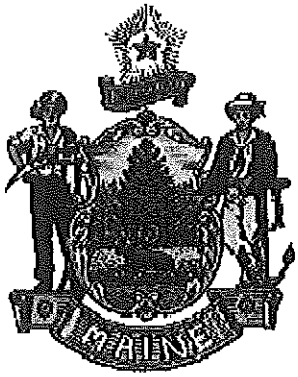
QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD



First Quarter 2014 Report

January 1, 2014 – March 31, 2014

Office of Monitoring, Audit & Enforcement

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Executive Director/Chair

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State of Maine
Workers' Compensation Board
Quarterly Compliance Report
First Quarter 2014

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Executive Summary

On September 9, 2014, the Maine Workers' Compensation Board of Directors approved the First Quarter 2014 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

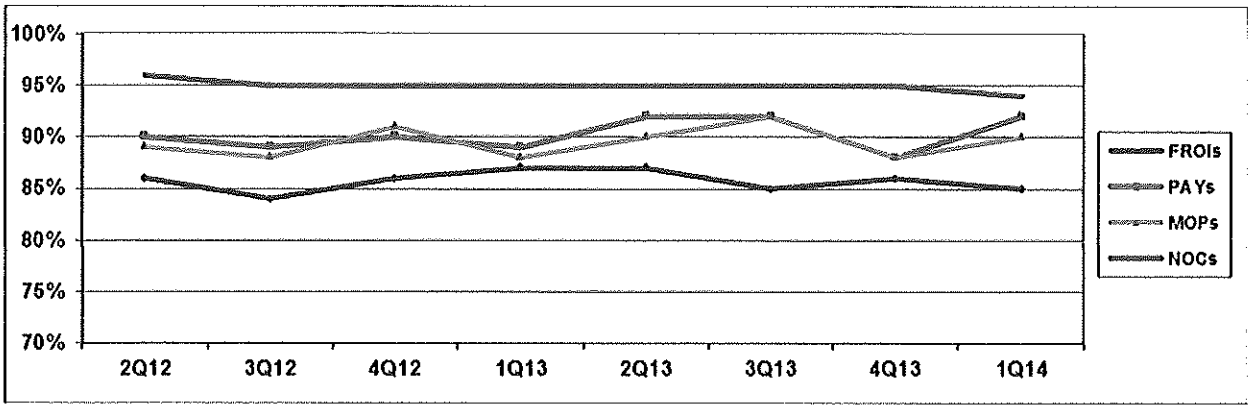
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 94 insurers on April 24, 2014; 67 responded, 22 were not required to respond and 5 did not respond.

The 1Q14 report represents results based upon data received by May 30, 2014. The results are:

	Number of Days	Benchmark	2Q12	3Q12	4Q12	1Q13	2Q13	3Q13	4Q13	1Q14
FROIs	7	85%	86%	84%	86%	87%	87%	85%	86%	85%
PAYs	14	87%	90%	89%	90%	89%	92%	92%	88%	92%
MOPs	17	85%	89%	88%	91%	88%	90%	92%	88%	90%
NOCs	14	90%	96%	95%	95%	95%	95%	95%	95%	94%

Compliance Benchmark Tracking (2Q12 – 1Q14)



II. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.

- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 1Q14:

Auditee (alpha order)	Total Penalties
Chesterfield Services	**$3,700.00**
Guard Insurance	**$6,925.00**
Travelers Insurance	**$12,875.00**

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

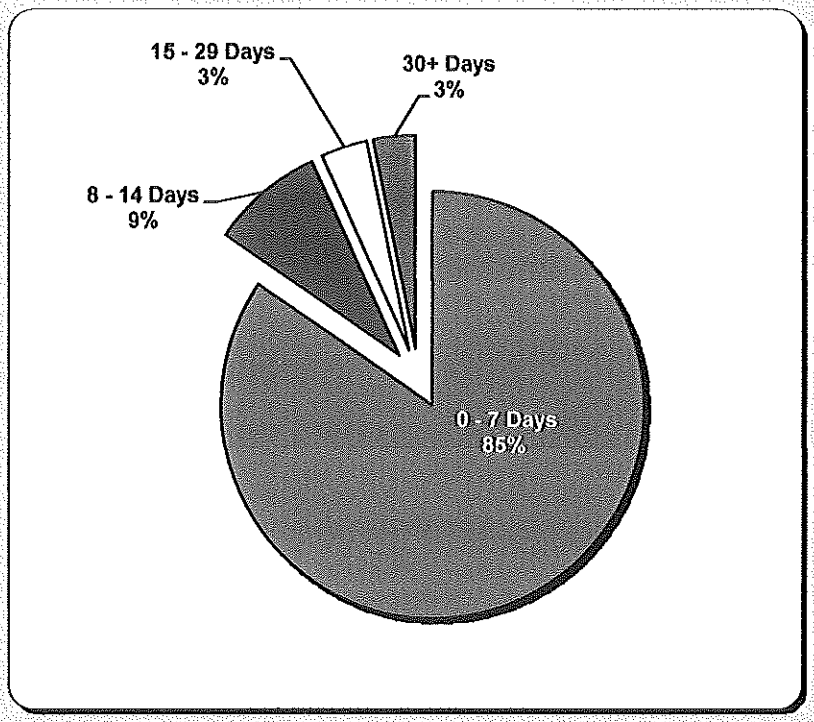


Table 1

Received Within:			
0 - 7	Days	2,921	85%
8 - 14	Days	296	9%
15 - 29	Days	123	3%
30+	Days	113	3%
Total		**3,453**	**100%**

