**February 10, 2003**
**Supersedes January 01, 2003**

## BEFORE SUBMITTING YOUR BID

1. **Use pen and ink to complete the Bid.**

2. **Have you signed and completed the Contract Agreement, Offer & Award Forms?**

3. **As a minimum, the Bidder will submit a Bid Package consisting of the Notice to Contractors, the completed Acknowledgement of Bid Amendments & Submission of Bid Bond Validation Number form, the completed Schedule of Items, 2 copies of the completed Agreement, Offer, & Award form, a Bid Bond or Bid Guarantee, and any other Certifications or Bid Requirements listed in the Bid Book.**

4. **Have you included prices for all Bid Items? ("Zero is not considered a bid price.")**

5. **Have you included a bid guarantee? Acceptable forms are:**

   A. **Bid Bond on the Department's prescribed form for 5% of the Bid Amount. (Or forms that do not contain any significant variations from the Department's forms as solely determined by the Department.)**

   B. **Official Bank Check, Cashier's Check, Certified Check, U.S. Postal Money Order or Negotiable Certificate of Deposit in the amount stated in the Notice to Contractors.**

6. **If the written Bid is to be sent, Federal Express overnight delivery is suggested as the package is delivered directly to the DOT Headquarters Building in Winthrop. Other means, such as U.S. Postal Services' Express Mail has proven not to be reliable.**

**AND FOR FEDERAL AID PROJECTS**

7. **Have you included your DBE Utilization commitment in the proper amounts and signed the DBE Certification?**

**If you need further information regarding Bid preparation, call the DOT Contracts Section at (207)624-3430.**

**For complete specifications regarding bidding requirements, refer to Section 102 of the Maine Department of Transportation, Standard Specifications, Revision December 2002.**

February 11, 2003

# NOTICE

**The Maine Department of Transportation is attempting to improve the way Bid Amendments/Addendums are handled, and allow for an electronic downloading of bid packages from our website, while continuing to maintain a planholders list.**

**Prospective bidders, subcontractors or suppliers who wish to download a copy of the bid package and receive a courtesy notification of project specific bid amendments, must provide an email address to Diane Barnes at the MDOT Contracts mailbox at: MDOT.contracts@maine.gov. Each bid package will require a separate request. Please provide us an email address, so we can maintain the planholders list that both the industry and MDOT uses.**

**Additionally, the new Acknowledgement of Bid Amendment form will be placed in MDOT bid packages beginning with the 2/12/03 advertisements. After that date, interested parties will be responsible for reviewing and retrieving the Bid Amendments from our web site, and acknowledging receipt and incorporating those Bid Amendments in their bids.**

The downloading of bid packages from the MDOT website is not the same as providing an electronic bid to the Department. Electronic bids must be submitted via **http://www.BIDX.com**. For information on electronic bidding contract Rebecca Pooler at **rebecca.pooler@maine.gov**.

# STATE OF MAINE DEPARTMENT OF TRANSPORTATION

Bid Guaranty-Bid Bond Form

**KNOW ALL MEN BY THESE PRESENTS THAT**_____

_____, of the City/Town of _____and State of_____

as Principal, and _____as Surety, a

Corporation duly organized under the laws of the State of_____and having a usual place of

Business in _____and hereby held and firmly bound unto the Treasurer of

the State of Maine in the sum of_____,for payment which Principal and Surety bind

themselves, their heirs, executers, administrators, successors and assigns, jointly and severally.

The condition of this obligation is that the Principal has submitted to the Maine Department of Transportation, hereafter Department, a certain bid, attached hereto and incorporated as a part herein, to enter into a written contract for the construction of _____

_____

_____and if the Department shall accept said bid and the Principal shall execute and deliver a contract in the form attached hereto (properly completed in accordance with said bid) and shall furnish bonds for this faithful performance of said contract, and for the payment of all persons performing labor or furnishing material in connection therewith, and shall in all other respects perform the agreement created by the acceptance of said bid, then this obligation shall be null and void; otherwise it shall remain in full force, and effect.

Signed and sealed this_____day of _____20_____

WITNESS:

_____

_____

_____

WITNESS

_____

_____

_____

PRINCIPAL:

By_____

By:_____

By:_____

SURETY:

By_____

By:_____

Name of Local Agency: _____

SAMPLE

# NOTICE

For security and other reasons, all Bid Packages which are mailed, shall be provided in double (one envelope inside the other) envelopes. The *Inner Envelope* shall have the following information provided on it:

Bid Enclosed - Do Not Open
PIN:
Town:
Date of Bid Opening:
Name of Contractor with mailing address and telephone number:

In Addition to the usual address information, the *Outer Envelope* should have written or typed on it:

Double Envelope: Bid Enclosed
PIN:
Town:
Date of Bid Opening:
Name of Contractor:
*This should not be much of a change for those of you who use Federal Express or similar services.*

Hand-carried Bids may be in one envelope as before, and should be marked with the following infrormation:

Bid Enclosed: Do Not Open
PIN:
Town:
Name of Contractor:

# INSTRUCTIONS FOR PREPARING THE CONTRACTOR'S DISADVANTAGED BUSINESS ENTERPRISE UTILIZATION PLAN

The Contractor Shall:

1. Submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan to the Contract's Engineer by 4:30 P.M. on the Bid day.

2. Extend equal opportunity to MDOT certified DBE firms (as listed in MDOT's DBE Directory of Certified Businesses) in the selection and utilization of Subcontractors and Suppliers.

SPECIFIC INSTRUCTIONS FOR COMPLETING THE FORM:

Insert Contractor name, the name of the person(s) preparing the form, and that person(s) telephone and fax number.

Provide total Bid price, Federal Project Identification Number, and location of the Project work.

In the columns, name each DBE firm to be used, provide the Unit or Item cost of the Work/Product to be provided by the DBE firm, give a brief description of the Work, and the dollar value of the Work.

If no DBE firm is to be utilized, the Contractor must document the reason(s) why no DBE firms are being used. Specific supporting evidence of good faith efforts taken by Contractors to solicit DBE Bidders must be attached. This evidence, as a minimum, includes phone logs, e-mail and/or mail DBE solicitation records, and the documented results of these solicitations.

# NOTICE

The Department has revised the Disadvantaged Business Enterprise Proposed Utilization form and the procedure that has been used for the past several months for Contractors to submit the form.

The Apparent Low Bidder now must submit the form by close of Business (4:30 P.M.) on Bid day.

The new Contractor's Disadvantaged Business Enterprise Proposed Utilization Plan form contains additional information that is required by USDOT.

The Disadvantaged Business Enterprise Proposed Utilization Plan form will no longer be used. The new Contractor's Disadvantaged Business Enterprise Proposed Utilization Plan form must be used.

A copy of the new Contractor's Disadvantaged Business Enterprise Proposed Utilization Plan and instructions for completing it are attached.

Note: Questions about DBE firms, or to obtain a printed copy of the DBE Directory, contact Equal Opportunity at (207) 624-3066.

MDOTs DBE Directory of Certified firms can also be obtained at http://www.state.me.us/mdot/humnres/o_equalo/cdwbed_h.htm

# NOTICE

Bidders:

Please use the attached “Request for Information” form when faxing questions and comments concerning specific Contracts that have been Advertised for Bid. Include additional numbered pages as required.

State of Maine
Department of Transportation

# REQUEST FOR INFORMATION

Date _____ Time _____

**Information Requested:** **PIN:** _____ **Town(s):** _____

_____

_____

_____

_____

_____

_____

_____

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_____

**Request by:** _____ **Phone: (_____)**_____
**Bid Date:** _____ **Fax: (_____)**_____

**Complete top portion of form and transmit to the number listed in the Notice to Contractors**

**RFI No:** _____ **RFI received:** _____

**Response:**_____

_____

_____

_____

_____

_____

_____

_____

_____

_____

_____

Response By:_____ Date: _____

# CONTRACTOR'S DISADVANTAGED BUSINESS ENTERPRISE PROPOSED UTILIZATION PLAN

**Low Bidder shall furnish completed form to Contracts Section by 4:30 P.M. on Bid Opening day.**

**TO: MDOT Contracts Section**
**16 State House Station,**
**Augusta, Me 04333-0016**
**or**
**Fax: 207-624-3431**

**Contractor: _____**
**Prepared by: _____**

**Telephone: _____ Fax: _____**

**BID PRICE: $_____FEDERAL PROJECT #_____LOCATION: _____**

**TOTAL DBE PARTICIPATION AS A PERCENT OF TOTAL BID PRICE = _____%**

| DBE Firm* | Unit/Item Cost | Unit # | Description of work & Item Number | Actual $ Value |
|---|---|---|---|---|
| | | | | |
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| | | | | |
| | | | **Total >** | |

**If no DBE firm(s) are used, bidder must document efforts made to secure DBE participation and attach supporting evidence of this effort:**
_____
_____.

**Examples: Bidder relies wholly upon low quote subcontractor section, DBE firm(s) were not low quote. No DBE firms bid.**

***Only DBE firms certified by MDOT prior to bidding can be utilized by Contractor for DBE credit. Directory of certified DBEs is available on MDOT's website: www.state.me.us/mdot**

Equal Opportunity Use:

Plan received ___/___/___ Verified by: _____ Action: _____

**Office of Human Resources**

**Equal Opportunity**

# MAINE DEPARTMENT OF TRANSPORTATION

Certified Disadvantaged and Women Business Enterprise

DBE DIRECTORY - MINORITY OWNED

WBE DIRECTORY - WOMEN OWNED

WEBSITE FOR DIRECTORY CAN BE FOUND AT:
http://www.state.me.us/mdot/humnres/o_equalo/cdwbed_h.htm

*It is the responsibility of the Contractor to access the DBE Directory at this site in order to have the most current listings.*

# STATE OF MAINE DEPARTMENT OF TRANSPORTATION
# NOTICE TO CONTRACTORS

Sealed Bids addressed to the Maine Department of Transportation, Augusta, Maine 04333 and endorsed on the wrapper "Bids for Highway Improvements in the town of **Lisbon**" will be received from contractors at the Reception Desk, Temporary Transportation Building in Winthrop, Maine, until 11:00 o'clock A.M. (prevailing time) on July 9, 2003 , and at that time and place publicly opened and read. Bids will be accepted only from contractors prequalified by the Department of Transportation for Highway Construction projects. All other Bids will be rejected. **MDOT is currently transitioning to provide for the option of electronic bidding. We now accept electronic bids for those bid packages posted on our electronic bid website. Electronic bids do not have to be accompanied by paper bids. Please note: the Department will accept a facsimile of the bid bond for the electronic bid; however, the original bid bond must then be received at the MDOT Contract Section within 72 hours of the bid opening. During this transition, dual bids (one paper, one electronic) will be accepted, with the paper copy taking precedence. For those who chose to submit a paper bid alone, nothing has changed.**

Description: Maine Federal Aid Project No. STP-8816(20)X, PIN. 8816.20

Location: In Androscoggin County, project is located on Rte. 125 beginning approx. 0.19 km north of Gould Rd. and extending north approx. 1.027 km.

Outline of Work: Grading, drainage, base, hot mix asphalt, recycled pavement, and other incidental work.

**The basis of award will be Section 0001.**

For general information regarding Bidding and Contracting procedures, contact Bruce Carter at (207)624-3430. Our webpage at http://www.state.me.us/mdot/project/design/homepg.htm contains a copy of the schedule of items, Plan Holders List, written portions of bid amendments (not drawings), and bid results. For Project-specific information fax all questions to **Project Manager** Heath Cowan at (207)624-3481. Questions received after 12:00 noon of Monday prior to bid date will not be answered. Bidders shall not contact any other Departmental staff for clarification of Contract provisions, and the Department will not be responsible for any interpretations so obtained. Hearing impaired persons may call the Telecommunication Device for the Deaf at (207)287-3392.

Plans, specifications and bid forms may be seen at the Maine Department of Transportation, Temporary Transportation Building in Winthrop, Maine and at the Department of Transportation's Division VII Office in Dixfield. They may be purchased from the Department between the hours of 8:00 a.m. to 4:30 p.m. by cash, credit card (Visa/Mastercard) or check payable to Treasurer, State of Maine sent to Maine Department of Transportation, Attn.: Mailroom, 16 State House Station, Augusta, Maine 04333-0016. They also may be purchased by telephone at (207)624-3536 between the hours of 8:00 a.m. to 4:30 p.m. Full size plans $36.00 ($41.00 by mail). Half size plans $18.00 ($22.00 by mail), Bid Book $10 ($13 by mail), Single Sheets $2, payment in advance, all non-refundable.

Each Bid must be made upon blank forms provided by the Department and must be accompanied by a bid bond at 5% of the bid amount or an official bank check, cashier's check, certified check, certificate of deposit, or United States postal money order in the amount of $20,000.00 payable to Treasurer, State of Maine as a Bid guarantee. A Contract Performance Surety Bond and a Contract Payment Surety Bond, each in the amount of 100 percent of the Contract price, will be required of the successful Bidder.

This Contract is subject to all applicable Federal Laws. This contract is subject to compliance with the Disadvantaged Business Enterprise program requirements as set forth by the Maine Department of Transportation.

All work shall be governed by "State of Maine, Department of Transportation, Standard Specifications, Revision of December 2002", price $10 [$13 by mail], and Standard Details, Revision of December 2002, price $20 [$25 by mail]. Standard Detail updates can be found at http://www.state.me.us/mdot/project/design/homepg.htm

The right is hereby reserved to the MDOT to reject any or all bids.

Winthrop, Maine
June 25, 2003

STATE OF MAINE
JOHN E. DORITY
No. 2093
REGISTERED PROFESSIONAL ENGINEER

JOHN E. DORITY
CHIEF ENGINEER

Lisbon
8816(20)X
June 9, 2003

**ACKNOWLEDGMENT OF BID AMENDMENTS**
**&**
**SUBMISSION OF BID BOND VALIDATION NUMBER (IF APPLICABLE)**

With this form, the Bidder acknowledges its responsibility to check for all Amendments to the Bid Package. For each Project under Advertisement, Amendments are located at http://www.state.me.us/mdot/project/design/schedule.htm. It is the responsibility of the Bidder to determine if there are Amendments to the Project, to download them, and to incorporate them into their Bid Package. The Maine DOT will not post Bid Amendments any later than noon the day before Bid opening.

| Amendment Number | Date |
|---|---|
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The Contractor, for itself, its successors and assigns, hereby acknowledges that it has received all of the above referenced Amendments to the Bid Package. Failure to acknowledge receipt of all Amendments to the Bid Package will be considered a Non-curable Bid Defect in accordance with Section 102.11.1 of the Standard Specifications, Revision of December 2002.

CONTRACTOR

_____
Date

_____
Signature of authorized representative

_____
(Name and Title Printed)

**Bid Bond Validation Number** _____
**(Applicable to annual bid bonds or electronic bid bonds.)**

MAINE DEPARTMENT OF TRANSPORTATION

BID

DATE OF OPENING : CALL ORDER :

CONTRACT ID : 008816.20

PROJECTS

---

STP-8816(20)X

COUNTY : ANDROSCOGGIN

CONTRACT ID: 008816.20 PROJECT(S): STP-8816(20)X

CONTRACTOR :_____

| LINE NO | ITEM DESCRIPTION | APPROX. QUANTITY AND UNITS | UNIT PRICE DOLLARS | UNIT PRICE CTS | BID AMOUNT DOLLARS | BID AMOUNT CTS |
|---|---|---|---|---|---|---|
| | SECTION 0001 HIGHWAY ITEMS | | | | | |
| 0010 | 201.24 REMOVING STUMP | 12.000 EA | | | | |
| 0020 | 202.203 PAVEMENT BUTT JOINTS | 70.000 M2 | | | | |
| 0030 | 203.20 COMMON EXCAVATION | 4500.000 M3 | | | | |
| 0040 | 206.061 STRUCTURAL EARTH EXCAVATION - DRAINAGE AND MINOR STRUCTURES, BELOW GRADE | 100.000 M3 | | | | |
| 0050 | 304.10 AGGREGATE SUBBASE COURSE - GRAVEL | 10500.000 M3 | | | | |
| 0060 | 307.32 FULL DEPTH RECYCLED PAVEMENT(UNTREATED MAINELINE TRAVELWAY) | 6800.000 M2 | | | | |
| 0070 | 403.207 HOT MIX ASPHALT 19.0 MM NOMINAL MAX SIZE | 1600.000 MG | | | | |
| 0080 | 403.208 HOT MIX ASPHALT 12.5 MM, SURFACE | 1100.000 MG | | | | |
| 0090 | 403.209 HOT MIX ASPHALT 9.5 MM(SIDEWALKS,DRIVES, INCIDENTAL ) | 26.000 MG | | | | |

CONTRACT ID: 008816.20 PROJECT(S): STP-8816(20)X

CONTRACTOR :_____

| LINE NO | ITEM DESCRIPTION | APPROX. QUANTITY AND UNITS | UNIT PRICE DOLLARS | UNIT PRICE CTS | BID AMOUNT DOLLARS | BID AMOUNT CTS |
|---|---|---|---|---|---|---|
| 0100 | 409.15 BITUMINOUS TACK COAT APPLIED | 7.000 L | | | | |
| 0110 | 603.159 300 MM CULVERT PIPE OPTION III | 7.000 M | | | | |
| 0120 | 603.16 375 MM CULVERT PIPE OPTION I | 27.000 M | | | | |
| 0130 | 603.17 450 MM CULVERT PIPE OPTION I | 38.000 M | | | | |
| 0140 | 603.179 450 MM CULVERT PIPE OPTION III | 6.000 M | | | | |
| 0150 | 603.199 600 MM CULVERT PIPE OPTION III | 15.000 M | | | | |
| 0160 | 604.092 CATCH BASIN TYPE B1-C | 4.000 EA | | | | |
| 0170 | 604.244 CATCH BASIN TYPE F4 | 2.000 EA | | | | |
| 0180 | 605.09 150 MM UNDERDRAIN TYPE B | 450.000 M | | | | |
| 0190 | 605.10 150 MM UNDERDRAIN OUTLET | 32.000 M | | | | |
| 0200 | 605.11 300 MM UNDERDRAIN TYPE C | 81.000 M | | | | |

CONTRACT ID: 008816.20 PROJECT(S): STP-8816(20)X

CONTRACTOR :_____

| LINE NO | ITEM DESCRIPTION | APPROX. QUANTITY AND UNITS | UNIT PRICE DOLLARS | UNIT PRICE CTS | BID AMOUNT DOLLARS | BID AMOUNT CTS |
|---|---|---|---|---|---|---|
| 0210 | 605.12 375 MM UNDERDRAIN TYPE C | 140.000 M | | | | |
| 0220 | 605.38 TIRE SHRED FRENCH DRAIN | 220.000 M | | | | |
| 0230 | 606.35 GUARDRAIL DELINEATOR POST | 4.000 EA | | | | |
| 0240 | 609.31 CURB TYPE 3 | 390.000 M | | | | |
| 0250 | 610.08 PLAIN RIPRAP | 280.000 M3 | | | | |
| 0260 | 615.07 LOAM | 600.000 M3 | | | | |
| 0270 | 618.1301 SEEDING METHOD NUMBER 1 - PLAN QUANTITY | 14.000 UN | | | | |
| 0280 | 618.1401 SEEDING METHOD NUMBER 2 - PLAN QUANTITY | 75.000 UN | | | | |
| 0290 | 618.1411 SEEDING METHOD NUMBER 3 - PLAN QUANTITY | 16.000 UN | | | | |
| 0300 | 618.15 TEMPORARY SEEDING | 28.000 KG | | | | |
| 0310 | 619.1201 MULCH - PLAN QUANTITY | 200.000 UN | | | | |

