

**Updated 10/15/15**

# **FEDERAL PROJECT**

## BIDDING INSTRUCTIONS

### FOR ALL PROJECTS:

1. Use pen and ink to complete all paper Bids.
2. As a minimum, the following must be received prior to the time of Bid opening:

#### For a Paper Bid:

- a) a copy of the Notice to Contractors, b) the completed Acknowledgement of Bid Amendments form, c) the completed Schedule of Items, d) two copies of the completed and signed Contract Offer, Agreement & Award form, e) a Bid Guaranty, (if required), and f) any other certifications or Bid requirements listed in the Bid Documents as due by Bid opening.

#### For an Electronic Bid:

- a) a completed Bid using Expedite® software and submitted via the Bid Express™ web-based service, b) an electronic Bid Guaranty (if required) or a faxed copy of a Bid Bond (with original to be delivered within 72 hours), and c) any other Certifications or Bid requirements listed in the Bid Documents as due by Bid opening.
3. Include prices for all items in the Schedule of Items (excluding non-selected alternates).
4. Bid Guaranty acceptable forms are:
  - a) a properly completed and signed Bid Bond on the Department's prescribed form (or on a form that does not contain any significant variations from the Department's form as determined by the Department) for 5% of the Bid Amount or
  - b) an Official Bank Check, Cashier's Check, Certified Check, U.S. Postal Money Order or Negotiable Certificate of Deposit in the amount stated in the Notice to Contractors or
  - c) an electronic bid bond submitted with an electronic bid.
5. If a paper Bid is to be sent, "FedEx First Overnight" delivery is suggested as the package is delivered directly to the DOT Headquarters Building located at 16 Child Street in Augusta. Other means, such as U.S. Postal Service's Express Mail has proven not to be reliable.

### IN ADDITION, FOR FEDERAL AID PROJECTS:

6. Complete the DBE Proposed Utilization form, and submit with your bid. If you are submitting your bid electronically, you must FAX the form to (207) 624-3431. This is a curable defect.

*If you need further information regarding Bid preparation, call the DOT  
Contracts Section at (207) 624-3410.*

*For complete bidding requirements, refer to Section 102 of the Maine Department  
of Transportation, Standard Specifications, November 2014 Edition.*

# NOTICE

The Maine Department of Transportation is attempting to improve the way Bid Amendments/Addendums are handled, and allow for an electronic downloading of bid packages from our website, while continuing to maintain an optional plan holders list.

Prospective bidders, subcontractors or suppliers who wish to download a copy of the bid package and receive a courtesy notification of project specific bid amendments must fill out the on-line plan holder registration form and provide an email address to the MDOT Contracts mailbox at: [MDOT.contracts@maine.gov](mailto:MDOT.contracts@maine.gov). Each bid package will require a separate request.

Additionally, interested parties will be responsible for reviewing and retrieving the Bid Amendments from our web site, and acknowledging receipt and incorporating those Bid Amendments in their bids using the Acknowledgement of Bid Amendment Form.

The downloading of bid packages from the MDOT website is not the same as providing an electronic bid to the Department. Electronic bids must be submitted via <http://www.BIDX.com>. For information on electronic bidding contact Robert Skehan at [robert.skehan@maine.gov](mailto:robert.skehan@maine.gov) , Rebecca Snowden at [rebecca.snowden@maine.gov](mailto:rebecca.snowden@maine.gov) or Diane Barnes at [diane.barnes@maine.gov](mailto:diane.barnes@maine.gov).

# NOTICE

For security and other reasons, all Bid Packages which are mailed, shall be provided in double (one envelope inside the other) envelopes. The *Inner Envelope* shall have the following information provided on it:

Bid Enclosed - Do Not Open

PIN:

Town:

Date of Bid Opening:

Name of Contractor with mailing address and telephone number:

In Addition to the usual address information, the *Outer Envelope* should have written or typed on it:

Double Envelope: Bid Enclosed

PIN:

Town:

Date of Bid Opening:

Name of Contractor:

*This should not be much of a change for those of you who use Federal Express or similar services.*

Hand-carried Bids may be in one envelope as before, and should be marked with the following information:

Bid Enclosed: Do Not Open

PIN:

Town:

Name of Contractor:

October 16, 2001

**STATE OF MAINE DEPARTMENT OF TRANSPORTATION**  
Bid Guaranty-Bid Bond Form

**KNOW ALL MEN BY THESE PRESENTS THAT** \_\_\_\_\_

\_\_\_\_\_, of the City/Town of \_\_\_\_\_ and State of \_\_\_\_\_

as Principal, and \_\_\_\_\_ as Surety, a

Corporation duly organized under the laws of the State of \_\_\_\_\_ and having a usual place of

Business in \_\_\_\_\_ and hereby held and firmly bound unto the Treasurer of

the State of Maine in the sum of \_\_\_\_\_ for payment which Principal and Surety bind

themselves, their heirs, executors, administrators, successors and assigns, jointly and severally.

The condition of this obligation is that the Principal has submitted to the Maine Department of

Transportation, hereafter Department, a certain bid, attached hereto and incorporated as a

part herein, to enter into a written contract for the construction of \_\_\_\_\_

\_\_\_\_\_ and if the Department shall accept said bid

and the Principal shall execute and deliver a contract in the form attached hereto (properly

completed in accordance with said bid) and shall furnish bonds for this faithful performance of

said contract, and for the payment of all persons performing labor or furnishing material in

connection therewith, and shall in all other respects perform the agreement created by the

acceptance of said bid, then this obligation shall be null and void; otherwise it shall remain in full

force, and effect.

Signed and sealed this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

WITNESS:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

WITNESS

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

PRINCIPAL:

By \_\_\_\_\_

By: \_\_\_\_\_

By: \_\_\_\_\_

SURETY:

By \_\_\_\_\_

By: \_\_\_\_\_

Name of Local Agency: \_\_\_\_\_

# NOTICE

Bidders:

Please use the attached “Request for Information” form when submitting questions concerning specific Contracts that have been advertised for Bid, include additional numbered pages as required. RFI’s may be faxed to 207-624-3431, submitted electronically through the Departments web page of advertised projects by selecting the RFI tab on the project details page or via e-mail to [RFI-Contracts.MDOT@maine.gov](mailto:RFI-Contracts.MDOT@maine.gov).

These are the only allowable mechanisms for answering Project specific questions. Maine DOT will not be bound to any answers to Project specific questions received during the Bidding phase through other processes.

When submitting RFIs by Email please follow the same guidelines as stated on the “Request for Information” form and include the word “RFI” along with the Project name and Identification number in the subject line.



# NOTICE

## Disadvantaged Business Enterprise Proposed Utilization

The Apparent Low Bidder shall submit the Disadvantaged Business Enterprise Proposed Utilization form with their bid. This is a curable bid defect.

The Contractor's Disadvantaged Business Enterprise Proposed Utilization Plan form contains additional information that is required by USDOT.

The Contractor's Disadvantaged Business Enterprise Proposed Utilization Plan form should be used.

A copy of the new Contractor's Disadvantaged Business Enterprise Proposed Utilization Plan and instructions for completing it are attached.

Note: Questions about DBE firms, or to obtain a printed copy of the DBE Directory, contact The Office of Civil Rights at (207) 624-3066.

MDOT's DBE Directory of Certified firms can also be obtained at <http://www.maine.gov/mdot/civilrights/dbe.htm>

## INSTRUCTIONS FOR PREPARING THE MaineDOT CONTRACTOR'S DBE/SUBCONTRACTOR UTILIZATION FORM

The Contractor Shall Extend equal opportunity to MaineDOT certified DBE firms (as listed in MaineDOT's DBE Directory of Certified Businesses) in the selection and utilization of Subcontractors and Suppliers.

### SPECIFIC INSTRUCTIONS FOR COMPLETING THE FORM:

Insert Contractor name, the name of the person(s) preparing the form, and that person(s) telephone, fax number and e-mail address.

Calculate and provide percentage of your bid that will be allocated to DBE firms, Federal Project Identification Number, and location of the Project work.

In the columns, name each subcontractor, DBE and non-DBE firm to be used, provide the Unit/Item cost of the work/product to be provided by the subcontractor, give a brief description and the dollar value of the work.

Revised 1/12

**FHWA DBE GOAL NOTICE FFY 2016-18**  
**Maine Department of Transportation**  
**Disadvantaged Business Enterprise Program**

Notice is hereby given that in accordance with US DOT regulation 49 CFR Part 26, the Maine Department of Transportation has established a DBE Program for disadvantaged business participation in the federal-aid highway and bridge construction program; MaineDOT contracts covered by the program include consulting, construction, supplies, manufacturing, and service contracts.

For FFY 2016-18 (October 1, 2015 through September 30, 2018) MaineDOT has established an annual DBE participation goal of **2.0%** to be achieved through race/gender neutral means. This goal has been approved by the Federal Highway Administration and remains in effect through September 30, 2018. Maine DOT must meet this goal each federal fiscal year. If the goal is not met, MaineDOT must provide a justification for not meeting the goal and provide a plan to ensure the goal is met, which may include contract goals on certain projects that contractors will be required to meet.

