, function, durability, efficiency, reliability, compatibility, warranty coverage, installation factors

- and required maintenance. The Drawing or written Specification shall not be construed to exclude other manufacturers products of comparable design, quality, and efficiency.
- 17.2 The Contractor may submit detailed information about a proposed substitution to the Consultant for consideration. Particular models of items and particular materials which the Contractor asserts to be equal to the items and materials identified in the Contract Documents shall be allowed only with written approval by the Consultant. The request for substitution shall include a cost comparison and a reason or reasons for the substitution.
- 17.3 The Consultant may request additional information about the proposed substitution. The approval or rejection of a proposed substitution may be based on timeliness of the request, source of the information, the considerations of minimum standards described above, or other considerations. The Consultant should briefly state the rationale for the decision. The decision shall be considered final.
- 17.4 The duration of a substitution review process can not be the basis for a claim for delay in the Schedule of the Work.

18. Assignment of Contract

18.1 The Contractor shall not assign or sublet the contract as a whole without the written consent of the Owner. The Contractor shall not assign any money due to the Contractor without the written consent of the Owner.

19. Separate Contracts

- 19.1 The Owner reserves the right to create other contracts in connection with this Project using similar General Conditions. The Contractor shall allow the Owner's other contractors reasonable opportunity for the delivery and storage of materials and the execution of their work. The Contractor shall coordinate and properly connect the Work of all contractors.
- 19.2 The Contractor shall promptly report to the Consultant and Owner any apparent deficiencies in work of the Owner's other contractors that impacts the proper execution or results of the Contractor. The Contractor's failure to observe or report any deficiencies constitutes an acceptance of the Owner's other contractors work as suitable for the interface of the Contractor's work, except for latent deficiencies in the Owner's other contractors work.
- 19.3 Similarly, the Contractor shall promptly report to the Consultant and Owner any apparent deficiencies in their own work that would impact the proper execution or results of the Owner's other contractors.
- 19.4 The Contractor shall report to the Consultant and Owner any conflicts or claims for damages with the Owner's other contractors and settle such conflicts or claims for damages by mutual agreement or arbitration, if necessary, at no expense to the Owner.
- 19.5 In the event the Owner's other contractors sue the Owner regarding any damage alleged to have been caused by the Contractor, the Owner shall notify the Contractor, who shall defend such proceedings at the Contractor's expense. The Contractor shall pay or satisfy any judgment that may arise against the Owner, and pay all other costs incurred.

20. Subcontracts

- 20.1 The Contractor shall not subcontract any part of this contract without the written permission of the Owner.
- 20.2 The Contractor shall submit a complete list of named Subcontractors and material suppliers to the Consultant and Owner for approval by the Owner prior to commencing work. The Subcontractors named shall be reputable companies of recognized standing with a record of satisfactory work.
- 20.3 The Contractor shall not employ any Subcontractor or use any material until they have been approved, or where there is reason to believe the resulting work will not comply with the Contract Documents.
- 20.4 The Contractor, not the Owner, is as fully responsible for the acts and omissions of Subcontractors and of persons employed by them, as the Contractor is for the acts and omissions of persons directly or indirectly employed by the Contractor.
- 20.5 Neither the Contract Documents nor any Contractor-Subcontractor contract shall indicate, infer or create any direct contractual relationship between any Subcontractor and the Owner.

21. Contractor-Subcontractor Relationship

- 21.1 The Contractor shall be bound to the Subcontractor by all the obligations in the Contract Documents that bind the Contractor to the Owner.
- 21.2 The Contractor shall pay the Subcontractor, in proportion to the dollar value of the work completed and requisitioned by the Subcontractor, the approved dollar amount allowed to the Contractor no more than seven days after receipt of payment from the Owner.
- 21.3 The Contractor shall pay the Subcontractor accordingly if the Contract Documents or the subcontract provide for earlier or larger payments than described in the provision above.
- The Contractor shall pay the Subcontractor for completed and requisitioned subcontract work, less retainage, no more than seven days after receipt of payment from the Owner for the Contractor's approved Requisition for Payment, even if the Consultant fails to certify a portion of the Requisition for Payment for a cause not the fault of the Subcontractor.
- 21.5 The Contractor shall not make a claim for liquidated damages or penalty for delay in any amount in excess of amounts that are specified by the subcontract.
- 21.6 The Contractor shall not make a claim for services rendered or materials furnished by the Subcontractor unless written notice is given by the Contractor to the Subcontractor within ten calendar days of the day in which the claim originated.
- 21.7 The Contractor shall give the Subcontractor an opportunity to present and to submit evidence in any progress conference or disputes involving subcontract work.

- 21.8 The Contractor shall pay the Subcontractor a just share of any fire insurance payment received by the Contractor.
- 21.9 The Subcontractor shall be bound to the Contractor by the terms of the Contract Documents and assumes toward the Contractor all the obligations and responsibilities that the Contractor, by those documents, assumes toward the Owner.
- 21.10 The Subcontractor shall submit applications for payment to the Contractor in such reasonable time as to enable the Contractor to apply for payment as specified.
- 21.11 The Subcontractor shall make any claims for extra cost, extensions of time or damages, to the Contractor in the manner provided in these General Conditions for like claims by the Contractor to the Owner, except that the time for the Subcontractor to make claims for extra cost is seven calendar days after the receipt of Consultant's instructions.

22. Supervision of the Work

- 22.1 During all stages of the Work the Contractor shall have a competent superintendent, with any necessary assistant superintendents, overseeing the project. The superintendent shall not be reassigned without the consent of the Owner unless a superintendent ceases to be employed by the Contractor due to unsatisfactory performance.
- 22.2 The superintendent represents the Contractor on the jobsite. Directives given by the Consultant or Owner to the superintendent shall be as binding as if given directly to the Contractor's main office. All important directives shall be confirmed in writing to the Contractor. The Consultant and Owner are not responsible for the acts or omissions of the superintendent or assistant superintendents.
- 22.3 The Contractor shall provide supervision of the Work equal to the industry's highest standard of care. The superintendent shall carefully study and compare all Contract Documents and promptly report any error, inconsistency or omission discovered to the Consultant. The Contractor may not necessarily be held liable for damages resulting directly from any error, inconsistency or omission in the Contract Documents or other instructions by the Consultant that was not revealed by the superintendent in a timely way.

23. Observation of the Work

- 23.1 The Contractor shall allow the Owner, the Consultant and the Bureau continuous access to the site for the purpose of observation of the progress of the work. All necessary safeguards and accommodations for such observations shall be provided by the Contractor.
- 23.2 The Contractor shall coordinate all required testing, approval or demonstration of the Work. The Contractor shall give sufficient notice to the appropriate parties of readiness for testing, inspection or examination.
- 23.3 The Contractor shall schedule inspections and obtain all required certificates of inspection for inspections by a party other than the Consultant.

- 23.4 The Consultant shall make all scheduled observations promptly, prior to the work being concealed or buried by the Contractor. If approval of the Work is required of the Consultant, the Contractor shall notify the Consultant of the construction schedule in this regard. Work concealed or buried prior to the Consultant's approval may need to be uncovered at the Contractor's expense.
- 23.5 The Consultant may order reexamination of questioned work, and, if so ordered, the work must be uncovered by the Contractor. If the work is found to conform to the Contract Documents, the Owner shall pay the expense of the reexamination and remedial work. If the work is found to not conform to the Contract Documents, the Contractor shall pay the expense, unless the defect in the work was caused by the Owner's Contractor, whose responsibility the reexamination expense becomes.
- 23.6 The Bureau shall periodically observe the Work during the course of construction and make recommendations to the Contractor or Consultant as necessary. Such recommendations shall be considered and implemented through the usual means for changes to the Work.

24. Consultant's Status

- 24.1 The Consultant represents the Owner during the construction period, and observes the work in progress on behalf of the Owner. The Consultant has authority to act on behalf of the Owner only to the extent expressly provided by the Contract Documents or otherwise demonstrated to the Contractor. The Consultant has authority to stop the work whenever such an action is necessary, in the Consultant's reasonable opinion, to ensure the proper execution of the contract.
- 24.2 The Consultant is the interpreter of the conditions of the contract and the judge of its performance. The Consultant shall favor neither the Owner nor the Contractor, but shall use the Consultant's powers under the contract to enforce faithful performance by both parties.
- In the event of the termination of the Consultant's employment on the project prior to completion of the work, the Owner shall appoint a capable and reputable replacement. The status of the new Consultant relative to this contract shall be that of the former Consultant.

25. Management of the Premises

- 25.1 The Contractor shall place equipment and materials, and conduct activities on the premises in a manner that does not unreasonably hinder site circulation, environmental stability, or any long term effect. Likewise, the Consultant's directions shall not cause the use of premises to be impeded for the Contractor or Owner.
- 25.2 The Contractor shall not use the premises for any purpose other than that which is directly related to the scope of work. The Owner shall not use the premises for any purpose incompatible with the proposed work simultaneous to the work of the Contractor.
- 25.3 The Contractor shall enforce the Consultant's instructions regarding information posted on the premises such as signage and advertisements, as well as activities conducted on the premises such as fires, and smoking.

- 25.4 The Owner may occupy any part of the Project that is completed with the written consent of the Contractor, and without prejudice to any of the rights of the Owner or Contractor. Such use or occupancy shall not, in and of itself, be construed as a final acceptance of any work or materials.
- 26. Safety and Security of the Premises
- 26.1 The Contractor shall designate, and make known to the Consultant and the Owner, a safety officer whose duty is the prevention of accidents on the site.
- 26.2 The Contractor shall continuously maintain security on the premises and protect from unreasonable occasion of injury all people authorized to be on the job site. The Contractor shall also effectively protect the property and adjacent properties from damage or loss.
- 26.3 The Contractor shall take all necessary precautions to ensure the safety of workers and others on and adjacent to the site, abiding by applicable local, state and federal safety regulations. The Contractor shall erect and continuously maintain safeguards for the protection of workers and others, and shall post signs and other warnings regarding hazards associated with the construction process, such as protruding fasteners, moving equipment, trenches and holes, scaffolding, window, door or stair openings, and falling materials.
- 26.4 The Contractor shall restore the premises to conditions that existed prior to the start of the project at areas not intended to be altered according to the Contract Documents.
- 26.5 The Contractor shall protect existing utilities and exercise care working in the vicinity of utilities shown in the Drawings and Specifications or otherwise located by the Contractor.
- 26.6 The Contractor shall protect from damage existing trees and other significant plantings and landscape features of the site which will remain a permanent part of the site. If necessary or indicated in the Contract Documents, tree trunks shall be boxed and barriers erected to prevent damage to tree branches or roots.
- 26.7 The Contractor shall repair or replace damage to the Work caused by the Contractor's or Subcontractor's forces, including that which is reasonably protected, at the expense of the responsible party.
- 26.8 The Contractor shall not load, or allow to be loaded, any part of the Project with a force which imperils personal or structural safety. The Consultant may consult with the Contractor on such means and methods of construction, however, the ultimate responsibility lies with the Contractor.
- 26.9 The Contractor shall not jeopardize any work in place with subsequent construction activities such as blasting, drilling, excavating, cutting, patching or altering work. The Consultant must approve altering any structural components of the project. The Contractor shall supervise all construction activities carried out by others on site to ensure that the work is neatly done and in a manner that will not endanger the structure or the component parts.
- 26.10 The Contractor may act with their sole discretion in emergency situations that potentially effect health, life or serious damage to the premises or adjacent properties, to prevent such potential loss or injury. The Contractor may negotiate with the Owner for compensation for expenses due to such emergency work.