Chart 2 Quarterly Compliance

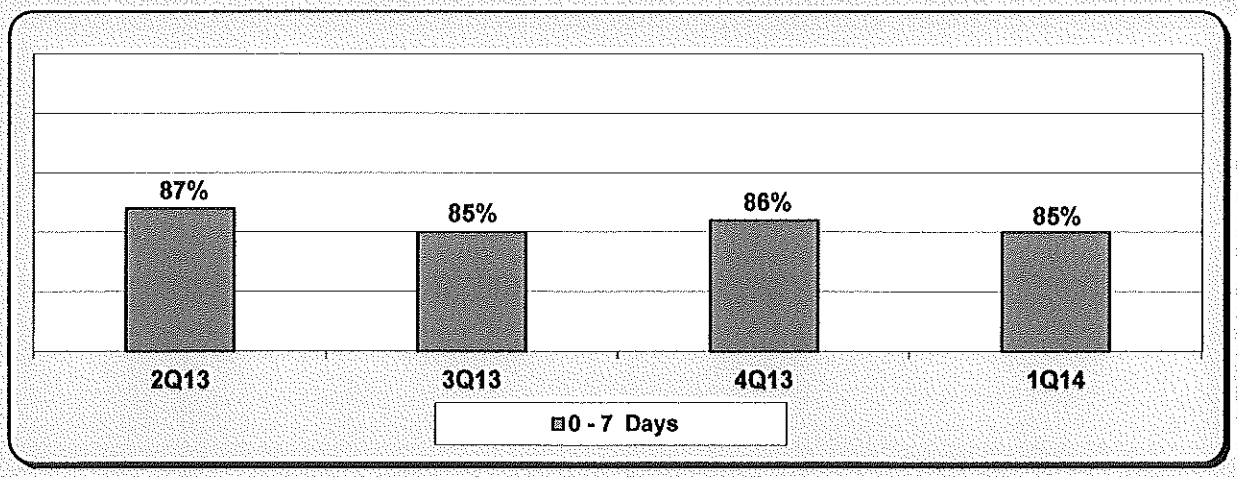
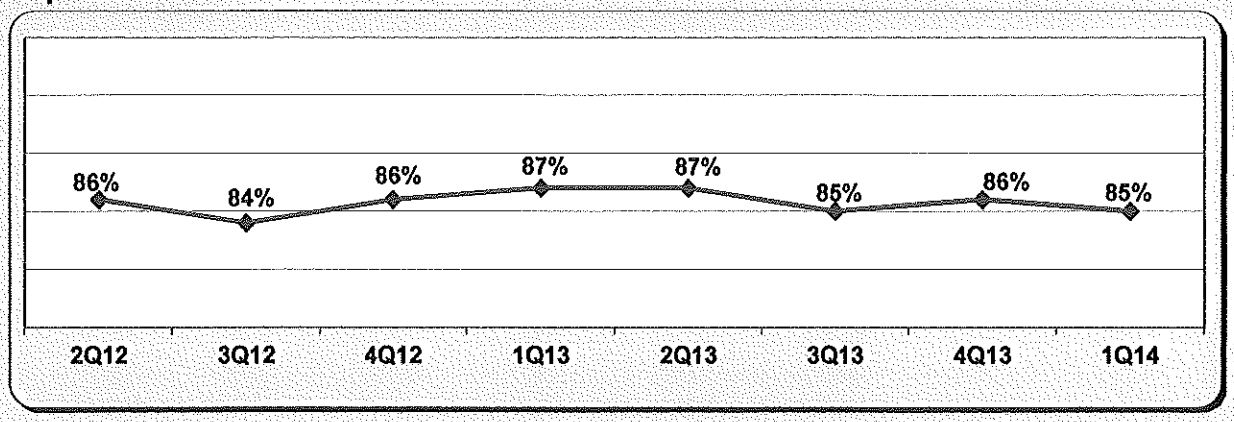


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

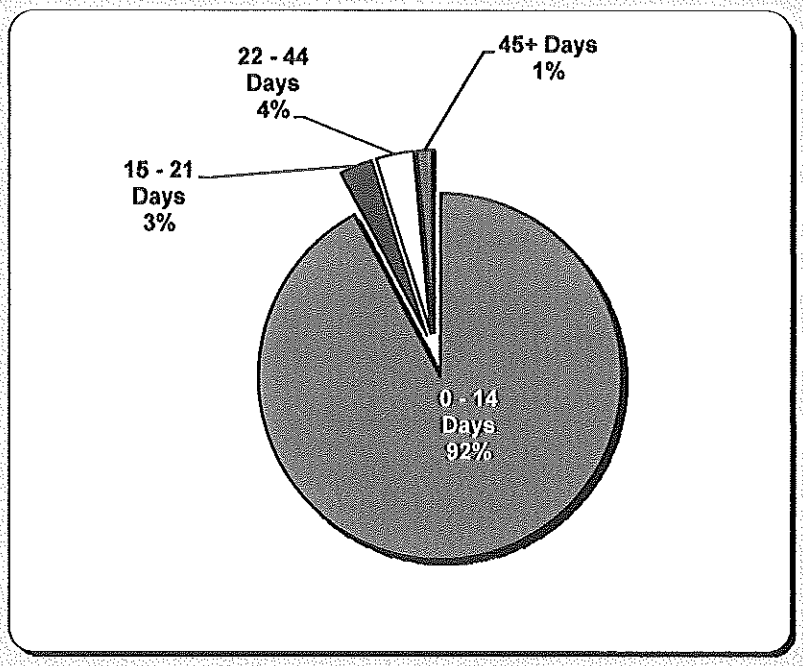


Table 2

Made Within:			
0 - 14	Days	980	92%
15 - 21	Days	31	3%
22 - 44	Days	36	4%
45+	Days	14	1%
?	Days	2	0%
Total		1,063	100%

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, $12,100 was issued to claimants in penalties and there is another $5,000 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

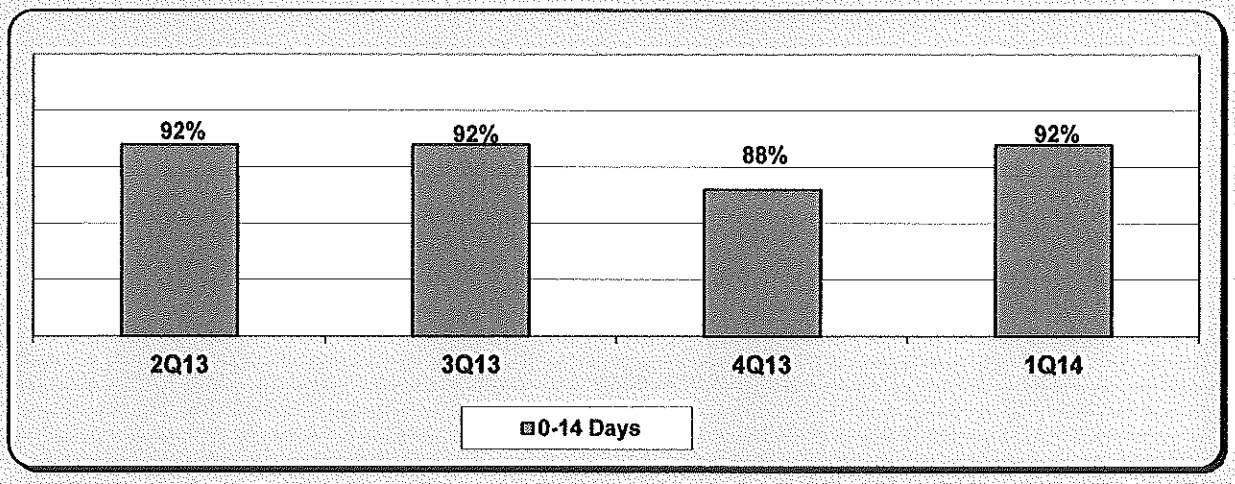
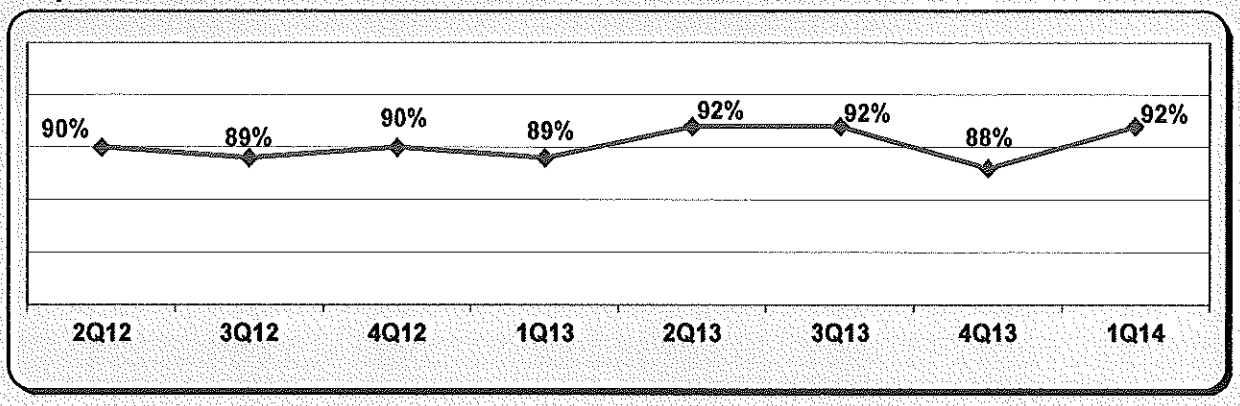