MaineDOT asks all contractors, consultants and subcontractors to seek certified DBE firms for projects and to work to meet the determined 2.0% goal without the need to impose contract goals. DBE firms are listed on the MaineDOT website at:

<http://www.maine.gov/mdot/civilrights/dbe/>

Interested parties may view MaineDOT's DBE goal setting methodology also posted on this website. If you have questions regarding this goal or the DBE program you may contact Sherry Tompkins at the Maine Department of Transportation, Civil Rights Office by telephone at (207) 624-3066 or by e-mail at: [sherry.tompkins@maine.gov](mailto:sherry.tompkins@maine.gov)

**MaineDOT CONTRACTOR'S DBE/SUBCONTRACTOR  
PROPOSED UTILIZATION FORM**

**All Bidders must furnish this form with their bid on Bid Opening day**

**Contractor:** \_\_\_\_\_ **Telephone:** \_\_\_\_\_ **Ext** \_\_\_\_\_

**Contact Person:** \_\_\_\_\_ **Fax:** \_\_\_\_\_

**E-mail:** \_\_\_\_\_

**BID DATE:** \_\_\_\_\_

**FEDERAL PROJECT PIN #** \_\_\_\_\_ **PROJECT LOCATION:** \_\_\_\_\_

**TOTAL ANTICIPATED DBE \_\_\_\_ % PARTICIPATION FOR THIS CONTRACT**

W B E	D B E	Non DBE	Firm Name	Item Number & Description of Work	Quantity	Cost Per Unit/Item	Anticipated \$ Value
<b>Subcontractor Total &gt;</b>							
<b>DBE Total &gt;</b>							

**NOTE: THIS INFORMATION IS USED TO TRACK AND REPORT ANTICIPATED DBE PARTICIPATION IN ALL  
FEDERALLY FUNDED MAINE DOT CONTRACTS. THE ANTICIPATED DBE AMOUNT IS VOLUNTARY AND WILL  
NOT BECOME A PART OF THE CONTRACTUAL TERMS.**

Equal Opportunity Use:

Form received: \_\_\_/\_\_\_/\_\_\_ Verified by: \_\_\_\_\_

FHWA       FTA       FAA

**For a complete list of certified firms and company designation (WBE/DBE) go to  
<http://www.maine.gov/mdot>**

Rev. 05/13

**Maine Department of Transportation Civil Rights Office**

**Directory of Certified Disadvantaged Business Enterprises**

**Listing can be found at:**

<http://www.maine.gov/mdot/civilrights/dbe.htm>

**For additional information and guidance contact:**

**Civil Rights Office at (207) 624-3066**

***It is the responsibility of the Contractor to access the DBE Directory at this site in order to have the most current listing.***

### **Vendor Registration**

Prospective Bidders must register as a vendor with the Department of Administrative & Financial Services if the vendor is awarded a contract. Vendors will not be able to receive payment without first being registered. Vendors/Contractors will find information and register through the following link –

<http://www.maine.gov/purchases/venbid/index.shtml>

**STATE OF MAINE DEPARTMENT OF TRANSPORTATION  
NOTICE TO CONTRACTORS**

Sealed Bids addressed to the Maine Department of Transportation, Augusta, Maine 04333 and endorsed on the wrapper "Bids for Pavement Milling, Hot Mix Asphalt Overlay, Bridge Work with Drainage and Safety Improvements in the town of Sidney and the cities of Augusta and Waterville," will be received from contractors at the Reception Desk, Maine DOT Building, Capitol Street, Augusta, Maine, until 11:00 o'clock A.M. (prevailing time) on April 27, 2016 and at that time and place publicly opened and read. Bids will be accepted from all bidders. The lowest responsive bidder must have completed, or successfully complete, a Highway Construction, Paving, or project specific prequalification to be considered for the award of this contract. We now accept electronic bids for those bid packages posted on the bidx.com website. Electronic bids do not have to be accompanied by paper bids. Please note: the Department will accept a facsimile of the bid bond; however, the original bid bond must then be received at the MDOT Contract Section within 72 hours of the bid opening. Until further notice, dual bids (one paper, one electronic) will be accepted, with the paper copy taking precedence.

Description: Maine Federal Aid Project No. NHPP-2085(400), WIN 20854.00 and NHPP-2088(200), WIN 20882.00.

Location: In Kennebec County.

Project No. NHPP-2085(400) is located on Interstate 95 Northbound beginning 0.50 miles south of the Western Avenue overpass and extending 16.61 miles northerly to Webb Road Bridge in Waterville.

Project No. NHPP-2088(200) is located on Interstate 95 Southbound beginning 0.05 mile north of the Trafton Road overpass and extending southerly 6.41 miles to 0.03miles south of the Dinsmore Road overpass.

Outline of Work: Pavement Milling, Hot Mix Asphalt Overlay, Bridge Work with Drainage and Safety Improvements and other incidental work.

For general information regarding Bidding and Contracting procedures, contact George Macdougall at (207) 624-3410. Our webpage at <http://www.maine.gov/mdot/contractors/> contains a copy of the Schedule of Items, Plan Holders List, written portions of bid amendments, drawings, bid results and an electronic form for RFI submittal. For Project-specific information fax all questions to Scott Bickford at (207) 624-3431, use electronic RFI form or email questions to [RFI-Contracts.MDOT@maine.gov](mailto:RFI-Contracts.MDOT@maine.gov), project name and identification number should be in the subject line. Questions received after 12:00 noon of Monday prior to bid date will not be answered. Bidders shall not contact any other Departmental staff for clarification of Contract provisions, and the Department will not be responsible for any interpretations so obtained. TTY users call Maine Relay 711.

Specifications and bid forms may be seen at the Maine DOT Building in Augusta, Maine and at the Department of Transportation's Regional Office in Augusta. They may be purchased from the Department between the hours of 8:00 a.m. to 4:30 p.m. by cash, credit card (Visa/MasterCard) or check payable to Treasurer, State of Maine sent to Maine Department of Transportation, Attn.: Mailroom, 16 State House Station, Augusta, Maine 04333-0016. They also may be purchased by telephone at (207) 624-3536 between the hours of 8:00 a.m. to 4:30 p.m. Bid Book \$10 (\$13 by mail), payment in advance, all non-refundable.

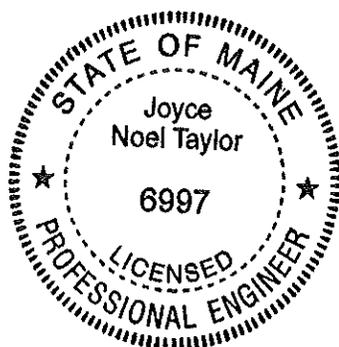
Each Bid must be made upon blank forms provided by the Department and must be accompanied by a bid bond at 5% of the bid amount or an official bank check, cashier's check, certified check, certificate of deposit, or United States postal money order in the amount of **\$250,000** payable to Treasurer, State of Maine as a Bid guarantee. A Contract Performance Surety Bond and a Contract Payment Surety Bond, each in the amount of 100 percent of the Contract price, will be required of the successful Bidder.

This Contract is subject to all applicable Federal Laws. This contract is subject to compliance with the Disadvantaged Business Enterprise program requirements as set forth by the Maine Department of Transportation.

All work shall be governed by "State of Maine, Department of Transportation, Standard Specifications, November 2014 Edition", price \$10 [\$15 by mail], and Standard Details, November 2014 Edition, price \$10 [\$15 by mail]. They also may be purchased by telephone at (207) 624-3536 between the hours of 8:00 a.m. to 4:30 p.m. Standard Detail updates can be found at <http://www.maine.gov/mdot/contractors/publications/>.

The right is hereby reserved to the Maine DOT to reject any or all bids.

Augusta, Maine  
April 13, 2016



  
JOYCE NOEL TAYLOR P. E.  
CHIEF ENGINEER

# NOTICE

All bids for Federal Projects **shall** be accompanied by the DBE Proposed Utilization form. If you are submitting an electronic bid, the DBE Utilization Form may be faxed to 207-624-3431. Failure to submit the form with the bid will be considered a curable defect.

**SPECIAL PROVISION 102.7.3  
ACKNOWLEDGMENT OF BID AMENDMENTS**

With this form, the Bidder acknowledges its responsibility to check for all Amendments to the Bid Package. For each Project under Advertisement, Amendments are located at <http://www.maine.gov/mdot/contractors/> . It is the responsibility of the Bidder to determine if there are Amendments to the Project, to download them, to incorporate them into their Bid Package, and to reference the Amendment number and the date on the form below. The Maine DOT will not post Bid Amendments any later than noon the day before Bid opening without individually notifying all the planholders.

Amendment Number	Date

The Contractor, for itself, its successors and assigns, hereby acknowledges that it has received all of the above referenced Amendments to the Bid Package.

**CONTRACTOR**

\_\_\_\_\_ Date

\_\_\_\_\_ Signature of authorized representative

\_\_\_\_\_ (Name and Title Printed)

Maine Department of Transportation

Proposal Schedule of Items

Proposal ID: 020854.00

Project(s): 020854.00, 020882.00

SECTION: 1 HIGHWAY ITEMS

Alt Set ID: Alt Mbr ID:

Contractor: \_\_\_\_\_

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0010	202.20 REMOVING BITUMINOUS CONCRETE PAVEMENT	3,750.000 SY	_____	 _____	_____	 _____
0020	202.202 REMOVING PAVEMENT SURFACE	605,000.000 SY	_____	 _____	_____	 _____
0030	202.205 RUMBLE STRIPS - SHOULDER	231,500.000 LF	_____	 _____	_____	 _____
0040	304.10 AGGREGATE SUBBASE COURSE - GRAVEL	2,400.000 CY	_____	 _____	_____	 _____
0050	403.208 HOT MIX ASPHALT 12.5 MM HMA SURFACE	3,150.000 T	_____	 _____	_____	 _____
0060	403.2081 12.5 MM POLYMER MODIFIED HOT MIX ASPHALT	38,000.000 T	_____	 _____	_____	 _____
0070	403.211 HOT MIX ASPHALT (SHIMMING)	11,000.000 T	_____	 _____	_____	 _____
0080	403.213 HOT MIX ASPHALT 12.5 MM BASE	3,225.000 T	_____	 _____	_____	 _____
0090	403.2131 12.5 MM POLYMER MODIFIED HMA BASE	17,150.000 T	_____	 _____	_____	 _____
0100	409.15 BITUMINOUS TACK COAT - APPLIED	50,000.000 G	_____	 _____	_____	 _____
0110	410.151 EMULSIFIED ASPHALT SEALCOAT, APPLIED	182,000.000 SY	_____	 _____	_____	 _____

Maine Department of Transportation

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Alt Set ID: Alt Mbr ID:

Contractor: \_\_\_\_\_

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0120	424.3331 ASPHALT LOW MODULUS CRACK SEALER, APPLIED	51,500.000 LB	_____	 _____	_____	 _____
0130	424.3333 LOW MODULUS JOINT SEALER, APPLIED	156,000.000 LF	_____	 _____	_____	 _____
0140	603.165 15 INCH REINFORCED CONCRETE PIPE CLASS III	104.000 LF	_____	 _____	_____	 _____
0150	603.175 18 INCH REINFORCED CONCRETE PIPE CLASS III	136.000 LF	_____	 _____	_____	 _____
0160	603.195 24 INCH REINFORCED CONCRETE PIPE CLASS III	3,120.000 LF	_____	 _____	_____	 _____
0170	603.55 CONCRETE PIPE TIES	335.000 GP	_____	 _____	_____	 _____
0180	603.7424 REMOVE & RELAY 24 INCH CONCRETE PIPE	124.000 LF	_____	 _____	_____	 _____
0190	604.167 CHANGE CATCH BASIN GRATE TO CASCADE	1.000 EA	_____	 _____	_____	 _____
0200	606.178 GUARDRAIL BEAM	1,050.000 LF	_____	 _____	_____	 _____
0210	606.24 GUARDRAIL TYPE 3D - SINGLE RAIL	1,012.500 LF	_____	 _____	_____	 _____
0220	606.265 TERMINAL END - SINGLE RAIL - GALVANIZED STEEL	15.000 EA	_____	 _____	_____	 _____