- 26.11 The Contractor and Subcontractors shall have no responsibility for the identification, discovery, presence, handling, removal or disposal of, or exposure of persons to, hazardous materials in any form at the project site. The Contractor shall avoid disruption of any hazardous materials or toxic substances at the project site and promptly notify the Owner in writing on the occasion of such a discovery.
- 26.12 The Contractor shall keep the premises free of any unsafe accumulation of waste materials caused by the work. The Contractor shall regularly keep the spaces "broom clean". See the Close-out of the Work provisions of this section regarding cleaning at the completion of the project.
- 27. Changes in the Work
- 27.1 The Contractor shall not proceed with extra work without an approved Change Order or Construction Change Directive. A Change Order which has been properly signed by all parties shall become a part of the contract.
- A Change Order is the usual document for directing changes in the Work. In certain circumstances, however, the Owner may utilize a Construction Change Directive to direct the Contractor to perform changes in the Work that are generally consistent with the scope of the project. The Owner shall use a Construction Change Directive only when the normal process for approving changes to the Work has failed to the detriment of the Project, or when agreement on the terms of a Change Order cannot be met, or when an urgent situation requires, in the Owner's judgment, prompt action by the Contractor.
- 27.3 The Consultant shall prepare the Construction Change Directive representing a complete scope of work, with proposed Contract Price and Contract Time revisions, if any, clearly stated.
- 27.4 The Contractor shall promptly carry out a Construction Change Directive which has been signed by the Owner and the Consultant. Work thus completed by the Contractor constitutes the basis for a Change Order. Changes in the Contract Price and Contract Time shall be as defined in the Construction Change Directive unless subsequently negotiated with some other terms.
- 27.5 The method of determining the dollar value of extra work shall be by:
 - .1 an estimate of the Contractor accepted by Owner as a lump sum, or
 - .2 unit prices named in the contract or subsequently agreed upon, or
 - .3 cost plus a designated percentage, or
 - .4 cost plus a fixed fee.
- 27.6 The Contractor shall determine the dollar value of the extra work for both the lump sum and cost plus designated percentage methods so as not to exceed the following rates. The rates include all overhead and profit expenses.
 - .1 Contractor for any work performed by the Contractor's own forces, up to 20% of the cost;
 - .2 Subcontractor for work performed by Subcontractor's own forces, up to 20% of the cost;
 - .3 Contractor for work performed by Contractor's Subcontractor, up to 10% of the amount due the Subcontractor.
- 27.7 The Contractor shall keep and provide records as needed or directed for the cost plus designated percentage method. The Consultant shall review and certify the appropriate amount which

- includes the Contractor's overhead and profit. The Owner shall make payments based on the Consultant's certificate.
- 27.8 Cost reflected in Change Orders shall be limited to the following: cost of materials, cost of delivery, cost of labor (including Social Security, pension, Workers' Compensation insurance, and unemployment insurance), and cost of rental of power tools and equipment. Labor cost may include a pro-ratio share of a foreman's time only in the case of an extension of contract time granted due to the Change Order.
- Overhead reflected in Change Orders shall be limited to the following: bond premium, supervision, wages of clerks, time keepers, and watchmen, small tools, incidental expenses, general office expenses, and all other overhead expenses directly related to the Change Order.
- 27.10 The Contractor shall provide credit to the Owner for labor, materials, equipment and other costs but not overhead and profit expenses for those Change Order items that result in a net value of credit to the contract.
- 27.11 The Owner may change the scope of work of the Project without invalidating the contract. The Owner shall notify the Contractor of a change of the scope of work for the Owner's Contractors, which may affect the work of this Contractor, without invalidating the contract. Change Orders for extension of the time caused by such changes shall be developed at the time of directing the change in scope of work.
- 27.12 The Consultant may order minor changes in the Work, not involving extra cost, which is consistent with the intent of the design or project.
- 27.13 The Contractor shall immediately give written notification to the Consultant of latent conditions discovered at the site which materially differ from those represented in the Drawings or Specifications, and which may eventually result in a change in the scope of work. The Contractor shall suspend work until receiving direction from the Consultant. The Consultant shall promptly investigate the conditions and respond to the Contractor's notice with direction that avoids any unnecessary delay of the Work. The Consultant shall determine if the discovered conditions warrant a Change Order.
- 27.14 The Contractor shall, within ten calendar days of receipt of the information, give written notification to the Consultant if the Contractor claims that instructions by the Consultant will constitute extra cost not accounted for by Change Order or otherwise under the contract. The Consultant shall promptly respond to the Contractor's notice with direction that avoids any unnecessary delay of the Work. The Consultant shall determine if the Contractor's claim warrants a Change Order.

28. Correction of the Work

28.1 The Contractor shall promptly remove from the premises all work the Consultant declares is non-conforming to the contract. The Contractor shall replace the work properly at no expense to the Owner. The Contractor is also responsible for the expenses of others whose work was damaged or destroyed by such remedial work.

- 28.2 The Owner may elect to remove non-conforming work if it is not removed by the Contractor within a reasonable time, that time defined in a written notice from the Consultant. The Owner may elect to store removed non-conforming work not removed by the Contractor at the Contractor's expense. The Owner may, with ten days written notice, dispose of materials which the Contractor does not remove. The Owner may sell the materials and apply the net proceeds, after deducting all expenses, to the costs that should have been borne by the Contractor.
- 28.3 The Contractor shall remedy any defects due to faulty materials or workmanship and pay for any related damage to other work which appears within a period of one year from the date of substantial completion, and in accord with the terms of any guarantees provided in the contract. The Owner shall promptly give notice of observed defects to the Contractor and Consultant. The Consultant shall determine the status of all claimed defects. The Contractor shall perform all remedial work without unjustifiable delay in either the initial response or the corrective action.
- 28.4 The Consultant may authorize, after a reasonable notification to the Contractor, an equitable deduction from the contract amount in lieu of the Contractor correcting non-conforming or defective work.

29. Owner's Right to do Work

- 29.1 The Owner may, using other contractors, correct deficiencies attributable to the Contractor, or complete unfinished work. Such action shall take place only after giving the Contractor three days written notice, and provided the Consultant approves of the proposed course of action as an appropriate remedy. The Owner may then deduct the cost of the remedial work from the amount due the Contractor.
- 29.2 The Owner may act with their sole discretion when the Contractor is unable to take action in emergency situations that potentially effect health, life or serious damage to the premises or adjacent properties, to prevent such potential loss or injury. The Owner shall inform the Contractor of the emergency work performed, particularly where it may affect the work of the Contractor.

30. Termination of Contract and Stop Work Action

The Owner may, owing to a certificate of the Consultant indicating that sufficient cause exists to justify such action, without prejudice to any other right or remedy and after giving the Contractor and the Contractor's surety seven days written notice, terminate the employment of the Contractor. At that time the Owner may take possession of the premises and of all materials,

tools and appliances on the premises and finish the work by whatever method the Owner may deem expedient. Cause for such action by the Owner includes:

- .1 the contractor is adjudged bankrupt, or makes a general assignment for the benefit of its creditors, or
- .2 a receiver is appointed due to the Contractor's insolvency, or
- .3 the Contractor persistently or repeatedly refuses or fails to provide enough properly skilled workers or proper materials, or
- .4 the Contractor fails to make prompt payment to Subcontractors or suppliers of materials or labor, or
- .5 the Contractor persistently disregards laws, ordinances or the instructions of the Consultant, or is otherwise found guilty of a substantial violation of a provision of the Contract Documents.
- 30.2 The Contractor is not entitled, as a consequence of the termination of the employment of the Contractor as described above, to receive any further payment until the Work is finished. If the unpaid balance of the contract amount exceeds the expense of finishing the Work, including compensation for additional architectural, managerial and administrative services, such balance shall be paid to the Contractor. If the expense of finishing the Work exceeds the unpaid balance, the Contractor shall pay the difference to the Owner. The Consultant shall certify the expense incurred by the Contractor's default. This obligation for payment shall continue to exist after termination of the contract.
- 30.3 The Contractor may, if the Work is stopped by order of any court or other public authority for a period of thirty consecutive days, and through no act or fault of the Contractor or of anyone employed by the Contractor, with seven days written notice to the Owner and the Consultant, terminate this contract. The Contractor may then recover from the Owner payment for all work executed, any proven loss and reasonable profit and damage.
- 30.4 The Contractor may, if the Consultant fails to issue a certificate for payment within seven days after the Contractor's formal request for payment, through no fault of the Contractor, or if the Owner fails to pay to the Contractor within 30 days after submission of any sum certified by the Consultant, with seven days written notice to the Owner and the Consultant, stop the Work or terminate this Contract.

31. Delays and Extension of Time

- 31.1 The completion date of the contract shall be extended if the work is delayed by changes ordered in the work which have approved time extensions, or by an act or neglect of the Owner, the Consultant, or the Owner's Contractor, or by strikes, lockouts, fire, flooding, unusual delay in transportation, unavoidable casualties, or by other causes beyond the Contractor's control. The Consultant shall determine the status of all claimed causes.
- The contract shall not be extended for delay occurring more than seven calendar days before the Contractor's claim made in writing to the Consultant. In case of a continuing cause of delay, only one claim is necessary.
- 31.3 The contract shall not be extended due to failure of the Consultant to furnish drawings if no schedule or agreement is made between the Contractor and the Consultant indicating the dates

- which drawings shall be furnished and fourteen calendar days has passed after said date for such drawings.
- This article does not exclude the recovery of damages for delay by either party under other provisions in the Contract Document.