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

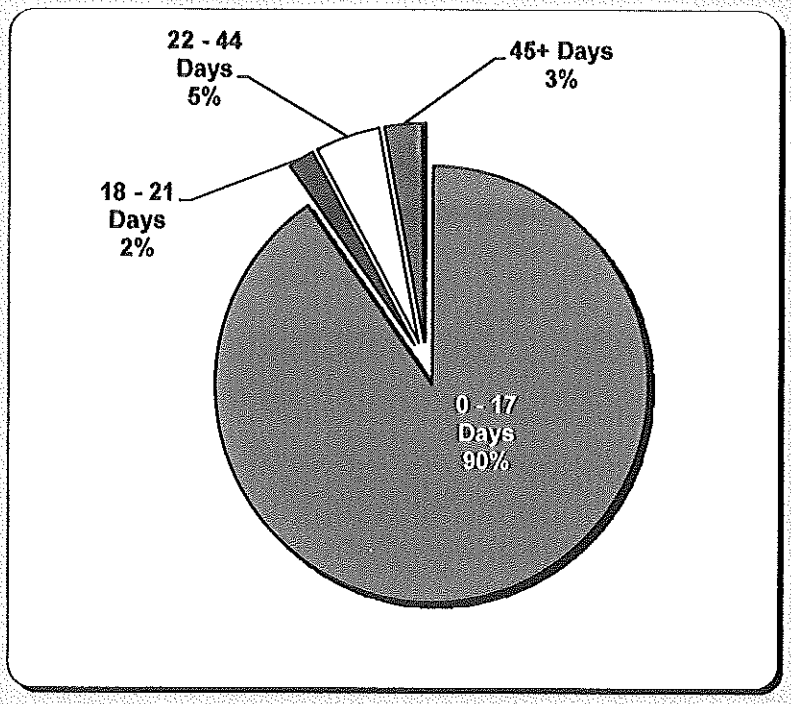


Table 3

Received Within:			
0 - 17	Days	959	90%
18 - 21	Days	21	2%
22 - 44	Days	51	5%
45+	Days	30	3%
?	Days	2	0%
Total		**1,063**	**100%**

Chart 8 Quarterly Compliance

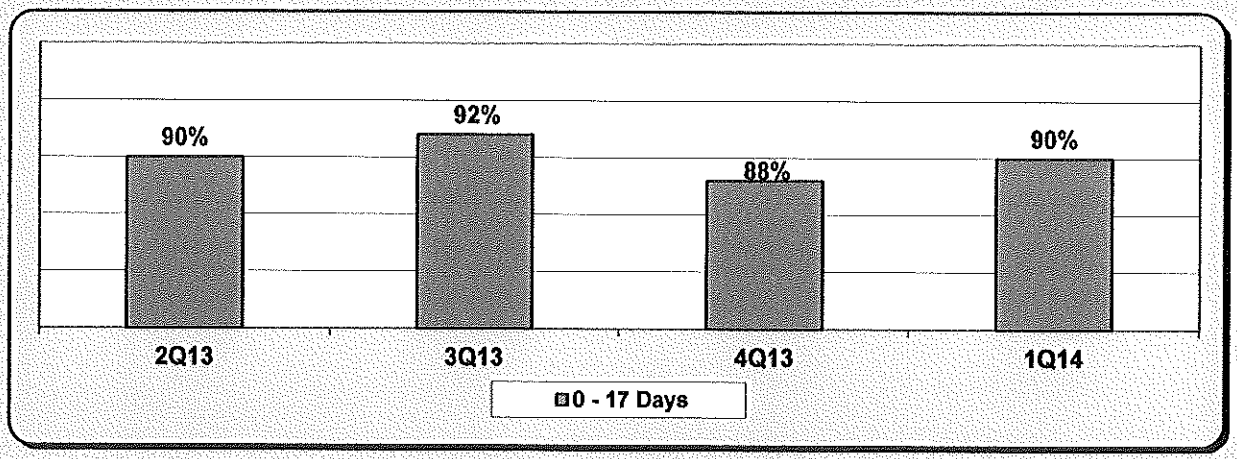
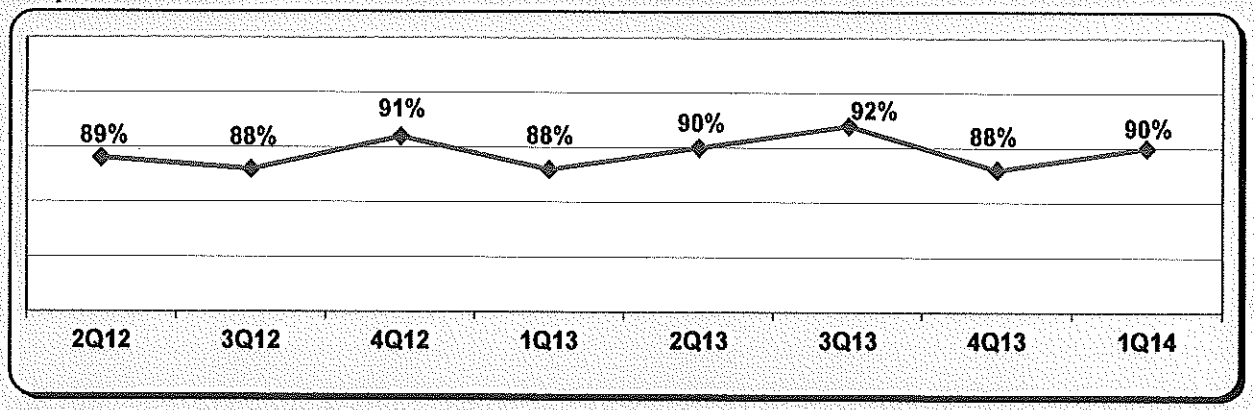