CONTRACT ID: 008816.20 PROJECT(S): STP-8816(20)X

CONTRACTOR :_____

| LINE NO | ITEM DESCRIPTION | APPROX. QUANTITY AND UNITS | UNIT PRICE DOLLARS | UNIT PRICE CTS | BID AMOUNT DOLLARS | BID AMOUNT CTS |
|---|---|---|---|---|---|---|
| 0320 | 620.58 EROSION CONTROL GEOTEXTILE | 920.000 M2 | | | | |
| 0330 | 627.711 WHITE OR YELLOW PAINTED PAVEMENT MARKING LINE (PLAN QUANTITY ) | 3150.000 M | | | | |
| 0340 | 627.76 TEMPORARY PAVEMENT MARKING LINE, WHITE OR YELLOW | LUMP | LUMP | | | |
| 0350 | 629.05 HAND LABOR, STRAIGHT TIME | 16.000 HR | | | | |
| 0360 | 631.12 ALL PURPOSE EXCAVATOR (INCLUDING OPERATOR) | 8.000 HR | | | | |
| 0370 | 631.172 TRUCK - LARGE (INCLUDING OPERATOR) | 8.000 HR | | | | |
| 0380 | 631.22 FRONT END LOADER (INCLUDING OPERATOR) | 8.000 HR | | | | |
| 0390 | 637.071 DUST CONTROL | LUMP | LUMP | | | |
| 0400 | 639.18 FIELD OFFICE TYPE A | 1.000 EA | | | | |
| 0410 | 652.31 TYPE I BARRICADE | 10.000 EA | | | | |
| 0420 | 652.311 TYPE II BARRICADE | 10.000 EA | | | | |

CONTRACT ID: 008816.20 PROJECT(S): STP-8816(20)X

CONTRACTOR :_____

| LINE NO | ITEM DESCRIPTION | APPROX. QUANTITY AND UNITS | UNIT PRICE DOLLARS | UNIT PRICE CTS | BID AMOUNT DOLLARS | BID AMOUNT CTS |
|---|---|---|---|---|---|---|
| 0430 | 652.33 DRUM | 20.000 EA | | | | |
| 0440 | 652.34 CONE | 50.000 EA | | | | |
| 0450 | 652.35 CONSTRUCTION SIGNS | 40.000 M2 | | | | |
| 0460 | 652.361 MAINTENANCE OF TRAFFIC CONTROL DEVICES | LUMP | LUMP | | | |
| 0470 | 652.38 FLAGGER | 1400.000 HR | | | | |
| 0480 | 656.75 TEMPORARY SOIL EROSION AND WATER POLLUTION CONTROL | LUMP | LUMP | | | |
| 0490 | 657.24 SEEDING PITS | 71.000 UN | | | | |
| 0500 | 659.10 MOBILIZATION | LUMP | LUMP | | | |
| | SECTION 0001 TOTAL | | | | | |
| | TOTAL BID | | | | | |

# CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine, acting through and by its Department of Transportation (Department), an agency of state government with its principal administrative offices located at 1705 U.S. Route 202, Winthrop, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-0016, and **(Name of the firm bidding the job)** a corporation or other legal entity organized under the laws of the State of Maine, with its principal place of business located at **(address of the firm bidding the job)**

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

SAMPLE

**A. The Work.**

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, PIN No. **1224.00**, for the **Hot Mix Asphalt Overlay** in the town/city of **West Eastport**, County of **Washington**, Maine. The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

**B. Time.**

The Contractor agrees to complete all Work, except warranty work, on or before **November 15**, 2003**.** Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard Specifications, Revision of December 2002.

**C. Price.**

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is **____(Place bid here in alphabetical form such as One Hundred and Two dollars and 10 cents)**
**$_ (repeat bid here in numerical terms, such as $102.10)**_____ Performance Bond and Payment Bond each being 100% of the amount of this Contract.

**D. Contract.**

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, Revision of December 2002, Standard Details Revision of December 2002, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

**E. Certifications.**

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in Appendix A to Division 100 of the Standard Specifications Revision of December 2002 (Federal Contract Provisions Supplement), and the Contract are still complete and accurate as of the date of this Agreement.

2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.

3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

**F. Offer.**

SAMPLE

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications, Revision of December 2002, Standard Details Revision of December 2002, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of:

**_____PIN 1234.00 West Eastport, Hot Mix Asphalt Overlay_____,**
State of Maine, on which bids will be received until the time specified in the "Notice to Contractors" do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached "Schedule of Items".

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached "Schedule of Items" in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

SAMPLE

As Offeror also agrees:

First: To do any extra work, not covered by the attached "Schedule of Items", which may be ordered by the Resident, and to accept as full compensation the amount determined upon a "Force Account" basis as provided in the Standard Specifications, Revision of December 2002, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier's check, certificate of deposit or U. S. Postal Money Order in the amount given in the "Notice to Contractors", payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work on the date specified in the Engineer's "Notice to Commence Work" as stated in Section 107.2 of the Standard Specifications Revision of 2002 and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan by 4:30pm on the day of bid opening to the Contracts Engineer.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

CONTRACTOR

| | |
|---|---|
| _____ | **(Sign Here)**_____ |
| Date | (Signature of Legally Authorized Representative of the Contractor) |
| ____(**Witness Sign Here)**_____ | **(Print Name Here)**_____ |
| Witness | (Name and Title Printed) |

SAMPLE

**G. Award.**

Your offer is hereby accepted. This award consummates the Contract, and the documents referenced herein.

MAINE DEPARTMENT OF TRANSPORTATION

| | |
|---|---|
| _____ | _____ |
| Date | By: David A. Cole, Commissioner |

_____
(Witness)

# CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine, acting through and by its Department of Transportation (Department), an agency of state government with its principal administrative offices located at 1705 U.S. Route 202, Winthrop, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-0016, and _____
a corporation or other legal entity organized under the laws of the State of Maine, with its principal place of business located at _____
_____

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

**A. The Work.**

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, PIN No. **8816.20** for **Highway Improvements** in the town of **Lisbon**, County of **Androscoggin**, Maine. The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

**B. Time.**

The Contractor agrees to complete all Work, except warranty work, on or before **June 11, 2004.** Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard Specifications, Revision of December 2002.

**C. Price.**

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is _____

_____

**$**_____ Performance Bond and Payment Bond each being 100% of the amount of this Contract.

**D. Contract.**

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, Revision of December 2002, Standard Details Revision of December 2002 as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

**E. Certifications.**

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in Appendix A to Division 100 of the Standard Specifications Revision of December 2002 (Federal Contract Provisions Supplement), and the Contract are still complete and accurate as of the date of this Agreement.

2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.

3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

**F. Offer.**

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications Revision of December 2002, Standard Details Revision of December 2002 as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of:

**PIN. 8816.20 - Highway Improvements - in the town of Lisbon**,
State of Maine, on which bids will be received until the time specified in the "Notice to Contractors" do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached "Schedule of Items".

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached "Schedule of Items" in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached "Schedule of Items", which may be ordered by the Resident, and to accept as full compensation the amount determined upon a "Force Account" basis as provided in the Standard Specifications, Revision of December 2002, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier's check, certificate of deposit or U. S. Postal Money Order in the amount given in the "Notice to Contractors", payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work on the date specified in the Engineer's "Notice to Commence Work" as stated in Section 107.2 of the Standard Specifications Revision of December 2002 and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan by 4:30pm on the day of bid opening to the Contracts Engineer.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

CONTRACTOR

| | |
|---|---|
| _____ | _____ |
| Date | (Signature of Legally Authorized Representative of the Contractor) |
| _____ | _____ |
| Witness | (Name and Title Printed) |

**G. Award.**

Your offer is hereby accepted. This award consummates the Contract, and the documents referenced herein.

MAINE DEPARTMENT OF TRANSPORTATION

| | |
|---|---|
| _____ | _____ |
| Date | By: David A. Cole, Commissioner |
| _____ | |
| Witness | |

# CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine, acting through and by its Department of Transportation (Department), an agency of state government with its principal administrative offices located at 1705 U.S. Route 202, Winthrop, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-0016, and _____
a corporation or other legal entity organized under the laws of the State of Maine, with its principal place of business located at _____
_____

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

**A. The Work.**

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, PIN No. **8816.20** for **Highway Improvements** in the town of **Lisbon**, County of **Androscoggin**, Maine. The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

**B. Time.**

The Contractor agrees to complete all Work, except warranty work, on or before **June 11, 2004.** Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard Specifications, Revision of December 2002.

**C. Price.**

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is _____

_____

**$**_____ Performance Bond and Payment Bond each being 100% of the amount of this Contract.

**D. Contract.**

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, Revision of December 2002, Standard Details Revision of December 2002 as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

**E. Certifications.**

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in Appendix A to Division 100 of the Standard Specifications Revision of December 2002 (Federal Contract Provisions Supplement), and the Contract are still complete and accurate as of the date of this Agreement.

2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.

3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

**F. Offer.**

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications Revision of December 2002, Standard Details Revision of December 2002 as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of:

**PIN. 8816.20 - Highway Improvements - in the town of Lisbon**,
State of Maine, on which bids will be received until the time specified in the "Notice to Contractors" do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached "Schedule of Items".

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached "Schedule of Items" in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached "Schedule of Items", which may be ordered by the Resident, and to accept as full compensation the amount determined upon a "Force Account" basis as provided in the Standard Specifications, Revision of December 2002, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier's check, certificate of deposit or U. S. Postal Money Order in the amount given in the "Notice to Contractors", payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work on the date specified in the Engineer's "Notice to Commence Work" as stated in Section 107.2 of the Standard Specifications Revision of December 2002 and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan by 4:30pm on the day of bid opening to the Contracts Engineer.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

CONTRACTOR

| | |
|---|---|
| _____ | _____ |
| Date | (Signature of Legally Authorized Representative of the Contractor) |
| _____ | _____ |
| Witness | (Name and Title Printed) |

**G. Award.**

Your offer is hereby accepted. This award consummates the Contract, and the documents referenced herein.

MAINE DEPARTMENT OF TRANSPORTATION

| | |
|---|---|
| _____ | _____ |
| Date | By: David A. Cole, Commissioner |
| _____ | |
| Witness | |

BOND # _____

CONTRACT PERFORMANCE BOND
(Surety Company Form)

KNOW ALL MEN BY THESE PRESENTS: That _____ _____ **and the State of** _____, as principal, and…….....,
a corporation duly organized under the laws of the State of ..... and having a usual place of business ..... .....,
as Surety, are held and firmly bound unto the Treasurer of the State of Maine in the sum of _____ **and 00/100 Dollars ($ )**, to be paid said Treasurer of the State of Maine or his successors in office, for which payment well and truly to be made, Principal and Surety bind themselves, their heirs, executors and administrators, successors and assigns, jointly and severally by these presents.

The condition of this obligation is such that if the Principal designated as Contractor in the Contract to construct Project Number _____ in the Municipality of _____ promptly and faithfully performs the Contract, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

The Surety hereby waives notice of any alteration or extension of time made by the State of Maine.

Signed and sealed this ..... day of ....., 20….. .

| WITNESSES: | SIGNATURES: |
|---|---|
| | CONTRACTOR: |
| Signature..... | .....…..... |
| Print Name Legibly ..... | Print Name Legibly ...……..... |
| | SURETY: |
| Signature ..... | .....……..... |
| Print Name Legibly ..... | Print Name Legibly ..... |
| SURETY ADDRESS: | NAME OF LOCAL AGENCY: |
| ..... | ADDRESS ..... |
| ..... | ...……..... |
| ..... | .....……..... |
| TELEPHONE..... | .....……..... |

SAMPLE

BOND # _____

CONTRACT PAYMENT BOND
(Surety Company Form)

KNOW ALL MEN BY THESE PRESENTS: That _____ _____ **and the State of** _____, as principal, and.....….....
a corporation duly organized under the laws of the State of ..... and having a usual place of business in .....……., as Surety, are held and firmly bound unto the Treasurer of the State of Maine for the use and benefit of claimants as herein below defined, in the sum of _____ **and 00/100 Dollars ($ )** for the payment whereof Principal and Surety bind themselves, their heirs, executors and administrators, successors and assigns, jointly and severally by these presents.

The condition of this obligation is such that if the Principal designated as Contractor in the Contract to construct Project Number _____ in the Municipality of _____ promptly satisfies all claims and demands incurred for all labor and material, used or required by him in connection with the work contemplated by said Contract, and fully reimburses the obligee for all outlay and expense which the obligee may incur in making good any default of said Principal, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

A claimant is defined as one having a direct contract with the Principal or with a Subcontractor of the Principal for labor, material or both, used or reasonably required for use in the performance of the contract.

Signed and sealed this ..... day of ...……....., 20 ... .

| WITNESS: | SIGNATURES: |
|---|---|
| | CONTRACTOR: |
| Signature……..... | .....…..... |
| Print Name Legibly .....…….. | Print Name Legibly …..... |
| | SURETY: |
| Signature..... | ...…..... |
| Print Name Legibly ..... | Print Name Legibly …..... |
| SURETY ADDRESS: | NAME OF LOCAL AGENCY: |
| ...…..... | ADDRESS …..... |
| ..... | …….....…..... |
| TELEPHONE ..... | …….....…..... |

SAMPLE

GENERAL DECISION ME030003 06/13/03 ME3
General Decision Number ME030003

Superseded General Decision No. ME020003

State: Maine

Construction Type:
HIGHWAY

County(ies):
ANDROSCOGGIN CUMBERLAND

Highway Construction Projects Excluding Major Bridging (for example: bascule, suspension and spandrel arch bridges; those bridging waters presently navigating or to be navigable; and those involving marine construction in any degree); tunnels, building structures in rest area projects and railroad construction.

| Modification Number | Publication Date |
|---|---|
| 0 | 06/13/2003 |

COUNTY(ies):
ANDROSCOGGIN CUMBERLAND

SUME4025A 10/24/2000

| | Rates | Fringes |
|---|---|---|
| CARPENTERS | 11.30 | 1.95 |
| ELECTRICIANS | 17.90 | 2.30 |
| LABORERS | | |
| Flaggers | 6.00 | |
| Landscape | 7.99 | .72 |
| Unskilled | 8.69 | 1.08 |
| POWER EQUIPMENT OPERATORS | | |
| Backhoes | 12.39 | 2.00 |
| Bulldozers | 11.13 | 1.94 |
| Excavators | 11.24 | 1.31 |
| Loaders | 11.19 | 1.82 |
| Rollers | 10.16 | 1.56 |
| TRUCK DRIVERS | | |
| Dump | 9.02 | 1.39 |
| Two axle | 9.08 | 1.28 |

---

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

==========

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(ii)).

---

In the listing above, the "SU" designation means that rates listed under that identifier do not reflect collectively

bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

* an existing published wage determination
* a survey underlying a wage determination

* a Wage and Hour Division letter setting forth a position on a wage determination matter
* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

# SPECIAL PROVISION
# CONSTRUCTION AREA

A Construction Area located in the **Town of Lisbon** has been established by the Maine Department of Transportation in accordance with provisions of Title 29, Section 1703, Maine Revised Statutes Annotated.

(a) The section of highway under construction beginning at Sta 0+543.134 and ending at Sta. 1+570.000 of the construction centerline plus approaches.

(b) (Rte.125) The section of highway under construction beginning at Sta. 0+543.134 and ending at Sta. 1+570.000 of the new construction centerline plus approaches.

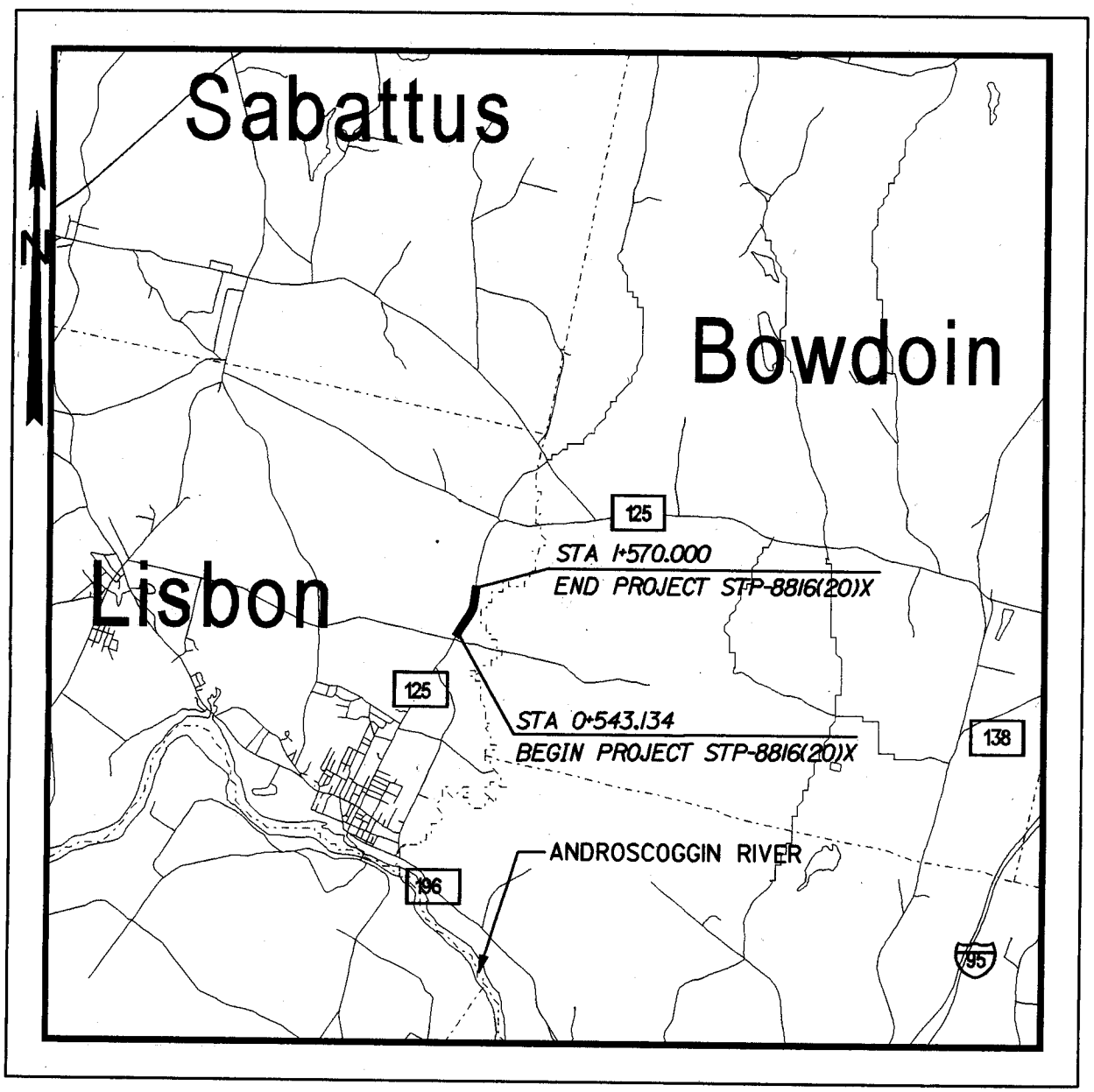
The State Department of Transportation or the State's Engineer may issue permits for stated periods of time for moving construction equipment without loads, low-bed trailers with overloads, over-height, over-width or over-length equipment or materials over all State maintained sections described in the "Construction Area" above and in addition may issue permits for stated periods of time for moving overweight vehicles and loads over the section described in (a) above. The right to revoke such a permit at any time is reserved by the State Department of Transportation and the issuance of such permits shall be subject to any Special Provisions or Supplemental Specifications written for this project.

A Temporary Permit for each move may be issued by the State Department of Transportation or the State's Engineer for moving Contractor's construction equipment used on the project which exceeds the legal limits (shovels, bulldozers, etc.) to sources of construction material over highways maintained by the State reasonably within the area of the project.

The Municipal Officers for the **Town of Lisbon** agreed that a permit will be issued to the Contractor for the purpose of hauling loads in excess of the limits as specified in Title 29, Maine Revised Statues Annotated, on the town ways as described in the "Construction Area" and that single move permits will be issued for moving Contractor's construction equipment used on the project which exceeds the legal limits (shovels, bulldozers, etc.) to sources of construction material over town ways reasonably within the area of the project.

