QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD

Fourth Quarter 2013 Report

October 1, 2013 – December 31, 2013

Office of Monitoring, Audit & Enforcement

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State of Maine
Workers' Compensation Board
Quarterly Compliance Report
Fourth Quarter 2013

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Executive Summary

On April 15, 2014, the Maine Workers' Compensation Board of Directors approved the Fourth Quarter 2013 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

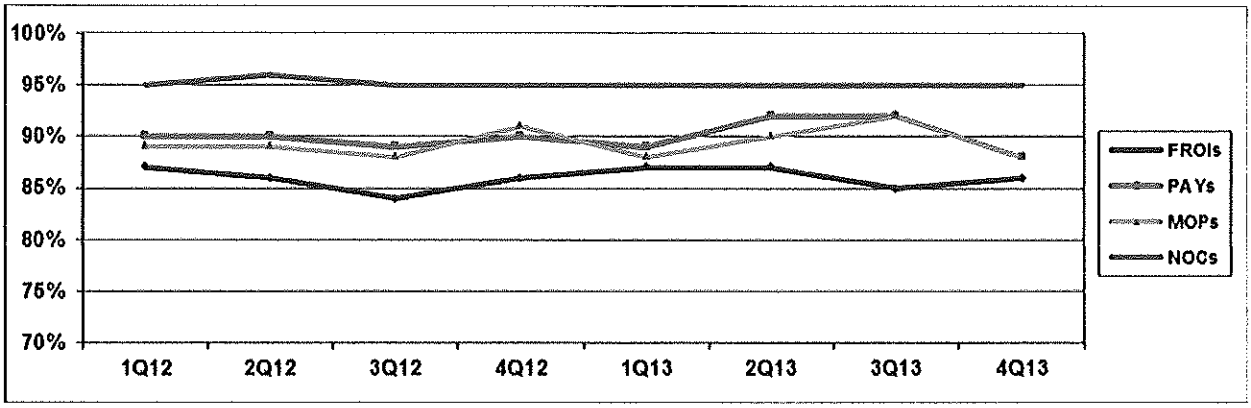
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 99 insurers on January 28, 2014; 58 responded, 32 were not required to respond and 9 did not respond.

The 4Q13 report represents results based upon data received by March 7, 2014. The results are:

	Number of Days	Benchmark	1Q12	2Q12	3Q12	4Q12	1Q13	2Q13	3Q13	4Q13
FROIs	7	85%	87%	86%	84%	86%	87%	87%	85%	86%
PAYs	14	87%	90%	90%	89%	90%	89%	92%	92%	88%
MOPs	17	85%	89%	89%	88%	91%	88%	90%	92%	88%
NOCs	14	90%	95%	96%	95%	95%	95%	95%	95%	95%

Compliance Benchmark Tracking (1Q12 – 4Q13)



II. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.

- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 4Q13:

Auditee (alpha order)	Total Penalties
Cottingham & Butler Claims Services	**$7,925.00**
Great Divide Insurance	**$3,500.00**
Maine Employers' Mutual Insurance	**$5,700.00**
Willis of Northern New England	**$12,000.00**

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

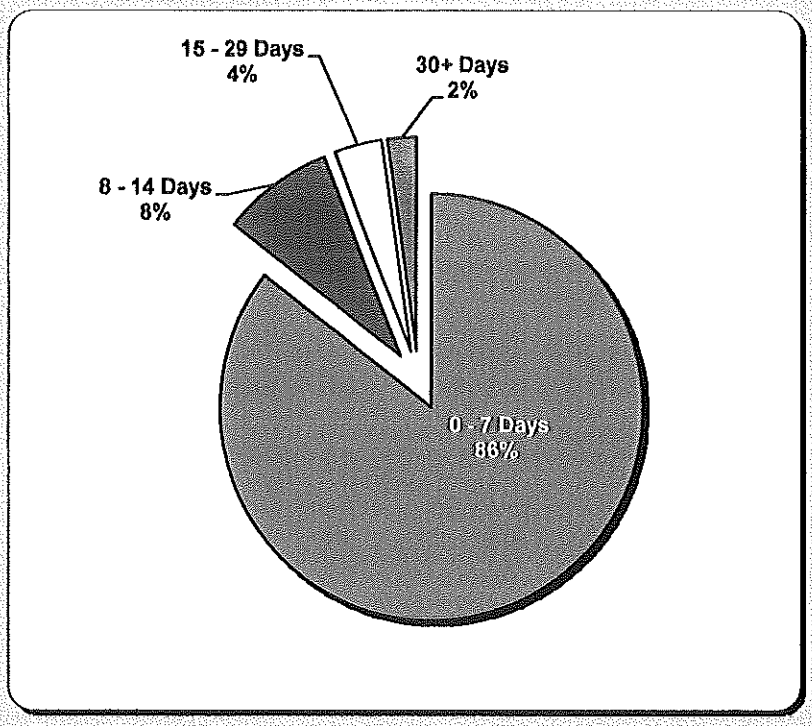


Table 1

Received Within:			
0 - 7	Days	2,731	86%
8 - 14	Days	272	8%
15 - 29	Days	117	4%
30+	Days	71	2%
Total		3,191	100%

Chart 2 Quarterly Compliance

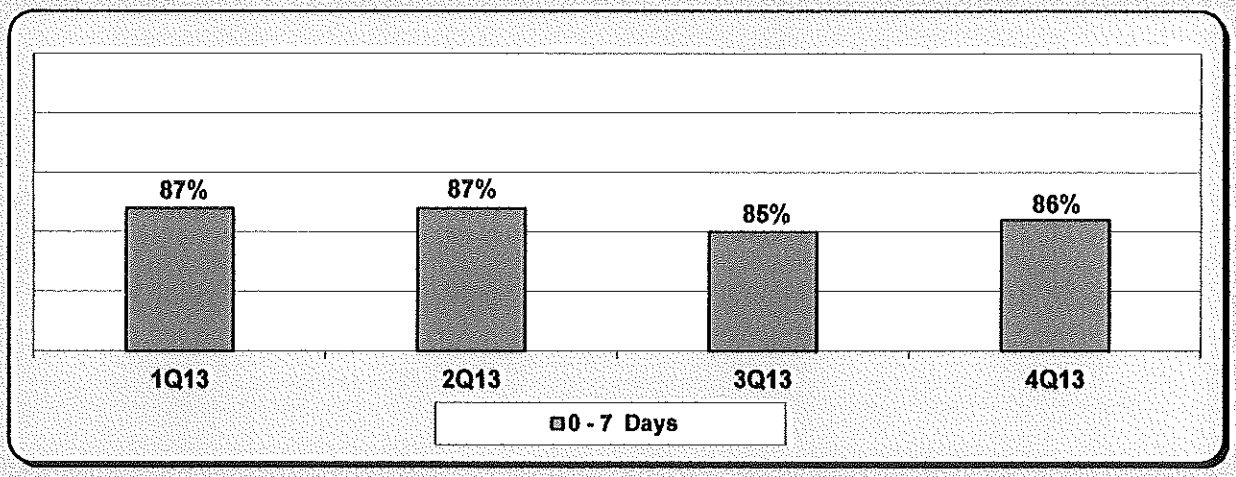
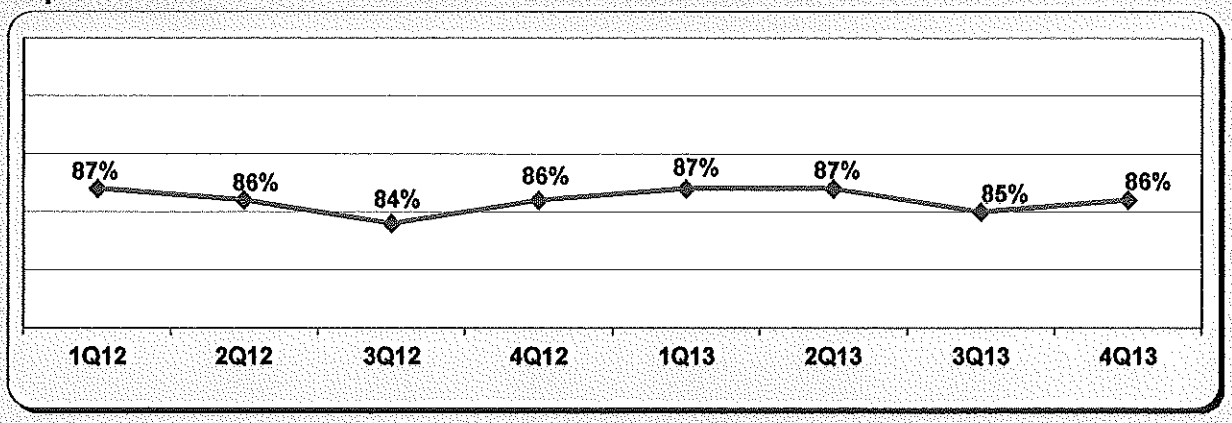


