

STATE OF MAINE
DIVISION OF CORPORATIONS AND UCC
UNIFORM COMMERCIAL CODE
FILING GUIDELINES: UCC CORRECTION STATEMENT

Availability of Forms

UCC-5 forms will be available on our website at www.maine.gov/sos/cec/corp/ucc.htm in Adobe PDF fillable format.

Completion of Forms

All UCC-5 filings must be submitted on the appropriate form. We will accept only the form approved by the International Association of Commercial Administrators (IACA). Laser generated forms are acceptable as long as they are the same size (8½ x 11) and have the same format.

In order to receive an acknowledgment of your filing, you must complete items A & B on the IACA approved form. Please only submit one copy of each filing as we generate an acknowledgment copy of each filing and return it to the name listed in box B. Additionally, our system does not allow for the use of return envelopes sent to us.

Item 1a must contain the type of record (UCC-1, UCC-3). Item 1b must contain the Initial Financing Statement number from the UCC-1.

Reasons for Correction Statement

Choose Item 2a if the record is inaccurate and provide the basis for this belief or choose Item 2b if the record was wrongfully filed and provide the basis for this belief.

Date and Time

Complete Item 3a and 3b to provide the date and time on which the initial financing statement identified in 1b was filed.

Name of Person Authorizing this Correction Statement

Complete Item 4a or 4b with the name of the person authorizing the filing of this correction statement. The record identified in Item 1 must be indexed under this name.

Legibility of Forms

Forms should be typed to reduce the possibility of error. Illegible or indecipherable forms will be rejected.

Other

An UCC-5 Correction Statement does not alter the information in the filing office's database relative to the financing statement or any amendment. The Correction Statement is merely placed on file for filers and searchers to determine the filing's validity.

**SUBMIT COMPLETED FORMS TO: UCC FILING SECTION, SECRETARY OF STATE,
101 STATE HOUSE STATION, AUGUSTA, ME 04333-0101
TEL: (207) 624-7760**