32. Payments to the Contractor

- 32.1 As noted under *Preconstruction Conference* in this section, the Contractor shall submit a Schedule of Values form, before the first application for payment, for approval by the Owner and Consultant. The Consultant may direct the Contractor to provide evidence that supports the correctness of the form. The approved Schedule of Values shall be used as a basis for payments.
- 32.2 The Contractor shall submit an application for each payment ("Requisition for Payment") on a form approved by the Owner and Consultant. The Consultant may require receipts or other documents showing the Contractor's payments for materials and labor, including payments to Subcontractors.
- 32.3 The Contractor shall submit Requisitions for Payment as the work progresses not more frequently than once each month, unless the Owner approves a more frequent interval due to unusual circumstances. The Requisition for Payment is based on the proportionate quantities of the various classes of work completed or incorporated in the Work, in agreement with the actual progress of the Work and the dollar value indicated in the Schedule of Values.
- 32.4 The Consultant shall verify and certify each Requisition for Payment which appears to be complete and correct prior to payment being made by the Owner. The Consultant may certify an appropriate amount for materials not incorporated in the Work which have been delivered and suitably stored at the site. The Contractor shall submit bills of sale, insurance certificates, or other such documents that will adequately protect the Owner's interests prior to payments being certified.
- In the event any materials delivered but not yet incorporated in the Work have been included in a certified Requisition for Payment with payment made, and said materials thereafter are damaged, deteriorated or destroyed, or for any reason whatsoever become unsuitable or unavailable for use in the Work, the full amount previously allowed shall be deducted from subsequent payments unless the Contractor satisfactorily replaces said material.
- 32.6 The Contractor may request certification of an appropriate dollar amount for materials not incorporated in the Work which have been delivered and suitably stored away from the site. The Contractor shall submit bills of sale, insurance certificates, right-of-entry documents or other such documents that will adequately protect the Owner's interests. The Consultant shall determine if the Contractor's documentation for the materials is complete and specifically designated for the Project. The Owner may allow certification of such payments.
- 32.7 Subcontractors may request, and shall receive from the Consultant, copies of approved Requisitions for Payment showing the amounts certified in the Schedule of Values.
- 32.8 Certified Requisitions for Payment, payments made to the Contractor, or partial or entire occupancy of the project by the Owner shall not constitute an acceptance of any work that does

not conform to the Contract Documents. The making and acceptance of the final payment constitutes a waiver of all claims by the Owner, other than those arising from unsettled liens, from faulty work or materials appearing within one year from final payment or from requirements of the Drawings and Specifications, and of all claims by the Contractor, except those previously made and still unsettled.

33. Payments Withheld

- 33.1 The Owner shall retain five percent of each payment due the Contractor as part security for the fulfillment of the contract by the Contractor. The Owner may make payment of a portion of this "retainage" to the Contractor temporarily or permanently during the progress of the Work. The Owner may thereafter withhold further payments until the full amount of the five percent is reestablished. The Contractor may deposit with the Maine State Treasurer certain securities in place of retainage amounts due according to Maine Statute (5 M.R.S. §1746).
- 33.2 The Consultant may withhold or nullify the whole or a portion of any Requisitions for Payment submitted by the Contractor in the amount that may be necessary, in his reasonable opinion, to protect the Owner from loss due to any of the following:
 - .1 defective work not remedied;
 - .2 claims filed or reasonable evidence indicating probable filing of claims;
 - .3 failure to make payments properly to Subcontractors or suppliers;
 - .4 a reasonable doubt that the contract can be completed for the balance then unpaid;
 - .5 liability for damage to another contractor.

The Owner shall make payment to the Contractor, in the amount withheld, when the above circumstances are removed.

34. Liens

- 34.1 The Contractor shall deliver to the Owner a complete release of all liens arising out of this contract before the final payment or any part of the retainage payment is released. The Contractor shall provide with the release of liens an affidavit asserting each release includes all labor and materials for which a lien could be filed. Alternately, the Contractor, in the event any Subcontractor or supplier refuses to furnish a release of lien in full, may furnish a bond satisfactory to the Owner, to indemnify the Owner against any lien.
- 34.2 In the event any lien remains unsatisfied after all payments to the Contractor are made by the Owner, the Contractor shall refund to the Owner all money that the latter may be compelled to pay in discharging such lien, including all cost and reasonable attorney's fees.

35. Workmanship

35.1 The Contractor shall provide materials, equipment, and installed work equal to or better than the quality specified in the Contract Documents and approved in submittal and sample. The installation methods shall be of the highest standards, and the best obtainable from the respective trades. The Consultant's decision on the quality of work shall be final.

- 35.2 The Contractor shall know local labor conditions for skilled and unskilled labor in order to apply the labor appropriately to the Work. All labor shall be performed by individuals well skilled in their respective trades.
- 35.3 The Contractor shall perform all cutting, fitting, patching and placing of work in such a manner to allow subsequent work to fit properly, whether that be by the Contractor, the Owner's Contractors or others. The Owner and Consultant may advise the Contractor regarding such subsequent work. Notwithstanding the notification or knowledge of such subsequent work, the Contractor may be directed to comply with this standard of compatible construction by the Consultant at the Contractor's expense.
- 35.4 The Contractor shall request clarification or revision of any design work by the Consultant, prior to commencing that work, in a circumstance where the Contractor believes the work cannot feasibly be completed at the highest quality, or as indicated in the Contract Documents. The Consultant shall respond to such requests in a timely way, providing clarifying information, a feasible revision, or instruction allowing a reduced quality of work. The Contractor shall follow the direction of the Consultant regarding the required request for information.
- 35.5 The Contractor shall guarantee the Work against any defects in workmanship and materials for a period of one year commencing with the date of the Certificate of Substantial Completion, unless specified otherwise for specific elements of the project. The Work may also be subdivided in mutually agreed upon components, each defined by a separate Certificate of Substantial Completion.

36. Close-out of the Work

- The Contractor shall remove from the premises all waste materials caused by the work. The Contractor shall make the spaces "broom clean" unless a more thorough cleaning is specified. The Contractor shall clean all windows and glass immediately prior to the final inspection, unless otherwise directed.
- 36.2 The Owner may conduct the cleaning of the premises where the Contractor, duly notified by the Consultant, fails to adequately complete the task. The expense of this cleaning may be deducted from the sum due to the Contractor.
- 36.3 The Contractor shall participate in all final inspections and acknowledge the documentation of unsatisfactory work, customarily called the "punch list", to be corrected by the Contractor. The Consultant shall document the successful completion of the Work in a dated Certificate of Substantial Completion, to be signed by Owner, Consultant, and Contractor.
- 36.4 The Contractor shall not call for final inspection of any portion of the Work that is not completely and permanently installed. The Contractor may be found liable for the expenses of individuals called to final inspection meetings prematurely.
- 36.5 The Contractor and all major Subcontractors shall participate in the end-of-warranty-period conference, typically scheduled close to one year after the Substantial Completion date.

- 37. Date of Completion and Liquidated Damages
- 37.1 The Contractor may make a written request to the Owner for an extension or reduction of time, if necessary. The request shall include the reasons the Contractor believes justifies the proposed completion date. The Owner may grant the revision of the contract completion date if the Work was delayed due to conditions beyond the control and the responsibility of the Contractor. The Contractor shall not conduct unauthorized accelerated work or file delay claims to recover alleged damages for unauthorized early completion.
- 37.2 The Contractor shall vigorously pursue the completion of the Work and notify the Owner of any factors that have, may, or will affect the approved Schedule of the Work. The Contractor may be found responsible for expenses of the Owner or Consultant if the Contractor fails to make notification of project delays.
- 37.3 The Project is planned to be done in an orderly fashion which allows for an iterative submittal review process, construction administration including minor changes in the Work and some bad weather. The Contractor shall not file delay claims to recover alleged damages on work the Consultant determines has followed the expected rate of progress.
- 37.4 The Consultant shall prepare the Certificate of Substantial Completion which, when signed by the Owner and the Contractor, documents the date of Substantial Completion of the Work or a designated portion of the Work. The Owner shall not consider the issuance of a Certificate of Occupancy by an outside authority a prerequisite for Substantial Completion if the Certificate of Occupancy cannot be obtained due to factors beyond the Contractor's control.
- 37.5 Liquidated Damages may be deducted from the sum due to the Contractor for each calendar day that the Work remains uncompleted after the completion date specified in the Contract or an approved amended completion date. The dollar amount per day shall be calculated using the Schedule of Liquidated Damages table shown below.

If the original contract amount is:	The per day Liquidated Damages shall be:
Less than \$100,000	\$250
\$100,000 to less than \$2,000,000	\$750
\$2,000,000 to less than \$10,000,000	\$1,500
\$10,000,000 and greater	\$1,500 plus \$250 for
	each \$2,000,000 over \$10,000,000

38. Dispute Resolution

- 38.1 Mediation
- 38.1.1 A dispute between the parties which arises under this Contract which cannot be resolved through informal negotiation, shall be submitted to a neutral mediator jointly selected by the parties.
- 38.1.2 Either party may file suit before or during mediation if the party, in good faith, deems it to be necessary to avoid losing the right to sue due to a statute of limitations. If suit is filed before good faith mediation efforts are completed, the party filing suit shall agree to stay all proceedings in the lawsuit pending completion of the mediation process, provided such stay is without prejudice.

- 38.1.3 In any mediation between the Owner and the Consultant, the Owner has the right to consolidate related claims between Owner and Contractor.
- 38.2 Arbitration
- 38.2.1 If the dispute is not resolved through mediation, the dispute shall be settled by arbitration. The arbitration shall be conducted before a panel of three arbitrators. Each party shall select one arbitrator; the third arbitrator shall be appointed by the arbitrators selected by the parties. The arbitration shall be conducted in accordance with the Maine Uniform Arbitration Act (MUAA), except as otherwise provided in this section.
- 38.2.2 The decision of the arbitrators shall be final and binding upon all parties. The decision may be entered in court as provided in the MUAA.
- 38.2.3 The costs of the arbitration, including the arbitrators' fees shall be borne equally by the parties to the arbitration, unless the arbitrator orders otherwise.
- 38.2.4 In any arbitration between the Owner and the Consultant, the Owner has the right to consolidate related claims between Owner and Contractor.

00 73 00 Supplementary Conditions For ARPA Funded Project

This project has Federal funding under the American Rescue Plan which requires that the Contractor shall comply with all of the following:

1. American Rescue Plan Act of 2021

1.1. For projects funded with American Rescue Plan Act of 2021 (ARPA) funds, the parties to this Agreement shall abide by and fulfill all applicable ARPA requirements, including, but not limited to, ARPA-specific reporting requirements.

2. Equal Opportunity

2.1. The Contractor shall comply with Executive Order 11246 of September 24, 1965 entitled "Equal Opportunity," as amended by Executive Order 11375 of October 13, 1967 and as supplemented by in Department of Labor Regulations (41 CFR Part 60).

3. Copeland "Anti-kickback" Act

3.1. The Contractor shall comply with the provisions of the Copeland "Anti-kickback" Act (18 U.S.C. 874) as supplemented in Department of Labor Regulations (29 CFR Part 3).

4. Prevailing Wage Rates

4.1. The Contractor shall comply with the appropriate Maine Department of Labor, Bureau of Labor Standards Wage Determination in the Project Manual.

5. Contract Work Hours

5.1. The Contractor shall comply with sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-330) as supplemented by Department of Labor Regulations (29 CFR Part 5).

6. Environmental Protection

- **6.1.** Clean Air Act. The Contractor shall be in compliance with all applicable standards, orders, or requirements issued under Sections 114 and 306 of the Clean Air Act (42 U.S.C 18579(h)).
- 6.2. Clean Water Act. The Contractor shall be in compliance with all applicable standards, orders, or requirements issued under section 508 of the Clean Water Act (33 U.S.C. 1368), Executive Order 11738, Environmental Protection Agency regulations (40 CFR Part 15), and section 308 of the Federal Water Pollution Control Act (33U.S.C. 1318), that relate generally to inspection, monitoring, entry reports, and information, and with all regulations and guidelines issued thereunder.
- **6.3.** Related Environmental Laws. The Contractor shall be in compliance with all applicable standards, orders, or requirements issued under the Resource Conservation and Recovery Act (RCRA); the Comprehensive Environmental Response, Compensation and Liabilities Act (CERCLA); the National Environmental Policy Act (NEPA); and any applicable Federal, Codes or Local environmental regulation.