Maine Department of Transportation

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Alt Set ID: Alt Mbr ID:

Contractor: \_\_\_\_\_

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0230	606.3521 LINEAR DELINEATION SYSTEM PANEL	700.000 EA	_____	 _____	_____	 _____
0240	606.353 REFLECTORIZED FLEXIBLE GUARDRAIL MARKER	170.000 EA	_____	 _____	_____	 _____
0250	606.356 UNDERDRAIN DELINEATOR POST	165.000 EA	_____	 _____	_____	 _____
0260	606.362 GUARDRAIL ADJUSTED	1,000.000 LF	_____	 _____	_____	 _____
0270	606.363 GUARDRAIL REMOVE AND DISPOSE	75.000 LF	_____	 _____	_____	 _____
0280	606.367 REPLACE UNUSABLE EXISTING GUARDRAIL POSTS	30.000 EA	_____	 _____	_____	 _____
0290	606.65 GUARDRAIL THRIE BEAM - SINGLE RAIL	250.000 LF	_____	 _____	_____	 _____
0300	606.70 TRANSITION SECTION THRIE BEAM	4.000 EA	_____	 _____	_____	 _____
0310	606.79 GUARDRAIL 350 FLARED TERMINAL	3.000 EA	_____	 _____	_____	 _____
0320	610.08 PLAIN RIPRAP	100.000 CY	_____	 _____	_____	 _____
0330	613.319 EROSION CONTROL BLANKET	4,100.000 SY	_____	 _____	_____	 _____
0340	615.07 LOAM	125.000 CY	_____	 _____	_____	 _____

Maine Department of Transportation

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Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0350	618.14 SEEDING METHOD NUMBER 2	1,800.000 UN	_____	 _____	_____	 _____
0360	619.12 MULCH	1,800.000 UN	_____	 _____	_____	 _____
0370	619.14 EROSION CONTROL MIX	75.000 CY	_____	 _____	_____	 _____
0380	620.58 EROSION CONTROL GEOTEXTILE	175.000 SY	_____	 _____	_____	 _____
0390	627.18 12 " SOLID WHITE PAVEMENT MARKING	9,400.000 LF	_____	 _____	_____	 _____
0400	627.30 GROOVING FOR PAVEMENT MARKING	165,000.000 SF	_____	 _____	_____	 _____
0410	627.306 6" WHITE OR YELLOW HIGH-BUILD WET REFLECTIVE PAVEMENT MARKINGS	361,000.000 LF	_____	 _____	_____	 _____
0420	627.744 6" WHITE OR YELLOW PAINTED PAVEMENT MARKING LINE	44,000.000 LF	_____	 _____	_____	 _____
0430	627.78 TEMPORARY 4 INCH PAINTED PAVEMENT MARKING LINE, WHITE OR YELLOW	165,000.000 LF	_____	 _____	_____	 _____
0440	627.781 TEMPORARY 6 INCH PAINTED PAVEMENT MARKING LINE, WHITE OR YELLOW	955,000.000 LF	_____	 _____	_____	 _____
0450	629.05 HAND LABOR, STRAIGHT TIME	125.000 HR	_____	 _____	_____	 _____

Maine Department of Transportation

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Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0460	631.12 ALL PURPOSE EXCAVATOR (INCLUDING OPERATOR)	400.000 HR	_____	 _____	_____	 _____
0470	631.122 MINI ALL-PURPOSE EXCAVATOR (INCLUDING OPERATOR)	40.000 HR	_____	 _____	_____	 _____
0480	631.13 BULLDOZER (INCLUDING OPERATOR)	50.000 HR	_____	 _____	_____	 _____
0490	631.133 SKID STEER (INCLUDING OPERATOR)	25.000 HR	_____	 _____	_____	 _____
0500	631.14 GRADER (INCLUDING OPERATOR)	60.000 HR	_____	 _____	_____	 _____
0510	631.172 TRUCK - LARGE (INCLUDING OPERATOR)	980.000 HR	_____	 _____	_____	 _____
0520	631.18 CHAIN SAW RENTAL (INCLUDING OPERATOR)	25.000 HR	_____	 _____	_____	 _____
0530	631.22 FRONT END LOADER (INCLUDING OPERATOR)	140.000 HR	_____	 _____	_____	 _____
0540	631.29 ROTOTILLER (INCLUDING OPERATOR)	35.000 HR	_____	 _____	_____	 _____
0550	639.18 FIELD OFFICE TYPE A	1.000 EA	_____	 _____	_____	 _____
0560	643.87 WEIGH-IN-MOTION SYSTEM	2.000 EA	_____	 _____	_____	 _____

Maine Department of Transportation

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Alt Set ID: Alt Mbr ID:

Contractor: \_\_\_\_\_

Proposal Line Number	Item ID Description	Approximate Quantity and Units	Unit Price		Bid Amount	
			Dollars	Cents	Dollars	Cents
0570	645.306 FLEXIBLE REFLECTORIZED DELINEATOR	275.000 EA	_____	 _____	_____	 _____
0580	652.30 FLASHING ARROW BOARD	6.000 EA	_____	 _____	_____	 _____
0590	652.33 DRUM	300.000 EA	_____	 _____	_____	 _____
0600	652.34 CONE	980.000 EA	_____	 _____	_____	 _____
0610	652.35 CONSTRUCTION SIGNS	7,100.000 SF	_____	 _____	_____	 _____
0620	652.36 MAINTENANCE OF TRAFFIC CONTROL DEVICES	222.000 CD	_____	 _____	_____	 _____
0630	652.38 FLAGGER	510.000 HR	_____	 _____	_____	 _____
0640	652.41 PORTABLE CHANGEABLE MESSAGE SIGN	9.000 EA	_____	 _____	_____	 _____
0650	652.45 AUTOMATED TRAILER MOUNTED SPEED LIMIT SIGN	4.000 UN	_____	 _____	_____	 _____
0660	656.75 TEMPORARY SOIL EROSION AND WATER POLLUTION CONTROL	LUMP SUM	LUMP SUM	 _____	_____	 _____
0670	659.10 MOBILIZATION	LUMP SUM	LUMP SUM	 _____	_____	 _____
Section: 1			Total:	 _____	_____	 _____
			Total Bid:	 _____	_____	 _____

## **CONTRACT AGREEMENT, OFFER & AWARD**

AGREEMENT made on the date last signed below, by and between the State of Maine, acting through and by its Department of Transportation (Department), an agency of state government with its principal administrative offices located at Child Street, Augusta, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-0016, and

\_\_\_\_\_ a corporation or other legal entity organized under the laws of the State of \_\_\_\_\_, with its principal place of business located at \_\_\_\_\_

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

### **A. The Work.**

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, **WINS 20854.00 & 20882.00, for the Pavement Milling, Hot Mix Asphalt Overlay with Drainage and Safety Improvements in the town of Sidney and the cities of Augusta and Waterville, County of Kennebec, Maine.** The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

### **B. Time.**

The Contractor agrees to complete all Work, except warranty work, on or before **December 23, 2016.** Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard Specifications, November 2014 Edition and related Special Provisions.

**C. Price.**

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is \_\_\_\_\_

\$\_\_\_\_\_ Performance Bond and Payment Bond each being 100% of the amount of this Contract.

**D. Contract.**

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, November 2014 Edition, Standard Details November 2014 Edition as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

**E. Certifications.**

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in the Federal Contract Provisions Supplement, and the Contract are still complete and accurate as of the date of this Agreement.
2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.
3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

**F. Offer.**

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications November 2014 Edition, Standard Details November 2014 Edition as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of: **WINS 20854.00 & 20882.00, for the Pavement Milling, Hot Mix Asphalt Overlay with Drainage and Safety Improvements in the town of Sidney and the cities of Augusta and Waterville, County of Kennebec,** State of Maine, on which bids will be received until the time specified in the “Notice to Contractors” do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached “Schedule of Items”.

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached “Schedule of Items” in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached “Schedule of Items”, which may be ordered by the Resident, and to accept as full compensation the amount determined upon a “Force Account” basis as provided in the Standard Specifications, November 2014 Edition, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier’s check, certificate of deposit or U. S. Postal Money Order in the amount given in the “Notice to Contractors”, payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work as stated in Section 107.2 of the Standard Specifications November 2014 Edition and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor’s Disadvantaged Business Enterprise Utilization Plan with their bid.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

CONTRACTOR

\_\_\_\_\_

Date

\_\_\_\_\_  
(Signature of Legally Authorized Representative  
of the Contractor)

\_\_\_\_\_

Witness

\_\_\_\_\_  
(Name and Title Printed)

**G. Award.**

Your offer is hereby accepted.  
documents referenced herein.

This award consummates the Contract, and the

MAINE DEPARTMENT OF TRANSPORTATION

\_\_\_\_\_

Date

\_\_\_\_\_  
By: David Bernhardt, Commissioner

\_\_\_\_\_

Witness

## CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine, acting through and by its Department of Transportation (Department), an agency of state government with its principal administrative offices located at Child Street, Augusta, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-0016, and

\_\_\_\_\_ a corporation or other legal entity organized under the laws of the State of \_\_\_\_\_, with its principal place of business located at \_\_\_\_\_

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

### **A. The Work.**

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, **WINS 20854.00 & 20882.00, for the Pavement Milling, Hot Mix Asphalt Overlay with Drainage and Safety Improvements in the town of Sidney and the cities of Augusta and Waterville, County of Kennebec, Maine.** The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

### **B. Time.**

The Contractor agrees to complete all Work, except warranty work, on or before **December 23, 2016.** Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard Specifications, November 2014 Edition and related Special Provisions.

**C. Price.**

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is \_\_\_\_\_

\$\_\_\_\_\_ Performance Bond and Payment Bond each being 100% of the amount of this Contract.

