



NERIA R. DOUGLASS, JD, CIA  
STATE AUDITOR

## STATE OF MAINE DEPARTMENT OF AUDIT

66 STATE HOUSE STATION  
AUGUSTA, MAINE 04333-0066

TEL: (207) 624-6250  
FAX: (207) 624-6273

**RICHARD H. FOOTE, CPA**  
DEPUTY STATE AUDITOR  
**MARY GINGROW-SHAW, CPA**  
SINGLE AUDIT COORDINATOR  
**MICHAEL J. POULIN, CIA**  
DIRECTOR OF AUDIT and ADMINISTRATION

**Honorable John Elias Baldacci**  
Governor of the State of Maine

**Honorable Elizabeth H. Mitchell**  
President of the Senate

**Honorable Hannah M. Pingree**  
Speaker of the House

### **MAINE STATE AUDITOR'S FRAUD HOTLINE**

**<http://www.maine.gov/audit/fraud/fraud.htm>**

#### **June 2010 Semi-annual Report**

**Period 01/01/10 to 06/31/10**

**Total number of complaints received: 13**

**Complaint sequence: Complaints 30 to 42**

**Number of referrals of fraud or other criminal conduct to the Attorney General: 3**

**Number of referrals of agency performance issues to the Office of Program Evaluation and Government Accountability: 0**

**Number of investigations by the State Auditor:   Opened: 13**  
**Completed/Closed: 13**  
**Pending: 0**

**Complaints about private individuals referred: 1**  
**Referred to Fraud Investigation and Recovery Unit of DHHS (FIRU): 1**

### **Complaint #30**

**Nature of Complaint:** Complainant alleged that a specific State worker improperly billed for travel, repeating earlier complaints made in 2009.

**Office, bureau, or division within department or agency that is subject of complaint:** The complaint is personal against a co-worker.

**Determination of potential cost savings:** Not applicable. Funds may be recovered from private individual.

**Recommended action:** This matter has been investigated by the Office of the State Controller. Case closed by Maine Audit.

**Statement as to degree complaint has been substantiated:** This complaint concerns a specific individual, not a department or agency of State government.

### **Complaint #31**

**Nature of Complaint:** Complainant alleged that private individual at mental health treatment facility cut off his own hand and does not deserve any benefits.

**Office, bureau, or division of department that is subject of complaint:** Not applicable.

**Determination of potential cost savings:** Not applicable.

**Recommended action:** Insufficient information was given for review of private matter. Case closed by Maine Audit.

**Statement as to degree complaint has been substantiated:** Not applicable.

### **Complaint #32**

**Nature of Complaint:** Complainant alleged that a private individual gets general assistance for rent from a city in Maine but could work more hours.

**Office, bureau, or division of department that is subject of complaint:** Not applicable. The complaint is against a private individual and city general assistance department.

**Determination of potential cost savings:** Not applicable.

**Recommended action:** Information given to city in question. Case closed by Maine Audit.

**Statement as to degree complaint has been substantiated:** Not applicable. Complaint concerns private individual's benefits from city government, not State government.

**Complaint #33**

**Nature of Complaint:** Complainant stated her email address was stolen to obtain money from email contacts, who were told she was stranded and needed money wired to London.

**Office, bureau, or division of department that is subject of complaint:** Not applicable.

**Determination of potential cost savings:** Not applicable.

**Recommended action:** Referred to Attorney General's Office. Case closed by Maine Audit.

**Statement as to degree complaint has been substantiated:** Not applicable. Matter is private.

**Complaint #34**

**Nature of Complaint:** Complainant alleged wrongful termination from private employment.

**Office, bureau, or division of department that is subject of complaint:** Not applicable.

**Determination of potential cost savings:** Not applicable.

**Recommended action:** State Auditor's Hotline does not give out legal advice. Case closed.

**Statement as to degree complaint has been substantiated:** Not applicable.

**Complaint #35**

**Nature of Complaint:** Complainant wanted information about the Department of Health and Human Services rates for home providers of mental health services to increase payments to him.

**Office, bureau, or division of department that is subject of complaint:** Not applicable.

**Determination of potential cost savings:** Not applicable.

**Recommended action:** Not a report on fraud, waste or abuse. Case closed by Maine Audit.

**Statement as to degree complaint has been substantiated:** Not applicable.

**Complaint #36**

**Nature of Complaint:** Complainant alleged that private individual received State assistance but had moved out of State.

**Office, bureau, or division of department that is subject of complaint:** Not applicable.

**Determination of potential cost savings:** Not applicable. Assistance funds might be recovered.

**Recommended action:** Referred to Fraud Investigation and Recovery Unit (FIRU) of the Department of Health and Human Services. Case closed by Maine Audit.

**Statement as to degree complaint has been substantiated:** Not applicable. Matter is private.

**Complaint #37**

**Nature of Complaint:** Complainant alleged that a suspicious caller wanted credit card information to pay an outstanding bill.

**Office, bureau, or division of department that is subject of complaint:** Not applicable.

**Determination of potential cost savings:** Not applicable.

**Recommended action:** Referred to Attorney General's Office. Case closed by Maine Audit.

**Statement as to degree complaint has been substantiated:** Not applicable. Matter is private.

**Complaint #38**

**Nature of Complaint:** Complainant alleged that activities in Brooklyn, NY created an unsafe environment for residents.

**Office, bureau, or division of department that is subject of complaint:** Not applicable.

**Determination of potential cost savings:** Not applicable.

**Recommended action:** The State Auditor's Fraud Hotline in Maine is not meant to handle this type of complaint. Case closed by Maine Audit

**Statement as to degree complaint has been substantiated:** Not applicable.

**Complaint #39**

**Nature of Complaint:** Complainant alleged wrongful termination by private employer.

**Office, bureau, or division of department that is subject of complaint:** Not applicable.

**Determination of potential cost savings:** Not applicable.

**Recommended action:** The State Auditor's Fraud Hotline does not give out legal advice. Case closed by Maine Audit.

**Statement as to degree complaint has been substantiated:** Not applicable.

#### **Complaint #40**

**Nature of Complaint:** Complainant alleged that a suspicious telephone caller requested personal information in response to a chance taken for various prizes.

**Office, bureau, or division of department that is subject of complaint:** Not applicable. The matter is private.

**Determination of potential cost savings:** Not applicable.

**Recommended action:** The State Auditor's Fraud Hotline is not meant to handle this type of complaint. Case closed by Maine Audit

**Statement as to degree complaint has been substantiated:** Not applicable.

#### **Complaint #41**

**Nature of Complaint:** Complainant alleged that town selectmen do not have a "public book" and charged someone for property twice.

**Office, bureau, or division within department or agency that is subject of complaint:** Not applicable. The complaint is personal against town selectmen.

**Determination of potential cost savings:** Not applicable.

**Recommended action:** The State Auditor's Fraud Hotline is not meant to handle this type of complaint, and insufficient information was given. Case closed by Maine Audit

**Statement as to degree complaint has been substantiated:** Not applicable.

#### **Complaint #42**

**Nature of Complaint:** Complainant stated that an email said a friend had been mugged and needed money wired to London. The friend called to say it was a fraud and called local police.

**Office, bureau, or division within department or agency that is subject of complaint:** Not applicable. The matter is a private email fraud.

**Determination of potential cost savings:** Not applicable.

**Recommended action:** Complaint was referred to Attorney General's Office. Case closed by Maine Audit.

**Statement as to degree complaint has been substantiated:** Not applicable. Matter is a private fraud.