Return to Custody Report
Three Year Post Release
2010-2018

This document contains key adult correctional data points to assist management and staff in making evidence-based decisions.

Randall Liberty
Commissioner

Ryan Thornell, Ph.D.
Deputy Commissioner

Maine Department of Corrections
25 Tyson Drive
State House Station 111
Augusta Maine 04333-0111
207-287-2711

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Table of Contents

Executive Summary ........................................................................................................................................ 3
Return to Custody Introduction & Definition .............................................................................................. 4
Return to Custody Data Collection ........................................................................................................... 4
Return to Custody Rate by Release Year .................................................................................................... 6
Return to Custody by Gender .................................................................................................................... 7
  Male Release ........................................................................................................................................... 7
  Female Release ....................................................................................................................................... 8
Return to Custody by Type of Release ....................................................................................................... 9
  Probation Release .................................................................................................................................. 9
  Straight Release ..................................................................................................................................... 11
Return to Custody by Custody Level at Release ...................................................................................... 13
  Close Custody Release .......................................................................................................................... 13
  Medium Custody Release ....................................................................................................................... 15
  Minimum & Community Custody Release .............................................................................................. 17
Return to Custody Rate Average Comparison by Custody Group ............................................................ 19
Return to Custody by Age at Release ......................................................................................................... 20
Return to Custody by Risk Assessment .................................................................................................... 22
Return to Custody by Controlling Sentence ............................................................................................. 23
Report Summary ....................................................................................................................................... 24
Executive Summary

Recidivism is a standard criminal justice term used in various ways to explain the rate at which released clients return to the prison system. The ability to effectively measure recidivism rates in each state allows state correctional departments to look for ways to keep repeat clients out of the prison system thus decreasing overall state correctional budgets and increasing public safety. The inherent problem with analyzing recidivism on a national and state level is the fact that differences in definitions and survey methods exist.

Two well-known national reports on recidivism outline these differences; The Pew Charitable Trust (2011) and the U.S. Department of Justice’s Bureau of Justice Statistics (BJS) (2002, 2014). The most recent study produced by BJS reported that 49.7% of the released clients in their observed timeline returned to prison within three years. The Pew Charitable Trust report tracked more states than the BJS study and reported about 40% of released clients returned to prison within three years.

In this report The Maine Department of Corrections (MDOC) establishes a methodology for calculating “recidivism,” including using the phrase “Return to Custody” rather than recidivism. By establishing this methodology for measuring Return to Custody, MDOC can begin to report, analyze, discuss, and design policies to address this problem.

The following pages illustrate and explain the rate at which clients released in 2010 through 2018 returned to an MDOC facility within three years of release. Overall, the three-year return to custody rate increased from 2010 to 2014, going from 24.7% to 30.5%, then decreased each year to 20.1% in 2018. These changes, along with other key findings, are detailed in this report.
Return to Custody Introduction & Definition

In January 2017, MDOC began designing a process to analyze the rate at which released clients return to the Department’s custody. Prior to 2017, the Department contracted with external providers to measure variations of recidivism on specific populations of clients. While useful, the process of using an outside vendor to monitor this left the Department limited ability to analyze other factors leading to return to custody.

Establishing a process to monitor and analyze return to custody that is created by the Department is essential in making key policy and practice decisions.

The new process builds off improved data collection, data extraction, and data analysis that allows the Department to analyze baseline return to custody rates. In subsequent years, this process will allow the Department to begin measuring the success of its rehabilitative efforts as they relate to clients’ return to custody, including measurement of the effectiveness of specific programs, treatments, and initiatives. This information will be updated, analyzed and reported annually.

MDOC defines “Return to Custody” as the release of a client from an MDOC facility followed by a subsequent return of a released client to an MDOC facility, with or without a new criminal conviction.

Return to Custody Data Collection

The data for this study was developed by the Department using our client management database, known as CORIS. The data sample was a collection of client releases from January 1, 2010 – December 31, 2018. The sample also included a return to custody from January 1, 2010 to December 31, 2021. Clients released from 2010-2018 were tracked for a return to custody three year post-release, through the end of 2021.

