QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD

Second Quarter 2011 Report

April 1, 2011 – June 30, 2011

Office of Monitoring, Audit & Enforcement

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State of Maine
Workers' Compensation Board
Quarterly Compliance Report
Second Quarter 2011

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Executive Summary

On November 8, 2011, the Maine Workers' Compensation Board approved the Second Quarter 2011 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-administered employers, and third-party administrators (collectively "claim administrators").

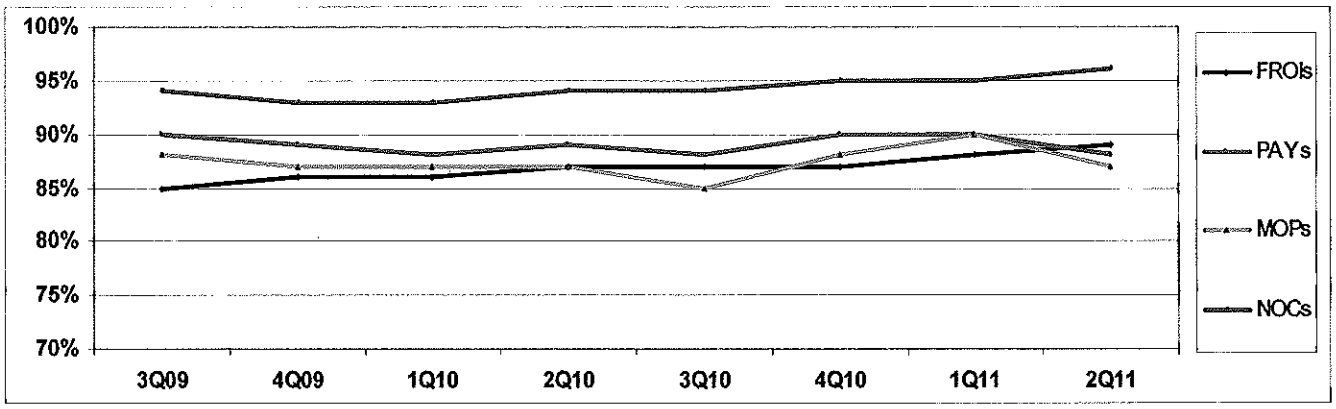
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 97 claim administrators on July 22, 2011; 73 responded, 19 were not required to respond and 5 did not respond.

The 2Q11 report represents results based upon data received by September 26, 2011. The results are:

Category	Number of Days	Bench mark	3Q09	4Q09	1Q10	2Q10	3Q10	4Q10	1Q11	2Q11
FROIs	7	85%	85%	86%	86%	87%	87%	87%	88%	89%
PAYs	14	87%	90%	89%	88%	89%	88%	90%	90%	88%
MOPs	17	85%	88%	87%	87%	87%	85%	88%	90%	87%
NOCs	14	90%	94%	93%	93%	94%	94%	95%	95%	96%

Compliance Benchmark Tracking (3Q09 – 2Q11)



II. CORRECTIVE ACTION PLANS (CAPs)

CAPs are implemented for claim administrators with chronic poor compliance. Elements of the CAPs are reviewed and updated each quarter to track compliance changes and ensure that the elements of the plan are being met.

The following had CAPs in place for the 2Q11:

Claim Administrator (alpha order)	Market Share by Premiums Written
Gallagher Bassett Services	Not Applicable - TPA
Liberty Mutual	9%
Sentry	.32%
Zurich	1%

III. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the claim administrator did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

IV. COMPLETED AUDITS

The Board conducts compliance audits to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit and enforcement program include, but are not limited to, auditing timeliness of payments and claims handling practices of insurers, self-insurers, the Maine Insurance Guaranty Association and 3rd-party administrators; determining whether insurers, self-insurers, the Maine Insurance Guaranty Association and 3rd-party administrators are unreasonably contesting claims; and ensuring that all reporting requirements to the Board are met.

The following had audits completed in the 2Q11:

Claim Administrator (alpha order)	Total Penalties
Amtrust North America	$1,600.00
Claims Management (Wal-Mart)	$2,025.00
Fireman's Fund Insurance	$ 100.00
Safety National Insurance	$ 300.00
Star Insurance	$1,400.00
Synernet	$1,425.00
Vanliner Insurance	$7,500.00

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

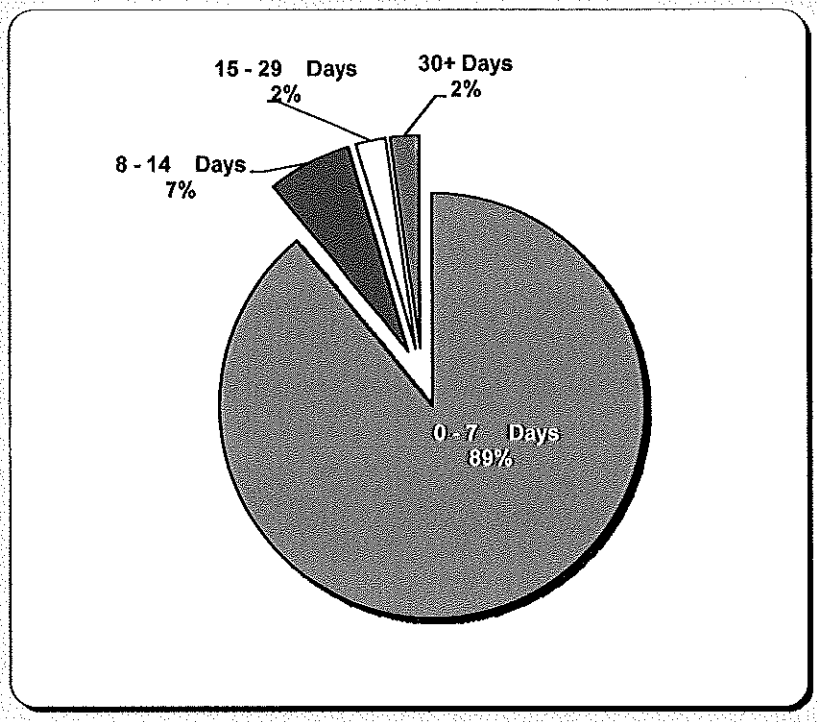


Table 1

Received Within:			
0 - 7	Days	2,843	89%
8 - 14	Days	205	7%
15 - 29	Days	71	2%
30+	Days	71	2%
Total		3,190	100%

