QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD

First Quarter 2013 Report

January 1, 2013 – March 31, 2013

Office of Monitoring, Audit & Enforcement

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State of Maine
Workers' Compensation Board
Quarterly Compliance Report
First Quarter 2013

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Executive Summary

On July 9, 2013, the Maine Workers' Compensation Board of Directors approved the First Quarter 2013 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

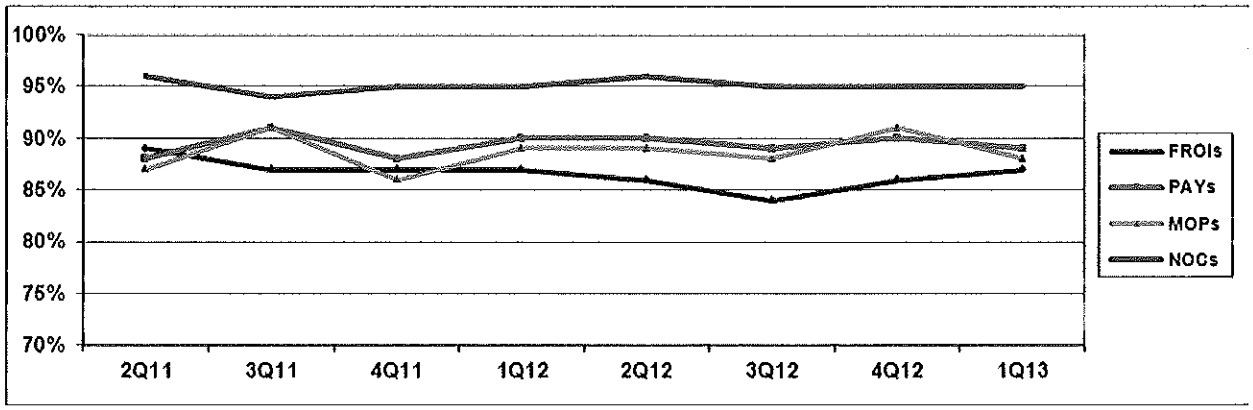
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 97 insurers on April 25, 2013; 71 responded, 21 were not required to respond and 5 did not respond.

The 1Q13 report represents results based upon data received by May 23, 2013. The results are:

Category	Number of Days	Benchmark	2Q11	3Q11	4Q11	1Q12	2Q12	3Q12	4Q12	1Q13
FROIs	7	85%	89%	87%	87%	87%	86%	84%	86%	87%
PAYs	14	87%	88%	91%	88%	90%	90%	89%	90%	89%
MOPs	17	85%	87%	91%	86%	89%	89%	88%	91%	88%
NOCs	14	90%	96%	94%	95%	95%	96%	95%	95%	95%

Compliance Benchmark Tracking (2Q11 – 1Q13)



II. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 1Q13:

Auditee (alpha order)	Total Penalties
ACE Insurance	**$30,550.00**
Argonaut Insurance	**$3,2000.00**
Constitution State Services	**$4,700.00**
Great Falls Insurance	**$4,950.00**
Harleysville-Worcester Insurance	**$1,900.00**
Pennsylvania Manufacturing Association	**$2,150.00**
Tower Insurance	**$1,400.00**
Zurich Insurance	**$28,200.00**

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

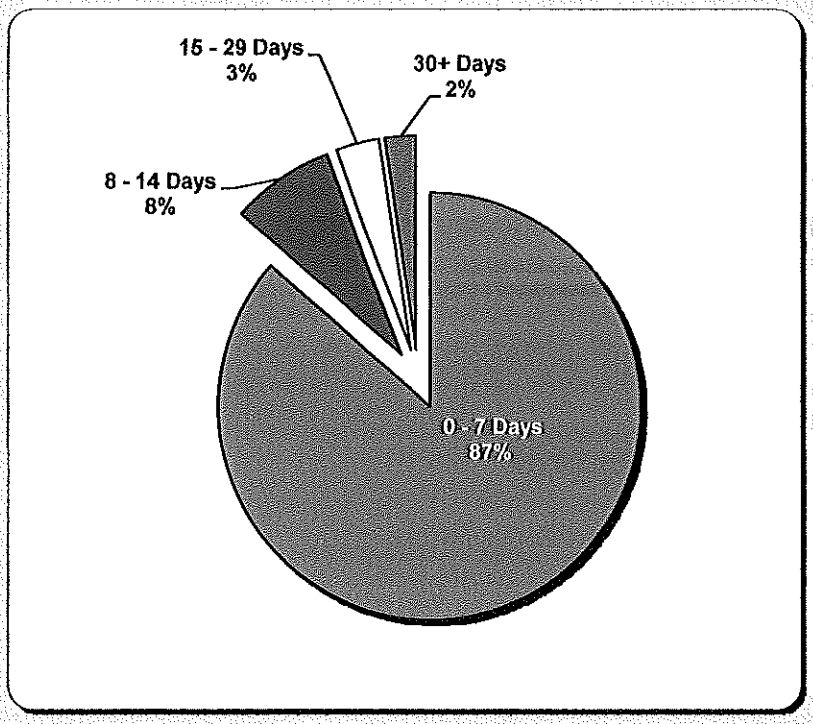


Table 1

Received Within:			
0 - 7	Days	2,786	87%
8 - 14	Days	252	8%
15 - 29	Days	108	3%
30+	Days	77	2%
Total		3,223	100%