00 73 00

Supplementary Conditions

7. Energy Policy and Conservation Act

7.1. The Contractor shall be in compliance with mandatory standards and policies relating to energy efficiency which are contained in the State energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub Law 94-163).

8. Buy American Act

- **8.1.** The Contractor shall be in compliance with the Buy American Act (41 U.S.C. 10). The Buy American Act gives preference to domestic end products and domestic construction material. In addition, the Memorandum of Understanding between the United States of America and the European Economic Community (ECC) on Government Procurement, and the North American Free Trade Agreement (NAFTA), provide that ECC and NAFTA end products and construction materials are exempted from application of the Buy American Act.
- **8.2.** Domestic Preference for certain procurements using federal funds. Contractor should, to the greatest extent practicable under a Federal award, provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States (including but not limited to iron, aluminum, steel, cement, and other manufactured products). The requirements of this section must be included in all subawards including all contracts and purchase orders for work or products under this award. For purposes of this section:
 - 1. "Produced in the United States" means, for iron and steel products, that all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States.
 - 2. "Manufactured products" means items and construction materials composed in whole or in part of non-ferrous metals such as aluminum; plastics and polymer-based products such as polyvinyl chloride pipe; aggregates such as concrete; glass, including optical fiber; and lumber.
- **8.3.** The Buy American provisions only apply to iron, steel, and manufactured goods brought to the construction site for incorporation into a public building or public work. Products that do not fit the definition of manufactured goods are not covered. Similarly, services are not covered.
- **8.4.** Waivers are available for certain products that are unavailable as domestic products but are not expected to apply to any products on this project. For more information on waivers see www.madeinamerica.gov.
- **8.5.** Federal agencies have typically issued a waiver for incidental items that comprise in total a de minimis amount of the total cost of the iron, steel, and manufactured goods used in a project; that is, any such incidental items up to a limit of no more than 5% of the total cost of the iron, steel, and manufactured goods used in and incorporated into a project. This means that recipients do not need to substantiate a domestic origin for the bottom 5% (based on cost) of incidental manufactured goods in each project.

00 73 00 Supplementary Conditions

9. Nondiscrimination

- **9.1.** The Contractor shall ensure that no person is denied benefits of, or otherwise be subjected to discrimination in connection with the Contractor's performance under this agreement, on the grounds of race, religion, color, national origin, sex and handicap. Accordingly, and to the extent applicable, the Contractor covenants and agrees to comply with the following:
 - 1. Title VII of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq.), and DOD regulations 32 CFR Part 300) issued thereunder;
 - **2.** Executive Order 11246 and Department of Labor regulations issued thereunder (41 CFR Part 60);
 - **3.** Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794), and DOD regulations issued thereunder (32 CFR Part 56); and,
 - **4.** The Age Discrimination Act of 1975 (42 U.S.C. § 6101 et seq.) and regulations issued thereunder (45 CFR Part 90).

10. Lobbying

- **10.1.** The Contractor will not expend any funds appropriated by Congress to pay any person for influencing or attempting to influence an officer or employee of any agency, or a Member of Congress in connection with any of the following covered federal actions; the awarding of any Federal contract; the making of any federal grant; the making of any federal loan; the entering into any cooperative agreement; and, the extension, continuation, renewal, amendment, or modification of any Federal contract, grant loan, or cooperative agreement.
- **10.2.** The Interim Final Rule, New Restrictions on Lobbying, issued by the Office of Management and Budget to implement the provisions of section 319 of Public Law 101-121 (31 U.S.C., Art 1352) is incorporated by reference.

11. Drug-Free Workplace

- **11.1.** The Contractor will comply with the provisions of the Drug-Free Workplace Act of 1988 (Public Law 100-690, title V, subtitle D; 41 U.S.C. 701 et seq.) and maintain a drug-free workplace.
- **11.2.** The Final Rule, Government-wide Requirements for Drug-Free Workplace (Grants), issued by the Office of Management and Budget to implement the provisions of the Drug-Free Workplace Act of 1988 is incorporated by reference and the Contractor covenants and agrees to comply with all the provisions thereof.

12. Debarment and Suspension

- **12.1.** The Contractor shall not make any award or permit any award (subgrant or contract) at any tier to any party which is debarred or suspended or is otherwise excluded from or ineligible for participation in Federal assistance programs under Executive Order 12549, "Debarment and Suspension".
- **12.2.** The Final Rule, Government wide Debarment and Suspension (Non-procurement), issued by the Office of Management and Budget to implement the provisions of Executive Order

revised 25 July 2022

00 73 00

Supplementary Conditions

12549, "Debarment and Suspension" is incorporated by reference and the Contractor covenants and agrees to comply with all the provisions thereof.

00 73 46 Wage Determination Schedule

PART 1- GENERAL

1.1 Related Documents

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specifications Sections, apply to this Section.

1.2 Summary

A. This Section includes the wage determination requirements for Contractors as issued by the State of Maine Department of Labor Bureau of Labor Standards or the United States Department of Labor.

1.3 Requirements

A. Conform to the wage determination schedule for this project which is shown on the following page.

PART 2 - PRODUCTS (not used)

PART 3 - EXECUTION (not used)

State of Maine Department of Labor Bureau of Labor Standards Augusta, Maine 04333-0045 Telephone (207) 623-7906

Wage Determination - In accordance with 26 MRS §1301 et. seq., this is a determination by the Bureau of Labor Standards, of the fair minimum wage rate to be paid to laborers and workers employed on the below titled project.

2022 Fair Minimum Wage Rates Heavy & Bridge Sagadahoc County

Occupational Title	Minimum Wage	Minimum Benefit	Total
Carpenter	\$29.00	\$5.53	\$34.53
Cement Masons And Concrete Finisher	\$20.04	\$1.02	\$21.06
Commercial Divers	\$34.00	\$4.98	\$38.98
Construction And Maintenance Painters	\$27.00	\$0.00	\$27.00
Construction Laborer	\$22.00	\$4.12	\$26.12
Conveyor Operators And Tenders	\$16.50	\$0.00	\$16.50
Crane And Tower Operators	\$31.00	\$8.54	\$39.54
Crushing Grinding And Polishing Machine Operators	\$21.00	\$4.38	\$25.38
Earth Drillers - Except Oil And Gas	\$23.25	\$5.53	\$28.78
Electrical Power - Line Installer And Repairers	\$44.00	\$23.96	\$67.96
Electricians	\$32.00	\$8.38	\$40.38
Excavating And Loading Machine And Dragline Operators	\$32.43	\$8.17	\$40.60
Flaggers	\$21.00	\$0.62	\$21.62
Heating And Air Conditioning And Refrigeration Mechanics And Installers	\$26.33	\$4.06	\$30.39
Heavy And Tractor - Trailer Truck Drivers	\$23.50	\$3.58	\$27.08
Highway Maintenance Workers	\$21.66	\$3.22	\$24.88
Industrial Machinery Mechanics	\$30.00	\$7.45	\$37.45
Industrial Truck And Tractor Operators	\$24.00	\$5.61	\$29.61
Ironworker - Ornamental	\$25.00	\$3.32	\$28.32
Light Truck Or Delivery Services Drivers	\$24.50	\$6.23	\$30.73
Millwrights	\$34.00	\$15.48	\$49.48
Mobile Heavy Equipment Mechanics - Except Engines	\$29.00	\$7.67	\$36.67
Operating Engineers And Other Equipment Operators	\$34.82	\$28.90	\$63.72
Paving Surfacing And Tamping Equipment Operators	\$35.11	\$0.00	\$35.11
Pile-Driver Operators	\$30.54	\$8.93	\$39.47
Pipelayers	\$30.00	\$7.20	\$37.20
Plumbers Pipe Fitters And Steamfitters	\$32.86	\$18.00	\$50.86
Radio Cellular And Tower Equipment Installers	\$27.00	\$0.00	\$27.00
Reinforcing Iron And Rebar Workers	\$27.60	\$35.40	\$63.00
Riggers	\$24.25	\$9.27	\$33.52
Sheet Metal Workers	\$24.00	\$5.48	\$29.48
Structural Iron And Steel Workers	\$32.50	\$4.50	\$37.00
Telecommunications Line Installers And Repairers	\$25.00	\$3.75	\$28.75

Welders are classified as the trade to which welding is incidental (e.g. welding structural steel is Structural Iron and Steel Worker)

Apprentices – The minimum wage rate for registered apprentices are those set forth in the standards and policies of the Maine State Apprenticeship and Training Council for approved apprenticeship programs.

For any other specific trade on this project not listed above, contact the Bureau of Labor Standards for further clarification.

Title 26 §1310 requires that a clearly legible statement of all fair minimum wage and benefits rates to be paid the several classes of laborers, workers and mechanics employed on the construction on the public work must be kept posted in a prominent and easily accessible place at the site by each contractor and subcontractor subject to sections 1304 to 1313.

Appeal – Any person affected by the determination of these rates may appeal to the Commissioner of Labor by filing a written notice with the Commissioner stating the specific grounds of the objection within ten (10) days from the filing of these rates.

A true copy

Attest: South R. Cotner

Scott R. Cotnoir Wage & Hour Director Bureau of Labor Standards

Expiration Date: 12-31-2022

SECTION 01 01 00 – SUMMARY OF WORK - GRIFFITH HEAD BRIDGE AT REID STATE PARK

PART 1 - GENERAL

1.1 BACKGROUND

The project is to replace a bridge located within Reid State Park which provides access for visitors to the Griffith Head parking lot and facilitates and to the main beachfront. The bridge is on an internal park road that leads to the parking lot and park facilities for the east end of the main beach.

The existing three span timber bridge was constructed in 1956. It was originally configured with a small water control gate structure underneath the vehicle bridge, intended to control water level in the saltwater pond upstream of the bridge. The bridge deck was extensively rebuilt in 1965. We understand that the deck planks may have been replaced since that time. The current bridge has the foundations from the original bridge with the newer deck structure.

The bridge is founded on a ridge of bedrock which is exposed under most of the bridge and creates a natural dam. This was augmented with gates in the original design to hold back the tidal salt water and augment an impounded area for saltwater swimming. The impoundment is no longer controlled, the dam gates and stop logs have been removed and only the center pier remains.

The Maine DOT has inspected the bridge and given it a load rating of 30,000 lbs Gross Vehicle Weight. The goal of this project is to increase the structural capacity rating to at least 60,000 lbs gross vehicle weight to accommodate the larger size of interstate and tour charter buses which bring visiting groups to the park, as well as trucks involved in the maintenance functions.

In the future, widening of the bridge is anticipated by removing the new sidewalk and adding it as a new structure to the ocean side of the newly reconstructed bridge. Thus the new bridge vehicle deck in the current project will extend under the new sidewalk, and the sidewalk is configured to make it reusable as part of a new pedestrian structure.

The timber bridge structure has potential for reuse as a pedestrian and bicycle bridge and this will avoid sending the preservative treated timber to a landfill. The contractor shall cut the 3 spans of the existing bridge lengthwise by saw cutting the deck and lift the sections out for transportation and reuse at Lake George Regional Park in Skowhegan, ME.