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

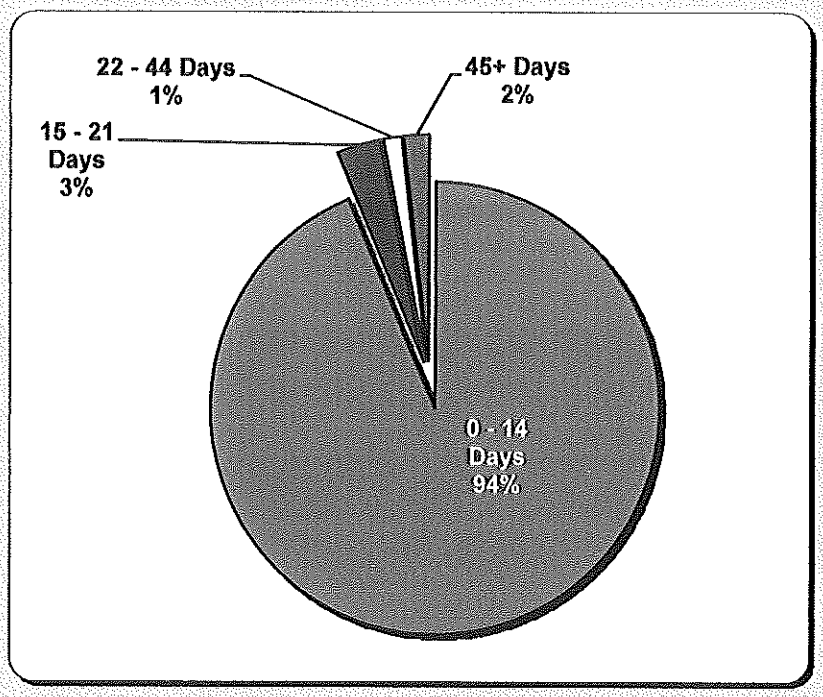


Table 4

Received Within:			
0 - 14	Days	567	94%
15 - 21	Days	19	3%
22 - 44	Days	8	1%
45+	Days	11	2%
Total		605	100%

Chart 11 Quarterly Compliance

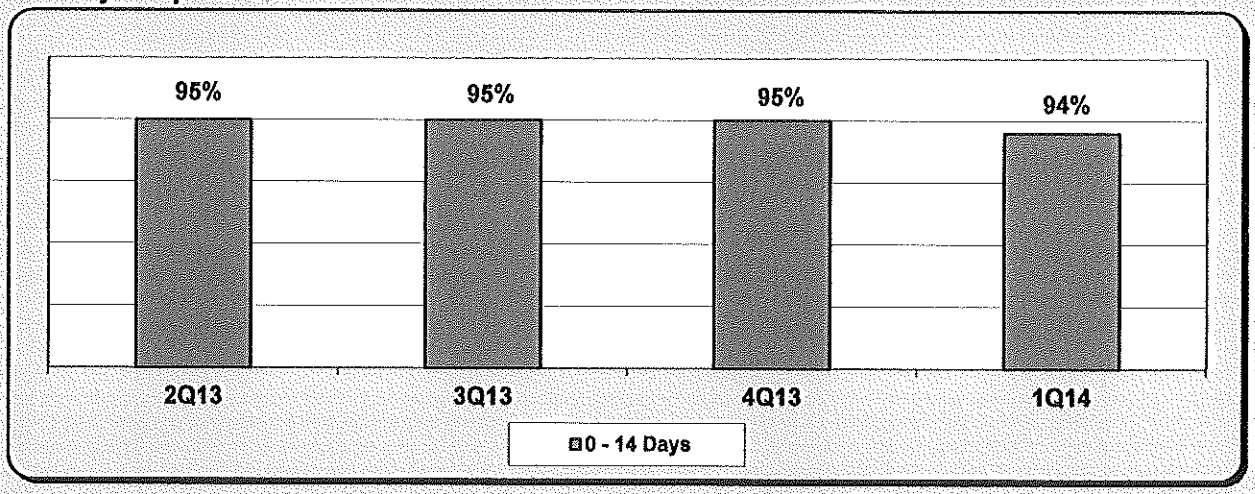
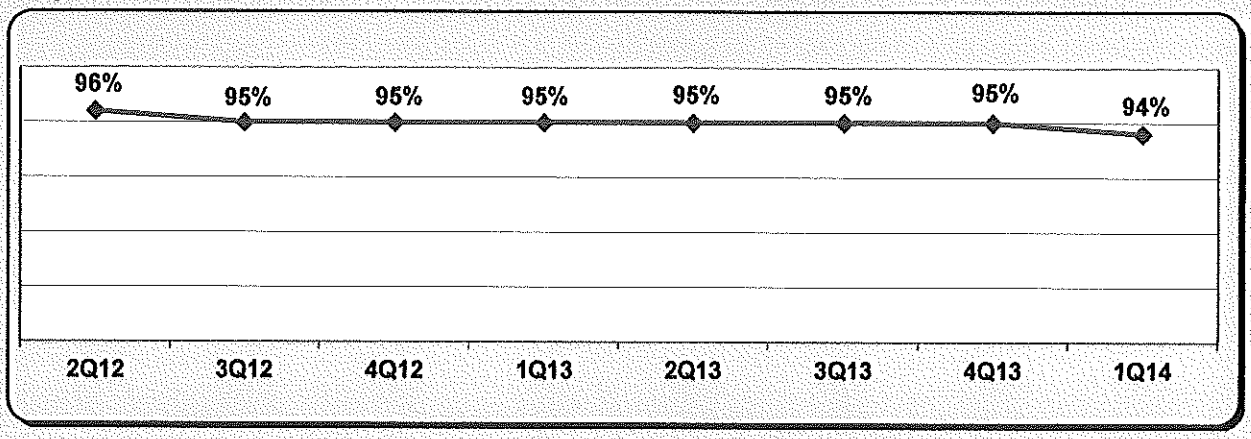


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

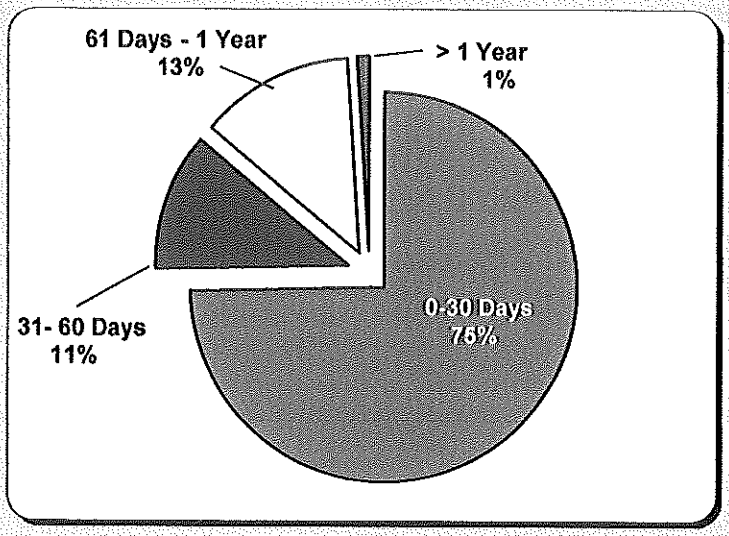


Table 5

Received Within:		
0-30 Days	1520	75%
31-60 Days	233	11%
61 Days-1 Year	264	13%
> 1 Year	16	1%
Total	**2,033**	**100%**

Wage Statement(s): 1,435 (73%) of the 1,959 Wage Statement(s) that were due this quarter were filed timely, 361 (19%) were filed late, and 163 (8%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