Chart 2 Quarterly Compliance

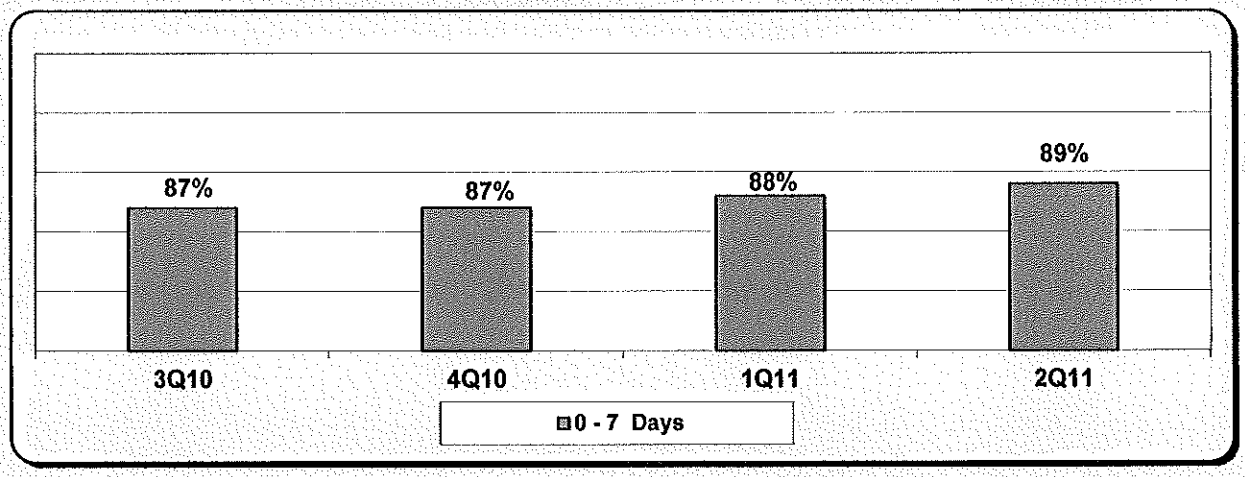
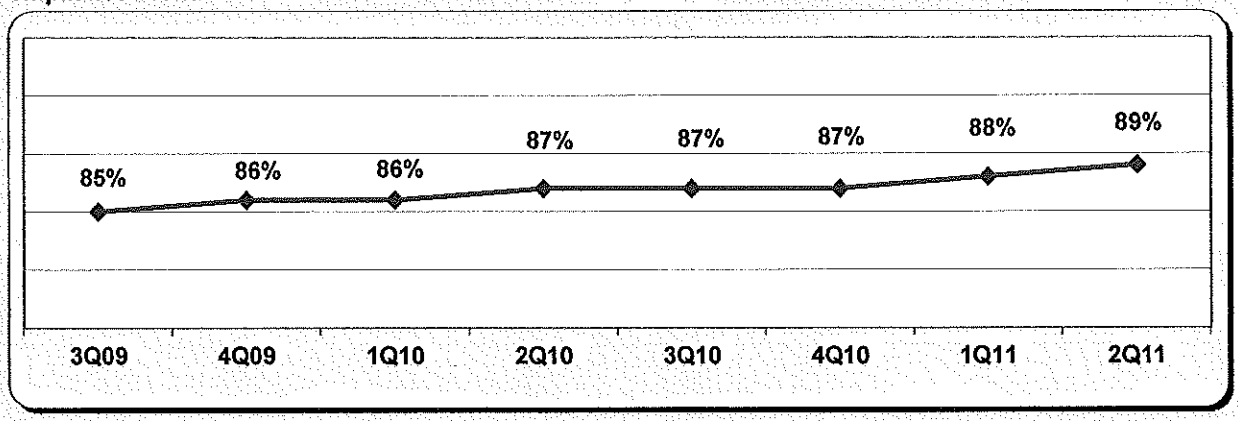


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

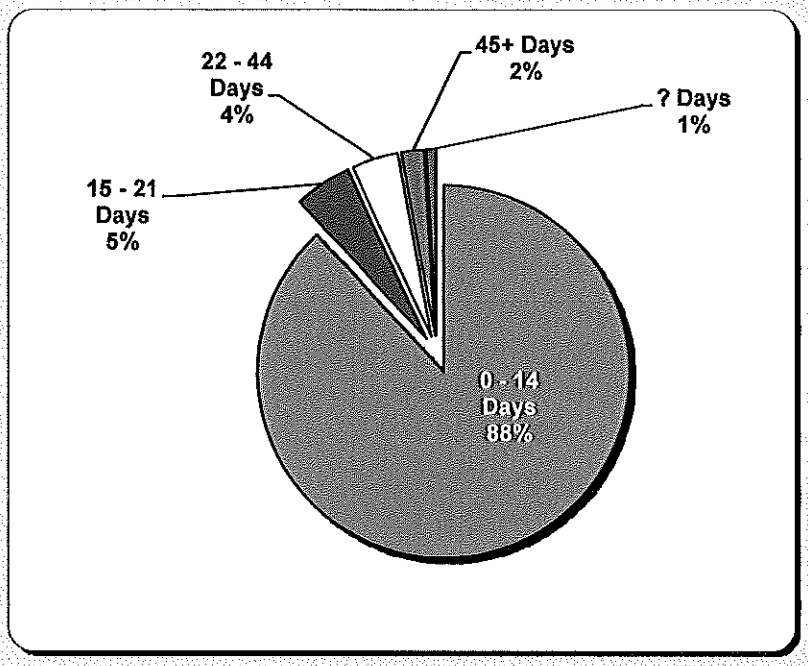


Table 2

Made Within:			
0 - 14	Days	800	88%
15 - 21	Days	45	5%
22 - 44	Days	37	4%
45+	Days	18	2%
?	Days	8	1%
Total		**908**	**100%**

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, $12,100 was issued to claimants in penalties and there is another $6,000 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