In the event it is necessary to transport gravel, borrow, or other construction material in legally registered vehicles carrying legal loads over town ways, a Contractor's Bond of not more than Nine Thousand ($9,000.00) per kilometer of traveled length may be required by the town, the exact amount of said bond to be determined prior to use of any town way.

The maximum speed limits for trucks on any town way will be forty (40) km per hour [25 mph], unless a higher legal limit is specifically agreed upon in writing by the Municipal Officers concerned.




A PORTION OF ANDROSCOGGIN COUNTY

5 0 5 10

SCALE IN KILOMETERS

February 7, 1996
Supersedes
May 8, 1995

# SPECIAL PROVISION
# CONSTRUCTION AREA

Title 29A, M.R.S.A., Subsection 2383. Overlimit movement permits

1. Overlimit movement permits issued by State. The Secretary of State, acting under guidelines and advice of the Commissioner of Transportation, may grant permits to move non-divisible objects having a length, width, height or weight greater than specified in this Title over a way or bridge maintained by the Department of Transportation.

2. Permit Fee. The Secretary of State, with the advice of the Commissioner of Transportation, may set the fee for these permits, at not less than $3, nor more than $15, based on weight, height, length and width.

3. County and municipal permits. A permit may be granted, for a reasonable fee, by county commissioners or municipal officers for travel over a way or bridge maintained by that county or municipality.

4. Permits for weight. A vehicle granted a permit for excess weight must first be registered for the maximum gross vehicle weight allowed for that vehicle.

5. Special mobile equipment. The Secretary of State may grant a permit, for no more than one year, to move pneumatic-tire equipment under its own power, including Class A and Class B special mobile equipment, over ways and bridges maintained by the Department of Transportation. The fee for that permit is $15 for each 30-day period.

6. Scope of permit. A permit is limited to the particular vehicle or object to be moved and particular ways and bridges.

7. Construction permits. A permit for a stated period of time may be issued for loads and equipment employed on public way construction projects, United States Government projects or construction of private ways, when within construction areas established by the Department of Transportation. The Permit:

   A. Must be procured from the municipal officers for a construction area within that municipality;
   B. May require the Contractor to be responsible for damage to ways used in the construction areas and ma provide for:
      (1) Withholding by the agency contraction the work of final payment under contract; or
      (2) The furnishing of a bond by the Contractor to guarantee suitable repair or payment damages.
   C. May be granted by the Department of Transportation or by the state engineer in charge of the construction contract; and
   D. For construction areas, carries no fee and does not come within the scope of this section.

8. Gross vehicle weight permits. The following may grant permits to operate a vehicle having a gross vehicle weight exceeding the prescribed limit:

A. The Secretary of State, with the consent of the Department of Transportation, for state and state aid highways and bridges within city or compact village limits;
B. Municipal officers, for all other ways and bridges within that city and compact village limits; and
C. The county commissioners, for county roads and bridges located in unorganized territory.

9. Pilot vehicles and state police escorts. Pilot vehicles required by a permit must be equipped with warning lights and signs as required by the Secretary of State with the advice of the Department of Transportation.

Warning lights may only be operated and lettering on the signs may only be visible on a pilot vehicle while it is escorting on a public way a vehicle with a permit.

The Secretary of State shall require a State Police escort for a single vehicle or a combination of vehicles of 125 feet or more in length or 16 feet or more in width. The Secretary of State, with the advice of the Commissioner of Transportation, may require vehicles of lesser dimensions to be escorted by the State Police.

The Bureau of State Police shall establish a fee for State Police escorts.

All fees collected must be used to defray the cost of services provided.

With the advice of the Commissioner of Transportation and the Chief of the State Police, the Secretary of State shall establish rules for the operation for the operation of pilot vehicles.

10. Taxes paid. A permit for a mobile home may not be granted unless the applicant provides reasonable assurance that all property taxes, sewage disposal charges and drain and sewer assessments applicable to the mobile home, including those for the current tax year, have been paid or that the mobile home is exempt from those taxes.

1993, c. 683, § S-2, eff. January 1, 1995.

Historical and Statutory Notes

Derivation:

R.S. 1954, c. 22 § 98
Laws 1955, c. 389
Laws 1967, c. 3.
Laws 1971, c. 593, § 22.
Laws 1973, c. 213.
Laws 1975, c. 130, §
Laws 1975, c. 319, § 2 .
Laws 1977, c. 73, § 5.
Laws 1981, c. 413.
Laws 1985, c. 225, § 1
Laws 1987. c. 52.
Laws 1987, 781, § 3.
Laws 1989, c. 866, § B-13.
Laws 1991, c. 388, § 8.
Laws 1993, c. 683, § A-1.
Former 29 M.R.S.A. § 2382.

Cross Reference

Collection by Secretary of State, See 29-A M.R.S.A. § 154.

# SPECIAL PROVISIONS
# SECTIONS 104

# UTILITIES

## MEETING

A preconstruction utility meeting is hereby called for, as defined in Subsection 104.4.6 Utility Coordination of the Standard Specifications Rev. December 2002.

## GENERAL

These Special Provisions outline the arrangements that have been made by the Department for utility work to be undertaken in conjunction with this project. The following list identifies all known utilities having facilities presently located within the limits of this project or intending to install facilities during project construction.

**Overview:**

| Utility/Railroad | Aerial | Underground | Railroad |
|---|---|---|---|
| Central Maine Power Company | √ | | |
| Adelphia Communications Corporation | √ | | |
| Verizon | √ | | |

Temporary utility adjustments are not contemplated unless herein provided for.

The approximate locations of major items of existing and proposed (permanent and temporary) utility plant are shown on the construction plans.

All utility crossings over highways will provide not less than 20 feet vertical clearance over existing ground in cut or over finished grade in fill, during construction of this project.

Manholes, valve boxes, service connections, and similar incidental utility plant are to be adjusted in cooperation with work being done by the Contractor.

Unless otherwise provided, utilities will not be required to make underground installations in frozen ground.

## GENERAL CONTINUED

Any times and dates mentioned are estimates only and are dependent upon favorable weather, working conditions, and freedom from emergencies. The Contractor shall have no claim against the Department if they are exceeded.

Utility working days are Monday through Friday, conditions permitting. Times are estimated on the basis of a single crew for each utility.

The Contractor must do all clearing and tree removal that is part of this contract in areas where utilities are involved before the Utilities may relocate their facilities.

## AERIAL RELOCATIONS

***** A DETAILED POLE LIST IS ATTACHED TO THIS SPECIAL PROVISION*****

***Summary:***

| Utility | Pole Set | New Wires/ Cables | Trans. Wires/ Cables | Remove Poles | Estimated Working Days |
|---|---|---|---|---|---|
| Central Maine Power Company | | | √ | | 12 |
| Adelphia Communications Corporation | | | √ | | 10 |
| Verizon | √ | | √ | √ | 6 |
| | | | | **Total:** | 28 |

***Verizon***
The proposed pole list is appended to the end of this special provision. Verizon requires 2 weeks advance notice prior to any operations involving the relocation of their poles.

***Central Maine Power (CMP)***
CMP will transfer their existing lines on to the new Verizon poles. CMP estimates 12 working days to complete the transfer of their lines to the new poles. CMP also requires 2 weeks advance notice prior to any operations involving the relocation of their lines.

***Adelphia Communications Corporation***
Following Central Maine Power Company's completion of transferring their lines, Adelphia intends to relocate their existing cable to the new poles. Adelphia estimates 10 working days to complete the relocation to the new poles. Adelphia requires 2 weeks advance notice prior to any operations involving the relocation of their lines.

***Verizon***
After CMP and Adelphia have transferred their lines on to the new poles, and old poles are clear of all lines, Verizon will need 1 working day to remove the old Verizon poles. Verizon requires 2 weeks advance notice prior to any operations involving the old poles.

In the event the Contractor may require a utility company to hold an existing temporary or new utility pole for any reason, the Contractor shall notify the utility company no later than 3 working days before such service is required.

**SUBSURFACE**

No subsurface utilities are planned to be impacted or relocated as part of this project.

**BLASTING**

In addition to any other notice that may be required, the Contractor shall pay particular attention to any utilities within the blasting area. The Contractor shall also notify an authorized representative of each utility having plant close to the site no later than *FORTY EIGHT (48) hours* before the intended blast. The notice shall state the approximate time and location of the blast.

**SAFE PRACTICES AROUND UTILITY FACILITIES**

The Contractor shall be responsible for complying with M.R.S.A. Title 35-A, Chapter 7-A Sections 751 - 761 Overhead High-Voltage Line Safety Act. Prior to commencing any work that may come within ten (10) feet of any aerial electrical line, the Contractor shall notify the aerial utilities as per Section 757 of the above act.

Any tree removal or tree trimming required within ten feet of the Central Maine Power Company conductors must be done by a contractor qualified to work within ten feet of the Central Maine Power Company conductors. The Contractor may obtain a list of tree removal contractors qualified to remove trees or limbs from Central Maine Power Company.

**THE CONTRACTOR SHALL PLAN AND CONDUCT HIS WORK ACCORDINGLY**

# Route 125, Lisbon
# PIN 8816.20

| Pole | Station | Offset (m) | | Description | Remarks |
|---|---|---|---|---|---|
| Vz P87 CMP P79 | 0+165 | 7.16 | Rt | Existing pole to remain | |
| Vz P89 CMP P80 | 0+228 | 6.40 | Rt | Vz replace w/40' Pole | existing pole at 5.48 m, straight line pole |
| Vz P90 CMP P81 | 0+285 | 7.30 | Rt | Vz replace w/45' Pole | existing pole at 5.84 m, straight line pole, |
| | | | | | moved downstation 3 m to clear culvert. |
| Vz P91 CMP P82 | 0+349 | 9.00 | Rt | Vz replace w/50' Pole, anchor | existing pole at 6.66 m, junction pole 4 |
| | | | | | ways, moved out to allow transfers. |
| Vz P92 CMP P99 | 0+401 | 9.80 | Rt | Vz replace w/40' Pole | existing pole at 7.81m, replaced to be straight |
| | | | | | line, no guying available for corner. |
| Vz P93 CMP P100 | 0+452 | 9.60 | Rt | Vz replace w/40' Pole | existing at 8.66m, pole cracked at ground, |
| | | | | | replacement to be straight lined. |
| Vz P94 CMP P101 | 0+490 | 7.95 | Rt | Vz add anchor for corner. | existing pole okay, anchor to be toward |
| REVISED 5/20/2002 | | | | Add Vz replace w/40' Pole | roadway-4' back of guardrail, fill section. |
| | | | | for condition | no good location for stub pole/anchor due |
| | | | | | to stream. |
| End of Phase 1 | | | | | |
| Vz P95 CMP P102 New | 0+533 | 8.10 | Rt | Vz relocate/replace w/40' Pole | move downstation 30' to clear outlet. |
| Vz P95 CMP P102 Old | 0+542 | | Rt | Vz eliminate | remove to clear ditch. |
| Vz P96 CMP P103 | 0+595 | 7.30 | Rt | Existing pole | okay as is |
| Vz P97 CMP P104 | 0+644 | 7.40 | Rt | Existing pole | okay as is |
| Vz P98 CMP P105 | 0+697 | 5.90 | Rt | Existing pole | okay as is |
| Vz P99 CMP P106 | 0+748 | 6.30 | Rt | Vz replace w/40' Pole | replace to straight line poles |
| Vz P100 CMP P107 | 0+802 | 6.80 | Rt | Vz replace w/40' Pole,anchor | existing pole at 5.26 m. |
| Vz P101 CMP P108 | 0+846 | 6.30 | Rt | Vz replace w/40' Pole,anchor | existing pole at 4.88 m,property owner |
| | | | | | discussed r/w line when staking poles. |
| Vz P109 CMP P109 old | 0+881 | | Rt | Vz replace/relocate w/40',anc. | relocated ahead to even spans. |
| Vz P109 CMP P109 new | 0+890 | 6.30 | Rt | Vz place 40' Pole, anchor | not plotted on plans |
| Vz P110 CMP P110 | 0+936 | 6.30 | Rt | Vz replace w/40' Pole,anchor | existing pole at 4.92 m |
| Vz P112 CMP P112 | 0+990 | 6.6 0 | Rt | Vz replace w/40' Pole | existing pole at 4.62 m, CMP to place a |
| | | | | | service anchor. |
| Vz P113 CMP P113 | 1+045 | 8.00 | Rt | Vz replace w/40' Pole,anchor | existing pole at 4.89 m, ditch section |
| Vz P114 CMP P114 | 1+099 | 8.00 | Rt | Vz replace w/40' Pole | existing pole at 5.02m,ditch section |
| Vz P116 CMP P116 old | 1+163 | | Rt | Vz eliminate | existing pole at 5.47 m,ditch section |
| Vz P116 CMP P116 new | 1+185 | 9.00 | Rt | Vz place 40' Pole, anchor | move ahead to property line, Vz to replace |
| | | | | | equipment cabinet and move to new pole. |
| Vz P118 CMP P118 old | 1+215 | | Rt | Vz eliminate | pole line being moved across road to avoid |
| | | | | | trim at Tierney property. |
| Vz P118 CMP P118 new | 1+240 | 8.00 | Lt | Vz place 40' Pole, anchor | pole line being moved across road |
| Vz P119 CMP P119 old | 1+261 | | Lt | Vz eliminate | existing pole at 5.49m |
| Vz P119 CMP P119 new | 1+304.5 | 8.00 | Lt | Vz place 40' Pole | ditch section and at property line |
| Vz P120 CMP P120 old | 1+299 | | Rt | Vz eliminate | existing pole at 4.82 m |
| Vz P121 CMP P121 old | 1+334 | | Rt | Vz eliminate | existing pole at 5.17 m |
| Vz P120 CMP P120 new | 1+372 | 6.80 | Lt | Vz place 40' Pole | moving of poleline across road dependant |
| | | | | | on MDOT removal of large pines shown on |
| | | | | | plans. |
| Vz P122 CMP P122 old | 1+370 | | Rt | Vz eliminate | existing pole at 5.34 m |
| Vz P123 CMP P123 old | 1+408 | | Rt | Vz eliminate | existing pole at 5.40 m |
| Vz P121 CMP P121 new | 1+432 | 6.30 | Lt | Vz place 40' Pole | moving of poleline across road dependant |
| | | | | | on MDOT removal of large pines shown on |
| | | | | | plans. |
| Vz P124 CMP P124 old | 1+455 | | Rt | Vz eliminate | existing pole at 5.21 m |
| Vz P125 CMP P125 | 1+497 | 9.19 | Lt | Existing pole | Vz to replace anchor only. |
| CMP P129S No tel atth | 1+523 | 7.17 | Rt | Existing pole | okay as is |
| Vz P129 CMP P129 | 1+542 | 8.06 | Lt | Existing pole | okay as is |
| End of Project Phase II. | | | | | |
| Vz P130 CMP P130 old | 1+599 | | Rt | Vz eliminate | relocate across road to eliminate a double |
| | | | | | road crossing. |
| Vz P130 CMP P130 new | 1+599 | 6.30 | Lt | Vz place 40' pole, anchor | |

# SPECIAL PROVISION
## SECTION 107
## TIME

The specified contract completion date is June 11, 2004.

# SPECIAL PROVISION
# CORRECTIONS, ADDITIONS AND REVISIONS
Standard Specifications - Revision of December 2002

## SECTION 101
## CONTRACT INTERPRETATION

101.2 Definitions - Closeout Documentation

Replace the sentence "A letter stating the amount….. DBE goals." with "DBE Goal Attainment Verification Form"

## SECTION 102
## DELIVERY OF BIDS
(Location and Time)

102.7.1 Location and Time

Add the following sentence "As a minimum, the Bidder will submit a Bid Package consisting of the Notice to Contractors, the completed Acknowledgement of Bid Amendments & Submission of Bid Bond Validation Number form, the completed Schedule of Items, 2 copies of the completed Agreement, Offer, & Award form, a Bid Bond or Bid Guarantee, and any other Certifications or Bid Requirements listed in the Bid Book."

## SECTION 106
## QUALITY

106.6 Acceptance Add the following to paragraph 1 of A: "This includes Sections 401 - Hot Mix Asphalt, 402 - Pavement Smoothness, and 502 - Structural Concrete - Method A - Air Content."

Add the following to the beginning of paragraph 3 of A: "For pay factors based on Quality Level Analysis, and"

## SECTION 107
## TIME

107.3.1 General Add the following: "If a Holiday occurs on a Sunday, the following Monday shall be considered a Holiday. Sunday or Holiday work must be approved by the Department, except that the Contractor may work on Martin Luther King Day, President's Day, Patriot's Day, the Friday after Thanksgiving, and Columbus Day without the Department's approval."

## SECTION 402
## PAVEMENT SMOOTHNESS

Add the following:

"Projects to have their pavement smoothness analyzed in accordance with this Specification will be so noted in Special Provision 403 - Bituminous Box."

"402.02 Lot Size Lot size for smoothness will be 1000 lane-meters [3000 lane-feet]. A sublot will consist of 20 lane-meters [50 lane-feet]. Partial lots will be included in the previous lot if less than one-half the size of a normal lot. If greater than one-half the normal lot size, it will be tested as a separate lot."

## SECTION 502
## STRUCTURAL CONCRETE

502.0502 Quality Assurance Method A - Rejection by Resident Change the first sentence to read: "For an individual sublot with test results failing to meet the criteria in Table #1, or if the calculated pay factor for Air Content is less than 0.80……"

502.0503 Quality Assurance Method B - Rejection by Resident Change the first sentence to read: "For material represented by a verification test with test results failing to meet the criteria in Table #1, the Department will……"

502.0505 Resolution of Disputed Acceptance Test Results Combine the second and third sentence to read: "Circumstances may arise, however, where the Department may ……"

## SECTION 604
## MANHOLES, INLETS, AND CATCH BASINS

604.02 Materials Add the following:

| | |
|---|---|
| "Tops and Traps | 712.07 |
| Corrugated Metal Units | 712.08 |
| Catch Basin and Manhole Steps | 712.09" |

# SECTION 615
# LOAM

618.02 Materials  Make the following change:

| Organic Content | Percent by Volume |
|---|---|
| Humus Ignition Test | "5% - 10%", as determined by |

# SECTION 618
# SEEDING

618.01 Description  Change the first sentence to read as follows: "This work shall consist of furnishing and applying seed …."
Remove ",and cellulose fiber mulch" from 618.01(a).

618.03 Rates of Application  In 618.03(a), remove the last sentence and replace with the following: "These rates shall apply to Seeding Method 2, 3, and Crown Vetch."

618.09 Construction Method  In 618.09(a) 1, sentence two, replace "100 mm [4 in]" with "25 mm [1 in] (Method 1 areas) and 50 mm [2 in] (Method 2 areas)"

# SECTION 620
# GEOTEXTILES

620.03 Placement  Section (c)

Title: Replace "Non-woven" in title with "Erosion Control".
First Paragraph: Replace first word "Non-woven" with "Woven monofilament".
Second Paragraph: Replace second word "Non-woven" with "Erosion Control".

620.07 Shipment, Storage, Protection and Repair of Fabric Section (a)

Replace the third sentence with the following: "Damaged geotextiles, as identified by the Resident, shall be repaired immediately."

620.09 Basis of Payment

Pay Item 620.58: Replace "Non-woven" with "Erosion Control"
Pay Item 620.59: Replace "Non-woven" with "Erosion Control"

# SECTION 712
# MISCELLANEOUS HIGHWAY MATERIALS

Add the following:

"712.07 Tops, and Traps These metal units shall conform to the plan dimensions and to the following specification requirements for the designated materials.

Gray iron castings shall conform to the requirements of AASHTO M105, Class 30, unless otherwise designated.

Carbon steel castings shall conform to the requirements of AASHTO M103/M103M. Grade shall be 450-240 [65-35] unless otherwise designated.

Structural steel shall conform to the requirements of AASHTO M183/M183M or ASTM A283/A283M, Grade B or better. Galvanizing, where specified for these units, shall conform to the requirements of AASHTO M111.