**D. Contract.**

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, November 2014 Edition, Standard Details November 2014 Edition as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

**E. Certifications.**

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in the Federal Contract Provisions Supplement, and the Contract are still complete and accurate as of the date of this Agreement.
2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.
3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

**F. Offer.**

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications November 2014 Edition, Standard Details November 2014 Edition as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of: **WINS 20854.00 & 20882.00, for the Pavement Milling, Hot Mix Asphalt Overlay with Drainage and Safety Improvements in the town of Sidney and the cities of Augusta and Waterville, County of Kennebec,** State of Maine, on which bids will be received until the time specified in the “Notice to Contractors” do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached “Schedule of Items”.

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached “Schedule of Items” in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached “Schedule of Items”, which may be ordered by the Resident, and to accept as full compensation the amount determined upon a “Force Account” basis as provided in the Standard Specifications, November 2014 Edition, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier’s check, certificate of deposit or U. S. Postal Money Order in the amount given in the “Notice to Contractors”, payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work as stated in Section 107.2 of the Standard Specifications November 2014 Edition and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor’s Disadvantaged Business Enterprise Utilization Plan with their bid.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

CONTRACTOR

\_\_\_\_\_

Date

\_\_\_\_\_  
(Signature of Legally Authorized Representative  
of the Contractor)

\_\_\_\_\_

Witness

\_\_\_\_\_  
(Name and Title Printed)

**G. Award.**

Your offer is hereby accepted.  
documents referenced herein.

This award consummates the Contract, and the

MAINE DEPARTMENT OF TRANSPORTATION

\_\_\_\_\_

Date

\_\_\_\_\_  
By: David Bernhardt, Commissioner

\_\_\_\_\_

Witness

## CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine, acting through and by its Department of Transportation (Department), an agency of state government with its principal administrative offices located at Child Street Augusta, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-0016, and (Name of the firm bidding the job) a corporation or other legal entity organized under the laws of the State of Maine, with its principal place of business located at (address of the firm bidding the job)

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

**A. The Work.**

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, PIN No. 1224.00, for the Hot Mix Asphalt Overlay in the town/city of South Nowhere, County of Washington, Maine. The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

**B. Time.**

The Contractor agrees to complete all Work, except warranty work, on or before November 15, 2006. Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard Specifications, November 2014 Edition and related Special Provisions.

**C. Price.**

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is           (Place bid here in alphabetical form such as One Hundred and Two dollars and 10 cents)            
\$ (repeat bid here in numerical terms, such as \$102.10) Performance Bond and Payment Bond each being 100% of the amount of this Contract.

**D. Contract.**

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, November 2014 Edition, Standard Details November 2014 Edition, Supplemental Specifications, Special Provisions, Contract Agreement, and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

**E. Certifications.**

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in Appendix A to Division 100 of the Standard Specifications November 2014 Edition (Federal Contract Provisions Supplement), and the Contract are still complete and accurate as of the date of this Agreement.
2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.
3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

**F. Offer.**

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications, November 2014 Edition, Standard Details November 2014 Edition, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of:

**PIN 1234.00 South Nowhere, Hot Mix Asphalt Overlay**,

State of Maine, on which bids will be received until the time specified in the "Notice to Contractors" do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached "Schedule of Items".

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached "Schedule of Items" in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached "Schedule of Items", which may be ordered by the Resident, and to accept as full compensation the amount determined upon a "Force Account" basis as provided in the Standard Specifications, November 2014 Edition, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier's check, certificate of deposit or U. S. Postal Money Order in the amount given in the "Notice to Contractors", payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work as stated in Section 107.2 of the Standard Specifications November 2014 Edition and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan with their bid.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

\_\_\_\_\_  
Date

\_\_\_\_\_  
**(Witness Sign Here)**  
Witness

\_\_\_\_\_  
**(Sign Here)**  
(Signature of Legally Authorized Representative of the Contractor)

\_\_\_\_\_  
**(Print Name Here)**  
(Name and Title Printed)

CONTRACTOR

**G. Award.**

Your offer is hereby accepted. documents referenced herein.

This award consummates the Contract, and the

MAINE DEPARTMENT OF TRANSPORTATION

\_\_\_\_\_  
Date

\_\_\_\_\_  
By: David Bernhardt, Commissioner

\_\_\_\_\_  
(Witness)

STATE OF MAINE  
DEPARTMENT OF TRANSPORTATION



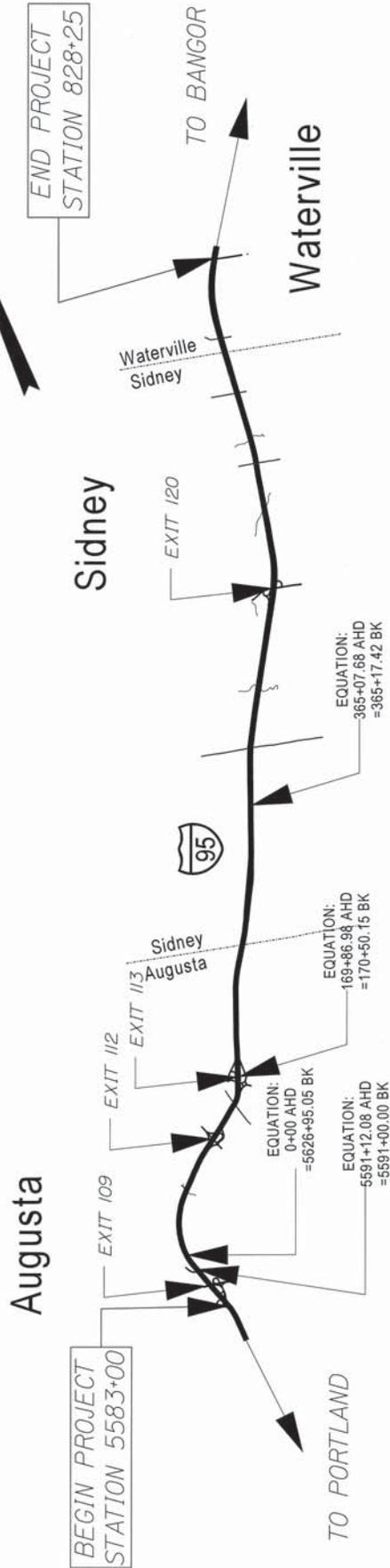
**AUGUSTA TO WATERVILLE**

KENNEBEC COUNTY

INTERSTATE 95 NORTHBOUND

**NHPP-2085(400)**

PROJECT LENGTH : 16.53 MILES



**TRAFFIC DATA**

	SEC. 1	SEC. 2	SEC. 3	SEC. 4	SEC. 5
Current (2016) AADT	14540	18380	25310	36250	34000
Future (2036) AADT	15990	20220	27840	39880	37400
DIV - % of AADT	14%	11%	13%	11%	9%
% Single Lane (Vehicles)	23%	23%	37%	45%	34%
% Heavy Trucks (DHV)	12%	7%	7%	5%	6%
Directional Distribution (DHV)	100%	100%	100%	100%	100%
18 kip Equivalent P 2.0	2249	1451	1639	1773	2174
18 kip Equivalent P 2.5	2142	1382	1562	1689	2071
Foreign Spikes (mph)	35	35	35	35	35
Principal Arterial Interstate	1	1	1	1	1
Corridor Priority	1	1	1	1	1

<b>PROJECT LOCATION:</b>	BEGINNING 0.50 MILES SOUTH OF THE WESTERN AVENUE OVERPASS AND EXTENDING 16.53 MILES NORTHERLY TO WEBB ROAD BRIDGE.
<b>PROGRAM AREA:</b>	HIGHWAY PROGRAM
<b>SCOPE OF WORK:</b>	MILL & FILL WITH DRAINAGE & SAFETY IMPROVEMENTS

STATE OF MAINE DEPARTMENT OF TRANSPORTATION	APPROVED	DATE	4/11/17
COMMISSIONER	4/8/16	P.E. NUMBER	
CHIEF ENGINEER		DATE	

PROJECT INFORMATION	PROJECT NUMBER	1
PROJECT MANAGER	SHEET NUMBER	1
DESIGNER		
CONTRACTOR		
PROJECT RECENT		
PROJECT COMPLETION DATE		

TITLE SHEET

AUGUSTA TO WATERVILLE  
INTERSTATE 95 NORTHBOUND

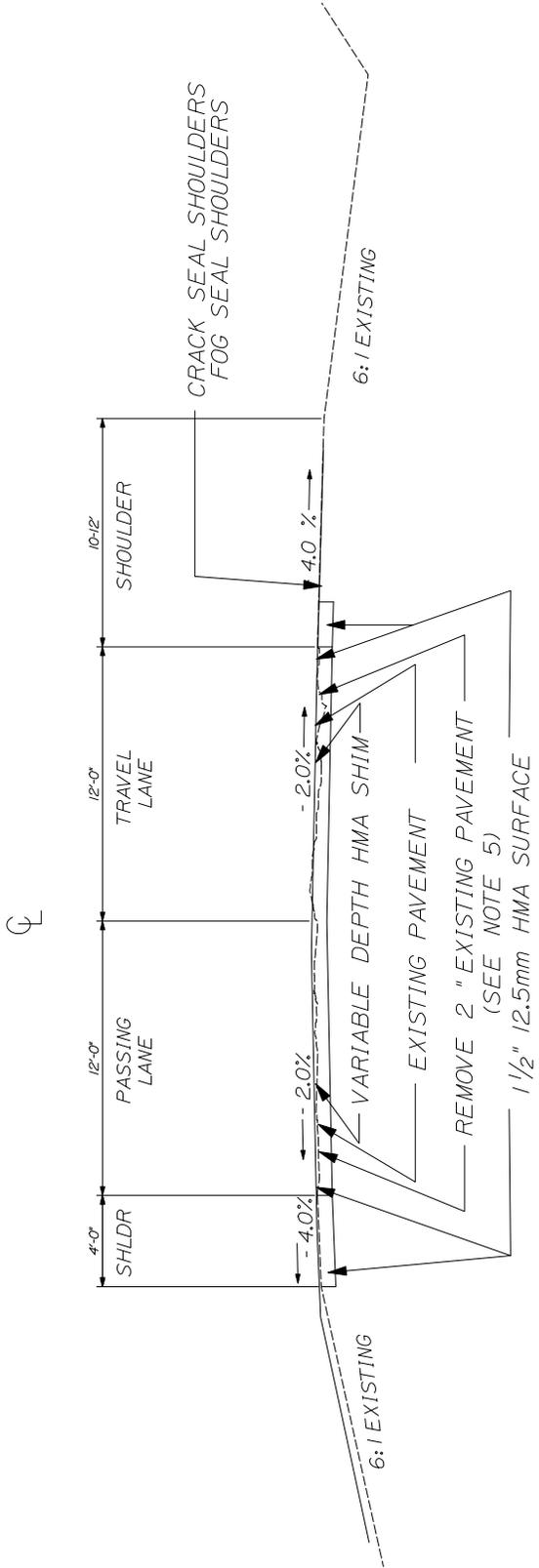
WIN 20854.00 NHPP-2085(400)