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

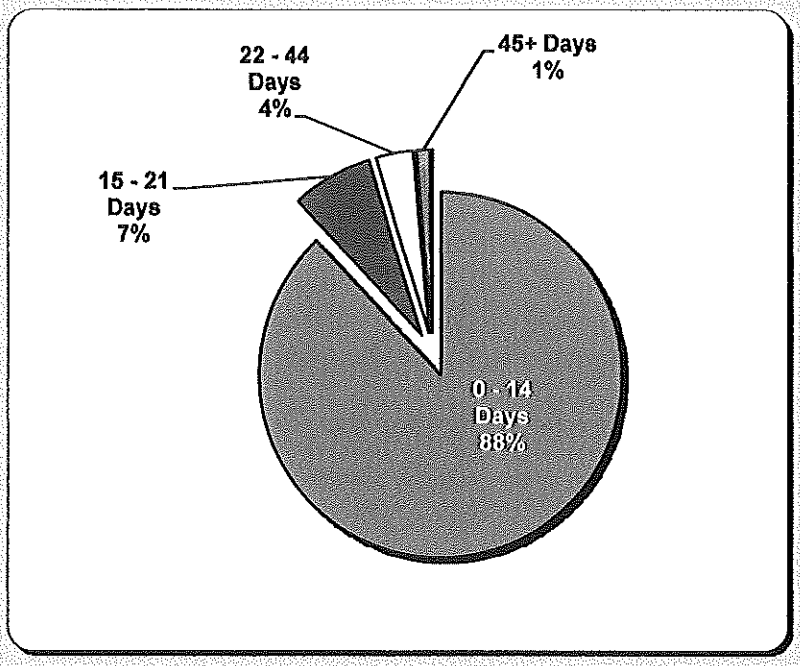


Table 2

Made Within:			
0 - 14	Days	844	88%
15 - 21	Days	69	7%
22 - 44	Days	32	4%
45+	Days	11	1%
?	Days	2	0%
Total		**958**	**100%**

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, $17,800 was issued to claimants in penalties and there is another $700 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

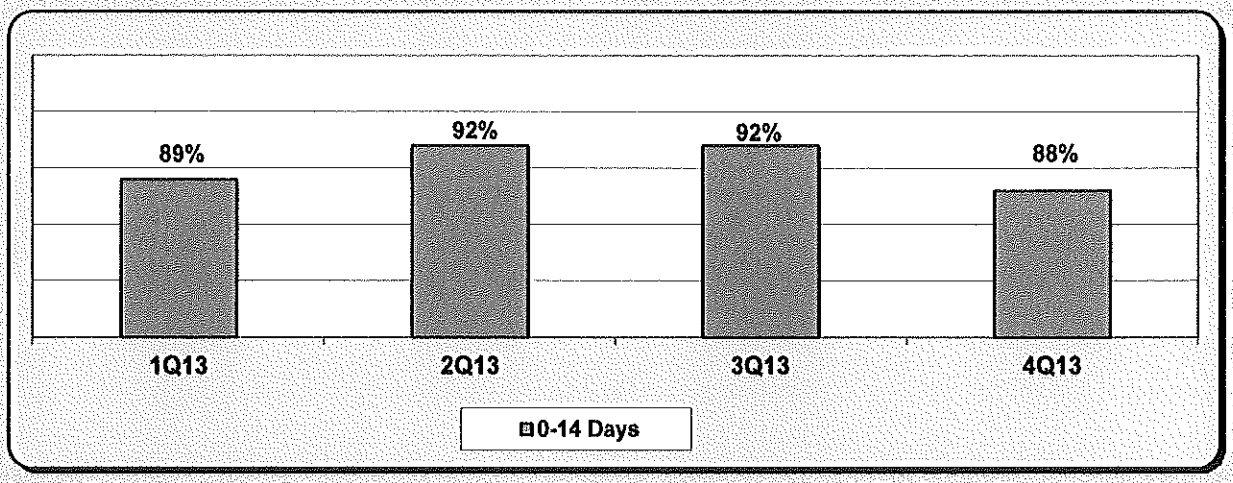
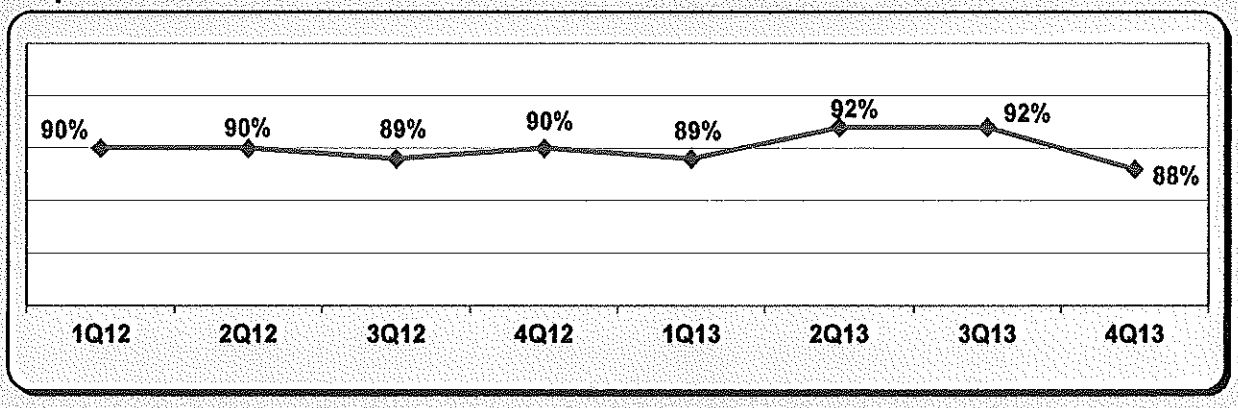


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

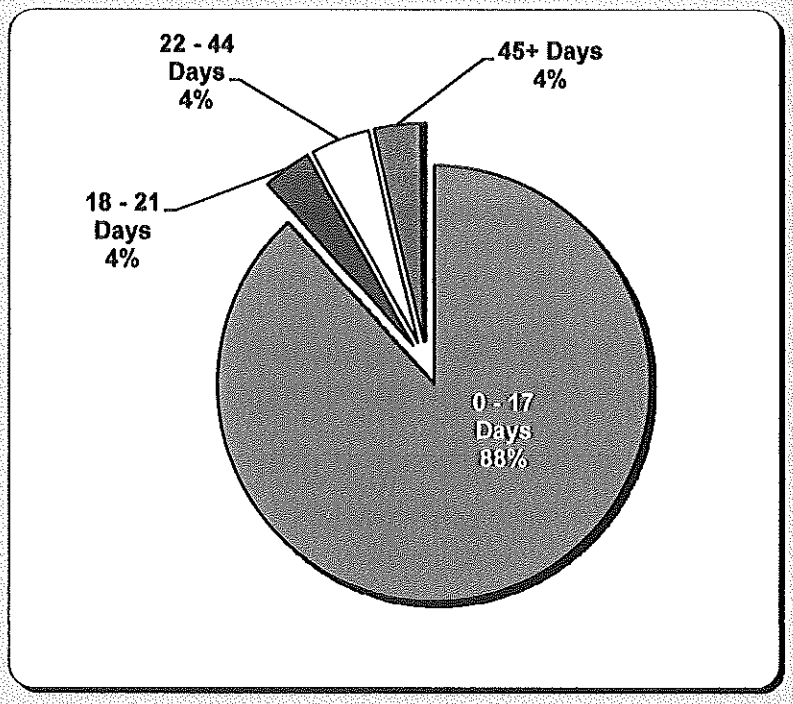


Table 3

Received Within:			
0 - 17	Days	845	88%
18 - 21	Days	35	4%
22 - 44	Days	42	4%
45+	Days	34	4%
?	Days	2	0%
Total		958	100%