The dataset captures two different release scenarios: Probation Release = Facility to Probation, and Straight Release = Facility to Society.
The dataset was configured and audited for integrity and deemed trustworthy. The data points collected and analyzed include:

<table>
<thead>
<tr>
<th>Data Point</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type of Release</td>
<td>Probation or Straight Release</td>
</tr>
<tr>
<td>MDOC#</td>
<td>Maine’s unique identification number for clients</td>
</tr>
<tr>
<td>Gender</td>
<td>Male or Female</td>
</tr>
<tr>
<td>Release Date</td>
<td>Date of Release</td>
</tr>
<tr>
<td>Return Date</td>
<td>Date of Return to MDOC Facility</td>
</tr>
<tr>
<td>Location Released From</td>
<td>MDOC Facility client released from</td>
</tr>
<tr>
<td>Location Released To</td>
<td>Identifies a probation office, or state released to</td>
</tr>
<tr>
<td>Custody Level Prior to Release</td>
<td>MDOC has four client levels of custody assignments: Close, Medium, Minimum and Community</td>
</tr>
<tr>
<td>LSI Score Prior to Release</td>
<td>LSI Score (upon release) is a rating of a client’s criminogenic risk while under MDOC supervision</td>
</tr>
<tr>
<td>Release Controlling Offense</td>
<td>Most severe (greatest sentence length) offense the client was serving when released</td>
</tr>
<tr>
<td>Return to Custody Controlling Offense</td>
<td>Most severe (greatest sentence length) offense the client was sentenced for upon their return to MDOC custody</td>
</tr>
<tr>
<td>Return Month(s)</td>
<td>Number of Months elapsed from when a client was released from an MDOC facility and when they returned to an MDOC facility</td>
</tr>
<tr>
<td>Return to Custody Rate (RCR)</td>
<td>Rate at which released clients return to an MDOC facility</td>
</tr>
</tbody>
</table>

The bullets below explain what elements are not included in the Return to Custody dataset:

- Clients on probation who entered an MDOC facility during the 2010-2018 time frame who were never previously incarcerated in an MDOC facility
- DOC releases to probation, who were released to society and then convicted of a new crime but served their sentence in a county jail
- MDOC Releases to Supervised Community Confinement Program (SCCP)
- MDOC Releases to Interstate Active Detainer (IAD), Federal Prison
Return to Custody Rate by Release Year

The chart below represents all releases from an MDOC facility between 2010 and 2018, grouped by release year and months until return.

MDOC Three Year Post Release RCR:

- 2010 Release Year – 24.7%
- 2011 Release Year – 27.6%
- 2012 Release Year – 27.9%
- 2013 Release Year – 30.3%
- 2014 Release Year – 30.5%
- 2015 Release Year – 29.7%
- 2016 Release Year – 27.9%
- 2017 Release Year – 26.3%
- 2018 Release Year – 20.1%

On average 27.2% of the clients released from 2010-2018 returned to an MDOC facility within three years post release.

The highest RCR in the 9 year timeframe occurred with the 2014 releases showing 30.5% returning within three years post release. The MDOC three year post release return to custody has seen a steady decline during the years that followed, bringing 2018 down to 20.1%, the lowest it is has been during the nine year period.
Return to Custody by Gender

The next several charts represent all releases from an MDOC facility (Probation and Straight Release) between 2010 and 2018, grouped by gender and release year.