Chart 2 Quarterly Compliance

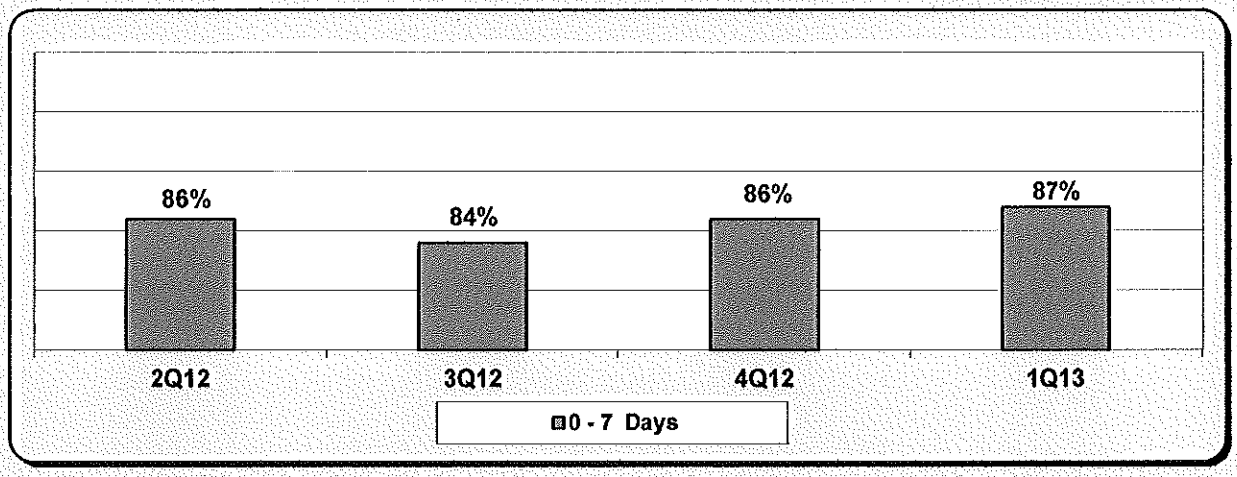
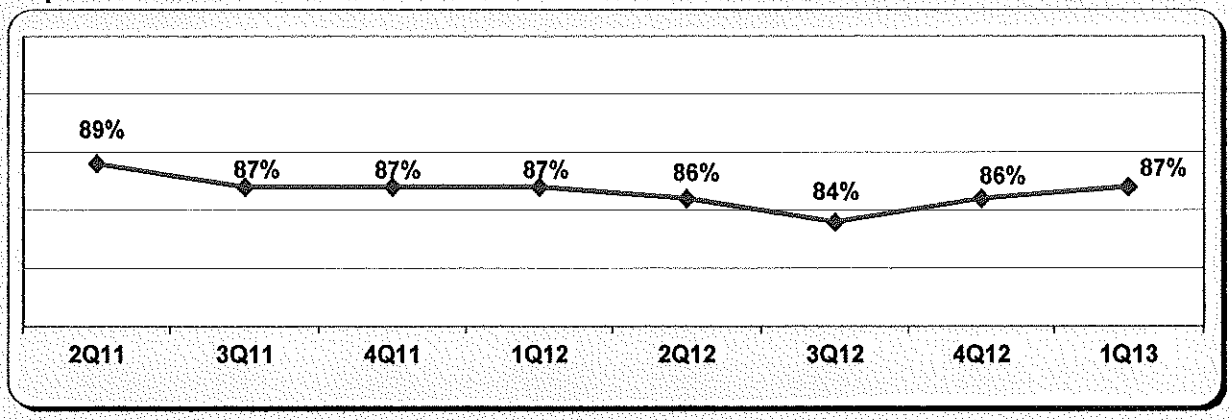


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

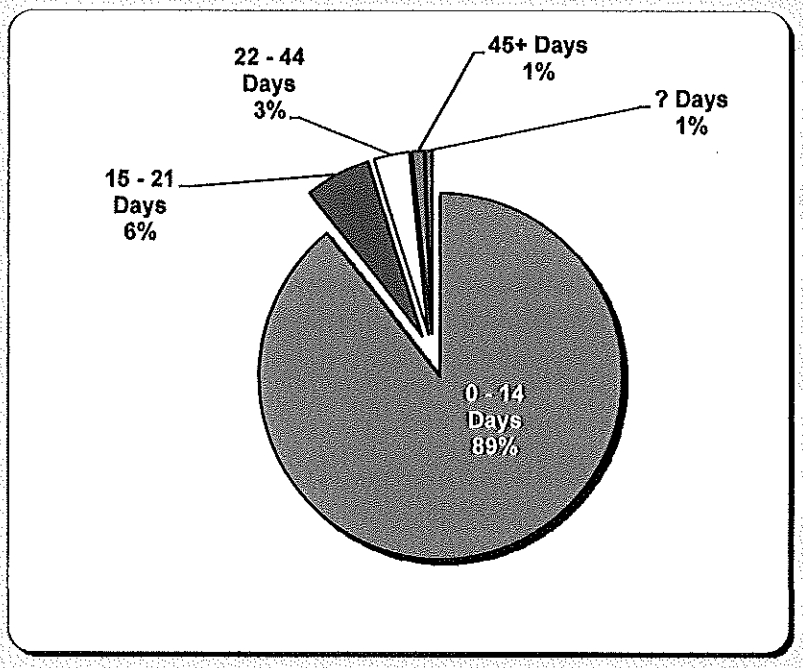


Table 2

Made Within:			
0 - 14	Days	830	89%
15 - 21	Days	54	6%
22 - 44	Days	30	3%
45+	Days	10	1%
?	Days	6	1%
Total		930	100%

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, $13,550 was issued to claimants in penalties and there is another $1,500 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

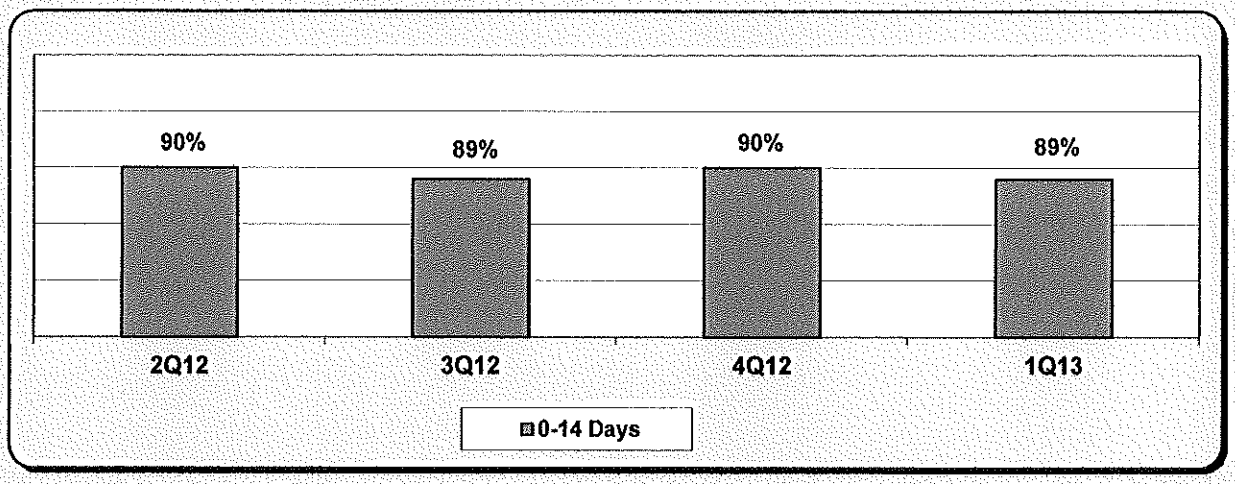
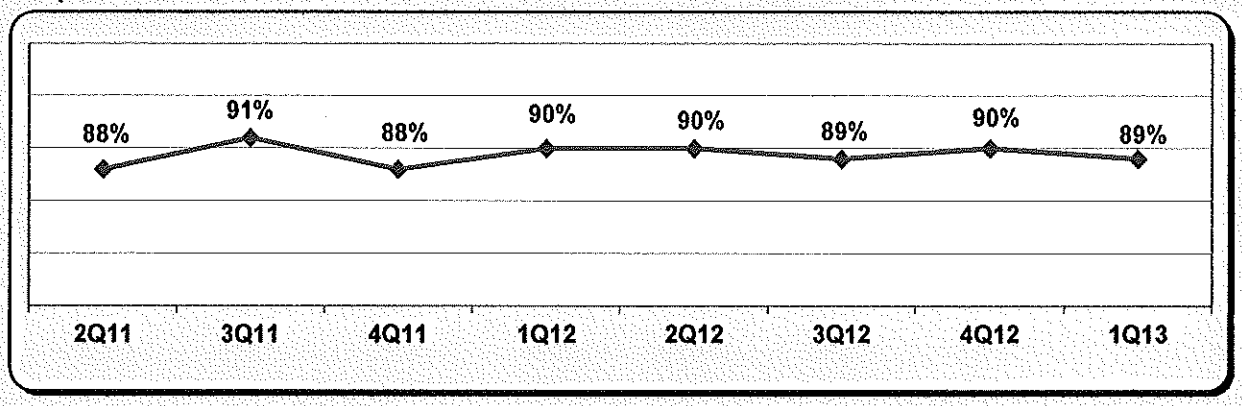