Chart 8 Quarterly Compliance

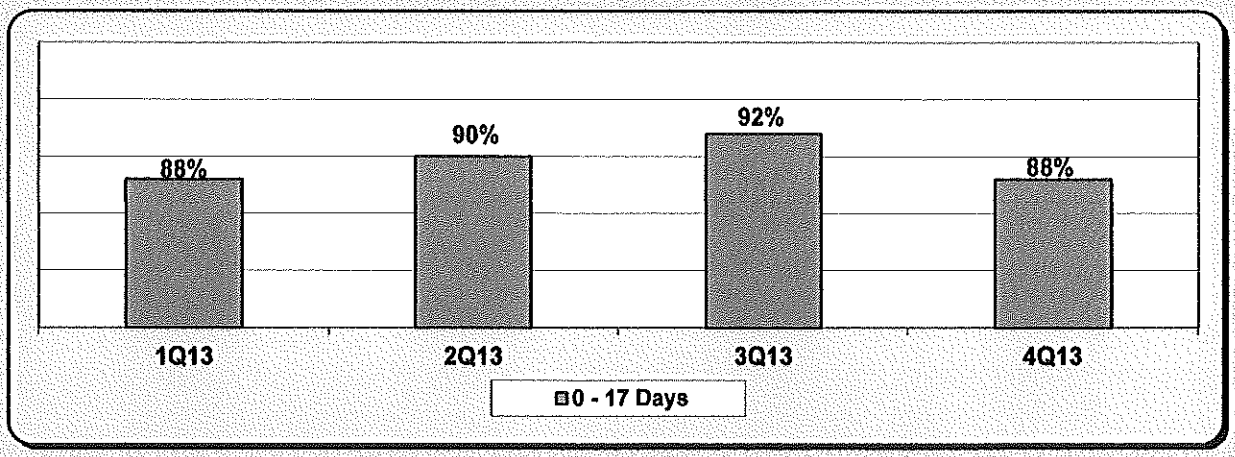
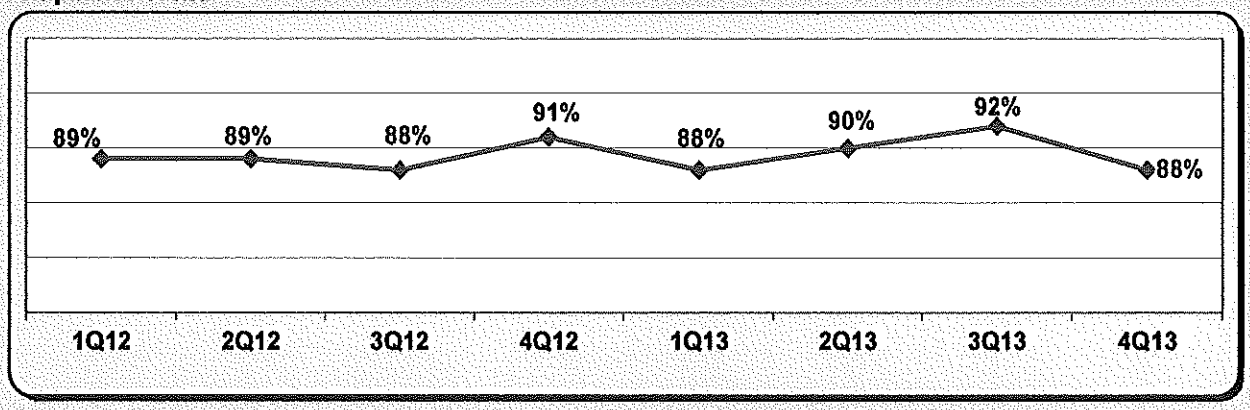


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

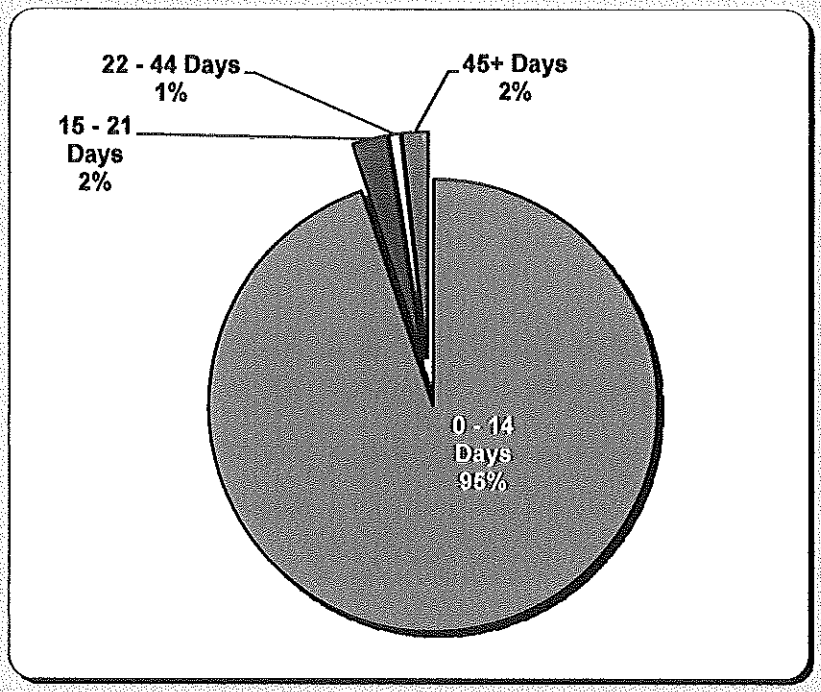


Table 4

Received Within:			
0 - 14	Days	603	95%
15 - 21	Days	16	2%
22 - 44	Days	5	1%
45+	Days	12	2%
Total		**636**	**100%**

