UNITED STATES DEPARTMENT OF EDUCATION
OFFICE OF CAREER, TECHNICAL, AND ADULT EDUCATION

PROGRAM MEMORANDUM

MAR 29 2016

TO: State Directors of Career and Technical Education

FROM: Sharon L. Miller
Director
Division of Academic and Technical Education

SUBJECT: Revised Federal Fiscal Year (FY) 2015 State Allocations* under the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV)
[*FFY 2015 *Supplemental* Grant Awards]

I am providing you with the revised State allocations for FY 2015 under Title I (Career and Technical Education Assistance to the States) of the *Carl D. Perkins Career and Technical Education Act of 2006* (Perkins IV or the Act). See the attached table. Please share these revised allocations with your secondary or postsecondary counterpart, fiscal staff, and other appropriate individuals. This memo will be available shortly on our Web site at: https://perkins.ed.gov.

As you know, the Office of Career, Technical, and Adult Education (OCTAE) made Perkins IV awards for a portion of the funds appropriated for fiscal year 2015 under the *Consolidated and Further Continuation Appropriations Act,* 2015, Pub. L. No. 113-235 on July 1, 2015 and also made awards on October 1, 2015 from the funds appropriated for the same fiscal year. As explained in an October 9, 2015 program memorandum, OCTAE based the amounts of the October 1 grant supplements on revised allocations that reflected an across-the-board reduction of 0.2108 percent to the "advanced funded" amount made available for programs administered by the Department, including Perkins IV, pursuant to a short-term continuing resolution, *Consolidated and Further Continuing Appropriations Act,* 2015, Pub. L. No. 113-235 (CR). I am happy to inform you that in December, Congress passed the *Consolidated Appropriations Act, 2016*, Pub. L. No. 114-113, which restored the entire advanced portion of the FY 2015 funds previously reduced by the CR. **Under the revised allocations in the attached table, *some* but not all States will receive supplements to their FY 2015 Perkins IV, Title I grants.**

If your State's allocation increased, your eligible agency must revise its budget for Perkins IV, Title I for program year nine (July 1, 2015 – June 30, 2016) to reflect its revised Perkins IV, Title I allocation, make any necessary corresponding changes to its State plan, and submit the revised budget to OCTAE as discussed below. Please note that your State submitted its Perkins IV budget under the *Guidance for the Submission of State Plan Revisions, Budgets, and*

Performance Levels for FY 2015 Perkins IV Grant Awards - OMB Approval Number: 1830-0029, which is available through the State Plan Submission Web site at: https://perkins.ed.gov. Your State also may wish to review its maintenance of effort calculation under section 311(b)(1)(C) of Perkins IV if your State recalculated its required level of fiscal effort based on its revised allocation that was issued October 1, 2015.

Perkins IV Requirements for Within-State Allocations and Possible Options

Your State must ensure that it meets the within-State allocation requirements in section 112 of Perkins IV if your State must revise its budget to reflect an increase in its allocation. Section 112(a)(1) requires an eligible agency to make available "not less than 85 percent" of its allocation under section 111 of Perkins IV (Title I) for distribution to eligible recipients under sections 131 and 132 of Perkins IV. Section 112(a)(2) of Perkins IV authorizes the eligible agency to make available for State leadership activities "not more than 10 percent" of the State's allocation. Section 112(a)(3) of Perkins IV authorizes an eligible agency to make available for the administration of the State plan "an amount equal to not more than five percent, or $250,000, whichever is greater" of the State's allocation.

Your State has several options if your State must revise its Perkins IV, Title I budget to reflect your State's revised allocation. Your State may increase:

1. All of the amounts your State made available under section 112(a), provided that your State does not make available less than 85 percent of its new total State allocation for program year eight for distribution to eligible recipients or more than 10 percent and 5 percent for State leadership and administration, respectively. [1]

   Note for Options 1 and 2: A State that increases the amount distributed under section 131 or 132 would run the formula using the same data required for this program year and the total amount of funding to be distributed to eligible recipients under Perkins IV, Title I. The State would distribute any difference in the current and new subgrant amounts to eligible recipients. A State may need to obtain revised local applications.

