QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD

Fourth Quarter 2011 Report

October 1, 2011 – December 31, 2011

Office of Monitoring, Audit & Enforcement

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State of Maine
Workers' Compensation Board
Quarterly Compliance Report
Fourth Quarter 2011

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Executive Summary

On April 11, 2012, the Maine Workers' Compensation Board approved the Fourth Quarter 2011 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-administered employers, and third-party administrators (collectively "claim administrators").

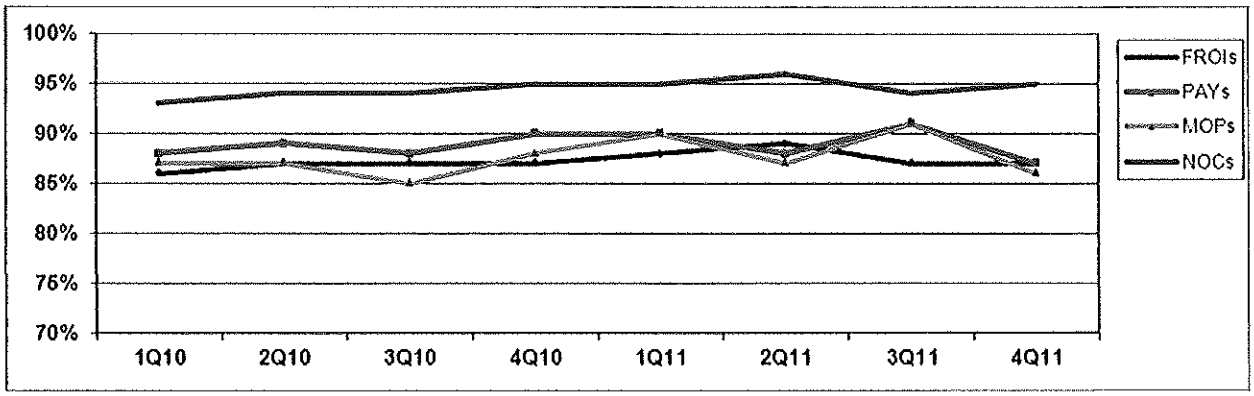
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 97 claim administrators on January 20, 2012; 67 responded, 27 were not required to respond and 3did not respond.

The 4Q11 report represents results based upon data received by February 17, 2012. The results are:

Category	Number of Days	Benchmark	1Q10	2Q10	3Q10	4Q10	1Q11	2Q11	3Q11	4Q11
FROIs	7	85%	86%	87%	87%	87%	88%	89%	87%	87%
PAYs	14	87%	88%	89%	88%	90%	90%	88%	91%	87%
MOPs	17	85%	87%	87%	85%	88%	90%	87%	91%	86%
NOCs	14	90%	93%	94%	94%	95%	95%	96%	94%	95%

Compliance Benchmark Tracking (1Q10 – 4Q11)



II. CORRECTIVE ACTION PLANS (CAPs)

CAPs are implemented for claim administrators with chronic poor compliance. Elements of the CAPs are reviewed and updated each quarter to track compliance changes and ensure that the elements of the plan are being met.

The following had CAPs in place for the 4Q11:

Claim Administrator (alpha order)	Market Share by Premiums Written
Sentry	.32%
Zurich	1%

III. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the claim administrator did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

IV. COMPLETED AUDITS

The Board conducts compliance audits of insurers, self-insurers and third-party administrators to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 4Q11:

Claim Administrator (alpha order)	Total Penalties
Gallagher Bassett	$7,650.00
MAC	$3,350.00
Sedgwick	$7,100.00
Sentry	$4,800.00

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

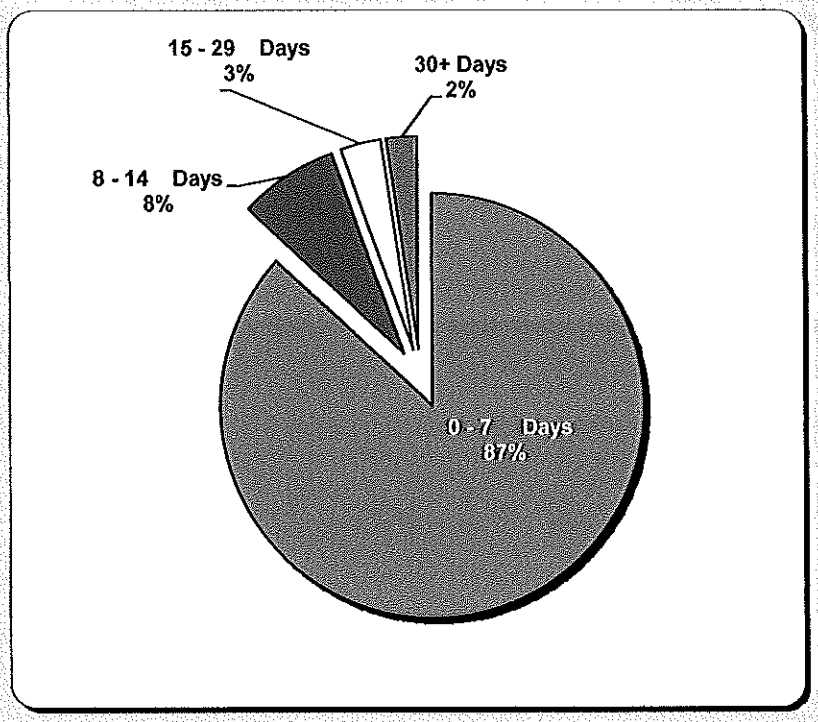


Table 1

Received Within:			
0 - 7	Days	2,804	87%
8 - 14	Days	245	8%
15 - 29	Days	100	3%
30+	Days	77	2%
Total		3,226	100%

