UNITED STATES DEPARTMENT OF EDUCATION
OFFICE OF CAREER, TECHNICAL, AND ADULT EDUCATION

OCT 0 1 2015

Ms. Margaret Harvey
State CTE Director
Career Technical Education
Maine Department of Education
State House Station #23
Augusta, Maine 04333

Dear Ms. Harvey:

I am providing you with the revised estimated State allocations for FY 2015 under Title I (Career and Technical Education Assistance to the States) of the *Carl D. Perkins Career and Technical Education Act of 2006* (*Perkins IV*). See the attached table. The *Consolidated and Further Continuing Appropriations Act,* 2015, Pub. L. No. 113-235- made available the first portion of *Perkins IV* funds on July 1, 2015 and the remainder on October 1, 2015. As you know, Congress passed, and the President signed, a short-term continuing resolution (CR), that provides funding for a number of Federal programs through December 11, 2015. The CR includes an across-the-board reduction of 0.2108 percent that applies to the advance portion of fiscal year (FY) 2015 funds that was scheduled to become available on October 1, 2015. Consequently, the total October 1 allocations for the *Perkins IV* Career and Technical Education State Grants will be slightly lower than we had previously estimated.

If your State's estimated allocation changed, your eligible agency must revise its budget for Perkins IV for program year nine (July 1, 2015 – June 30, 2016) to reflect its estimated *Perkins IV*, Title I allocation in the attached table, make any necessary corresponding changes to its State plan, and submit the revised budget to this office as discussed below. Your State was required to submit its *Perkins IV* budget under the *Guidance for the Submission of State Plan Revisions, Budgets, and Performance Levels for Program Year Nine Perkins IV Grant Awards - OMB Approval Number: 1830-0029*, and is available through the State Plan Submission Web Portal at: https://perkins.ed.gov

Please share these revised estimated allocations with your secondary or postsecondary counterpart.

Perkins IV Requirements for Within-State Allocations and Options

Your State should consider the within-State allocation requirements in section 112 of Perkins IV

if your State must revise its budget. Section 112(a)(1) requires an eligible agency to make available "not less than 85 percent" of its allocation under section 111 of *Perkins IV* (Title I) for distribution to eligible recipients under sections 131 and 132 of *Perkins IV*.[1] Section 112(a)(2) of *Perkins IV* authorizes the eligible agency to make available for State leadership activities "not more than 10 percent" of the State's allocation. Section 112(a)(3) of *Perkins IV* authorizes an eligible agency to make available for the administration of the State plan "an amount equal to not more than five percent, or $250,000, whichever is greater" of the State's allocation.

In order to revise your State's *Perkins IV* budget to reflect your State's revised estimated allocation, your State may make proportionate reductions to the amounts your State reserved under section 112(a), provided that your State does not make available less than 85 percent of its State allocation for program year nine for distribution to eligible recipients under sections 131 and 132 of *Perkins IV*. However, your State also has the option of lowering the percentage of its previously budgeted amount for State administration, State leadership, or both, without making any change to the amount previously reserved for distribution to eligible recipients under sections 131 and 132. The option of reducing only State administration and/or State leadership without changing the amount distributed to eligible recipients would allow your State to avoid having to recompute program year nine allocations to eligible recipients under the statutory formulas in section 131 and 132 and requesting revised local applications from your State's eligible recipients.

Revised Budget Submission Requirements

If your State's estimated allocation changed, your eligible agency must revise its budget for *Perkins IV* to reflect its revised estimated Title I allocation in Table 1 for program year nine, beginning on July 1, 2015. Your eligible agency must submit:

- A revised budget for program year nine;
- Any other State plan revisions; and
- A cover letter that transmits the revised budget and any other State plan changes, and that is signed by someone who is authorized to act on behalf of the eligible agency.

Submission Deadline, Signature, and Address

If your State's estimated allocation changed, your eligible agency must submit its revised budget, any other State plan revisions, and cover letter to this office no later than Friday, November 13, 2015. Since all States have now submitted their 2015 State Plan revisions to the Perkins State Plan Submission Web Site, States should submit the revisions required through this site as well.

Please note you are responsible for confirming that our office receives a hard copy of the cover letter with an original signature within five working days of the State's on-line submission.

---

[1] Additional guidance for small States to compute their set-asides is contained in the May 28, 2009, *Questions and Answers Regarding the Implementation of the Carl D. Perkins Career and Technical Education Act of 2006 – Version 3.0* (see D.15.).

Please send the information in hard copy to:

Edward R. Smith, Chief
Program Administration Branch
Division of Academic and Technical Education
Office of Career, Adult, and Technical Education
U. S. Department of Education
Potomac Center Plaza
550 12th Street, SW, Room 11060
Washington, DC 20202-7241

Please feel free to contact Andrew Johnson, our office's lead for *Perkins IV* fiscal matters, if you have questions regarding your State's revised estimated allocation or any other information in this memo. Andrew may be reached by email at Andrew.Johnson@ed.gov or by phone at (202) 245-7786.