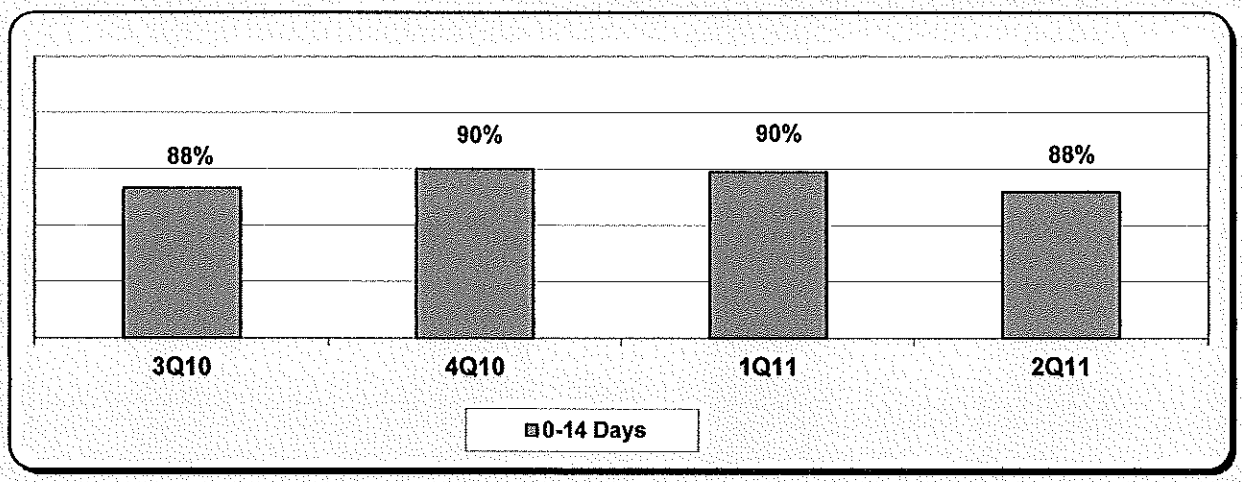
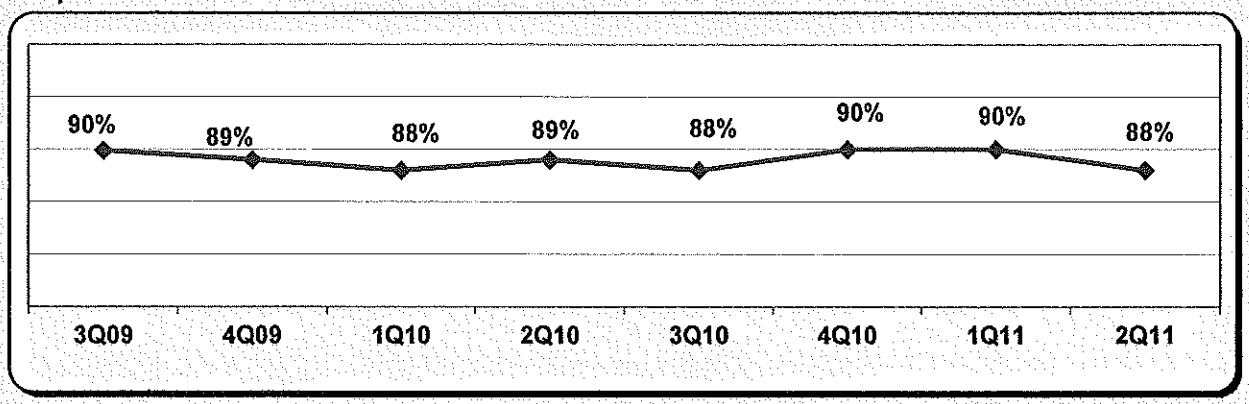


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

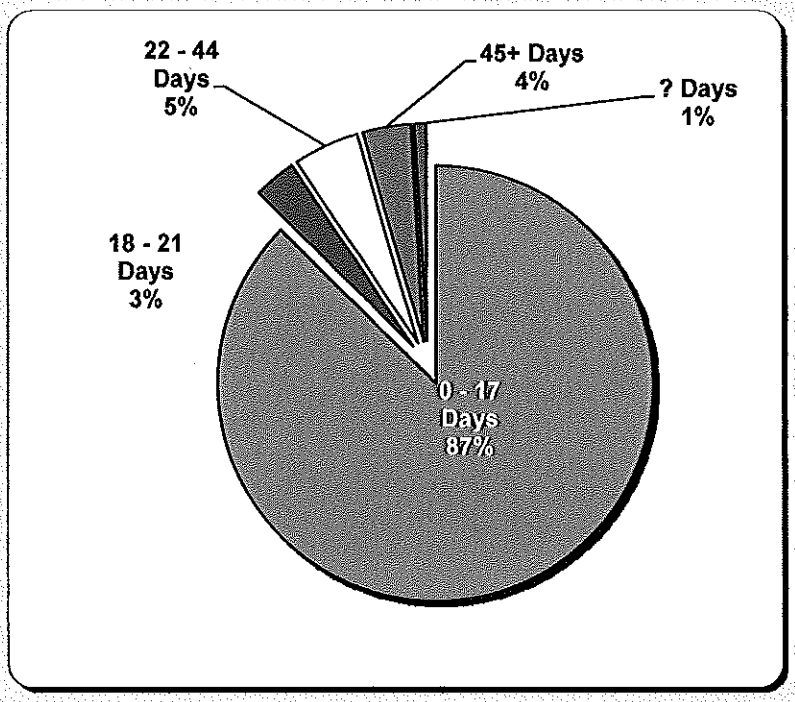


Table 3

Received Within:			
0 - 17	Days	794	87%
18 - 21	Days	29	3%
22 - 44	Days	44	5%
45+	Days	33	4%
?	Days	8	1%
Total		908	100%

Chart 8 Quarterly Compliance

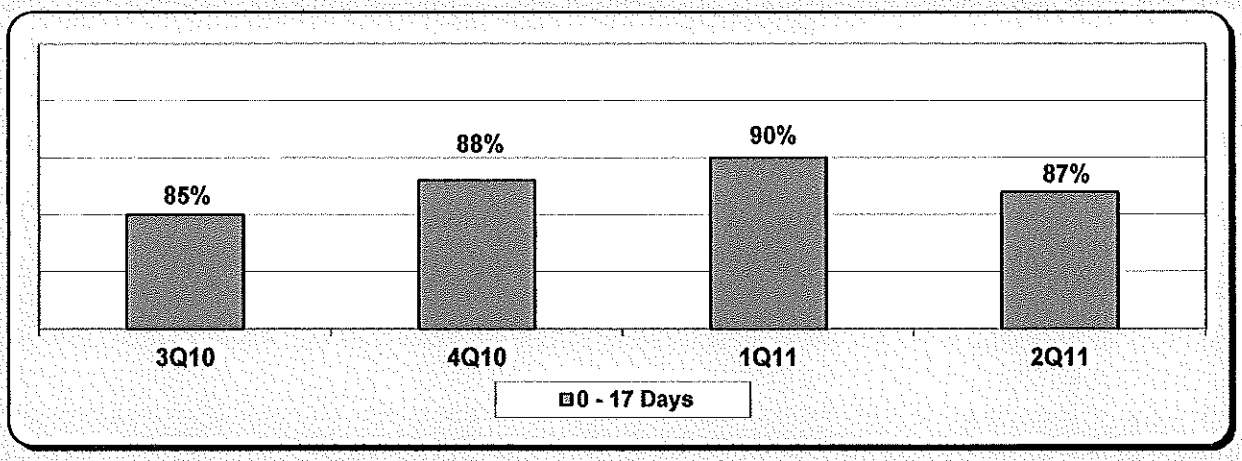
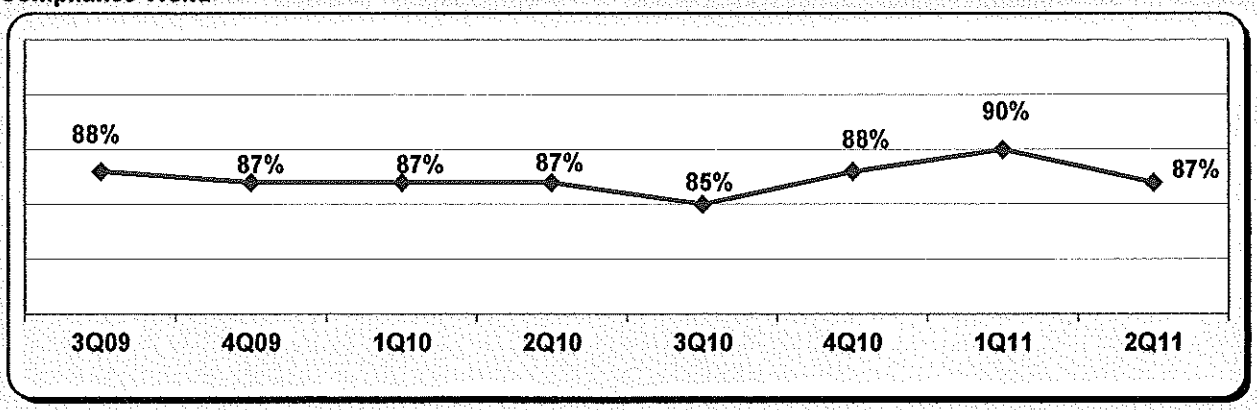