**Male Release**

MDOC Three Year Post Release RCR:

- 2010 Release Year – 25.7%
- 2011 Release Year – 28.8%
- 2012 Release Year – 29.4%
- 2013 Release Year – 31.6%
- 2014 Release Year – 30.7%
- 2015 Release Year – 31.0%
- 2016 Release Year – 29.2%
- 2017 Release Year – 28.0%
- 2018 Release Year – 21.6%

On average 28.4% of the male clients released between 2010-2018 returned to an MDOC facility within three years post release. 2013 releases experienced the highest RCR with 31.6% returning within three years post release, and 2018 experienced the lowest RCR with 21.6% returning.
Female Release

MDOC Three Year Post Release RCR:

- 2010 Release Year – 14.0%
- 2011 Release Year – 17.4%
- 2012 Release Year – 12.9%
- 2013 Release Year – 18.8%
- 2014 Release Year – 28.1%
- 2015 Release Year – 17.8%
- 2016 Release Year – 18.2%
- 2017 Release Year – 16.0%
- 2018 Release Year – 10.0%

On average 17.0% of the female clients released between 2010-2018 returned to an MDOC facility within three years post release. Female releases experienced a significant increase with 2014 releases showing a 28.1% RCR within three years post release. The 2018 three year return to custody was 10.0% which is the lowest rate seen during the nine year period.
Return to Custody by Type of Release

Depending on the Release Type (Probation or Straight Release), the RCR changes and the next several charts depict the RCR for different release types. Probation Releases had a higher RCR than Straight Releases. On average (2010-2018), the Probation Release three year RCR was 32.3%, 10.9% higher than the average Straight Release three year RCR (21.4%) in the same period.

Probation Release

The chart below represents all probation releases from an MDOC facility between 2010 and 2018, grouped by release year, showing the three year return to custody percentages.

MDOC Three Year Post Release RCR for all Probation Releases:

- 2010 Release Year – 30.4%
- 2011 Release Year – 34.1%
- 2012 Release Year – 33.1%
- 2013 Release Year – 35.0%
- 2014 Release Year – 37.7%
- 2015 Release Year – 34.1%
- 2016 Release Year – 31.8%
- 2017 Release Year – 30.2%
- 2018 Release Year – 24.3%

On average, 32.3% of the clients released to probation from 2010-2018 returned to an MDOC facility within three years post release. 2014 releases experienced the highest RCR with 37.7% returning to an MDOC facility within three years post release. The three year return to custody rate for probation releases decreased in 2015, 2016, and 2017, and 2018 which showed the lowest rate in the seven year period at 24.3%. 
Male Probation Release

The chart below represents all male probation releases from an MDOC facility between 2010 and 2018, grouped by release year, showing the three year return to custody percentages.

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Female Probation Release

The chart below represents all female probation releases from an MDOC facility between 2010 and 2018, grouped by release year, showing the three year return to custody percentages.
Straight Release

The chart below represents all straight releases from an MDOC facility between 2010 and 2018, grouped by release year, showing the three year return to custody percentages.

MDOC Three Year Post Release RCR for all Straight Releases:

- 2010 Release Year – 17.7%
- 2011 Release Year – 20.7%
- 2012 Release Year – 22.2%
- 2013 Release Year – 24.9%
- 2014 Release Year – 22.0%
- 2015 Release Year – 24.5%
- 2016 Release Year – 23.5%
- 2017 Release Year – 21.9%
- 2018 Release Year – 15.5%

On average 21.4% of the clients released to society without probation from 2010-2018 returned to an MDOC facility within three years post release. 2013 releases experienced the highest RCR with 24.9% returning to an MDOC facility within three years post straight release. The 2018 three year return to custody rate was 15.5% which is the lowest rate seen during the nine year period.
Male Straight Releases

The chart below represents all male straight releases from an MDOC facility between 2010 and 2018, grouped by release year, showing the three year return to custody percentages.

![Male Straight Releases Chart]

Female Straight Releases

The chart below represents all female straight releases from an MDOC facility between 2010 and 2018, grouped by release year, showing the three year return to custody percentages.

![Female Straight Releases Chart]
Return to Custody by Custody Level at Release

It is the policy of the Department of Corrections to manage residents in a manner consistent with established custody levels. Close custody residents are classified close custody because they require a high level of supervision. These residents are the highest custody of general population clients. Medium custody residents are classified medium custody because they require a moderate level of supervision. Minimum custody residents are classified minimum custody because they require a minimal level of supervision within the facility. Community custody residents are classified community custody because they require the least level of supervision.