712.08 Corrugated Metal Units The units shall conform to plan dimensions and the metal to AASHTO M36/M36M. Bituminous coating, when specified, shall conform to AASHTO M190 Type A.

712.09 Catch Basin and Manhole Steps Steps for catch basins and for manholes shall conform to ASTM C478M [ASTM C478], Section 13 for either of the following material:

(a) Aluminum steps-ASTM B221M, [ASTM B211] Alloy 6061-T6 or 6005-T5.

(b) Reinforced plastic steps Steel reinforcing bar with injection molded plastic coating copolymer polypropylene. Polypropylene shall conform to ASTM D 4101.

712.23 Flashing Lights Flashing Lights shall be power operated or battery operated as specified.

(a) Power operated flashing lights shall consist of housing, adapters, lamps, sockets, reflectors, lens, hoods and other necessary equipment designed to give clearly visible signal indications within an angle of at least 45 degrees and from 3 to 90 m [10 to 300 ft] under all light and atmospheric conditions.

Two circuit flasher controllers with a two-circuit filter capable of providing alternate flashing operations at the rate of not less than 50 nor more than 60 flashes per minute shall be provided.

The lamps shall be 650 lumens, 120 volt traffic signal lamps with sockets constructed to properly focus and hold the lamp firmly in position.

The housing shall have a rotatable sun visor not less than 175 mm [7 in] in length designed to shield the lens.

Reflectors shall be of such design that light from a properly focused lamp will reflect the light rays parallel. Reflectors shall have a maximum diameter at the point of contact with the lens of approximately 200 mm [8 in].

The lens shall consist of a round one-piece convex amber material which, when mounted, shall have a visible diameter of approximately 200 mm [8 in]. They shall distribute light and not diffuse it. The distribution of the light shall be asymmetrical in a downward direction. The light distribution of the lens shall not be uniform, but shall consist of a small high intensity portion with narrow distribution for long distance throw and a larger low intensity portion with wide distribution for short distance throw. Lenses shall be marked to indicate the top and bottom of the lens.

(b) Battery operated flashing lights shall be self- illuminated by an electric lamp behind the lens. These lights shall also be externally illuminated by reflex-reflective elements built into the lens to enable it to be seen by reflex-reflection of the light from the headlights of oncoming traffic. The batteries must be entirely enclosed in a case. A locking device must secure the case. The light shall have a flash rate of not less than 50 nor more than 60 flashes per minute from minus 30 °C [minus 20 °F] to plus 65 °C [plus 150 °F]. The light shall have an on time of not less than 10 percent of the flash cycle. The light beam projected upon a surface perpendicular to the axis of the light beam shall produce a lighted rectangular projection whose minimum horizontal dimension shall be 5 degrees each side of the horizontal axis. The effective intensity shall not have an initial value greater than 15.0 candelas or drop below 4.0 candelas during the first 336 hours of continuous flashing. The illuminated lens shall appear to be uniformly bright over its entire illuminated surface when viewed from any point within an angle of 9 degrees each side of the vertical axis and 5 degrees each side of the horizontal axis. The lens shall not be less than 175 mm [7 in] in diameter including a reflex-reflector ring of 13 mm [½ in] minimum width around the periphery. The lens shall be yellow in color and have a minimum relative luminous transmittance of 0.440 with a luminance of 2854° Kelvin. The lens shall be one-piece construction. The lens material shall be plastic and meet the luminous transmission requirements of this specification. The case containing the batteries and circuitry shall be constructed of a material capable of withstanding abuse equal to or greater than 1.21 mm thick steel [No. 18 U.S. Standard Gage Steel]. The housing and the lens frame, if of metal shall be properly cleaned, degreased and pretreated to promote adhesion. It shall be given one or more coats of enamel which, when dry shall completely obscure the metal. The enamel coating shall be of such quality that when the coated case is struck a light blow with a sharp tool, the paint will not chip or crack and if scratched with a knife

will not powder. The case shall be so constructed and closed as to exclude moisture that would affect the proper operation of light. The case shall have a weep hole to allow the escape of moisture from condensation. Photoelectric controls, if provided, shall keep the light operating whenever the ambient light falls below 215 lx [20 foot candles]. Each light shall be plainly marked as to the manufacturer's name and model number.

If required by the Resident, certification as to conformance to these specifications shall be furnished based on results of tests made by an independent testing laboratory. All lights are subject to random inspection and testing. All necessary random samples shall be provided to the Resident upon request without cost to the Department. All such samples shall be returned to the Contractor upon completion of the tests.

712.32 Copper Tubing Copper tubing and fittings shall conform to the requirements of ASTM B88M Type A [ASTM B88, Type K] or better.

712.33 Non-metallic Pipe, Flexible Non-metallic pipe and pipe fittings shall be acceptable flexible pipe manufactured from virgin polyethylene polymer suitable for transmitting liquids intended for human or animal consumption.

712.34 Non-metallic Pipe, Rigid Non-metallic pipe shall be Schedule 40 polyvinylchloride (PVC) that meets the requirement of ASTM D1785. Fittings shall be of the same material.

712.341 Metallic Pipe Metallic pipe shall be ANSI, Standard B36.10, Schedule 40 steel pipe conforming to the requirements of ASTM A53 Types E or S, Grade B. End plates shall be steel conforming to ASTM A36/A36M.

Both the sleeve and end plates shall be hot dip galvanized. Pipe sleeve splices shall be welded splices with full penetration weld before galvanizing.

712.35 Epoxy Resin Epoxy resin for grouting or sealing shall consist of a mineral filled thixotropic, flexible epoxy resin having a pot life of approximately one hour at 10°C [50°F]. The grout shall be an approved product suitable for cementing steel dowels into the preformed holes of curb inlets and adjacent curbing. The sealant shall be an approved product, light gray in color and suitable for coating the surface.

712.36 Bituminous Curb The asphalt cement for bituminous curb shall be of the grade required for the wearing course, or shall be Viscosity Grade AC-20 meeting the current requirements of Subsection 702.01 Asphalt Cement. The aggregate shall conform to the requirements of Subsection 703.07. The coarse aggregate portion retained on the 2.36 mm [No. 8] sieve may be either crushed rock or crushed gravel.

The mineral constituents of the bituminous mixture shall be sized and graded and combined in a composite blend that will produce a stable durable curbing with an acceptable texture.

Bituminous material for curb shall meet the requirements of Section 403 - Hot Bituminous Pavement.

712.37 Precast Concrete Slab  Portland cement concrete for precast slabs shall meet the requirements of Section 502 - Structural Concrete, Class A.

The slabs shall be precast to the dimension shown on the plans and cross section and in accordance with the Standard Detail plans for Concrete Sidewalk Slab.  The surface shall be finished with a float finish in accordance with Subsection 502.14(c).  Lift devices of sufficient strength to hold the slab while suspended from cables shall be cast into the top or back of the slab.

712.38 Stone Slab  Stone slabs shall be of granite from an acceptable source, hard, durable, predominantly gray in color, free from seams which impair the structural integrity and be of smooth splitting character.  Natural color variations characteristic of the deposit will be permitted.  Exposed surfaces shall be free from drill holes or indications of drill holes.  The granite slabs in any one section of backslope must be all the same finish.

The granite slabs shall be scabble dressed or sawed to an approximately true plane having no projections or depressions over 13 mm [½ in] under a 600 mm [2 ft] straightedge or over 25 mm [1 in] under a 1200 mm [4 ft] straightedge.  The arris at the intersection of the top surface and exposed front face shall be pitched so that the arris line is uniform throughout the length of the installed slabs.  The sides shall be square to the exposed face unless the slabs are to be set on a radius or other special condition which requires that the joints be cut to fit, but in any case shall be so finished that when the stones are placed side by side no space more than 20 mm [3/4 in] shall show in the joint for the full exposed height.

Liftpin holes in all sides will be allowed except on the exposed face.

## SECTION 717
## ROADSIDE IMPROVEMENT MATERIAL

717.05 Mulch Binder.  Change the third sentence to read as follows:

“Paper fiber mulch may be used as a binder at the rate of 2.3 kg/unit [5 lb/unit].”

Town: Lisbon
PIN #: 8816.00
(8816.10, 8816.20)
Date: 3/17/03

SPECIAL PROVISION
SECTION 105
General Scope of Work
(Environmental Requirements)

There is no Instream Work Window for this project. Work shall be conducted during low flow conditions at any time of year, and with strict adherence to Erosion and Sedimentation Control Measures.

Stream Name with Station #: Dearing Brook; 0+078
Special Conditions: none

Instream work consists of any activity conducted below normal high water mark.

All activities are prohibited (including placement and removal of cofferdams) below normal high water during the instream work window restriction, except for the following:

- Work within a sealed and dewatered cofferdam. Maintenance pumping within a sealed cofferdam is also allowed.

No construction activity, whether temporary or permanent, is allowed that completely blocks a river, stream, or brook without providing downstream flow.

This Special Provision supersedes the Instream Work Definition listed in the Department of Transportation's Supplemental Specifications and Supplemental Standard Details for Construction, Division 100 General Conditions. That definition is incorrect.

The contractor shall abide by all permits and conditions.

# SPECIAL PROVISIONS
## SECTION 304
## AGGREGATE BASE AND SUBBASE COURSE
(Aggregate Subbase)

If the Contractor wishes to route public traffic over the completed Aggregate Subbase Course for a period of time greater than 24 hours, the Aggregate Subbase Course shall be constructed with a minimum 50 mm [2 in] surcharge above the design grade. Whenever the surcharge is used, it shall be constructed with material meeting the requirements of Section 703.06(b), Type D Aggregate. Also, whenever, the surcharge is used, it shall be placed on all the Aggregate Subbase Course subjected to public traffic. When the surcharge is removed, it may be placed in driveways, sidewalks, approach roads, or the outer portions of the shoulders. Removal of the surcharge shall be followed immediately in succession by the fine grading of the aggregate subbase and construction of the next course.

The furnishing, placing, maintaining, and removal of the surcharge will not be paid for directly, but will be considered incidental to the Aggregate Subbase Course pay item.

If salvaged bituminous pavement is placed as the top layer of the aggregate subbase course, a surcharge is not required.

October 21, 2002

# SPECIAL PROVISION
# SECTION 401
# HOT MIX ASPHALT PAVEMENT

**Section 401 - Hot Mix Asphalt Pavement, subsection 401.222 Pay Factor (PF) (Methods A and B), paragraph 1 through 3, has been deleted and replaced with the following revision. These revisions will remain in effect for all Hot Mix Asphalt Pavements to be placed in calendar year 2003.**

**All Hot Mix Asphalts Pavements to be placed in calendar year 2004 will be governed by the limits outlined in Section 401, subsection 401.222 of the Standard Specifications.**

"401.222 Pay Factor (PF) (Methods A and B) The Department will use density, Performance Graded Asphalt Binder content, voids @$N_d$, VMA, VFB, $F/B^e$, and the screen sizes listed in Table 10 for the type of HMA represented in the JMF. The Department will evaluate materials using the following price adjustment factors under Section 106.7 - Quality Level Analysis.

The Department will apply price adjustments to the appropriate Hot Mix Asphalt Pavement pay items. Price adjustments shall be applied based on test results for each lot. If any pay factor for any single property (or composite gradation) falls below 0.85, the Contractor shall shut down the HMA plant. If any single pay factor for PGAB Content, VMA, or Air Voids falls below 0.75 for Method A or 0.83 for Method B, the composite pay factor for PGAB Content, VMA, and Air Voids shall be 0.55 for Method A or 0.70 for Method B.

If the pay factor for Density falls below 0.75 for Method A or 0.83 for Method B, all of the cores will be randomly recut by Sublot. A new pay factor will be calculated that combines all initial and retest results. If the resulting pay factor is below 0.75 for Method A or below 0.83 for Method B, the entire Lot shall be removed and replaced with material meeting the specifications at no additional cost to the Department. Pay factors equal to or greater than the reject level will be paid accordingly."

**Lisbon**
**STP-8816(200)X**
**Full Reconstruction**
**June 11, 2003**

# SPECIAL PROVISION
# SECTION 403
# HOT MIX ASPHALT

| Desc. of Course | Grad. Design | Item Number | Bit Cont. % of Mix | Total Thick | No. Of Layers | Comp. Notes |
|---|---|---|---|---|---|---|
| | | | **Mainline Travelway** | | | |
| Wearing | 12.5mm | 403.208 | N/A | 50mm | 1 | 4,7 |
| Base | 19.0mm | 403.207 | N/A | 75mm | 1/more | 4,7,11,15 |
| | | | **Normal Full Depth Shoulders** | | | |
| Wearing | 12.5mm | 403.208 | N/A | 50mm | 1 | 4,7 |
| Base | 19.0mm | 403.207 | N/A | 75mm | 1/more | 4,7,11 |
| | | | **Shoulder widenings for Guardrail** | | | |
| Wearing | 12.5mm | 403.208 | N/A | 50mm | 1 | 4,7 |
| | | | **Side Streets** | | | |
| Wearing | 12.5mm | 403.208 | N/A | 50mm | 1 | 4,7 |
| Base | 19.0mm | 403.207 | N/A | 75mm | 1/more | 4,7,11,15 |
| | | | **Drives, Entrances, Islands** | | | |
| Wearing | 9.5 mm | 403.209 | N/A | 50mm | 2/more | 2,3,9,10,13 |

## COMPLEMENTARY NOTES

2. The density requirements are waived.
3. The design traffic level for mix placed shall be <0.3 million ESALS.
4. The design traffic level for mix placed shall be 0.3 to <3 million ESALS. The design, verification, Quality Control, and Acceptance tests for this mix will be performed at **50 gyrations**. (Ndesign)
7. Section 106.6 Acceptance, (1) Method A.
9. Section 106.6 Acceptance, (2) Method C.
10. A **"FINE"** 9.5 mm mix with a gradation above or through the restricted zone shall be used for this item.
11. A mixture meeting the gradation of 12.5 mm hot mix asphalt may be used at the option of the contractor.
13. A mixture meeting the requirements of section 703.09 Grading 'D', with a minimum PGAB content of 6%, and the limits of Special Provision 401, Table 9 (Drives and Sidewalks) for PGAB content and gradation may be substituted for this item. A job mix formula shall be submitted to the department for approval.
15. Any base or binder mix left exposed to traffic over the winter shall have a layer of 12.5 mm mix substituted for the 19mm mix. If this substitution is made, the specified layers may need to be modified, as approved by the Resident.

Tack Coat

A tack coat of emulsified asphalt, RS-1 or HFMS-1, Item #409.15 shall be applied to any existing pavement at a rate of approximately 0.08 L/m², and on milled pavement approximately 0.2 L/m², prior to placing a new course. A fog coat of emulsified asphalt shall be applied between shim / intermediate course and the surface course, at a rate not to exceed 0.08 L/m².

Tack used between layers of pavement will be paid for at the contract unit price for Item 409.15 Bituminous Tack Coat.

# SPECIAL PROVISION
## SECTION 605.38
## TIRE SHRED FRENCH DRAIN

Description. This work shall consist of furnishing and placing a 0.61 m (2 ft) wide x 0.61 m (2 ft) deep tire shred French drain in reasonably close conformity with the lines and grades shown on the plans and cross sections.

## MATERIALS

Tire shreds, General. The material shall be made from scrap tires which shall be shredded into the sizes specified herein. They shall be produced by a shearing process. Tire shreds produced by a hammer mill will not be allowed. The tire shreds shall be free of any contaminates such as oil, grease, gasoline, diesel fuel, etc. that could leach into the groundwater, create a fire hazard or contaminate water flowing through the French Drain. In no case shall the tire shreds contain the remains of tires that have been subjected to a fire.

The tire shreds shall be free from fragments of wood, wood chips, and other fibrous organic matter. The tire shreds shall have less than 1% (by weight) of metal fragments which are not at least partially encased in rubber. Metal fragments that are partially encased in rubber shall protrude no more than 25 mm (1-in.) from the cut edge of the tire shred on 75% of the pieces (by weight) and no more than 50 mm (2 in.) on 100% of the pieces (by weight). The gradation shall be measured in accordance with AASHTO T-27, "Standard Method of Sieve Analysis of Fine and Course Aggregate," except the minimum sample size shall be 12 kilograms (30 pounds).

At least 30 days prior to beginning placement of the tire shred French Drain, the contractor shall submit a letter signed by the contractor and tire shred supplier describing the delivery schedule for the tire shreds and, if applicable, the location where tire shreds will be stockpiled prior to being placed as an French Drain. Tire shred storage shall be in accordance with the applicable sections of the Maine Dept. Of Environmental Protection's Maine Solid Waste Rules, Chapter 402.

Type A Tire Shreds. Type A tire shreds shall have a maximum dimension, measured in any direction of 203 mm (8 in.). In addition, Type A tire shreds shall have 100% passing the 102-mm (4-in.) square mesh sieve, a minimum of 95% passing (by weight) the 75-mm (3-in.) square mesh sieve, a minimum of 50% passing (by weight) the 38-mm (1.5-in.) square mesh sieve, and a maximum of 5% passing (by weight) the No. 4 sieve (4.75-mm; 0.187-in.).

Tire shred sources. Known New England sources of Type A tire shreds are listed below. Other sources may also be available.

Casella Tires Inc.

Paul Chase
66 Dow Highway
Rte 236
Eliot, Maine 03903
(207) 439-5974

Routhier & Sons, Inc.
Attn: J. Paul Routhier
256 Ayer Road
Littleton, Massachusetts 01460
(978) 772-5528

Acceptance. The Contractor shall supply a certificate of compliance, stating that the material to be used as tire shreds, type A, lightweight fill is in compliance with the material requirements of this Section. Testing, in accordance with AASHTO T-27 and as stated in this Section will be required for approximately every 100 tons of material to be placed within the embankment or trench, or at least one test per project site. Testing results shall be supplied to the Engineer. At the discretion of the Engineer, the Department may conduct additional tests to confirm compliance with this Section, additional testing shall be at a laboratory chosen by the Engineer and at the Contractor's expense. Material may be rejected by the Engineer for failure to meet any of the material requirements of this Section.

Contamination. Tire shreds that become contaminated with grease, gasoline, oil, metal fragments, crumb rubber, organic material, or other foreign matter, prior to placement in the embankment fill or French Drain trench such that the material requirements are no longer met, shall be reprocessed to produce tire shreds meeting the specifications or shall be removed by the Contractor and disposed of in a method approved by the Maine Department of Environmental Protection.

Tire shreds that become contaminated with excess soil prior to placement in the embankment fill or French Drain trench, so as not to meet the material requirements of this section shall be either re-screened, to produce tire shreds meeting the specification, or, where the quantity of contaminated tire shreds is small, as determined by the Engineer, may be disposed of in embankment fill away from pavement areas by mixing the tire shreds with mineral soil and placing in thickness not to exceed 100 mm, and covered with a minimum thickness of 0.31 m (1 ft) of mineral soil. Tire shreds disposed of in this manner shall be placed a minimum of 300 mm from the pavement surface and is contingent on approval of the Engineer. Tire shreds may also be disposed of in a method approved by the Maine Department of Environmental Protection.

Disposal of contaminated tire shreds shall be at no additional cost.

## MATERIALS

Tire Shreds. As specified in this Section.

Geotextile. Geotextile shall meet the requirements of Section 722.02 Class A.

## CONSTRUCTION REQUIREMENTS

Geotextiles. The tire shred French Drain shall be separated from the surrounding soil by a layer of geotextile as shown on the Special Details. The geotextile shall meet the requirements of Section 722.02, Drainage Geotextile Class A. Geotextile installation shall meet the requirements of Section 620. Any seams formed by adjacent lengths of geotextile shall be overlapped a minimum of 450 mm (18 in). Along the top of the French drain the geotextile shall have a minimum overlap of 300 mm.