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

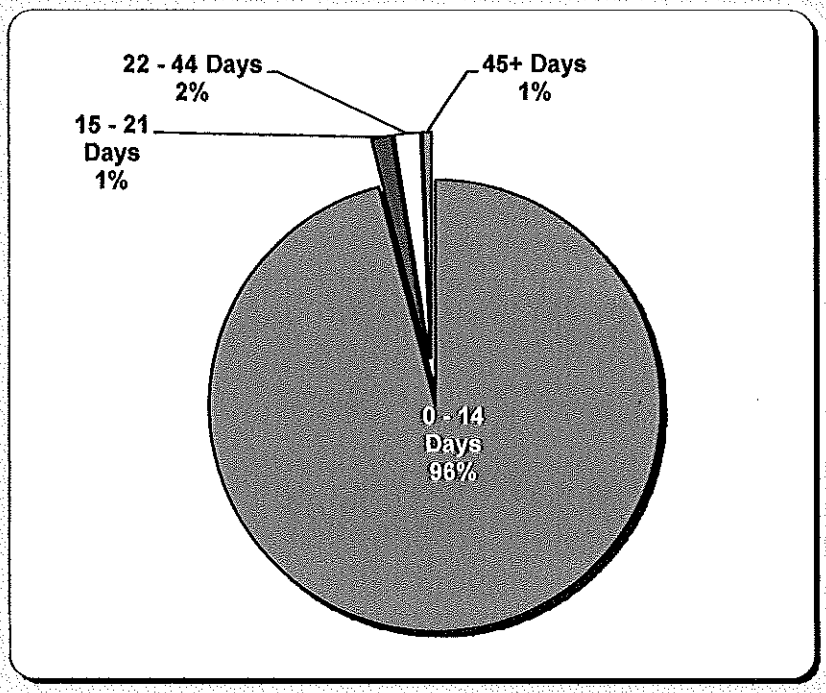


Table 4

Received Within:			
0 - 14	Days	573	96%
15 - 21	Days	9	1%
22 - 44	Days	11	2%
45+	Days	4	1%
Total		597	100%

Chart 11 Quarterly Compliance

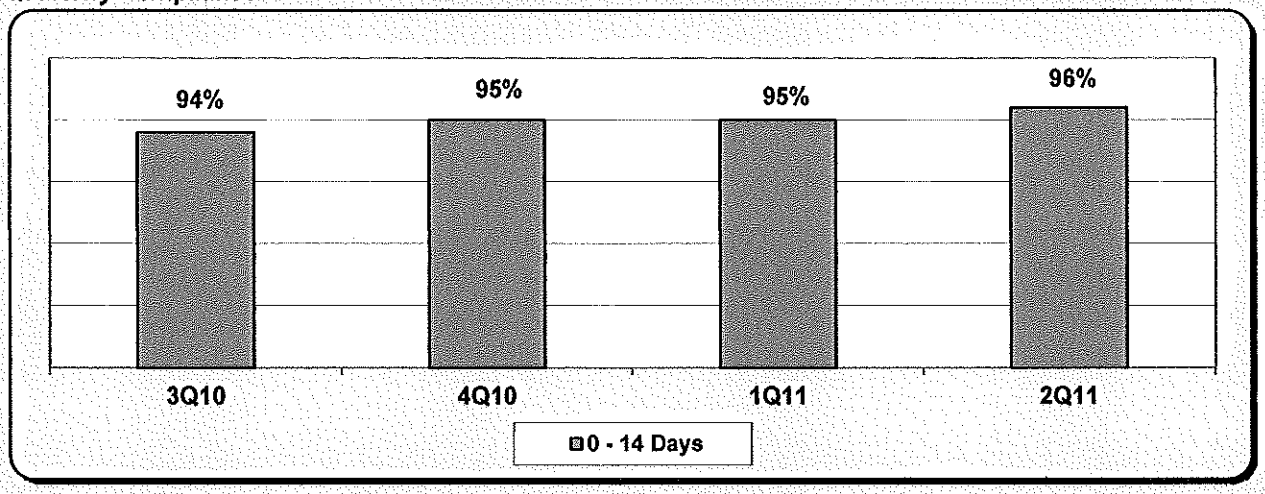
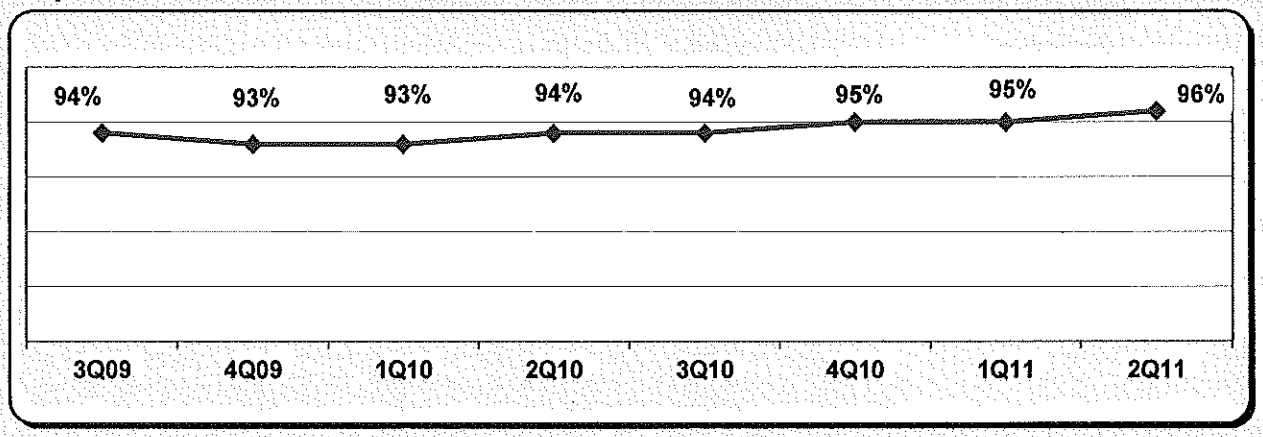