The main water line to Griffith Head runs under the lagoon side of the bridge. The water line is butt welded HDPE which must be well supported between the stringers. The sewer line from East Beach runs under the ocean side of the bridge. The Contractor is responsible for supporting and protecting these lines during construction. See the demolition specifications and the project plans.

1.2 CONTRACT

The work includes, but is not necessarily limited to, the following major work items and any incidentals necessary. All labor, materials, and equipment are supplied by the Contractor. noted.

- Mobilization and Demobilization.
- Temporary Facilities.
- Soil Erosion Control, sedimentation and water pollution prevention measures.
- Deconstruction and transport of the existing timber bridge components designated to be saved.
- Inspect the existing support timber sills in company with the Owner/ Engineer to confirm reuse. If replacement is necessary the material will be obtained by reuse of existing creosote treated timber bridge stringers being removed.
- Timber Construction Provide timber components including timber beams, decks, guardrails and curbs. All timber items are part of this contract.
- Accessible ramps at both ends of the bridge,
- Extending the handrails on the East side. Associated with this is modifying the existing log railings.
- Backfill And drainage at the abutments.
- Patch the pavement with concrete.
- Removal from the park and proper disposal of all debris, trash, and wast
- Site Cleanup.
- 1.3. BUY AMERICA. -Materials must comply with the infrastructure Investment and Jobs Act ("IIJA"), Pub. L. No. 117-58, which includes the Build America, Buy America Act ("the Act"). Pub. L. No. 117-58, §§ 70901-52"

1.4 CONTRACTOR USE OF SITE

- A. Provide skilled craftsman, and a skilled knowledgeable foreman to supervise construction crew.
- B. Public Access during Construction -
 - 1. Park recreational visitors will be redirected to the Todd's Point parking area. The Griffith Head Road will be barricaded by the Contractor to close the site during the construction period. The Contractor will make the closure time as short as practical.
 - 2. The Contractor shall assign personnel to provide and maintain site security and safety measures as needed until it can be reopened. Use signage, barricades or other protective devices to warn the public of any hazard.
 - 3. Coordinate with park staff on all outages and closures.
- C. Construction materials can be stored nearby in the East Area Parking Lot immediately preceding the approach to the bridge. After the start of work the

- East Area Parking Lot will be available for parking of vehicles, equipment and construction materials.
- D. Sanitary facilities are limited and the Contractors shall provide portable toilet units for their workers. The nearby outhouse shall be reserved for the public users.
- E. Provide self-contained office, storage and other temporary facilities for the construction personnel, as needed or required.
- F. Electric supply to the work site- There are no existing nearby electrical receptacles available, but temporary service could be arranged by the contractor with Central Maine Power from the nearest suitable overhead utility line.
- G. Telephone- Cell Service is spotty in the immediate area adjacent to the bridge. There is a land line in the entry booth which could be made available to the contractor if needed.
- H. Provide the correct survey layout of the work (lines and grades), from the contract documents.
- Repair any damage caused by Contractors operations to public and private roads, staging areas etc. Minimize and restore any damage to trees, vegetation and grass areas.
- J. Store and secure equipment and materials in a neat and organized manner for after work hours.
- K. Construction equipment and materials should not be parked or stored where they will block use of the existing roadways.
- L. Confine washing down of tools or equipment to areas at least 250 ft away from water bodies. Washing of concrete tools, trucks or equipment is not permitted in or near water courses.
- M. Conform to Bureau of Parks and Lands Spill Control and Reporting Procedures and the project specifications 31 25 00 to prevent water pollution.
- N. Control and remove rubbish, wood, concrete, or other debris from the site and the park property.
- O. There is no restriction on work hours nor weekend days.

1.5 SALVAGE

A. The Owner will retain the existing timber and deck bridge after the Contractor cuts it into sections.

- B. The drawings show the location of longitudinal cuts that will divide the bridge deck into three sections plus the sidewalk.
- C. Sever the sections from the supporting sills without damage to the sills which will remain for reuse in the new bridge.
- D. Remove the salvaged sections without damage and stage them for reloading in the temporary storage area in the adjacent parking area.
- E. Provide loading of the bridge sections onto a flatbed truck(s) for removal from Reid Park. This shall be included in the Base Bid price.

F. Alternate Bid Item No. 1

- 1. The alternate, if awarded by the Owner, is for the Contractor to load and transport the salvaged bridge sections to Lake George Regional Park (LGRP), for reuse as a pedestrian bridge.
- 2. The LGRP park is located in Skowhegan and Canaan, Maine on US Route 2. See https://lakegeorgepark.org for location information. It is approximately 95 miles from Reid State Park.
- 3. LGRP will provide a temporary location for unloading and storage, and will provide unloading equipment.
- 4. The Contractor will coordinate deliveries of the bridge sections with the LGRP park staff. Deliveries must have adequate advance notice (at least 3 days) to the park director to arrange for unloading equipment.

END OF SECTION

SECTION 01 33 00 CONTRACTOR SUBMITTALS

1.0 ITEMS REQUIRED FOR SUBMITTAL

Refer to the Technical Specifications for specific submittal requirements.

1.1 SHOP DRAWING AND SUBMITTALS PROCEDURE INSTRUCTIONS

The following procedure will apply to all submittals received from contractors and vendors:

Submittals and Shop Drawings will be submitted by the contractor or vendor as follows:

- 1. The Contractor will designate, monitor, and maintain a specific email address for project correspondence.
- 2. All submittals shall be submitted as pdf file attachments to the Engineer via the following email recipients:

PinnacleHillEngineering@gmail.com
david.rodrigues@maine.gov

Other parties as may be designated by the Owner at the Reconstruction Conference..

- 3. Each submittal shall be sent via a separate and specific email using the subject line to show the submittal title and revision number. Do not use chain emails with multiple subjects or mix submittals together with those from unrelated vendors and specifications.
- 4. The Contractor shall have reviewed the submittal prior to submitting to the Owner and Engineer and shall so state in the cover email. Submittals received directly from the vendor and not reviewed first by the Contractor may not be reviewed.
- 5. After review, copies will be distributed via email to the Owner and the Contractor. The Vendor will be copied at the request of the Contractor.
- 6. If the submittal is rejected or returned for substantial corrections and must be resubmitted, the procedure is repeated.
- 7. The Engineer will attempt to have shop drawings reviewed and returned in an expeditious manner. Allow two weeks in the schedule for review if possible.

1.2 RECORD INFORMATION

The Contractor will keep records of any changes or clarifications to the design plans and provide that information to the Engineer by email notifications. These should be sent as soon as possible in each case.

Given that the Contractor has the best opportunity to record hidden and buried conditions that may not be later visible, the Contractor is required to take and submit digital photographs on a frequent basis throughout the work. In particular, the photographs shall record hidden conditions just prior to being covered by new work, such as the interiors of concrete forms just prior to the placement of concrete, or the excavation prior and during backfilling, as well as finished and completed items.

After completion of the project, a "Record" set of pdf files will be assembled and delivered by the Engineer to the Owner.

END OF SECTION

SECTION 02 41 00 - DEMOLITION AND DECONSTRUCTION

PART 1 – GENERAL

1.1 Definitions

1. Demolition.

Demolition is the process of wrecking or taking out any load-supporting structural member of a facility together with any related handling and disposal operations.

2. Deconstruction

Deconstruction is the process of taking apart a facility with the primary goal of preserving the value of all useful building materials.

1.2 Demolition and Deconstruction Plan

- 1. The Plan is prepared by the Contractor and will include the planned steps and processes for dismantling all or portions of a structure or assembly, to include managing sequencing activities, storage, re-installation activities, salvage and disposal mechanisms.
- 2. Prepare and submit the proposed salvage, demolition, deconstruction and removal procedures for approval before work is started. Include in the plan procedures for careful removal and disposition of materials specified to be salvaged, coordination with other work in progress, a closure schedule of the bridge and roadway, a detailed description of methods and equipment to be used for each operation and of the sequence of operations.
- 3. Identify components and materials to be salvaged for reuse.
- 4. Identify components or materials to be disposed of as waste and show where and how they will be disposed.

1.3 General Requirements

- 1. Do not begin demolition or deconstruction until authorization is received from the Owner. The work of this section is to be performed in a manner that maximizes the value derived from the salvage and recycling of materials.
- 2. Remove rubbish and debris from the project site; do not allow accumulations unless contained in waste receptacles. Regularly remove the waste from the park property. Store materials that cannot be removed daily in areas specified by the Owner.
- 1.4 Provide safety barricades, warning signage, and temporary covering of exposed areas.

1.5 Utility Services in the Bridge

- 1. Prior to the start of work, the Owner will shutdown use of the Griffith Head facilities. Water lines will be blown clear pneumatically.
- 2. The sewer sump tank and force main sewer line will be pumped and allowed to drain back to the sewer sump tank. There is no guarantee that the sewer line will be completely empty so all precautions to not break the line should be taken.
- 3. The lines may include small amounts of liquid.

Reid State Park Demolition

02 41 00 - Page 1 of 2

4. Support the lines temporarily with independent supports and protect against damage during demolition and deconstruction operations.

1.4 BURNING PROHIBITED

Burning at the project site for the disposal of refuse and debris will not be permitted.

END OF SECTION

Reid State Park

Demolition

02 41 00 - Page 2 of 2

SECTION 06 13 10 - TIMBER CONSTRUCTION

PART 1 – GENERAL

1.1 RELATED DOCUMENTS

- A. AWPA Standards, latest editions.
- B. Standard Grading Rules for Southern Pine Lumber, latest Edition.
- C. Southern Forest Products Association "Pressure -Treated Southern Pine".

1.2 SUBMITTALS

A. Contractor shall submit prior to purchase, the planned preservative treatment specification and treatment levels for each member size and location. The treatment shall have been previously certified to meet the published AWPA usage classification specified. In the event of newer treatment chemicals which are not in the published standards the certification status shall by shown, by test results or certification satisfactory to the Engineer, to meet the specified standards.

B. Submit the following in accordance with Section 01 33 00 Submittals

Shop Drawings;

Fabrication Drawings,

Installation Drawings

Certificates for preservatives - type, retention and showing that it meets the AWPA use class

Certificates for Glued-Laminated Structural Members listing the Wood Materials and Adhesive

1.3 QUALITY ASSURANCE

- A. Standards: Comply with American Institute for Timber Construction "Standard for Heavy Timber Construction" AITC 108.
- B. Grading of Timber: Provide timber graded per the Southern Pine Inspection Bureau grading rules, complying with requirements of American Lumber Standards Committee and PS 20 "American Softwood Lumber Standard". Use only pieces which bear inspection service's grade mark.
- C. Provide factory glued-laminated structural wood members produced by an American Institute of Timber Construction (AITC) or (APA) Engineered Wood Association licensed manufacturer. Factory mark every member of the structural glued-laminated timber with AITC Quality Mark or APA trademark and provide a certificate of conformance.