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

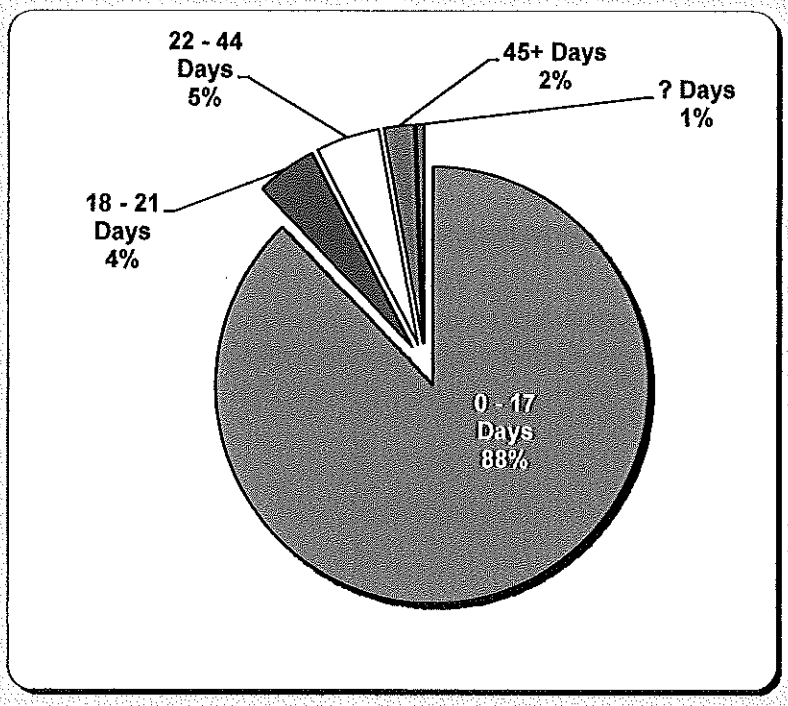


Table 3

Received Within:			
0 - 17	Days	817	88%
18 - 21	Days	42	4%
22 - 44	Days	44	5%
45+	Days	21	2%
?	Days	6	1%
Total		930	100%

Chart 8 Quarterly Compliance

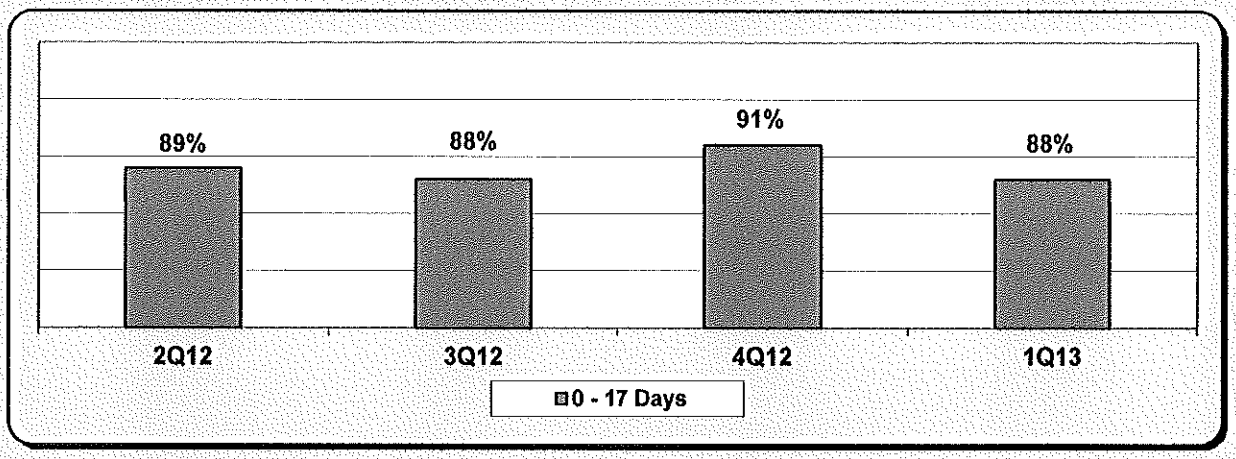
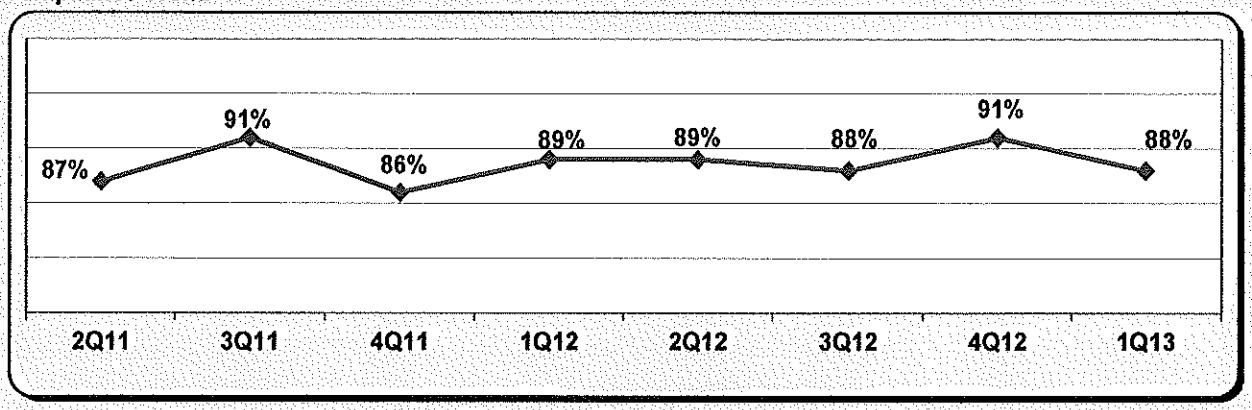


