

STATE OF MAINE
DEPARTMENT OF PROFESSIONAL AND FINANCIAL REGULATION
BUREAU OF INSURANCE

In re: Dianne-Lynn McLester-Heim

Maine License Number: PRN364870

National Producer No. 19663384

Docket No. INS-21-201

**LICENSE REVOCATION
NOTICE, ORDER,
and
OPPORTUNITY FOR
HEARING**

NOTICE TO DIANNE-LYNN MCLESTER-HEIM:

Effective as of **June 28, 2021** your Maine nonresident producer license will be **REVOKED** without further formal proceedings unless you request a hearing as specified below. The reasons for your license revocation are as follows:

1. On January 12, 2021, you notified the Maine Bureau of Insurance (the Bureau) that you were indicted on one count of Theft of Government Property, 18 U.S.C. § 641. The indictment was issued on December 15, 2020 and charged that, between August 9, 2019, and January 2, 2020, you willfully and knowingly stole, embezzled, and converted \$12,509.59 in medical reimbursement claims to which you were not entitled, paid by the Department of Health and Human Services.
2. On or around February 9, 2021, the state of Florida issued a temporary suspension of your insurance license for having been indicted on felony charges involving embezzlement. This administrative action was not reported to the Bureau as required by 24-A M.R.S. § 1420-P(1).
3. On or around March 3, 2021, your home state of Wisconsin suspended your insurance license in connection with the conduct described in Paragraph 1. The suspension was for making false or misleading communications in the insurance business and for violations related to the insurance intermediary obligations of competence and trustworthiness. This administrative action was not reported to the Bureau as required by 24-A M.R.S. § 1420-P(1).
4. On April 14, 2021, the state of Indiana suspended your insurance license for failing to respond to a Commissioner request for a full and complete listing of each insurer with which you held an appointment during the preceding year. This administrative action was not reported to the Bureau as required by 24-A M.R.S. § 1420-P(1).
5. On May 11, 2021, the state of Idaho revoked your nonresident producer license for having your home state license suspended.
6. On May 17, 2021, the state of Washington revoked your insurance license due your failure to report the Florida administrative action, for failing to respond to Department inquiries, and for having your nonresident license suspended in another state.
7. As described in Paragraphs 2-6, your insurance producer license has been suspended or revoked in five states. This represents grounds for the revocation of your Maine insurance producer

license pursuant to 24-A M.R.S. § 1420-K(1)(I) for having an insurance producer license, or its equivalent, denied, suspended or revoked in any other state, province, district or territory

8. As described in Paragraphs 2-4, your failure to report these state actions within the statutory timeframe represents grounds for the revocation of your Maine insurance producer license pursuant to 24-A M.R.S. § 1420-P(1) and 1420-K(1)(B) for violating insurance laws.

9. As described in Paragraph 3, you no longer hold an active home state license. This means that you are no longer qualified to hold a Maine nonresident insurance producer license in accordance with 24-A M.R.S. §§ 1417(1-A) and 1420-G(1).

Therefore, based upon the above grounds, your nonresident producer license is revoked as of **June 28, 2021** pursuant to 24-A M.R.S. §§ 1417(1) and 1420-K, subject to your right to request a hearing. Under the Maine Insurance Code, specifically 24-A M.R.S. § 1417(1) and § 1420-K, you have the right to a hearing before the Superintendent or his designee to appeal this action. If you request a hearing, you will have the right to present evidence and arguments in your defense.

If you request a hearing, you will receive further communication regarding scheduling. The matters to be determined through the hearing process are whether you committed one or more of the violations listed above, and if so, the appropriate sanctions for those violations. The sanctions imposed after a hearing can include any available remedy under applicable laws, including the imposition of civil penalties.

To request a hearing, you must notify the Bureau of Insurance in writing no later than **June 28, 2021**. If you do not file a written request for a hearing within 30 days from the time you knew or reasonably should have known of this act through this Notice and Order, you will lose your right to request a hearing on this matter. Pursuant to 24-A M.R.S. § 213(3), this Notice from the Superintendent to you shall be deemed to have been given when deposited in a mail depository of the United States Post Office.

Any request for a hearing, as well as all other communications regarding this Notice, Order, and Opportunity for Hearing must be addressed to Bureau Licensing Attorney Lindsay J. Laxon, Bureau of Insurance, #34 State House Station, Augusta, Maine 04333-0034 (for US Postal Service deliveries) or 76 Northern Avenue, Gardiner, Maine 04345 (for private carrier deliveries, such as FedEx or UPS). You may also reach Ms. Laxon by e-mail at Lindsay.J.Laxon@maine.gov or by telephone at (207) 624-8429.

May 28, 2021



Eric A. Cioppa
Superintendent of Insurance