If you have any technical difficulties in entering or submitting your revisions via the State Plan Submission Web Site, please feel free to contact Denise Garland at Denise.Garland@ed.gov or by telephone at (202) 245-7730.

Sincerely,

Johan E. Uvin,
Deputy Assistant Secretary (delegated the authority of the Assistant Secretary)

Attachment

Attachment

CARL D. PERKINS CAREER AND TECHNICAL EDUCATION ACT OF 2006
(Perkins IV)

Table 1 – Revised Estimated FY 2015 Title I Allocations
(Career and Technical Education Assistance to States)

State	Total Estimated Allocation	Available July 1, 2015	Available Oct 1, 2015
ALABAMA	19,175,065	5,671,080	13,503,985
ALASKA	4,214,921	1,246,575	2,968,346
ARIZONA	25,325,281	7,506,723	17,818,558
ARKANSAS	11,403,795	3,372,705	8,031,090
CALIFORNIA	119,989,211	35,566,268	84,422,943
COLORADO	16,027,836	4,750,847	11,276,989
CONNECTICUT	9,487,263	2,812,141	6,676,122
DELAWARE	4,718,450	1,397,580	3,320,870
FLORIDA	62,270,060	18,457,607	43,812,453
GEORGIA	38,470,077	11,403,001	27,067,076
HAWAII	5,488,705	1,625,726	3,862,979
IDAHO	6,380,330	1,891,208	4,489,122
ILLINOIS	40,276,006	11,938,300	28,337,706
INDIANA	24,878,242	7,374,215	17,504,027
IOWA	11,963,946	3,538,371	8,425,575
KANSAS	10,245,408	3,030,109	7,215,299
KENTUCKY	17,905,647	5,295,646	12,610,001
LOUISIANA	21,041,943	6,223,215	14,818,728
MAINE	5,488,705	1,625,726	3,862,979
MARYLAND	15,147,766	4,489,983	10,657,783
MASSACHUSETTS	17,719,283	5,252,212	12,467,071
MICHIGAN	37,070,412	10,988,123	26,082,289
MINNESOTA	16,684,637	4,934,529	11,750,108
MISSISSIPPI	13,363,550	3,952,308	9,411,242
MISSOURI	21,297,654	6,312,885	14,984,769
MONTANA	5,159,267	1,528,267	3,631,401
NEBRASKA	6,816,893	2,016,116	4,800,777
NEVADA	9,720,272	2,881,207	6,839,065
NEW HAMPSHIRE	5,488,705	1,625,726	3,862,979
NEW JERSEY	22,297,295	6,609,191	15,688,104
NEW MEXICO	8,080,607	2,395,191	5,685,416
NEW YORK	51,361,536	15,190,321	36,171,215
NORTH CAROLINA	36,080,089	10,694,579	25,385,510
NORTH DAKOTA	4,214,921	1,246,575	2,968,346
OHIO	42,750,001	12,643,435	30,106,566
OKLAHOMA	15,094,180	4,464,147	10,630,033
OREGON	13,518,483	4,007,043	9,511,440

State	Total Estimated Allocation	Available July 1, 2015	Available Oct 1, 2015
PENNSYLVANIA	40,722,778	12,043,878	28,678,900
RHODE ISLAND	5,488,705	1,625,726	3,862,979
SOUTH CAROLINA	18,435,780	5,464,591	12,971,189
SOUTH DAKOTA	4,214,921	1,246,575	2,968,346
TENNESSEE	23,070,624	6,838,415	16,232,209
TEXAS	91,909,431	27,243,078	64,666,353
UTAH	12,473,193	3,697,207	8,775,986
VERMONT	4,214,921	1,246,575	2,968,346
VIRGINIA	23,902,660	7,085,040	16,817,620
WASHINGTON	20,564,886	6,095,684	14,469,202
WEST VIRGINIA	8,428,617	2,492,788	5,935,829
WISCONSIN	20,241,685	5,986,536	14,255,149
WYOMING	4,214,921	1,246,575	2,968,346
DISTRICT OF COLUMBIA	4,214,921	1,246,575	2,968,346
PUERTO RICO	18,458,484	5,459,149	12,999,335
VIRGIN ISLANDS	567,534	167,850	399,684
U.S. TOTAL	**1,097,740,904**	**325,145,123**	**$772,595,781**

Outlying Areas (Section 115)	Total Estimated Allocation	Available July 1, 2014	Available Oct 1, 2014
AMERICAN SAMOA	$334,0453	$334,045	--
NORTHERN MARIANA ISLANDS	$334,045	$334,045	--
GUAM	$629914	$629,914	--
PALAU	$152,706	$152,875	--
OUTLYING AREAS TOTAL	**$782,620**	**$782620**	--