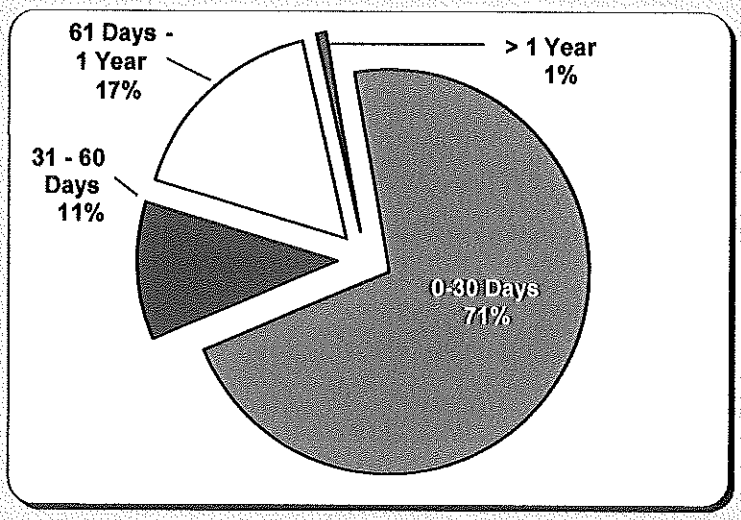


Table 6

Received Within:		
0-30 Days	1469	71%
31-60 Days	224	11%
61 Days-1 Year	343	17%
> 1 Year	16	1%
Total	**2,052**	**100%**

Fringe Benefit Worksheet(s): 1,369 (70%) of the 1,959 Fringe Benefit Worksheet(s) due this quarter were filed timely, 372 (19%) were filed late, and 218 (11%) remain outstanding.

Appendix A

Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
First Quarter 2014
1/1/2014-3/31/2014

INSURANCE GROUP COMPLIANCE

Lost Time FROI Filings and Initial Indemnity Payments

First Quarter

1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	**60**	**53**	**88%**	**27**	**25**	**93%**
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	7	6	86%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	6	3	50%	1	1	100%
CA160	ESIS	21	17	81%	5	4	80%
CA190	GALLAGHER BASSETT SERVICES	27	25	93%	9	8	89%
CA204	HELMSMAN MANAGEMENT SERVICES	3	2	67%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	69	58	84%	26	25	96%
CA340	YORK RISK SERVICES	2	1	50%	1	0	0%
	TPA Total	**135**	**112**	**83%**	**46**	**42**	**91%**
	ACE INSURANCE Group Total	**135**	**112**	**83%**	**46**	**42**	**91%**
	AIG INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA015	AIG CLAIMS, INC.	51	47	92%	19	19	100%
	Total	**51**	**47**	**92%**	**19**	**19**	**100%**
	AIG INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	14	11	79%	1	0	0%
CA100	CLAIMS MANAGEMENT (WAL-MART)	42	41	98%	2	2	100%
CA160	ESIS	7	6	86%	5	4	80%
CA190	GALLAGHER BASSETT SERVICES	36	30	83%	11	9	82%
CA204	HELMSMAN MANAGEMENT SERVICES	5	3	60%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	11	10	91%	6	6	100%
	TPA Total	**115**	**101**	**88%**	**28**	**24**	**86%**
	AIG INSURANCE Group Total	**166**	**148**	**89%**	**47**	**43**	**91%**
	AMTRUST INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA342	TECHNOLOGY INSURANCE	11	3	27%	1	0	0%
	Group Total	**11**	**3**	**27%**	**1**	**0**	**0%**
	ARCH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA160	ESIS	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	1	1	100%
	TPA Total	**6**	**4**	**67%**	**3**	**3**	**100%**
	ARCH INSURANCE Group Total	**6**	**4**	**67%**	**3**	**3**	**100%**
	BATH IRON WORKS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA036	**Group Total**	**105**	**105**	**100%**	**13**	**12**	**92%**

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI Filings and Initial Indemnity Payments

First Quarter

1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	BERKSHIRE HATHAWAY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA114	Group Total	2	0	0%	1	0	0%
	BROADSPIRE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	25	18	72%	7	5	71%
	CANNON COCHRAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	140	113	81%	48	39	81%
	CHEROKEE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA044	Group Total	1	0	0%	1	1	100%
	CHESTERFIELD SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	*	*	*	*	*	*
	CHUBB INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	6	3	50%	6	6	100%
	CHUBB INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	1	0	0%
	TPA Total	4	2	50%	1	0	0%
	CHUBB INSURANCE Group Total	10	5	50%	7	6	86%
	CHURCH MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	1	0	0%	1	1	100%
	CIANBRO CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	2	2	100%	1	1	100%
	CITY OF BANGOR	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA033	Group Total	20	19	95%	13	13	100%
	CLAIMS MANAGEMENT (WALMART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	42	41	98%	2	2	100%
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA050	Group Total	4	4	100%	3	3	100%
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	6	3	50%	1	1	100%
	CONTINENTAL INDEMNITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	*	*	*	*	*	*
	CORVEL ENTERPRISE COMP.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	6	3	50%	4	2	50%
	COTTINGHAM & BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	12	12	100%	5	4	80%

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI Filings and Initial Indemnity Payments

First Quarter

1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CROSS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA093	**Group Total**	306	290	95%	58	57	98%
	CRUM & FORSTER	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA089	CRUM & FORSTER	*	*	*	*	*	*
CA375	UNITED STATES FIRE INSURANCE	No filings	No filings	No filings	1	1	100%
	Group Total	No filings	No filings	No filings	1	1	100%
	ELECTRIC INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	ELECTRIC INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
	ESIS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA160	**Group Total**	33	26	79%	14	12	86%
	F.A. RICHARD	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA165	**Group Total**	*	*	*	*	*	*
	FEDERATED MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA091	FEDERATED MUTUAL INSURANCE	1	0	0%	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	1	1	100%	No filings	No filings	No filings
	Group Total	2	1	50%	No filings	No filings	No filings
	FIREMAN'S FUND INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA170	**Group Total**	1	0	0%	No filings	No filings	No filings
	FUTURECOMP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA175	**Group Total**	52	49	94%	17	15	88%
	GALLAGHER BASSETT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA190	**Group Total**	118	99	84%	37	29	78%
	GREAT AMERICAN INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA193	**Group Total**	1	0	0%	No filings	No filings	No filings
	GREAT FALLS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	46	27	59%	14	11	79%
	TPA Total	46	27	59%	14	11	79%
	GREAT FALLS INSURANCE Group Total	46	27	59%	14	11	79%
	GREAT WEST INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA196	**Group Total**	1	0	0%	No filings	No filings	No filings

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI Filings and Initial Indemnity Payments