Placing. The tire shred French Drain shall be placed in three uniform lifts with a compacted thickness of 200 mm each. Each lift shall be brought to a uniform grade prior to compaction. Each lift shall be compacted using four passes of a walk-behind tamping foot roller with a minimum static weight of 8.9 kN. Care shall be taken to keep the compaction equipment away from the geotextile during compaction operations. To achieve adequate compaction, loose lifts of Type A shreds will decrease 20%. If adequate compaction is not achieved, as determined by the Engineer, the compaction criteria of this Section may be amended. Alternate compaction equipment and methods may be presented by the Contractor, provided the equipment and compaction effort is approved by the Engineer.

Tears in the geotextile caused by compaction operations shall be repaired by the Contractor to prevent infiltration of surrounding soil into the tire shred French Drain, as directed by the Engineer. The tire shreds at the time of placement shall be free of ice and snow. If the top of any layer becomes contaminated by addition of foreign material, including but not limited to soil, organic matter, oil, grease, gasoline or diesel fuel, the contaminated material shall be removed and replaced with the specified material at no additional cost.

Outlets. The Tire Shred Underdrain shall outlet at each culvert, and the surrounding geotextile shall be protected from rip rap by a 150 mm thick layer of crushed stone meeting the requirements of 703.31, Crushed Stone, as shown in the Special Details.

Method of Measurement. Tire Shred French Drain placed as shown on the plans and Special Details and constructed as specified in this Section, will be measured by the linear meter. To assist the determination of the density of the tire shreds, the Contractor is referred to ASTM D 6270-98.

Basis of Payment. Tire Shred French Drain will be paid for at the contract price per linear meter, which shall be full compensation for all labor, materials and equipment required to furnish an acceptable tire shred French Drain. Crushed Stone geotextile protection used at outlets shall be incidental to the work.

Excavation required shall be paid for as provided in Section 206 - Structural Excavation. No allowance for payment will be made for excavating or material excavated beyond the dimensions shown on the Special Details.

Disposal of any contaminated tire shreds, which cannot be disposed of in the methods described in this Section, shall be at no additional cost.

| Pay Item | Pay Unit |
|---|---|
| 605.38 Tire Shred French Drain | Linear Meter |

# SPECIAL PROVISION
# SECTION 652
# MAINTENANCE OF TRAFFIC

Approaches. Approach signing shall include the following signs shown on the Standard Maintenance Traffic in Construction Zones for "Project Approach Signing-Two Way Traffic".

Road Work Next __ Miles
Road Work Ahead
Road Work 1000 Feet
Road Work 500 Feet with 35 MPH Advisory Speed Plate
End Road Work

Work Areas. At each work site, signs and channelizing devices as shown on the Standard Maintenance of Traffic in Construction Zones shall be used as directed by the Resident.

Signs include:

End Work Zone Speed
Work Zone
Speed Limit Plate
Fines Double
Give 'Em A Brake
Work Area Ahead with 25 MPH Advisory Speed Plate
Work Area Ahead
One Lane Road Ahead
Flagger Sign
Trucks Entering
Be Prepared to Stop

Other typical signs include:

Pavement Ends
Low Shoulder
Directional Arrows
Bump

The above lists of Approach signs and Work Area signs are representative of the contract requirements. Other sign legends may be required.

The Contractor shall provide a minimum roadway width of 7 m [22 feet] for two way traffic and 3.5 m [11 feet] for one way traffic. Two way traffic operation shall be provided at all times the Contractor is not working on the project. One way traffic shall

be controlled through work areas by Flaggers. Flaggers equipped with radios, field telephones or other means of direct communication shall be used to control one way traffic during paving operations.

Channelization. Channelization devices shall include the following:

Type I Barricades
Vertical Panels Markers
Drums
Cones

Channelization devices shall be installed and maintained at the spacing determined by the MUTCD through the work area.

Channelizing devices consisting of drums or barricades at a maximum spacing of 15 m [50 feet] shall be used in guardrail areas when neither the existing guardrail nor the new guardrail is in place.

Paving. When paving operations or shoulder grading leave a three inch or less exposed vertical face at the edge of the traveled way, channelizing devices shall be placed 0.60 m [2 feet] outside the edge of pavement at intervals not exceeding 200 m [600 feet] and a 1200 mm x 1200 mm [48 inch x 48 inch] W8-9 Low Shoulder sign shall be placed at a maximum spacing of 0.8 km [1/2 mile].

When paving operations leave more than a three inch exposed vertical face at the edge of a traveled way, the Contractor shall place shoulder material for a width of at least 1.22 m [4 feet] to meet the pavement grade and place channelizing devices as above before the lane is opened to traffic.

Temporary Centerline. A temporary centerline of reflectorized traffic paint shall be marked each day on all new pavement to be used by traffic. The temporary centerline shall conform to the standard markings patterns used for permanent markings and will be paid for under Pay Item 627.76.

Failure to apply a temporary centerline daily will result in suspension of paving until temporary markings are applied to all previously placed pavement.

Roadside Recovery Area. The Contractor shall not store material nor park equipment within 3 m [10 feet] of the edge of the established travel lanes and equipment parked overnight within 9 m [30 feet] of the edge of a travel lane shall be clearly marked by channelizing devices or other reflective devices.

Speed Limits in Work Zone. The Contractor shall sign all approved reduced speed limits on construction projects according to APM #431 - A Policy on the Establishment of Speed Limits in Work Zones.

# SPECIAL PROVISION
# SECTION 656
Temporary Soil Erosion and Water Pollution Control

The following is added to Section 656 regarding Project Specific Information and Requirements. All references to the Maine Department of Transportation Best Management Practices for Erosion and Sediment Control (a.k.a. Best Management Practices manual or BMP Manual) are a reference to the latest revision of said manual. The "Table of Contents" of the latest version is dated "1/19/00" (available at http://www.state.me.us/mdot/mainhtml/bmp/bmpjan2000.pdf.) **Procedures specified shall be according to the BMP Manual unless stated otherwise.**

Any and all references to "bark mulch" or "composted bark mix" shall be a reference to " Erosion Control Mix" in accordance with *Standard Specification, Section 619 - Mulch.*

## Project Specific Information and Requirements

The following information and requirements apply specifically to this Project. The temporary soil erosion and water pollution control measures associated with this work shall be addressed in the SEWPCP.

1. A preconstruction field review is mandatory for this project. The preconstruction field review shall take place before commencing any work that involves soil disturbance or potential impacts on water quality. Attendees shall include the Environmental Coordinator, the preparer of the SEWPCP, the Resident, and a representative from the Department's ENV Water Resources Unit. The date and time shall be set by the Contractor in consultation with the Resident and ENV Water Resources Unit representative.
2. Newly disturbed earth shall be mulched by the end of each workday. Mulch shall be maintained on a daily basis.
3. All disturbed ditches shall be stabilized by the end of each workday. Stabilization shall be maintained on a daily basis. Erosion control blanket shall be installed in the bottoms of all ditches except where a stone lining is planned. Seed shall be applied prior to the placement of the blanket.
4. Permanent seeding shall be done in accordance with *Standard Specification, Section 618 - Seeding* unless the Contract states otherwise.
5. The SEWPCP shall describe the location and method of temporary erosion and sediment control for existing and proposed catch basins, outlet areas and culvert inlets and outlets.
6. Culvert inlet and outlet protection shall be installed within 48 hours of culvert installation, or prior to a storm event, whichever is sooner.
7. If check dams are used, they shall be constructed of stone in accordance with BMP Manual, Section 9.

**SPECIAL PROVISION**
**SECTION 656**
Temporary Soil Erosion and Water Pollution Control

8. After November 1 the Contractor shall use winter stabilization methods, such as Erosion Control Mix as specified in *Standard Specification, Section 619 - Mulch*. If required, spring procedures for permanent stabilization shall also be described in the plan. Use of this product for over-winter temporary erosion control will be incidental to the contract and be paid for as part of Pay Item 656.75.
9. Repairs to temporary erosion control practices shall occur within 24 hours after storm-related failures.
10. Dust control items other than those under *Standard Specification, Section 637 – Dust Control* , if applicable, shall be included in the plan.
11. Demolition debris (including debris from wearing surface removal, saw cut slurry, dust, etc.) shall be contained and shall not be allowed to discharge to any resource. All demolition debris shall be disposed of in accordance with *Standard Specifications, Section 202.03 Removing Existing Superstructure, Structural Concrete, Railings, Curbs, Sidewalks and Bridges*. Containment and disposal of demolition debris shall be addressed in the Contractor's SEWPCP.
12. The Contractor's SEWPCP shall address in-stream work at the following approximate locations:

    Station 0+080
    Station 1+580

13. Stream flow shall be maintained at all times.
14. The SEWPCP shall de3scribe the containment method used for excavation and/or placement of rip rap in streams (at the locations identified in Item 12) including installation of cofferdams and dewatering procedures.
15. If a cofferdam sedimentation basin is used, it shall be located in an upland area where the water can settle and sink into the ground or be released slowly to the resource in a manner that will not cause erosion. The location of such a cofferdam sedimentation basin shall be addressed in the SEWPCP.

# Permits & Cultural Resources Unit

PIN #: 8816.00 (Constructed under 8816.10 & 8816.20)
Location: Lisbon
Permit Member: Rhonda Poirier Photographs ☐ Database/Projex ☒ Package to ENV Coordinator: 9/16/02

☒ **HISTORIC AND CULTURAL RESOURCES**

| | | | |
|---|---|---|---|
| MHPC Historic Resources | N/A ☐ | Applicable☒ | Approved ☒ |
| MHPC Archeological Resources | N/A ☐ | Applicable☒ | Approved ☒ |
| Advisory Council on Hist Preservation | N/A ☒ | Applicable☐ | Approved ☐ |
| NPS Recordation | N/A ☒ | Applicable☐ | Approved ☐ |
| State Recordation | N/A ☒ | Applicable☐ | Approved ☐ |

☒ **4(f) and 6(f)**

| | | | |
|---|---|---|---|
| Section 4(f) | N/A ☒ | Applicable☐ | Approved ☐ |
| LAWCON 6(f) | N/A ☒ | Applicable☐ | Approved ☐ |

☒ **Maine Department of Environmental Protection (MDEP) Site Location of Development**
N/A ☒ Applicable ☐ Approved ☐

☒ **Local Zoning, Title 30-A, Section 4325-6**.
Is the project something other than the highway and bridge system, such as a maintenance lot, building/parking facility? Yes ☐ No ☒. If no, the project is exempt.
If yes, continue. Does the town in which the project is located have a comprehensive plan consistent with the Growth Management Program? Yes ☐ No ☐. If no, the project is exempt.
If yes, local zoning ordinances and/or permits are needed. Approved ☐

☒ **Maine Department of Inland Fisheries and Wildlife (MDIFW) Essential Habitat**

| | | | |
|---|---|---|---|
| Eagle Nest | N/A ☒ | Applicable ☐ | Approved ☐ |
| Piping Plover | N/A ☒ | Applicable ☐ | Approved ☐ |
| Roseate Tern | N/A ☒ | Applicable☐ | Approved ☐ |

☒ **United States Fish and Wildlife Service (USFWS), Migratory Bird Act**
N/A ☒ Applicable ☐

☒ **Maine Department of Conservation/ Public Lands, Submerged Land Lease**
N/A ☒ Applicable ☐

☒ **Environmental Protection Agency (EPA), National Pollutant Discharge Elimination System (NPDES)**
N/A ☒ Applicable ☐ NOI Submitted ☐

☒ **Land Use Regulation Commission (LURC)** ☒ Not Applicable

| | | |
|---|---|---|
| No permit | ☐ | |
| Notice | ☐ | Approved ☐ |
| Permit | ☐ | Approved ☐ |

☒ **Maine Department of Environmental Protection (MDEP), Natural Resource Protection Act**

| | | |
|---|---|---|
| No permit required | ☐ | |
| Exempt | ☐ | (Must use erosion and sediment control and not block fish passage.) |
| PBR | ☒ | Approved ☒ |
| Tier 1 | ☐ | Approved ☐ |
| Tier 2 | ☐ | Approved ☐ |
| Tier 3 | ☐ | Approved ☐ |

☒ **Army Corps of Engineers (ACOE), Section 10 of the Rivers and Harbors Act and Section 404 of the Clean Water Act.**

| | |
|---|---|
| No permit required ☐ | |
| Category 1-NR☐ | Approved ☐ |
| Category 2☒ | Approved ☒ |
| Category 3☐ | Approved ☐ |

☒ **IN-WATER TIMING RESTRICTIONS:** 105 Special Provision ☒ n/a ☐
Dates instream work is allowed: July 15 to October 1.

☒ Special Provision 656, Erosion Control Plan

* Boxes marked in red indicate items that are attached and need to be placed in the contract by the Project Manager.

**DEPARTMENT OF ENVIRONMENTAL PROTECTION (DEP)**

# PERMIT BY RULE NOTIFICATION FORM

(For use with DEP Regulation, Chapter 305)

■ **MDOT PIN: 8816.00**

**Name of Applicant:** State of Maine Department of Transportation **Name of Contact:** David Gardner
**Mailing Address:** 16 Station State House **Town/City:** Augusta **State:** Me. **Zip Code:** 04330-0016
**Daytime Telephone #:** (207)-287-5735 **Name of Wetland, Water Body or Stream:** Dearing Brook

**Detailed Directions to Site:** Project is located on Route 125 beginning 1.58 miles north of intersection with Route 196 and extending north 0.89 mile.

**Town/City:** Lisbon **Map #:** N/A **Lot #:** N/A **County:** Androscoggin

**Description of Project:**. Project consists of highway improvements, which includes slope and ditch work, culvert work and resurfacing. The project will be performed in accordance with erosion control measures conforming with the latest versions of the *State of Maine Department of Transportation Standard Specifications for Highways and Bridges* and the *Department of Transportation's Best Management Practices for Erosion and Sediment Control.*

**Part of a larger project?** ☐Yes ☒No

**(CHECK ONE)** This project... ☒ does ☐ does not ...involve work below mean low water.

I am filing notice of my intent to carry out work which meets the requirements for Permit By Rule (PBR) under DEP Regulation, Chapter 305. I have a copy of PBR Sections checked below. I have read and will comply with all of the standards.

| | | |
|---|---|---|
| ☐Sec. (2) Soil Disturbance | ☐Sec. (8) Shoreline stabilization | ☐Sec. (14) Piers, Wharves & Pilings |
| ☐Sec. (3) Intake Pipes | ☐Sec. (9) Utility Crossing | ☐Sec. (15) Public Boat Ramps |
| ☐Sec. (4) Replacement of Structures | ☐Sec. (10) Stream Crossing | ☐Sec. (16) Coastal Sand Dune Projects |
| ☐Sec. (5) REPEALED | ☒Sec. (11) State Transport. Facilities | ☐Sec. (17) Transfers/Permit Extension |
| ☐Sec. (6) Movement of Rocks or Vegetation | ☐Sec. (12) Restoration of Natural Areas | ☐Sec. (18) Maintenance Dredging |
| ☐Sec. (7) Outfall Pipes | ☐Sec. (13) F&W Creation/Enhance/Water Quality Improvement | |

I authorize staff of the Departments of Environmental Protection, Inland Fisheries & Wildlife, and Marine Resources to access the project site for the purpose of determining compliance with the rules. I also understand that ***this permit is not valid until approved by the Department or 14 days after receipt by the Department, whichever is less.***

I have attached all of the following required submittals. **NOTIFICATION FORMS CANNOT BE ACCEPTED WITHOUT THE NECESSARY ATTACHMENTS:**

- ■ **A $50 (non-refundable) payment shall be done by internal billing.**
- ■ ***Attach* a U.S.G.S. topo map or Maine Atlas & Gazetteer map with the project site clearly marked.**
- ☐ ***Attach* photographs showing existing site conditions (unless not required under standards).**

**Signature of Applicant:** John E. Dority
John E. Dority, Chief Engineer **Date:** 03/04/02

*Keep the bottom copy as a record of permit.* Send the form with attachments via certified mail to the Maine Dept. of Environmental Protection **at the appropriate regional office listed below.** The DEP will send a copy to the Town Office as evidence of the DEP's receipt of notification. No further authorization by DEP will be issued after receipt of notice. Permits are valid for two years. **Work carried out in violation of any standard is subject to enforcement action.**

AUGUSTA DEP STATE HOUSE STATION 17 AUGUSTA, ME 04333-0017 (207)287-2111 PORTLAND DEP 312 CANCO ROAD PORTLAND, ME 04103 (207)822-6300 BANGOR DEP 106 HOGAN ROAD BANGOR, ME 04401 (207)941-4570 PRESQUE ISLE DEP 1235 CENTRAL DRIVE PRESQUE ISLE, ME 04769 (207)764-0477

| OFFICE USE ONLY | Ck.# | Staff | Staff |
|---|---|---|---|
| PBR # FP | Date | Acc. Date | Def. Date After Photos |

**Chapter 305: PERMIT BY RULE Section 11**
State Transportation Facilities

1. **Introduction.** A "permit by rule" or "PBR", when approved by the Department of Environmental Protection (DEP), is an approval for an activity that requires a permit under the Natural Resources Protection Act (NRPA). Only those activities described in this chapter may proceed under the PBR process. A PBR activity will not significantly affect the environment if carried out in accordance with this chapter, and generally has less of an impact on the environment than an activity requiring an individual permit. A PBR satisfies the Natural Resources Protection Act (NRPA) permit requirement and Water Quality Certification requirement.

   If a proposed activity is not described in this chapter, or will not be conducted in accordance with the standards of this chapter, the applicant must obtain an individual permit prior to beginning the activity.

   A. **Location of activity.** The location of an activity may affect whether an activity qualifies for PBR, and whether review by the Department of Inland Fisheries and Wildlife is required.

      (1) Type of resource. For some types of activities, the availability of a PBR is affected by the type of natural resource in or adjacent to which the activity is proposed. For example, an applicant proposing an activity consisting of "Movement of rocks or vegetation" may receive a PBR only if the activity will take place in a great pond, river, stream or brook. Limitations concerning the location of activities are addressed in the "Applicability" provision in each section of this chapter.

      (2) Essential habitat. Essential habitats include areas critical to the survival of threatened and endangered species such as the bald eagle, least tern, roseate tern, and piping plover. If the activity is located in essential habitat, such as near an eagle nesting site, a PBR is only available if the applicant obtains written approval from the Department of Inland Fisheries and Wildlife (IF&W). This approval from IF&W must be submitted to the DEP with the PBR notification form, and the applicant must follow any conditions stated in the IF&W approval.

NOTE: Maps showing areas of essential habitat are available from the Department of Inland Fisheries and Wildlife regional headquarters, municipal offices, the Land Use Regulation Commission (for unorganized territories) and DEP regional offices. If the activity is located in essential habitat, IF&W must be contacted to request and obtain a "certification of review and approval".

   B. **Notification.** The applicant must file notice of the activity with the DEP prior to beginning work on the activity. The notification must be on a form provided by the DEP and must include any submissions required in this chapter. The applicant must keep a copy to serve as the permit.

      The notification form must be sent to the DEP by certified mail (return receipt requested), or hand delivered to the DEP and date stamped by the department.

   C. **Effective period**

      (1) Beginning of period. The PBR becomes effective 14 calendar days after the DEP receives the notification form, unless the DEP approves or denies the PBR prior to that date. If the DEP does not speak with or write to the applicant within this 14 day period regarding the PBR notification, the applicant may proceed to carry out the activity.

      There are three exceptions regarding the effective date of an approved PBR:

(a) Activities listed in Section 10 (Stream crossings) occurring in association with forest management are exempt from the 14 day waiting period.

(b) Activities listed in Section 2 (Soil disturbance) and Section 10 (Stream crossings) performed or supervised by individuals currently certified in erosion control practices by the DEP are exempt from the 14 day waiting period. To be certified in erosion control practices, an individual must successfully complete all course requirements of the Voluntary Contractor Certification Program administered by the DEP's Nonpoint Source Training and Resource Center.

(c) Activities that are part of a larger project requiring a permit under the Site Location of Development or the Storm Water Management Acts may not proceed until any required permit under those laws is obtained.