**NOTE:**

1. THE PAVEMENT, BASE AND SUBBASE DEPTHS AS SHOWN ON THE PLANS ARE INTENDED TO BE NOMINAL.
2. WHEN SUPERELEVATION EXCEEDS THE SLOPE OF THE LOW SIDE SHOULDER, THE LOW SIDE SHOULDER SHALL HAVE THE SAME SLOPE AS THE TRAVELWAY.
3. CROWNS FOR BOTH NORMAL AND SUPERELEVATION SECTIONS FOR ALL COURSES OF SUBBASE AND PAVEMENT SHALL BE STRAIGHT.
4. VARIABLE DEPTH SHIM IS TO BE PLACED IF DELAMINATION OCCURS DURING MILLING OPERATIONS OR AS DIRECTED BY THE RESIDENT.
5. CONTRACTOR SHALL MILL OUT RUMBLE STRIP ON RIGHT SHOULDER AS DIRECTED, PRESENT CONDITIONS EXHIBIT A SHOULDER BREAK. LEFT SHOULDER ALSO HAS A SHOULDER BREAK.

MILL & FILL 2" +/-

1 1/2" HOT MIX ASPHALT PAVEMENT WITH VARIABLE DEPTH SHIM

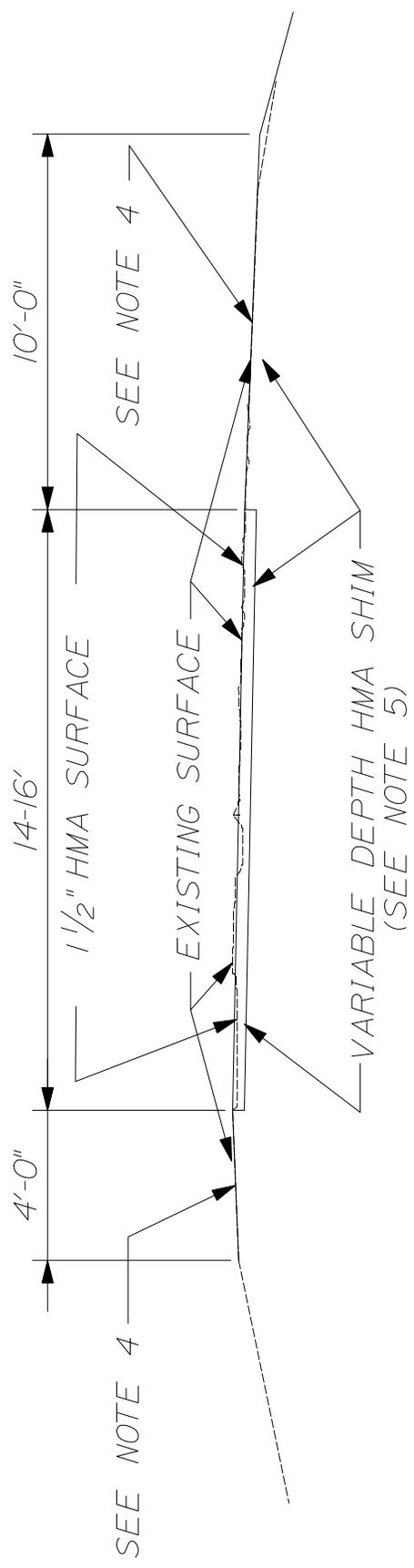


NOT TO SCALE

**NOTE:**

1. THE PAVEMENT, BASE AND SUBBASE DEPTHS AS SHOWN ON THE PLANS ARE INTENDED TO BE NOMINAL.
2. WHEN SUPERELEVATION EXCEEDS THE SLOPE OF THE LOW SIDE SHOULDER, THE LOW SIDE SHOULDER SHALL HAVE THE SAME SLOPE AS THE TRAVELWAY.
3. WIDEN EXISTING SHOULDERS AS LISTED IN THE CONSTRUCTION NOTES OR AS DIRECTED BY THE RESIDENT.
4. THE SHOULDERS SHALL HAVE ITEM 400.51 APPLIED.
5. VARIABLE DEPTH SHIM IS TO BE PLACED IF DELAMINATION OCCURS DURING MILLING OPERATIONS OR AS DIRECTED BY THE RESIDENT.
6. INTERFERENCES BETWEEN EXISTING MAINLINE PAVEMENTS SHALL BE DETERMINED IN THE FIELD BY THE RESIDENT.
7. SEE CONSTRUCTION NOTES FOR STATIONS ON NON-TYPICAL WIDTHS.

RAMPS  
MILL & FILL  
MAINLINE ONLY



STATE OF MAINE DEPARTMENT OF TRANSPORTATION	HMP-2086(400)	WM 20864.00 HIGHWAY PLANS
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SIGNATURE	DATE
P.E. NUMBER	

PROJ. NUMBER	SHAWN SMITH	BY	DATE
CHECKED/REVISED			
DESIGNED/DATE			
REVISIONS 1			
REVISIONS 2			
REVISIONS 3			
REVISIONS 4			
FIELD CHANGES			

AUGUSTA TO WATERVILLE  
INTERSTATE 95 NORTHBOUND  
TYPICAL SECTIONS

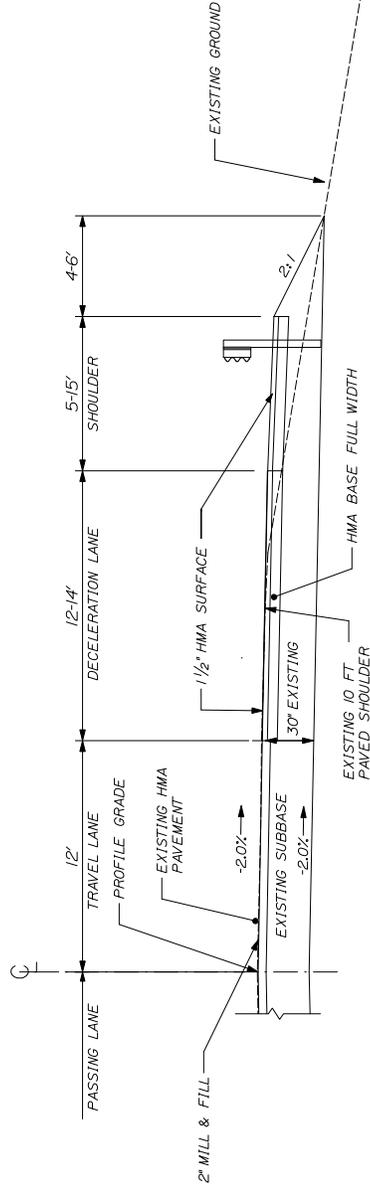
SHEET NUMBER  
**2**  
OF 4

NOT TO SCALE

# RAMP RECONSTRUCTION/WIDENING

EXIT 120 OFF RAMP - SIDNEY  
 BEGIN TAPER 551+80  
 END TAPER 554+80  
 FULL LANE WIDTH 554+80-560+00

SCOPE:  
 REMOVE EXISTING PAVEMENT FROM SHOULDER AND  
 DECELERATION LANE, SALVAGE LOAM FROM INSLOPE  
 BACKSLOPE, ADD NEW SUBBASE, GRAVEL AND FILL  
 AS REQUIRED, PLACE NEW HMA OVER DECELERATION  
 LANE AND SHOULDER



## FULL CONSTRUCTION NORMAL SECTION

### SHEET SPECIFIC NOTES:

1. THE EXISTING TAPER DECELERATION LANE FOR THE INTERCHANGE LISTED ABOVE WILL BE RECONSTRUCTED AS PARALLEL TAPERS TO MEET CURRENT DESIGN CRITERIA.
2. THE GENERAL SCOPE OF WORK WILL ENCOMPASS CONSTRUCTING A 12-14 FT WIDE DECELERATION LANE WITH AN 5-15 FT SHOULDER 520 FT IN LENGTH WITH A 300 FT TAPER (25%) AT THE END OF THE DECELERATION LANE.
3. IN GENERAL, THE OVERALL WIDTH OF THE ROADWAY IN THIS AREA WILL BE 6 FT WIDER THAN THE EXISTING TEMPLATE.
4. THE TYPICAL SHOWN ABOVE IS AN APPROXIMATION OF THE WORK THAT WILL BE REQUIRED. EXISTING FIELD CONDITIONS AND THE DEVELOPMENT OF THE DESIGN SHALL DICTATE TEMPLATE CHANGES.
5. ADDITIONAL PAVEMENT SHALL BE REMOVED FROM INSIDE RADIUS OF SHOULDER AT A MINIMUM DEPTH OF 1 1/2". ITEM 403.208I SHALL THEN BE PLACED. ACTUAL MILLING WIDTHS AND DEPTHS SHALL BE DETERMINED IN THE FIELD BY THE RESIDENT.

