**STATE OF MAINE**

**Department of Environmental Protection**

*Bureau of Land Resources*



**RFP# 202305110**

**Pre-Qualified Vendor List for**

**Stakeholder Engagement Facilitators**

|  |  |
| --- | --- |
| **RFP Coordinator** | *All communication, including questions and proposal submission, regarding this RFP must be made using the email address below.*  **Contact Information:** <Naomi.Kirk-Lawlor@maine.gov> |
| **Submitted Questions Due** | *Questions regarding this RFP can be submitted at any time while this RFP is open. All questions must be submitted, by e-mail, to the address identified above. Please i*nclude “202305110”in *the subject line of your email.* |
| **Proposal Submission** | *Proposals must be received by the Division of Procurement Services by:*  **Submission Deadline:** June 22, 2023, no later than 11:59 p.m., local time  *Proposals must be submitted electronically to the following address:*  **Electronic (email) Submission Address:** [Proposals@maine.gov](mailto:Proposals@maine.gov) |
| **Annual**  **Enrollment** | *After the initial RFP proposal submission deadline, proposal evaluations will be held on an annual basis. Annual proposal submission deadlines will be* ***11:59 p.m. on the******1st business day of October*** *while the RFP is active. Proposals are required to be submitted prior to the submission date and time in order to be considered for that enrollment period.*  **Electronic (email) Submission Address:** [Proposals@maine.gov](mailto:Proposals@maine.gov) |

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**PUBLIC NOTICE**

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**State of Maine**

**Department of Environmental Protection**

**RFP# 202305110**

**Pre-Qualified Vendor List for Stakeholder Engagement Facilitators**

The State of Maine is seeking proposals to be considered for inclusion on a Pre-Qualified Vendor List for Stakeholder Engagement Facilitators.

A copy of the RFP, as well as the Question & Answer Summary and all amendments related to this RFP, can be obtained at the following website: <http://www.maine.gov/dafs/bbm/procurementservices/vendors/pqvls>

Proposals must be submitted to the State of Maine Division of Procurement Services, via e-mail, to the following email address: [Proposals@maine.gov](mailto:Proposals@maine.gov). Proposal submissions must be submitted no later than 11:59 pm, local time, on June 22, 2023. Proposals will be opened the following business day. Proposals not submitted to the Division of Procurement Services’ aforementioned email address by the aforementioned deadline will not be considered for contract award.

**\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\***

**RFP DEFINITIONS/ACRONYMS**

The following terms and acronyms shall have the meaning indicated below as referenced in this Pre-Qualified Vendor List RFP:

|  |  |
| --- | --- |
| **Term/Acronym** | **Definition** |
| **Department** | Department of Environmental Protection |
| **RFP** | Request for Proposal |
| **State** | State of Maine |
| **PQVL** | Pre-Qualified Vendor List |

**State of Maine - Department of Environmental Protection**

*Bureau of Land Resources*

**RFP# 202305110**

**Pre-Qualified Vendor List for Stakeholder Engagement Facilitators**

# **PART I INTRODUCTION**

## A. Purpose and Background

The Department of Environmental Protection (Department) is seeking proposals to provide stakeholder engagement facilitation support as defined in this Request for Proposals (RFP) document. This document provides instructions for submitting proposals, the procedure and criteria by which the Provider(s) will be selected and the contractual terms which will govern the relationship between the State of Maine (State) and the awarded Bidder(s).

The Department is charged with the revision of existing regulations or the development of new regulations that affect multiple stakeholder groups and garner broad interest. At times, the best approach to these can be a broad stakeholder outreach and engagement process to inform rulemaking. On occasion, the Department is mandated by the Legislature to report on certain issues, or the Department acts to develop or update documents essential for the implementation of existing rules, both of which may also result in stakeholder outreach and engagement. Other circumstances or projects may also arise that require stakeholder engagement, and for which facilitation may be helpful. The Department seeks proposals to provide stakeholder outreach, engagement, and facilitation services, including designing stakeholder engagement processes. Experience working with diverse groups that have different viewpoints is important. The Bidder should have the ability to lead a collaborative process in a context of potentially controversial topics. A general knowledge of environmental regulation in the State is also required. Preference will be given to those who show relevant previous experience especially those demonstrating positive outcomes from stakeholder engagement processes they have facilitated in the past. The full Scope of Services is provided in Part II below.