Chart 2 Quarterly Compliance

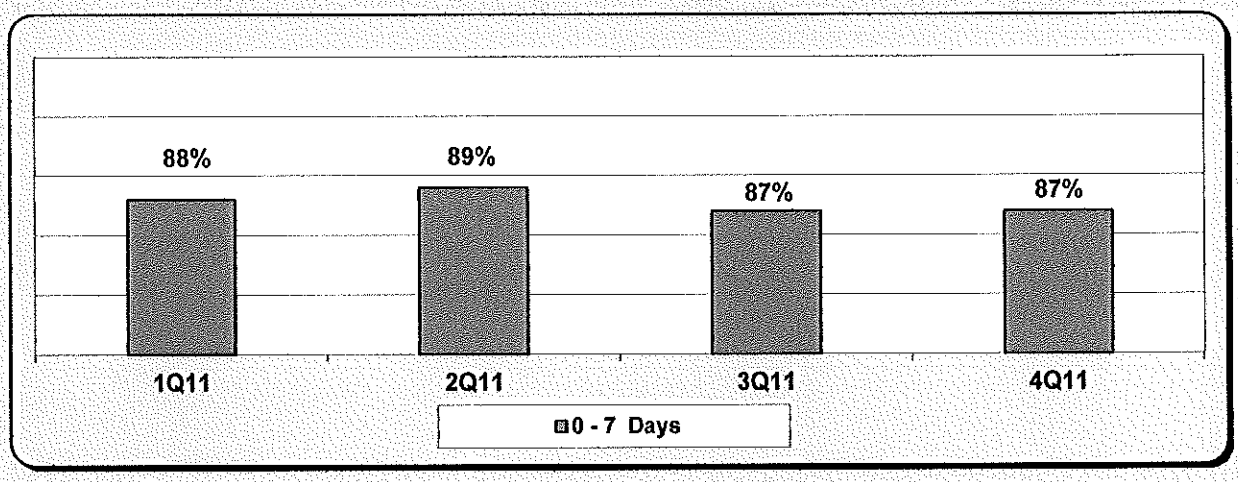
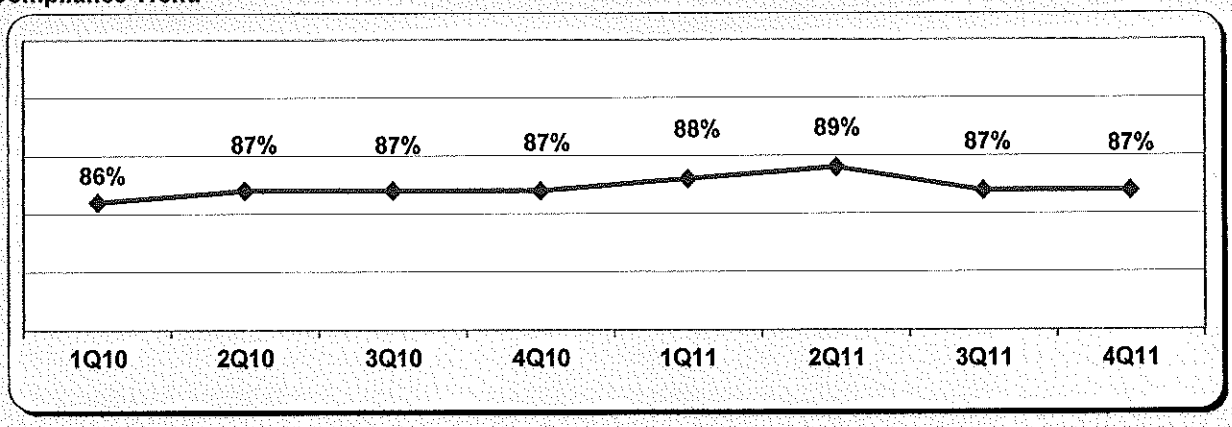


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

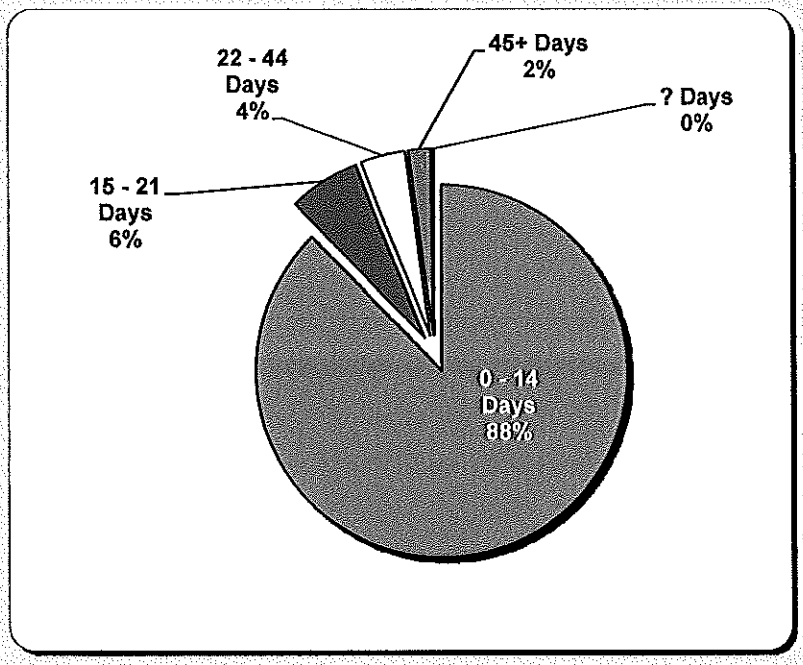


Table 2

Made Within:			
0 - 14	Days	863	88%
15 - 21	Days	61	6%
22 - 44	Days	38	4%
45+	Days	19	2%
?	Days	2	0%
Total		**983**	**100%**

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, $8,000 was issued to claimants in penalties and there is another $9,450 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

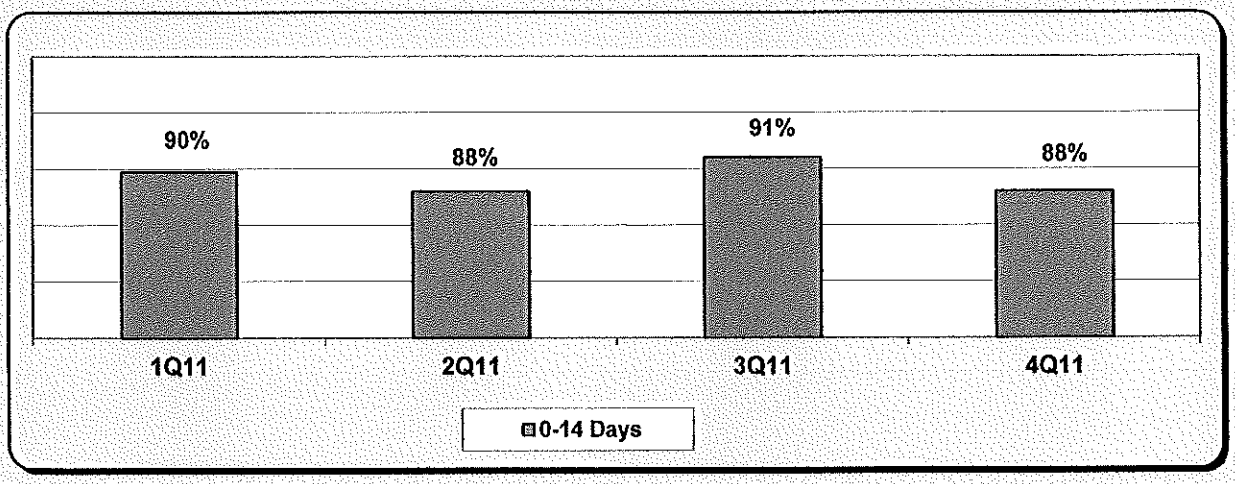
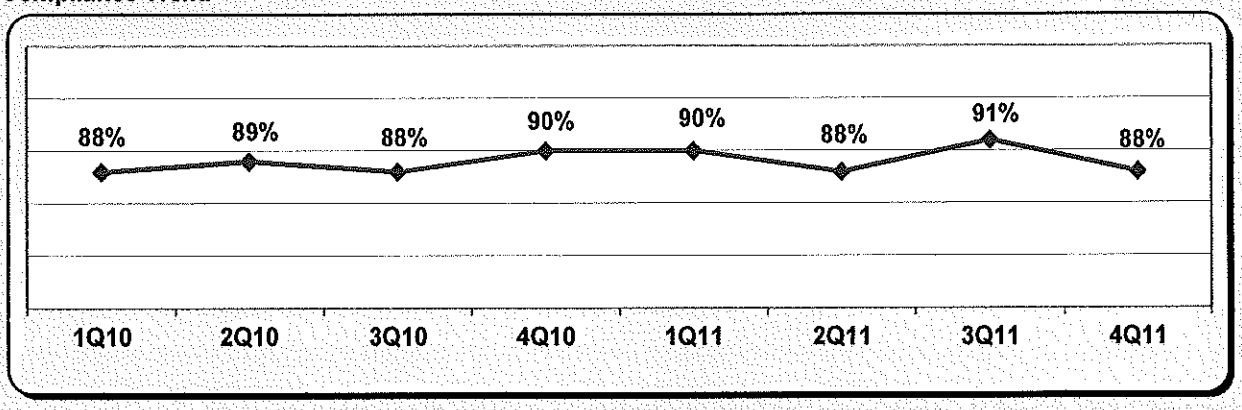


