



Paul R. LePage
GOVERNOR

STATE OF MAINE
DEPARTMENT OF PROFESSIONAL
AND FINANCIAL REGULATION
BUREAU OF INSURANCE
34 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0034

Eric A. Cioppa
Superintendent

RISK RETENTION GROUP Registration Requirements Pursuant to Title 24-A M.R.S.A. 6095

Risk retention groups not chartered in this State are required to register with the Superintendent of Insurance. The following materials must be submitted to fulfill the registration requirements:

1. A completed Maine specific Risk Retention Group Registration form
2. Designation as Agent for Service of Process Form
3. A certified copy of Certificate of Compliance for most recent year.
4. A statement identifying the Group's principal place of business.
5. A statement identifying the group's date of chartering and organization
6. A plan of operation or feasibility study, including revisions if any, or basis for exemption from filing
7. Financial statement submitted to state in which chartered and licensed
8. A copy of the most recent report of examination certified by the insurance superintendent or public official conducting the examination. State if no examination has been performed.
9. A copy of the most recent audit performed.
10. Name and Maine license number of the agent/broker, if one is designated.

Provide **only** the required information and address inquiries to the Financial Analysis Division, Maine Bureau of Insurance, 34 State House Station, Augusta, ME 04333-0034.

No risk retention group shall do business in Maine prior to receiving notice of having fulfilled the registration requirements.



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