## B. General Provisions

1. From the time this RFP is issued until award notification is made, all contact with the State regarding this RFP must be made through the aforementioned RFP Coordinator. No other person/ State employee is empowered to make binding statements regarding this RFP. Violation of this provision may lead to disqualification from the bidding process, at the State’s discretion.
2. Issuance of this RFP does not commit the Department to issue an award or to pay expenses incurred by a Bidder in the preparation of a response to this RFP. This includes attendance at personal interviews or other meetings and software or system demonstrations, where applicable.
3. All proposals should adhere to the instructions and format requirements outlined in this RFP and all written supplements and amendments (such as the Summary of Questions and Answers), issued by the Department. Proposals are to follow the format and respond to all questions and instructions specified below in the “Proposal Submission Requirements” section of this RFP.
4. Bidders shall take careful note that in evaluating a proposal submitted in response to this RFP, the Department will consider materials provided in the proposal, information obtained through interviews/presentations (if any), and internal Departmental information of previous contract history with the Bidder (if any). The Department also reserves the right to consider other reliable references and publicly available information in evaluating a Bidder’s experience and capabilities.
5. The proposal shall be signed by a person authorized to legally bind the Bidder and shall contain a statement that the proposal and the pricing contained therein will remain valid and binding for a period of 180 days from the date and time of the bid opening.
6. The RFP and the selected Bidder’s proposal, including all appendices or attachments, shall be the basis for the final contract, as determined by the Department.
7. Following announcement of an award decision, all submissions in response to this RFP will be considered public records available for public inspection pursuant to the State of Maine Freedom of Access Act (FOAA) (1 M.R.S. §§ 401 et seq.).

<http://www.mainelegislature.org/legis/statutes/1/title1sec401.html>

1. The Department, at its sole discretion, reserves the right to recognize and waive minor informalities and irregularities found in proposals received in response to this RFP.
2. The State of Maine Division of Procurement Services reserves the right to authorize other Departments to use the contract(s) resulting from this RFP, if it is deemed to be beneficial for the State to do so.
3. All applicable laws, whether or not herein contained, shall be included by this reference. It shall be the Bidder’s responsibility to determine the applicability and requirements of any such laws and to abide by them.

## C. Eligibility to Submit Bids

All interested parties are invited to submit bids in response to this Request for Proposals.

## D. Pre-Qualified Vendor List Term

The Department is seeking a cost-efficient proposal(s) to provide services, as defined in this RFP, for the anticipated Pre-Qualified Vendor List (PQVL) period defined in the table below. Please note that the dates below are estimated and may be adjusted, as necessary, in order to comply with all procedural requirements associated with this RFP process. Utilization of a PQVL for will begin once the RFP process has been finalized.

The term of the anticipated PQVL, resulting from this RFP, is defined as follows:

|  |  |  |
| --- | --- | --- |
| **Period** | **Start Date** | **End Date** |
| Period of Performance | 07/01/2023 | 06/30/2028 |

This RFP offers an annual enrollment for new vendors to be included on the pre-qualified vendor list. Once selected, vendors do not need to reapply during an annual enrollment.  Proposals will be accepted from vendors not currently on the PQVL as long as this RFP is active.  Proposals submitted during the annual enrollment will be evaluated and the vendors will be notified of the decision within 30 days.

## E.     Mini-Bid Process and Awards

Once the pre-qualified list is established, the Department will notify all pre-qualified vendors when specific services are needed. Each vendor on the PQVL will be given a description of the particular services needed and asked to respond within a specific timeframe with information on how that vendor proposes to provide the particular services, along with the project-specific cost proposal for those services. Vendors should respond to each mini-bid with their proposal or provide a “no-bid” as a response. The Department will then select one vendor based on the project-specific cost proposal submitted during the “mini-bid” process of those pre-qualified vendors who can meet the specific service requirements.

The Department reserves the right to select vendors from the pre-qualification list without using the mini-bid process for emergencies (if the need arises). The Department also retains the discretion to issue new RFPs for specific projects. Providers for those projects will not be selected from the PQVL, but rather through the separate RFP or other procurement process based on the Department’s specific needs/timelines.

# **PART II SCOPE OF SERVICES TO BE PROVIDED**

The Department is charged with the revision of existing regulations or the development of new regulations that affect multiple stakeholder groups and garner broad interest. At times, the best approach to these can be a broad stakeholder outreach and engagement process to inform rulemaking. On occasion, the Department is mandated by the Legislature to report on certain issues, or the Department acts to develop or update documents essential for the implementation of existing rules, both of which may also result in stakeholder outreach and engagement. Other circumstances or projects may also arise that require stakeholder engagement, and for which facilitation may be helpful. The Department seeks proposals to provide stakeholder outreach, engagement, and facilitation services, including designing stakeholder engagement processes.