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

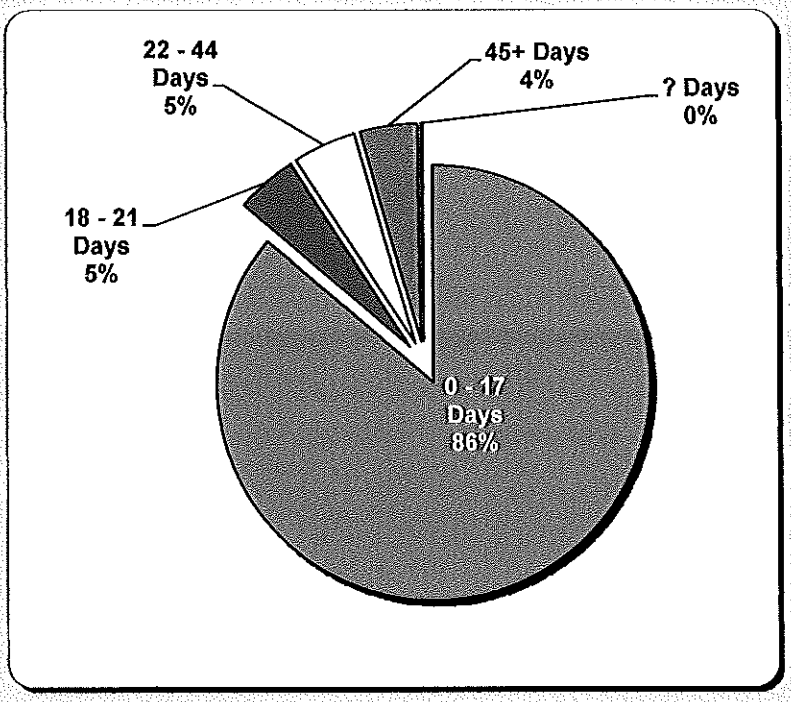


Table 3

Received Within:			
0 - 17	Days	848	86%
18 - 21	Days	45	5%
22 - 44	Days	46	5%
45+	Days	42	4%
?	Days	2	0%
Total		983	100%

Chart 8 Quarterly Compliance

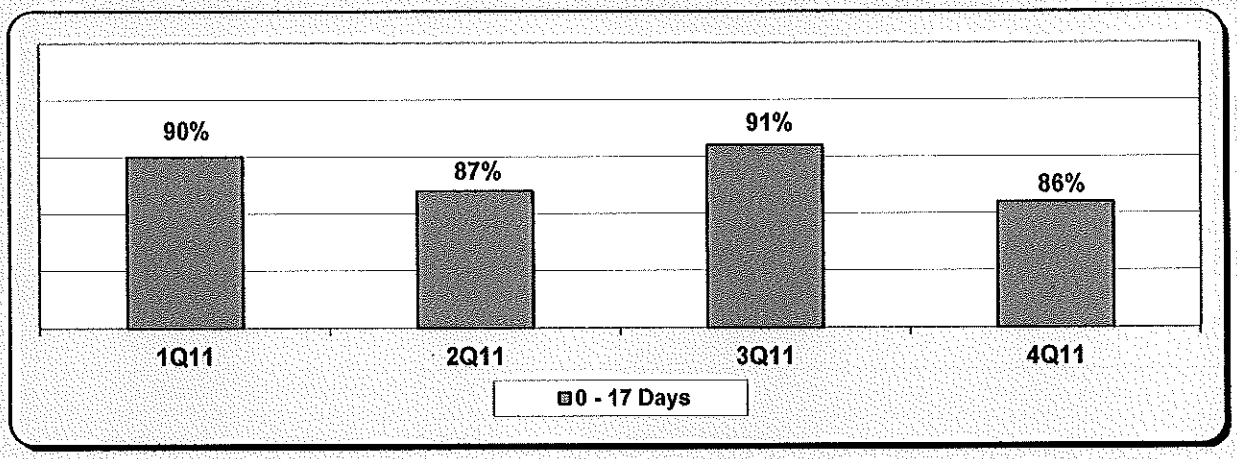
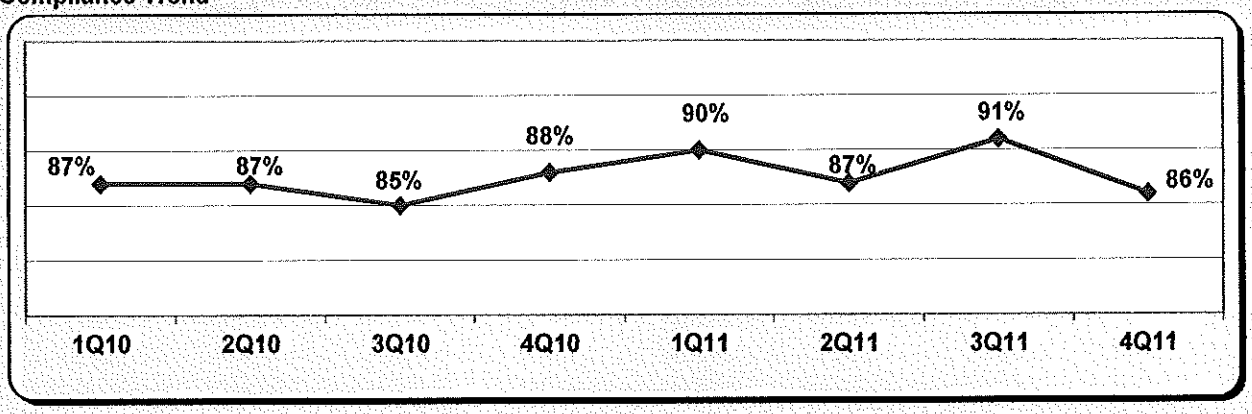