Chart 11 Quarterly Compliance

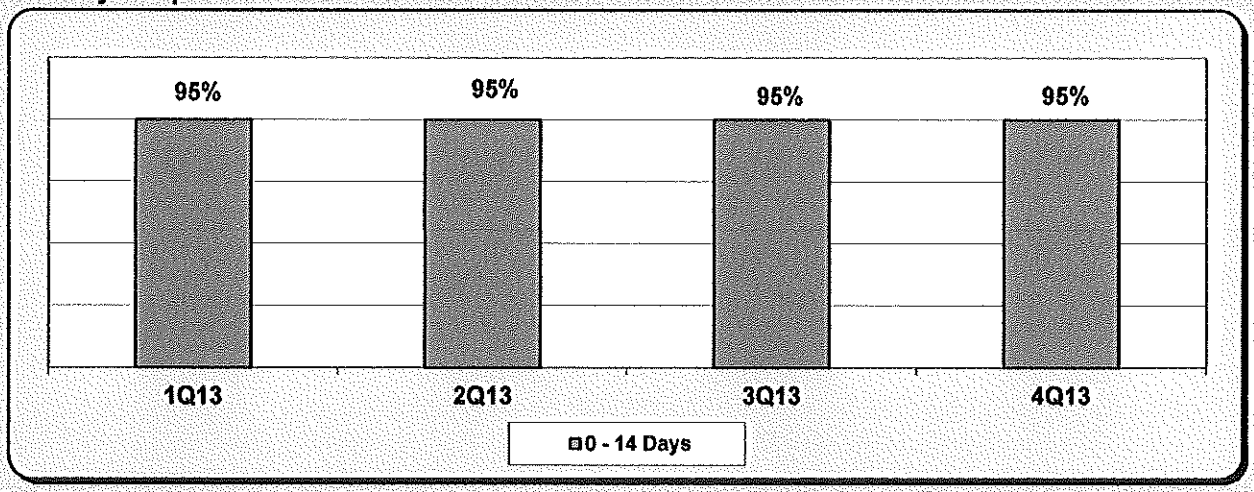
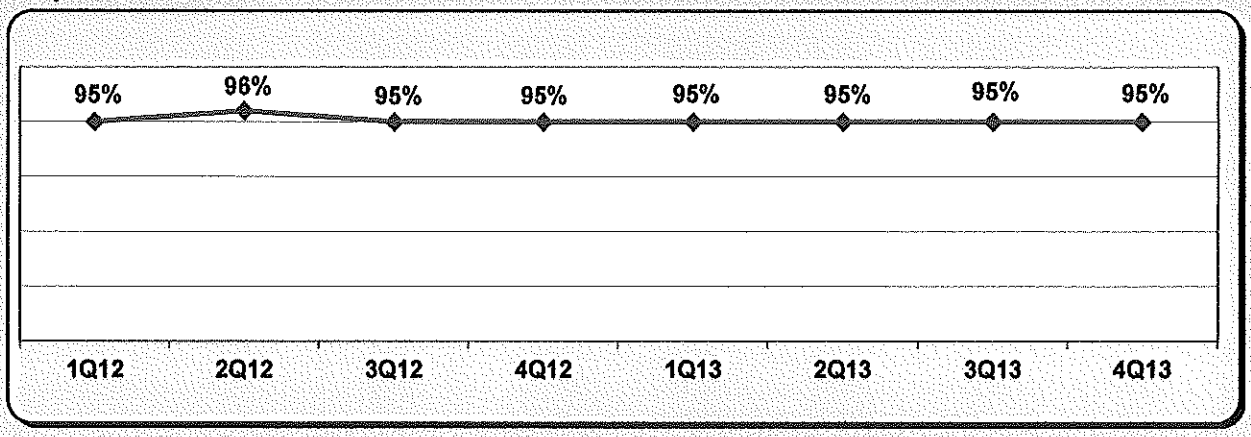


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB 2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

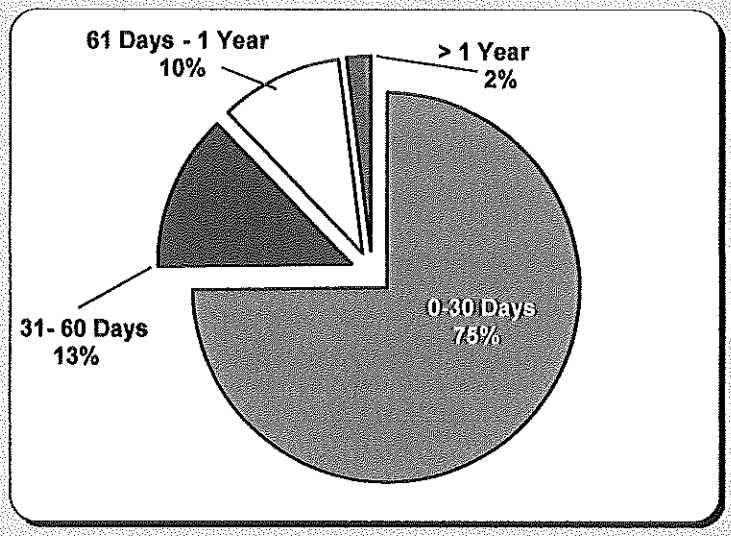


Table 5

Received Within:		
0-30 Days	1315	75%
31-60 Days	228	13%
61 Days-1 Year	179	10%
> 1 Year	26	2%
Total	**1,748**	**100%**

Wage Statement(s): 1,371 (73%) of the 1,877 Wage Statement(s) that were due this quarter were filed timely, 257 (14%) were filed late, and 249 (13%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

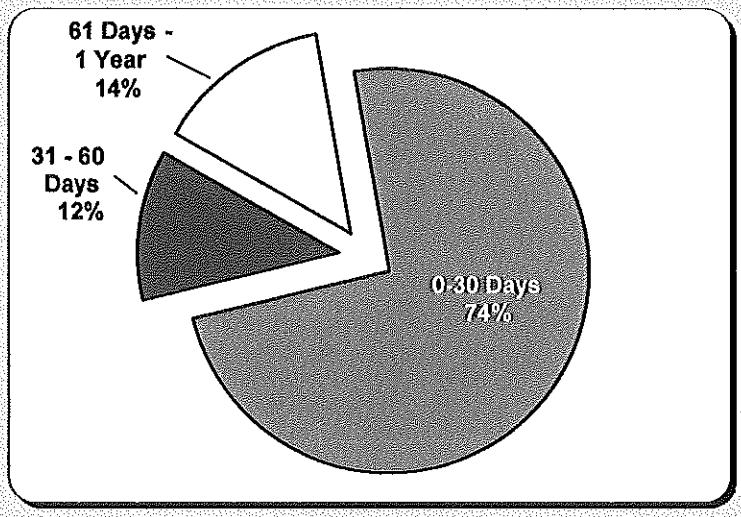


Table 6

Received Within:		
0-30 Days	1283	74%
31-60 Days	209	12%
61 Days-1 Year	251	14%
> 1 Year	1	0%
Total	**1,744**	**100%**

Fringe Benefit Worksheet(s): 1,280 (68%) of the 1,877 Fringe Benefit Worksheet(s) due this quarter were filed timely, 241 (13%) were filed late, and 356 (19%) remain outstanding.

Appendix A

Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
Fourth Quarter 2013
10/1/2013-12/31/2013

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Fourth Quarter

10/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	**48**	**40**	**83%**	**16**	**15**	**94%**
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	6	5	83%	1	0	0%
CA110	CONSTITUTION STATE SERVICES	7	6	86%	4	3	75%
CA160	ESIS	20	15	75%	9	7	78%
CA190	GALLAGHER BASSETT SERVICES	21	20	95%	8	7	88%
CA204	HELMSMAN MANAGEMENT SERVICES	4	4	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	57	46	81%	30	28	93%
CA382	WILLIS OF NORTHERN NEW ENGLAND	2	2	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	0	0%	1	1	100%
	TPA Total	**119**	**99**	**83%**	**54**	**47**	**87%**
	ACE INSURANCE Group Total	**119**	**99**	**83%**	**54**	**47**	**87%**
	AIG DOMESTIC CLAIMS, INC.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA015	AIG DOMESTIC CLAIMS, INC.	23	21	91%	10	10	100%
CA258	NEW HAMPSHIRE INSURANCE CO.	*	*	*	*	*	*
	Total	**23**	**21**	**91%**	**10**	**10**	**100%**
	AIG DOMESTIC CLAIMS, INC. TPA Administered Claims						
CA040	BROADSPIRE SERVICES	26	23	88%	7	6	86%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	2	2	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	54	52	96%	8	8	100%
CA160	ESIS	20	16	80%	6	5	83%
CA190	GALLAGHER BASSETT SERVICES	27	26	96%	10	8	80%
CA204	HELMSMAN MANAGEMENT SERVICES	6	6	100%	5	5	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	32	32	100%	10	9	90%
	TPA Total	**167**	**157**	**94%**	**48**	**43**	**90%**
	AIG DOMESTIC CLAIMS, INC. Group Total	**190**	**178**	**94%**	**58**	**53**	**91%**
	AMTRUST INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA381	AMTRUST INSURANCE	2	1	50%	No filings	No filings	No filings
CA342	TECHNOLOGY INSURANCE	20	6	30%	2	1	50%
	Group Total	**22**	**7**	**32%**	**2**	**1**	**50%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Fourth Quarter