Skills and Experience:

* Working knowledge of and experience with stakeholder engagement practices, processes, tools, and technologies;
* General knowledge of environmental regulation at the State and Federal level;
* Experience working with diverse groups that have different, sometimes conflicting viewpoints;
* Conflict resolution skills;
* Excellent written and verbal communication skills;
* Organizational skills including record keeping, event planning, scheduling, and tracking actionable meeting outcomes;
* Experience facilitating meetings with large numbers of participants; and
* Experience facilitating meetings of small working groups;
* Effective at enabling participatory decision making and shared ownership of outcomes
* Ability to facilitate in-person as well as virtual or hybrid meetings;
* Experience with collaboration software and digital engagement tools;
* Additional skills and competencies relevant to this proposal include the attached list of Core Competencies from the International Association of Facilitators (Appendix E).

The Engagement Should:

* Understand perspectives, concerns, questions and issues of interested participants;
* Include all parties in a meaningful way, even if that means using different types of support, as appropriate, for different participants;
* Educate and share information about various issues including the purpose and scope of current rules and various potential impacts from changes to those rules;
* Develop ongoing relationships with stakeholders throughout the stakeholder engagement process, which may last months to years;
* Work collaboratively to collect and evaluate available data relevant to the particular issue under consideration;
* Be inclusive, adaptive, innovative, and transparent;
* Solicit input from a variety of interests and allow for information-sharing and feedback;
* Collect and display data from sources that are well-vetted and continue efforts to enhance available data especially though collaboration;
* Maintain an open door and easy access to information throughout the process; and
* Partner with organizations to increase reach and encourage participation, where appropriate.

Example Tasks:

* Develop a stakeholder engagement and education work plan and timeline with individualized strategies for key stakeholders, groups, and other organizations that will be critical to meeting the project’s objectives.
* Develop a list of stakeholders for inclusion in a stakeholder steering group.
* Plan meetings including:
  + Develop agendas and materials for meetings;
  + Use virtual meeting technology and digital engagement platforms, when appropriate, to engage stakeholders. These should be done interactively and adapted to meet the needs of stakeholders;
  + Conduct in-person meetings;
  + Handle organizational tasks such as determining meeting times, securing meeting locations, and handling communication with stakeholders prior to meetings.
* Facilitate meetings including:
  + Public meetings to provide information and receive feedback from members of the public;
  + Official meetings of the full stakeholder steering group;
  + Meetings of subject-specific working groups.
* Track stakeholder participation throughout the process.
* Communicate with stakeholders as necessary to better understand or clarify viewpoints and to try to resolve differences.
* Develop, edit, format, and disseminate documents related to the stakeholder engagement meetings including summary reports for meetings and working groups. Subject matter experts will be provided through state resources, public participants, and stakeholder expertise. Documents may include:
  + Factsheets;
  + Website development;
  + Social media;
  + Regular newsletters/communications;
  + Presentations;
  + Presentation of data, including maps;
  + Surveys, comment forms;
  + Posters, information displays;
  + Publications.
* Develop a final report summarizing the stakeholder engagement process, various opinions expressed, the development of ideas and consensus, and final actionable outcomes.

Preference will be given to those who show relevant previous experience especially those demonstrating positive outcomes from stakeholder engagement processes they have facilitated in the past. Bidders do not need to demonstrate every skill and experience listed in the Scope of Services in order to be considered.

The work may be performed at State facilities, or at the Bidder’s own facility, or at another appropriate location.

# **PART III KEY RFP EVENTS**

## Questions

**1. General Instructions**

a. It is the responsibility of all Bidders and other interested parties to examine the entire RFP and to seek clarification, in writing, if they do not understand any information or instructions.

b. Bidders and other interested parties should use **Appendix D** – Submitted Questions Form – for submission of questions.

c. The Submitted Questions Form must be submitted to the RFP Coordinator email address identified on the cover page of this RFP.

d. Submitted Questions must include the RFP Number and Title in the subject line of the e-mail. The Department assumes no liability for assuring accurate/complete/on time e-mail transmission and receipt.