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB 2A forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

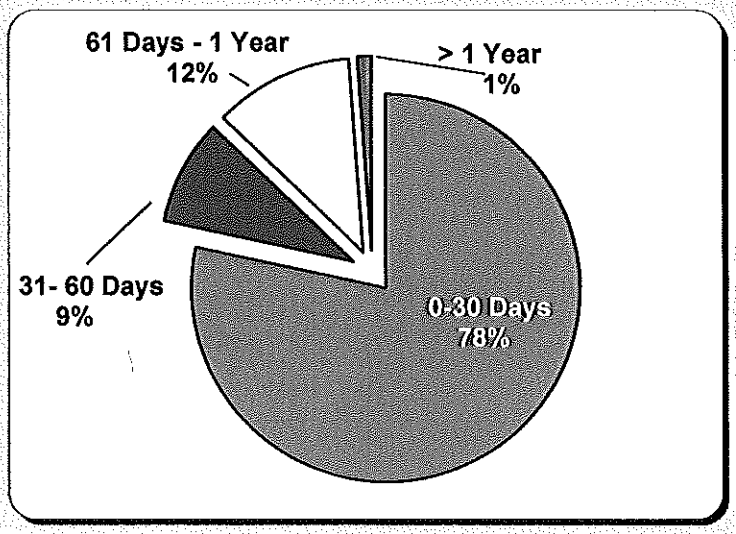


Table 5

Received Within:		
0-30 Days	1,425	78%
31-60 Days	160	9%
61 Days-1 Year	212	12%
> 1 Year	21	1%
Total	**1,818**	**100%**

Wage Statement(s): 1,533 (80%) of the 1,910 Wage Statement(s) that were due this quarter were filed timely, 291 (15%) were filed late, and 86 (5%) remain outstanding.

Chart 14 Schedule of Dependent(s) and Filing Status Statement Timeliness Distribution

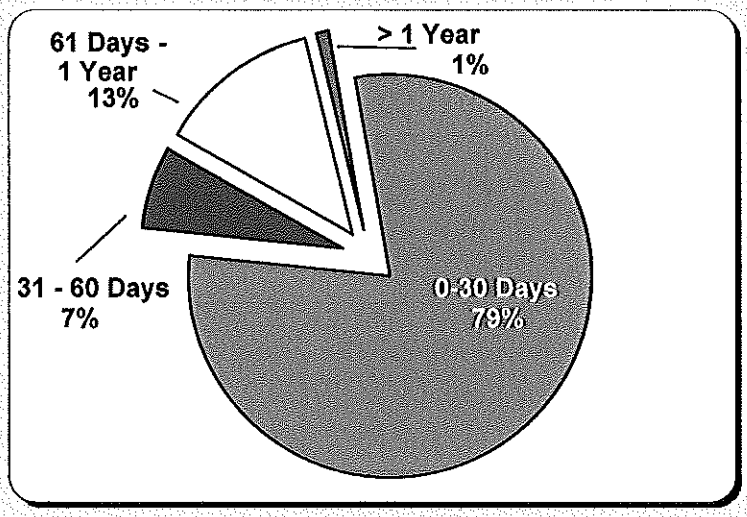


Table 6

Received Within:		
0-30 Days	1,430	79%
31-60 Days	119	7%
61 Days-1 Year	234	13%
> 1 Year	18	1%
Total	**1,801**	**100%**

Schedule of Dependent(s) and Filing Status Statement(s): 1,536 (81%) of the 1,910 Schedule of Dependent(s) and Filing Status Statement(s) due this quarter were filed timely, 294 (15%) were filed late, and 80 (4%) remain outstanding.

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2011
4/1/2011 - 6/30/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA010	**Group Total**	66	61	92%	18	18	100%
	ACE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA060	CAMBRIDGE INTEGRATED SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	8	6	75%	3	3	100%
CA160	ESIS	43	25	58%	18	12	67%
CA190	GALLAGHER BASSETT SERVICES	11	7	64%	2	0	0%
CA204	HELMSMAN MANAGEMENT SERVICES	4	4	100%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	32	26	81%	7	6	86%
CA315	SPECIALTY RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	101	71	70%	33	24	73%
	ACE Group Total	101	71	70%	33	24	73%
	AMERICAN INTERSTATE INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA018	**Total**	1	1	100%	No filings	No filings	No filings
	AMTRUST NORTH AMERICA	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA381	**Total**	2	0	0%	No filings	No filings	No filings
	ARCH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	7	6	86%	2	1	50%
CA300	SEDGWICK CLAIMS MANAGEMENT	6	6	100%	2	2	100%
CA315	SPECIALTY RISK SERVICES	1	1	100%	No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY	*	*	*	*	*	*
	TPA Total	14	13	93%	4	3	75%
	ARCH Group Total	14	13	93%	4	3	75%
	ARGONAUT INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA020	**Total**	*	*	*	*	*	*
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES	6	6	100%	3	3	100%
	TPA Total	6	6	100%	3	3	100%
	ARGONAUT Group Total	6	6	100%	2	2	100%
	ARROW MUNTUAL LIABILITY	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA032	**Total**	1	1	100%	No filings	No filings	No filings
	BATH IRON WORKS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA036	**Group Total**	143	142	99%	17	16	94%

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2011
4/1/2011 - 6/30/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	BERKLEY RISK ADMINISTRATORS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA038	Group Total	1	1	100%	No filings	No filings	No filings
	BROADSPIRE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	22	19	86%	4	2	50%
	CAMBRIDGE INTEGRATED SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA060	Group Total	2	2	100%	No filings	No filings	No filings
	CANNON COCHRAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	121	102	84%	43	34	79%
	CHARTIS INSURANCE (Formerly AIG)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA015	Total	37	34	92%	7	7	100%
	CHARTIS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	14	13	93%	2	1	50%
CA100	CLAIMS MANAGEMENT (WAL-MART)	60	58	97%	7	6	86%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	13	11	85%	5	5	100%
CA180	GAB ROBINS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	21	17	81%	8	8	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	2	67%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	32	28	88%	13	11	85%
CA315	SPECIALTY RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	145	131	90%	35	31	89%
	CHARTIS Group Total	182	165	91%	42	38	90%
	CHESTERFIELD SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	2	1	50%	No filings	No filings	No filings
	CHUBB & SON, INC.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA090	Group Total	3	1	33%	1	1	100%
	CHUBB TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	CHUBB Group Total	4	1	25%	1	1	100%
	CHURCH MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	*	*	*	*	*	*
	CIANBRO CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	3	2	67%	1	1	100%
	CITY OF BANGOR	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA033	Group Total	18	18	100%	12	12	100%
	CLAIMS MANAGEMENT (WAL-MART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	60	58	97%	7	6	86%

