



STATE OF MAINE
OFFICE OF THE STATE AUDITOR

66 STATE HOUSE STATION
AUGUSTA, ME 04333-0066

TEL: (207) 624-6250

Matthew Dunlap
State Auditor

B. Melissa Perkins, CPA
Deputy State Auditor

July 1, 2021

The Honorable Janet T. Mills
Governor, State of Maine

The Honorable Troy Jackson
President of the Senate

The Honorable Ryan Fecteau
Speaker, House of Representatives

I am pleased to submit the State Auditor's Fraud Hotline Semiannual Reports for the periods January 1, 2020 to June 30, 2020 and July 1, 2020 to December 31, 2020.

A summary of the complaints is attached.

Sincerely,



Matthew Dunlap
State Auditor



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STATE AUDITOR'S FRAUD HOTLINE
<http://www.maine.gov/audit/fraud/index.html>
Semiannual Report
For the Period 7/1/2020 to 12/31/2020

Total number of complaints received	88
Complaint sequence: Complaints No. 610 through No. 697	
Number of complaints closed for not meeting requirements, or not allegations of fraud	18
Number of referrals made to the Office of the Attorney General	29
Number of complaints investigated by Office of the State Auditor personnel	7
Number of referrals to the Office of Program Evaluation and Government Accountability	0
Complaints about individuals referred to (some to more than one agency):	
Fraud Investigation and Recovery Unit – DHHS	9
Division of Support Enforcement and Recovery – DHHS	0
Maine Revenue Service	7
Department of Labor	15
Bureau of Motor Vehicles	1
Center for Disease Control	1
Maine State Housing Authority	1

Types of complaints Office of the State Auditor personnel investigate:

- illegal acts affecting State government such as theft, fraud, and financial conflict of interest,
- financial fraud depleting resources or increasing expenditures of the State,
- significant abuse of State equipment or property,
- clear misuse of State property, programs, or work time for personal gain,
- gross misconduct with significant financial effects,
- consistent, wasteful conduct with significant financial implications, and
- kickbacks or similar acts involving return payments to State workers or vendors.

Types of complaints Office of the State Auditor personnel do not investigate, but the Office does generally refer these complaints to other State agencies or other government authorities:

- personal conflicts between individuals or domestic or other threats of violence,
- alleged improper acts by Federal officials,
- personal gripes against State employees about personal matters,
- mistakes made by State personnel,
- insignificant matters or complaints without sufficient factual basis, and
- matters handled by other specific State investigation units.

The Office of the State Auditor does not have enforcement power. However, we work with governmental entities that do have enforcement power. This includes, but is not limited to, the Attorney General's Office and the District Attorneys' Offices.

A legend for the abbreviations used in this report appears on page three.

A listing of the complaints received from July 1, 2020 to December 31, 2020 begins on page four of this report.

Agency acronyms that may be used in the report:

AG - Office of the Attorney General

BMV - Bureau of Motor Vehicles (a division of the Secretary of State's office)

CDC - Center for Disease Control

DAFS - Department of Administrative and Financial Services

DHHS - Department of Health and Human Services

DOL - Department of Labor

MRS - Maine Revenue Services (a division of DAFS)

MSHA - Maine State Housing Authority

OSA - Office of the State Auditor

Complaint No.	Nature of Complaint	Agency Complained of:	Potential Impact on Government:	Action taken by the Office of the State Auditor:	Substantiation of Complaint:
610	Alleged tax Fraud	None; private fraud alleged	Possible recovery of unpaid taxes	Referred to BMV, MRS	To be determined by BMV/MRS
611	Alleged telephone scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
612	Alleged telephone scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
613	Alleged employer fraud	None; private fraud alleged	N/A	Referred to DOL	To be determined by DOL
614	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL
615	Alleged benefit fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DHHS	To be determined by DHHS
616	Alleged internet scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
617	Request to audit signatures for ballot initiative	None; private fraud alleged	N/A	Closed	Not reasonable to pursue due to high resource draw
618	Alleged telephone scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
619	Alleged internet scam	None; private fraud alleged	N/A	Closed; no clear fraud alleged	No allegation for OSA to address

Complaint No.	Nature of Complaint	Agency Complained of:	Potential Impact on Government:	Action taken by the Office of the State Auditor:	Substantiation of Complaint:
620	Alleged estate fraud	None; private fraud alleged	N/A	Closed - no clear aspect to process, no response to clarifying request	No allegation for OSA to address
621	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL
622	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL
623	Alleged fraud attempt	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
624	Alleged benefit fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Closed	Permission to refer not received
625	Alleged tax fraud	None; private fraud alleged	Possible recovery of unpaid taxes	Closed	Permission to refer not received
626	Alleged internet scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
627	Alleged tax fraud	None; private fraud alleged	Possible recovery of unpaid taxes	Referred to MRS	To be determined by MRS
628	Alleged improper vaccine handling	None; private fraud alleged	N/A	Referred to CDC	To be determined by CDC
629	Alleged benefit fraud	None; private fraud alleged	N/A	Closed - referred to Atty	No allegations for OSA to address