10/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ARCH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA160	ESIS	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	5	83%	4	4	100%
	TPA Total	**8**	**7**	**88%**	**6**	**6**	**100%**
	ARCH INSURANCE Group Total	**8**	**7**	**88%**	**6**	**6**	**100%**
	ARGONAUT INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA020	**Group Total**	**1**	**0**	**0%**	**1**	**1**	**100%**
	ARROW MUTUAL INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA032	**Group Total**	*	*	*	*	*	*
	BATH IRON WORKS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA036	**Group Total**	**112**	**111**	**99%**	**14**	**14**	**100%**
	BERKSHIRE HATHAWAY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA114	**Group Total**	**1**	**1**	**100%**	**1**	**1**	**100%**
	BROADSPIRE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA040	**Group Total**	**41**	**38**	**93%**	**17**	**15**	**88%**
	CANNON COCHRAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA070	**Group Total**	**125**	**106**	**85%**	**37**	**28**	**76%**
	CHESTERFIELD SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA080	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	CHUBB INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA090	**Total**	**5**	**0**	**0%**	**6**	**5**	**83%**
	CHUBB INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
	TPA Total	**2**	**2**	**100%**	**No filings**	**No filings**	**No filings**
	CHUBB INSURANCEGROUP Total	**7**	**2**	**29%**	**6**	**5**	**83%**
	CHURCH MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA084	**Group Total**	**3**	**2**	**67%**	**1**	**0**	**0%**
	CIANBRO CORPORATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA085	**Group Total**	**2**	**1**	**50%**	**No filings**	**No filings**	**No filings**
	CITY OF BANGOR	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA033	**Group Total**	**6**	**6**	**100%**	**6**	**6**	**100%**

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Fourth Quarter

10/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CLAIMS MANAGEMENT (WAL-MART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	54	52	96%	8	8	100%
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA050	Group Total	1	1	100%	No filings	No filings	No filings
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	7	6	86%	4	3	75%
	CONTINENTAL INDEMNITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	*	*	*	*	*	*
	CORVEL CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	7	5	71%	3	1	33%
	COTTINGHAM AND BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	8	6	75%	5	5	100%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA089	CRUM & FORSTER	No filings	No filings	No filings	No filings	No filings	No filings
CA375	UNITED STATES FIRE INSURANCE	*	*	*	*	*	*
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	ELECTRIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	1	1	100%
	TPA Total	2	1	50%	1	1	100%
	ELECTRIC INSURANCE Group Total	2	1	50%	1	1	100%
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	55	41	75%	19	15	79%
	EVEREST NATIONAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	EVEREST NATIONAL INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	EVEREST NATIONAL INSURANCE Group Total	*	*	*	*	*	*
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	*	*	*	*	*	*
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	FEDERATED MUTUAL INSURANCE	*	*	*	*	*	*
CA092	FEDERATED SERVICE INSURANCE	*	*	*	*	*	*
	Group Total	*	*	*	*	*	*

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Fourth Quarter

10/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	FUTURECOMP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA175	**Group Total**	**60**	**59**	**98%**	**24**	**22**	**92%**
	GALLAGHER BASSETT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA190	**Group Total**	**84**	**76**	**90%**	**31**	**27**	**87%**
	GREAT AMERICAN INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA193	**Group Total**	**4**	**3**	**75%**	**No filings**	**No filings**	**No filings**
	GREAT DIVIDE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA189	**Group Total**	*	*	*	*	*	*
	GREAT FALLS INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE CO. TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	39	33	85%	13	11	85%
	TPA Total	**39**	**33**	**85%**	**13**	**11**	**85%**
	GREAT FALLS INSURANCE CO. Group Total	**39**	**33**	**85%**	**13**	**11**	**85%**
	GUARANTEE INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA195	**Group Total**	**No filings**	**No filings**	**No filings**	**1**	**0**	**0%**
	GUARD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	11	6	55%	2	1	50%
CA140	EASTGUARD INSURANCE COMPANY	21	18	86%	3	3	100%
CA272	NORGUARD INSURANCE COMPANY	3	2	67%	2	1	50%
	Group Total	**35**	**26**	**74%**	**7**	**5**	**71%**
	GUIDEONE MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	GUIDEONE MUTUAL INSURANCE TPA Administered Claims						
CA116	CORVEL CORPORATION	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	GUIDEONE MUTUAL INSURANCE Group Total	*	*	*	*	*	*
	HANNAFORD BROTHERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA201	**Group Total**	**62**	**53**	**85%**	**16**	**13**	**81%**
	HANOVER INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	5	4	80%	No filings	No filings	No filings
CA202	HANOVER INSURANCE CO.	13	12	92%	5	4	80%
CA228	MASSACHUSETTS BAY INS. CO.	3	3	100%	4	4	100%
	Group Total	**21**	**19**	**90%**	**9**	**8**	**89%**
	HARLEYSVILLE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA197	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INS. CO.	6	4	67%	2	1	50%
CA203	HARTFORD FIRE INSURANCE CO.	4	3	75%	1	0	0%
CA186	HARTFORD INSURANCE CO. OF THE MIDWEST	2	2	100%	1	1	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	8	6	75%	2	1	50%
CA288	PROPERTY & CASUALTY INSURANCE CO.	2	2	100%	2	2	100%
CA296	SENTINEL INSURANCE CO.	2	0	0%	2	2	100%
CA319	TRUMBULL INSURANCE	12	12	100%	5	5	100%
CA321	TWIN CITY FIRE INSURANCE CO.	17	13	76%	3	3	100%
	Total	**54**	**43**	**80%**	**18**	**15**	**83%**
	HARTFORD INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	2	2	100%
CA116	CORVEL CORPORATION	2	1	50%	No filings	No filings	No filings
CA165	F. A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	1	1	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT (formerly REM)	1	1	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	**11**	**10**	**91%**	**5**	**5**	**100%**
	HARTFORD INSURANCE Group Total	**65**	**53**	**82%**	**23**	**20**	**87%**

	HELMSMAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA204	**Group Total**	**14**	**14**	**100%**	**8**	**8**	**100%**

	LIBERTY MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA380	EMPLOYERS INSURANCE OF WAUSAU	2	2	100%	No filings	No filings	No filings
CA210	LIBERTY MUTUAL INSURANCE CO.	49	34	69%	26	22	85%
CA406	THE OHIO CASUALTY INSURANCE CO.	1	1	100%	No filings	No filings	No filings
	Total	**52**	**37**	**71%**	**26**	**22**	**85%**

	LUMBERMEN'S UNDERWRITING	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA211	**Group Total**	**1**	**0**	**0%**	**1**	**0**	**0%**

	MACY'S CORPORATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA213	**Group Total**	*	*	*	*	*	*

	MAINE AUTOMOBILE DEALERS ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA220	**Group Total**	**22**	**22**	**100%**	**9**	**8**	**89%**

	MAINE EMPLOYERS' MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA260	**Group Total**	**1112**	**911**	**82%**	**353**	**325**	**92%**

	MAINE HEALTH CARE ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA234	**Group Total**	**41**	**36**	**88%**	**8**	**7**	**88%**

	MAINE MOTOR TRANSPORT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230	**Group Total**	**46**	**40**	**87%**	**11**	**9**	**82%**

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	MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	222	215	97%	49	40	82%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250	Group Total	62	59	95%	13	13	100%
	MATRIX ABSENCE MANAGEMENT INC	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA252	Group Total	2	1	50%	1	1	100%
	MEADOWBROOK	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	9	8	89%	5	5	100%
	MITSUI SUMITOMO INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA233	Group Total	*	*	*	*	*	*
	NATIONAL CASUALTY COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA289	Group Total	*	*	*	*	*	*
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	2	2	100%	No filings	No filings	No filings
	NATIONWIDE AGRIBUSINESS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA291	Group Total	*	*	*	*	*	*
	NGM INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	*	*	*	*	*	*
	NORTH RIVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA257	Group Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	1	0	0%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA116	CORVEL CORPORATION	No filings	No filings	No filings	1	1	100%
CA160	ESIS	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	9	7	78%	3	3	100%
CA204	HELMSMAN MANAGEMENT SERVICES	2	2	100%	1	1	100%
CA295	RYDER SERVICES CORPORATION	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
	TPA Total	19	16	84%	7	6	86%
	OLD REPUBLIC INSURANCE GROUP Total	19	16	84%	7	6	86%