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

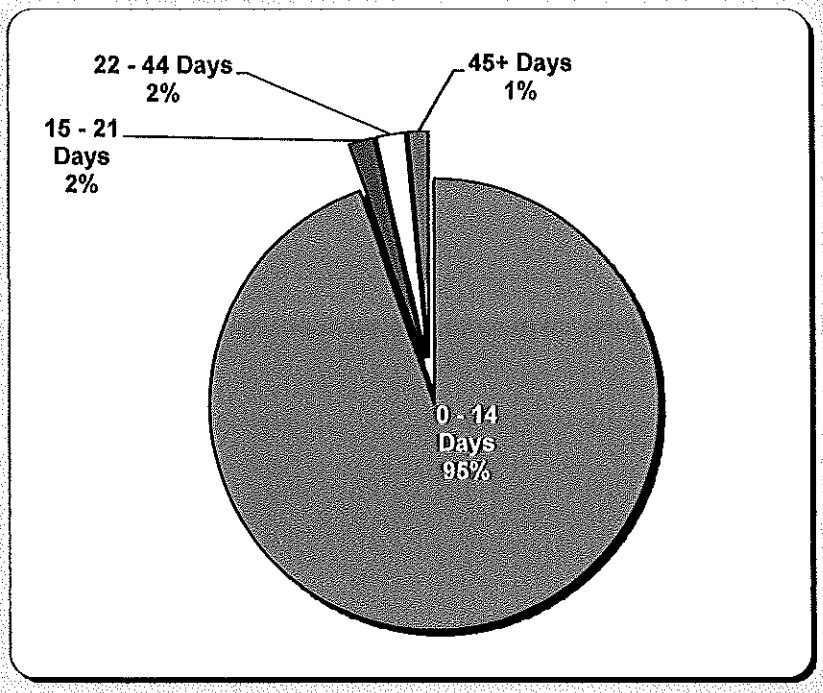


Table 4

Received Within:			
0 - 14	Days	588	95%
15 - 21	Days	11	2%
22 - 44	Days	13	2%
45+	Days	9	1%
Total		621	100%

Chart 11 Quarterly Compliance

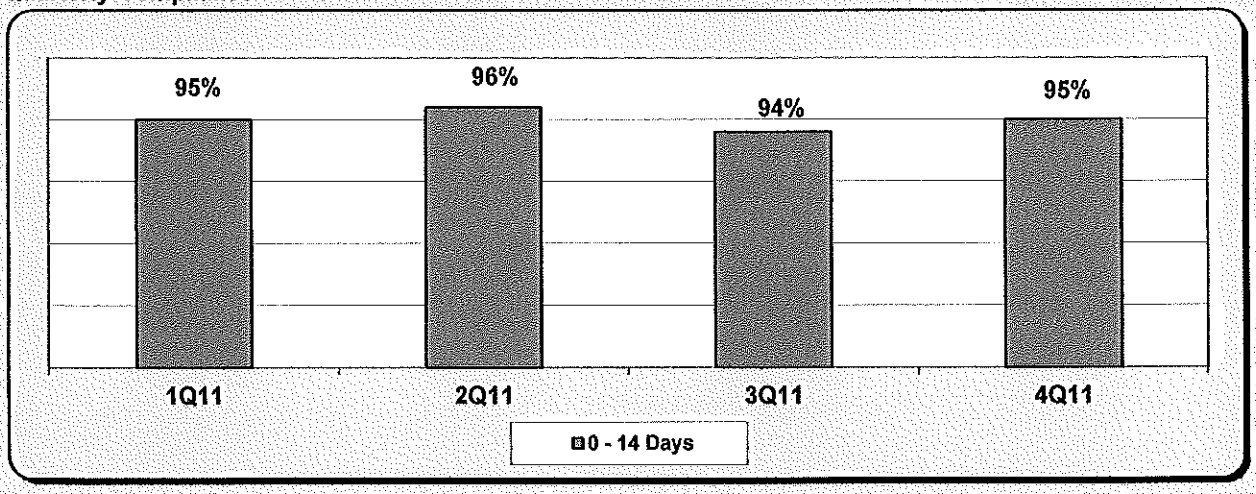
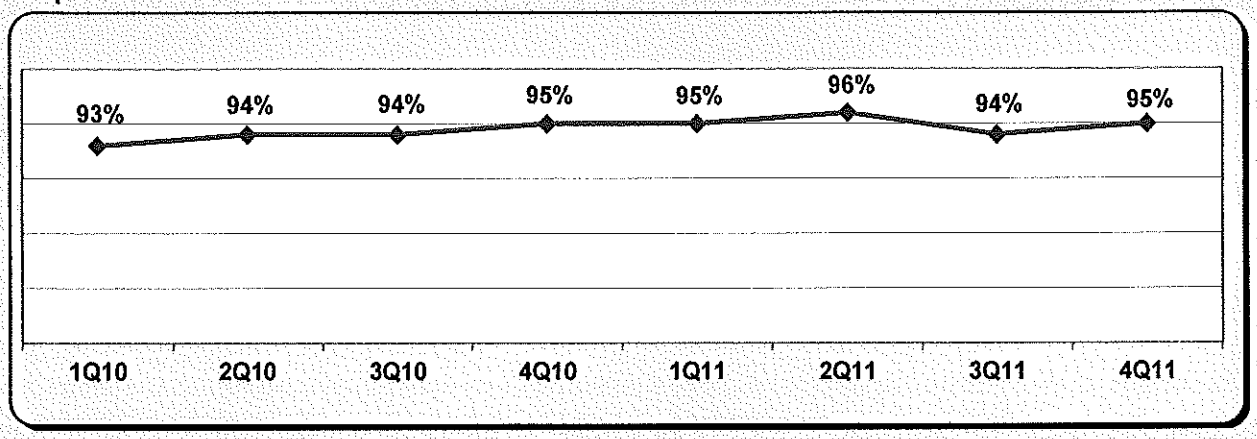


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB 2A forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

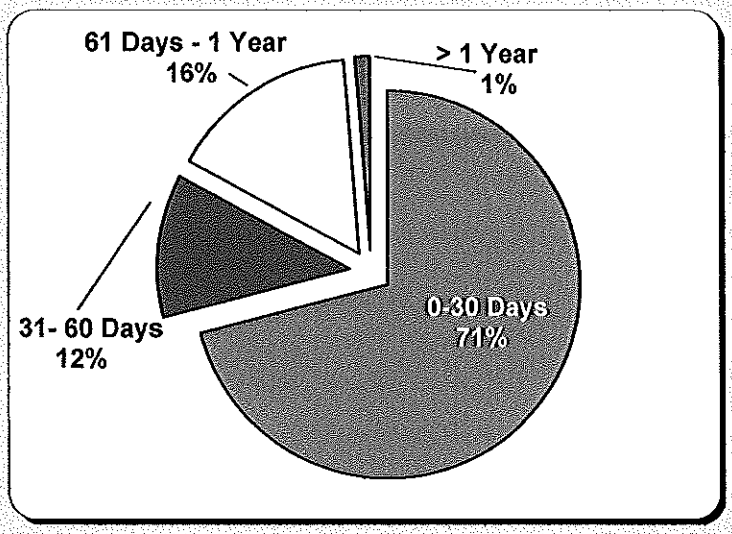


Table 5

Received Within:		
0-30 Days	1,348	71%
31-60 Days	216	12%
61 Days-1 Year	302	16%
> 1 Year	23	1%
Total	**1,889**	**100%**

Wage Statement(s): 1,374 (72%) of the 1,909 Wage Statement(s) that were due this quarter were filed timely, 462 (24%) were filed late, and 73 (4%) remain outstanding.

