# Department of Administrative and Financial Services Bureau of Alcoholic Beverages and Lottery Operations Division of Liquor Licensing and Enforcement 

Telephone: (207) 624-7220
FAX: (207) 287-3434
EMAIL INQUIRIES: maineliquor@maine.gov

Thank you for your interested in becoming a licensed establishment to sell and serve alcoholic beverages in Maine. To avoid any delay in the processing of your application and the subsequent issuance of your liquor license, please use the following checklist to assist you in completing the application. If you are renewing your license, this checklist is useful as well.

Your application has been completed in its entirety and is legible. For a renewal, please submit your application 30 days prior to the expiration date of your liquor license.
$\square \quad$ Your application is signed and dated by a duly authorized person.The application is signed and approved by the Town or City Municipal Officers or County Commissioners. The license fee submitted is for the correct fee for the license class for which you are applying and includes the $\$ 10.00$ filing fee.
$\square \quad$ The check must be made payable to "Treasurer, State of Maine"; both the license and filing fees can be submitted on one check.
$\square \quad$ If the licensee/applicant(s) is in an unorganized township, the application must be approved by the County Commissioners and the $\$ 10.00$ filing fee must be paid to them. Please be sure to include a copy of the receipt of payment with your application.For a renewal, the dollar amount of your gross income for food, liquor and guest rooms, if applicable must be completed - see Section I. 1
$\square \quad$ A diagram of the area to be licensed must accompany all applications whether for a new license or the renewal of an existing license
$\square \quad$ If you are a registered business entity with the Maine Secretary of State’s office like a corporation or a limited liability company, you must complete Section VII of the application. This does not need to be completed if you are a sole proprietor.
$\square \quad$ Have you applied for other required licensing from other state and federal agencies? See attached list.
$\underline{\text { Important - all applications whether for a new license or to renew an existing license for an on-premises liquor }}$ licenses must contact their Municipal Officials or the County Commissioners in unincorporated places to have their application approved and signed prior to submitting it to the Bureau for further consideration.

The address to send your completed application to:

1. Mailing address:

Bureau of Alcoholic Beverages and Lottery Operations
Division of Liquor Licensing and Enforcement
8 State House Station
Augusta, ME 04333-0008
2. Courier/overnight address:

Bureau of Alcoholic Beverages and Lottery Operations
Division of Liquor Licensing and Enforcement
19 Union St, 3rd Floor
Augusta, ME 04330

The following licenses/permits may be required prior to be licensing as an on-premises licensee with the Bureau

| Obtained $\checkmark$ | License/Permit | State/Federal Agency to Contact | Telephone Number | Physical Location |
| :---: | :---: | :---: | :---: | :---: |
|  | Seller Certificate or Sales Tax Number | Maine Revenue Services www.maine.gov/revenue | $\begin{aligned} & \text { (207) 624- } \\ & 9693 \end{aligned}$ | 51 Commerce Dr, Augusta |
|  | Health License | Health and Human Services www.maine.gov/dhhs | $\begin{aligned} & \hline(207) 287 \\ & 5671 \end{aligned}$ | 286 Water St, $3^{\text {rd }}$ floor, Augusta |
|  | Victualer's License | Municipality where premise is located. | Contact your town office or county office | Contact your town office or county office |
|  | Shellfish License | Marine Recourses www.maine.gov/dmr | $\begin{aligned} & \text { (207) 624- } \\ & 6550 \end{aligned}$ | - 32 Blossom Lane, Augusta <br> - 194 McKown Point Rd, West Boothbay Harbor <br> - Lamoine State Park, Lamoine <br> - 650 State St, Bangor <br> - 317 Whitneyville Rd, Jonesboro |
|  | Dance or Entertainment License | Fire Marshall's Office www.maine.gov/dps/fmo | $\begin{aligned} & \text { (207) 626- } \\ & 3882 \end{aligned}$ | 45 Commerce Drive, Suite 1, Augusta |
|  | Federal I.D. <br> Number | www.irs.gov | $\begin{aligned} & \hline(800) 829- \\ & 4933 \end{aligned}$ |  |
|  | Legal business names for corporations and limited liability companies and "Doing Business As" Names (assumed names) | Secretary of State, Bureau of Corporations, Elections and Commissions www.maine.gov/sos/cec | $\begin{aligned} & \text { (207) 624- } \\ & 7752 \end{aligned}$ | $\begin{aligned} & \text { 111 Sewall St, } 3^{\text {rd }} \mathrm{Fl} \text {, } \\ & \text { Augusta } \end{aligned}$ |
|  | Retail Beverage Alcohol Dealers Permit | Alcohol and Tobacco Tax and Trade Bureau (TTB) https://www.ttb.gov/nrc/retail-beverage-alcohol-dealers | $\begin{aligned} & \text { (877) 882- } \\ & 3277 \end{aligned}$ |  |