**2. Question & Answer Summary:** Responses to all questions will be compiled in writing and posted on the following website: <http://www.maine.gov/dafs/bbm/procurementservices/vendors/pqvls> . Bidders should submit questions 15-days prior to the most current proposal submission deadline in order to receive a response 7-days prior to that deadline. All other questions will be addressed after the current deadline. It is the responsibility of all interested parties to go to this website to obtain a copy of the Question & Answer Summary. Only those answers issued in writing on this website will be considered binding.

## Amendments

All amendments released in regard to this RFP will also be posted on the following website: <http://www.maine.gov/dafs/bbm/procurementservices/vendors/pqvls> . It is the responsibility of all interested parties to go to this website to obtain amendments. Only those amendments posted on this website are considered binding.

## Submitting the Proposal

1. **Proposals Due:** Proposals must be received no later than 11:59 p.m. local time, on the date listed on the cover page of this RFP. They will be opened the next business day. Proposals received **after** the 11:59 p.m. deadline will be **held until the next open enrollment opening**.
2. **Delivery Instructions:** Email proposal submissions are to be submitted to the State of Maine Division of Procurement Services, via email [Proposals@maine.gov](mailto:Proposals@maine.gov).
3. Only proposals received by email will be considered. The Department assumes no liability for assuring accurate/complete e-mail transmission and receipt.
4. E-mails containing links to file sharing sites or online file repositories will not be accepted as submissions. Only e-mail proposal submissions that have the actual requested files attached will be accepted.
5. Encrypted e-mails received which require opening attachments and logging into a proprietary system will not be accepted as submissions. Please check with your organization’s Information Technology team to ensure that your security settings will not encrypt your proposal submission.
6. File size limits are 25MB per e-mail. Bidders may submit files separately across multiple e-mails, as necessary, due to file size concerns. All e-mails and files must be received by the due date and time listed above.
7. Bidders are to insert the following into the subject line of their email submission: **“RFP# 202305110 Proposal Submission – [Bidder’s Name]”**
8. Bidder’s proposals are to be sent as one document. PDF is preferred but other formats, such as MS Word, will be accepted.
9. Bidder’s proposals must include (in the order below):

- Proposal Cover Page (**Appendix A**)

- Debarment, Performance and Non-Collusion Certification (**Appendix B**)

- Organization Qualifications and Experience (**Appendix C** and all related/required attachments)

- Copy of applicable licensure or any specific credentials

- Certificate of Insurance

- Company Rate Sheet(s)

# **PART IV PROPOSAL SUBMISSION REQUIREMENTS**

This section contains instructions for Bidders to use in preparing their proposals. The Department seeks detailed yet succinct responses that demonstrate the Bidder’s qualifications, experience, and ability to perform the requirements specified throughout the RFP.

The Bidder’s proposal must follow the outline used below, including the numbering, section, and sub-section headings. Failure to use the outline specified in PART IV, or failure to respond to all questions and instructions throughout the RFP, may result in the proposal being disqualified as non-responsive or receiving a reduced score. The Department, and its evaluation team, has sole discretion to determine whether a variance from the RFP specifications will result either in disqualification or reduction in scoring of a proposal. Rephrasing of the content provided in the RFP will, at best, be considered minimally responsive.

Bidders are not to provide additional attachments beyond those specified in the RFP for the purpose of extending their response. Additional materials not requested will not be considered part of the proposal and will not be evaluated. Include any forms provided in the submission package or reproduce those forms as closely as possible. All information must be presented in the same order and format as described in the RFP.

**Proposal Format and Contents**

**Section I Preliminary Information**

* 1. **Proposal Cover Page**

Bidders must complete **Appendix A** (Proposal Cover Page). It is critical that the cover page show the specific information requested, including Bidder address(es) and other details listed. The Proposal Cover Page must be dated and signed by a person authorized to enter into contracts on behalf of the Bidder.

* 1. **Debarment, Performance and Non-Collusion Certification**

Bidders must complete **Appendix B** (Debarment, Performance and Non-Collusion Certification Form). The Debarment, Performance and Non-Collusion Certification Form must be dated and signed by a person authorized to enter into contracts on behalf of the Bidder.

**Section II Organization Qualifications and Experience**

* 1. **Overview of the Organization**

Bidders must complete **Appendix C** (Qualifications and Experience Form) describing their qualifications and skills to provide the requested services in the RFP. Bidders must include three examples of projects which demonstrate their experience and expertise in performing these services as well as highlighting the Bidder’s stated qualifications and skills.