Chart 14 Schedule of Dependent(s) and Filing Status Statement Timeliness Distribution

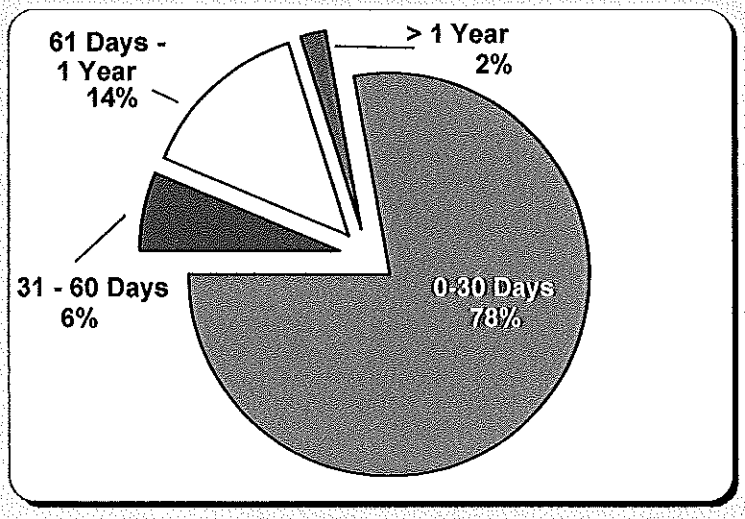


Table 6

Received Within:		
0-30 Days	1,491	78%
31-60 Days	120	6%
61 Days-1 Year	267	14%
> 1 Year	27	2%
Total	**1,905**	**100%**

Schedule of Dependent(s) and Filing Status Statement(s): 1,484 (78%) of the 1,909 Schedule of Dependent(s) and Filing Status Statement(s) due this quarter were filed timely, 351 (18%) were filed late, and 74 (4%) remain outstanding.

Appendix A

Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
Fourth Quarter 2011
10/1/2011-12/31/2011

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Fourth Quarter 2011
10/1/2011 - 12/31/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	69	64	93%	26	26	100%
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	5	5	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA110	CONSTITUTION STATE SERVICES	4	4	100%	1	1	100%
CA160	ESIS	33	22	67%	15	11	73%
CA190	GALLAGHER BASSETT SERVICES	26	23	88%	11	10	91%
CA204	HELMSMAN MANAGEMENT SERVICES	6	5	83%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	42	36	86%	15	11	73%
CA340	YORK RISK SERVICES GROUP	1	0	0%	1	0	0%
	TPA Total	117	95	81%	46	36	78%
	ACE Group Total	117	95	81%	46	36	78%
	AMERICAN INTERSTATE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA018	**Total**	*	*	*	*	*	*
	AMTRUST NORTH AMERICA	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA381	AMTRUST NORTH AMERICA	1	1	100%	No filings	No filings	No filings
CA342	AMTRUST NORTH AMERICA	6	2	33%	No filings	No filings	No filings
	Total	7	3	43%	No filings	No filings	No filings
	ARCH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	8	7	88%	1	0	0%
CA300	SEDGWICK CLAIMS MANAGEMENT	14	10	71%	2	1	50%
CA168	THE FRANK GATES SERVICE COMPANY	3	1	33%	No filings	No filings	No filings
	TPA Total	25	18	72%	3	1	33%
	ARCH Group Total	25	18	72%	3	1	33%
	ARGONAUT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA020	**Total**	4	4	100%	2	2	100%
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES	1	1	100%	1	1	100%
	TPA Total	1	1	100%	1	1	100%
	ARGONAUT Group Total	5	5	100%	3	3	100%
	ARROW MUTUAL LIABILITY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA032	**Total**	1	1	100%	No filings	No filings	No filings
	BATH IRON WORKS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA036	**Group Total**	107	104	97%	10	10	100%
	BERKLEY RISK ADMINISTRATORS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA038	**Group Total**	*	*	*	*	*	*

*Indicates no claims activity this quarter

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Fourth Quarter 2011
10/1/2011 - 12/31/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	BROADSPIRE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA040	**Group Total**	25	23	92%	2	2	100%
	CANNON COCHRAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA070	**Group Total**	107	90	84%	38	29	76%
	CHARTIS INSURANCE (Formerly AIG)	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA015	**Total**	38	35	92%	15	11	73%
	CHARTIS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	12	12	100%	1	1	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	55	54	98%	17	17	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	1	1	100%
CA160	ESIS	17	11	65%	9	7	78%
CA180	GAB ROBINS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	37	33	89%	10	8	80%
CA204	HELMSMAN MANAGEMENT SERVICES	8	7	88%	4	4	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	32	29	91%	11	11	100%
	TPA Total	162	147	91%	53	49	92%
	CHARTIS Group Total	200	182	91%	68	60	88%
	CHESTERFIELD SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA080	**Group Total**	2	2	100%	1	1	100%
	CHUBB INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA046	CHUBB & SON, INC.	No filings	No filings	No filings	1	1	100%
CA286	CHUBB INSURANCE	No filings	No filings	No filings	1	1	100%
CA090	CHUBB & SON, INC.	3	2	67%	3	2	67%
	Group Total	3	2	67%	5	4	80%
	CHUBB INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA140	YORK RISK SERVICES GROUP	1	0	0%	No filings	No filings	No filings
	TPA Total	*	*	*	*	*	*
	CHUBB Group Total	3	2	67%	5	4	80%
	CHURCH MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA080	**Group Total**	1	1	100%	No filings	No filings	No filings
	CIANBRO CORPORATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA085	**Group Total**	*	*	*	*	*	*
	CITY OF BANGOR	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA033	**Group Total**	12	12	100%	9	9	100%
	CLAIMS MANAGEMENT (WAL-MART)	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA100	**Group Total**	55	54	98%	17	17	100%
	CNA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA050	**Group Total**	2	2	100%	No filings	No filings	No filings
	CONSTITUTION STATE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA110	**Group Total**	6	6	100%	2	2	100%

*Indicates no claims activity this quarter

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Lost Time FROI Filings and Initial Indemnity Payments
Fourth Quarter 2011
10/1/2011 - 12/31/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CONTINENTAL IND CO (formerly Virginia Surety)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	5	2	40%	4	3	75%
	COTTINGHAM AND BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	10	2	20%	4	3	75%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA375	Group Total	1	1	100%	2	2	100%
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	54	35	65%	24	19	79%
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	1	0	0%	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	Group Total	1	1	100%	1	1	100%
	FIREMANS FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA170	Group Total	*	*	*	*	*	*
	FUTURECOMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	68	62	91%	15	14	93%
	GAB ROBINS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA180	Group Total	*	*	*	*	*	*
	GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	118	101	86%	38	29	76%
	GRAPHIC ARTS MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA191	Group Total	*	*	*	*	*	*
	GUARD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA019	AMGUARD INSURANCE COMPANY	9	5	56%	6	6	100%
CA140	EASTGUARD INSURANCE COMPANY	17	15	88%	7	6	86%
CA205	INTERGUARD, LTD.	*	*	*	*	*	*
CA272	NORGUARD INSURANCE COMPANY	3	0	0%	1	1	100%
	Group Total	29	20	69%	14	13	93%
	HANNAFORD BROTHERS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA201	Group Total	64	57	89%	18	16	89%
	HANOVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA048	CITIZENS INSURANCE CO. OF AMERICA	8	5	63%	3	3	100%
CA202	HANOVER INSURANCE COMPANY	7	6	86%	3	2	67%
CA228	MASSACHUSETTS BAY INS. CO.	12	9	75%	2	1	50%
	Group Total	27	20	74%	8	6	75%