The following charts represent all releases from an MDOC facility (Probation and Straight Release) between 2010 and 2018, grouped by custody level at release and release year.

**Close Custody Release**

Close Custody Three Year Post Release RCR:

- 2010 Release Year – 31.4%
- 2011 Release Year – 34.5%
- 2012 Release Year – 50.0%
- 2013 Release Year – 55.2%
- 2014 Release Year – 51.7%
- 2015 Release Year – 51.6%
- 2016 Release Year – 50.0%
- 2017 Release Year – 50.0%
- 2018 Release Year – 40.0%

On average 46.0% of all clients released from close custody between 2010-2018 returned to an MDOC facility within three years post release. The three year RCR for close custody releases in 2013 experienced the highest three year return to custody rate of 55.2%. 2018 showed the lowest three year return to custody for close custody clients since 2011 with a 40.0% return rate.
Male Close Custody Releases

The chart below represents all male close custody releases from an MDOC facility between 2010 and 2018, grouped by release year, showing the three year return to custody percentages.

Female Close Custody Releases

The table below represents all female close custody releases from an MDOC facility between 2010 and 2018, grouped by release year, showing the number of clients who returned within three years. Return to Custody Rate calculations are not included due to the low number of releases in this category.
Medium Custody Release

Medium Custody Three Year Post Release RCR:

- 2010 Release Year – 32.9%
- 2011 Release Year – 34.2%
- 2012 Release Year – 31.6%
- 2013 Release Year – 38.7%
- 2014 Release Year – 35.5%
- 2015 Release Year – 32.0%
- 2016 Release Year – 33.3%
- 2017 Release Year – 31.8%
- 2018 Release Year – 25.4%

On average 32.8% of all clients released from medium custody between 2010-2018 returned to an MDOC facility within three years post release. The three year RCR for medium custody releases had been steadily decreasing since 2013 until 2016 when it increased slightly to 33.3%, after which it decreased again in 2017 and 2018 where it was the lowest in the nine year period at 25.4%.
Male Medium Custody Releases

The chart below represents all male medium custody releases from an MDOC facility between 2010 and 2018, grouped by release year, showing the three year return to custody percentages.

![Male Medium Custody Releases Chart](chart)

Female Medium Custody Releases

The chart below represents all female medium custody releases from an MDOC facility between 2010 and 2018, grouped by release year, showing the three year return to custody percentages.

![Female Medium Custody Releases Chart](chart)
Minimum & Community Custody Release

Minimum and Community Custody Three Year Post Release RCR:

- 2010 Release Year – 19.5%
- 2011 Release Year – 24.0%
- 2012 Release Year – 25.3%
- 2013 Release Year – 25.4%
- 2014 Release Year – 25.5%
- 2015 Release Year – 27.5%
- 2016 Release Year – 22.5%
- 2017 Release Year – 20.4%
- 2018 Release Year – 14.8%

On average 22.8% of all clients released from minimum and community custody between 2010-2018 returned to an MDOC facility within three years post release. The three year RCR for minimum and community custody releases in 2018 was 14.8%, which is the lowest rate in the nine year period.

![Graph showing minimum and community custody three year post release RCR from 2010 to 2018]
Male Minimum and Community Custody Releases

The chart below represents all male minimum and community custody releases from an MDOC facility between 2010 and 2018, grouped by release year, showing the three year return to custody percentages.

Female Minimum and Community Custody Releases

The chart below represents all female minimum and community custody releases from an MDOC facility between 2010 and 2018, grouped by release year, showing the three year return to custody percentages.
Return to Custody Rate Average Comparison by Custody Group

The chart below depicts the three year RCR average for all releases between 2010-2018 grouped by the client’s custody level upon release. The chart is sorted from greatest custody risk level to least, going from close custody to minimum & community custody. As custody level at release decreases, so does the three year RCR average.
Return to Custody by Age at Release

The charts below represent all releases from an MDOC facility (Probation and Straight Release) between 2010 and 2018, grouped by release year & age at release.

Clients under 30 years old at release showed the highest three year return to custody rate of all age groups in 2018 at 25.0%.