NOT TO SCALE

STATE OF MAINE DEPARTMENT OF TRANSPORTATION	NHP-2086(400)	20864.00 HIGHWAY PLANS
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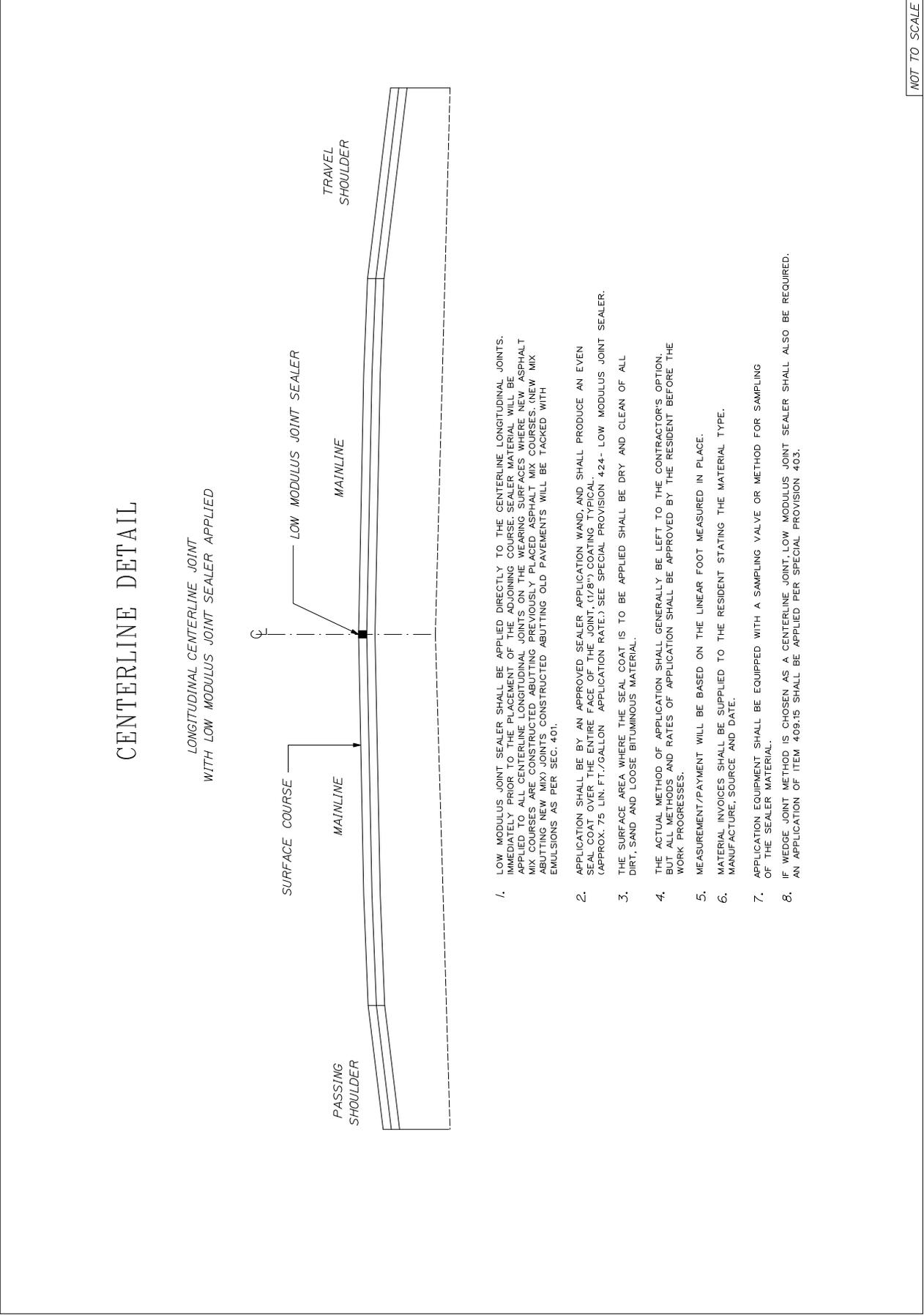
SIGNATURE	DATE
BY	DATE
SHAWN SMITH	

PROJ. NUMBER	84000000
CHECKED BY	
DESIGNED BY	
DATE	
REVISED	
REVISIONS	
REVISIONS 1	
REVISIONS 2	
REVISIONS 3	
REVISIONS 4	
FIELD CHANGES	

SHEET NUMBER	3
OF	4

INTERSTATE 95 NORTHBOUND  
 AUGUSTA TO WATERVILLE  
 TYPICAL SECTIONS

PROJ. NUMBER	SHAWN SMITH	BY	DATE
CHECKED-REVIEWED			
DESIGN-DATE			
DESIGN-REVISIONS			
REVISIONS 1			
REVISIONS 2			
REVISIONS 3			
REVISIONS 4			
FIELD CHANGES			
DATE			
P.E. NUMBER			
SIGNATURE			



NOT TO SCALE

1. LOW MODULUS JOINT SEALER SHALL BE APPLIED DIRECTLY TO THE CENTERLINE LONGITUDINAL JOINTS, IMMEDIATELY PRIOR TO THE PLACEMENT OF THE ADJOINING COURSE. SEALER MATERIAL WILL BE APPLIED TO ALL CENTERLINE LONGITUDINAL JOINTS ON THE WEARING SURFACES WHERE NEW ASPHALT MIX COURSES ARE CONSTRUCTED ABUTTING PREVIOUSLY PLACED ASPHALT MIX COURSES. (NEW MIX ABUTTING NEW MIX) JOINTS CONSTRUCTED ABUTTING OLD PAVEMENTS WILL BE TACKED WITH EMULSIONS AS PER SEC. 401.
2. APPLICATION SHALL BE BY AN APPROVED SEALER APPLICATION WAND, AND SHALL PRODUCE AN EVEN SEAL COAT OVER THE ENTIRE FACE OF THE JOINT. (1/8") COATING TYPICAL. (APPROX. 75 LIN. FT./GALLON APPLICATION RATE.) SEE SPECIAL PROVISION 424- LOW MODULUS JOINT SEALER.
3. THE SURFACE AREA WHERE THE SEAL COAT IS TO BE APPLIED SHALL BE DRY AND CLEAN OF ALL DIRT, SAND AND LOOSE BITUMINOUS MATERIAL.
4. THE ACTUAL METHOD OF APPLICATION SHALL GENERALLY BE LEFT TO THE CONTRACTOR'S OPTION, BUT ALL METHODS AND RATES OF APPLICATION SHALL BE APPROVED BY THE RESIDENT BEFORE THE WORK PROGRESSES.
5. MEASUREMENT/PAYMENT WILL BE BASED ON THE LINEAR FOOT MEASURED IN PLACE.
6. MATERIAL INVOICES SHALL BE SUPPLIED TO THE RESIDENT STATING THE MATERIAL TYPE, MANUFACTURE, SOURCE AND DATE.
7. APPLICATION EQUIPMENT SHALL BE EQUIPPED WITH A SAMPLING VALVE OR METHOD FOR SAMPLING OF THE SEALER MATERIAL.
8. IF WEDGE JOINT METHOD IS CHOSEN AS A CENTERLINE JOINT, LOW MODULUS JOINT SEALER SHALL ALSO BE REQUIRED. AN APPLICATION OF ITEM 409.15 SHALL BE APPLIED PER SPECIAL PROVISION 403.

## STATIONING

	478+55	Mile Marker 119
Dinsmore Road	430+56.36	Overpass
Equation	365+17.42 BK = 365+07.68 AHD	Equation +9.74'
	273+60	Sidney Town Line Sign
	177+75	Max Speed Sign
Equation	170+50.15 BK = 169+86.98 AHD	Equation +63.17'
Route 3	159+75	Overpass
Old Belgrade Road	146+07	Overpass
Civic Center Drive	114+14	Bridge Joint
Civic Center Drive	112+54	Bridge Joint
Bond Brook Bridge	69+54	Bridge Joint
Bond Brook Bridge	65+34	Bridge Joint
Equation	5626+95.05 BK = 0+00.00 AHD	Equation +562629.05'
Old Winthrop Road	5623+98	Overpass
Western Avenue	5609+71	Overpass
NB/SB Ramps	5596+60	Overpass
Equation	5591+00.00 BK =5591+12.08 AHD	Equation -12.80
Begin Project	5583+50	Begin Project

**STATIONING**

End Project	828+25	End Project
Webb Road Bridge	828+25	Bridge Joint
Webb Road Bridge	826+75	Bridge Joint
Trafton Road	763+78.85	Overpass
Town Farm Road	718+19.54	Overpass
Drummond Road	660+13.62	Overpass
	584+07	Mile Marker 121
Lyons Road	559+12.24	Overpass

## RAMP STATIONING

### **Exit 109 Northbound Off Western Avenue to Augusta Off Ramp**

STA. 0+00 = STA. 5583+50 I-95 NB      STA. 20+50 = Limits of Work

### **Exit 109A Southbound Off Western Avenue to Winthrop Off Ramp**

STA. 34+90 = Bridge Joint  
STA. 36+97 = Bridge Joint  
STA. 49+05 = Bridge Joint  
STA. 50+90 = Bridge Joint  
STA. 61+18 = Tip of Island on Western Avenue

### **Exit 109 On Western Avenue from Augusta heading Southbound MTA On Ramp**

STA. 61+27 = Tip of Island on Western Avenue  
STA. 50+90 = Bridge Joint  
STA. 49+05 = Bridge Joint  
STA. 36+97 = Bridge Joint  
STA. 34+90 = Bridge Joint

### **Exit 109 On Western Avenue from Winthrop heading Northbound On Ramp**

STA. 54+30 = Bridge Joint      STA. 27+80 = 5612+46 I-95 NB

### **Exit 112A Off Civic Center Drive to Augusta Off Ramp**

STA. 0+00 = STA. 97+00 I-95 NB      STA. 16+35 = Gutter of Civic Center Drive

### **Exit 112B Off Civic Center Drive to Belgrade Off Ramp**

STA. 0+00 = STA. 115+65 I-95 NB      STA. 16+80 = Gutter of Civic Center Drive

## RAMP STATIONING

### **Exit 112B On Civic Center Drive On Ramp**

STA. 0+00 = Gutter of Civic Center Drive    STA. 28+70 = STA. 138+18 I-95 NB

### **Exit 113 Off Route 3 Off Ramp**

STA. 0+00 = STA. 120+05 I-95 NB                      STA. 27+60 = Limits of Work

### **Existing Exit 120 Off Lyons Road Off Ramp**

STA. 0+00 = STA. 560+00 I-95 NB                      STA. 12+20 = Gutter of Lyons Road

### **Proposed Exit 120 Off Lyons Road Off Ramp**

STA. 0+00 = STA. 551+80 I-95 NB                      STA. 20+40 = Gutter of Lyons Road

### **Exit 120 On Lyons Road On Ramp**

STA. 0+00 = Gutter of Lyons Road                      STA. 17+00 = STA. 574+00 I-95 NB

## Construction Notes

### Item 202.20 Removing Bituminous Concrete Pavement

#### Mainline (Western Avenue Overpass)

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>Depth</u>	<u>Remarks</u>
5609+30	-	5611+80	8" +/-	24' +/- Travel Lanes Only
5609+30	-	5611+80	5" +/-	9' +/- Left Shoulder
5609+30	-	5611+80	5" +/-	15' +/- Right Shoulder