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

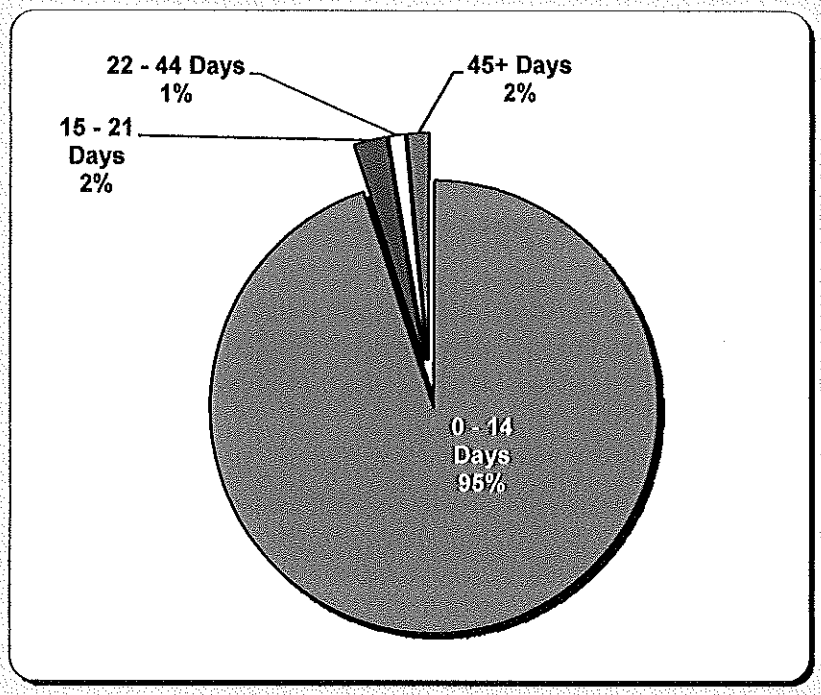


Table 4

Received Within:			
0 - 14	Days	616	95%
15 - 21	Days	15	2%
22 - 44	Days	8	1%
45+	Days	10	2%
Total		649	100%

Chart 11 Quarterly Compliance

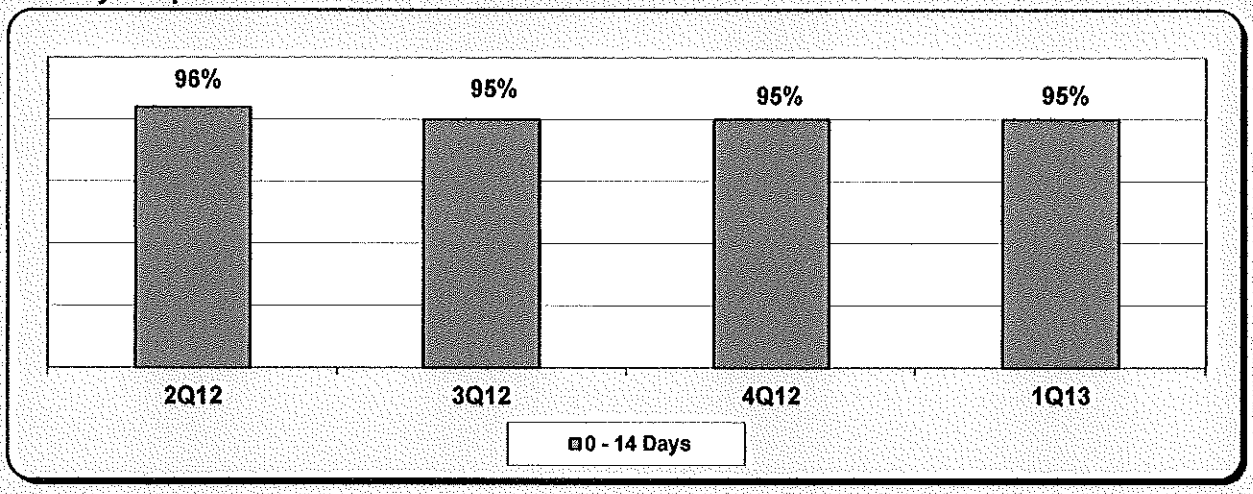
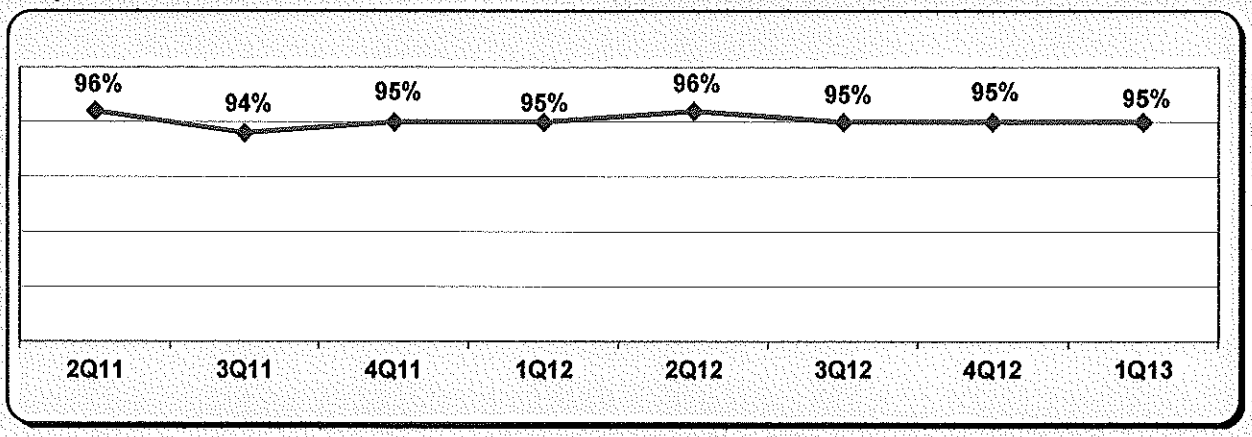


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB 2A forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

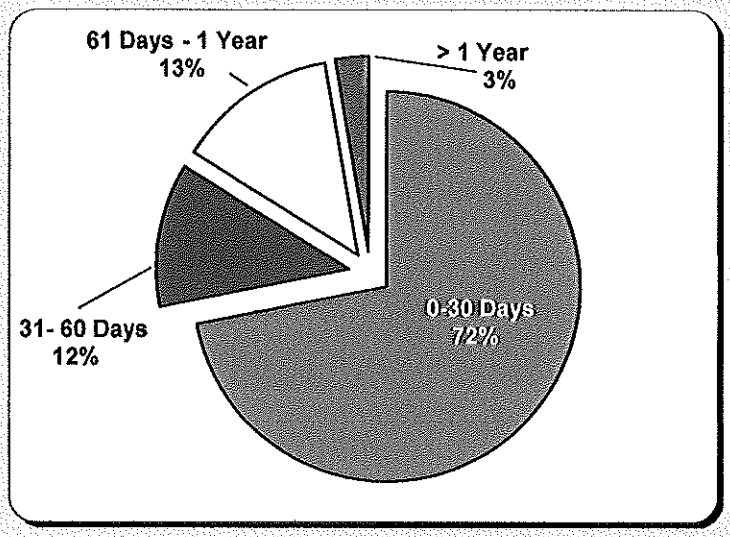


Table 5

Received Within:		
0-30 Days	1,433	72%
31-60 Days	237	12%
61 Days-1 Year	268	13%
> 1 Year	55	3%
Total	**1,993**	**100%**

Wage Statement(s): 1,374 (75%) of the 1,835 Wage Statement(s) that were due this quarter were filed timely, 334 (18%) were filed late, and 127 (7%) remain outstanding.