First Quarter

1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	GUARANTEE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA195	**Group Total**	2	1	50%	1	0	0%
	GUARD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	8	5	63%	3	3	100%
CA140	EASTGUARD INSURANCE COMPANY	8	5	63%	3	2	67%
CA272	NORGUARD INSURANCE COMPANY	2	2	100%	No filings	No filings	No filings
	Group Total	18	12	67%	6	5	83%
	HANNAFORD BROTHERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA201	**Group Total**	53	39	74%	14	13	93%
	HANOVER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	9	8	89%	No filings	No filings	No filings
CA202	HANOVER INSURANCE CO.	18	15	83%	1	1	100%
CA228	MASSACHUSETTS BAY INS. CO.	4	3	75%	2	2	100%
	Group Total	31	26	84%	3	3	100%
	HARLEYSVILLE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA197	**Group Total**	1	1	100%	No filings	No filings	No filings
	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	3	2	67%	1	1	100%
CA185	HARTFORD CASUALTY INS. CO.	1	1	100%	1	1	100%
CA203	HARTFORD FIRE INSURANCE CO.	3	2	67%	1	1	100%
CA186	HARTFORD INSURANCE OF THE MIDWEST	6	4	67%	1	1	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	6	5	83%	1	1	100%
CA288	PROPERTY & CASUALTY INSURANCE CO.	1	0	0%	1	1	100%
CA296	SENTINEL INSURANCE CO.	1	0	0%	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	8	8	100%	5	5	100%
CA321	TWIN CITY FIRE INSURANCE CO.	12	10	83%	4	4	100%
	Total	41	32	78%	15	15	100%
	HARTFORD INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	1	50%	2	2	100%
CA116	CORVEL ENTERPRISE COMP.	2	0	0%	1	0	0%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	11	10	91%	3	2	67%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	2	2	100%	No filings	No filings	No filings
	TPA Total	17	13	76%	6	4	67%
	HARTFORD INSURANCE Group Total	58	45	78%	21	19	90%
	HELMSMAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA204	**Group Total**	12	9	75%	7	7	100%
	LIBERTY MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	4	1	25%	No filings	No filings	No filings
CA210	LIBERTY MUTUAL INSURANCE CO.	60	43	72%	25	20	80%
	Total	64	44	69%	25	20	80%
	LUMBERMEN'S UNDERWRITING	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA211	**Group Total**	*	*	*	*	*	*

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI Filings and Initial Indemnity Payments

First Quarter

1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	MAINE AUTOMOBILE DEALERS ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA220	Group Total	37	33	89%	20	19	95%
	MAINE EMPLOYERS' MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA260	Group Total	1135	931	82%	373	354	95%
	MAINE HEALTHCARE ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA234	Group Total	28	21	75%	9	9	100%
	MAINE MOTOR TRANSPORT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230	Group Total	72	62	86%	11	9	82%
	MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	269	251	93%	66	63	95%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250	Group Total	98	92	94%	22	22	100%
	MEADOWBROOK	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	6	4	67%	2	1	50%
	MITSUI SUMITOMO INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA233	Group Total	1	0	0%	No filings	No filings	No filings
	NATIONAL CASUALTY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA289	Group Total	*	*	*	*	*	*
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	1	1	100%	1	1	100%
	OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	3	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	8	4	50%	2	1	50%
CA295	RYDER SERVICES CORPORATION	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	1	1	100%
	TPA Total	17	13	76%	5	4	80%
	OLD REPUBLIC INSURANCE Group Total	17	13	76%	5	4	80%
	ONEBEACON INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
	ONEBEACON INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
	TPA Total	2	2	100%	1	1	100%
	ONEBEACON INSURANCE Group Total	2	2	100%	1	1	100%

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI Filings and Initial Indemnity Payments

First Quarter

1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	PATRIOT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA274		1	1	100%	1	1	100%
	PATRIOT RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA292	**Group Total**	*	*	*	*	*	*
	PEERLESS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA162	EXCELISOR INSURANCE CO.	*	*	*	*	*	*
CA309	NETHERLANDS INSURANCE COMPANY	*	*	*	*	*	*
CA275	PEERLESS INSURANCE CO.	40	27	68%	22	18	82%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	**40**	**27**	**68%**	**22**	**18**	**82%**
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Group Total	*	*	*	*	*	*
	PENNSYLVANIA MANUFACTURERS' ASSN. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	No filings	No filings	No filings
	TPA Total	**4**	**3**	**75%**	**No filings**	**No filings**	**No filings**
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	**4**	**3**	**75%**	**No filings**	**No filings**	**No filings**
	PROTECTIVE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA277	**Group Total**	**2**	**0**	**0%**	**1**	**0**	**0%**
	PUBLIC SERVICE MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA282	**Group Total**	*	*	*	*	*	*
	QBE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	QBE INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	10	9	90%	3	3	100%
	TPA Total	**10**	**9**	**90%**	**3**	**3**	100%
	QBE INSURANCE Group Total	**10**	**9**	**90%**	**3**	**3**	**100%**
	RYDER SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA295	**Group Total**	**1**	**1**	**100%**	**1**	**1**	**100%**
	SAFETY NATIONAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	3	3	100%	3	2	67%
CA190	GALLAGHER BASSETT SERVICES	11	10	91%	2	1	50%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	1	33%	1	1	100%
CA340	YORK RISK SERVICES	2	1	50%	No filings	No filings	No filings
	TPA Total	**21**	**17**	**81%**	**6**	**4**	**67%**
	SAFETY NATIONAL INSURANCE Group Total	**21**	**17**	**81%**	**6**	**4**	**67%**

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI Filings and Initial Indemnity Payments

First Quarter

1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SEDGWICK CLAIMS MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA300	**Group Total**	140	115	82%	56	51	91%
	SENTRY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA305	SENTRY A MUTUAL CO.	2	1	50%	2	1	50%
CA402	SENTRY CASUALTY CO.	*	*	*	*	*	*
CA308	SENTRY SELECT INSURANCE CO.	*	*	*	*	*	*
	Group Total	2	1	50%	2	1	50%
	SPARTA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SPARTA INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	10	10	100%	3	3	100%
	TPA Total	10	10	100%	3	3	100%
	SPARTA INSURANCE Group Total	10	10	100%	3	3	100%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA307	**Group Total**	157	141	90%	54	53	98%
	SYNERNET	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA320	**Group Total**	119	94	79%	24	21	88%
	THE AMERICAN EQUITY UNDERWRITERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA323	**Group Total**	*	*	*	*	*	*
	TOWER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA356	**Group Total**	3	1	33%	1	1	100%
	TRAVELERS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	43	38	88%	16	16	100%
CA164	FARMINGTON CASUALTY CO.	1	1	100%	2	2	100%
CA306	STANDARD FIRE INS. CO.	7	5	71%	2	2	100%
CA284	THE PHOENIX INS. CO.	2	0	0%	1	1	100%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	2	2	100%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	3	3	100%	3	3	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	8	6	75%	3	3	100%
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	2	2	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	8	6	75%	3	3	100%
	Total	76	63	83%	30	30	100%
	TRAVELERS INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	5	4	80%	4	3	75%
CA190	GALLAGHER BASSETT SERVICES	3	1	33%	2	1	50%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	2	1	50%
	TPA Total	10	6	60%	8	5	63%
	TRAVELERS INSURANCE Group Total	86	69	80%	38	35	92%

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Lost Time FROI Filings and Initial Indemnity Payments