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	ONEBEACON AMERICA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Group Total	*	*	*	*	*	*
	ONEBEACON AMERICA INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ONEBEACON AMERICA INSURANCE Group Total	*	*	*	*	*	*
	PATRIOT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA274	**Group Total**	4	3	75%	2	2	100%
	PATRIOT RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA292	**Group Total**	*	*	*	*	*	*
	PEERLESS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA162	EXCELISOR INSURANCE CO.	2	1	50%	No filings	No filings	No filings
CA309	NETHERLANDS INSURANCE COMPANY	2	0	0%	2	1	50%
CA275	PEERLESS INSURANCE CO.	21	10	48%	11	7	64%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	25	11	44%	13	8	62%
	PENNSYLVANIA MFG ASSOCIATION INS. CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Group Total	*	*	*	*	*	*
	PENNSYLVANIA MFG ASSN. INS. CO. TPA Administered Claims						
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA323	THE AMERICAN EQUITY UNDERWRITERS, INC.	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	PENNSYLVANIA MFG ASSOCIATION INS. CO. Group Total	*	*	*	*	*	*
	PRAETORIAN INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA276	**Group Total**	*	*	*	*	*	*
	PRAETORIAN INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	8	8	100%	2	2	100%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	9	8	89%	2	2	100%
	PRAETORIAN INSURANCE Group Total	9	8	89%	2	2	100%
	PROTECTIVE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA277	**Group Total**	1	0	0%	No filings	No filings	No filings
	PUBLIC SERVICE MUTUAL	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA282	**Group Total**	1	1	100%	1	1	100%

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	QBE INSURANCE GROUP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	QBE INSURANCE GROUP TPA Administered Claims						
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	QBE INSURANCE GROUP Group Total	*	*	*	*	*	*
	RYDER SERVICES CORP.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA295	**Group Total**	1	1	100%	1	1	100%
	SAFETY NATIONAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	0	0%
CA116	CORVEL	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
CA252	MATRIX ABSENCE MANAGEMENT	2	1	50%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	2	2	100%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	**11**	**10**	**91%**	**6**	**5**	**83%**
	SAFETY NATIONAL INSURANCE Group Total	**11**	**10**	**91%**	**6**	**5**	**83%**
	SAMSUNG FIRE & MARINE INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SAMSUNG FIRE & MARINE INS. CO. TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	SAMSUNG FIRE & MARINE INSURANCE COMPANY Group Total	*	*	*	*	*	*
	SEABRIGHT INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA298	**Group Total**	2	0	0%	2	2	100%
	SEDGWICK CLAIMS MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA300	**Group Total**	139	125	90%	56	52	93%
	SENTRY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA402	SENTRY CASUALTY CO.	*	*	*	*	*	*
CA305	SENTRY A MUTUAL CO.	4	3	75%	3	3	100%
CA308	SENTRY SELECT INSURANCE CO.	*	*	*	*	*	*
	Group Total	4	3	75%	3	3	100%

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SOMPO JAPAN INSURANCE COMPANY OF AMERICA	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SOMPO JAPAN INS. CO. OF AMERICA TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	SOMPO JAPAN INSURANCE COMPANY OF AMERICA Group Total	1	1	100%	No filings	No filings	No filings
	SPARTA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SPARTA INSURANCE TPA Administered Claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	8	6	75%	4	4	100%
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	8	6	75%	4	4	100%
	SPARTA INSURANCE Group Total	8	6	75%	4	4	100%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA307	**Group Total**	142	130	92%	33	29	88%
	SYNERNET	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA320	**Group Total**	99	79	80%	32	24	75%
	THE AMERICAN EQUITY UNDERWRITERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA323	**Group Total**	*	*	*	*	*	*
	TOWER INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA356	**Group Total**	6	4	67%	4	3	75%
	TRAVELERS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	51	42	82%	16	11	69%
CA164	FARMINGTON CASUALTY CO.	1	1	100%	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	3	2	67%	No filings	No filings	No filings
CA284	THE PHOENIX INS. CO.	2	0	0%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	3	2	67%	3	3	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	3	3	100%	1	1	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	2	2	100%	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY CO.	1	0	0%	No filings	No filings	No filings
CA344	TRAVELERS INDEMNITY OF CONNETICUT	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	2	2	100%	2	2	100%
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	7	5	71%	2	2	100%
	Total	75	59	79%	24	19	79%
	TRAVELERS INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	7	7	100%	5	5	100%
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	8	7	88%	6	6	100%
	TRAVELERS INSURANCE Group Total	83	66	80%	30	25	83%

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	TRISTAR RISK ENTERPRISE MANAGEMENT	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	**Group Total**	1	1	100%	No filings	No filings	No filings
	UNDERWRITERS SAFETY & CLAIMS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA360	**Group Total**	*	*	*	*	*	*
	VANLINER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	VANLINER INSURANCE TPA Administered Claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	No filings	No filings	No filings	1	1	100%
	TPA Total	**No filings**	**No filings**	**No filings**	**1**	**1**	**100%**
	VANLINER INSURANCE Group Total	**No filings**	**No filings**	**No filings**	**1**	**1**	**100%**
	WILLIS OF NORTHERN NEW ENGLAND	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA382	**Group Total**	235	224	95%	37	36	97%
	XL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	XL INSURANCE AMERICA	*	*	*	*	*	*
	XL SPECIALTY INSURANCE	*	*	*	*	*	*
	Total	*	*	*	*	*	*
	XL INSURANCE TPA Administered Claims						
CA116	CORVEL CORPORATION	4	3	75%	2	0	0%
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	No filings	No filings	No filings
	TPA Total	**12**	**11**	**92%**	**3**	**1**	**33%**
	XL INSURANCE Group Total	**12**	**11**	**92%**	**3**	**1**	**33%**
	YORK RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA340	**Group Total**	1	0	0%	1	1	100%
	ZENITH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ZENITH INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
	TPA Total	**1**	**1**	**100%**	**1**	**1**	**100%**
	ZENITH INSURANCE Group Total	**1**	**1**	**100%**	**1**	**1**	**100%**

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ZURICH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA021	AMERICAN GUARANTEE & LIABILITY	*	*	*	*	*	*
CA022	AMERICAN ZURICH	14	12	86%	4	4	100%
CA227	MARYLAND CASUALTY CO.	*	*	*	*	*	*
CA259	NORTHERN INSURANCE OF NEW YORK	*	*	*	*	*	*
CA400	ZURICH AMERICAN INSURANCE CO.	6	4	67%	2	1	50%
CA404	ZURICH AMERICAN INSURANCE CO. OF ILLINOIS	4	4	100%	No filings	No filings	No filings
	Total	**24**	**20**	**83%**	**6**	**5**	**83%**
	ZURICH INSURANCE TPA Administered Claims						
CA080	CHESTERFIELD SERVICES	1	1	100%	No filings	No filings	No filings
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	*	*	*	*	*	*
CA160	ESIS	1	1	100%	1	1	100%
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	9	7	78%	3	2	67%
CA292	PATRIOT RISK SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	6	100%	1	1	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT (formerly REM)	*	*	*	*	*	*
	TPA Total	**17**	**15**	**88%**	**5**	**4**	**80%**
	ZURICH INSURANCE Group Total	**41**	**35**	**85%**	**11**	**9**	**82%**

***Indicates no claims activity this quarter**

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter 2013
10/1/2013-12/31/2013

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Fourth Quarter

10/1/2013 - 12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA010	**Group Total**	16	15	94%	7	7	100%