Chart 14 Schedule of Dependent(s) and Filing Status Statement Timeliness Distribution

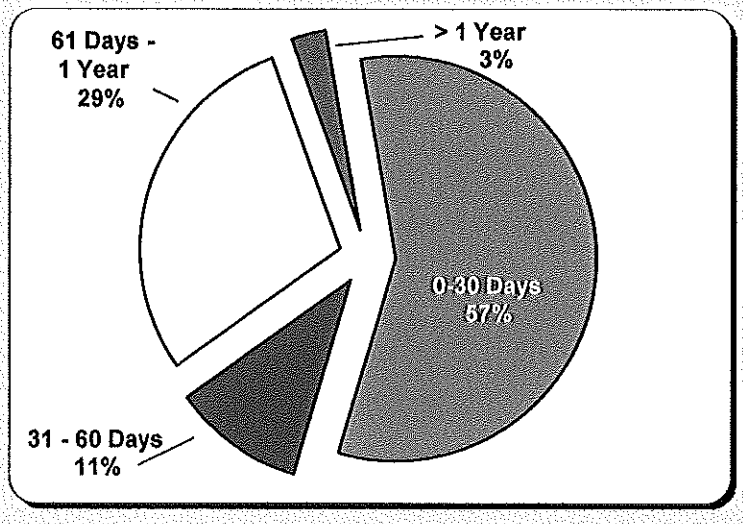


Table 6

Received Within:		
0-30 Days	589	57%
31-60 Days	108	11%
61 Days-1 Year	298	29%
> 1 Year	28	3%
Total	**1,023**	**100%**

Schedule of Dependent(s) and Filing Status Statement(s): 797 (77%) of the 1,041 Schedule of Dependent(s) and Filing Status Statement(s) due this quarter were filed timely, 159 (15%) were filed late, and 85 (8%) remain outstanding.

Appendix A

Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
First Quarter 2013
1/1/2013-3/31/2013

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2013
1/1/2013 - 3/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	**48**	**45**	**94%**	**22**	**18**	**82%**
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	11	11	100%	3	2	67%
CA110	CONSTITUTION STATE SERVICES	5	5	100%	1	1	100%
CA160	ESIS	38	26	68%	11	9	82%
CA190	GALLAGHER BASSETT SERVICES	10	7	70%	3	1	33%
CA204	HELMSMAN MANAGEMENT SERVICES	10	8	80%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	22	20	91%	9	9	100%
	TPA Total	**97**	**78**	**80%**	**29**	**24**	**83%**
	ACE Group Total	**97**	**78**	**80%**	**29**	**24**	**83%**
	AIG DOMESTIC CLAIMS, INC.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA015	AIG DOMESTIC CLAIMS, INC.	20	15	75%	5	4	80%
CA258	NEW HAMPSHIRE INSURANCE CO.	1	1	100%	No filings	No filings	No filings
	Total	**21**	**16**	**76%**	**5**	**4**	**80%**
	AIG GROUP TPA Administered Claims						
CA040	BROADSPIRE SERVICES	16	15	94%	4	4	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	2	2	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	39	39	100%	6	5	83%
CA110	CONSTITUTION STATE SERVICES	*	*	*	*	*	*
CA116	CORVEL CORPORATION	1	0	0%	No filings	No filings	No filings
CA160	ESIS	26	20	77%	18	15	83%
CA190	GALLAGHER BASSETT SERVICES	27	26	96%	7	5	71%
CA204	HELMSMAN MANAGEMENT SERVICES	11	10	91%	3	3	100%
CA280	RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	42	38	90%	12	11	92%
	TPA Total	**163**	**149**	**91%**	**52**	**45**	**87%**
	AIG DOMESTIC CLAIMS, INC. Group Total	**184**	**165**	**90%**	**57**	**49**	**86%**
	AMTRUST INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA381	AMTRUST INSURANCE	4	1	25%	No filings	No filings	No filings
CA342	TECHNOLOGY INSURANCE	10	4	40%	2	2	100%
	Group Total	**14**	**5**	**36%**	**2**	**2**	**100%**
	ARCH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	6	6	100%	5	4	80%
CA300	SEDGWICK CLAIMS MANAGEMENT	10	8	80%	4	4	100%
	TPA Total	**18**	**16**	**89%**	**10**	**9**	**90%**
	ARCH Group Total	**18**	**16**	**89%**	**10**	**9**	**90%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2013
1/1/2013 - 3/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	BATH IRON WORKS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA036	Group Total	88	88	100%	9	9	100%
	BERKSHIRE HATHAWAY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA114	Group Total	1	0	0%	1	0	0%
	BROADSPIRE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	27	24	89%	9	8	89%
	CANNON COCHRAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	135	129	96%	44	38	86%
	CHESTERFIELD SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	1	1	100%	1	1	100%
	CHUBB INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA090	Total	7	5	71%	3	3	100%
	CHUBB INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	4	4	100%
	TPA Total	5	4	80%	4	4	100%
	CHUBB Group Total	12	9	75%	7	7	100%
	CHURCH MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	1	1	100%	No filings	No filings	No filings
	CIANBRO CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	1	0	0%	No filings	No filings	No filings
	CITY OF BANGOR	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA033	Group Total	7	7	100%	3	3	100%
	CLAIMS MANAGEMENT (WAL-MART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	39	39	100%	6	5	83%
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA050	Group Total	3	2	67%	1	1	100%
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	5	5	100%	1	1	100%
	CONTINENTAL INDEMNITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	No filings	No filings	No filings	No filings	No filings	No filings

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2013
1/1/2013 - 3/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CORVEL CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	3	1	33%	1	1	100%
	COTTINGHAM AND BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	14	9	64%	4	4	100%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA089	Group Total	1	1	100%	1	1	100%
	ELECTRIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300	Group Total	5	3	60%	3	3	100%
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	66	47	71%	31	25	81%
	EVEREST NATIONAL INSURANCE CO.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
	EVEREST INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	EVEREST Group Total	1	0	0%	No filings	No filings	No filings
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	1	1	100%	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	Group Total	3	1	33%	3	2	67%
	FUTURECOMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	58	51	88%	12	8	67%
	GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	80	71	89%	33	27	82%
	GREAT AMERICAN INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA193	Group Total	2	0	0%	1	1	100%
	GREAT DIVIDE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
	GREAT DIVIDE TPA Administered Claims						
CA189	BERKLEY SPECIALTY UNDERWRITING	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	GREAT DIVIDE Group Total	*	*	*	*	*	*

