



State of Maine Board of Corrections

State House Station #111
Augusta, ME 04333-0111



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Richard Hanley
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Kate Snyder

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Budget
Education
Inventory of Needs
Information Technology
Medical/ Pharmacy
Mental Health/Substance Abuse
Pretrial/Diversion/Reentry
Transportation
Victim Services

To: Board Members
From: Kate Snyder, Executive Director
RE: FY 2012 Budget Approvals, Revenues and Investment Fund Payments, and FY 2011 Carry-Forward Surplus Commitments to Support FY 2012 Initiatives and Operations
Date: July 15, 2011

This memo summarizes all actions taken by the Board of Corrections related to FY 2012 approved budgets, FY 2012 Investment Fund payments to support approved budgets, and FY 2011 Investment Fund carry-forward surplus to be used to support budgets and initiatives in FY 2012.

“Total System FY 2012 Revenue” View

The starting point for the FY 2012 budget approvals was an accounting of all revenues that are expected to be received into the system during FY 2012 – in order to be able to quantify and understand the parameters within which budgets would need to respond. FY 2012 revenues are projected to be:

<u>FY 2012 Cumulative System Revenues</u>	
<u>Non-Discretionary System Revenues:</u>	
*CAP (See Note on next page)	\$61,771,726
CCA	\$5,646,562
County Generated Revenue	\$4,005,320
Subtotal:	\$71,423,608
<u>Discretionary System Revenues:</u>	
Investment Fund Baseline	\$3,483,678
New General Fund Initiative	\$3,500,000
Fines/Surcharges	\$949,259
Subtotal:	\$7,932,937
<u>Other System Revenue:</u>	
Lincoln Payment to TBRJ for FY 2012 Operations	\$180,629
Portion of Investment Fund carry-forward surplus to fund FY 2012	\$287,115
Subtotal:	\$467,744
System Revenue Grand Total:	\$79,824,289

*Major Mission Change Revenue to the Investment Fund: It is important to note that within the \$61,771,726 of CAP funds collected in FY 2012, a portion of revenues collected from counties with Major Mission Change facilities (Franklin, Oxford and Waldo) in the amount of **\$1,696,362 will be transferred out of the county from which it was collected and deposited into the Board of Corrections Investment Fund; thereby increasing available FY 2012 Investment Fund revenue (discretionary funds) to \$9,629,299.**

FY 2012 Budget Approvals

At the June 15, 2011 and June 29, 2011 board meetings, the board approved all county and regional services budgets resulting in a **total system budget of \$79,824,289** – which is balanced by the previously stated cumulative system revenues.

Total Approved County and Regional Correctional Services Budgets	
ANDROSCOGGIN	5,569,314
AROOSTOOK	3,165,599
CUMBERLAND	17,299,759
FRANKLIN	1,141,558
HANCOCK	2,220,999
KENNEBEC	6,640,090
KNOX	3,704,529
LINCOLN	430,761
OXFORD	1,307,072
PENOBSCOT	7,405,545
PISCATAQUIS	1,478,208
SAGADAHOC	428,931
SOMERSET	6,624,797
TWO BRIDGES	7,265,254
WALDO	2,151,148
WASHINGTON	2,376,750
YORK	10,613,975
Total System Budget:	79,824,289

“BOC Investment Fund FY 2012 Checkbook” View

FY 2012 Income to Fund Approved Budgets:	
Investment Fund Baseline	\$3,483,678
New General Fund Initiative	\$3,500,000
Fines/Surcharge	\$949,259
Revenue from Major Mission Change Facilities to Investment Fund	\$1,696,362
Portion of Investment Fund carry-forward surplus to fund FY12	\$287,115
Investment Fund Total:	\$9,916,414

Investment Fund money required to support the approved FY 2012 county and regional correctional services budgets equals **\$9,916,414**. (Please see Appendix A)

Investment Fund Payments to Balance FY 2012 Budgets	
ANDROSCOGGIN	\$737,017
AROOSTOOK	\$465,760
CUMBERLAND	\$2,220,663
FRANKLIN	\$0
HANCOCK	\$311,949
KENNEBEC	\$652,134
KNOX	\$146,549
LINCOLN	\$0
OXFORD	\$0
PENOBSCOT	\$637,848
PISCATAQUIS	\$314,563
SAGADAHOC	\$0
SOMERSET	\$1,121,767
TWO BRIDGES	\$2,035,672
WALDO	\$0
WASHINGTON	\$261,586
YORK	\$1,010,906
Total:	\$9,916,414

FY 2012 Investment Fund Disbursement Schedule

Investment Fund revenue in support of FY 2012 county correctional services will be disbursed as follows:

- July 2011: Disburse 50% (\$4,958,207) of all FY 2012 Investment Fund support of FY 2012 county correctional services budgets;
- January 2012: Disburse 25% (\$2,479,104) of FY 2012 Investment Fund support of FY 2012 county correctional services budgets;
- April 2012: Use CRAS actual and projection data to determine monthly Expenditure and revenue data and strategically and responsively disburse FY 2012 Investment Fund revenue monthly as needed.

	Approved FY 2012 Budget	Calculated Investment Fund Contribution	July 2011 50% Payment	January 2012 25% Payment
ANDROSCOGGIN	\$5,569,314	\$737,017	\$368,509	\$184,254
AROOSTOOK	\$3,165,599	\$465,760	\$232,880	\$116,440
CUMBERLAND	\$17,299,759	\$2,220,663	\$1,110,332	\$555,166
FRANKLIN	\$1,141,558			
HANCOCK	\$2,220,999	\$311,949	\$155,975	\$77,987
KENNEBEC	\$6,640,090	\$652,134	\$326,067	\$163,034
KNOX	\$3,704,529	\$146,549	\$73,275	\$36,637
LINCOLN	\$430,761			
OXFORD	\$1,307,072			
PENOBSCOT	\$7,405,545	\$637,848	\$318,924	\$159,462
PISCATAQUIS	\$1,478,208	\$314,563	\$157,282	\$78,641
SAGadahoc	\$428,931			
SOMERSET	\$6,624,797	\$1,121,767	\$560,884	\$280,442
TWO BRIDGES	\$7,265,254	\$2,035,672	\$1,017,836	\$508,918
WALDO	\$2,151,148			
WASHINGTON	\$2,376,750	\$261,586	\$130,793	\$65,397
YORK	\$10,613,975	\$1,010,906	\$505,453	\$252,727
Total:	\$79,824,289	\$9,916,414	\$4,958,207	\$2,479,104

Board Initiatives and FY 2011 Investment Fund Carry-Forward Surplus

The board approved use of anticipated FY 2011 Investment Fund carry-forward surplus to fund several initiatives in FY 2012. Those initiatives are:

- \$794,157 for a System Capital Investment Reserve Account;
- \$300,000 to fund the Maine Addiction Recovery Academy with a campus at Kennebec County Correctional Facility;
- \$200,000 to fund Board of Corrections staff; and
- \$287,115 in support of correctional services at Somerset County Correctional Facility.

Total FY 2011 Investment Fund Carry-Forward Surplus Obligation: **\$1,581,272**

Appendix A: (Please see next page)

All FY 2012 Investment Fund support of FY 2012 approved budgets is a calculation: Approved Budget minus all Projected Revenues.