V048A150019 - 15A

Margaret Harvey
Career and Technical Education
Maine Department of Education
Maine Department of Education
23 State House Station
Augusta, ME 04333 - 0023

V048A150019 - 15A

Lora S Downing
Career and Technical Education
Maine Department of Education
23 State House Station
Augusta, ME 04333 - 0023

US Department of Education
Washington, D.C. 20202

GRANT AWARD NOTIFICATION

1 RECIPIENT NAME

Career and Technical Education
Maine Department of Education
23 State House Station
Augusta, ME 04333 - 0023

2 AWARD INFORMATION

PR/AWARD NUMBER	V048A150019 - 15A
ACTION NUMBER	2
ACTION TYPE	Revision
AWARD TYPE	Formula

3 PROJECT STAFF

RECIPIENT STATE DIRECTOR
Margaret Harvey
margaret.harvey@maine.gov
EDUCATION PROGRAM CONTACT
Edward R Smith (202) 245-7602
edward.smith@ed.gov
EDUCATION PAYMENT HOTLINE
G5 PAYEE 888-336-8930
HELPDESK
edcaps.user@ed.gov

4 PROJECT DESCRIPTION

84.048A
Vocational Education - Basic Grants to States

5 KEY PERSONNEL

N/A

6 AWARD PERIODS

BUDGET PERIOD	07/01/2015 - 09/30/2016
FEDERAL FUNDING PERIOD	07/01/2015 - 09/30/2016

FUTURE BUDGET PERIODS

N/A

7 AUTHORIZED FUNDING

CURRENT AWARD AMOUNT	$3,862,979.00
PREVIOUS CUMULATIVE AMOUNT	$1,625,726.00
CUMULATIVE AMOUNT	$5,488,705.00

8 ADMINISTRATIVE INFORMATION

DUNS/SSN	809045545
REGULATIONS	CFR PART Not Available EDGAR AS APPLICABLE 2 CFR AS APPLICABLE
ATTACHMENTS	N/A

9 LEGISLATIVE AND FISCAL DATA

AUTHORITY:	PL 109-270 I CARL D. PERKINS CAREER AND TECHNICAL EDUCATION ACT OF 2006, 20 U.S.C. 2301 ET SEQ. AS AMENDED
PROGRAM TITLE:	VOCATIONAL EDUCATION - BASIC GRANTS TO STATES
CFDA/SUBPROGRAM NO:	84.048A

FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT
0400A	2016	2016	EV000000	B	M72	000	048	4101A	$3,862,979.00

US Department of Education
Washington, D.C. 20202

GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: V048A150019 - 15A

RECIPIENT NAME: Career and Technical Education
Maine Department of Education

TERMS AND CONDITIONS

(1) THIS ACTION IS TO INCREASE THE GRANT AWARD IN THE AMOUNT SHOWN IN BLOCK 7.

(2) This supplemental grant award is made subject to the terms and conditions described in the transmittal letter from Johan E. Uvin, Acting Assistant Secretary for the Office of Career, Technical and Adult Education, dated October 1, 2015, which is hereby incorporated into this grant award. In addition, the original terms and conditions of the grant award remain in effect and are fully applicable. All terms and conditions are applicable to funds awarded in this supplement and the original grant award

	10-1-15
AUTHORIZING OFFICIAL	**DATE**

Ver. 1

EXPLANATION OF BLOCKS ON THE GRANT AWARD NOTIFICATION

For Discretionary, Formula and Block Grants (See Block 2 of the Notification)

1. RECIPIENT NAME - The legal name of the recipient, name of the primary organizational unit that

2. AWARD INFORMATION - Unique items of information that identify this notification.

PR/AWARD NUMBER - A unique, identifying number assigned by the Department to each application. On funded applications, this is commonly known as the "grant number" or "document number."

ACTION NUMBER - A numeral that represents the cumulative number of steps taken by the Department to date to establish or modify the award through fiscal or administrative means. Action number "01" will always be "NEW AWARD"

ACTION TYPE - The nature of this notification (e.g., NEW AWARD, CONTINUATION, REVISION, ADMINISTRATIVE)

AWARD TYPE - The particular assistance category in which funding for this award is provided, i.e., DISCRETIONARY, FORMULA, or BLOCK.

3. PROJECT STAFF - This block contains the names and telephone numbers of the U.S. Department of Education and recipient staff who are responsible for project direction and oversight.

***RECIPIENT PROJECT DIRECTOR -** The recipient staff person responsible for administering the project. This person represents the recipient to the U.S. Department of Education.