NOTE: Activities that are part of a larger project may require other permits from the DEP also. These other laws may prohibit the start of construction of any part of the project unless a permit under that law is obtained. In these cases, while not a violation of this rule, starting work on a PBR approved activity would be a violation of those other applicable laws.

(2) End of period. The PBR is generally effective for 2 years from the date of approval, except that a PBR for "Replacement of structures" under Section 4 is effective for 3 years.

NOTE: Activities that qualify under this chapter may need to meet other local, state and federal requirements. Examples -- (1) If an activity extends below the low water line of a lake, coastal wetland or international boundary water, the applicant should contact the Bureau of Parks and Lands (287-3061) concerning possible lease or easement requirements, or (2) If an activity will involve work below the mean high water line in navigable waters of the United States, the applicant should contact the Army Corps of Engineers (623-8367).

**D. Discretionary authority.** Notwithstanding compliance with the PBR applicability requirements and standards set forth in this chapter, the DEP may require an individual permit application to be filed in any case where credible evidence indicates that the activity:

(1) May violate the standards of the NRPA (38 M.R.S.A. Section 480-D);

(2) Could lead to significant environmental impacts, including cumulative impacts; or

(3) Could adversely impact a resource of special concern.

If an individual permit is required pursuant to this subsection, the DEP shall notify the applicant in writing within the 14 calendar day waiting period described in sub-section (C) above. When the DEP notifies an applicant than an individual permit is required, no work may be conducted unless and until the individual permit is obtained.

**E. Violations.** A violation of law occurs when a person, or his or her agent, performs or causes to be performed any activity subject to the NRPA without first obtaining a permit from the DEP, or acts contrary to the provisions of a permit. The person, his or her agent, or both, may be held

responsible for the violation. Commonly, the "person" is the landowner, and the "agent" is the contractor carrying out the activity. A violation occurs when:

(1) An activity occurs that is not allowed under PBR, whether or not a PBR notification form has been filed with and/or approved by the DEP;

(2) An activity occurs that is allowed under PBR, but a PBR for the activity has not become effective prior to the beginning of the activity; or

(3) An activity occurs that is allowed under PBR and a PBR for the activity is in effect, but the standards specified in this chapter are not met.

See the "applicability" provision under each activity for rules concerning what activities are allowed under PBR. A PBR is only valid for the person listed on the notification form, or for his or her agent.

Each day that a violation occurs or continues is considered a separate offense. Violations are subject to criminal penalties and civil penalties of not less than $100 nor more than $10,000 for each day of that violation (38 M.R.S.A. Section 349).

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NOTE: A local Code Enforcement Officer (CEO) may take enforcement action for a violation of the Natural Resources Protection Act if he or she is authorized to represent a municipality in District Court, and he or she has been certified as familiar with court procedures, 30-A M.R.S.A. Section 4452(7).

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## Chapter 305 Section 11

**State transportation facilities**

**A. Applicability**

(1) This section applies to the maintenance, repair, reconstruction, rehabilitation, replacement or minor construction of a State Transportation Facility carried out by, or under the authority of, the Maine Department of Transportation or the Maine Turnpike Authority, including any testing or preconstruction engineering, and associated technical support services.

(2) This section does not apply to an activity within a coastal sand dune system.

NOTE: The construction of a transportation facility other than roads and associated facilities may be subject to the Storm Water Management Law, 38 M.R.S.A. Section 420-D.

**B. Standards**

(1) Photographs of the area to be altered by the activity must be taken before work on the site begins. The photographs must be kept on file and be made available at the request of the DEP.

(2) The activity must be reviewed by the Department of Inland Fisheries and Wildlife, the Department of Marine Resources, the Atlantic Salmon Authority, and the DEP's Division of Environmental Assessment prior to the notification being filed with the DEP. The activity must be performed according to any recommendations from these authorities.

(3) The activity must be performed in accordance with erosion control measures conforming with the State of Maine Department of Transportation Standard Specifications for Highways and Bridges Revision of April 1995 and with the Department of Transportation's Best Management Practices for Erosion and Sediment Control, September 1997.

NOTE: Guidance on the use of erosion control best management practices can be obtained from the on site Construction Manager.

(4) Alignment changes may not exceed a distance of 200 feet between the old and new center lines in any natural resource.

(5) The activity may not alter more than 300 feet of shoreline (both shores added together) within a mile stretch of any river, stream or brook, including any bridge width or length of culvert.

(6) The activity may not alter more than 150 feet of shoreline (both shores added together) within a mile stretch of any outstanding river segment identified in 38 M.R.S.A. 480-P, including any bridge width or length of culvert.

(7) The activity must minimize wetland intrusion. The activity is exempt from the provisions of Chapter 310, the Wetland Protection Rules, if the activity alters less than 15,000 square feet of natural resources per mile of roadway (centerline measurement) provided that the following impacts are not exceeded within the 15,000 square foot area:

(a) 1,000 square feet of coastal wetland consisting of salt tolerant vegetation or shellfish habitat; or

(b) 5,000 square feet of coastal wetland not containing salt tolerant vegetation or shellfish habitat; or

(c) 1,000 square feet of a great pond.

All other activities must be performed in compliance with all sections of Chapter 310, the Wetland Protection Rules, except 310.2(C), 5(A), 9(1), 9(B) and 9(C).

(8) The activity may not permanently block any fish passage in any watercourse containing fish. The applicant must improve passage beyond what restriction may already exist unless the Department of Inland Fisheries and Wildlife, the Department of Marine Resources, the Atlantic Salmon Authority and the DEP's Division of Environmental Assessment concur that the improvement is not necessary.

(9) Rocks may not be removed from below the normal high water line of any coastal wetland, freshwater wetland, great pond, river, stream or brook except to the minimum extent necessary for completion of work within the limits of construction.

(10) If work is performed in a river, stream or brook that is less than three feet deep at the time and location of the activity, with the exception of culvert installation, the applicant must divert flow away from the activity while work is in progress.

(a) Diversion may be accomplished by the use of stable, inert material. No more than two thirds (2/3) of stream width may be diverted at one time.

(b) Any material used to divert water flow must be completely removed upon completion of the activity, and the stream bottom must be restored to its original condition.

(c) A pump may be operated, where necessary, for a temporary diversion. The pump outlet must be located and operated such that erosion or the discharge of sediment to the water is prevented.

NOTE: Guidance on the appropriate location of a diversion and materials which should be used for a stream diversion can be obtained from the on site Construction Manager.

(11) Wheeled or tracked equipment may not operate in the water. Equipment operating on the shore may reach into the water with a bucket or similar extension. Equipment may cross streams on rock, gravel or ledge bottom.

(12) All wheeled or tracked equipment that must travel or work in a vegetated wetland area must travel and work on mats or platforms.

(13) Any debris or excavated material must be stockpiled either outside the wetland or on mats or platforms. Hay bales or silt fence must be used, where necessary, to prevent sedimentation. Any debris generated during the activity must be prevented from washing downstream and must be removed from the wetland or water body. Disposal of debris must be in conformance with the Maine Hazardous Waste, Septage and Solid Waste Management Act, 38 M.R.S.A. Section 1301 et seq.

(14) Work below the normal high water line of a great pond, river, stream or brook must be done at low water except for emergency work or work agreed to by the resource agencies listed in paragraph 2 above. Measures, such as a silt boom or staked fencing, must be employed to reduce and isolate turbidity.

(15) Perimeter controls must be installed before the work starts. Disturbance of natural resources beyond the construction limits shown on the plans is not allowed under this rule.

NOTE: Guidance on the location of construction limits can be obtained from the on site Construction Manager.

(16) The use of untreated lumber is preferred. Lumber pressure treated with chromated copper arsenate (CCA) may be used, provided it is cured on dry land in a manner that exposes all surfaces to the air for a period of at least 21 days prior to construction. Wood treated with creosote or pentachlorophenol may not be used where it will contact water.

(17) A temporary road for equipment access must be constructed of crushed stone, blasted ledge, or similar materials that will not cause sedimentation or restrict fish passage. Such roads must be completely removed at the completion of the activity. In addition, any such temporary roads which are in rivers, streams or brooks, must allow for a passage of stormwater flows associated with a 10-year storm.

(18) Soil may not be disturbed during any period when soils are saturated due to rain or snow melt, except as necessary to protect work in progress or as required for bridge maintenance activities. Areas where soils are saturated (i.e. water drips from the soil when squeezed by hand, or the soil is capable of being rolled into a rod 1/8th inch in diameter that does not crumble) must be immediately mulched if they are disturbed.

(19) Disturbed soil must be protected within one week from the time it was last actively worked, and prior to any storm event, using temporary or permanent measures such as the placement of riprap, sod, mulch, erosion control blankets, or other comparable measures.

(20) Hay bale or straw mulch, where used, must be applied at a rate of at least one bale per 500 square feet (1 to 2 tons per acre).

(21) If mulch is likely to be moved because of steep slopes or wind exposure, it must be anchored with netting, peg and twine, binder or other suitable method and must be maintained until a catch of vegetation is established over the entire disturbed area.

(22) In addition to the placement of riprap, sod, erosion control blankets or mulch, additional steps must be taken where necessary to prevent sedimentation of the water Evidence of sedimentation includes visible sheet, rill or gully erosion, discoloration of water by suspended particles and/or slumping of banks. Silt fences, staked hay bales and other sedimentation control measures, where planned for, must be in place prior to the commencement of an activity, but must also be installed whenever necessary to prevent erosion and sedimentation.

NOTE: Guidance on the location and proper installation of erosion control measures can be obtained from the on site Construction Manager.

(23) Temporary erosion control measures must be maintained and inspected weekly until the site is permanently stabilized with vegetation or other permanent control measures. Erosion control measures must also be inspected immediately prior to and following storms.

(24) Permanent erosion control measures protecting all disturbed areas must be implemented within 30 days from the time the areas were last actively worked, or for fall and winter activities by the following June 15, except where precluded by the type of activity (e.g. riprap, road surfaces, etc.). The permanent erosion control measures must be maintained.

(25) The applicant shall immediately take appropriate measures to prevent erosion or sedimentation from occurring or to correct any existing problems, regardless of the time of year.

(26) Non-native species may not be planted in restored areas.

(27) Disposal of debris must be in conformance with Maine Hazardous Waste, Septage and Solid Waste Management Act, 38 M.R.S.A. Sections 1301 et seq.

(28) Disturbance of vegetation must be avoided, if possible. Where vegetation is disturbed outside of the area covered by any road or structure construction, it must be reestablished immediately upon completion of the activity and must be maintained.

(29) A vegetated area at least 25 feet wide must be established and maintained between any new stormwater outfall structure and the high water line of any open water body. A velocity reducing structure must be constructed at the outlet of the stormwater outfall that will create sheet flow of stormwater, and prevent erosion of soil within the vegetated buffer. If the 25 foot vegetated buffer is not practicable, the applicant must explain the reason for a lesser setback in writing. Approval from the DEP must be in writing and any recommendations must be incorporated into the activity.

**C. Definitions.** The following terms, as used in this chapter, have the following meanings, unless the context indicates otherwise:

(1) Diversion. A rerouting of a river, stream or brook to a location outside of its established channel.

(2) Fill. a. (verb) To put into or upon, supply to, or allow to enter a water body or wetland any earth, rock, gravel, sand, silt, clay, peat, or debris; b. (noun) Material, other than structures, placed in or immediately adjacent to a wetland or water body.

(3) Floodplain wetlands. Freshwater wetlands that are inundated with flood water during a 100-year flood event based on flood insurance maps produced by the Federal Emergency Agency or other site specific information.

(4) Riprap. Rocks that are fit into place, usually without mortar, on a slope as defined in the State of Maine, Department of Transportation, Standard Specifications for Highway and Bridges, revision of April 1995.

# DEPARTMENT OF THE ARMY

NEW ENGLAND DISTRICT, CORPS OF ENGINEERS
696 VIRGINIA ROAD
CONCORD, MASSACHUSETTS 01742-2751

REPLY TO:
ATTENTION OF:

**DEPARTMENT OF THE ARMY PROGRAMMATIC GENERAL PERMIT**
**STATE OF MAINE, SUMMARY OF SCREENING AND STATUS**

OFFICE OF ENVIRON. SERVICES
MAINE DEPT. OF TRANSPORTATION
16 STATE HOUSE STATION
AUGUSTA, MAINE 04333

CORPS PERMIT # 200201980
CORPS PGP ID# 02-330
STATE ID# PBR

**DESCRIPTION OF WORK AS ON ATTACHED STATE APPN:**

Place fill below the ordinary high water line of Dearing Brook and in adjacent freshwater wetlands at ~~Augusta~~ Lisbon, Maine in conjunction with the rehabilitation of a 0.89 mile section of Route 125 and the extension of an existing culvert. Approximately 0.07 acres of wetland and stream bottom will be impacted by the project.
DOT PIN #8816.00

**UTM GRID COORDINATES** N: 44°1' 13.47"N W:: 70° 2' 37.63" **USGS QUAD:** LISBON FALLS N., ME

**I. STATE ACTIONS: PENDING [ X ], ISSUED[ ], DENIED [ ] DATE**_____

LEVEL OF STATE REVIEW: PERMIT BY RULE: x , TIER 1:____, TIER 2 :____, TIER 3:____, (NRPA)

**II. FEDERAL ACTIONS:**

DATE STATE FILE REVIEWED: 8/1/02 (PGP JP MEETING)

LEVEL OF CORPS REVIEW: CATEGORY 1:____ CATEGORY 2: X

AUTHORITY: SEC 10____, 404 X 10/404____, 103____

EXCLUSIONS: The exclusionary criteria identified in the general permit do not apply to this project.

**ESSENTIAL FISH HABITAT (EFH): EFH PRESENT Y / N (CIRCLE ONE)**

IF YES: Based on the terms and conditions of the PGP, which are intended to ensure that authorized projects cause no more than minimal environmental impacts, the Corps of Engineers has preliminary determined that this project will not cause more than minimal adverse effects to EFH identified under the Magnunson-Stevens Fisheries Conservation and Management Act.

FEDERAL RESOURCE AGENCY OBJECTIONS: EPA NO , USF&WS NO , NMFS NO

**CORPS DETERMINATION:** We authorize your project as proposed and as shown on the plans submitted to the Corps under the State of Maine PGP.

Please note that all work is subject to the conditions contained in the general permit and any additional special conditions listed on any attached sheets. No work may be started unless and until all other required local, State and Federal licenses and permits have been obtained. Also, this permit requires you to notify us before beginning work and allow us to inspect the project. Hence, you must complete and return the attached Work Start Notification Form(s) to this office no later than two weeks before the anticipated starting date. **(FOR PROJECTS REQUIRING MITIGATION, BE SURE TO INCLUDE MITIGATION WORK START FORM)**

**Additional Special conditions Attached: YES / NO (CIRCLE ONE)**

The Corps of Engineers has implemented an administrative appeals process for jurisdictional determinations. If you are interested in appealing the jurisdictional determination for this project; or if you would like any additional information pertaining to the appeals process, please contact Shawn Mahaney or Rod Howe of my staff at 207-623-8367 at our Manchester, Maine Project Office.

Jay L. Clement
**JAY L. CLEMENT**
**SENIOR PROJECT MANAGER**
**MAINE PROJECT OFFICE**

For David B. Mahy 11 SEP 2002
**DAVID H. KILLOY** **DATE**
**CHIEF, PERMITS & ENFORCEMENT BRANCH**
**REGULATORY DIVISION**

Permit No: GP-39

Effective Date: Sept. 29, 2000
Expiration Date: Sept. 29, 2005

Applicant: General Public, State of Maine

**DEPARTMENT OF THE ARMY**
**PROGRAMMATIC GENERAL PERMIT**
**STATE OF MAINE**

The New England District of the U.S. Army Corps of Engineers hereby issues a programmatic general permit (PGP) that expedites review of minimal impact work in coastal and inland waters and wetlands within the State of Maine. Activities with minimal impacts, as specified by the terms and conditions of this general permit and on the attached DEFINITION OF CATEGORIES sheets, are either non-reporting (provided required local and state permits are received), or are reporting, to be screened by the Corps and Federal Resource Agencies for applicability under the general permit. This general permit does not affect the Corps individual permit review process or activities exempt from Corps jurisdiction.

**Activities Covered**: work and structures that are located in, or that affect, navigable waters of the United States (regulated by the Corps under Section 10 of the Rivers and Harbors Act of 1899) and the discharge of dredged of fill material into waters of the United States (regulated by the Corps under Section 404 of the Clean Water Act), and the transportation of dredged material for the purpose of disposal in the ocean (regulated by the Corps under Section 103 of the Marine Protection, Research and Sanctuaries Act).

## PROCEDURES:

### A. State Approvals

For projects authorized pursuant to this general permit that are also regulated by the State of Maine, the following state approvals are also required and must be obtained in order for this general permit authorization to be valid (applicants are responsible for ensuring that all required state permits and approval have been obtained):

(a) Maine Department of Environmental Protection (DEP): Natural Resources Protection Act permit, including permit-by-rule and general permit authorizations; Site Location and Development Act permit; and Maine Waterway Development and Conservation Act.
(b) Maine Department of Conservation: Land Use Regulation Commission (LURC) permit.
(c) Maine Department of Marine Resources: Lease.
(d) Bureau of Public Lands, Submerged Lands: Lease.

Note that projects not regulated by the State of Maine (e.g., seasonal floats or moorings) may still be authorized by this general permit.

**B. Corps Authorizations**: **Category I (Non-Reporting)**

Work in Maine subject to Corps jurisdiction that meets the definition of Category I on the attached DEFINITION OF CATEGORIES sheets and that meets all of this permit's other conditions, does not require separate application to the Corps of Engineers. If the State or the Corps does not contact the applicant for PBRs and Tier One permits during the State's Tier One 30-day review period, Corps approval may be assumed and the project may proceed. Refer to the Procedures Section at Paragraph E below for additional information regarding screening.

**Note that the review thresholds under Category I apply to single and complete projects only** (see special condition 5). **Also note that Category I does not apply to projects occurring in a component of, or within 0.25 miles up and downstream of the main stem or tributaries of a river segment of the National Wild and Scenic River System** (see condition 11, and page 9 for the listed rivers in Maine).

There are also restrictions on other national lands or concerns which must be met in order for projects to be eligible for authorization under this PGP. Refer to special conditions 6-13 under Paragraph F below.

Work that is not regulated by the State of Maine, but that is subject to Corps jurisdiction, is eligible for Corps authorization under this PGP in accordance with the review thresholds and conditions contained herein.

Although Category I projects are non-reporting, the Corps reserves the right to require screening or an individual permit review if there are concerns for the aquatic environment or any other factor of the public interest (see special condition 4 on Discretionary Authority). The Corps review or State/Federal screening process may also result in project modification, mitigation or other special conditions necessary to minimize impacts and protect the aquatic environment as a requirement for PGP approval.

**C. Corps Authorization: Category II (Reporting – requiring screening)**

**APPLICATION PROCEDURES**

For projects that do not meet the terms of Category I (see DEFINITION OF CATEGORIES sheets), the Corps, State, and Federal Resource Agencies will conduct joint screening meetings to review applications. If projects are concurrently regulated by the DEP or LURC, applicants do not need to submit separate applications to the Corps. For projects not regulated by DEP or LURC, applicants must submit an application to the Corps Maine Project Office for a case-by-case determination of eligibility under this general permit (Category II). **Category II projects may not proceed until written notification is received from the Corps.**

Category II projects which occur in a component of, or within 0.25 mile up or downstream of the main stem or tributaries of a river segment of the National Wild and Scenic River System, will be coordinated with the National Park Service (see special condition 11, and page 9 for listed rivers in Maine).

There are also restrictions on other national lands or concerns which must be met in order for projects to be eligible for authorization under this PGP. Refer to special conditions 6-14 under Paragraph E below.