Reid State Park Timber Construction

1.4 DELIVERY, STORAGE, HANDLING

A. Protect timbers during fabrication, delivery, storage, handling and erection.

PART 2 – PRODUCTS

2.1 TIMBER FRAMING

A. The publications listed below form a part of this specification to the extent referenced.

AMERICAN INSTITUTE OF TIMBER CONSTRUCTION (AITC)

AITC 109 (2007) Standard for Preservative Treatment of Structural Glued Laminated Timber

AITC 111 (2005) Recommended Practice for Protection of Structural Glued Laminated Timber During Transit, Storage and Erection

AITC 113 (2010) Standard for Dimensions of Structural Glued Laminated Timber

AITC 119 (1996) Standard Specifications for Structural Glued Laminated Timber of Hardwood Species

APA - ANSI 117 Standard Specifications for Structural Glued Laminated Timber of Softwood Species

Southern Pine Inspection Bureau. (SPIB) 2021 Standard Grading Rules for Southern Pine

AMERICAN WOOD COUNCIL (AWC) (2015) National Design Specification (NDS)

2.2 Lumber

A. Acceptable Timber Species:

All lumber and timber shall be Southern Pine, pressure treated, sawn or glue laminated and graded by the same basic provisions as SPIB Standard Grading Rules.

Lumber for Glue Laminated beams shall meet the requirements of APA ANSI 117.

B. Sawn Timber Grade: No. 2 Grade minimum, free from splits, large knots and bark. Sawn timber may be seasoned or unseasoned.

Reid State Park Timber Construction

06 13 10 - Page 2 of 5

C. Timber Preservative Treatment:

- 1. Bridge structural support beams specified as Glue Laminated shall be laminated from lumber stock that is dried and pre- treated with oil-borne Pentachlorophenol preservative prior to laminations, to specifications of AWPA Use Category UC5A. Use only lumber having a maximum moisture content of less than 16-percent before surfacing and bonding. Preservative Treatment after glue-up is not acceptable.
- 2. Members where contact with users may generally occur such as decking and handrails shall be a waterborne copper-based preservative suitable for AWPA Use Category 4B. Acceptable chemicals include:

Copper Azole (CA-C)
Micronized Copper Azole (µCA-C or MCA)

- D. Dressing: Timber is to be surfaced on 4 sides where exposed at deck level or above, such as for decking, handrails and curbs.
- E.. Appearance Grade for Glue Laminated beams:

For the spandrel beams (exposed to view on the sides of the bridge) select beams that will meet the "Architectural" appearance grade with normal growth characteristics such as tight knots and medium seasoning checks.

For the remainder of beams, which are not exposed to view, except from below shall meet the "Industrial" grade appropriate for concealed construction

2.2 HARDWARE, CONNECTORS, ANCHORS, ACCESSORIES

- A. Provide fabricated structural steel (ASTM A 36) shapes, plates and bars, welded into assemblies of types and sizes indicated, with steel bolts (ASTM A 307), lag bolts, and other fasteners as required.
- B. Finish each assembly and fastener unit with hot-dip zinc coating (ASTM A 153). Galvanize after assembly.
- C. Nails: Hot-dipped galvanized in sizes and wires indicated.
- D. Drift Pins: Hot Dipped galvanized carriage bolts with dome heads, driven flush with surface in predrilled holes

Reid State Park Timber Construction 06 13 10 - Page 3 of 5

- E. Bolts: ASTM A307, hot-dipped galvanized in sizes and types shown.
- F. Washers: Galvanized dock washers, ogee washers, or plate washers, minimum 1/4" thickness. Hot-dipped galvanized.

G. Deck Attachment:

- 1. Deck planks shall be attached with either galvanized spikes or stainless steel screws.
- 2. To prevent splitting of the Glulam beams and deck planks drill pilot holes for all fasteners. Stagger rows of fasteners driven into the Glulam Beams so that they do not result in splitting.
- 3. For 3 1/2" thick planks of main roadway, spikes shall be 8" x 3/8" and
- 4. for 2 1/2" thick decking of sidewalk use 5" x 1/4" spikes
- 5. At Contractors option screws of an equivalent size may be used instead of spikes. Contractor may propose an alternative for Owner/Engineer review.

2.3 FIELD TREATMENT FOR CUT SURFACES

Provide liquid preservative approved for use by the AWPA for field treating cut surfaces per AWPA M4.

2.4 FABRICATION, TIMBER FRAMING

- A. Minimize cutting after preservative treatment, to avoid untreated exposed surfaces. Use full length pieces without splices or field cuts where possible.
- B. Camber: Place horizontal members with natural convex bow (crown) up, so as to provide camber in the work.
- D. Shop Fabrication: fabricate members (cutting, surfacing and sanding) prior to treatment, to the greatest extent possible.
- E. Preservative Treatment: Pressure treat fabricated members with preservative complying with these specifications and with AWPA Standards.
 - 1. Provide at least minimum level of treatment (retention rate of preservative)
 - 2. All timber work on this project is to receive preservative treatment as

shown on drawings and the Timber Schedule.

3. End-Cut Sealing: Immediately after end-cutting each member to final length, apply a saturation coat of end sealer to ends and other crosscut surfaces, keeping surfaces "flood-coated" for not less than 10 minutes. Make provisions to prevent preservative from entering any natural water bodies.

PART 3 – EXECUTION

3.1 INSTALLATION

- A. Erection Tolerances: Control lines and grades of top edges to within 1/2" of theoretical lines, and within 1/2" for any 10 ft section measured with a straight edge.
- B. Cutting: Avoid extra cutting after fabrication. Where treated members must be cut during erection, apply a heavy brush coat of the field treatment, complying with AWPA Standard M4.
- C. Repair damaged surfaces and finishes after completion of erection, or replace damaged members as directed where damage is beyond satisfactory repair.
- D. Drift Pins: Pilot Holes shall be predrilled to a slightly smaller diameter than the pin itself. Drift pins may be installed with a pneumatic hammer but if splitting of timber member occurs, Contractor must modify methods

END OF SECTION

Reid State Park Timber Construction 06 13 10 - Page 5 of 5

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SECTION 31 25 02 - SPILL CONTROL & REPORTING PROCEDURES

This procedure applies to all chemicals and petroleum products and other potentially hazardous materials that are brought onto or used on the Bureau of Parks & Lands. Contractors, subcontractors, contractor and/or subcontractor employees, and permittees, are responsible for compliance with the following safety and environmental policies and procedures.

Definitions:

Spill: An unintended spill or leak of any amount of any chemical or petroleum product into the environment.

Reportable spill: Any release of 1 gallon or more of any chemical or petroleum product that comes into contact with the ground or that enters or may enter a watercourse or other sensitive site. (Sensitive sites include, but are not limited to, areas near potable water supplies, open water or wetlands.)

Prevention

Oils, fuels, hydraulic fluids, coolants, etc. are hazardous materials common to timber harvest operations. Avoiding spills is the best way to minimize impacts on personal safety and the environment.

Contractor shall not service trucks or other equipment at locations where pollution of waters of the State of Maine is likely to occur.

Use appropriate containers for collecting and storing oils, fuels, coolants or hazardous wastes. Store these materials in designated areas and remove them from the site when no longer needed.

All equipment used will be kept clean and in good condition. Inspect hoses, fuel trucks, fuel tanks, etc. routinely for leaks and make necessary repairs immediately.

Maintain and repair all equipment at a minimum distance of 330 feet or 100 meters from watercourses.

Place mobile fuel storage tanks a minimum of 330 feet or 100 meters from watercourses and position them safely and securely. Inspect and maintain storage tanks regularly.

Spill kits or other absorbent materials for mopping up spills will be kept readily available. Hay or sawdust may be adequate for very small spills. Commercially available waste containment kits should be kept on band for larger spills.

Spill Response Procedures

- 1. Ensure the safety of all personnel. Use personal protective equipment appropriate for the situation.
- 2. Stop the spill. Act quickly to shut off pumps, close valves, etc. \
- **3**. Contain the spill. Block off culverts or ditches as necessary to prevent material from reaching surface waters. Surround the spill with absorbent materials. If a commercial

spill kit is not available, hay, sawdust, earth, peat, straw, sand or other absorbent material may be used.

- 4. Clean up the spill. Remove contaminated materials from the site and dispose of properly.
- 5. Correct the problem that caused the spill.
- 6. If a product reaches surface waters, contain the material as best as you can, cleanup as much as possible and report the event as quickly as practicable.
- 7. For reportable spills, complete the Hazardous Materials Accidental Spill Report within 8 hours of occurrence.

Bureau Parks & Lands.

Reid State Park,375 Seguinland Rd, Georgetown, ME 04548 207) 371-2303

Southern Parks Region Office, Augusta, 54 Independence Drive 107 State House

Station, Augusta, ME 04333-0107

Phone: (207) 624-6080 Fax: (207) 287-5081

State of Maine Spill Reporting:

Emergency: Oil/fuel spills: 800-482-0777 Emergency: Chemical spills: 800-452-4664

Southern Maine Region (Portland) 207-822-6300 or 888-769-1036

Accidental spills ≥1 gallon will be reported on this form within 8 hours of occurrence.

Accidental spills ≥3 gallons will be reported on this form within 8 hours of occurrence and will also be reported via a spill report delivered to Bureau personnel within 24 hours of occurrence.

Date	Time of Spill	Cause of Spill	Material Spilled & where	Estimated Volume	Clean Up Actions Taken	Company and Crew initial

APPENDIX A PERMITS

Department of the Army GENERAL PERMITS FOR THE STATE OF MAINE (The complete document is not included but is available upon request.)

Self Verification Form for NAE-2021-02201

GeorgeTown Permit_Reid State Park Bridge

Effective Date: October 14, 2020 Expiration Date: October 14, 2025

DEPARTMENT OF THE ARMY GENERAL PERMITS FOR THE STATE OF MAINE

The New England District of the U.S. Army Corps of Engineers (Corps) hereby issues 23 General Permits (GPs), listed below, for activities subject to Corps jurisdiction in waters of the United States within the boundaries of the State of Maine including tribal lands, and in adjacent ocean waters to the seaward limit of the outer continental shelf. These GPs are issued in accordance with Corps regulations at 33 CFR 320 – 332 and specifically 33 CFR 325.2(e)(2). These GPs will protect the aquatic environment and the public interest while effectively authorizing activities that have no more than minimal individual and cumulative adverse environmental effects.

This d	ocument contains the following sections:	Pages
I.	CORPS JURISDICTION	1
II.	GENERAL CRITERIA	2
III.	PROCEDURES	3 - 4
IV.	GENERAL CONDITIONS	5 - 19
V.	MAINE GENERAL PERMITS	20 - 35
VI.	SELF-VERIFICATION NOTIFICATION FORM	36
VII.	CONTENT OF A PRE-CONSTRUCTION NOTIFICATION	37 - 42
VIII.	AGENCY CONTACTS	43 - 45
IX.	DEFINITIONS	46 - 51

I. CORPS JURISDICTION

- 1. Permits are required from the Corps for the following work:
- a. The construction of any structure in, over, or under any navigable water of the U.S. (see 33 CFR 328), the excavating or dredging from or depositing of material in such waters, or the accomplishment of any other work affecting the course, location, condition, or capacity of such waters. The Corps regulates these activities under Section 10 of the Rivers and Harbors Act of 1899 (see 33 CFR 322);
- b. The discharge of dredged or fill material and certain discharges associated with excavation into waters of the U.S. including wetlands. The Corps regulates these activities under Section 404 of the Clean Water Act (see 33 CFR 323); and
- c. The transportation of dredged material for the purpose of disposal in the ocean. The Corps regulates these activities under Section 103 of the Marine Protection, Research and Sanctuaries Act of 1972 (see 33 CFR 324).
- 2. Related laws: Section 408 of the Rivers and Harbors Act of 1899, Section 401 of the Clean Water Act, Section 402 of the Clean Water Act, Section 307(c) of the Coastal Zone Management Act of 1972, Section 106 of the National Historic Preservation Act of 1966, Section 7 of the Endangered Species Act, the Fish and Wildlife Coordination Act of 1956, the Magnuson-Stevens Fishery Conservationand Management Act, Section 302 of the Marine Protection, Research and Sanctuaries Act of 1972, and Section 7(a) of the Wild and Scenic Rivers Act.