Complaint No.	Nature of Complaint	Agency Complained of:	Potential Impact on Government:	Action taken by the Office of the State Auditor:	Substantiation of Complaint:
630	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL
631	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL
632	Legal fraud	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
633	Maine Human Rights Commission complaint	Maine Human Rights Commission	N/A	Closed - adjudicated legal matter	No allegations for OSA to address
634	Alleged municipal fraud	None; private fraud alleged	N/A	Closed	No allegations for OSA to address
635	COVID benefit fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL
636	Identity theft/taxes and unemployment	None; private fraud alleged	Possible recovery of inappropriate benefits/unpaid taxes	Referred to MRS	To be determined by MRS
637	SBA loan fraud	None; Federal program fraud alleged	N/A	Referred to AG	To be determined by AG
638	Mail fraud	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
639	Alleged fraudulent invoice	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
640	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL

Complaint No.	Nature of Complaint	Agency Complained of:	Potential Impact on Government:	Action taken by the Office of the State Auditor:	Substantiation of Complaint:
641	Alleged benefit fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DHHS, MSHA	To be determined by DHHS, MSHA
642	Consumer complaint	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
643	Alleged internet scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
644	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL
645	Maine Revenue notice inquiry	None; private fraud alleged	N/A	Closed; no action appropriate	No allegations for OSA to address
646	Alleged municipal fraud	None	N/A	Closed	No allegations for OSA to address
647	Alleged benefit fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Closed; subject to reopen	No allegations for OSA to address
648	Alleged benefit fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DHHS, Bangor Housing	To be determined by DHHS; Bangor Housing
649	Landlord complaint	None; private fraud alleged	N/A	Referred to MRS	To be determined by MRS
650	Municipal complaint	None	N/A	Closed	Discussed options with complainant.
651	Alleged benefit fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DHHS	To be determined by DHHS

Complaint No.	Nature of Complaint	Agency Complained of:	Potential Impact on Government:	Action taken by the Office of the State Auditor:	Substantiation of Complaint:
652	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL
653	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL
654	Alleged mail scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
655	Alleged email scam	None; private fraud alleged	N/A	Closed	No aspect to refer
656	Alleged municipal fraud	None	N/A	In process	OSA still investigating
657	Alleged phishing email	None; private fraud alleged	N/A	Closed - anonymous	No aspect to refer
658	Property foreclosure	None; private fraud alleged	N/A	Closed	No aspect to refer
659	Alleged loan scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
660	Alleged tax fraud	None; private fraud alleged	Possible recovery of unpaid taxes	Referred to MRS	To be determined by MRS
661	Alleged internet scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
662	Municipal complaint	None	N/A	Closed - responded to complainant	Discussed options with complainant
663	Alleged telephone scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG

Complaint No.	Nature of Complaint	Agency Complained of:	Potential Impact on Government:	Action taken by the Office of the State Auditor:	Substantiation of Complaint:
664	Alleged benefit fraud / unreported income	None; private fraud alleged	Possible recovery of inappropriate benefits /unpaid taxes	Closed	Permission to refer not obtained
665	Alleged telephone scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
666	Text message scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
667	Alleged telephone scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
668	Alleged benefit fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DHHS	To be determined by DHHS
669	Alleged benefit / tax Fraud	None; private fraud alleged	Possible recovery of inappropriate benefits/unpaid taxes	Referred to DHHS	To be determined by DHHS
670	Alleged unemployment fraud	None; private fraud alleged	N/A	Closed	Complainant had no direct involvement or evidence of any wrongdoing
671	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DHHS	To be determined by DHHS
672	Possible online dating scam	None; private fraud alleged	N/A	Closed - No fraud alleged	No aspect to refer
673	Sales/use tax non-filing	None; private fraud alleged	Possible recovery of unpaid taxes	Referred to MRS	To be determined by MRS
674	Alleged telephone scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG

Complaint No.	Nature of Complaint	Agency Complained of:	Potential Impact on Government:	Action taken by the Office of the State Auditor:	Substantiation of Complaint:
675	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL
676	Alleged email scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
677	Alleged internet scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
678	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL
679	Alleged benefit fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DHHS	To be determined by DHHS
680	Alleged harassment /abuse of power	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
681	Alleged telephone scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
682	Alleged telephone scam	None; private fraud alleged	N/A	Closed - referred to AG	To be determined by AG
683	Suspicious income	None; private fraud alleged	N/A	Closed - No evidence of fraud provided	No aspect to refer
684	Alleged email scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
685	Alleged telephone scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
686	Alleged benefit fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DHHS	To be determined by DHHS

Complaint No.	Nature of Complaint	Agency Complained of:	Potential Impact on Government:	Action taken by the Office of the State Auditor:	Substantiation of Complaint:
687	Alleged unemployment fraud	None; private fraud alleged	Possible recovery of inappropriate benefits	Referred to DOL	To be determined by DOL
688	Alleged telephone scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
689	Alleged mail fraud	None; private fraud alleged	N/A	Closed - claimant already in touch with DOL	To be determined by DOL
690	Private payroll scam	None; private fraud alleged	N/A	Closed	No aspect to refer
691	Alleged telephone scam	None; private fraud alleged	N/A	Referred to AG	To be determined by AG
692	Alleged municipal corruption	None	N/A	Closed - municipal issue	Discussed options with complainant
693	Alleged PPP Loan fraud	None; private fraud alleged	N/A	Closed - insufficient information	No response to follow up inquiry
694	Unreported income/potential non-filer	None; private fraud alleged	Possible recovery of unpaid taxes	Referred to MRS	To be determined by MRS
695	Marital dispute	None; private fraud alleged	N/A	Closed	No aspect to refer
696	Estate dispute	None; private fraud alleged	N/A	Closed - no firsthand knowledge of fraud	No aspect to refer
697	Alleged fundraising scam	None; private fraud alleged	N/A	Closed	No aspect to refer