*Indicates no claims activity this quarter

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2013
1/1/2013 - 3/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	GREAT FALLS INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Group Total	*	*	*	*	*	*
	GREAT FALLS TPA Administered Claims						
CA070	CANNAN COCHRAN MANAGEMENT SERVICES	29	27	93%	10	8	80%
	TPA Total	29	27	93%	10	8	80%
	GREAT DIVIDE Group Total	29	27	93%	10	8	80%
	GUARANTEE INSURANCE CO.	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA195	**Group Total**	4	1	25%	3	1	33%
	GUARD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	8	7	88%	2	1	50%
CA140	EASTGUARD INSURANCE COMPANY	24	15	63%	4	4	100%
CA272	NORGUARD INSURANCE COMPANY	4	2	50%	2	2	100%
	Group Total	36	24	67%	8	7	88%
	HANNAFORD BROTHERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA201	**Group Total**	73	68	93%	7	6	86%
	HANOVER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	4	4	100%	2	2	100%
CA202	HANOVER INSURANCE CO.	12	11	92%	4	4	100%
CA228	MASSACHUSETTS BAY INS. CO.	5	5	100%	1	1	100%
	Group Total	21	20	95%	7	7	100%
	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	6	5	83%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INS CO.	4	4	100%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE CO.	1	0	0%	No filings	No filings	No filings
CA186	HARTFORD INSURANCE OF THE MIDWEST	11	9	82%	3	2	67%
CA187	HARTFORD UNDERWRITERS INSURANCE	3	3	100%	2	2	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY	1	1	100%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	1	1	100%	1	1	100%
CA319	TRUMBULL	10	9	90%	2	2	100%
CA321	TWIN CITY FIRE INSURANCE CO.	18	16	89%	8	7	88%
	Total	55	48	87%	16	14	88%
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA116	CORVEL CORPORATION	2	1	50%	1	1	100%
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
CA280	RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	7	6	86%	No filings	No filings	No filings
	TPA Total	11	9	82%	2	2	100%
	HARTFORD Group Total	66	57	86%	18	16	89%
	HELMSMAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA204	**Group Total**	23	18	78%	6	6	100%
	LIBERTY MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	11	8	73%	4	2	50%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	66	58	88%	17	16	94%
	Group Total	77	66	86%	21	18	86%

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2013
1/1/2013 - 3/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	LUMBERMEN'S UNDERWRITING	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA211	Group Total	1	0	0%	1	0	0%
	MAINE AUTOMOBILE DEALERS ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA220	Group Total	45	41	91%	15	14	93%
	MAINE EMPLOYERS' MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA260	Group Total	966	810	84%	301	281	93%
	MAINE HEALTH CARE ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA234	Group Total	34	33	97%	4	4	100%
	MAINE MOTOR TRANSPORT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230	Group Total	64	53	83%	15	13	87%
	MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	251	243	97%	71	65	92%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250	Group Total	94	87	93%	19	18	95%
	MEADOWBROOK	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	4	3	75%	2	1	50%
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	1	1	100%	1	1	100%
	NEW YORK MARINE AND GENERAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300	Group Total	1	0	0%	No filings	No filings	No filings
	NGM INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	2	1	50%	2	2	100%
	OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	5	5	100%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	4	1	25%	3	3	100%
	TPA Total	12	9	75%	3	3	100%
	OLD REPUBLIC Group Total	12	9	75%	3	3	100%

*Indicates no claims activity this quarter

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2013
1/1/2013 - 3/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	PATRIOT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA274	**Group Total**	**2**	**1**	**50%**	**No filings**	**No filings**	**No filings**
	PEERLESS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA162	EXCELSIOR INSURANCE CO.	*	*	*	*	*	*
CA309	NETHERLANDS INSURANCE COMPANY	*	*	*	*	*	*
CA275	PEERLESS INSURANCE CO.	55	40	73%	22	18	82%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	**55**	**40**	**73%**	**22**	**18**	**82%**
	PENNSYLVANIA MFG. ASSOCIATION INS. CO	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	4	3	75%
CA165	F.A. RICHARD	1	1	100%	No filings	No filings	No filings
	TPA Total	**3**	**2**	**67%**	**4**	**3**	**75%**
	PENNSYLVANIA MFG. Group Total	**3**	**2**	**67%**	**4**	**3**	**75%**
	PRAETORIAN INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA276	**Group Total**	*	*	*	*	*	*
	PRAETORIAN INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	8	7	88%	6	6	100%
	TPA Total	**8**	**7**	**88%**	**6**	**6**	**100%**
	PRAETORIAN INSURANCE Group Total	**8**	**7**	**88%**	**6**	**6**	**100%**
	RISK ENTERPRISES MANAGEMENT	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA280	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	SAFETY NATIONAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	**3**	**3**	**100%**	**1**	**1**	**100%**
	SAFETY NATIONAL Group Total	**3**	**3**	**100%**	**1**	**1**	**100%**
	SEDGWICK CLAIMS MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	146	116	79%	44	41	93%
	Group Total	**146**	**116**	**79%**	**44**	**41**	**93%**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2013
1/1/2013 - 3/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SENTRY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA305	**Group Total**	**3**	**0**	**0%**	**3**	**3**	**100%**
	SPARTA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
74941	**Total**	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	12	8	67%	3	3	100%
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	**12**	**8**	**67%**	**3**	**3**	**100%**
	SPARTA Group Total	**12**	**8**	**67%**	**3**	**3**	**100%**
	STATE OF MAINE WORKERS' COMPENSATION TRUST	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA307	**Group Total**	**148**	**130**	**88%**	**38**	**33**	**87%**
	SYNERNET	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA320	**Group Total**	**119**	**115**	**97%**	**30**	**25**	**83%**
	TOWER INSURANCE COMPANY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA356	**Group Total**	**4**	**0**	**0%**	**1**	**0**	**0%**
	TRAVELERS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	39	28	72%	14	12	86%
CA164	FARMINGTON CASUALTY CO.	1	1	100%	1	1	100%
CA306	STANDARD FIRE INS. CO.	7	6	86%	7	7	100%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	3	2	67%	2	2	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	9	6	67%	7	6	86%
CA349	TRAVELERS COMMERCIAL CASUALTY	3	3	100%	1	1	100%
CA346	TRAVELERS INDEMNITY CO OF AMERICA	3	3	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	8	8	100%	5	5	100%
	Total	**73**	**57**	**78%**	**37**	**34**	**92%**
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	1	50%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	1	0	0%	No filings	No filings	No filings
	TPA Total	**4**	**2**	**50%**	**3**	**3**	**100%**
	TRAVELERS Group Total	**77**	**59**	**77%**	**40**	**37**	**93%**
	UNDERWRITERS SAFETY & CLAIMS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA360	**Group Total**	**1**	**1**	**100%**	**1**	**1**	**100%**
	WILLIS OF NORTHERN NEW ENGLAND	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA382	**Group Total**	**262**	**240**	**92%**	**53**	**47**	**89%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter 2013
1/1/2013 - 3/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	XL SPECIALTY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA384	Total	*	*	*	*	*	*
	XL TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	3	2	67%	2	1	50%
	TPA Total	8	7	88%	4	3	75%
	XL Group Total	8	7	88%	4	3	75%
	YORK RISK SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA340	Group Total	2	0	0%	No filings	No filings	No filings
	ZENITH INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	1	1	100%	No filings	No filings	No filings
	ZURICH INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA022	AMERICAN ZURICH	9	6	67%	2	2	100%
CA227	MARYLAND CASUALTY CO.	1	0	0%	No filings	No filings	No filings
CA259	NORTHERN INSURANCE CO. OF NEW YORK	1	0	0%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	11	9	82%	6	5	83%
CA404	ZURICH AMERICAN INSURANCE CO.	7	4	57%	1	1	100%
	Total	29	19	66%	9	8	89%
	ZURICH TPA Administered Claims						
CA080	CHESTERFIELD SERVICES	1	1	100%	1	1	100%
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	2	1	50%	1	1	100%
CA160	ESIS	1	1	100%	1	1	100%
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	10	10	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	14	12	86%	2	1	50%
	TPA Total	28	25	89%	7	6	86%
	ZURICH Group Total	57	44	77%	16	14	88%