* 1. **Subcontractors**

If subcontractors are to be used, Bidders must provide a list that specifies the name, address, phone number, contact person, and a brief description of the subcontractors’ organizational capacity and qualifications.

* 1. **Organizational Chart**

Bidders must provide an organizational chart.  The organizational chart must include the project being proposed.  Each position must be identified by position title and corresponding to the personnel job descriptions.

* 1. **Litigation**

Bidders must attach a list of all current litigation in which the Bidder is named and a list of all closed cases that have closed within the past five (5) years in which the Bidder paid the claimant either as part of a settlement or by decree.  For each, list the entity bringing suit, the complaint, the accusation, amount, and outcome.

* 1. **Certificate of Insurance**

Bidders must provide a certificate of insurance on a standard Acord form (or the equivalent) evidencing the Bidder’s general liability, professional liability and any other relevant liability insurance policies that might be associated with the proposed services.

**Section III Proposed Services**

* 1. **Services to be Provided**

Discuss the Scope of Services referenced above in Part II of the RFP and what the Bidder will offer. Give particular attention to describing the methods and resources you will use and how you will accomplish the tasks involved. Also, describe how you will ensure expectations and/or desired outcomes as a result of these services will be achieved. If subcontractors are involved, clearly identify the work each will perform.

**Section IV Cost Proposal**

**General Instructions**

The Respondent must submit a current rate sheet. Rate sheets must provide a listing of all the typical fixed and hourly rates for all services and the positions expected to be involved in the services provided as well as all other expected expenses.

# **PART V PROPOSAL EVALUATION AND SELECTION**

Evaluation of the submitted proposals shall be accomplished as follows:

## Evaluation Process - General Information

* + - 1. An evaluation team, comprised of qualified reviewers, will judge the merits of the proposals received in accordance with the criteria defined in the RFP, and in accordance with the most advantageous financial and economic impact considerations (where applicable) for the State.
      2. Officials responsible for making decisions on the selection of a contractor shall ensure that the selection process accords equal opportunity and appropriate consideration to all who are capable of meeting the specifications. The goals of the evaluation process are to ensure fairness and objectivity in review of the proposals and to ensure that the contract is awarded to the Bidder whose proposal provides the best value to the State of Maine.
      3. The Department reserves the right to communicate and/or schedule interviews/presentations with Bidders if needed to obtain clarification of information contained in the proposals received, and the Department may revise the scores assigned in the initial evaluation to reflect those communications and/or interviews/presentations. Interviews/presentations are not required, and changes to proposals will not be permitted during any interview/presentation process. Therefore, Bidders should submit proposals that present their rates and other requested information as clearly and completely as possible.

## Scoring Weights and Process

* 1. **Scoring Weights:** The score will be based on a 100-point scale and will measure the degree to which each proposal meets the following criteria.

**Section I. Preliminary Information (No Points)**

Includes all elements addressed above in Part IV, Section I.

**Section II. Organization Qualifications and Experience (50 points)**

Includes all elements addressed above in Part IV, Section II.

**Section III. Proposed Services (25 points)**

Includes all elements addressed above in Part IV, Section III.

**Section IV. Cost Proposal (25 points)**

Includes all elements addressed above in Part IV, Section IV.

* 1. **Scoring Process:** For proposals that demonstrate meeting the eligibility requirements in Section I, the review team will use a consensus approach to evaluate and score the sections above. Members of the review team will not score those sections individually but, instead, will arrive at a consensus as to assignment of points for each of those sections.
  2. **Negotiations:** The Department reserves the right to negotiate with the successful Bidder to finalize a contract at the same rate or cost of service as presented in the selected proposal. Such negotiations may not significantly vary the content, nature or requirements of the proposal or the Department’s Request for Proposals to an extent that may affect the price of goods or services requested. The Department reserves the right to terminate contract negotiations with a selected Bidder who submits a proposed contract significantly different from the proposal they submitted in response to the advertised RFP. In the event that an acceptable contract cannot be negotiated with the highest ranked Bidder, the Department may withdraw its award and negotiate with the next-highest ranked Bidder, and so on, until an acceptable contract has been finalized. Alternatively, the Department may cancel the RFP, at its sole discretion.

## Selection and Award

**1.** The final decision regarding the award of the contract will be made by representatives of the Department subject to approval by the State Procurement Review Committee.

**2.** Notification of contractor selection or non-selection will be made in writing by the Department.

**3.** Issuance of this RFP in no way constitutes a commitment by the State of Maine to award a contract, to pay costs incurred in the preparation of a response to this request, or to pay costs incurred in procuring or contracting for services, supplies, physical space, personnel or any other costs incurred by the Bidder.