#### Exit 120 Off Ramp

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>Depth</u>	<u>Remarks</u>
551+80	-	554+80	6" +/-	8' +/- Right Shoulder
554+80	-	560+00	9" +/-	20- +/- Existing Deceleration Lane Right Shoulder

**Item shall include full removal of Macadam mix.**

### Item 202.202 Removing Pavement Surface

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>Depth</u>	<u>Remarks</u>
5584+90	-	5591+00	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
5591+12	-	5593+00	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
5593+00	-	5597+30	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
5597+30	-	5605+75	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR

## Construction Notes

### Item 202.202 Removing Pavement Surface (Continued)

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>Depth</u>	<u>Remarks</u>
5605+75	-	5611+00	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
5611+00	-	5618+50	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
5618+50	-	5626+95	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
0+00	-	61+00	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
61+00	-	65+34	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
65+34	-	69+54	1 ½"	Bridge Deck Full Width 38' +/-
69+54	-	97+00	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
97+00	-	99+00	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
99+00	-	107+50	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
107+50	-	112+54	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
114+14	-	120+50	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
120+50	-	142+70	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
142+70	-	146+80	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR

### Construction Notes

**Item 202.202 Removing Pavement Surface (Continued)**

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>Mainline Depth</u>	<u>Remarks</u>
146+80	-	170+50	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
	Equation			
169+87	-	208+10	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
208+10	-	214+50	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
214+50	-	223+50	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
223+50	-	280+50	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
280+50	-	288+75	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
288+75	-	301+00	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
301+00	-	324+75	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
324+75	-	337+00	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
337+00	-	365+17	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
	Equation			
365+08	-	427+25	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
427+25	-	431+25	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR

## Construction Notes

### Item 202.202 Removing Pavement Surface (Continued)

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>Mainline Depth</u>	<u>Remarks</u>
431+25	-	475+25	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
475+25	-	481+25	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
481+25	-	556+30	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
556+30	-	559+90	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
559+90	-	620+50	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
620+50	-	623+65	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
623+65	-	656+30	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
656+30	-	660+90	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
660+90	-	677+00	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
677+00	-	688+50	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
688+50	-	714+00	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
714+00	-	719+00	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR

## Construction Notes

### Item 202.202 Removing Pavement Surface (Continued)

<b>Mainline</b>				
<u>Station</u>	<u>to</u>	<u>Station</u>	<u>Depth</u>	<u>Remarks</u>
719+00	-	760+30	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
760+30	-	764+40	2"	32.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
764+40	-	826+75	2"	30.5' +/- LT SHDR/Travel Lanes/2.5 RT SHDR
826+75	-	828+25	1 ½"	Bridge Deck Full Width 38' +/-

### **Ramps**

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>SY</u>	<u>Depth</u>	<u>Remarks</u>
<b>Exit 109 Northbound Off Western Avenue to Augusta</b>					
0+00		20+50+/-	3190+/-	1 ½"	Travel Lane
<b>Exit 109A Southbound Off Western Avenue to Winthrop</b>					
34+90		36+97+/-	900+/-	1 ½"	Bridge Deck mill full width
36+97		49+05+/-	1700+/-	1 ½"	Travel Lane
<b>Exit 109A Southbound Off Western Avenue to Winthrop</b>					
49+05		50+90+/-	800+/-	1 ½"	Bridge Deck mill full width
50+90		61+18+/-	1850+/-	1 ½"	Travel Lane
<b>Exit 109 On Western Avenue from Augusta heading Southbound MTA</b>					
61+27		50+90+/-	1850+/-	1 ½"	Travel Lane
50+90		49+05+/-		1 ½"	Bridge Deck mill full width

## Construction Notes

### Item 202.202 Removing Pavement Surface (Continued)

#### Ramps

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>SY</u>	<u>Depth</u>	<u>Remarks</u>
<b>Exit 109 On Western Avenue from Augusta heading Southbound MTA (Continued)</b>					
49+05		36+97+/-	1750+/-	1 ½”	Travel Lane
36+97		34+90+/-		1 ½”	Bridge Deck mill full width
<b>Exit 109 On Western Avenue from Winthrop heading Northbound</b>					
54+30		64+20+/-	1850+/-	1 ½”	Travel Lane
<b>Exit 112A Off Civic Center Drive to Augusta</b>					
0+00		14+67+/-	2300+/-	1 ½”	mill Travel Lane
14+67		16+35 +/-	560+/-	1 ½”	mill full width
<b>Exit 112B Off Civic Center Drive to Belgrade</b>					
0+00		16+80 +/-	2700+/-	1 ½”	mill Travel Lane
<b>Exit 112B On Civic Center Drive</b>					
0+00		28+70 +/- includes spurs	4500+/-	1 ½”	mill Travel Lane
<b>Exit 113 Off Route 3</b>					
0+00		27+60 +/-	3700+/-	1 ½”	mill Travel Lane
<b>Exit 120 Off Lyons Road</b>					
0+00		12+20+/-	1900+/-	1 ½”	mill Travel Lane
<b>Exit 120 On Lyons Road</b>					
0+00		17+00+/-	2700+/-	1 ½”	mill Travel Lane

## Construction Notes

### Item 202.202 Removing Pavement Surface (Continued)

#### Shoulder (Full Width)

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>Width</u>	<u>Remarks</u>
420+00	-	431+00	10'	Remove 3" +/- from 10' shoulder

#### Left Shoulder (Outside of 4' shoulder section – non guardrail) Approx. 2' wide

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>Depth</u>	<u>Remarks</u>
161+00	-	170+50	5"+/-	Full Depth
169+87	-	208+10	5"+/-	Full Depth
214+50	-	223+50	5"+/-	Full Depth
280+50	-	288+75	5"+/-	Full Depth
301+00	-	324+75	5"+/-	Full Depth
337+00	-	365+17	5"+/-	Full Depth
365+08	-	375+10	5"+/-	Full Depth
375+55	-	379+85	5"+/-	Full Depth
380+25	-	427+25	5"+/-	Full Depth
431+25	-	475+25	5"+/-	Full Depth
481+25	-	542+20	5"+/-	Full Depth
542+50	-	556+30	5"+/-	Full Depth
559+90	-	595+00	5"+/-	Full Depth
595+50	-	620+50	5"+/-	Full Depth
623+65	-	656+30	5"+/-	Full Depth
660+90	-	677+00	5"+/-	Full Depth
688+50	-	714+00	5"+/-	Full Depth
719+00	-	760+30	5"+/-	Full Depth
764+40	-	801+70	5"+/-	Full Depth
802+20	-	806+50	5"+/-	Full Depth
807+00	-	823+00	5"+/-	Full Depth

Contractor will remove pavement to maintain existing cross slopes or as directed by the Resident. Mark downs shall be in place on Mainline prior to commencing milling operations. Contractor will establish centerline control points prior to removal of existing centerline. See Typical for ramps. Shoulder areas listed above shall have all pavement, outside of four feet milled into existing ground and may be incorporated into the in slope. Areas listed above shall be paid Item 202.202 once only. Incorporation into the surrounding slope shall be paid using the appropriate contract items.

## Construction Notes

### **Item 202.202 Removing Pavement Surface (Continued)**

**Above locations are estimates only and may be changed by the Resident.  
Above Ramps may be changed to an overlay at the Residents discretion.  
Shoulder areas shall be milled as directed.**

The Department will retain 7500 Yd<sup>3</sup> of the millings/grindings for the Contract. The Contractor shall deliver and stockpile the millings/grindings at the MaineDOT Maintenance Lot on the Lyons Road in Sidney, unless otherwise directed by the Resident. The Payment for delivery and stockpiling will be incidental to Item 202.202. Stockpiling shall include all equipment, personnel, and all other necessary incidentals required to construct stockpiles as per normal construction practices.

### **Item 304.10 Aggregate Subbase Course Gravel**

Deceleration lane at Exit 120, including shoulder widening for guardrail items.

### **Item 410.151 Emulsified Asphalt Sealcoat Applied**

To be applied to the 4 & 10' shoulders the entire length of project and as directed. Item will be applied after Item 202.205 Rumble Strips – Shoulder has been completed. It is the intent to seal the rumble strip areas. Shoulders that are not resurfaced on ramps will be seal coated.

### **Item 424.3331 Low Modulus Crack Sealer**

Item will be used to treat centerline, longitudinal cracks on mainline, including other areas, as determined and directed by the Resident. Item shall be applied prior to Items 403\*. Item shall be used on 10' shoulders entire length of project, ramps, and as directed.

### **Item 424.3333 Low Modulus Joint Sealer, Applied**

Centerline longitudinal joint entire project. See Special Provision 403.

### **Item 606.356 Underdrain Delineator Post**

Item shall be installed at all culverts 24" and smaller or as directed by the Resident. One post is to be installed at each approach to bridges left & right. Post shall be mounted using approved hardware to the second post from the concrete end post. Top of post will be 8' above ground level. No additional payment to be made for this work.

## Construction Notes

### **Item 606.24 Guardrail Type 3d – Single Rail**

<b><u>Station</u></b>	<b><u>to</u></b>	<b><u>Station</u></b>	<b><u>Length</u></b>
554+14	-	558+14 Right	100.0
559+19	-	559+69 Right	50.0

### **Item 606.65 Guardrail Thrie Beam – Single Rail**

<b><u>Station</u></b>	<b><u>to</u></b>	<b><u>Station</u></b>	<b><u>Length</u></b>
558+20	-	559+12.5 Right	112.5

### **Item 606.70 Transition Section Thrie Beam**

<b><u>Station</u></b>	<b><u>to</u></b>	<b><u>Station</u></b>	<b><u>Quantity</u></b>
558+14	-	558+20 Right	1
559+12.5	-	559+19 Right	1

### **Item 606.79 Guardrail 350 Flared Terminal**

<b><u>Station</u></b>	<b><u>to</u></b>	<b><u>Station</u></b>
556+76.5	-	557+14 Right

### **Item 629.05 Hand Labor, Straight Time**

This Item to be used for plumbing existing delineator posts, cleaning culverts, clearing, cleaning winter sand from guardrail areas and paved areas under overpasses, and other tasks as directed.

### **631 Items**

These items estimated for removing excess material from in-slopes, in-slopes behind guardrail areas, ditching, shoulder repair areas listed below, and other work as directed. All equipment used for certain operations will be as directed by the Resident.