II. GENERAL CRITERIA

- 1. In order for activities to qualify for these General Permits (GPs), they shall meet the GPs terms and eligibility criteria on pages 1-4, all applicable general conditions (GCs) in Section IV, and terms of the Maine General Permits in Section V. Any activity not specifically listed may still be eligible for authorization under these GPs; prospective permittees are advised to contact the Corps for specific eligibility determination.
- 2. Under these GPs, activities may qualify for the following:
 - **SELF-VERIFICATION** (**SV**): Notification to the Corps is required at least two weeks before work commences; the Corps will acknowledge receipt and GP eligibility of the SV activity in writing.
 - PRE-CONSTRUCTION NOTIFICATION (PCN): Notification to <u>and</u> written verification from the Corps is required. *No work under PCN may proceed until written verification from the Corps is received.*

The thresholds for activities eligible for SV and PCN are defined in the general conditions in Section IV and Maine General Permits in Section V.

- **3.** Prospective permittees shall review:
 - a. Section I to determine if the activity requires Corps authorization.
- b. Sections III, IV, and V to determine if the activity is eligible for authorization under these GPs, and specifically whether it is eligible for SV, or whether a PCN is required.
- **4.** Prospective permittees are encouraged to contact the Corps with questions at any time (U.S. Army Corps of Engineers, Maine Project Office, 442 Civic Center Drive, Suite 350, Augusta, Maine 04330, ph. 207-623-8367). Pre-application meetings, whether arranged by the Corps or requested by a prospective permittee, are encouraged to facilitate the review of projects. Pre-application meetings and/or site visits help streamline the authorization process by alerting the prospective permittee to potentially time-consuming factors that are likely to arise during the evaluation of their project (e.g. avoidance, minimization and compensatory mitigation requirements, historic properties, endangered species, essential fish habitat, vernal pools, and dredging of contaminated sediments).
- **5.** Permittees shall ensure compliance with all applicable GCs in Section IV and GPs in Section V. Non-compliance with these GPs and GCs may subject the permittee to criminal, civil, or administrative criminal penalties, and/or an ordered restoration, and/or the permit may be modified, suspended or revoked by the Corps.

III. PROCEDURES

1. State Approvals. Applicants are responsible for applying for and obtaining any required state or local approvals. Federal and state jurisdiction and review criteria may differ in some instances. State permits may be required for specific projects regardless of the GP category.

In order for authorizations under these GPs to be valid, when any of the following state approvals or statutorily-required reviews is also required, the approvals shall be obtained prior to the commencement of work in Corps jurisdiction:

- Maine Department of Environmental Protection (DEP): Natural Resources Protection Act (NRPA)
 permit, including permit-by-rule (PBR) and general permit authorizations; Site Location of
 Development Act permit; Maine Waterway Development and Conservation Act permit; and Maine
 Hazardous Waste, Septage, and Solid Waste Management Act license.
- Maine Department of Agriculture, Conservation and Forestry: Land Use Planning Commission (LUPC) permit.
- Maine Department of Marine Resources: Aquaculture Leases and Licenses.
- Maine Department of Agriculture, Conservation and Forestry, Bureau of Parks and Lands, Submerged Lands: Submerged Lands Lease.
- 2. How to Obtain/Apply for Corps Authorization.
- a. **Self-Verification (SV)**: Prospective permittees shall confirm that the activity meets all the applicable terms and conditions of SV. Consultation with the Corps and/or other relevant federal and state agencies may be necessary to ensure compliance with the applicable general conditions (GCs) and related federal laws such as the National Historic Preservation Act (GC 15), the Endangered Species Act (GC 16), the Magnuson-Stevens Fishery Conservation and Management Act (GC 17), and the Wild and Scenic Rivers Act (GC 13). Activities that are eligible for SV are authorized under these GPs provided the prospective permittee has:
 - i. Confirmed that the activity meets all applicable terms and conditions of SV.
 - ii. Provided notifications to the State Historic Preservation Officer (SHPO) (the SHPO in the State of Maine is the Maine Historic Preservation Commission, or MHPC) and all five federally-recognized tribes in the State of Maine (Tribal Historic Preservation Officers, or THPOs) listed in Section VIII before submitting the SV to the Corps in order to be reviewed for the presence of historic, archeological, architectural, or tribal resources in the action area that the activity may affect (see GC 15). Prospective permittees are not required to wait for a response to their notifications before submitting the SV to the Corps.
 - iii. At least two weeks before work is to commence, submitted to the Corps a Self-Verification Notification Form (SVNF, page 36) with all of the following attachments: location map, project plans, and an Official Species List of federally threatened and endangered species that may occur in the activity's action area and the email address of the person who generated the list (see GC 16).

NOTE: A copy of a state permit application form may be an acceptable surrogate for the SVNF itself; however, the applicant shall not rely on the state permitting agency to provide the Corps a copy of their state permit application.

b. **Pre-Construction Notification (PCN)**: Notification to, and written verification from the Corps is required. For activities that do not qualify for SV or where otherwise required by the terms and conditions of the GPs, the prospective permittee shall submit a PCN and obtain written verification from the Corps before starting work in Corps jurisdiction. The Corps will coordinate review of all PCN activities with other federal and state agencies, as appropriate. The Corps will attempt to issue written verification of the PCN within 60 days of receiving a complete application.

All prospective permittees for PCN activities shall follow the instructions on found on pages 37 - 42, and in particular:

i. Submit directly to the Corps application form ENG Form 4345 (pages 40 - 42), or the surrogate state permit application form as noted above.

- ii. Provide project information outlined on pages 37 42 (Content of a Pre-Construction Notification).
- iii. Submit an Official SpeciesList of federally threatened and endangered species that may occur in the activity's action area and the email address of the person who generated the list (GC 16).
- iv. Provide notifications to the SHPO (MHPC) and all five THPOs in the State of Maine listed in Section VIII before submitting the PCN to the Corps in order to be reviewed for the presence of historic, archeological, architectural, or tribal resources in the action area that the activity may affect (see GC 15). The PCN shall include documentation that MHPC and all of the THPOs were notified (a copy of the prospective permittee's cover letter or emails to MHPC and the THPOs is acceptable). Prospective permittees are not required to wait for a response to their notifications before submitting a PCN to the Corps.
- c. Individual Permit (IP): Projects that are not eligible for these GPs require an IP (33 CFR 325.5(b)) and prospective permittees shall submit an application directly to the Corps. These GPs do not affect the Corps IP review process or activities exempt from Corps regulation. For general information regarding IPs prospective permittees are encouraged to contact the Corps. In addition, the Corps retains discretionary authority on a case-by-case basis to elevate GP-eligible activities to an IP based on concerns for the aquatic environment or for any other factor of the public interest (33 CFR 320.4(a)). Whenever the Corps notifies a prospective permittee that an IP is required, no work in Corps jurisdiction may be conducted until the Corps issues the required authorization in writing indicating that the work may proceed.
- d. **Emergency Situations:** Contact the Corps immediately in the event of an emergency situation for information on the verification process. Emergency situations are limited to sudden, unexpected occurrences that could potentially result in an unacceptable hazard to life, a significant loss of property, or an immediate, unforeseen, and significant economic hardship if corrective action requiring a permit is not undertaken within a time period less than the normal time needed to process an application under standard procedures. <u>Emergency work is subject to the same terms and conditions of these GPs as non-emergency work, and similarly, must qualify for authorization under these GPs; otherwise an IP is required. The Corps will work with all applicable agencies to expedite verification according to established procedures in emergency situations.</u>

IV. GENERAL CONDITIONS

An activity is authorized under the General Permits (GPs) only if that activity and the permittee satisfy all of the applicable GPs terms and following general conditions (GCs):

- 1. Federal Jurisdiction.
- 2. Minimal Direct, Secondary and Cumulative Effects.
- **3.** Other Permits.
- 4. Water Quality and Coastal Zone Management.
- 5. Fills Within 100-Year Floodplains.
- **6.** Discretionary Authority.
- 7. Single and Complete Project.
- **8.** Use of Multiple General Permits.
- 9. Mitigation (Avoidance, Minimization, and Compensatory Mitigation).
- 10. Corps Projects and Property.
- 11. Navigation.
- 12. National Lands.
- 13. Wild and Scenic Rivers.
- 14. St. John/St. Croix Rivers.
- 15. Historic Properties.
- 16. Federal Threatened and Endangered Species.
- 17. Essential Fish Habitat.
- **18.** Aquatic Life Movements and Management of Water Flows.
- 19. Spawning, Breeding, and Migratory Areas.
- 20. Vernal Pools.
- 21. Restoration of Special Aquatic Sites (Including Wetland Areas).
- 22. Invasive and Other Unacceptable Species.
- 23. Soil Erosion, Sediment, and Turbidity Controls.
- 24. Time-of-Year Work Windows/Restrictions.
- **25.** Pile Driving and Pile Removal in Navigable Waters.
- **26.** Temporary Fill.
- 27. Heavy Equipment in Wetlands or Mudflats.
- **28.** Bank and Shoreline Stabilization Including Living Shorelines.
- 29. Stream Work and Crossings, and Wetland Crossings.
- **30.** Utility Line Installation and Removal.
- **31.** Storage of Seasonal Structures.
- 32. Aquaculture.
- **33.** Permit(s)/Authorization Letter On-Site.
- 34. Inspections.
- **35.** Maintenance.
- **36.** Federal Liability.
- 37. Property Rights.
- 38. Previously Authorized Activities.
- 39. Transfer of GP Verifications.
- 40. Modification, Suspension, and Revocation.
- 41. Special Conditions.
- **42.** False or Incomplete Information.
- 43. Abandonment.
- 44. Enforcement Cases.
- 45. Duration of Authorization.