***Indicates no claims activity this quarter**

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Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2011
4/1/2011 - 6/30/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA050	Group Total	6	3	50%	1	1	100%
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	9	7	78%	3	3	100%
	CONTINENTAL IND CO (formerly Virginia Surety)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	3	0	0%	2	1	50%
	COTTINGHAM AND BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	6	4	67%	3	2	67%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA375	Group Total	No filings	No filings	No filings	1	0	0%
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	60	38	63%	24	17	71%
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	3	0	0%	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	Group Total	1	0	0%	No filings	No filings	No filings
	FIREMANS FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA170	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FUTURECOMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	65	62	95%	18	17	94%
	GAB ROBINS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA180	Group Total	*	*	*	*	*	*
	GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	77	54	70%	30	24	80%
	GRAPHIC ARTS MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA191	Group Total	*	*	*	*	*	*
	GUARD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA019	AMGUARD INSURANCE COMPANY	15	12	80%	5	5	100%
CA140	EASTGUARD INSURANCE COMPANY	18	13	72%	3	2	67%
CA205	INTERGUARD, LTD.	*	*	*	*	*	*
CA272	NORGUARD INSURANCE COMPANY	4	3	75%	1	1	100%
	Group Total	37	28	76%	9	8	89%

***Indicates no claims activity this quarter**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Second Quarter 2011
4/1/2011 - 6/30/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	HANNAFORD BROTHERS	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA201	**Group Total**	81	73	90%	22	19	86%
	HANOVER INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	8	7	88%	3	3	100%
CA202	HANOVER INSURANCE COMPANY	4	4	100%	No filings	No Filings	No filings
CA228	MASSACHUSETTS BAY INS. CO.	20	19	95%	2	2	100%
	Group Total	32	30	94%	5	5	100%
	HARTFORD INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA203	HARTFORD FINANCIAL	40	33	83%	12	11	92%
CA185	HARTFORD CASUALTY INS CO.	1	1	100%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	3	2	67%	No filings	No filings	No filings
CA321	TWIN CITY FIRE INSURANCE CO.	1	1	100%	No filings	No filings	No filings
	Total	44	36	82%	12	11	92%
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	7	2	29%	4	3	75%
CA300	SEDGWICK CLAIMS MANAGEMENT	7	5	71%	3	2	67%
CA315	SPECIALTY RISK SERVICES	4	3	75%	1	1	100%
	TPA Total	20	12	60%	8	6	75%
	HARTFORD Group Total	64	48	75%	20	17	85%
	HELMSMAN MANAGEMENT SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA204	**Group Total**	8	7	88%	4	4	100%
	LIBERTY MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	22	20	91%	8	8	100%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	94	81	86%	39	36	92%
	Group Total	116	101	87%	47	44	94%
	MACY'S CORPORATE SERVICES	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA213	**Group Total**	1	0	0%	No filings	No filings	No filings
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA220	**Group Total**	38	36	95%	13	11	85%
	MAINE EMPLOYERS' MUTUAL INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA260	**Group Total**	904	824	91%	275	250	91%
	MAINE HEALTH CARE ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA234	**Group Total**	35	28	80%	6	5	83%
	MAINE MOTOR TRANSPORT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA230	**Group Total**	35	32	91%	8	7	88%
	MAINE MUNICIPAL ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA225	**Group Total**	186	173	93%	64	53	83%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA250	**Group Total**	87	81	93%	22	19	86%

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INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	MEADOWBROOK	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	5	5	100%	2	2	100%
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	*	*	*	*	*	*
	NGM INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	2	1	50%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA038	BERKLEY ADMIN. OF CONNECTICUT	1	1	100%	No filings	No filings	No filings
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA110	CONSTITUTION STATE SERVICES	*	*	*	*	*	*
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
CA280	RYDER SERVICES	2	1	50%	1	0	0%
CA300	SEDGWICK CLAIMS MANAGEMENT	8	8	100%	4	4	100%
	TPA Total	12	10	83%	7	6	86%
	OLD REPUBLIC Group Total	12	10	83%	7	6	86%
	PATRIOT INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA274	Group Total	2	2	100%	No filings	No filings	No filings
	PEERLESS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA162	EXCELSIOR INSURANCE CO.	11	8	73%	4	4	100%
CA309	NETHERLANDS INSURANCE COMPANY	45	36	80%	9	7	78%
CA275	PEERLESS INSURANCE CO.	34	26	76%	13	13	100%
CA283	PEERLESS INDEMNITY CO.	5	4	80%	1	0	0%
	Group Total	95	74	78%	27	24	89%
	PROTECTIVE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA277	Group Total	1	1	100%	1	1	100%
	PUBLIC SERVICE MUTUAL	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA282	Group Total	No Filings	No filings	No filings	1	1	100%
	RISK ENTERPRISE MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	12	10	83%	5	3	60%
	RYDER SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	2	1	50%	1	0	0%
	SAFETY NATIONAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%	No filings	No filings	No filings
CA315	SPECIALTY RISK SERVICES	No filings	No filings	No filings	1	0	0%
	TPA Total	3	3	100%	1	0	0%
	SAFETY NATIONAL Group Total	3	3	100%	1	0	0%

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INSURANCE GROUP COMPLIANCE
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SEDGWICK CLAIMS MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300	Group Total	119	101	85%	40	35	88%
	SENTRY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA305	Group Total	7	6	86%	3	2	67%
	SPARTA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	6	4	67%	3	2	67%
	TPA Total	6	4	67%	3	2	67%
	SPARTA Group Total	6	4	67%	3	2	67%
	SPECIALTY RISK SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA315	Group Total	18	16	89%	6	5	83%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307	Group Total	144	128	89%	35	32	91%
	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	140	132	94%	36	32	89%
	THE FRANK GATES SERVICE COMPANY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA168	Group Total	1	0	0%	No filings	No filings	No filings
	TOWER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA356	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	TRAVELERS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA072	CHARTER OAK FIRE INS. CO.	38	32	84%	7	7	100%
CA164	FARMINGTON CASUALTY COMPANY	1	1	100%	No filins	No filings	No filings
CA306	STANDARD FIRE INS. CO.	16	15	94%	4	4	100%
CA284	THE PHOENIX INSURANCE CO	1	1	100%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	4	4	100%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	12	11	92%	6	6	100%
CA346	TRAVELERS INDEMNITY CO OF AMERICA	1	1	100%	1	1	100%
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	1	1	100%	2	1	50%
	Total	74	66	89%	20	19	95%
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	4	4	100%	1	0	0%
CA190	GALLAGHER BASSETT SERVICES	1	0	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	7	5	71%	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	1	0	0%	No filings	No filings	No filings
	TPA Total	13	9	69%	3	2	67%
	TRAVELERS Group Total	87	75	86%	23	21	91%
	TRIDENT INSURANCE SERVICES (Formerly MASSAMON	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA240	Group Total	7	6	86%	4	3	75%
	VANLINER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA379	Group Total	1	0	0%	1	0	0%

