

RE: DOUGLAS MITCHELL

)
)
)
)
)
)

CONSENT AGREEMENT
Docket No. MCINS 98-03

This document is a Consent Agreement, authorized by 5 M.R.S.A. §9053(2) entered into by and among Douglas Mitchell and the Staff of the Maine Bureau of Insurance. The purpose is to resolve, without resort to an adjudicatory proceeding, issues relative to the above producer's authority to act as an insurance producer in the State of Maine.

STIPULATIONS

1. Douglas Mitchell, 27 Gorham Road, Suite 9, Scarborough, ME, was first licensed as a Maine resident insurance producer, license #45064.
2. Douglas Mitchell is appointed to represent Nationwide Insurance Company.
3. The **Superintendent of Insurance** (hereinafter, "Superintendent") is the official charged with administering and enforcing Maine's insurance laws and regulations.
4. Douglas Mitchell acknowledges that if this matter were to go to hearing, the hearing officer could find, by a preponderance of the evidence, that he engaged in the following activity in violation of the cited Maine law:
 1. Mitchell sent a direct mail solicitation without knowing that it could amount to a misleading advertisement in violation of Title 24-A M.R.S.A. § 2154.

COVENANTS

5. Douglas Mitchell and the Staff of the Maine Bureau of Insurance agree to the following:
 - a. The Superintendent may issue a **Consent Order** in this matter in the form agreed upon by the parties. (A copy is attached);
 - b. Douglas Mitchell will pay a penalty within 14 days of the effective date of the **Consent Order** in the amount of five hundred dollars (\$500.00) to the Maine Bureau of Insurance made payable to the Treasurer of the State of Maine; and
 - c. The **Consent Order** shall be final upon execution by the Superintendent and conclusive between the parties as to all matters which were subject to the investigation.

MISCELLANEOUS

6. Douglas Mitchell understands and acknowledges that this Agreement will constitute a public record within the meaning of 1 M.R.S.A. § 402 and will be available for public inspection and copying as provided for by 1 M.R.S.A. § 408.

This **Consent Order** shall be final upon execution and conclusive between the parties as to all matters which were subject to the investigation.

PER ORDER OF THE SUPERINTENDENT, THE EFFECTIVE DATE OF THIS ORDER IS _____.

Dated:

Alessandro A. Iuppa
Superintendent of Insurance