**4.** The Department reserves the right to reject any and all proposals or to make multiple awards.

**5.**   Selection to be included on the PQVL is not a guarantee of work.

**6.** Updated documentation pertaining to Certification of Insurance, Certification/Licensure, and Rates will be required to be submitted to the RFP Coordinator by all Providers on the PQVL on an annual basis.

## Appeal of Contract Awards

Any person aggrieved by the award decision that results from this RFP may appeal the decision to the Director of the Bureau of General Services in the manner prescribed in 5 MRSA § 1825-E and 18-554 Code of Maine Rules, Chapter 120 (found here: <https://www.maine.gov/dafs/bbm/procurementservices/policies-procedures/chapter-120>).  The appeal must be in writing and filed with the Director of the Bureau of General Services, 9 State House Station, Augusta, Maine, 04333-0009 within 15 calendar days of receipt of notification of contract award.

Since this RFP results in a PQVL, the appeal procedures mentioned above are available upon the original determination of that vendor list. The appeal procedures will not be available during subsequent competitive procedures involving only the PQVL participants if cost is the sole determining factor.

## Removal from Pre-Qualified Vendors List

The Department may remove a pre-qualified vendor from the PQVL at any time, upon giving 30 days’ written notice to the pre-qualified vendor, if the Department determines that during the pre-qualification term:

* 1. The pre-qualified vendor failed or refused to perform its contractual obligations;
  2. The pre-qualified vendor’s performance was unsatisfactory including, but not limited to, the quality and timeliness of services provided;
  3. The pre-qualified vendor no longer has the ability to perform the services specified in this RFP; or
  4. The pre-qualified vendor is continually “unresponsive” to providing any feedback to the Department’s mini-bid solicitations.

# 

# **PART VI LIST OF RFP APPENDICES AND RELATED DOCUMENTS**

1. Appendix A – Proposal Cover Page
2. Appendix B – Debarment, Performance and Non-Collusion Certification
3. Appendix C – Qualifications and Experience Form
4. Appendix D – Submitted Question Form
5. Appendix E - Core Competencies from the International Association of Facilitators

**APPENDIX A**

**State of Maine**

**Department of Environmental Protection**

**PROPOSAL COVER PAGE**

**RFP# 202305110**

**Pre-Qualified Vendor List for Stakeholder Engagement Facilitators**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Bidder’s Organization Name:** | |  | | | |
| **Chief Executive - Name/Title:** | |  | | | |
| **Tel:** |  | | | **E-mail:** |  |
| **Headquarters Street Address:** | |  | | | |
| **Headquarters City/State/Zip:** | |  | | | |
| ***(Provide information requested below if different from above)*** | | | | | |
| **Lead Point of Contact for Proposal - Name/Title:** | | |  | | |
| **Tel:** |  | | | **E-mail:** |  |
| **Headquarters Street Address:** | |  | | | |
| **Headquarters City/State/Zip:** | |  | | | |

* This proposal and the pricing structure contained herein will remain firm for a period of 180 days from the date and time of the bid opening.
* No personnel currently employed by the Department or any other State agency participated, either directly or indirectly, in any activities relating to the preparation of the Bidder’s proposal.
* No attempt has been made, or will be made, by the Bidder to induce any other person or firm to submit or not to submit a proposal.
* The above-named organization is the legal entity entering into the resulting agreement with the Department should they be awarded the contract.
* The undersigned is authorized to enter contractual obligations on behalf of the above-named organization.

*To the best of my knowledge, all information provided in the enclosed proposal, both programmatic and financial, is complete and accurate at the time of submission.*

|  |  |
| --- | --- |
| **Name (Print):** | **Title:** |
| **Authorized Signature:** | **Date:** |

**APPENDIX B**

**State of Maine**

**Department of Environmental Protection**

**DEBARMENT, PERFORMANCE and NON-COLLUSION CERTIFICATION**

**RFP# 202305110**

**Pre-Qualified Vendor List for Stakeholder Engagement Facilitators**

|  |  |
| --- | --- |
| **Bidder’s Organization Name:** |  |

*By signing this document, I certify to the best of my knowledge and belief that the aforementioned organization, its principals and any subcontractors named in this proposal:*