Department of Administrative and Financial Services Bureau of Alcoholic Beverages and Lottery Operations Division of Liquor Licensing and Enforcement

## Application for a Public Service License

All Questions Must Be Answered Completely. Please print legibly.

## Section I: Licensee/Applicant(s) Information; Type of License and Status

| Division Use Only |  |
| :--- | :--- |
| License No: $\quad$ By: |  |
| Class: |  |
| Deposit Date: |  |
| Amt. Deposited: |  |
| Payment Type: |  |
| OK with SOS: $\quad$ Yes $\square \quad$ No $\square$ |  |


| Legal Business Entity Applicant Name (corporation, LLC): | Business Name (D/B/A): |
| :--- | :--- |
| Individual or Sole Proprietor Applicant Name(s): | Physical Location: |
| Individual or Sole Proprietor Applicant Name(s): | Mailing address, if different: |
| Mailing address, if different from DBA address: | Email Address: |
| Telephone \# Fax \#: | Business Telephone \# Fax \#: |
| Federal Tax Identification Number: | Website address: |
| Retail Beverage Alcohol Dealers Permit: |  |

1. New license or renewal of existing license?New Expected Start date: $\qquad$
$\square \quad$ Renewal Expiration Date: $\qquad$
2. Indicate the type of license applying for:Public Service Class I - Beer, Wine and SpiritsPublic Service Class II - Spirits Only
Public Service Class III - Wine OnlyPublic Service Class IV - Beer Only
3. This application is for: (check only one)TrainAircraft

## Refer to Section V for the License Fee Schedule

Please note for vessels only: For each municipality where the vessel will port or dock and will be offering the service of alcoholic beverages while at port or docked, a separate approval from each municipality is required. The form is attached at the end of this application.
4. Business records are located at the following address:
5. Is the licensee/applicant(s) a citizen of the United States?
6. Is the licensee/applicant(s) a resident of the State of Maine? $\quad \square \quad$ Yes $\quad \square \quad$ No

## Note: Applicants that are not citizens of the United States are required to file for the license as a business entity.

7. Is licensee/applicant(s) a business entity like a corporation or limited liability company?
$\square \quad$ Yes $\quad \square \quad$ No If Yes, complete Section VII at the end of this application
8. For a licensee/applicant who is a business entity as noted in Section I, does any officer, director, member, manager, shareholder or partner have in any way an interest, directly or indirectly, in their capacity in any other business entity which is a holder of a wholesaler license granted by the State of Maine?
$\square \quad$ Yes
No
$\square \quad$ Not applicable - licensee/applicant(s) is a sole proprietor
9. Is the licensee or applicant for a license receiving, directly or indirectly, any money, credit, thing of value, endorsement of commercial paper, guarantee of credit or financial assistance of any sort from any person or entity within or without the State, if the person or entity is engaged, directly or indirectly, in the manufacture, distribution, wholesale sale, storage or transportation of liquor.

## $\square \quad$ Yes $\quad \square \quad$ No

If yes, please provide details: $\qquad$
$\qquad$
10. Do you own or have any interest in any another Maine Liquor License?

