

State of Maine Waiver of Competitive Bidding Request Form

Form Instructions: Please provide the requested information in the white boxes below. This form is to precede all contract requests that are not the direct result of a competitive bid process.

Requesting Department's Contract Administrator:	Matt Ruel Jaye Parker	Office/Division/Program of Contract Administrator:	Dept of Public safety – Maine State Police - SBI
Est. Contract Amount:	\$ 839,190.00	Contract or RQS Number:	CT-16A- 20190919*0955
Proposed Start Date:	10/31/2019	Proposed End Date:	10/31/2021
Vendor/Provider Name, City, State:	Idemia Identity & Security USA LLC		
Short Description of Good or Service:	Fingerprinting "fee for service" associated with pre-employment, employment and credentialing processes.		
<p>Please note, for transparency purposes, Waivers of Competitive Bidding will be publicly posted. Public postings are placed on the Division of Procurement Services website for a period of seven consecutive calendar days.</p>		<p>To be completed by the Division of Procurement Services Posting dates on Division of <i>Procurement Services</i> website: From: <u>9/24/2019</u> To: <u>9/30/2019</u></p>	
Notice of Intent to Waive Competitive Bidding Number:	NOI# 0920191577		
<p>1. Statutory Justification State of Maine statute (5 M.R.S. §1825-B(2)) allows waivers of competitive bidding only for the specific reasons listed below. Please mark the appropriate box (X) next to the justification which applies to this specific request.</p>			
<input type="checkbox"/>	A. The procurement of goods or services by the State for county commissioners pursuant to Title 30-A, section 124, involves the expenditure of \$2,500 or less, and the interests of the State would best be served;		
<input checked="" type="checkbox"/>	B. The Director of the Bureau of General Services is authorized by the Governor, or the Governor's designee, to make purchases without competitive bidding because, in the opinion of the Governor or the Governor's designee, an emergency exists that requires the immediate procurement of goods or services;		
<p><i>If citing the above justification for this Waiver of Competitive Bidding request, please have the requesting Department's Commissioner or Chief Executive (as the Governor's "designee") sign and date on the right.</i></p>		<p><i>By signing below, I signify as the Governor's designee there is an emergency that necessitates this non-competitive procurement.</i></p> <p>Signature:</p>	
		<p>Printed Name:</p>	<p>Date:</p>
<input checked="" type="checkbox"/>	C. After reasonable investigation by the Director of the Bureau of General Services, it appears that any required unit or item of supply, or brand of that unit or item, is procurable by the State from only one source;		

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	<p>D. It appears to be in the best interest of the State to negotiate for the procurement of petroleum products;</p>
	<p>E. The purchase is part of a cooperative project between the State and the University of Maine System, the Maine Community College System, the Maine Maritime Academy, or a private, nonprofit, regionally accredited institution of higher education with a main campus in this State involving:</p> <p>(1) An activity assisting a state agency and enhancing the ability of the university system, community college system, Maine Maritime Academy, or a private, nonprofit, regionally accredited institution of higher education with a main campus in this State to fulfill its mission of teaching, research, and public service;</p> <p>(2) A sharing of project responsibilities and, when appropriate, costs;</p> <p><i>If citing the above justification for this sole source request, please note that the specific approval of the Governor's Office is required, in accordance with Executive Order 26 FY 11/12, "An Order to Enhance Competitive Bidding". The approval must be documented on DAFS/BGS/Division of Procurement Services "GOVCOOP" form, found here: http://www.maine.gov/purchases/info/forms/govcoop.doc.</i></p>
	<p>F. The procurement of goods or services involves expenditures of \$10,000 or less, in which case the Director of the Bureau of General Services may accept oral proposals or bids;</p>
	<p>G. The procurement of goods or services involves expenditures of \$10,000 or less, and procurement from a single source is the most economical, effective and appropriate means of fulfilling a demonstrated need.</p>
	<p>If a different authorization specifically allows for this non-competitive procurement, please provide that reference here:</p>
<p>Please note that the following four points below (#2 through 5) all require a response.</p>	
<p>2. Description of Specific Need Please identify, and fully describe, the specific problem, requirement, or need the resulting non-competitive contract would address and which makes the goods or services necessary. Explain how the requesting Department determined that the goods or services are critical and/or essential to agency responsibilities or operations.</p>	
<p>The bureau has an obligation to provide fingerprinting services at the statutorily appointed agency who serves as the sole source contributor to the FBI's database. Title 25 MRSA explains our requirement in terms of fingerprinting supported background checks, and there are numerous other Titles that specifically address individual agency requirements tried to their rules, regulations and/or licensing.</p>	