*Indicates no claims activity this quarter

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Fourth Quarter 2011
10/1/2011 - 12/31/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	7	6	86%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INS CO.	4	2	50%	3	3	100%
CA203	HARTFORD FINANCIAL	3	3	100%	1	1	100%
CA186	HARTFORD INSURANCE OF THE MIDWEST	17	16	94%	5	5	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	5	5	100%	2	2	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY	*	*	*	*	*	*
CA296	SENTINEL INSURANCE CO.	*	*	*	*	*	*
CA319	TRUMBULL	1	1	100%	1	1	100%
CA321	TWIN CITY FIRE INSURANCE CO.	4	3	75%	1	1	100%
	Total	**41**	**36**	**88%**	**13**	**13**	**100%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	4	2	50%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	3	1	33%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	7	4	57%	No filings	No filings	No filings
	TPA Total	**14**	**7**	**50%**	**2**	**2**	**100%**
	HARTFORD Group Total	**55**	**43**	**78%**	**15**	**15**	**100%**
	HELMSMAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA204	**Group Total**	**14**	**12**	**86%**	**6**	**6**	**100%**
	LIBERTY MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	32	25	78%	15	11	73%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	94	86	91%	46	40	87%
	Group Total	**126**	**111**	**88%**	**61**	**51**	**84%**
	MACY'S CORPORATE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA213	**Group Total**	*	*	*	*	*	*
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA220	**Group Total**	**18**	**17**	**94%**	**5**	**5**	**100%**
	MAINE EMPLOYERS' MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA260	**Group Total**	**919**	**762**	**83%**	**305**	**280**	**92%**
	MAINE HEALTH CARE ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA234	**Group Total**	**37**	**34**	**92%**	**4**	**4**	**100%**
	MAINE MOTOR TRANSPORT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA230	**Group Total**	**51**	**45**	**88%**	**11**	**10**	**91%**
	MAINE MUNICIPAL ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA225	**Group Total**	**206**	**195**	**95%**	**54**	**42**	**78%**
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA250	**Group Total**	**82**	**77**	**94%**	**29**	**29**	**100%**

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	MEADOWBROOK	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA255	**Group Total**	8	5	63%	6	4	67%
	NATIONAL INTERSTATE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA267	**Group Total**	1	1	100%	2	2	100%
	NATIONWIDE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA289	NATIONAL CASUALTY COMPANY	*	*	*	*	*	*
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	*	*	*	*	*	*
	Group Total	*	*	*	*	*	*
	NGM INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA265	**Group Total**	1	1	100%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA038	BERKLEY ADMIN. OF CONNECTICUT	*	*	*	*	*	*
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	*	*	*	*	*	*
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	1	1	100%
CA280	RYDER SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	6	4	67%	2	2	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	*	*	*	*	*	*
	TPA Total	11	6	55%	3	3	100%
	OLD REPUBLIC Group Total	11	6	55%	3	3	100%
	PATRIOT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA274	**Group Total**	1	1	100%	No filings	No filings	No filings
	PEERLESS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA162	EXCELSIOR INSURANCE CO.	1	1	100%	2	2	100%
CA309	NETHERLANDS INSURANCE COMPANY	5	2	40%	1	0	0%
CA275	PEERLESS INSURANCE CO.	88	74	84%	22	18	82%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	94	77	82%	25	20	80%
	PRAETORIAN INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	PRAETORIAN TPA Administered claims						
CA168	THE FRANK GATES SERVICE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	PROTECTIVE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA277	**Group Total**	3	0	0%	3	1	33%
	PUBLIC SERVICE MUTUAL	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA282	**Group Total**	1	0	0%	No filings	No filings	100%

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INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	RISK ENTERPRISE MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	14	12	86%	12	7	58%
	RYDER SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	1	0	0%	No filings	No filings	No filings
	SAFETY NATIONAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA165	F.A. RICHARD	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	1	0	0%	No filings	No filings	No filings
	TPA Total	5	3	60%	No filings	No filings	No filings
	SAFETY NATIONAL Group Total	5	3	60%	1	1	100%
	SEDGWICK CLAIMS MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300	SEDGWICK	124	102	82%	30	29	97%
CA060	SEDGWICK (formerly CAMBRIDGE)	1	1	100%	1	1	100%
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	12	7	58%	5	1	20%
	Group Total	137	110	80%	36	31	86%
	SENTRY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA305	Group Total	6	4	67%	3	3	100%
	SPARTA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	10	2	20%	4	3	75%
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK	1	0	0%	No filings	No filings	No filings
	TPA Total	13	4	31%	4	3	75%
	SPARTA Group Total	13	4	31%	4	3	75%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307	Group Total	153	147	96%	29	-26	90%
	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	149	139	93%	35	33	94%
	THE FRANK GATES SERVICE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA168	Group Total	3	1	33%	No filings	No filings	No filings
	TOWER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA356	Group Total	*	*	*	*	*	*