Appendix B: Self-Verification Notification Form

(for all tidal and non-tidal projects in Maine subject to Corps jurisdiction)

US Army Corps of Engineers ®

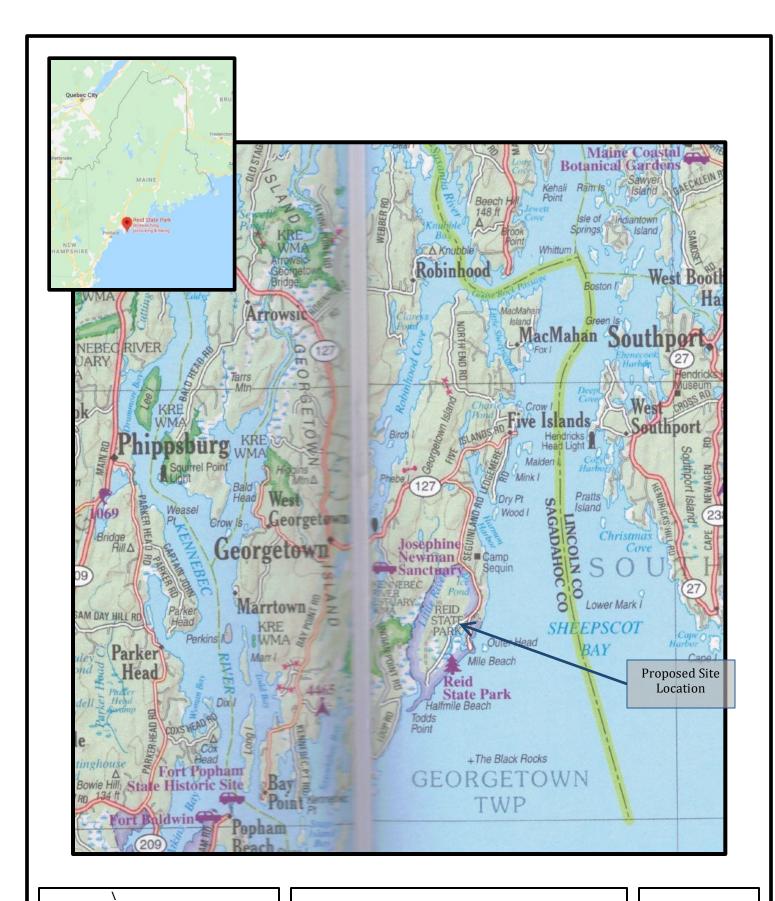
New England District

At least two weeks before work commences, complete **all** fields (write "none" if applicable) below or use the fillable form at www.nae.usace.army.mil/missions/regulatory.aspx. Send this form, a location map, any project plans, and an Official Species List (See GC 8) to the address noted below; fax to (207) 623-8206; or email to jay.l.clement@usace.army.mil. The two-week lead time is not required for emergency situations (see page 4 for definition). Please call (207) 623-8367 with questions.

Maine Project Office		
U.S. Army Corps of Engineers	a . B . 137 1 . W	
New England District	State Permit Number: N/A	
675 Western Avenue #3	Date of State Permit: N/A	
Manchester, Maine 04351	State Project Manager: Dawn Hallowell -consulted; crossing exemption	
Permittee: Maine Department of Agriculture, Conservation and Fore	stry, Bureau of Parks and Lands: David Rodrigues	
Address, City, State & Zip: 22 State House Station, Augusta, M	E 04333	
Phone(s) and Email: _David.Rodrigues@maine.gov		
Contractor: Unknown until after bidding process		
Address, City, State & Zip:		
Phone(s) and Email:		
Consultant/Engineer/Designer: Pinnacle Engineering: Steve Re	uelle	
Address, City, State & Zip: 33 Pinnacle Road, Canaan Maine C	4924	
Phone(s) and Email: steve.ruell@gmail.com		
Wetland/Vernal Pool Consultant: N/A		
Address, City, State & Zip:		
Phone(s) and Email:		
Project Location/Description: INcrease structural capacity ratin		
Address, City, State & Zip: 375 Seguinland Rd., Georgetown Ma		
Latitude/Longitude Coordinates: UTM Northing: 43°47'04.5"N Waterway Name: Atlantic Ocean	UTM Easting: 69°43'22.2"W Tax Map/Lot: 8-Lot3	
-	sure treated Southern Pine timber, which is an extremely durable	
material for this application and exposure	to salt water and silt spray, and will have excellent longevity.	
Provide any prior Corps permit numbers: N/A		
	Finish: May 30th	
Area of wetland impact: SF (leave blank Area of waterway impact: SF (leave blank Area of compensatory mitigation provided:	if work involves structures & no fill in Navigable Waters) if work involves structures & no fill in Navigable Waters) SF	
II. Navigable Waters: 1 2 3 4 5 6 7	7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 9 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 9 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
Permittee Printed Name: Vanessa O'Donnell		
Permittee Signature: Vanessa ODonnell Digitally signed by Vanessa ODonnell	essa ODonnell 6:27-0490' Date: 8-16-21	

Appendix B 1

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PERMIT CONSULTANTS

98 North Cobbtown Rd. Lincolnville, ME 04849 PROJECT: GRIFFITH HEAD BRIDGE RENNOVATION

TOWN: GEORGETOWN, ME

DRAWING: PROPOSED PROJECT LOCATION

DATE: 6-15-20 SHEET: 2 OF 4 2

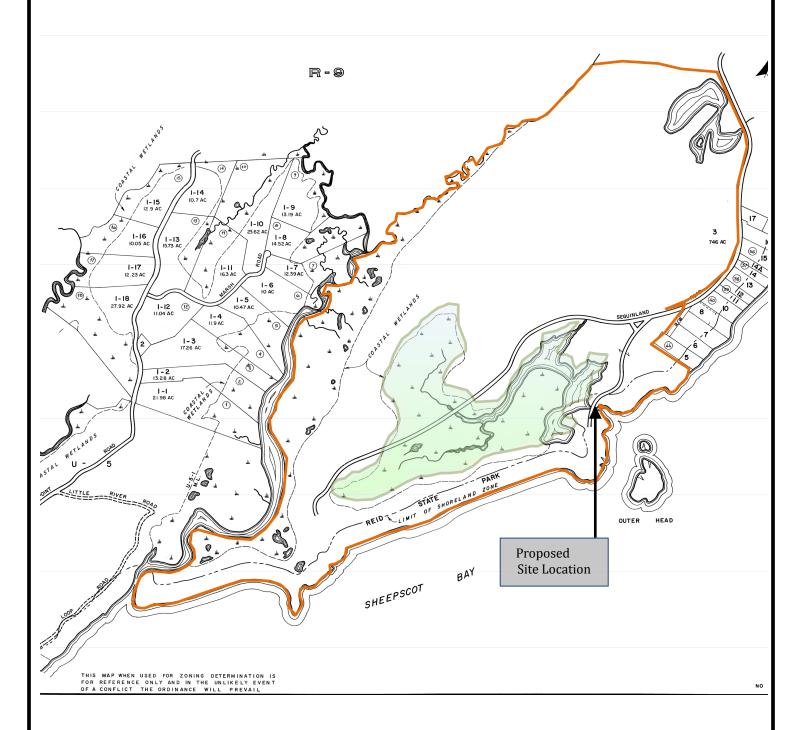
NOTE: The proposed project aims upgrade the safety of Park visitors and ensure the load rating is appropriate. The project will only replace the decking, and re-use of the existing posts and caps, with no placement of fill in a wetland or waterway.

MAP: 8 Lot: 3

LEGEND Proposed Site location



Wetland



PERMIT CONSULTANTS

98 North Gobbtown Rd. Lincolnville, ME 04849 PROJECT: GRIFFITH HEAD BRIDGE RENOVATION

TOWN: GEORGETOWN. ME

DRAWING: PROPOSED PROJECT LOCATION

DATE: 8-19-21 SHEET: 1 OF 4

Town of Georgetown Application for a Building Permit	Date of application August 18th, 2021
Owner: David Rodrigues	Daytime phone: 207-706-9369
Map number: 8 Lot number: 3 Location: Reid State Park, 375 Seguinland Rd,	Lot size (acres or sq ft): 746 acres Georgetown, ME
Shoreland zone? Yes No [] If yes, which zoning different Floodplain? Yes [] No [] Within 75' of high water Applicant: Vanessa O'Donnell Address: 93 North Cobbtown Rd. Lincoln	Yes [No [] Daytime phone: 207-706-9369
Permit for Principal structure [] Accessory structure [] Pier, wharf, breakwater [] Other	New [] Improved New [] Improved New [] Improved
Contractor Erosion Control Certificate in Notification of abutters (if required) One copy of an erosion control plan One copy of registered deed One copy of sewage disposal permit (if Copy of plans and elevations of propose Two copies of plot plan of lot, dated dimensions and area of the direction of magnetic north size and location of existing size and location of propose N/A distance from proposed stru N/A minimum distance of all stru N/A location of septic tank, drain Applicant's Statement: I certify that the information submit I certify that I am familiar with the applicable ordinances for Maine and will abide by the provisions of these ordinances at the permit issued hereunder. I further certify that I will required disposal of any construction waste resulting from this project	dated dated Dec 1948 equired) dated July 2020 and showing of tructures structures ture to centerline of road and to other lot lines ctures from shoreline, if applicable age field, and well ed is correct to the best of my knowledge and belief. Town of Georgetown and laws of the State of d laws, subject to any specific conditions cited in a my contractor, if I need one, to provide for private
Applicant's signature:	Vanessa O'Donnell
Building Permit	Permit number:
Date application complete:	Effective date:
Fee paid: Conditions: [] Conformance with the application	Expiration date: [] The following additional conditions:
Approved: You must post the Building or Conditional Use Permit issued site before you begin any work. Please call the Code Enforcer leasting of course the state of the code Enforcer leasting of course the code Enforcer leasting of course the code Enforcer leasting of course the code Enforcer least the code Enforcer l	Title: herewith in a conspicuous place on your building

Remember, you must obtain an Internal Plumbing Permit from him before installing any internal plumbing.

I. SHORELAND ZONING ORDINANCE CONDITIONS

The following is an extract from the Shoreland Zoning Ordinance for the Town of Georgetown, section 13, subsection B, paragraph 6, as amended through 15 June 2002:

The Planning Board shall, after the submission of a complete application including all information requested, grant a permit if it makes a positive finding based on the information provided to it that, except as specifically exempted in this Ordinance, the proposed use:

- a. will not result in unsafe or unhealthful conditions:
- b. will not result in erosion or sedimentation;
- c. will not result in water pollution;
- d. will not result in damage to spawning grounds, fish, aquatic life, bird and other wildlife habitat;
- e. will conserve shoreland vegetation;
- f. will conserve visual points of access to waters as viewed from public facilities;
- g. will conserve actual points of public access to waters;
- h. will avoid problems associated with flood plain development and use; and,
- i. is in accordance with the provisions of Section 8 of this Ordinance.

II. MAINE'S NATURAL RESOURCE PROTECTION ACT

The State's Natural Resource Protection Act (NRPA) establishes a set of *Protected Natural Resources* which includes coastal sand dune systems, coastal wetlands, significant wildlife habitat, fragile mountain areas, freshwater wetlands, great ponds and rivers, and streams or brooks. A permit is required when an "activity" will be located in, on or over any protected natural resource, or will be located adjacent to a coastal wetland, great pond, river, stream or brook or significant wildlife habitat contained within a freshwater wetland, or certain freshwater wetlands. These permits are issued by the State Department of Environmental Protection. Applicants for Building Permits must obtain all required NRPA permits from the State prior to submitting the Building Permit Application.