1. *Are not presently debarred, suspended, proposed for debarment, and declared ineligible or voluntarily excluded from bidding or working on contracts issued by any governmental agency.*
2. *Have not within three years of submitting the proposal for this contract been convicted of or had a civil judgment rendered against them for:*
   1. *Fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a federal, state or local government transaction or contract.*
   2. *Violating Federal or State antitrust statutes or committing embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;*
   3. *Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and*
   4. *Have not within a three (3) year period preceding this proposal had one or more federal, state or local government transactions terminated for cause or default*.
3. *Have not entered into a prior understanding, agreement, or connection with any corporation, firm, or person submitting a response for the same materials, supplies, equipment, or services and this proposal is in all respects fair and without collusion or fraud. The above-mentioned entities understand and agree that collusive bidding is a violation of state and federal law and can result in fines, prison sentences, and civil damage awards.*

**Failure to provide this certification may result in the disqualification of the Bidder’s proposal, at the discretion of the Department.**

|  |  |
| --- | --- |
| Name (Print): | Title: |
| Authorized Signature: | Date: |

**APPENDIX C**

**State of Maine**

**Department of Environmental Protection**

## QUALIFICATIONS & EXPERIENCE FORM

**RFP# 202305110**

**Pre-Qualified Vendor List for Stakeholder Engagement Facilitators**

|  |  |
| --- | --- |
| **Bidder’s Organization Name:** |  |

|  |
| --- |
| **Present a brief statement of qualifications, including any applicable licensure and/or certification. Describe the history of the Bidder’s organization, especially regarding skills pertinent to the specific work required by the RFP and any special or unique characteristics of the organization which would make it especially qualified to perform the required work activities. You may expand this form and use additional pages to provide this information.** |
|  |

**APPENDIX C (continued)**

|  |
| --- |
| **Provide a description of projects that occurred within the past five years which reflect experience and expertise needed in performing the functions described in the “Scope of Services” portion of this RFP. For each of the project examples provided, a contact person from the client organization involved should be listed, along with that person’s telephone number and email address. Please note that contract history with the State of Maine, whether positive or negative, may be considered in rating proposals even if not provided by the Bidder.** |

|  |  |
| --- | --- |
| **Project One** | |
| **Client Name:** |  |
| **Client Contact Person:** |  |
| **Telephone:** |  |
| **E-Mail:** |  |
| **Brief Description of Project** | |
|  | |

|  |  |
| --- | --- |
| **Project Two** | |
| **Client Name:** |  |
| **Client Contact Person:** |  |
| **Telephone:** |  |
| **E-Mail:** |  |
| **Brief Description of Project** | |
|  | |

**APPENDIX C (continued)**

|  |  |
| --- | --- |
| **Project Three** | |
| **Client Name:** |  |
| **Client Contact Person:** |  |
| **Telephone:** |  |
| **E-Mail:** |  |
| **Brief Description of Project** | |
|  | |

**APPENDIX D**

**State of Maine**

**Department of Environmental Protection**

**SUBMITTED QUESTIONS FORM**

**RFP# 202305110**

**Pre-Qualified Vendor List for Stakeholder Engagement Facilitators**

|  |  |
| --- | --- |
| **Organization Name:** |  |

|  |  |
| --- | --- |
| **RFP Section & Page Number** | **Question** |
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*\* If a question is not related to any section of the RFP, state “N/A” under “RFP Section & Page Number”.*

*\*\* Add additional rows, if necessary.*

**APPENDIX E**

**State of Maine**

**Department of Environmental Protection**

**IAF Core Competencies**

IAF CORE COMPETENCIES

# BACKGROUND

The International Association of Facilitators (IAF) is the worldwide professional body established to promote, support and advance the art and practice of professional facilitation through methods exchange, professional growth, practical research and collegial networking.

The IAF Core Competencies framework was developed over several years by the IAF with the support of its members and facilitators from all over the world. The competencies form the basic set of skills, knowledge, and behaviours that facilitators must have in order to be successful facilitating in a wide variety of environments.

In response to the needs of members and their clients, IAF also established the IAF Certified™ Professional Facilitator (CPF) designation. The CPF provides successful candidates with the professional credential IAF Certified™ Professional Facilitator. This credential is the leading indicator that a facilitator is competent in each of the IAF Core Competencies.