***Indicates no claims activity this quarter**

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2013
1/1/2013-3/31/2013

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2013
1/1/2013 - 3/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA010	**Group Total**	**22**	**19**	**86%**	**11**	**11**	**100%**
	ACE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	2	67%	5	5	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	2	2	100%
CA160	ESIS	11	9	82%	12	10	83%
CA190	GALLAGHER BASSETT SERVICES	3	1	33%	4	4	100%
CA204	HELMSMAN MANAGEMENT SERVICES	2	2	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	9	9	100%	8	7	88%
	TPA Total	**29**	**24**	**83%**	**33**	**30**	**91%**
	ACE Group Total	**29**	**24**	**83%**	**33**	**30**	**91%**
	AIG DOMESTIC CLAIMS, INC	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA015	AIG DOMESTIC CLAIMS, INC.	5	4	80%	3	3	100%
CA258	NEW HAMPSHIRE INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	**5**	**4**	**80%**	**3**	**3**	**100%**
	AIG GROUP TPA Administered Claims						
CA040	BROADSPIRE SERVICES	4	4	100%	5	5	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	2	2	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	6	5	83%	8	8	100%
CA110	CONSTITUTION STATE SERVICES	*	*	*	*	*	*
CA116	CORVEL CORPORATION	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	18	16	89%	6	6	100%
CA190	GALLAGHER BASSETT SERVICES	7	6	86%	6	6	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	3	100%	4	4	100%
CA280	RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	12	11	92%	7	7	100%
	TPA Total	**52**	**47**	**90%**	**38**	**38**	**100%**
	AIG DOMESTIC CLAIMS, INC. Group Total	**57**	**51**	**89%**	**41**	**41**	**100%**
	AMTRUST INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA381	AMTRUST INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA342	TECHNOLOGY INSURANCE CO.	2	1	50%	1	0	0%
	Group Total	**2**	**1**	**50%**	**1**	**0**	**0%**
	ARCH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	5	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	4	4	100%	2	2	100%
	TPA Total	**10**	**10**	**100%**	**2**	**2**	**100%**
	ARCH Group Total	**10**	**10**	**100%**	**2**	**2**	**100%**

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2013
1/1/2013 - 3/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	BATH IRON WORKS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA036	Group Total	9	9	100%	7	6	86%
	BERKSHIRE HATHAWAY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA114	Group Total	1	0	0%	No filings	No filings	No filings
	BROADSPIRE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	9	8	89%	6	6	100%
	CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA070	Group Total	44	31	70%	29	26	90%
	CHESTERFIELD SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	1	1	100%	No filings	No filings	No filings
	CHUBB INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA090	Group Total	3	2	67%	1	1	100%
	CHUBB TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	4	4	100%	1	1	100%
	TPA Total	4	4	100%	1	1	100%
	CHUBB Group Total	7	6	86%	2	2	100%
	CHURCH MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA084	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	CIANBRO CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA085	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	CITY OF BANGOR	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA033	Group Total	3	3	100%	No filings	No filings	No filings
	CLAIMS MANAGEMENT (WAL-MART)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA100	Group Total	6	5	83%	8	8	100%
	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA050	Group Total	1	1	100%	1	1	100%
	CONSTITUTION STATE SERVICE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110	Group Total	1	1	100%	3	2	67%
	CONTINENTAL INDEMNITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA115	Group Total	No filings	No filings	No filings	No filings	No filings	No filings

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INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter 2013
1/1/2013 - 3/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CORVEL CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA116	Group Total	1	1	100%	No filings	No filings	No filings
	COTTINGHAM AND BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	4	4	100%	6	5	83%
	CRUM & FORSTER	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA089	Group Total	1	1	100%	No filings	No filings	No filings
	ELECTRIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300	Group Total	3	3	100%	2	1	50%
	ESIS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160	Group Total	31	27	87%	19	16	84%
	EVEREST NATIONAL INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
28312	Group Total	*	*	*	*	*	*
	EVEREST INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	EVEREST INSURANCE Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA165	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	Group Total	3	3	100%	No filings	No filings	No filings
	FUTURECOMP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175	Group Total	12	12	100%	6	6	100%
	GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA190	Group Total	33	29	88%	17	17	100%
	GREAT AMERICAN INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA193	Group Total	1	1	100%	No filings	No filings	No filings
	GREAT DIVIDE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
	GREAT DIVIDE INSURANCE TPA Administered Claims						
CA189	BERKLEY SPECIALTY UNDERWRITING	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	GREAT DIVIDE INSURANCE Group Total	*	*	*	*	*	*