Category II applicants shall submit a copy of their application materials to the Maine Historic Preservation Commission and/or applicable Indian tribe(s) at the same time, or before, they apply to the DEP, LURC, or the Corps so that the project can be reviewed for the presence of historic/archaeological resources in the project area that may be affected by the proposed work. **Applications to the DEP or the Corps should include information to indicate that this has been done (applicant's statement or copy of cover letter to Maine Historic Preservation Commission and/or Indian tribe(s)).**

**The Corps may require additional information on a case-by-case basis as follows:**

(a) purpose of project;

(b) 8 1/2" by 11" plan views of the entire property including property lines and project limits with existing and proposed conditions **(legible, reproducible plans required)**;

(c) wetland delineation for the site, information on the basis of the delineation, and calculations of waterway and wetland impact areas (see special condition 2);

(d) typical cross-section views of all wetland and waterway fill areas and wetland replication areas;

(e) delineation of submerged aquatic vegetation, e.g., eel grass beds, in tidal waters;

(f) area, type and source of fill material to be discharged into waters and wetlands, including the volume of fill below ordinary high water in inland waters and below the high tide line in coastal waters;

(g) mean low, mean high water and high tide elevations in navigable waters;

(h) limits of any Federal navigation project in the vicinity and State Plane coordinates for the limits of the proposed work closest to the Federal project;

(i) on-site alternatives analysis (contact Corps for guidance);

(j) identify and describe potential impacts to Essential Fish Habitat (contact Corps for guidance);

(k) for dredging projects, include:

1) the volume of material and area in square feet to be dredged below mean high water,
2) existing and proposed water depths,
3) type of dredging equipment to be used,
4) nature of material (e.g., silty sand),

5) any existing sediment grain size and bulk sediment chemistry data for the proposed or any nearby projects,
6) information on the location and nature of municipal or industrial discharges and occurrences of any contaminant spills in or near the project area,
7) location of the disposal site (include locus sheet),
8) shellfish survey, and
9) sediment testing, including physical, chemical and biological testing. For projects proposing open water disposal, applicants are encouraged to contact the Corps as early as possible regarding sampling and testing protocols.

The Corps may request additional information. Dredging applicants may be required to conduct a shellfish and/or eel grass survey and sediment testing, including physical, chemical and biological testing. Sediment sampling and testing plans should be prepared or approved by the Corps before the samples are collected.

**STATE-FEDERAL SCREENING PROCEDURES:**

The Corps intends to utilize the application information required by the State for its regulatory program to the maximum extent practicable and the Corps normally will not be interacting with an applicant who is concurrently making application to the DEP or LURC. Projects not regulated by the State, but needing Corps of Engineers approval, **must apply directly to the Corps**. The joint screening meeting for Category II projects will occur regularly at the Corps or State offices and will involve representatives from the DEP, the Corps, the U.S. Environmental Protection Agency, the U.S. Fish and Wildlife Service, and the National Marine Fisheries Service.

The Corps and Federal Resource Agencies will classify the project within the State's review period, not to exceed 60 days, as: 1) approvable under the PGP as proposed; 2) needs additional information, including possible project modification, mitigation or other special conditions to minimize impacts; or 3) exceeds the terms or conditions of the PGP, including the minimal effects requirement, and an individual permit review will be required. In addition, the Corps retains the ability to exercise its discretionary authority and require an individual permit, irrespective of whether the terms and conditions of this general permit are met, based on concerns for the aquatic environment or any factor of the public interest (see special condition 4 on Discretionary Authority). All Category II projects must receive written approval from the Corps before work can proceed. If the project is not approvable as proposed, the DEP, LURC, or the Corps will contact the applicant to discuss the concerns raised. If the applicant is unable to resolve the concerns, the Corps, independently or at the request of the Federal Resource Agencies, will require an individual permit for the project. The applicant will be notified of this in writing, along with information about submitting the necessary application materials. The comments from the Federal Resource Agencies to the Corps may be verbal initially, and must be made within 10 working days of the screening meeting. These comments must be confirmed in writing within 10 calendar days of the verbal response if the Resource Agency(ies) will request an individual permit. The Federal Resource Agency's comments must reflect a concern within their area of expertise, state the species or resources that could be impacted by the project, and describe the impacts that either individually or cumulatively will be more than minimal.

MINERALS MANAGEMENT SERVICE (MMS) REVIEW

For Category II projects which involve construction of solid fill structures or discharge of fills along the coast which may extend the coastline or baseline from which the territorial sea is measured, coordination between the Corps and Minerals Management Service (MMS), Continental Shelf (OCS) Survey Group, will be needed (pursuant to the Submerged Lands Act, 43 U.S.C., Section 1301-1315, 33 CFR 320.4(f). During the screening period, the Corps will forward project information to MMS for their review. MMS will coordinate their determination with the Department of the Interior (DOI) Solicitor's Office. The DOI will have 15 calendar days from the date MMS is in receipt of project information to determine if the baseline will be affected. No notification to the Corps within 15 day review period will constitute a "no affect" determination. Otherwise, the solicitor's notification to the Corps may be verbal but must be followed with a written confirmation within 10 business days from the date of the verbal notification. This procedure will be eliminated if the State of Maine provides a written waiver of interest in any increase in submerged lands caused by a change in the baseline resulting from solid fill structure or fills authorized under this general permit.

**D. Corps Authorization: Category III (Individual Permit)**

Work that is in the INDIVIDUAL PERMIT category on the attached DEFINITION OF CATEGORIES sheets, or that does not meet the terms and conditions of this general permit, will require an application for an individual permit from the Corps of Engineers (see 33 CFR Part 325.1). The screening procedures outlined above will only serve to delay project review in such cases. The applicant should submit the appropriate application materials (including the Corps application form) at the earliest possible date. General information and application forms can be obtained at (207) 623-8367 (Maine Field Office), (800) 343-4789, or (800) 362-4367 in Massachusetts. Individual water quality certification and coastal zone management consistency concurrence will be required from the State of Maine before Corps permit issuance.

**E. Programmatic General Permit Conditions:**

The following conditions apply to activities authorized under the PGP, including all Category I (non-reporting) and Category II (reporting – requiring screening) activities:

GENERAL REQUIREMENTS:

1. **Other Permits**. Authorization under this general permit does not obviate the need to obtain other Federal, state, or local authorizations required by law.

2. **Applicability of this general permit shall be evaluated with reference to Federal jurisdictional boundaries**. Applicants are responsible for ensuring that the boundaries used satisfy the federal criteria defined at 33 CFR 328-329.

3. **Minimal Effects**. Projects authorized by this general permit shall have minimal individual and cumulative adverse environmental impacts as determined by the Corps.

4. **Discretionary Authority**. Notwithstanding compliance with the terms and conditions of this permit, the Corps of Engineers retains discretionary authority to require review for an individual permit based on concerns for the aquatic environment or for any other factor of the public interest. This authority is invoked on a case-by-case basis whenever the Corps determines that the potential consequences of the proposal warrant individual review based on the concerns stated above. This authority may be invoked for projects with cumulative environmental impacts that are more than minimal or if there is a special resource or concern associated with a particular project that is not already covered by the remaining conditions of the PGP and that warrants greater review.

   Whenever the Corps notifies an applicant that an individual permit may be required, authorization under this general permit is void and no work may be conducted until the individual Corps permit is obtained or until the Corps notifies the applicant that further review has demonstrated that the work may proceed under this general permit.

5. **Single and Complete Projects**. This general permit shall not be used for piecemeal work and shall be applied to single and complete projects. All components of a single project and/or all planned phases of multi-phased projects shall be treated together as constituting one single and complete project (e.g., subdivisions should include all work such as roads, utilities, and lot development). This general permit shall not be used for any activity that is part of an overall project for which an individual permit is required.

NATIONAL CONCERNS:

6. **St. John/St. Croix Rivers**. This covers work within the Saint John and Saint Croix River basins that requires approval of the International Joint Commission. This includes any temporary or permanent use, obstruction or diversion of international boundary waters which could affect the natural flow or levels of waters on the Canadian side of the line, as well as any construction or maintenance of remedial works, protective works, dams, or other obstructions in waters downstream from boundary waters when the activity could raise the natural level of water on the Canadian side of the boundary.

7. **Historic Properties**. Any activity authorized by this general permit shall comply with Section 106 of the National Historic Preservation Act. Information on the location and existence of historic resources can be obtained from the Maine Historic Preservation Commission and the National Register of Historic Places. Federally recognized tribes (Penobscots, Passamaquoddys, Micmacs, and Maliseets) may know of the existence of other sites that may be of significance to their tribes. See page 14 for historic properties contacts.

   Applicants with projects which will undergo the screening process (Category II) shall submit a copy of their application materials, with the name and address of the applicant clearly indicated, to the Maine Historic Preservation Commission, 55 Capitol Street, State House Station 65, Augusta, Maine 04333, and to the applicable tribe(s) to be reviewed for the presence of historic and/or archaeological resources in the permit area that may be affected by the proposed work. The Corps will then be notified by the Commission and/or

Tribe within 10 days if there are State and/or tribal concerns that the proposed work will have an effect on historic resources. The applicant should include with their application to the State or the Corps either a copy of their cover letter or a statement of having sent their application material to the Commission and Tribe(s).

If the permittee, either prior to construction or during construction of the work authorized herein, encounters a previously unidentified archaeological or other cultural resource, within the area subject to Department of the Army jurisdiction, that might be eligible for listing in the National Register of Historic Places, he/she shall stop work and immediately notify the District Engineer and the Maine Historic Preservation Commission and/or applicable Tribe(s).

8. **National Lands**. Activities authorized by this general permit shall not impinge upon the value of any National Wildlife Refuge, National Forest, or any area administered by the National Park Service.

9. **Endangered Species**. No activity is authorized under this general permit which
   - may affect a threatened or endangered species or a species proposed for such designation as identified under the Federal Endangered Species Act (ESA),
   - is likely to destroy or adversely modify the critical habitat or proposed critical habitat of such species,
   - would result in a 'take' of any threatened or endangered species of fish or wildlife, or
   - would result in any other violation of Section 9 of the ESA protecting threatened or endangered species of plants.

   Applicants shall notify the Corps if any listed species or critical habitat, or proposed species or critical habitat, is in the vicinity of the project and shall not begin work until notified by the District Engineer that the requirements of the Endangered Species Act have been satisfied and that the activity is authorized. Information on the location of threatened and endangered species and their critical habitat can be obtained from the U.S. Fish and Wildlife Service and National Marine Fisheries Service (addresses attached, page 14).

10. **Essential Fish Habitat**. As part of the PGP screening process, the Corps will coordinate with the National Marine Fisheries Service (NMFS) in accordance with the 1996 amendments to the Magnuson-Stevens Fishery and Conservation Management Act to protect and conserve the habitat of marine, estuarine and anadromous finfish, mollusks, and crustaceans. This habitat is termed "essential fish habitat (EFH)", and is broadly defined to include "those waters and substrate necessary to fish for spawning, breeding, feeding, or growth to maturity." Applicants may be required to describe and identify potential impacts to EFH based upon the location of the project, the activity proposed, and the species present. Conservation recommendations made by NMFS will normally be included as a permit requirement by the Corps. Information on the location of EFH can be obtained from the NMFS regulations (50 CFR Part 600) (address listed on page 14) and on their web site (http://www.nero.nmfs.gov/ro/doc/webintro.html).

The EFH designation for Atlantic salmon includes all aquatic habitats in the watershed of the following rivers and streams, including all tributaries to the extent that they are currently or were historically accessible for salmon migration:

| | | |
|---|---|---|
| St. Croix River | Pleasant River | Union River |
| Boyden River | Narraguagus River | Ducktrap River |
| Dennys River | Tunk Stream | Sheepscot River |
| Hobart Stream | Patten Stream | Kennebec River |
| Aroostook River | Orland River | Androscoggin River |
| East Machias River | Penobscot River | Presumpscot River |
| Machias River | Passagassawaukeag River | Saco River |

11. **Wild and Scenic Rivers**. Any activity that occurs in a component of, or within 0.25 mile up or downstream of the main stem or tributaries of a river segment of the National Wild and Scenic River System, **must be reviewed by the Corps under the procedures of Category II of this general permit regardless of size of impact**. This condition applies to both designated wild and scenic rivers and rivers designated by Congress as study rivers for possible inclusion while such rivers are in an official study status. The Corps will consult with the National Park Service (NPS) with regard to potential impacts of the proposed work on the resource values of the Wild and Scenic River. The culmination of this coordination will be a determination by the NPS and the Corps that the work: (1) may proceed as proposed; (2) may proceed with recommended conditions; or (3) could pose a direct and adverse effect on the resource values of the river and an individual permit is required. If preapplication consultation between the applicant and the NPS has occurred whereby the NPS has made a determination that the proposed project is appropriate for authorization under this PGP (with respect to wild and scenic river issues), this determination should be furnished to the Corps with submission of the application. The address of the NPS can be found on Page 14 of this permit. *National Wild/Scenic Rivers System (Designated River in Maine) as of 5/2/00*: Allagash River beginning at Telos Dam continuing to Allagash checkpoint at Eliza Hole Rapids, approximately 3 miles upstream of the confluence with the St. John River. Length = 92 miles

12. **Federal Navigation Project**. Any structure or work that extends closer to the horizontal limits of any Corps navigation project than a distance of three times the project's authorized depth (see attached map following page 16 for locations of these projects) shall be subject to removal at the owner's expense prior to any future Corps dredging or the performance of periodic hydrographic surveys.

13. **Navigation**. There shall be no unreasonable interference with navigation by the existence or use of the activity authorized herein and no attempt shall be made by the permittee to prevent the full and free use by the public of all navigable waters at or adjacent to the activity authorized herein.

    The permittee understands and agrees that, if future operations by the United States require the removal, relocation, or other alteration, of the structure or work herein authorized, or if, in the opinion of the Secretary of the Army or his authorized representative, said structure

or work shall cause unreasonable obstruction to the free navigation of the navigable waters, the permittee will be required, upon due notice from the Corps of Engineers, to remove, relocate, or alter the structural work or obstructions caused thereby, without expense to the United States. No claim shall be made against the United States on account of any such removal or alteration.

14. **Federal Liability.** In issuing this permit, the Federal Government does not assume any liability for the following: (a) damages to the permitted project or uses thereof as a result of other permitted or unpermitted activities or from natural causes; (b) damages to the permitted project or uses thereof as a result of current or future activities undertaken by or on behalf of the United States in the public interest; (c) damages to persons, property, or to other permitted or unpermitted activities or structures caused by the activity authorized by this permit; (d) design or construction deficiencies associated with the permitted work; (e) damage claims associated with any future modification, suspension, or revocation of this permit.

MINIMIZATION OF ENVIRONMENTAL IMPACTS:

15. **Minimization**. Discharges of dredged or fill material into waters of the United States shall be avoided and minimized to the maximum extent practicable, regardless of review category.

16. **Work in Wetlands**. Heavy equipment working in wetlands shall be avoided if possible, and **if required, shall be placed on mats or other measures taken** to minimize soil and vegetation disturbance. Disturbed areas in wetlands shall be restored to preconstruction contours and conditions upon completion of the work.

17. **Temporary Fill**. Temporary fill in waters and wetlands authorized by this general permit (e.g., access roads, cofferdams) shall be properly stabilized during use to prevent erosion. Temporary fill in wetlands shall be placed on geotextile fabric laid on existing wetland grade. Temporary fills shall be disposed of at an upland site, suitably contained to prevent erosion and transport to a waterway or wetland. Temporary fill areas shall be restored to their approximate original contours but not higher. No temporary fill shall be placed in waters or wetlands unless specifically authorized by the Corps.

18. **Sedimentation and Erosion Control**. Adequate sedimentation and erosion control management measures, practices and devices, such as phased construction, vegetated filter strips, geotextile silt fences or other devices, shall be installed and properly maintained to reduce erosion and retain sediment on-site during and after construction. They shall be capable of preventing erosion, of collecting sediment, suspended and floating materials, and of filtering fine sediment. These devices shall be removed upon completion of work and the disturbed areas shall be stabilized. The sediment collected by these devices shall be removed and placed at an upland location in a manner that will prevent its later erosion into a waterway or wetland. All exposed soil and other fills shall be permanently stabilized at the earliest practicable date.

19. **Waterway Crossings**.

(a) All temporary and permanent crossings of waterbodies shall be suitably culverted, bridged, or otherwise designed to withstand and to prevent the restriction of high flows, to maintain existing low flows, and to not obstruct the movement of aquatic life indigenous to the waterbody beyond the actual duration of construction.
(b) Temporary bridges, culverts, or cofferdams shall be used for equipment access across streams (NOTE: areas of fill and/or cofferdams must be included in total waterway/wetlands impacts to determine applicability of this general permit).
(c) For projects that otherwise meet the terms of Category I, instream construction work shall be conducted during the low flow period July 15 - October 1 in any year. Projects that are not to be conducted during that time period are ineligible for Category I and shall be screened pursuant to Category II, regardless of the waterway and wetland fill and/or impact area.

20. **Discharge of Pollutants**. All activities involving any discharge of pollutants into waters of the United States authorized under this general permit shall be consistent with applicable water quality standards, effluent limitations, standards of performance, prohibitions, and pretreatment standards and management practices established pursuant to the Clean Water Act (33 U.S.C. 1251) and applicable state and local laws. If applicable water quality standards, limitations, etc., are revised or modified during the term of this permit, the authorized work shall be modified to conform with these standards within six months of the effective date of such revision or modification, or within a longer period of time deemed reasonable by the District Engineer in consultation with the Regional Administrator of the Environmental Protection Agency. Applicants may presume that state water quality standards are met with issuance of the 401 Water Quality Certification.

21. **Spawning Areas**. Discharges into known 1) fish and shellfish spawning or nursery areas; and 2) amphibian and waterfowl breeding areas, during spawning or breeding seasons shall be avoided, and impacts to these areas shall be avoided or minimized to the maximum extent practicable during all times of year.

22. **Storage of Seasonal Structures**. Coastal structures such as pier sections and floats that are removed from the waterway for a portion of the year shall be stored in an upland location located above mean high water and not in tidal marsh.

23. **Environmental Values**. The permittee shall make every reasonable effort to carry out the construction or operation of the work authorized herein in a manner so as to maintain as much as is practicable, and to minimize any adverse impacts on, existing fish and wildlife and natural environmental values.

24. **Protection of Vernal Pools.** Impacts to uplands in proximity (within 500 feet) to the vernal pools referenced in DEFINITIONS OF CATEGORIES shall be minimized to the maximum extent possible**.**

PROCEDURAL CONDITIONS:

25. **Cranberry Development Projects.** For Cranberry development projects authorized under the PGP, the following conditions apply:

    1. If a cranberry bog is abandoned for any reason, the area must be allowed to convert to natural wetlands unless an individual permit is obtained from the Corps of Engineers allowing the discharge of fill for an alternate use.
    2. No stream diversion shall be allowed under this permit.
    3. No impoundment of perennial streams shall be allowed under this permit.
    4. The project shall be designed and constructed to not cause flood damage on adjacent properties.

26. **Inspections**. The permittee shall permit the District Engineer or his authorized representative(s) to make periodic inspections at any time deemed necessary in order to ensure that the work is being performed in accordance with the terms and conditions of this permit. The District Engineer may also require post-construction engineering drawings for completed work, and post-dredging survey drawings for any dredging work. **To facilitate these inspections, the attached work notification form should be filled out and returned to the Corps for all Category II projects.**

27. **Maintenance**. The permittee shall maintain the work or structures authorized herein in good condition, including maintenance, to ensure public safety. Dredging projects: note that this does not include maintenance of dredging projects. Maintenance dredging is subject to the review thresholds described on the attached DEFINITION OF CATEGORIES sheets and/or any conditions included in a written Corps authorization.

28. **Property Rights**. This permit does not convey any property rights, either in real estate or material, or any exclusive privileges, nor does it authorize any injury to property or invasion of rights or any infringement of federal, state, or local laws or regulations. **If property associated with work authorized by the PGP is sold, the PGP authorization is automatically transferred to the new property owner. The new property owner should provide this information to the Corps in writing. No acknowledgement from the Corps is necessary.**

29. **Modification, Suspension, and Revocation**. This permit may be either modified, suspended, or revoked, in whole or in part, pursuant to the policies and procedures of 33 CFR 325.7 and any such action shall not be the basis for any claim for damages against the United States.