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3. Availability of other Public Resources

Please explain how the requesting Department concluded that sufficient staffing, resources, or expertise is not available within the State of Maine's government, or other governmental entities (local, other state, or federal agencies) external to the requesting Department, which would be able to address the identified need more efficiently and effectively than the identified vendor.

The number of professions that require background checks makes it cost prohibited and inefficient to gather and submit fingerprints manually. In order to meet our obligations, in 2012 we entered into a contract with MorphoTrust who provided online registration capabilities, scheduling, and payment collection and are tied into our systems that submit the captured fingerprints to the FBI.

4. Cost

Since a waiver of competitive bidding is being requested for this procurement, please explain how the requesting Department concluded the negotiated costs, fees, or rates are **fair and reasonable**.

There is no cost to the state for this contract. The individual pays the State of Maine via credit card. The fee collected exceeded the per transaction fee that this vendor charges us. The remainder of the money is used to pay other fees, i.e. credit card fees and service charges with the remainder going to the General Fund. We negotiated a reduced rate due to the increase in the number of background checks now required for licensing and employment.

5. Future Competition

Please describe potential opportunities which may be available to foster competition for these goods or services in the future.

Because this service is dependent on our existing AFIS system this is the only vendor we can use. The AFIS system uses proprietary algorithms and changing to a new system is cost prohibited. The cost of the AFIS system (the fingerprinting hub equipment) gets shared by Maine, NH and Vermont as part of a Tri-State agreement. The other two states are not willing to change. A change by Maine would require us to burden the entire cost of a new system and all fingerprinting equipment statewide would have to be replaced to be compatible with the new equipment.

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Please note that only one of the two points below ("Uniqueness" or "Timeframe") requires a response. Requesting Departments are not required to respond to both points.

6. Uniqueness

Please explain if the goods or services required are unique to a specific vendor. Describe the unique qualifications, abilities, and/or expertise of the vendor and how those particular unique factors address the specific need identified above. If the vendor has unique equipment, facilities, or proprietary data, also explain the necessity of these particular unique assets.

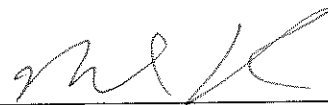
This is the only vendor who can provide this service using the existing system as they have compatible software with our fingerprint analysis equipment at the State and Tri-State level. Changing out the entire system would cost the tax payers millions of dollars as Maine is part of a Tri-State agreement where Maine, NH and Vermont currently share the cost of the analysis equipment.

7. Timeframe (Complete only if B. is the Statutory Justification marked on Page 1)

Please explain if time is of the essence and an emergency exists which requires the immediate procurement of goods or services. Describe the nature of this emergency, provide the date by which the goods or services must be delivered, and explain how that date was determined and its significance (i.e. impact if delayed beyond this date). Also, provide information as to how it was determined this vendor is the best option to address this time-sensitive procurement.

Signature of requesting Department's Commissioner or Chief Executive (or designee within the Commissioner's Office):

By signing below, I signify that my Department requests, and I approve of, this Waiver of Competitive Bidding.



Printed Name:

Michael J Sauschuck

Date:

9-23-19