



PROCUREMENT JUSTIFICATION FORM (PJF)

This form must accompany all contract requests and sole source requisitions (RQS) over \$5,000 submitted to the Office of State Procurement Services.

INSTRUCTIONS: Please provide the requested information in the white spaces below. All responses (except signatures) must be typed; no hand-written forms will be accepted. See the guidance document posted with this form on the Procurement Services intranet site (Forms page) for additional instructions.

PART I: OVERVIEW			
Department Office/Division/Program:		DPS Gambling Control Unit for Sports Wagering Licensees	
Department Contract Administrator or Grant Coordinator:		Milton Champion, Executive Director	
(If applicable) Department Reference #:			
Amount: (Contract/Amendment/Grant)	\$ 100,000.00	Advantage CT / RQS #:	20221118*1402
CONTRACT	Proposed Start Date:	1/1/2023	Proposed End Date: 12/31/2027
AMENDMENT	Original Start Date:		Effective Date:
	Previous End Date:		New End Date:
GRANT	Project Start Date:		Grant Start Date:
	Project End Date:		Grant End Date:
Vendor/Provider/Grantee Name, City, State:		Pre-Qualified Vendor -Gaming Integrity Services, Mustang Oklahoma	
Brief Description of Goods/Services/Grant:		Conduct Sports Wagering Licensees Operator background checks for suitability of licensure as required by statute.	

PART II: JUSTIFICATION FOR VENDOR SELECTION			
Check the box below for the justification(s) that applies to this request. (Check all that apply.)			
<input type="checkbox"/>	A. Competitive Process	<input type="checkbox"/>	G. Grant
<input type="checkbox"/>	B. Amendment	<input checked="" type="checkbox"/>	H. State Statute/Agency Directed
<input type="checkbox"/>	C. Single Source/Unique Vendor	<input type="checkbox"/>	I. Federal Agency Directed
<input type="checkbox"/>	D. Proprietary/Copyright/Patents	<input checked="" type="checkbox"/>	J. Willing and Qualified
<input type="checkbox"/>	E. Emergency	<input type="checkbox"/>	K. Client Choice
<input type="checkbox"/>	F. University Cooperative Project	<input type="checkbox"/>	L. Other Authorization

Please respond to ALL of the questions in the following sections.

PART III: SUPPLEMENTAL INFORMATION

1. Provide a more detailed description and explain the need for the goods, services or grant to supplement the response in Part I.

Under Title 8, Chapter 35, the Director of the Gambling Control Unit within the Department of Public Safety is charged with regulating sports wagering in the state of Maine. One part of that is licensing a facility, mobile, management service provider or supplier conducting background checks under §1204. These operators and their key personal are much more complicated as some can be nationally and internationally established and operating which require a more in-depth investigation worldwide. Applicants are advised by rule and instructions on the application that a one-time cost for initial background investigation is \$5,000.00 for facility operators and \$10,000.00 for mobile, management service and suppliers. If the cost surpasses the initial deposit amount, the applicant shall be invoiced for the actual remaining balance due which shall be paid before issuance of a license.

This amendment is required in order to continue the services which was not anticipated to have over 40 applicants which we have had.

2. Provide a brief justification for the selected vendor to supplement the response in Part II. Reference the RFP number, if applicable.

Gaming Integrity Services, (GIS) is one of two pre-qualified vendors accepted in an RFP (#202001004) process. GIS along with Gaming Laboratory International, (GLI) were bidders that became pre-qualified vendors. Both were notified by email on July 20, 2020 of the request for services to assist in sports wagering business entity background investigation and GLI responded that they do not provide those specific services. GIS does and have requested consideration.

3. Explain how the negotiated costs or rates are fair and reasonable; or how the funding was allocated to grantee.

Applicants are advised by rule and instructions on the application that a cost for initial background investigation is \$5,000.00 for facility operators, \$10,000.00 for mobile, management service and suppliers and \$2,500.00 for key personnel.

GIS has a professional blended rate of \$212 to \$250.00 for investigations of backgrounds of applicants for licensure.

If the cost surpasses the initial deposit amount, the applicant shall be invoiced for the actual remaining balance due which shall be paid before issuance of a license.

4. Describe the plan for future competition for the goods or services.

A (5) five-year service contract shall be executed for GIS whom, through consultation with the Executive Director, shall provide the background investigations of only initial applications of sports wagering licensees for suitability through national and international operations and activities. This shall include review of any potential conflicts of interest with key executives, directors, officers, partners, shareholders, creditors, owners and associates of the applicant. As well licensees shall be continually monitored for adverse activity by GIS throughout the term of this contract.

PART IV: AMERICAN RESCUE PLAN ACT (ARPA) / MAINE JOBS & RECOVERY PLAN (MJRP)

Does this request utilize ARPA/MJRP funds?

☐ Yes, MJRP funds (023) – If Yes, please attach the approved Business Case(s).

☐ Yes, ARPA funds (025) – If Yes, please be aware of the requirements from awarding federal agencies.

☒ No – If No, proceed to Part V.

PART V: CONFLICTS OF INTEREST (COI); CONTRACT WITH THE STATE

Maine law contains Conflict of Interest statutes directed to State Departments, State Officers, and Employees Generally under MRS [Title 5, §18](#) and [§18-A](#), in harmony with MRS [Title 17, §3104](#).

☒ The requesting department signatory understands and acknowledges Maine's Conflict of Interest statutes.

PART VI: APPROVALS

The signatures below indicate approval of this procurement request.

Signature of requesting
Department's Commissioner
(or designee):



Typed Name:

Michael Sauschuck

Date:

2/25/2025

Signature of DAFS
Procurement Official:

DocuSigned by:
Kathy Paquette
41C2BA36FAF44CD...

Typed Name:

Kathy Paquette

Date:

4/8/2025