First Quarter

1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	TRISTAR RISK ENTERPRISE MANAGEMENT	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	**Group Total**	**3**	**3**	**100%**	**No filings**	**No filings**	**No filings**
	UNDERWRITERS SAFETY & CLAIMS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA360	**Group Total**	*	*	*	*	*	*
	VANLINER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	VANLINER INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	1	0	0%
	TPA Total	**1**	**1**	100%	**1**	**0**	**0%**
	VANLINER INSURANCE Group Total	**1**	**1**	**100%**	**1**	**0**	**0%**
	XL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	XL INSURANCE TPA Administered Claims						
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	No filings	No filings	No filings
CA160	ESIS	2	1	50%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	**6**	**4**	**67%**	**4**	**4**	**100%**
	XL INSURANCE Group Total	**6**	**4**	**67%**	**4**	**4**	**100%**
	YORK RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA340	**Group Total**	**4**	**2**	**50%**	**1**	**0**	**0%**
	ZURICH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA022	AMERICAN ZURICH	12	10	83%	6	6	100%
CA259	NORTHERN INSURANCE OF NEW YORK	1	1	100%	1	1	100%
CA400	ZURICH AMERICAN INSURANCE CO.	10	8	80%	6	6	100%
CA404	ZURICH AMERICAN INSURANCE CO. OF ILLINOIS	4	3	75%	2	1	50%
	Total	**27**	**22**	**81%**	**15**	**14**	**93%**
	ZURICH INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	10	9	90%	3	2	67%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	10	9	90%	1	1	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	No filings	No filings	No filings
	TPA Total	**21**	**19**	**90%**	**4**	**3**	**75%**
	ZURICH INSURANCE Group Total	**48**	**41**	**85%**	**19**	**17**	**89%**

*Indicates no claims activity this quarter.

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2014
1/1/2014-3/31/2014

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

First Quarter

1/1/2014 - 3/31/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA010	**Group Total**	27	25	93%	13	13	100%
	ACE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	1	1	100%
CA160	ESIS	5	4	80%	3	2	67%
CA190	GALLAGHER BASSETT SERVICES	9	8	89%	1	1	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	26	25	96%	12	12	100%
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	**46**	**41**	**89%**	**18**	**17**	**94%**
	ACE INSURANCE Group Total	**46**	**41**	**89%**	**18**	**17**	**94%**
	AIG INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA015	AIG CLAIMS, INC.	19	18	95%	10	9	90%
	Total	**19**	**18**	**95%**	**10**	**9**	**90%**
	AIG INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	4	4	100%
CA100	CLAIMS MANAGEMENT (WALMART)	2	2	100%	14	13	93%
CA160	ESIS	5	3	60%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	11	9	82%	5	5	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	3	100%	1	0	0%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	6	100%	4	4	100%
	TPA Total	**28**	**24**	**86%**	**30**	**28**	**93%**
	AIG INSURANCE Group Total	**47**	**42**	**89%**	**40**	**37**	**93%**
	AMTRUST INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA342	TECHNOLOGY INSURANCE	1	0	0%	3	1	33%
	Group Total	**1**	**0**	**0%**	**3**	**1**	**33%**
	ARCH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	**3**	**3**	**100%**	**No filings**	**No filings**	**No filings**
	ARCH INSURANCE Group Total	**3**	**3**	**100%**	**No filings**	**No filings**	**No filings**
	BATH IRON WORKS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA036	**Group Total**	13	13	100%	14	14	100%

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

First Quarter

1/1/2014 - 3/31/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	BERKSHIRE HATHAWAY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA114	Group Total	1	1	100%	1	0	0%
	BROADSPIRE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	7	4	57%	5	5	100%
	CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA070	Group Total	48	37	77%	30	25	83%
	CHEROKEE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA044	Group Total	1	0	0%	No filings	No filings	No filings
	CHESTERFIELD SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	*	*	*	*	*	*
	CHUBB INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	6	6	100%	No filings	No filings	No filings
	CHUBB INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	2	2	100%
	TPA Total	1	0	0%	3	3	100%
	CHUBB INSURANCE Group Total	7	6	86%	3	3	100%
	CHURCH MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	1	1	100%	No filings	No filings	No filings
	CIANBRO CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA085	Group Total	1	1	100%	1	1	100%
	CITY OF BANGOR	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA033	Group Total	13	13	100%	1	1	100%
	CLAIMS MANAGEMENT (WALMART)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA100	Group Total	2	2	100%	14	13	93%
	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA050	Group Total	3	3	100%	No filings	No filings	No filings
	CONSTITUTION STATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110	Group Total	1	1	100%	1	1	100%
	CONTINENTAL INDEMNITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA115	Group Total	*	*	*	*	*	*
	CORVEL ENTERPRISE COMP.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA116	Group Total	4	2	50%	1	1	100%
	COTTINGHAM & BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	5	4	80%	2	2	100%

*Indicates no claims activity this quarter.

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

First Quarter

1/1/2014 - 3/31/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CROSS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA093	**Group Total**	58	55	95%	59	59	100%
	CRUM & FORSTER	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA089	CRUM & FORSTER	*	*	*	*	*	*
CA375	UNITED STATES FIRE INSURANCE	1	1	100%	No filings	No filings	No filings
	Group Total	1	1	100%	No filings	No filings	No filings
	ELECTRIC INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
	TPA Total	No filings	No filings	No filings	1	1	100%
	ELECTRIC INSURANCE Group Total	No filings	No filings	No filings	1	1	100%
	ESIS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA160	**Group Total**	14	11	79%	5	4	80%
	F.A. RICHARD	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA165	**Group Total**	*	*	*	*	*	*
	FEDERATED MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA091	FEDERATED MUTUAL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FIREMAN'S FUND INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA170	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	FUTURECOMP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA175	**Group Total**	17	15	88%	8	8	100%
	GALLAGHER BASSETT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA190	**Group Total**	37	31	84%	14	13	93%
	GREAT AMERICAN INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA193	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	GREAT FALLS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	14	9	64%	12	8	67%
	GREAT FALLS INSURANCE Group Total	14	9	64%	12	8	67%
	GREAT WEST INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA196	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	GUARANTEE INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA195	**Group Total**	1	0	0%	1	1	100%
	GUARD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA019	AMGUARD INSRUANCE COMPANY	3	2	67%	No filings	No filings	No filings
CA140	EASTGUARD INSURANCE COMPANY	3	1	33%	No filings	No filings	No filings
CA272	NORGUARD INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	6	3	50%	No filings	No filings	No filings
	HANNAFORD BROTHERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA201	**Group Total**	14	11	79%	8	7	88%
	HANOVER INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	No filings	No filings	No filings	2	2	100%
CA202	HANOVER INSURANCE CO.	1	1	100%	4	4	100%
CA228	MASSACHUSETTS BAY INS. CO.	2	2	100%	No filings	No filings	No filings
	Group Total	3	3	100%	6	6	100%
	HARLEYSVILLE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA197	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INS. CO.	1	1	100%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA186	HARTFORD INSURANCE OF THE MIDWEST	1	1	100%	No filings	No filings	No filings
CA187	HARTFORD UNDERWRITERS INSURANCE	1	1	100%	No filings	No filings	No filings
CA288	PROPERTY & CASUALTY INSURANCE CO.	1	0	0%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	5	5	100%	2	2	100%
CA321	TWIN CITY FIRE INSURANCE CO.	4	4	100%	1	1	100%
	Total	15	14	93%	3	3	100%
	HARTFORD INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	1	50%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	3	3	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	No filings	No filings	No filings	1	1	100%
	TPA Total	6	4	67%	5	5	100%
	HARTFORD INSURANCE Group Total	21	18	86%	8	8	100%
	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA204	**Group Total**	7	7	100%	1	0	0%
	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	No filings	No filings	No filings	2	0	0%
CA210	LIBERTY MUTUAL INSURANCE CO.	25	19	76%	15	13	87%
	Total	25	19	76%	17	13	76%
	LUMBERMEN'S UNDERWRITING	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA211	**Group Total**	*	*	*	*	*	*

*Indicates no claims activity this quarter.