	ACE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	2	2	100%
CA110	CONSTITUTION STATE SERVICES	4	4	100%	No filings	No filings	No filings
CA160	ESIS	9	7	78%	3	3	100%
CA190	GALLAGHER BASSETT SERVICES	8	6	75%	1	1	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	30	23	77%	7	6	86%
CA382	WILLIS OF NORTHERN NEW ENGLAND	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	**54**	**42**	**78%**	**14**	**13**	**93%**
	ACE INSURANCE Group Total	**54**	**42**	**78%**	**14**	**13**	**93%**

	AIG DOMESTIC CLAIMS, INC.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA015	AIG DOMESTIC CLAIMS, INC.	10	9	90%	4	4	100%
CA258	NEW HAMPSHIRE INSURANCE CO.	*	*	*	*	*	*
	Total	**10**	**9**	**90%**	**4**	**4**	**100%**
	AIG DOMESTIC CLAIMS, INC. TPA Administered Claims						
CA040	BROADSPIRE SERVICES	7	6	86%	6	6	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
CA100	CLAIMS MANAGEMENT (WAL-MART)	8	8	100%	12	12	100%
CA160	ESIS	6	6	100%	5	5	100%
CA190	GALLAGHER BASSETT SERVICES	10	6	60%	4	4	100%
CA204	HELMSMAN MANAGEMENT SERVICES	5	4	80%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	10	9	90%	10	10	100%
	TPA Total	**48**	**40**	**83%**	**38**	**38**	**100%**
	AIG DOMESTIC CLAIMS, INC. GROUP Total	**58**	**49**	**84%**	**42**	**42**	**100%**

	AMTRUST INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA381	AMTRUST INSURANCE	No filings	No filings	No filings	1	1	100%
CA342	TECHNOLOGY INSURANCE	2	1	50%	3	1	33%
	Group Total	**2**	**1**	**50%**	**4**	**2**	**50%**

INSURANCE GROUP COMPLIANCE

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ARCH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	No filings	No filings	No filings
	TPA Total	**6**	**6**	**100%**	**No filings**	**No filings**	**No filings**
	ARCH INSURANCE GROUP Total	**6**	**6**	**100%**	**No filings**	**No filings**	**No filings**

	ARGONAUT INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA020	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**

	ARROW MUTUAL INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA032	**Group Total**	*	*	*	*	*	*

	BATH IRON WORKS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA036	**Group Total**	**14**	**13**	**93%**	**6**	**6**	**100%**

	BERKSHIRE HATHAWAY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA114	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**

	BROADSPIRE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA040	**Group Total**	**17**	**15**	**88%**	**9**	**9**	**100%**

	CANNON COCHRAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA070	**Group Total**	**38**	**33**	**87%**	**34**	**32**	**94%**

	CHESTERFIELD SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA080	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**

	CHUBB INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA090	**Total**	**6**	**5**	**83%**	**No filings**	**No filings**	**No filings**
	CHUBB INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	CHUBB INSURANCE GROUP Total	**6**	**5**	**83%**	**No filings**	**No filings**	**No filings**

	CHURCH MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA084	**Group Total**	**1**	**0**	**0%**	**1**	**1**	**100%**

	CIANBRO CORPORATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA085	**Group Total**	**No filings**	**No filings**	**No filings**	**1**	**1**	**100%**

	CITY OF BANGOR	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA033	**Group Total**	**6**	**6**	**100%**	**No filings**	**No filings**	**No filings**

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CLAIMS MANAGEMENT (WAL-MART)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA100	Group Total	8	8	100%	12	12	100%
	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA050	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	CONSTITUTION STATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110	Group Total	4	4	100%	No filings	No filings	No filings
	CONTINENTAL INDEMNITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA115	Group Total	*	*	*	*	*	*
	CORVEL CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA116	Group Total	3	1	33%	3	3	100%
	COTTINGHAM AND BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	5	5	100%	4	4	100%
	CRUM & FORSTER	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA089	CRUM & FORSTER	No filings	No filings	No filings	1	0	0%
CA375	UNITED STATES FIRE INSURANCE	*	*	*	*	*	*
	Group Total	No filings	No filings	No filings	1	0	0%
	ELECTRIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	ELECTRIC INSURANCE Group Total	1	0	0%	No filings	No filings	No filings
	ESIS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160	Group Total	19	16	84%	11	11	100%
	EVEREST NATIONAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	EVEREST NATIONAL INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	EVEREST NATIONAL INSURANCE Group Total	*	*	*	*	*	*
	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA165	Group Total	*	*	*	*	*	*
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	FEDERATED MUTUAL INSURANCE	*	*	*	*	*	*
CA092	FEDERATED SERVICE INSURANCE	*	*	*	*	*	*
	Group Total	*	*	*	*	*	*

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Fourth Quarter

10/1/2013 - 12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	FUTURECOMP	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA175	**Group Total**	24	22	92%	5	5	100%
	GALLAGHER BASSETT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA190	**Group Total**	31	27	87%	12	12	100%
	GREAT AMERICAN INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA193	**Group Total**	No filings	No filings	No filings	2	1	50%
	GREAT DIVIDE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA189	**Group Total**	*	*	*	*	*	*
	GREAT FALLS INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE CO. TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	13	11	85%	15	14	93%
	GREAT FALLS INSURANCE CO. Group Total	13	11	85%	15	14	93%
	GUARANTEE INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA195	**Group Total**	1	0	0%	No filings	No filings	No filings
	GUARD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA019	AMGUARD INSRUANCE COMPANY	2	1	50%	1	1	100%
CA140	EASTGUARD INSURANCE COMPANY	3	3	100%	1	1	100%
CA272	NORGUARD INSURANCE COMPANY	2	1	50%	No filings	No filings	No filings
	Group Total	7	5	71%	2	2	100%
	GUIDEONE MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	GUIDEONE MUTUAL INSURANCE TPA Administered Claims						
CA116	CORVEL CORPORATION	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	GUIDEONE MUTUAL INSURANCE Group Total	*	*	*	*	*	*
	HANNAFORD BROTHERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA201	**Group Total**	16	12	75%	14	13	93%
	HANOVER INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	No filings	No filings	No filings	1	1	100%
CA202	HANOVER INSURANCE CO.	5	5	100%	No filings	No filings	No filings
CA228	MASSACHUSETTS BAY INS. CO.	4	3	75%	No filings	No filings	No filings
	Group Total	9	8	89%	1	1	100%
	HARLEYSVILLE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA197	**Group Total**	No filings	No filings	No filings	1	0	0%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	No filings	No filings	No filings	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INS. CO.	2	1	50%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE CO.	1	0	0%	No filings	No filings	No filings
CA186	HARTFORD INSURANCE CO. OF THE MIDWEST	1	1	100%	2	2	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	2	1	50%	1	1	100%
CA288	PROPERTY & CASUALTY INSURANCE CO.	2	2	100%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	2	2	100%	1	0	0%
CA319	TRUMBULL INSURANCE	5	5	100%	1	1	100%
CA321	TWIN CITY FIRE INSURANCE CO.	3	3	100%	6	6	100%
	Total	**18**	**15**	**83%**	**11**	**10**	**91%**
	HARTFORD INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
CA116	CORVEL CORPORATION	No filings	No filings	No filings	1	1	100%
CA165	F. A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	3	3	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	**5**	**5**	**100%**	**4**	**4**	**100%**
	HARTFORD INSURANCE Group Total	**23**	**20**	**87%**	**15**	**14**	**93%**
	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA204	**Group Total**	**8**	**8**	**100%**	**4**	**4**	**100%**
	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	No filings	No filings	No filings	1	1	100%
CA210	LIBERTY MUTUAL INSURANCE CO.	26	22	85%	12	10	83%
CA406	THE OHIO CASUALTY INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
	Total	**26**	**22**	**85%**	**13**	**11**	**85%**
	LUMBERMEN'S UNDERWRITING	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA211	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	MACY'S CORPORATE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA213	**Group Total**	*	*	*	*	*	*
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA220	**Group Total**	**9**	**9**	**100%**	**3**	**3**	**100%**
	MAINE EMPLOYERS' MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA260	**Group Total**	**353**	**321**	**91%**	**229**	**223**	**97%**
	MAINE HEALTH CARE ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA234	**Group Total**	**8**	**8**	**100%**	**4**	**4**	**100%**
	MAINE MOTOR TRANSPORT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA230	**Group Total**	**11**	**10**	**91%**	**11**	**10**	**91%**