Yes No

If yes, please list license number, business name, and complete physical location address: (attach additional pages as needed using the same format)

| Name of Business | License Number | Complete Physical Address |
| :--- | :--- | :--- |
|  |  |  |
|  |  |  |

11. List name, date of birth, place of birth for all applicants including any manager(s) employed by the licensee/applicant. Provide maiden name, if married. (attach additional pages as needed using the same format)

| Full Name | DOB | Place of Birth |
| :--- | :---: | :---: |
|  |  |  |

12. Will any law enforcement officer directly benefit financially from this license, if issued?

If Yes, provide name of law enforcement officer and department where employed:
13. Has the licensee/applicant(s) ever been convicted of any violation of the liquor laws in Maine or any State of the United States? $\quad \square \quad$ Yes $\quad \square \quad$ No

If Yes, please provide the following information and attach additional pages as needed using the same format.

Name: $\qquad$ Date of Conviction: $\qquad$
Offense: $\qquad$ Location: $\qquad$
Disposition: $\qquad$
14. Has the licensee/applicant(s) ever been convicted of any violation of any law, other than minor traffic violations, in Maine or any State of the United States? $\square \quad$ Yes $\quad \square \quad$ No

If Yes, please provide the following information and attach additional pages as needed using the same format.

Name: $\qquad$ Date of Conviction: $\qquad$
Offense: $\qquad$ Location: $\qquad$
Disposition: $\qquad$
15. Did the licensee/applicant(s) formerly hold a Maine liquor license? $\square \quad$ Yes $\square \quad$ No
16. Does the licensee/applicant(s) own the premises?Yes $\square \quad$ No
If No, please provide the name and address of the owner:
17. Please describe in detail the area(s) within the premises to be licensed. This description is in addition to the diagram in Section VI. (Use additional pages as needed)

## Section II: Signature

By signing this application, the licensee/applicant understands that false statements made on this application are punishable by law. Knowingly supplying false information on this application is a Class D Offense under Maine’s Criminal Code, punishable by confinement of up to one year, or by monetary fine of up to $\$ 2,000$ or by both.

Please sign and date in blue ink.

Dated: $\qquad$

Signature of Duly Authorized Person

Printed Name Duly Authorized Person

Signature of Duly Authorized Person

Printed Name of Duly Authorized Person

## Section III: For use by Municipal Officers and County Commissioners only Approval of an application for a public service liquor license

The undersigned hereby certifies that we have complied with the process outlined in 28-A M.R.S. §653 and approve this on-premises liquor license application.

Dated: $\qquad$

Who is approving this application? $\quad \square$ Municipal Officers of $\qquad$
$\square$ County Commissioners of $\qquad$ CountyPlease Note: The Municipal Officers or County Commissioners must confirm that the records of Local Option Votes have been verified that allows this type of establishment to be licensed by the Bureau for the type of alcohol to be sold for the appropriate days of the week. Please check this box to indicate this verification was completed.

| Signature of Officials | Printed Name and Title |
| :--- | :--- |
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This approval will expire $\mathbf{6 0}$ days from the date of municipal or county approval unless submitted to the Bureau.

Please use this link to view Maine’s liquor laws in Section 653 regarding the approval process by the municipalities or the county commissioners and your rights under this section of law. This is provided as a courtesy only and may not reflect the law in effect at the time of application.

## Section IV: Terms and Conditions of Licensure as an Establishment that sells liquor for on-premises consumption in Maine

- The licensee/applicant(s) agrees to be bound by and comply with the laws, rules and instructions promulgated by the Bureau.
- The licensee/applicant(s) agrees to maintain accurate records related to an on-premise license as required by the law, rules and instructions promulgated or issued by the Bureau if a license is issued as a result of this application.
- The licensee/applicant(s) authorizes the Bureau to obtain and examine all books, records and tax returns pertaining to the business, for which this liquor license is requested, and also any books, records and returns during the year in which any liquor license is in effect.
- Any change in the licensee’s/applicant's licensed premises as defined in this application must be approved by the Bureau in advance.
- All new applicants must apply to the Alcohol and Tobacco Tax and Trade Bureau (TTB) for its Retail Beverage Alcohol Dealers permit. See the TTB's website at https://www.ttb.gov/nrc/retail-beverage-alcohol-dealers for more information.