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INSURANCE GROUP COMPLIANCE
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	WILLIS OF NORTHERN NEW ENGLAND	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA001	HRH CLAIMS MANAGEMENT	7	7	100%	No filings	No filings	No filings
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	206	198	96%	42	39	93%
	Group Total	**213**	**205**	**96%**	**42**	**39**	**93%**
	XL SPECIALTY INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA180	GAB ROBINS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	2	2	100%
	TPA Total	**3**	**3**	**100%**	**2**	**2**	**100%**
	XL Group Total	**3**	**3**	**100%**	**2**	**2**	**100%**
	ZURICH INSURANCE	**FROIs Filed**	**Timely FROIs**	**Compliance**	**Payments Made**	**Timely Payments**	**Compliance**
CA022	AMERICAN ZURICH	14	14	100%	4	4	100%
CA227	MARYLAND CASUALTY COMPANY	1	1	100%	No filings	No filings	No filings
CA259	NORTHERN INSURANCE CO. OF NEW YORK	5	5	100%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	8	7	88%	1	0	0%
	Total	**28**	**27**	**96%**	**5**	**4**	**80%**
	ZURICH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	0	0%	1	1	100%
CA080	CHESTERFIELD SERVICES	2	1	50%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	12	10	83%	7	5	71%
CA300	SEDGWICK CLAIMS MANAGEMENT	13	12	92%	6	6	100%
CA315	SPECIALTY RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	**29**	**24**	**83%**	**14**	**12**	**86%**
	ZURICH Group Total	**57**	**51**	**89%**	**19**	**16**	**84%**

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INSURANCE GROUP COMPLIANCE
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA010	**Group Total**	18	18	100%	15	15	100%
	ACE INSURANCE	**MOPs Filed**	**Timely MOPs**		**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ACE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA060	CAMBRIDGE INTEGRATED SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	3	2	67%	No filings	No filings	No filings
CA160	ESIS	18	15	83%	7	7	100%
CA190	GALLAGHER BASSETT SERVICES	2	0	0%	2	2	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	3	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	7	6	86%	8	8	100%
CA315	SPECIALTY RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	33	26	79%	18	18	100%
	ACE Group Total	33	26	79%	18	18	100%
	AMERICAN INTERSTATE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA018	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	AMTURST NORTH AMERICA	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA381	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	ARCH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	ARCH TPA Administered Claims						
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%	1	1	100%
CA315	SPECIALTY RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY	*	*	*	*	*	*
	TPA Total	4	3	75%	1	1	100%
	ARCH Group Total	4	3	75%	1	1	100%
	ARGONAUT INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA020	**Total**	*	*	*	*	*	*
	ARGONAUT TPA Administered Claims						
CA240	TRIDENT INSURANCE SERVICES	3	2	67%	No filings	No filings	No filings
	TPA Total	3	2	67%	No filings	No filings	No filings
	ARGONAUT Group Total	3	2	67%	No filings	No filings	No filings
	ARROW MUTUAL LIABILITY	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA32	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	BATH IRON WORKS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA036	**Group Total**	17	16	94%	13	13	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	BERKLEY RISK ADMINISTRATORS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA038	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	BROADSPIRE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA040	**Group Total**	**4**	**1**	**25%**	**6**	**6**	**100%**
	CAMBRIDGE INTEGRATED SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA060	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	CANNON COCHRAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA070	**Group Total**	**43**	**35**	**81%**	**25**	**23**	**92%**
	CHARTIS INSURANCE (Formerly AIG)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA015	**Total**	7	7	**100%**	7	7	**100%**
	CHARTIS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	1	50%	5	5	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	7	6	86%	14	13	93%
CA110	CONSTITUTION STATE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	5	5	100%	6	6	100%
CA180	GAB ROBINS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	8	8	100%	5	5	100%
CA204	HELMSMAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	13	12	92%	7	5	71%
CA315	SPECIALTY RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**35**	**32**	**91%**	**38**	**35**	**92%**
	CHARTIS Group Total	**42**	**39**	**93%**	**45**	**42**	**93%**
	CHESTERFIELD SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA080	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	CHUBB INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA090	**Group Total**	**1**	**1**	**100%**	**1**	**1**	**100%**
	CHUBB TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	CHUBB Group Total	**1**	**1**	**100%**	**1**	**1**	**100%**
	CHURCH MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA084	**Group Total**	*	*	*	*	*	*
	CIANBRO CORPORATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA085	**Group Total**	**1**	**1**	**100%**	**2**	**2**	**100%**
	CITY OF BANGOR	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA033	**Group Total**	**12**	**12**	**100%**	**2**	**2**	**100%**
	CLAIMS MANAGEMENT (WAL-MART)	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA100	**Group Total**	**7**	**6**	**86%**	**14**	**13**	**93%**

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INSURANCE GROUP COMPLIANCE
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA050	Group Total	1	1	100%	3	1	33%
	CONSTITUTION STATE SERVICE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110	Group Total	3	2	67%	No filings	No filings	No filings
	CONTINENTAL IND CO (formerly Virgina Surety)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA375	Group Total	2	1	50%	No filings	No filings	No filings
	COTTINGHAM AND BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	3	2	67%	2	2	100%
	CRUM & FORSTER	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA375	Group Total	1	0	0%	No filings	No filings	No filings
	ESIS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160	Group Total	24	20	83%	16	16	100%
	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA165	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	Group Total	No filings	No filings	No filings	1	1	100%
	FIREMANS FUND INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA170	Group Total	No filings	No filings	No filings	1	0	0%
	FUTURECOMP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175	Group Total	18	16	89%	4	4	100%
	GAB ROBINS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA180	Group Total	*	*	*	*	*	*
	GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA190	Group Total	30	23	77%	16	15	94%
	GRAPHIC ARTS MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA191	Group Total	*	*	*	*	*	*
	GUARD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA019	AMGUARD INSURANCE COMPANY	5	4	80%	2	2	100%
CA140	EASTGUARD INSURANCE COMPANY	3	1	33%	No filings	No filings	No filings
CA205	INTERGUARD, LTD.	*	*	*	*	*	*
CA272	NORGUARD INSURANCE COMPANY	1	1	100%	2	2	100%
	Group Total	9	6	67%	4	4	100%

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INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Second Quarter 2011
4/1/2011 - 6/30/2011