2. The amount previously made available for distribution to eligible recipients without making any change to the amount it previously budgeted for State administration, State leadership, or both. See above note.

3. The State's reserve under section 112(c) of Perkins IV whether or not the State previously reserved FY 2014 funds for this purpose, provided that the total amount the State reserves does not exceed the 10 percent limit in section 112(a)(1) of Perkins IV.

   Note for Option 3: Depending on how much a State had previously reserved under section 112(c), a State may be able to use its increased allocation for FY 2014 for this purpose and avoid having to recompute program year eight subgrants to eligible recipients under the statutory formulas in sections 131 and 132 and requesting revised local applications from the State's eligible recipients.

Revised Budget Submission Requirements

If your State's allocation changed, your eligible agency *must* revise its budget for Perkins IV to reflect its revised Title I allocation in Table 1 for program year nine, beginning on July 1, 2015. These eligible agencies must submit:

- A revised budget for program year nine;
- Any corresponding State plan revisions; and
- A cover letter that transmits the revised budget, and any corresponding State plan changes, that is signed by someone who is authorized to act on behalf of the eligible agency.

Submission Deadline, Signature, and Address

If your State's allocation changed, as reflected in Table 1, your eligible agency must submit its revised budget, any corresponding State plan revisions, and a cover letter to this office no later than Friday, April 22, 2016. What follows are your instructions for revising your FY 2015 State Plan budgets:

1. State Directors will receive a data template from the contractor that includes the supplemental allocations for FY 2015;
2. Revise the FY 2015 budget using the data template provided;
3. Return the updated data template to perkins-help@rti.org; and finally,
4. The contractor will notify the state director once the data have been imported.

Your State must send a hard copy of the signed cover letter. Please note you are responsible for confirming that our office receives a hard copy of the cover letter with an original signature within five working days of the State's on line submission. Please send the information in *hard* copy to:

Edward R. Smith, Chief
Program Administration Branch
Division of Academic and Technical Education
Office of Career, Technical, and Adult Education
U. S. Department of Education
Potomac Center Plaza
550 12th Street, SW, Room 11060
Washington, DC 20202-7241

Please feel free to contact Andrew Johnson, OCTAE's lead for Perkins fiscal matters, if you have questions regarding your State's revised allocation or any other information in this memo. Mr. Johnson may be reached by email at Andrew.Johnson@ed.gov or by phone at (202) 245-7786.

If you have any technical difficulties in entering or submitting your revisions via the State Plan Submission Website, please feel free to contact Denise Garland at Denise.Garland@ed.gov or by phone at (202) 245-7730.

CARL D. PERKINS CAREER AND TECHNICAL EDUCATION ACT OF 2006
(Perkins IV)

Table 1 - Revised FFY 2015 Title I Allocations with Supplements
(Career and Technical Education Assistance to States)

State	Revised Total Allocation	March FY 2016 Supplement*
ALABAMA	$19,175,065	0
ALASKA	$4,214,921	0
ARIZONA	$25,381,742	$56,461
ARKANSAS	$11,403,795	0
CALIFORNIA	$120,256,718	$267,507
COLORADO	$16,063,569	$35,733
CONNECTICUT	$9,508,414	$20,151
DELAWARE	$4,725,500	$7,050
FLORIDA	$62,408,887	$138,827
GEORGIA	$38,555,844	$85,767
HAWAII	$5,496,906	$8,201
IDAHO	$6,394,554	$14,224
ILLINOIS	$40,365,798	$89,792
INDIANA	$24,933,706	$55,464
IOWA	$11,963,946	0
KANSAS	$10,245,408	0
KENTUCKY	$17,905,647	0
LOUISIANA	$21,041,943	0
MAINE	$5,496,906	$8,201
MARYLAND	$15,181,537	$33,771
MASSACHUSETTS	$17,758,787	$39,504
MICHIGAN	$37,153,058	$82,646
MINNESOTA	$16,684,637	0
MISSISSIPPI	$13,363,550	0
MISSOURI	$21,345,135	$47,481
MONTANA	$5,167,377	$7,709
NEBRASKA	$6,816,893	0
NEVADA	$9,741,942	$21,670
NEW HAMPSHIRE	$5,496,906	$8,201
NEW JERSEY	$22,347,005	$49,710
NEW MEXICO	$8,098,622	$18,015
NEW YORK	$51,361,536	0
NORTH CAROLINA	$36,160,527	$80,438
NORTH DAKOTA	$4,214,921	0