## Section V: Fee Schedule

Filing fee required. In addition to the license fees listed below, a filing fee of $\$ 10.00$ must be included with all applications.

Please note: For Licensees/Applicants in unorganized territories in Maine, the $\$ 10.00$ filing fee must be paid directly to County Treasurer. All applications received by the Bureau from licensees/applicants in unorganized territories must submit proof of payment was made to the County Treasurer together with the application.
Class of License Type of liquor/Establishments included Fee
Class I $\quad$ For the sale of liquor (malt liquor (beer), wine and spirits) $\quad \$ 900.00$

Class II For the sale of spirits only $\quad \$ 550.00$
Class III For the sale of wine only $\quad \$ 220.00$
Class IV For the sale of malt liquor only 220.00
Class III and IV For the sale of malt liquor and wine only 440.00

## Section VI Premises Floor Plan

In an effort to clearly define your license premise and the areas that consumption and storage of liquor authorized by your license type is allowed, the Bureau requires all applications to include a diagram of the premise to be licensed.

Diagrams should be submitted on this form and should be as accurate as possible. Be sure to label the following areas: entrances, office area, coolers, storage areas, display cases, shelves, restroom, point of sale area, area for on-premise consumption, dining rooms, event/function rooms, lounges, outside area/decks or any other areas on the premise that you are requesting approval. Attached an additional page as needed to fully describe the premise.

## Section VII: Required Additional Information for a Licensee/Applicant for an On-Premises Liquor License Who are Legal Business Entities

Questions 1 to 4 of this part of the application must match information in Section I of the application above and match the information on file with the Maine Secretary of State's office. If you have questions regarding your legal entity name or DBA, please call the Secretary of State's office at (207) 624-7752.

All Questions Must Be Answered Completely. Please print legibly.

1. Exact legal name: $\qquad$
2. Doing Business As, if any:
3. Date of filing with Secretary of State: $\qquad$ State in which you are formed: $\qquad$
4. If not a Maine business entity, date on which you were authorized to transact business in the State of Maine:
5. List the name and addresses for previous 5 years, birth dates, titles of officers, directors, managers, members or partners and the percentage ownership any person listed: (attached additional pages as needed)
$\left.\begin{array}{|l|l|l|l|l|}\hline \text { Name } & & \text { Address (5 Years) } & \begin{array}{c}\text { Date of } \\ \text { Birth }\end{array} & \text { Title }\end{array} \begin{array}{c}\text { Percentage } \\ \text { of } \\ \text { Ownership }\end{array}\right]$
(Ownership in non-publicly traded companies must add up to $100 \%$.)

## For additional ports of call only (copy as needed for as many ports of call) for approval of an application for a public service liquor license

The undersigned herby applies for permission to sell and serve alcoholic beverages aboard the vessel:

1. Name of Vessel:
2. Name of Licensee:
3. Maine License Number:

The above-named vessel is in port or docked at the following municipality: $\qquad$
Please sign and date in blue ink.
Dated: $\qquad$

Signature of Duly Authorized Person
Printed Name Duly Authorized Person

The undersigned municipal officers or county commissioners hereby certify that we have complied with the process outlined in 28-A M.R.S. $\S 653$ and approve this on-premises liquor license application.

Dated: $\qquad$

Who is approving this application? Municipal Officers of $\qquad$

County Commissioners of $\qquad$ County
$\square \quad$ Please Note: The Municipal Officers or County Commissioners must confirm that the records of Local Option Votes have been verified that allows this type of establishment to be licensed by the Bureau for the type of alcohol to be sold for the appropriate days of the week. Please check this box to indicate this verification was completed.

| Signature of Officials | Printed Name and Title |
| :--- | :--- |
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This approval will expire 60 days from the date of municipal or county approval unless submitted to the Bureau.