The 60 and older age group experienced an increase in the three year return to custody rate from 2017 to 2018, while all of the groups experienced some decrease in the three year RCR from 2017 to 2018.

Return to Custody three year post release for clients under the age of 30 at release, broken down by release year.

Return to Custody three year post release for clients age 30-39 at release, broken down by release year.
Return to Custody three year post release for clients age 40-49 at release, broken down by release year.

Return to Custody three year post release for clients age 50-59 at release, broken down by release year.

Return to Custody three year post release for clients age 60 or older at release, broken down by release year.
Return to Custody by Risk Assessment

Core correctional programs are completed by clients while incarcerated depending on each client’s criminogenic needs and case plan. Core programs are evidence-based programs the Maine DOC has determined appropriate to have the most positive impact on assessed criminogenic needs. Criminogenic needs are identified by administering risk assessments to the client upon intake. The risk assessment tools outline high risk areas to be addressed and guide MDOC case managers in determining the appropriate core programs to be completed.

Releases by Risk Assessment

The chart below shows the percent of all clients who return to state custody within three years post release by release year, broken down by their risk assessment score at the time of their release.

In 2018 the risk scores coincide with the three year return to custody rate as expected, increasing the RCR for each increase in risk level. 2018 experienced decreases in the three year return to custody rate for all risk levels from those rates for 2017.
Return to Custody by Controlling Sentence

The chart below represents all releases from an MDOC facility (Probation and Straight Release) between 2010 and 2018, grouped by controlling sentence at release which is defined as the most severe (greatest sentence length) offense the client was serving when released.

Burglary, Domestic Violence and Robbery releases experienced the highest three year return to custody rates for the nine year period.

The controlling sentence categories shown are not a full representation of all releases from 2010-2018. The chart only highlights the controlling sentence release categories with greater than 100 releases for the period.
Report Summary

Overall, the three-year return to custody rate increased from 2010 to 2014, going from 24.7% to 30.5%, then decreased each year to 20.1% in 2018.

Below are some of the key findings when comparing the most recent year of 2018 to the previous year of 2017.

Male Releases
➢ Three year RCR decreased from 28.0% for 2017 releases to 21.6% for 2018.

Female Releases
➢ Three year RCR decreased from 16.0% for 2017 releases to 10.0% for 2018.

Probation Release
➢ Three year RCR decreased from 30.2% for 2017 releases to 24.3% for 2018.

Straight Releases
➢ Three year RCR decreased from 21.9% for 2017 releases to 15.5% for 2018.

Close Custody Releases
➢ Three year RCR decreased from 50.0% for 2017 releases to 40.0% for 2018.

Medium Custody Releases
➢ Three year RCR decreased from 31.8% for 2017 releases to 25.4% for 2018.

Minimum & Community Releases
➢ Three year RCR for Minimum & Community Custody releases decreased from 20.4% for 2017 to 14.8% for 2018.

Releases by Age Range
➢ Three year RCR for releases under age 30 decreased from 34.2% for 2017 to 25.0% for 2018.
➢ Three year RCR for 30-39 year old releases decreased from 25.9% for 2017 to 20.0% for 2018.
➢ Three year RCR for 40-49 year old releases decreased from 21.0% for 2017 to 16.5% for 2018.
➢ Three year RCR for 50-59 year old releases decreased from 18.8% for 2017 to 11.3% for 2018.
➢ Three year RCR for releases 60 years or older increased from 17.5% for 2017 to 20.9% for 2018.

Releases by LSI Score
➢ Three year RCR decreased from 17.3% for 2017 releases to 12.4% for 2018 releases with an Administrative or Low LSI score.
➢ Three year RCR decreased from 23.8% for 2017 releases to 18.4% for 2018 releases with a Moderate LSI score.
➢ Three year RCR decreased from 33.4% for 2017 releases to 25.7% for 2018 releases with a High or Maximum LSI score.
Making our communities safer by reducing harm through supportive intervention, empowering change, and restoring lives is the mission of the Maine Department of Corrections.