EDUCATION PROGRAM CONTACT - The U.S. Department of Education staff person responsible for the programmatic, administrative and businessmanagement concerns of the Department.

EDUCATION PAYMENT CONTACT - The U.S. Department of Education staff person responsible for payments or questions concerning electronic drawdown and financial expenditure reporting.

4. PROJECT TITLE AND CFDA NUMBER - Identifies the Catalog of Federal Domestic Assistance (CFDA) subprogram title and the associated subprogram number.

5.* KEY PERSONNEL - Name, title and percentage (%) of effort the key personnel identified devotes to the project.

6. AWARD PERIODS - Project activities and funding are approved with respect to three different time periods, described below:

BUDGET PERIOD - A specific interval of time for which Federal funds are being provided from a particular fiscal year to fund a recipient's approved activities and budget. The start and end dates of the budget period are shown.

PERFORMANCE PERIOD - The complete length of time the recipient is proposed to be funded to complete approved activities. A performance period may contain one or more budget periods.

***FUTURE BUDGET PERIODS -** The estimated remaining budget periods for multi-year projects and estimated funds the Department proposes it will award the recipient provided substantial progress is made by the recipient in completing approved activities, the Department determines that continuing the project would be in the best interest of the Government, Congress appropriates sufficient funds under the program, and the recipient has submitted a performance report that provides the most current performance information and the status of budget expenditures.

7. AUTHORIZED FUNDING - The dollar figures in this block refer to the Federal funds provided to a recipient during the award periods.

***THIS ACTION -** The amount of funds obligated (added) or de-obligated (subtracted) by this notification.

***BUDGET PERIOD -** The total amount of funds available for use by the grantee during the stated budget period to this date.

***PERFORMANCE PERIOD -** The amount of funds obligated from the start date of the first budget period to this date.

RECIPIENT COST SHARE - The funds, expressed as a percentage, that the recipient is required to contribute to the project, as defined by the program legislation or regulations and/or terms and conditions of the award.

RECIPIENT NON-FEDERAL AMOUNT - The amount of non-federal funds the recipient must contribute to the project as identified in the recipient's application. When non-federal funds are identified by the recipient where a cost share is not a legislation requirement, the recipient will be required to provide the non-federal funds.

8. ADMINISTRATIVE INFORMATION - This information is provided to assist the recipient in completing the approved activities and managing the project in accordance with U.S. Department of Education procedures and regulations.

DUNS/SSN - A unique, identifying number assigned to each recipient for payment purposes. The number is based on either the recipient's assigned number from Dun and Bradstreet or the individual's social security number.

***REGULATIONS -** *REGULATIONS - The parts of the Education Department General Administrative Regulations (EDGAR), specific program regulations (if any), and other titles of the Code of Federal Regulations that govern the award and administration of this grant.

***ATTACHMENTS -** Additional sections of the Grant Award Notification that discuss payment and reporting requirements, explain Department procedures, and add special terms and conditions in addition to those established, and shown as clauses, in Block 10 of the award. Any attachments provided with a notification continue in effect through the project period until modified or rescinded by the Authorizing Official.

9. LEGISLATIVE AND FISCAL DATA - The name of the authorizing legislation for this grant, the CFDA title of the program through which funding is provided, and U.S. Department of Education fiscal information.

FUND CODE, FUNDING YEAR, AWARD YEAR, ORG.CODE, PROJECT CODE, OBJECT CLASS -
The fiscal information recorded by the U.S. Department of Education's Grant Administration and Payment System to track obligations by award.

AMOUNT - The amount of funds provided from a particular appropriation and project code. Some notifications authorize more than one amount from separate appropriations and/or project codes. The total of all amounts in this block equals the amount shown on the line, "THIS ACTION" (See "AUTHORIZED FUNDING" above (Block 7)).

10. TERMS AND CONDITIONS - Requirements of the award that are binding on the recipient.

***PARTICIPANT NUMBER -** The number of eligible participants the grantee is required to serve during the budget year.

***AUTHORIZING OFFICIAL -** The U.S. Department of Education official authorized to award Federal funds to the recipient, establish or change the terms and conditions of the award, and authorize modifications to the award

FOR FORMULA AND BLOCK GRANTS ONLY:
(See also Blocks 1, 2, 4, 6, 8, 9 and 10 above)

3. PROJECT STAFF - The U.S. Department of Education staff persons to be contacted for programmatic and payment questions.

7. AUTHORIZED FUNDING

CURRENT AWARD AMOUNT - The amount of funds that are obligated (added) or de-obligated (subtracted) by this action.

PREVIOUS CUMULATIVE AMOUNT - The total amount of funds awarded under the grant before this action.

CUMULATIVE AMOUNT - The total amount of funds awarded under the grant, this action included.

---

* This item differs or does not appear on formula and block grants.