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	MAINE AUTOMOBILE DEALERS ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA220	Group Total	20	19	95%	3	3	100%
	MAINE EMPLOYERS' MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA260	Group Total	373	348	93%	200	192	96%
	MAINE HEALTHCARE ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA234	Group Total	9	9	100%	6	4	67%
	MAINE MOTOR TRANSPORT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA230	Group Total	11	9	82%	11	11	100%
	MAINE MUNICIPAL ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA225	Group Total	66	64	97%	57	55	96%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA250	Group Total	22	22	100%	15	15	100%
	MEADOWBROOK	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255	Group Total	2	1	50%	1	1	100%
	MITSUI SUMITOMO INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA233	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	NATIONAL CASUALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA289	Group Total	*	*	*	*	*	*
	NATIONAL INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	1	1	100%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	2	2	100%
CA295	RYDER SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
	TPA Total	5	3	60%	4	4	100%
	OLD REPUBLIC INSURANCE Group Total	5	3	60%	4	4	100%
	ONEBEACON INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
	ONEBEACON TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	ONEBEACON Group Total	1	1	100%	No filings	No filings	No filings

*Indicates no claims activity this quarter.

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	PATRIOT INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA274	**Group Total**	1	1	**100%**	No filings	No filings	No filings
	PATRIOT RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA292	**Group Total**	*	*	*	*	*	*
	PEERLESS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA162	EXCELISOR INSURANCE CO.	*	*	*	*	*	*
CA309	NETHERLANDS INSURANCE COMPANY	*	*	*	*	*	*
CA275	PEERLESS INSURANCE CO.	22	17	77%	2	2	100%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	**22**	**17**	**77%**	**2**	**2**	**100%**
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Group Total	*	*	*	*	*	*
	PENNSYLVANIA MANUFACTURERS' ASSN. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
	TPA Total	**No filings**	**No filings**	**No filings**	**1**	**1**	**100%**
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	**No filings**	**No filings**	**No filings**	**1**	**1**	**100%**
	PROTECTIVE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA277	**Group Total**	1	0	**0%**	1	0	**0%**
	PUBLIC SERVICE MUTUAL	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA282	**Group Total**	*	*	*	*	*	*
	QBE INSURANCE GROUP	**MOPs**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	QBE INSURANCE GROUP TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	6	5	83%
	TPA Total	**3**	**3**	**100%**	**6**	**5**	**83%**
	QBE INSURANCE GROUP Group Total	**3**	**3**	**100%**	**6**	**6**	**83%**
	RYDER SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA295	**Group Total**	1	0	**0%**	No filings	No filings	No filings
	SAFETY NATIONAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	3	2	67%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA340	YORK RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**6**	**5**	**83%**	**3**	**3**	**100%**
	SAFETY NATIONAL INSURANCE Group Total	**6**	**5**	**83%**	**3**	**3**	**100%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	SEDGWICK CLAIMS MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA300	**Group Total**	**56**	**51**	**91%**	**33**	**31**	**94%**
	SENTRY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA305	SENTRY A MUTUAL CO.	2	1	50%	No filings	No filings	No filings
CA402	SENTRY CASUALTY CO.	*	*	*	*	*	*
CA308	SENTRY SELECT INSURANCE CO.	*	*	*	*	*	*
	Group Total	**2**	**1**	**50%**	**No filings**	**No filings**	**No filings**
	SPARTA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	SPARTA INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	3	3	100%	2	2	100%
	TPA Total	**3**	**3**	**100%**	**2**	**2**	**100%**
	SPARTA INSURANCE Group Total	**3**	**3**	**100%**	**2**	**2**	**100%**
	STATE OF MAINE WORKERS' COMPENSATION TRUST	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA307	**Group Total**	**54**	**53**	**98%**	**16**	**16**	**100%**
	SYNERNET	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA320	**Group Total**	**24**	**18**	**75%**	**29**	**26**	**90%**
	THE AMERICAN EQUITY UNDERWRITERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA323	**Group Total**	*	*	*	*	*	*
	TOWER INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA356	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	TRAVELERS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	16	15	94%	5	5	100%
CA164	FARMINGTON CASUALTY CO.	2	2	100%	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	2	2	100%	No filings	No filings	No filings
CA284	THE PHOENIX INS. CO.	1	1	100%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	3	3	100%	No filings	No filings	No filings
CA349	TRAVELERS COMMERCIAL CASUALTY	3	3	100%	2	2	100%
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	No filings	No filings	No filings	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	3	3	100%	1	0	0%
	Total	**30**	**29**	**97%**	**8**	**7**	**88%**
	TRAVELERS INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	3	2	67%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	1	1	100%
	TPA Total	**7**	**4**	**57%**	**1**	**1**	**100%**
	TRAVELERS INSURANCE Group Total	**37**	**33**	**89%**	**9**	**8**	**89%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	TRISTAR RISK ENTERPRISE MANAGEMENT	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA280	**Group Total**	**No filings**	**No filings**	**No filings**	1	1	100%
	UNDERWRITERS SAFETY & CLAIMS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA360	**Group Total**	*	*	*	*	*	*
	VALINER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	VALINER INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	**No filings**	**No filings**	**No filings**
	VALINER INSURANCE Group Total	1	0	0%	**No filings**	**No filings**	**No filings**
	XL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	XL INSURANCE TPA Administered Claims						
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	2	1	50%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	4	3	75%	**No filings**	**No filings**	**No filings**
	XL INSURANCE Group Total	4	3	75%	**No filings**	**No filings**	**No filings**
	YORK RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA340	**Group Total**	1	0	0%	**No filings**	**No filings**	**No filings**
	ZURICH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA022	AMERICAN ZURICH	6	6	100%	3	2	67%
CA259	NORTHERN INSURANCE CO. OF NEW YORK	1	1	100%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	6	6	100%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE CO. OF ILLINOIS	2	1	50%	No filings	No filings	No filings
	Total	15	14	93%	4	3	75%
	ZURICH INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	2	1	50%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	2	2	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	No filings	No filings	100%	No filings	No filings	No filings
	TPA Total	4	4	100%	4	3	75%
	ZURICH INSURANCE Group Total	19	18	95%	8	6	75%

*Indicates no claims activity this quarter.