INSURANCE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	MAINE MUNICIPAL ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA225	Group Total	49	45	92%	51	51	100%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA250	Group Total	13	13	100%	9	9	100%
	MATRIX ABSENCE MANAGEMENT INC	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA252	Group Total	1	1	100%	No Filings	No filings	No filings
	MEADOWBROOK	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255	Group Total	5	3	60%	No filings	No filings	No filings
	MITSUI SUMITOMO INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA233	Group Total	*	*	*	*	*	*
	NATIONAL CASUALTY COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA289	Group Total	*	*	*	*	*	*
	NATIONAL INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	NATIONWIDE AGRIBUSINESS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA291	Group Total	*	*	*	*	*	*
	NGM INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA265	Group Total	*	*	*	*	*	*
	NORTH RIVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA257	Group Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	0	0%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL CORPORATION	1	1	100%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	4	4	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA295	RYDER SERVICES CORPORATION	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	TPA Total	7	5	71%	6	6	100%
	OLD REPUBLIC GROUP Total	7	5	71%	6	6	100%

*Indicates no claims activity this quarter

INSURANCE GROUP COMPLIANCE

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ONEBEACON AMERICA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Group Total	*	*	*	*	*	*
	ONEBEACON AMERICA TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ONEBEACON AMERICA Group Total	*	*	*	*	*	*
	PATRIOT INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA274	**Group Total**	2	2	100%	No filings	No filings	No filings
	PATRIOT RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA292	**Group Total**	*	*	*	*	*	*
	PEERLESS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA162	EXCELISOR INSURANCE CO.	No filings	No filings	No filings	1	0	0%
CA309	NETHERLANDS INSURANCE COMPANY	2	1	50%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE CO.	11	5	45%	5	3	60%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	13	6	46%	6	3	50%
	PENNSYLVANIA MFG ASSOCIATION INS. CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Group Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. ASSN. INS. CO. TPA Administered Claims						
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA323	THE AMERICAN EQUITY UNDERWRITERS, INC.	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. ASSN. INS CO. Group Total	*	*	*	*	*	*
	PRAETORIAN INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA276	**Group Total**	*	*	*	*	*	*
	PRAETORIAN INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	3	3	100%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	2	2	100%	3	3	100%
	PRAETORIAN INSURANCE Group Total	2	2	100%	3	3	100%
	PROTECTIVE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA277	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	PUBLIC SERVICE MUTUAL	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA282	**Group Total**	1	1	100%	No filings	No filings	No filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	QBE INSURANCE GROUP	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	QBE INSURANCE GROUP TPA Administered Claims						
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	QBE INSURANCE GROUP Group Total	*	*	*	*	*	*
	RYDER SERVICES CORP.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA295	**Group Total**	1	1	100%	No filings	No filings	No filings
	SAFETY NATIONAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	1	1	100%
CA116	CORVEL	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA252	MATRIX ABSENCE MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	**6**	**5**	**83%**	**3**	**3**	**100%**
	SAFETY NATIONAL INSURANCE Group Total	**6**	**5**	**83%**	**3**	**3**	**100%**
	SAMSUNG FIRE & MARINE INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SAMSUNG FIRE & MARINE INS. CO. TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	SAMSUNG FIRE & MARINE INS. CO. Group Total	*	*	*	*	*	*
	SEABRIGHT INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA298	**Group Total**	2	1	50%	No filings	No filings	No filings
	SEDGWICK CLAIMS MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA300	**Group Total**	56	52	93%	29	28	97%
	SENTRY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA402	SENTRY CASUALTY CO.	*	*	*	*	*	*
CA305	SENTRY A MUTUAL CO.	3	2	67%	2	0	0%
CA308	SENTRY SELECT INSURANCE CO.	*	*	*	*	*	*
	Group Total	3	2	67%	2	0	0%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	SOMPO JAPAN INSURANCE COMPANY OF AMERICA	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	SOMPO JAPAN INS. CO. OF AMERICA TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	SOMPO JAPAN INSURANCE COMPANY OF AMERICA Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	SPARTA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SPARTA INSURANCE TPA Administered Claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	4	4	100%	4	4	100%
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	4	4	100%	4	4	100%
	SPARTA INSURANCE Group Total	4	4	100%	4	4	100%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307	Group Total	33	30	91%	28	28	100%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	32	26	81%	18	15	83%
	THE AMERICAN EQUITY UNDERWRITERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA323	Group Total	*	*	*	*	*	*
	TOWER INSURANCE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	4	2	50%	No filings	No filings	No filings
	TRAVELERS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA072	CHARTER OAK FIRE INS. CO.	16	13	81%	12	11	92%
CA164	FARMINGTON CASUALTY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	No filings	No filings	No filings	1	1	100%
CA284	THE PHOENIX INS. CO.	No filings	No filings	No filings	2	0	0%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	3	3	100%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	1	1	100%	No filings	No filings	No filings
CA349	TRAVELERS COMMERCIAL CASUALTY	No filings	No filings	No filings	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA344	TRAVELERS INDEMNITY OF CONNECTICUT	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	2	2	100%	1	1	100%
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	2	1	50%	1	1	100%
	Total	24	20	83%	17	14	82%
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	5	4	80%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	*	*	*	*	*	*
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	6	4	67%	2	2	100%
	TRAVELERS Group Total	30	24	80%	19	16	84%

***Indicates no claims activity this quarter**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	TRISTAR RISK ENTERPRISE MANAGEMENT(formerly REM)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA280	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	UNDERWRITERS SAFETY & CLAIMS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA360	**Group Total**	*	*	*	*	*	*
	VALINER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	VALINER INSURANCE TPA Administered Claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	VALINER INSURANCE Group Total	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	WILLIS OF NORTHERN NEW ENGLAND	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA382	**Group Total**	**37**	**35**	**95%**	**58**	**56**	**97%**
	XL INSURANCE	**MOPs**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	XL INSURANCE AMERICA	*	*	*	*	*	*
	XL SPECIALTY INSURANCE	*	*	*	*	*	*
	Total	*	*	*	*	*	*
	XL INSURANCE TPA Administered Claims						
CA116	CORVEL CORPORATION	2	0	0%	1	1	100%
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
	TPA Total	**3**	**1**	**33%**	**2**	**2**	**100%**
	XL INSURANCE AMERICA Group Total	**3**	**1**	**33%**	**2**	**2**	**100%**
	YORK RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA340	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	ZENITH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ZENITH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No Filings	No filings
	TPA Total	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	ZENITH Group Total	1	1	100%	No filings	No filings	No filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ZURICH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA021	AMERICAN GUARANTEE & LIABILITY	*	*	*	*	*	*
CA022	AMERICAN ZURICH	4	3	75%	5	5	100%
CA227	MARYLAND CASUALTY CO.	*	*	*	*	*	*
CA259	NORTHERN INSURANCE CO. OF NEW YORK	*	*	*	*	*	*
CA400	ZURICH AMERICAN INSURANCE CO.	2	2	100%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE CO. OF ILLINOIS	No filings	No filings	No filings	2	2	100%
	Total	**6**	**5**	**83%**	**8**	**8**	**100%**
	ZURICH INSURANCE TPA Administered Claims						
CA080	CHESTERFIELD SERVICES	*	*	*	*	*	*
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	*	*	*	*	*	*
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	No filings	No filings	No filings
CA292	PATRIOT RISK SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	3	3	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	TPA Total	**5**	**4**	**80%**	**3**	**3**	**100%**
	ZURICH INSURANCE Group Total	**11**	**9**	**82%**	**11**	**11**	**100%**