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	HANNAFORD BROTHERS	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA201	**Group Total**	**22**	**20**	**91%**	**12**	**12**	**100%**
	HANOVER INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA048	CITIZENS INSURANCE CO. OF AMERICA	3	3	100%	No filings	No filings	No filings
CA202	HANOVER INSURANCE COMPANY	No filings	No filings	No filings	1	1	100%
CA228	MASSACHUSETTS BAY INS. CO.	2	1	50%	5	5	100%
	Group Total	**5**	**4**	**80%**	**6**	**6**	**100%**
	HARTFORD INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA203	HARTFORD FINANCIAL	12	11	92%	4	4	100%
CA185	HARTFORD CASUALTY INS CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA321	TWIN CITY FIRE INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
	Total	**12**	**11**	**92%**	**4**	**4**	**100%**
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	2	1	50%
CA300	SEDGWICK CLAIMS MANAGEMENT	3	3	100%	1	1	100%
CA315	SPECIALTY RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	**8**	**7**	**88%**	**4**	**3**	**75%**
	HARTFORD Group Total	**20**	**18**	**90%**	**8**	**7**	**88%**
	HELMSMAN MANAGEMENT SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA204	**Group Total**	**4**	**4**	**100%**	**3**	**3**	**100%**
	LIBERTY MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA380	EMPLOYERS INSURANCE OF WAUSAU	8	8	100%	5	5	100%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	39	36	92%	24	24	100%
	Group Total	**47**	**44**	**94%**	**29**	**29**	**100%**
	MACY'S CORPORATE SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA213	**Group Total**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**	**No filings**
	MAINE AUTOMOBILE DEALERS ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA220	**Group Total**	**13**	**11**	**85%**	**6**	**6**	**100%**
	MAINE EMPLOYERS' MUTUAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA260	**Group Total**	**275**	**248**	**90%**	**142**	**142**	**100%**
	MAINE HEALTH CARE ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA234	**Group Total**	**6**	**6**	**100%**	**12**	**11**	**92%**
	MAINE MOTOR TRANSPORT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA230	**Group Total**	**8**	**7**	**88%**	**6**	**6**	**100%**
	MAINE MUNICIPAL ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA225	**Group Total**	**64**	**55**	**86%**	**39**	**32**	**82%**
	MAINE SCHOOL MANAGEMENT ASSOCIATION	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA250	**Group Total**	**22**	**20**	**91%**	**11**	**11**	**100%**

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	MEADOWBROOK	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA255	**Group Total**	2	2	100%	1	1	100%
	NATIONAL INTERSTATE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA267	**Group Total**	*	*	*	*	*	*
	NGM INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA265	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	OLD REPUBLIC TPA Administered Claims						
CA038	BERKLEY ADMIN. OF CONNECTICUT	No filings	No filings	No filings	No filings	No filings	No filings
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	*	*	*	*	*	*
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA280	RYDER SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	4	3	75%	2	2	100%
	TPA Total	7	5	71%	2	2	100%
	OLD REPUBLIC Group Total	7	5	71%	2	2	100%
	PATRIOT INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA274	**Group Total**	No filings	No filings	No filings	No filings	No filings	No filings
	PEERLESS INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA162	EXCELSIOR INSURANCE CO.	4	3	75%	1	1	100%
CA309	NETHERLANDS INSURANCE COMPANY	9	8	89%	3	2	67%
CA275	PEERLESS INSURANCE CO.	13	11	85%	2	2	100%
CA283	PEERLESS INDEMNITY CO.	1	0	0%	No filings	No filings	No filings
	Group Total	27	22	81%	6	5	83%
	PROTECTIVE INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA277	**Group Total**	1	0	0%	No filings	No filings	No filings
	PUBLIC SERVICE MUTUAL	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA282	**Group Total**	1	0	0%	No filings	No filings	No filings
	RISK ENTERPRISE MANAGEMENT	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA280	**Group Total**	5	3	60%	1	1	100%
	RYDER SERVICES	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA280	**Group Total**	1	0	0%	No filings	No filings	No filings
	SAFETY NATIONAL INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
	Total	*	*	*	*	*	*
	SAFETY NATIONAL TPA Administered claims						
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	No filings	No filings	No filings	2	2	100%
CA315	SPECIALTY RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	2	2	100%
	SAFETY NATIONAL Group Total	1	0	0%	2	2	100%

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	SEDGWICK CLAIMS MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300	Group Total	40	36	90%	33	31	94%
	SENTRY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA305	Group Total	3	2	67%	No filings	No filings	No filings
	SPARTA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SPARTA TPA Administered claims						
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	3	2	67%	2	2	100%
	TPA Total	3	2	67%	2	2	100%
	SPARTA Group Total	3	2	67%	2	2	100%
	SPECIALTY RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA315	Group Total	6	5	83%	3	3	100%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307	Group Total	35	32	91%	36	35	97%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	36	32	89%	37	34	92%
	THE FRANK GATES SERVICE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA168	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	TOWER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	TRAVELERS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA072	CHARTER OAK FIRE INS. CO.	7	7	100%	14	12	86%
CA164	FARMINGTON CASUALTY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	4	4	100%	3	3	100%
CA284	THE PHOENIX INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	6	6	100%	1	1	100%
CA346	TRAVELERS INDEMNITY CO OF AMERICA	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	2	1	50%	No filings	No filings	No filings
	Total	20	19	95%	18	16	89%
	TRAVELERS TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	1	1	100%	3	3	100%
CA168	THE FRANK GATES SERVICE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	3	1	33%	3	3	100%
	TRAVELERS Group Total	23	20	87%	21	19	90%
	TRIDENT INSURANCE SERVICES (Formerly MASSAMONT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA240	Group Total	4	2	50%	No filings	No filings	No filings
	VANLINER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA379	Group Total	1	0	0%	No filings	No filings	No filings

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	WILLIS OF NORTHERN NEW ENGLAND	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA001	HRH CLAIMS MANAGEMENT	No filings	No filings	No filings	3	3	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	42	37	88%	50	50	100%
	Group Total	**42**	**37**	**88%**	**53**	**53**	**100%**
	XL SPECIALTY INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA384	**Total**	*	*	*	*	*	*
	XL TPA Administered Claims						
CA180	GAB ROBINS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
	TPA Total	**2**	**2**	**100%**	**1**	**1**	**100%**
	XL Group Total	**2**	**2**	**100%**	**1**	**1**	**100%**
	ZURICH INSURANCE	**MOPs Filed**	**Timely MOPs**	**Compliance**	**NOCs Filed**	**Timely NOCs**	**Compliance**
CA022	AMERICAN ZURICH	4	4	100%	2	2	100%
CA227	MARYLAND CASUALTY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA259	NORTHERN INSURANCE CO. OF NEW YORK	No filings	No filings	No filings	1	1	100%
CA400	ZURICH AMERICAN INSURANCE CO.	1	0	0%	4	4	100%
	Total	**5**	**4**	**80%**	**7**	**7**	**100%**
	ZURICH TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	0	0%	No filings	No filings	No filings
CA080	CHESTERFIELD SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	7	5	71%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	6	6	100%	4	4	100%
CA315	SPECIALTY RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	**14**	**11**	**79%**	**5**	**5**	**100%**
	ZURICH Group Total	**19**	**15**	**79%**	**12**	**12**	**100%**

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