

State of Maine Procurement Justification Form

This form must accompany all contract requests and sole source requisitions (RQS) over \$5,000 submitted to the Division of Procurement Services.

INSTRUCTIONS: Please provide the requested information in the white spaces below. All responses (except signatures) must be typed; no hand-written forms will be accepted. See the guidance document posted with this form on the Division of Procurement Services website (Forms page) for additional instructions.

PART I: OVERVIEW				
Department Office/Division/Program:	DPS Gambling Control Unit for the Gambling Control Board			
Department Contract Administrator or Grant Coordinator:	Milton Champion, Executive Director			
(If applicable) Department Reference #:				
Amount: (Contract/Amendment/Grant)	\$ 10,000.00	Advantage CT / RQS #:		
CONTRACT	Proposed Start Date:	January 1, 2020	Proposed End Date:	December 31, 2020
AMENDMENT	Original Start Date:		Effective Date:	
	Previous End Date:		New End Date:	
GRANT	Project Start Date:		Grant Start Date:	
	Project End Date:		Grant End Date:	
Vendor/Provider/Grantee Name, City, State:	Berry Dunn, 100 Middle Street, Portland ME 04101			
Brief Description of Goods/Services/Grant:	Service Organization Control (SOC) Audit of Scientific Games			

PART II: JUSTIFICATION FOR VENDOR SELECTION			
Mark an "X" before the justification(s) that applies to this request. (Check all that apply.)			
	A. Competitive Process		G. Grant
	B. Amendment		H. State Statute/Agency Directed
X	C. Single Source/Unique Vendor		I. Federal Agency Directed
	D. Proprietary/Copyright/Patents	X	J. Willing and Qualified
	E. Emergency		K. Client Choice
	F. University Cooperative Project		L. Other Authorization

PART III: SUPPLEMENTAL INFORMATION
Please respond to ALL of the following:
1. Provide a more detailed description and explain the need for the goods, services or grant to supplement the response in Part I.

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PART III: SUPPLEMENTAL INFORMATION

Having a SOC Audit is an option as part of the Scientific Games Contract with the State of Maine. The State Auditor's office has recommended that the Maine Gambling Control Board authorize an SOC Audit. The only matter of interest was the recommendations to complete a SOC audit on the operation of the central site monitoring system contracted through Scientific Games International to ensure that the information reported is accurate, complete, available and secure.

2. Provide a brief justification for the selected vendor to supplement the response in Part II.

Berry Dunn is currently contracted to complete the reports for BABLO and as such can add MGCB covering those similar areas at no cost to MGCB. The additional cost would be for areas not covered under the BABLO audit.

Berry Dunn is the current auditing company completing the Lottery (BABLO) SOC 1 audit of their vendor Scientific Games. The servers for the Lottery are located in the same building and immediate area as the slot machine servers for the Gambling Control Board. Completing the similar requirements of a SOC 1 for both agencies would save funds by not doing them separately. Some areas as an example of duplication are, but not limited to; organization and Management, System overview, National Response Center and Field Services, Software Change Management, Logical Security, Physical and environmental Security and National data center.

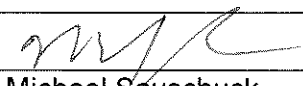

3. Explain how the negotiated costs or rates are fair and reasonable; or how the funding was allocated to grantee.

If MGCB does a separate audit of all areas already being covered by the BABLO audit the cost would be around \$25,000. By combining this audit with the one currently being conducted for BABLO, MGCB was able to save \$15,000.

4. Describe the plan for future competition for the goods or services.

Because of the savings in cost to the State, the Gaming Control Board shall have SOC reports completed in conjunction with reports completed for BABLO.

PART IV: APPROVALS

Signature of requesting Department's Commissioner (or designee):	<i>By signing below, I signify that I approve of this procurement request.</i>		
			
Printed Name:	Michael Sauschuck	Date:	11-16-2020
Signature of DAFS Procurement Official:	<small>DocuSigned by:</small> 		
Printed Name:	41C2BA36FAF44CD... Kathy Paquette	Date:	11/17/2020