*Indicates no claims activity this quarter

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	GREAT FALLS INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Group Total	*	*	*	*	*	*
	GREAT FALLS TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	10	8	80%	3	3	100%
	TPA Total	**10**	**8**	**80%**	**3**	**3**	**100%**
	GREAT FALLS Group Total	**10**	**8**	**80%**	**3**	**3**	**100%**
	GUARANTEE INSURANCE CO.	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA195	**Group Total**	**3**	**0**	**0%**	**1**	**1**	**100%**
	GUARD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA019	AMGUARD INSURANCE COMPANY	2	1	50%	2	1	50%
CA140	EASTGUARD INSURANCE COMPANY	4	2	50%	No filings	No filings	No filings
CA272	NORGUARD INSURANCE COMPANY	2	1	50%	1	0	0%
	Group Total	**8**	**4**	**50%**	**3**	**1**	**33%**
	HANNAFORD BROTHERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA201	**Group Total**	**7**	**6**	**86%**	**16**	**15**	**94%**
	HANOVER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	2	2	100%	1	1	100%
CA202	HANOVER INSURANCE COMPANY	4	4	100%	3	3	100%
CA228	MASSACHUSETTS BAY INS. CO.	1	1	100%	1	1	100%
	Group Total	**7**	**7**	**100%**	**5**	**5**	**100%**
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	No filings	No filings	No filings	1	0	0%
CA185	HARTFORD CASUALTY INS CO.	No filings	No filings	No filings	1	0	0%
CA203	HARTFORD FIRE INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA186	HARTFORD INSURANCE OF THE MIDWEST	3	2	67%	3	3	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	2	2	100%	No filings	No filings	No filings
CA288	PROPERTY & CASUALTY INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE COMPANY	2	2	100%	4	4	100%
CA321	TWIN CITY FIRE INSURANCE CO.	8	7	88%	3	3	100%
	Total	**16**	**14**	**88%**	**12**	**10**	**83%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA116	CORVEL CORPORATION	1	1	100%	No filings	No filings	No filings
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA280	RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	4	4	100%
	TPA Total	**2**	**2**	**100%**	**4**	**4**	**100%**
	HARTFORD Group Total	**18**	**16**	**89%**	**16**	**14**	**88%**
	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA204	**Group Total**	**6**	**6**	**100%**	**7**	**6**	**86%**
	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	4	3	75%	4	4	100%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	17	17	100%	16	16	100%
	Group Total	**21**	**20**	**95%**	**20**	**20**	**100%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	LUMBERMEN'S UNDERWRITING	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA213	Group Total	1	0	0%	No filings	No filings	No filings
	MAINE AUTOMOBILE DEALERS ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA220	Group Total	15	14	93%	4	4	100%
	MAINE EMPLOYERS' MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA260	Group Total	301	275	91%	165	158	96%
	MAINE HEALTH CARE ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA234	Group Total	4	4	100%	8	8	100%
	MAINE MOTOR TRANSPORT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA230	Group Total	15	13	87%	18	17	94%
	MAINE MUNICIPAL ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA225	Group Total	71	66	93%	52	51	98%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA250	Group Total	19	18	95%	26	26	100%
	MEADOWBROOK	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255	Group Total	2	1	50%	No filings	No filings	No filings
	NATIONAL INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	1	1	100%	No filings	No filings	No filings
	NEW YORK MARINE AND GENERAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	NGM INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA265	Group Total	2	1	50%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	3	3	100%	No filings	No filings	No filings
	TPA Total	3	3	100%	1	1	100%
	OLD REPUBLIC Group Total	3	3	100%	1	1	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	PATRIOT INSURANCE CO.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA274	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	PEERLESS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA162	EXCELSIOR INSURANCE CO.	*	*	*	*	*	*
CA309	NETHERLANDS INSURANCE COMPANY	*	*	*	*	*	*
CA275	PEERLESS INSURANCE CO.	22	16	73%	7	6	86%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	22	16	73%	7	6	86%
	PENNSYLVANIA MFG. ASSOCIATION INS. CO	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	No filings	No filings	No filings
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	4	3	75%	0	0	#DIV/0!
	PENNSYLVANIA MFG. Group Total	4	3	75%	0	0	#DIV/0!
	PRAETORIAN INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA276	Group Total	*	*	*	*	*	*
	PRAETORIAN INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	6	100%	1	1	100%
	TPA Total	6	6	100%	1	1	100%
	PRAETORIAN INSURANCE Group Total	6	6	100%	1	1	100%
	RISK ENTERPRISE MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	SAFETY NATIONAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
	TPA Total	1	1	100%	1	1	100%
	SAFETY NATIONAL Group Total	1	1	100%	1	1	100%
	SEDGWICK CLAIMS MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300	Group Total	44	41	93%	35	32	91%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	SENTRY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA305	**Group Total**	**3**	**1**	**33%**	**No filings**	**No filings**	**No filings**
	SPARTA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	3	3	100%	5	4	100%
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	**3**	**3**	**100%**	**5**	**4**	**100%**
	SPARTA Group Total	**3**	**3**	**100%**	**5**	**4**	**80%**
	STATE OF MAINE WORKERS' COMPENSATION TRUST	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA307	**Group Total**	**38**	**32**	**84%**	**26**	**26**	**100%**
	SYNERNET	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA320	**Group Total**	**30**	**26**	**87%**	**27**	**27**	**100%**
	TOWER INSURANCE COMPANY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA356	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	TRAVELERS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	14	10	71%	6	5	83%
CA164	FARMINGTON CASUALTY CO.	1	1	100%	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	7	7	100%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	2	2	100%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	7	6	86%	2	2	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO OF AMERICA	No filings	No filings	No filings	2	2	100%
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	5	5	100%	2	2	100%
	Total	**37**	**32**	**86%**	**12**	**11**	**92%**
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	1	1	100%
	TPA Total	**3**	**3**	**100%**	**1**	**1**	**100%**
	TRAVELERS Group Total	**40**	**35**	**88%**	**13**	**12**	**92%**
	UNDERWRITERS SAFETY & CLAIMS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA360	**Group Total**	**1**	**1**	**100%**	**No filings**	**No filings**	**No filings**
	WILLIS OF NORTHERN NEW ENGLAND	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA382	**Group Total**	**53**	**47**	**89%**	**82**	**81**	**99%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	XL SPECIALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	2	1	50%	1	1	100%
	TPA Total	**4**	**2**	**50%**	**2**	**2**	**100%**
	XL Group Total	**4**	**2**	**50%**	**2**	**2**	**100%**
	YORK RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA340	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	ZENITH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA340	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	ZURICH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA022	AMERICAN ZURICH	2	2	100%	3	2	67%
CA227	MARYLAND CASUALTY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA259	NORTHERN INSURANCE CO. OF NEW YORK	No filings	No filings	No filings	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	6	5	83%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE CO.	1	1	100%	1	1	100%
	Total	**9**	**8**	**89%**	**5**	**4**	**80%**
	ZURICH TPA Administered Claims						
CA080	CHESTERFIELD SERVICES	1	1	100%	No filings	No filings	No filings
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	1	1	100%	1	1	100%
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA165	F.A. RICHARD	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	2	1	50%	4	3	75%
	TPA Total	**7**	**6**	**86%**	**6**	**5**	**83%**
	ZURICH Group Total	**16**	**14**	**88%**	**11**	**9**	**82%**