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INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Fourth Quarter 2011
10/1/2011 - 12/31/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	TRAVELERS INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA072	CHARTER OAK FIRE INS. CO.	30	29	97%	7	7	100%
CA164	FARMINGTON CASUALTY COMPANY	3	2	67%	1	0	0%
CA306	STANDARD FIRE INS. CO.	22	17	77%	10	6	60%
CA284	THE PHOENIX INSURANCE CO	No filings	No filings	No filings	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	4	3	75%	2	2	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	13	10	77%	7	5	71%
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	1	1	100%
CA346	TRAVELERS INDEMNITY CO OF AMERICA	3	3	100%	1	1	100%
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	3	2	67%	2	1	50%
	Total	**79**	**67**	**85%**	**31**	**23**	**74%**
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	4	3	75%	No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY	*	*	*	*	*	*
CA382	WILLIS OF NORTHERN NEW ENGLAND	1	1	100%	No filings	No filings	No filings
	TPA Total	**8**	**6**	**75%**	**No filings**	**No filings**	**No filings**
	TRAVELERS Group Total	**87**	**73**	**84%**	**31**	**23**	**74%**
	TRIDENT INSURANCE SERVICES (Formerly MASSAMONT)	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA240	**Group Total**	**1**	**1**	**100%**	**1**	**1**	**100%**
	VANLINER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA379	**Group Total**	**1**	**0**	**0%**	**No filings**	**No filings**	**No filings**
	WILLIS OF NORTHERN NEW ENGLAND	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA021	AMERICAN GUARANTEE AND LIABILITY	1	1	100%	No filings	No filings	No filings
CA001	HRH CLAIMS MANAGEMENT	10	10	100%	2	2	100%
CA360	UNDERWRITERS SAFETY & CLAIMS	*	*	*	*	*	*
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	228	215	94%	49	47	96%
	Group Total	**239**	**226**	**95%**	**51**	**49**	**96%**
	XL SPECIALTY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA180	GAB ROBINS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	**1**	**1**	**100%**	*	*	*
	XL Group Total	**1**	**1**	**100%**	*	*	*
	YORK RISK SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA340	**Group Total**	**2**	**0**	**0%**	**1**	**0**	**0%**
	ZURICH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA022	AMERICAN ZURICH	13	12	92%	4	4	100%
CA227	MARYLAND CASUALTY COMPANY	1	1	100%	No filings	No filings	No filings
CA259	NORTHERN INSURANCE CO. OF NEW YORK	1	1	100%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	6	5	83%	1	1	100%
	Total	**21**	**19**	**90%**	**5**	**5**	**100%**
	ZURICH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA080	CHESTERFIELD SERVICES	2	2	100%	1	1	100%
CA160	ESIS	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	19	16	84%	8	5	63%
CA300	SEDGWICK CLAIMS MANAGEMENT	12	11	92%	2	2	100%
	TPA Total	**34**	**29**	**85%**	**11**	**8**	**73%**
	ZURICH Group Total	**55**	**48**	**87%**	**16**	**13**	**81%**

*Indicates no claims activity this quarter

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter 2011
10/1/2011-12/31/2011

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter
10/1/2011 - 12/31/2011

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA010	Group Total	26	26	100%	9	9	100%
	ACE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	15	12	80%	7	7	100%
CA190	GALLAGHER BASSETT SERVICES	11	11	100%	5	4	80%
CA204	HELMSMAN MANAGEMENT SERVICES	2	2	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	15	11	73%	13	12	92%
CA340	YORK RISK SERVICES GROUP	1	0	0%	No filings	No filings	No filings
	TPA Total	46	37	80%	26	24	92%
	ACE Group Total	46	37	80%	26	24	92%
	AMERICAN INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA018	Group Total	*	*	*	*	*	*
	AMTRUST NORTH AMERICA	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA381	AMTRUST NORTH AMERICA	No filings	No filings	No filings	1	1	100%
CA342	AMTRUST NORTH AMERICA	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	No filings	No filings	No filings	1	1	100%
	ARCH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	2	1	50%	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	3	1	33%	3	3	100%
	ARCH Group Total	3	1	33%	3	3	100%
	ARGONAUT INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA020	Total	2	2	100%	1	1	100%
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	ARGONAUT Group Total	3	3	100%	No filings	No filings	No filings
	ARROW MUTUAL LIABILITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA32	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	BATH IRON WORKS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA036	Group Total	10	10	100%	12	11	92%
	BERKLEY RISK ADMINISTRATORS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA038	Group Total	*	*	*	*	*	*

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INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	BROADSPIRE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA040	**Group Total**	2	2	100%	6	6	100%
	CANNON COCHRAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA070	**Group Total**	38	27	71%	21	19	90%
	CHARTIS INSURANCE (Formerly AIG)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA015	**Total**	15	11	73%	7	6	86%
	CHARTIS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	3	3	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	17	17	100%	10	9	90%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	9	8	89%	1	1	100%
CA180	GAB ROBINS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	10	9	90%	5	5	100%
CA204	HELMSMAN MANAGEMENT SERVICES	4	4	100%	4	4	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	11	11	100%	4	4	100%
	TPA Total	53	51	96%	27	26	96%
	CHARTIS Group Total	68	62	91%	34	32	94%
	CHESTERFIELD SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA080	**Group Total**	1	1	100%	No filings	No filings	No filings
	CHUBB INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA046	CHUBB & SON, INC.	1	0	0%	No filings	No filings	No filings
CA286	CHUBB INSURANCE	1	0	0%	No filings	No filings	No filings
CA090	CHUBB & SON, INC.	3	1	33%	No filings	No filings	No filings
	Group Total	5	1	20%	No filings	No filings	No filings
	CHUBB TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA340	YORK RISK SERVICES GROUP	No filings	No filings	No filings	1	0	0%
	TPA Total	No filings	No filings	No filings	1	0	0%
	CHUBB Group Total	5	1	20%	1	0	0%
	CHURCH MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA084	**Group Total**	No filings	No filings	No filings	No filings	No filings	No Filings
	CIANBRO CORPORATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA085	**Group Total**	*	*	*	*	*	*
	CITY OF BANGOR	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA033	**Group Total**	9	9	100%	2	2	100%
	CLAIMS MANAGEMENT (WAL-MART)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA100	**Group Total**	17	17	100%	10	9	90%
	CNA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA050	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	CONSTITUTION STATE SERVICE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA110	**Group Total**	2	2	100%	No filings	No filings	No filings

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INSURANCE GROUP COMPLIANCE
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CONTINENTAL IND CO (formerly Virgina Surety)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA375	Group Total	4	2	50%	No filings	No filings	No filings
	COTTINGHAM AND BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	4	3	75%	2	2	100%
	CRUM & FORSTER	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA375	Group Total	2	1	50%	No filings	No filings	No filings
	ESIS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160	Group Total	24	20	83%	10	10	100%
	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA165	Group Total	No filings	No filings	No filings	1	0	0%
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	Group Total	1	1	100%	No filings	No filings	No filings
	FIREMANS FUND INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA170	Group Total	*	*	*	*	*	*
	FUTURECOMP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175	Group Total	15	15	100%	8	8	100%
	GAB ROBINS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA180	Group Total	*	*	*	*	*	*
	GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA190	Group Total	38	33	87%	15	14	93%
	GRAPHIC ARTS MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA191	Group Total	*	*	*	*	*	*
	GUARD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA019	AMGUARD INSURANCE COMPANY	6	4	67%	1	0	0%
CA140	EASTGUARD INSURANCE COMPANY	7	5	71%	1	1	100%
CA205	INTERGUARD, LTD.	*	*	*	*	*	*
CA272	NORGUARD INSURANCE COMPANY	1	1	100%	2	0	0%
	Group Total	14	10	71%	4	1	25%
	HANNAFORD BROTHERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA201	Group Total	18	15	83%	6	6	100%
	HANOVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA048	CITIZENS INSURANCE CO. OF AMERICA	3	3	100%	2	2	100%
CA202	HANOVER INSURANCE COMPANY	3	1	33%	2	2	100%
CA228	MASSACHUSETTS BAY INS. CO.	2	2	100%	No filings	No filings	No filings
	Group Total	8	6	75%	4	4	100%