State	Revised Total Allocation	March FY 2016 Supplement*
OHIO	$42,750,001	0
OKLAHOMA	$15,094,180	0
OREGON	$13,548,621	$30,138
PENNSYLVANIA	$40,722,778	0
RHODE ISLAND	$5,496,906	$8,201
SOUTH CAROLINA	$18,476,882	$41,102
SOUTH DAKOTA	$4,214,921	0
TENNESSEE	$23,122,059	$51,435
TEXAS	$92,114,336	$204,905
UTAH	$12,501,001	$27,808
VERMONT	$4,214,921	0
VIRGINIA	$23,955,949	$53,289
WASHINGTON	$20,610,734	$45,848
WEST VIRGINIA	$8,428,617	0
WISCONSIN	$20,241,685	$30,000
WYOMING	$4,214,921	0
DISTRICT OF COLUMBIA	$4,214,921	0
PUERTO RICO	$18,458,484	0
VIRGIN ISLANDS	$567,534	0
U.S. TOTAL	**$1,099,381,153**	**$1,669,249**

Outlying Areas (Section 115)	Revised Total Allocation	March FY 2016 Supplement*
AMERICAN SAMOA	$334,544	0
NORTHERN MARIANA ISLANDS	$334,544	0
GUAM	$630,855	0
PALAU	$152,934	0
OUTLYING AREAS TOTAL	**$1,452,877**	**$0**

*A supplement of $0 generally indicates that your State will ***not*** receive a supplement because your State's allocation has not changed due to the hold-harmless provision in the formula based on FY 1998 allocations. See section 111(a)(5) of *Perkins IV*.

V048A150019 - 15B

Margaret Harvey
Career and Technical Education
Maine Department of Education
Maine Department of Education
23 State House Station
Augusta, ME 04333 - 0023

V048A150019 - 15B

Lora S Downing
Career and Technical Education
Maine Department of Education
23 State House Station
Augusta, ME 04333 - 0023

US Department of Education
Washington, D.C. 20202

GRANT AWARD NOTIFICATION

1 RECIPIENT NAME

Career and Technical Education
Maine Department of Education
23 State House Station
Augusta, ME 04333 - 0023

2 AWARD INFORMATION

PR/AWARD NUMBER	V048A150019 - 15B
ACTION NUMBER	3
ACTION TYPE	Revision
AWARD TYPE	Formula

3 PROJECT STAFF

RECIPIENT STATE DIRECTOR
Margaret Harvey
margaret.harvey@maine.gov
EDUCATION PROGRAM CONTACT
Edward R Smith (202) 245-7602
edward.smith@ed.gov
EDUCATION PAYMENT HOTLINE
G5 PAYEE 888-336-8930
HELPDESK
edcaps.user@ed.gov

4 PROJECT DESCRIPTION

84.048A
Vocational Education - Basic Grants to States

5 KEY PERSONNEL

N/A

6 AWARD PERIODS

BUDGET PERIOD	07/01/2015 - 09/30/2016
FEDERAL FUNDING PERIOD	07/01/2015 - 09/30/2016

FUTURE BUDGET PERIODS

N/A

7 AUTHORIZED FUNDING

CURRENT AWARD AMOUNT	$8,201.00
PREVIOUS CUMULATIVE AMOUNT	$5,488,705.00
CUMULATIVE AMOUNT	$5,496,906.00

8 ADMINISTRATIVE INFORMATION

DUNS/SSN	809045545
REGULATIONS	CFR PART Not Available EDGAR AS APPLICABLE 2 CFR AS APPLICABLE
ATTACHMENTS	N/A

9 LEGISLATIVE AND FISCAL DATA

AUTHORITY:	PL 109-270 I CARL D. PERKINS CAREER AND TECHNICAL EDUCATION ACT OF 2006, 20 U.S.C. 2301 ET SEQ. AS AMENDED
PROGRAM TITLE:	VOCATIONAL EDUCATION - BASIC GRANTS TO STATES
CFDA/SUBPROGRAM NO:	84.048A

FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT
0400A	2016	2016	EV000000	B	M72	000	048	4101A	$8,201.00

US Department of Education
Washington, D.C. 20202

GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: V048A150019 - 15B

RECIPIENT NAME: Career and Technical Education
Maine Department of Education

TERMS AND CONDITIONS

(1) THIS ACTION IS TO INCREASE THE GRANT AWARD IN THE AMOUNT SHOWN IN BLOCK 7.

(2) This supplemental grant award is made subject to the terms and conditions described in the transmittal letter from Johan E. Uvin, Acting Assistant Secretary for the Office of Career, Technical and Adult Education, dated October 1, 2015, which is hereby incorporated into this grant award. In addition, the original terms and conditions of the grant award remain in effect and are fully applicable. All terms and conditions are applicable to funds awarded in this supplement and the original grant award

Sheryl M. Adams 3/28/16

AUTHORIZING OFFICIAL DATE

Ver. 1

EXPLANATION OF BLOCKS ON THE GRANT AWARD NOTIFICATION

For Discretionary, Formula and Block Grants (See Block 2 of the Notification)

1. RECIPIENT NAME - The legal name of the recipient or name of the primary organizational unit that was identified in the application, state plan or other documents required to be submitted for funding by the grant program.

2. AWARD INFORMATION - Unique items of information that identify this notification.

PR/AWARD NUMBER - A unique, identifying number assigned by the Department to each application. On funded applications, this is commonly known as the "grant number" or "document number." The PR/Award Number is also known as the Federal Award Identifying Number, or FAIN.

ACTION NUMBER - A numeral that represents the cumulative number of steps taken by the Department to date to establish or modify the award through fiscal or administrative means. Action number "01" will always be "NEW AWARD"

ACTION TYPE - The nature of this notification (e.g., NEW AWARD, CONTINUATION, REVISION, ADMINISTRATIVE)

AWARD TYPE - The particular assistance category in which funding for this award is provided, i.e., DISCRETIONARY, FORMULA, or BLOCK. If this award was made under a Research and Development grant program, the terms RESEARCH AND DEVELOPMENT will appear under DISCRETIONARY, FORMULA OR BLOCK.

3. PROJECT STAFF - This block contains the names and telephone numbers of the U.S. Department of Education and recipient staff who are responsible for project direction and oversight.

***RECIPIENT PROJECT DIRECTOR** - The recipient staff person responsible for administering the project. This person represents the recipient to the U.S. Department of Education.

EDUCATION PROGRAM CONTACT - The U.S. Department of Education staff person responsible for the programmatic, administrative and businessmanagement concerns of the Department.

EDUCATION PAYMENT CONTACT - The U.S. Department of Education staff person responsible for payments or questions concerning electronic drawdown and financial expenditure reporting.

4. PROJECT TITLE AND CFDA NUMBER - Identifies the Catalog of Federal Domestic Assistance (CFDA) subprogram title and the associated subprogram number.

5.* KEY PERSONNEL - Name, title and percentage (%) of effort the key personnel identified devotes to the project.

6. AWARD PERIODS - Project activities and funding are approved with respect to three different time periods, described below:

BUDGET PERIOD - A specific interval of time for which Federal funds are being provided from a particular fiscal year to fund a recipient's approved activities and budget. The start and end dates of the budget period are shown.

PERFORMANCE PERIOD - The complete length of time the recipient is proposed to be funded to complete approved activities. A performance period may contain one or more budget periods.

***FUTURE BUDGET PERIODS** - The estimated remaining budget periods for multi-year projects and estimated funds the Department proposes it will award the recipient provided substantial progress is made by the recipient in completing approved activities, the Department determines that continuing the project would be in the best interest of the Government, Congress appropriates sufficient funds under the program, and the recipient has submitted a performance report that provides the most current performance information and the status of budget expenditures.