# THE CORE COMPETENCIES

1. **CREATE COLLABORATIVE CLIENT RELATIONSHIPS**

## A1) Develop working partnerships

* + Clarify mutual commitment
  + Develop consensus on tasks, deliverables, roles & responsibilities
  + Demonstrate collaborative values and processes such as in co-facilitation

## A2) Design and customise applications to meet client needs

* + Analyse organisational environment
  + Diagnose client need
  + Create appropriate designs to achieve intended outcomes
  + Predefine a quality product & outcomes with client

## A3) Manage multi-session events effectively

* + Contract with client for scope and deliverables
  + Develop event plan
  + Deliver event successfully
  + Assess / evaluate client satisfaction at all stages of the event or project



# PLAN APPROPRIATE GROUP PROCESSES

## B1) Select clear methods and processes that:

* + Foster open participation with respect for client culture, norms and participant diversity
  + Engage the participation of those with varied learning or thinking styles
  + Achieve a high quality product or outcome that meets the client needs

## B2) Prepare time and space to support group process

* + Arrange physical space to support the purpose of the meeting
  + Plan effective use of time
  + Provide effective atmosphere and drama for sessions

# CREATE AND SUSTAIN A PARTICIPATORY ENVIRONMENT

## C1) Demonstrate effective participatory and interpersonal communication skills

* + Apply a variety of participatory processes
  + Demonstrate effective verbal communication skills
  + Develop rapport with participants
  + Practice active listening
  + Demonstrate ability to observe and provide feedback to participants

## C2) Honour and recognise diversity, ensuring inclusiveness

* + Encourage positive regard for the experience and perception of all participants
  + Create a climate of safety and trust
  + Create opportunities for participants to benefit from the diversity of the group
  + Cultivate cultural awareness and sensitivity

## C3) Manage group conflict

* + Help individuals identify and review underlying assumptions
  + Recognise conflict and its role within group learning / maturity
  + Provide a safe environment for conflict to surface
  + Manage disruptive group behaviour
  + Support the group through resolution of conflict

## C4) Evoke group creativity

* + Draw out participants of all learning/thinking styles
  + Encourage creative thinking
  + Accept all ideas
  + Use approaches that best fit needs and abilities of the group
  + Stimulate and tap group energy

# GUIDE GROUP TO APPROPRIATE AND USEFUL OUTCOMES

## D1) Guide the group with clear methods and processes

* + Establish clear context for the session
  + Actively listen, question and summarise to elicit the sense of the group
  + Recognise tangents and redirect to the task
  + Manage small and large group process

## D2) Facilitate group self-awareness about its task

* + Vary the pace of activities according to needs of group
  + Identify information the group needs, and draw out data and insight from the group
  + Help the group synthesise patterns, trends, root causes, frameworks for action
  + Assist the group in reflection on its experience

## D3) Guide the group to consensus and desired outcomes

* + Use a variety of approaches to achieve group consensus
  + Use a variety of approaches to meet group objectives
  + Adapt processes to changing situations and needs of the group
  + Assess and communicate group progress
  + Foster task completion

# BUILD AND MAINTAIN PROFESSIONAL KNOWLEDGE

## E1) Maintain a base of knowledge

* + Be knowledgeable in management, organisational systems and development, group development, psychology, and conflict resolution
  + Understand dynamics of change
  + Understand learning/ thinking theory

## E2) Know a range of facilitation methods

* + Understand problem solving and decision-making models
  + Understand a variety of group methods and techniques
  + Know consequences of misuse of group methods
  + Distinguish process from task and content
  + Learn new processes, methods, & models in support of client’s changing/emerging needs

## E3) Maintain professional standing

* + Engage in ongoing study / learning related to our field
  + Continuously gain awareness of new information in our profession
  + Practice reflection and learning
  + Build personal industry knowledge and networks
  + Maintain certification

# MODEL POSITIVE PROFESSIONAL ATTITUDE

## F1) Practice self-assessment and self-awareness

* + Reflect on behaviour and results
  + Maintain congruence between actions and personal and professional values
  + Modify personal behaviour / style to reflect the needs of the group
  + Cultivate understanding of one’s own values and their potential impact on work with clients

## F2) Act with integrity

* + Demonstrate a belief in the group and its possibilities
  + Approach situations with authenticity and a positive attitude
  + Describe situations as facilitator sees them and inquire into different views
  + Model professional boundaries and ethics (as described in the IAF’s **Statement of Values and Code of Ethics)**

## F3) Trust group potential and model neutrality

* + Honour the wisdom of the group
  + Encourage trust in the capacity and experience of others
  + Vigilant to minimise influence on group outcomes
  + Maintain an objective, non-defensive, non-judgmental stance

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