*Indicates no claims activity this quarter

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA188	HARTFORD ACCIDENT & INDEMNITY	No filings	No filings	No filings	1	1	100%
CA185	HARTFORD CASUALTY INS CO.	3	3	100%	No filings	No filings	No filings
CA203	HARTFORD FINANCIAL	1	1	100%	No filings	No filings	No filings
CA186	HARTFORD INSURANCE OF THE MIDWEST	5	5	100%	2	2	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	2	2	100%	1	1	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY	*	*	*	*	*	*
CA296	SENTINEL INSURANCE CO.	*	*	*	*	*	*
CA319	TRUMBULL INSURANCE COMPANY	1	1	100%	No filings	No filings	No filings
CA321	TWIN CITY FIRE INSURANCE CO.	1	1	100%	No filings	No filings	No filings
	Total	**13**	**13**	**100%**	**4**	**4**	**100%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	2	1	50%
	TPA Total	**2**	**2**	**100%**	**4**	**3**	**75%**
	HARTFORD Group Total	**15**	**15**	**100%**	**8**	**7**	**88%**
	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA204	**Group Total**	**6**	**6**	**100%**	**5**	**5**	**100%**
	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	15	10	67%	13	12	92%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	46	40	87%	19	19	100%
	Group Total	**61**	**50**	**82%**	**32**	**31**	**97%**
	MACY'S CORPORATE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA213	**Group Total**	*	*	*	*	*	*
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA220	**Group Total**	**5**	**5**	**100%**	**No filings**	**No filings**	**No filings**
	MAINE EMPLOYERS' MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA260	**Group Total**	**305**	**276**	**90%**	**180**	**172**	**96%**
	MAINE HEALTH CARE ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA234	**Group Total**	**4**	**4**	**100%**	**6**	**6**	**100%**
	MAINE MOTOR TRANSPORT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA230	**Group Total**	**11**	**11**	**100%**	**9**	**9**	**100%**
	MAINE MUNICIPAL ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA225	**Group Total**	**54**	**46**	**85%**	**42**	**42**	**100%**
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA250	**Group Total**	**29**	**29**	**100%**	**18**	**18**	**100%**

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	MEADOWBROOK	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255	Group Total	6	3	50%	1	0	0%
	NATIONAL INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	2	2	100%	No filings	No filings	No filings
	NATIONWIDE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA289	NATIONAL CASUALTY COMPANY	*	*	*	*	*	*
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	*	*	*	*	*	*
	Group Total	*	*	*	*	*	*
	NGM INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA265	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA038	BERKLEY ADMIN. OF CONNECTICUT	*	*	*	*	*	*
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	*	*	*	*	*	*
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA280	RYDER SERVICES	No filings	No filings	No filings	1	0	0%
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%	2	2	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	*	*	*	*	*	*
	TPA Total	3	3	100%	3	2	67%
	OLD REPUBLIC Group Total	3	3	100%	3	2	67%
	PATRIOT INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA274	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	PEERLESS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA162	EXCELSIOR INSURANCE CO.	2	1	50%	No filings	No filings	No filings
CA309	NETHERLANDS INSURANCE COMPANY	1	1	100%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE CO.	22	19	86%	11	11	100%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	25	21	84%	11	11	100%
	PRAETORIAN INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	PRAETORIAN TPA Administered claims						
CA168	THE FRANK GATES SERVICE COMPANY	No filings	No filings	No filings	1	0	0%
	TPA Total	1	0	0%	1	0	0%
	PRAETORIAN Group Total	1	0	0%	1	0	0%
	PROTECTIVE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA277	Group Total	3	0	0%	No filings	No filings	No filings
	PUBLIC SERVICE MUTUAL	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA282	Group Total	No filings	No filings	No filings	1	0	0%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	RISK ENTERPRISE MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	12	4	33%	No filings	No filings	No filings
	RYDER SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	No filings	No filings	No filings	1	0	0%
	SAFETY NATIONAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA165	F.A. RICHARD	No filings	No filings	No filings	1	0	0%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	1	1	100%	1	0	0%
	SAFETY NATIONAL Group Total	1	1	100%	1	0	0%
	SEDGWICK CLAIMS MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300	SEDGWICK CLAIMS MANAGEMENT	30	29	97%	37	33	89%
CA060	SEDGWICK (formerly CAMBRIDGE)	1	1	100%	No filings	No filings	No filings
CA315	SEDGWICK (formerly SPECIALTY RISK SERVICES)	5	1	20%	3	2	67%
	Group Total	36	31	86%	40	35	88%
	SENTRY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA305	Group Total	3	1	33%	1	1	100%
	SPARTA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	4	3	75%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	1	1	100%
	TPA Total	4	3	75%	4	4	100%
	SPARTA Group Total	4	3	75%	4	4	100%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307	Group Total	29	28	97%	44	44	100%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	35	35	100%	37	35	95%
	THE FRANK GATES SERVICE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA168	Group Total	No filings	No filings	No filings	1	0	0%
	TOWER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	*	*	*	*	*	*

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	TRAVELERS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA072	CHARTER OAK FIRE INS. CO.	7	6	86%	2	2	100%
CA164	FARMINGTON CASUALTY COMPANY	1	0	0%	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	10	6	60%	1	1	100%
CA284	THE PHOENIX INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	2	2	100%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	7	3	43%	1	1	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO OF AMERICA	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	2	1	50%	No filings	No filings	No filings
	Total	**31**	**20**	**65%**	**4**	**4**	**100%**
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	4	3	75%
CA168	THE FRANK GATES SERVICE COMPANY	*	*	*	*	*	*
CA382	WILLIS OF NORTHERN NEW ENGLAND	No filings	No filings	No filings	1	1	100%
	TPA Total	**No filings**	**No filings**	**No filings**	**6**	**5**	**83%**
	TRAVELERS Group Total	**31**	**20**	**65%**	**10**	**9**	**90%**
	TRIDENT INSURANCE SERVICES (Formerly MASSAMONT)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA240	**Group Total**	1	1	100%	No filings	No filings	No filings
	VANLINER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA379	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	WILLIS OF NORTHERN NEW ENGLAND	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA001	HRH CLAIMS MANAGEMENT	2	2	100%	1	1	100%
CA360	UNDERWRITERS SAFETY & CLAIMS	*	*	*	*	*	*
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	49	44	90%	57	55	96%
	Group Total	**51**	**46**	**90%**	**58**	**56**	**97%**
	XL SPECIALTY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA180	GAB ROBINS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	*	*	*	*	*	*
	XL Group Total	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	YORK RISK SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA340	**Group Total**	**1**	**0**	**0%**	**1**	**0**	**0%**
	ZURICH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA021	AMERICAN GUARANTEE AND LIABILITY	No filings	No filings	No filings	No filings	No filings	No filings
CA022	AMERICAN ZURICH	4	4	100%	4	4	100%
CA227	MARYLAND CASUALTY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA259	NORTHERN INSURANCE CO. OF NEW YORK	No filings	No filings	No filings	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	1	1	100%	2	2	100%
	Total	**5**	**5**	**100%**	**6**	**6**	**100%**
	ZURICH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA080	CHESTERFIELD SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	8	6	75%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%	6	6	100%
	TPA Total	**11**	**9**	**82%**	**6**	**6**	**100%**
	ZURICH Group Total	**16**	**14**	**88%**	**12**	**12**	**100%**

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