30. **Restoration**. The permittee, upon receipt of a notice of revocation of authorization under this permit, shall restore the wetland or waterway to its former condition without expense to the United States and as directed by the Secretary of the Army or his authorized representative. If the permittee fails to comply with such a directive, the Secretary or his designee may restore the wetland or waterway to its former condition, by contract or otherwise, and recover the cost from the permittee.

31. **Special Conditions**. The Corps, independently or at the request of the Federal Resource Agencies, may impose other special conditions on a project authorized pursuant to this general permit that are determined necessary to minimize adverse environmental effects or based on any other factor of the public interest. Failure to comply with all conditions of the authorization, including special conditions, will constitute a permit violation and may subject the permittee to criminal, civil, or administrative penalties or restoration.

32. **False or Incomplete Information**. If the Corps makes a determination regarding the eligibility of a project under this permit and subsequently discovers that it has relied on false, incomplete, or inaccurate information provided by the permittee, the permit shall not be valid and the government may institute appropriate legal proceedings.

33. **Abandonment**. If the permittee decides to abandon the activity authorized under this general permit, unless such abandonment is merely the transfer of property to a third party, he/she must restore the area to the satisfaction of the District Engineer.

34. **Enforcement cases**. This general permit does not apply to any existing or proposed activity in Corps jurisdiction associated with an on-going Corps of Engineers or Environmental Protection Agency enforcement action until such time as the enforcement action is resolved or the Corps determines that the activity may proceed independently without compromising the enforcement action. The Corps may choose not to accept applications or issue permits to any applicant with outstanding violations.

35. **Emergency situations.** This PGP can be used to authorize the repair, rehabilitation, or replacement of those structures destroyed by storms, floods, fire or other discrete unexpected and catastrophic event. In such situations and if the work exceeds Category I limitations, if applicant applies to the Corps within 30 days of the event, the Corps will attempt to contact the resource agencies for their approvals but, if unable to contact them, will issue an emergency permit and review them after-the-fact with the agencies at the next joint processing meeting. Proposed work submitted more than 30 days after the emergency will go through the standard PGP procedures.

DURATION OF AUTHORIZATION/GRANDFATHERING:

36. **Duration of Authorization**. Activities authorized under this general permit that have commenced (i.e., are under construction) or are under contract to commence in reliance upon this authorization will remain authorized provided the activity is completed within twelve months of the date of the general permit's expiration, modification, or revocation, unless discretionary authority has been exercised on a case-by-case basis to modify, suspend, or revoke the authorization in accordance with 33 CFR 325.2 (e)(2). Activities completed under the authorization of the general permit that was in effect at the time the activity was completed will continue to be authorized by the general permit.

37. **Previously Authorized Activities**.

(a) Activities which have commenced (i.e., are under construction or are under contract to commence) prior to the issuance date of this general permit, in reliance upon the terms and conditions of the non-reporting category of the previous Maine PGP shall remain authorized provided the activity is completed within twelve months of the date of issuance of this general permit, unless discretionary authority has been exercised on a case-by-case basis to modify, suspend, or revoke the authorization in accordance with special condition 4. The applicant must be able to document to the Corps satisfaction that the project was under construction or contract by the appropriate date.

(b) Projects that have received written verification or approval from the Corps, based on applications made to the Corps prior to issuance of this general permit, for the previous Maine SPGP and PGP, Nationwide permits, regional general permits, or letters of permission shall remain authorized as specified in each authorization.

(c) This general permit does not affect activities authorized pursuant to 33 CFR Part 330.3 (activities occurring before certain dates).

{PRIVATE}DISTRICT ENGINEER_____ DATE_____

**CONTACTS FOR MAINE PROGRAMMATIC GENERAL PERMIT:**

*U.S. Army Corps of Engineers*
Maine Project Office
675 Western Avenue #3
Manchester, Maine 04351
207-623-8367
Fax # 207-623-8206

*Federal Endangered Species*
U.S. Fish and Wildlife Service
Maine Field Office
1033 South Main Street
Old Town, Maine 04468
207-827-5938
Fax # 207-827-6099

*Federal Endangered Species and Essential Fish Habitat*
National Marine Fisheries Service
One Blackburn Drive
Gloucester, Massachusetts 01939
978-281-9102
Fax # 978-281-9301

*Wild and Scenic Rivers*
National Park Service
North Atlantic Region
15 State Street
Boston, MA 02109
617-223-5203

*Maine Historic Preservation Commission*
55 Capitol Street
State House Station 65
Augusta, Maine 04333
207-287-2132
Fax # 207-287-2335

*Houlton Band of Maliseet Indians*
Attn: Brenda Commander, Tribal Chief
Route 3 – Box 450
Houlton, Maine 04730
207-532-4273
Fax # 207-532-2660

*Aroostook Band of Micmacs*
P.O. Box 772
Presque Isle, Maine 04769
207-764-1972
Fax # 207-764-7667

*Passamaquoddy Tribe of Indians*
Indian Township Reservation
Attn: Donald Soctomah
P.O. Box 301
Princeton, Maine 04668
207-796-2301
Fax # 207-796-5256

*Passamaquoddy Tribe of Indians*
Pleasant Point Reservation
Attn: Tribal Council
P.O. Box 343
Perry, Maine 04667
207-853-2600
Fax # 207-853-6039

*Penobscot Indian Nation*
Richard Hamilton, Chief
6 River Road
Indian Island Reservation
Old Town, Maine 04468
(207) 827-7776
Fax # 207-827-1137

*Maine Department of Environmental Protection*
*(For State Permits and Water Quality Certifications)*
Natural Resources Division
Bureau of Land and Water Quality Control
State House Station 17
Augusta, Maine 04333
207-287-2111

Southern Maine Regional Office
312 Canco Road
Portland, Maine 04103
201-822-6300

Eastern Maine Regional Office
106 Hogan Road
Bangor, Maine 04401
207-941-4570

Northern Maine Regional Office
1235 Central Drive
Skyway Park
Presque Isle, Maine 04769
207-764-0477

*Maine Land Use Regulation Commission (LURC) offices*
22 State House Station
Augusta, ME 04333-0022
207-287-2631
800-452-8711 (call to obtain appropriate LURC office)
Fax # 207-287-7439

45 Radar Road
Ashland, ME 04732-3600
207-435-7963
Fax # 207-435-7184

Lakeview Drive
P.O. Box 1107
Greenville, ME 04441
207-695-2466
Fax # 207-695-2380

191 Main Street
East Millinocket, ME 04430
207-746-2244
Fax # 207-746-2243

*(For CZM Determinations)*
State Planning Office
Coastal Program
184 State Street
State House Station 38
Augusta, Maine 04333
207-287-1009

*Maine Department of Marine Resources*
(For Aquaculture Leases)
McKown Point
Boothbay Harbor, Maine 04575
207-633-9500

*(For Submerged Lands Leases)*
Maine Department of Conservation
Bureau of Parks and Lands
22 State House Station
207-287-3061

| A. INLAND WETLANDS (WATERS OF THE U.S.)[1] | CATEGORY I | CATEGORY II | INDIVIDUAL PERMIT |
|---|---|---|---|
| (a) NEW FILL/ EXCAVATION DISCHARGES | Less than 4,300 sf inland waterway and/or wetland fill and secondary impacts (e.g., areas drained, flooded or cleared).<br>-- Includes projects covered by a State Tier One permit with no cumulative impacts over 15,000 sf in inland wetlands from previous permits, unauthorized work, and/or other state permits.<br>--Includes crossing of perennial waterways designated as Essential Fish Habitat (EFH) for Atlantic salmon[2] if the waterway is crossed with a span and footprints of the span abutments are outside ordinary high water with no more than 4,300 sf of associated wetland impact.<br>--Includes in-stream work of up to 4,300 sf of fill below ordinary high water in waterways not designated as EFH for Atlantic salmon[2] and performed in accordance with Maine Permit By Rule standards or a LURC permit. | 4,300 sf to 3 acres inland waterway and/or wetland fill and secondary impacts (e.g., areas drained, flooded or cleared).<br>--Impact area includes all temporary and permanent fill and excavation discharges except for incidental fallback.<br>--Includes in-stream work, including crossings (other than spanned crossing as described in Category I) with any discharge of fill below ordinary high water in perennial waterways designated as EFH for Atlantic salmon[2].<br>--Time of year restrictions determined case-by-case. | Greater than 3 acres inland waterway and/or wetland fill and secondary impacts (e.g., areas drained, flooded or cleared).<br>--Impact area includes all temporary and permanent fill and excavation discharges except for incidental fallback[3].<br><br>In-stream work exceeding Category II limits.<br><br>If EIS required by the Corps. |

[1] Waters of the U.S. in inland areas: inland rivers, streams, lakes, ponds and wetlands.

[2] Essential Fish Habitat for Atlantic salmon includes all aquatic habitats in the watersheds of the following rivers and streams, including all tributaries to the extent that they are currently or were historically accessible for salmon migration: St. Croix, Boyden, Dennys, Hobart Stream, Aroostook, East Machias, Machias, Pleasant, Narraguagus, Tunk Stream, Patten Stream, Orland, Penobscot, Passagassawaukeag, Union, Ducktrap, Sheepscot, Kennebec, Androscoggin, Presumpscot, and Saco River.

The larger the impacts, the more likely an individual permit will be required. Projects involving widening, expansion or impacts to degraded or low valuewetlands between 1-3 acres may be approved under Category II, subject to the Federal screening. The Corps recognizes and endorses the DEP Tier 2 upper thresholds of 1 acre. Compensatory mitigation is likely to be required at this level of impact.

| | CATEGORY I | CATEGORY II | INDIVIDUAL PERMIT |
|---|---|---|---|
| (a) NEW FILL/ EXCAVATION DISCHARGES (continued) | --Impact area includes all temporary and permanent fill and excavation discharges except for incidental fallback.<br>--In-stream work limited to July 15-Oct. 1.<br>--This category excludes situations when a vernal pool of any size may be impacted, in accordance with the ME DEP definition of vernal pool[4].<br>--This category excludes work within ¼ mile of a Wild and Scenic River[5].<br>--This category excludes dams, dikes, or activities involving water withdrawal or water diversion.<br>--This category excludes work in National Wildlife Refuges. | Proactive restoration projects with any amount of impact can be reviewed under Category II. The Corps, in consultation with State and Federal agencies, must determine that net adverse effects are not more than minimal. | |
| (b) BANK STABILIZATION PROJECTS | Inland bank stabilization less than 500 ft. long and less than 1 cy fill per linear foot below ordinary high water in ponds, lakes, and waterways not designated as EFH for Atlantic Salmon[2], provided there is no wetland fill.<br>--In-stream work limited to July 15-October 1. | --Inland bank stabilization in ponds, lakes, and waterways not designated as EFH for Atlantic salmon[2] which exceeds Category I limits.<br>--Inland bank stabilization of any size below ordinary high water in waterways designed as EFH for Atlantic salmon[2].<br>--Other stabilization exceeding Category I. | |
| (c) REPAIR AND MAINTENANCE OF AUTHORIZED FILLS | Repair or maintenance of existing, currently serviceable, authorized fills with no substantial expansion or change in use. | Replacement of non-serviceable fills, or repair or maintenance of serviceable fills with expansion of any amount up to 1 acre, or with a change in use. | Replacement of non-serviceable fills, or repair or maintenance of serviceable fills with greater than 1 acre of expansion. |

[4] Vernal Pool: Naturally-occurring, or intentionally created for the purposes of compensatory mitigation, temporary to permanent bodies of water occurring in shallow depressions that fill during the spring and fall and may dry during the summer. Vernal pools have no permanent or viable populations of predatory fish. Vernal pools provide the primary breeding habitat for wood frogs, spotted salamanders, blue-spotted salamanders, and fairy shrimp, and provide habitat for other wildlife including several endangered and threatened species.

[5] National Wild/Scenic Rivers System (Designated River in Maine): Allagash River beginning at Telos Dam continuing to Allagash checkpoint at Eliza Hole Rapids, approximately 3 miles upstream of the confluence with the St. John River. Length = 92 miles

| B. TIDAL WATERS AND NAVIGABLE WATERS[6] | CATEGORY I | CATEGORY II | INDIVIDUAL PERMIT |
|---|---|---|---|
| (a) FILL | | Up to 1 acre waterway or wetland fill and secondary impacts (e.g., areas drained, flooded or cleared). Includes temporary and permanent waterway fill.<br>--Temporary tidal marsh impacts up to 1 acre.<br>--Permanent tidal marsh, mudflat, or vegetated shallows[7] fill up to 1,000 sf.<br>-- Proactive restoration projects with any amount of impact can be reviewed under Cat. II. The Corps, in consultation with State and Federal agencies, must determine that net adverse effects are not more than minimal. | Greater than 1 acre waterway fill and secondary impacts (e.g., areas drained, flooded or cleared). Includes temporary and permanent waterway fill.<br>--Temporary tidal marsh impacts over 1 acre.<br>--Permanent tidal marsh, mudflat, or vegetated shallows[6] fill over 1,000 sf. |
| (b) REPAIR AND MAINTENANCE WORK | Repair or maintenance of existing, currently serviceable, authorized structure or fill with no substantial expansion or change in use.<br>--Work must be in same footprint as original structure or fill. | Repair or replacement of any non-serviceable structure or fill, or repair or maintenance of serviceable fills, with expansion of any amount up to 1 acre, or with a change in use. | Replacement of non-serviceable structures or fill or repair or maintenance of serviceable structures or fill with expansion greater than 1 acre. |

[6] Navigable Waters: waters that are subject to the ebb and flow of the tide and Federally designated navigable waters (Penobscott River to Medway, Kennebec River to Moosehead Lake, and the portion of Umbagog Lake in Maine).
[7] Vegetated Shallows: subtidal areas that support rooted aquatic vegetation such as eelgrass.

| | CATEGORY I | CATEGORY II | INDIVIDUAL PERMIT |
|---|---|---|---|
| (c) DREDGING | Maintenance dredging of less than 1,000 cy with upland disposal.<br>--Proper siltation controls used<br>--Limited to work between November 1 and January 15<br>--No impact to special aquatic sites[8]. | Maintenance dredging of greater than 1,000 cy, new dredging of up to 25,000 cy, or projects that do not meet Category I. Disposal includes upland, open water or beach nourishment (above mean high water), only if material is determined suitable. | Maintenance dredging (any amount) in or affecting special aquatic sites[7]. See B(a) above for dredge disposal in wetlands or waters.<br><br>New dredging greater than 25,000 cy or any amount in or affecting special aquatic sites[7]. |
| (d) MOORINGS | --Private, non-commercial, non-rental single boat moorings not associated with any boating facility[9] provided not located in a Federal Navigation Project, there is no interference with navigation, it is not located in vegetated shallows[6], and it is within ¼ mile of the owner's residence or a public access point[10].<br>--Minor relocation of previously authorized moorings and moored floats consistent with Harbormaster recommendations, provided it is also consistent with local regulations, is not located in vegetated shallows, and does not interfere with navigation. | Moorings that do not meet the terms of Category I (e.g., rental or service moorings) and moorings that meet the terms of Category I that are located in a Federal anchorage. | Moorings within the horizontal limits, or with moored vessels that extend, into the horizontal limits of a Federal Navigation Project, except those in Federal anchorages under Category II. |

[8] Special Aquatic Sites: include wetlands and salt marsh, mudflats, riffles and pools, and vegetated shallows.
[9] Boating Facilities: facilities that provide, rent, or sell mooring space, such as marinas, yacht, clubs, boat clubs, boat yards, town facilities, dockominiums, etc.
[10] Cannot be at a remote location to create a convenient transient anchorage.

| | CATEGORY I | CATEGORY II | INDIVIDUAL PERMIT |
|---|---|---|---|
| (e) PILE-SUPPORTED STRUCTURES AND FLOATS | Reconfiguration of existing authorized docks, provided structures are not positioned over vegetated shallows[6] or salt marsh and provided floats are supported off substrate at low tide. No dredging, additional slips or expansion allowed. | Private piers and floats for navigational access to waterway (seasonal and permanent). | Structures, piers or floats that extend, or with docked/moored vessels that extend, into the horizontal limits of a Federal Navigation Project. Structures, including piers and floats, associated with a new or previously unauthorized boating facility[8]. |
| (f) MISCELLANEOUS | --Temporary buoys, markers, floats, etc., for recreational use during specific events, provided they are removed within 30 days after use is discontinued.<br>--Coast Guard approved aids to navigation.<br>--Oil spill clean-up temporary structures or fill.<br>--Fish/wildlife harvesting structures/fill (as defined by 33 CFR 330, App. A-4)<br>--Scientific measurement devices and survey activities such as exploratory drilling, surveying or sampling.<br>--Shellfish seeding (brushing the flats) projects[11]<br>--Does not include oil or gas exploration and fills for roads or construction pads.<br>--This category excludes work in National Wildlife Refuges. | --Structures or work in or affecting tidal or navigable waters that are not defined under any of the previous headings. Includes, but is not limited to, utility lines, aerial transmission lines, pipelines, outfalls, boat ramps, bridge fills/abutments, etc.<br>--Shellfish/finfish (other than Atlantic salmon), or other aquaculture facilities which are consistent with the Corps revised standard siting requirements and standard permit conditions dated 7/6/94, or as revised. | If EIS required by Corps. |

[11] Brushing the flats: the placement of tree boughs, wooden lath structures, or small-mesh fencing on mudflats for the purpose of enhancing recruitment of soft-shell clams (*Mya arenaria*).

# WORK START NOTIFICATION FORM

**(Minimum Notice: Two Weeks before Work Begins)**

**MAIL TO:** U.S. Army Corps of Engineers, New England District
Regulatory Branch
Policy Analysis/Technical Support Section
696 Virginia Road
Concord, Massachusetts 01742-2751

A Corps of Engineers Permit (No._____) was issued to the permittee. The permit authorized the permittee to _____

_____

_____

The people (e.g., contractor) listed below will do the work, and they understand the permit's conditions and limitations.

*PLEASE PRINT OR TYPE*

**Name of Person/Firm:** _____

_____

_____

**Business Address:** _____

_____

_____

**Telephone Number:** (_____) _____ (_____) _____

**Proposed Work Dates:** Start: _____ Finish: _____

**PERMITTEE'S SIGNATURE:** _____ **DATE:** _____

**PRINTED NAME:** _____ **TITLE:** _____

FOR USE BY THE CORPS OF ENGINEERS

PM: _____ Submittals Required: _____

Inspection Recommendation: _____

_____

**MITIGATION WORK-START NOTIFICATION FORM**
**(Minimum Notice: Two Weeks Before Mitigation Work Begins)**

**********

MAIL TO: U.S Army Corps of Engineers, New England District
Regulatory Branch
Policy Analysis/Technical Support Section
696 Virginia Road
Concord, Massachusetts 01742-2751

**********

Corps of Engineers Permit No. (_____) was issued to **[insert name of permittee].** The permit authorized the permittee to **[insert brief description of the authorized work and location].**

The permit required compensatory mitigation. **[Briefly describe the requirements, including, if applicable, submitting a final mitigation plan and monitoring reports.]**

Those listed below will do the mitigation, including monitoring and remediation if required. They understand the requirements of the permit and the mitigation and monitoring plan.

*PLEASE PRINT OR TYPE*

| | **Environmental** *Consultant/Scientist* | **Mitigation** *Contractor* |
|---|---|---|
| *Name of Person/Firm:* | _____ | _____ |
| **Business Address:** | _____ | _____ |
| | _____ | _____ |
| | _____ | _____ |
| **Telephone Number:** | ( )_____ | ( )_____ |

**Proposed Mitigation Work Dates:** Start _____ Finish_____

**PERMITTEE'S SIGNATURE:** _____ **DATE:** _____

**PRINTED NAME:** _____ **TITLE:** _____

**Corps PMs:** _____