7. AUTHORIZED FUNDING - The dollar figures in this block refer to the Federal funds provided to a recipient during the award periods.

***THIS ACTION** - The amount of funds obligated (added) or de-obligated (subtracted) by this notification.

***BUDGET PERIOD** - The total amount of funds available for use by the grantee during the stated budget period to this date.

***PERFORMANCE PERIOD** - The amount of funds obligated from the start date of the first budget period to this date.

RECIPIENT COST SHARE - The funds, expressed as a percentage, that the recipient is required to contribute to the project, as defined by the program legislation or regulations and/or terms and conditions of the award.

RECIPIENT NON-FEDERAL AMOUNT - The amount of non-federal funds the recipient must contribute to the project as identified in the recipient's application. When non-federal funds are identified by the recipient where a cost share is not a legislation requirement, the recipient will be required to provide the non-federal funds.

8. ADMINISTRATIVE INFORMATION - This information is provided to assist the recipient in completing the approved activities and managing the project in accordance with U.S. Department of Education procedures and regulations.

DUNS/SSN - A unique, identifying number assigned to each recipient for payment purposes. The number is based on either the recipient's assigned number from Dun and Bradstreet or the individual's social security number.

***REGULATIONS -** Title 2 of the Code of Federal Regulations(CFR), Part 200 as adopted at 2 CFR 3474; the applicable parts of the Education Department General Administrative Regulations (EDGAR), specific program regulations (if any), and other titles of the CFR that govern the award and administration of this grant.

***ATTACHMENTS -** Additional sections of the Grant Award Notification that discuss payment and reporting requirements, explain Department procedures, and add special terms and conditions in addition to those established, and shown as clauses, in Block 10 of the award. Any attachments provided with a notification continue in effect through the project period until modified or rescinded by the Authorizing Official.

9. LEGISLATIVE AND FISCAL DATA - The name of the authorizing legislation for this grant, the CFDA title of the program through which funding is provided, and U.S. Department of Education fiscal information.

FUND CODE, FUNDING YEAR, AWARD YEAR, ORG.CODE, PROJECT CODE, OBJECT CLASS - The fiscal information recorded by the U.S. Department of Education's Grants Management System (G5) to track obligations by award.

AMOUNT - The amount of funds provided from a particular appropriation and project code. Some notifications authorize more than one amount from separate appropriations and/or project codes. The total of all amounts in this block equals the amount shown on the line, "THIS ACTION" (See "AUTHORIZED FUNDING" above (Block 7)).

10. TERMS AND CONDITIONS - Requirements of the award that are binding on the recipient.

***PARTICIPANT NUMBER -** The number of eligible participants the grantee is required to serve during the budget year.

***GRANTEE NAME -** The entity name and address registered in the System for Award Management (SAM). This name and address is tied to the DUNS number registered in SAM under the name and address appearing in this field. This name, address and the associated DUNS is what is displayed in the SAM Public Search.

***PROGRAM INDIRECT COST TYPE -** The type of indirect cost permitted under the program (i.e. Restricted, Unrestricted, or Training).

***PROJECT INDIRECT COST RATE -** The indirect cost rate applicable to this grant.

***AUTHORIZING OFFICIAL -** The U.S. Department of Education official authorized to award Federal funds to the recipient, establish or change the terms and conditions of the award, and authorize modifications to the award

FOR FORMULA AND BLOCK GRANTS ONLY:
(See also Blocks 1, 2, 4, 6, 8, 9 and 10 above)

3. PROJECT STAFF - The U.S. Department of Education staff persons to be contacted for programmatic and payment questions.

7. AUTHORIZED FUNDING

CURRENT AWARD AMOUNT - The amount of funds that are obligated (added) or de-obligated (subtracted) by this action.

PREVIOUS CUMULATIVE AMOUNT - The total amount of funds awarded under the grant before this action.

CUMULATIVE AMOUNT - The total amount of funds awarded under the grant, this action included.

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* This item differs or does not appear on formula and block grants.