81+50 Right	223+78 Right
263+25 Right	313+21 Right
528+75 Right	681+00 Right
787+80 Right	

**DRAINAGE**

**WIN 20854.00 Augusta to Waterville Interstate 95 Northbound**

STATION	SIZE (inches)	REMOVE (l.f.)	RELAY (l.f.)	INSTALL NEW (l.f.)	RIPRAP *(c.y.)	*PIPE TIES	REMARKS
5586+00 RT	18"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
5590+50 RT	18"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
5590+65 RT	CB	Grate					Remove existing grate/Inspect/Install new grate.
5623+50 RT	24"	10' CMP		16' RCP		2	Remove 10' CMP. Replace 16' RCP.
2+56 RT	24"	20' CMP		24' RCP		2	Remove 20' CMP. Replace 24' RCP.
13+15 RT	15"	12' CMP					Remove 12' CMP/Inspect.
17+00 RT	15"	10' CMP					Remove 10' CMP/Inspect.
21+44 RT	24"	30' CMP		32'RCP		2	Remove 30' CMP. Replace 32' RCP.
25+26 RT	15"	20' CMP		24' RCP		2	Remove 20' CMP. Replace 24' RCP.
27+00 RT	24"	20' CMP		24' RCP		2	Remove 20' CMP. Replace 24' RCP.
37+00 RT	24"	30' CMP		32' RCP		2	Remove 30' CMP. Replace 32' RCP.
41+00 RT	15"	30' CMP		32' RCP		2	Remove 30' CMP. Replace 32' RCP.
45+00 RT	15"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
61+00 RT	6"						Underdrain Outlet. Could not locate. Skewed ahead.
73+00 RT	15"	20' CMP		16' RCP	4.00	2	Remove 20' CMP. Replace 16' RCP.
75+50 RT	6"						Underdrain Outlet. Could not locate. Skewed back.
91+00 RT	6"						Underdrain Outlet. Could not locate. Skewed back.
98+76 RT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
109+70 RT	15"	18' CMP		16' RCP	2.00	2	Remove 18' CMP. Replace 16' RCP.
154+00 LT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
157+50 RT	24"	40' CMP		40' RCP		2	Remove 40' CMP. Replace 40' RCP.
166+50 LT	18"						Could not locate.
166+50 RT	18"						Could not locate.

\* Estimate only • Metal End Wall = MEW \*Length of ditching estimate only

**DRAINAGE**

**WIN 20854.00 Augusta to Waterville Interstate 95 Northbound**

STATION	SIZE (inches)	REMOVE (l.f.)	RELAY (l.f.)	INSTALL NEW (l.f.)	RIPRAP *(c.y.)	*PIPE TIES	REMARKS
178+10 LT	18"	26' CMP		24' RCP		2	Remove 26' CMP. Replace 24' RCP.
217+50 LT	24"	22' CMP		24' RCP		2	Remove 22' CMP. Replace 24' RCP.
223+78 LT	24"	22' CMP		24' RCP		2	Remove 22' CMP. Replace 24' RCP.
230+56 RT	24"	22' CMP		24' RCP		2	Remove 22' CMP. Replace 24' RCP.
239+00 RT	24"	22' CMP		24' RCP		2	Remove 22' CMP. Replace 24' RCP.
239+00 LT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
244+07 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
244+07 LT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
255+00 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
260+92 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
260+92 LT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
263+22 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
263+22 LT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
271+85 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
271+85 LT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
286+00 RT	24"	14' CMP		16' RCP		2	Remove 14' CMP. Replace 16' RCP.
290+00 RT	24"	14' CMP		16' RCP		2	Remove 14' CMP. Replace 16' RCP.
290+00 LT	24"	26' CMP		24' RCP		2	Remove 26' CMP. Replace 24' RCP.
301+67 RT	24"	14' CMP		16' RCP		2	Remove 14' CMP. Replace 16' RCP.
301+67 LT	24"	4' CMP			2.50		Remove 4' CMP. Rip Rap. Ditch 20' Mini APE.
310+41 RT	24"	14' CMP		16' RCP		2	Remove 14' CMP. Replace 16' RCP.
310+41 LT	24"	26' CMP		24' RCP	2.00	2	Remove 26' CMP. Replace 24' RCP.
316+75 RT	24"	14' CMP		16' RCP		2	Remove 14' CMP. Replace 16' RCP.
330+50 RT	24"	20' CMP		24' RCP		2	Remove 20' CMP. Replace 24' RCP.
338+50 RT	24"	14' CMP		16' RCP		2	Remove 14' CMP. Replace 16' RCP.
338+50 LT	24"	26' CMP		24' RCP		2	Remove 26' CMP. Replace 24' RCP. Cut Trees.

\* Estimate only • Metal End Wall = MEW \*Length of ditching estimate only

**DRAINAGE**

**WIN 20854.00 Augusta to Waterville Interstate 95 Northbound**

STATION	SIZE (inches)	REMOVE (l.f.)	RELAY (l.f.)	INSTALL NEW (l.f.)	RIPRAP *(c.y.)	*PIPE TIES	REMARKS
351+50 RT	24"	32' RCP	32' RCP			2	Remove/Reset 32' RCP.
351+50 LT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
365+07 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
365+07 LT	24"	24' RCP	24' RCP			2	Remove/Reset 24' RCP.
402+00 RT	24"	28' CMP		32' RCP		2	Remove 28' CMP. Replace 32' RCP.
417+00 LT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
421+50 LT	24"	28' CMP		24' RCP		2	Remove 28' CMP. Replace 24' RCP.
442+00 LT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
469+00 RT	24"	22' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
469+00 LT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
494+30 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
494+30 LT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
501+00 RT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
501+00 LT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
508+20 RT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
516+00 RT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
516+00 LT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
530+48 RT	24"						Could Not Locate.
530+48 LT	24"						Could Not Locate.
539+00 RT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
539+00 LT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
551+25 RT	24"	22' CMP		24' RCP		2	Remove 22' CMP. Replace 24' RCP.
555+00 RT	24"	22' CMP		24' RCP		2	Remove 22' CMP. Replace 24' RCP.
560+40 RT	24"	22' CMP		24' RCP		2	Remove 22' CMP. Replace 24' RCP.
572+30 RT	24"	22' CMP		24' RCP		2	Remove 22' CMP. Replace 24' RCP.
572+30 LT	24"	18' CMP		16' RCP		2	Remove 18' CMP. Replace 16' RCP.

\* Estimate only • Metal End Wall = MEW \*Length of ditching estimate only

**DRAINAGE**

**WIN 20854.00 Augusta to Waterville Interstate 95 Northbound**

STATION	SIZE (inches)	REMOVE (l.f.)	RELAY (l.f.)	INSTALL NEW (l.f.)	RIPRAP *(c.y.)	*PIPE TIES	REMARKS
576+45 RT	24"	32' CMP		32' RCP		2	Remove 32' CMP. Replace 32' RCP.
576+45 LT	24"	18' CMP		16' RCP		2	Remove 18' CMP. Replace 16' RCP.
594+00 RT	24"	28' CMP		24' RCP		2	Remove 28' CMP. Replace 24' RCP.
599+00 RT	24"	28' CMP		24' RCP		2	Remove 28' CMP. Replace 24' RCP.
599+00 LT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
607+00 RT	24"	28' CMP		24' RCP		2	Remove 28' CMP. Replace 24' RCP.
616+87 RT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
616+87 LT	24"	20' CMP		24' RCP		2	Remove 20' CMP. Replace 24' RCP.
629+50 RT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
629+50 LT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
637+22 RT	24"	18' CMP		24' RCP		2	Remove 18' CMP. Replace 24' RCP.
637+22 LT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
645+70 RT	24"	26' CMP		24' RCP		2	Remove 26' CMP. Replace 24' RCP.
645+70 LT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
649+90 RT	24"	22' CMP		24' RCP		2	Remove 22' CMP. Replace 24' RCP.
649+90 LT	24"	18' CMP		24' RCP		2	Remove 18' CMP. Replace 24' RCP.
654+00 RT	24"						Could Not Locate.
657+00 RT	24"	24' CMP		24' RCP		2	Remove 24' CMP. Replace 24' RCP.
657+00 LT	24"	14' CMP		16' RCP		2	Remove 14' CMP. Replace 16' RCP.
669+04 RT	24"	48' CMP		48' RCP		2	Remove 48' CMP. Replace 48' RCP.
695+43 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
695+43 LT	24"	8' CMP		8' RCP		2	Remove 8' CMP. Replace 8' RCP.
698+34 RT	24"	40' CMP		32' RCP		2	Remove 40' CMP. Replace 32' RCP.
698+34 LT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
705+00 RT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
705+00 LT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.

\* Estimate only • Metal End Wall = MEW \*Length of ditching estimate only

**DRAINAGE**

**WIN 20854.00 Augusta to Waterville Interstate 95 Northbound**

STATION	SIZE (inches)	REMOVE (l.f.)	RELAY (l.f.)	INSTALL NEW (l.f.)	RIPRAP *(c.y.)	*PIPE TIES	REMARKS
712+70 RT	24"	20' CMP		16' RCP		2	Remove 20' CMP. Replace 16' RCP.
712+70 LT	24"	12' RCP-	12' RCP	-		2	Remove and reset 12' RCP.
726+10 RT	24"	22' CMP		24' RCP		3	Remove 22' CMP. Replace 24' RCP.
731+03 RT	24"	24' CMP		24' RCP		3	Remove 24' CMP. Replace 24' RCP.
731+03 LT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
737+18 RT	24"	24' CMP		24' RCP		3	Remove 24' CMP. Replace 24' RCP.
737+18 LT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
746+65 RT	24"	24' CMP		24' RCP		3	Remove 24' CMP. Replace 24' RCP.
746+65 LT	24"	16' CMP-		24' RCP			Remove 16' CMP. Replace 24' RCP..
757+50 RT	24"	18' CMP		16' RCP		2	Remove 18' CMP. Replace 16' RCP.
757+50 LT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
761+58 RT	24"	20' CMP		24' RCP		3	Remove 20' CMP. Replace 24' RCP.
767+65 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
767+65 LT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
771+25 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
779+50 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP..
779+50 LT	24"						Ditch 15'.
793+00 LT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
804+20 RT	24"	32' CMP		32' RCP		4	Remove 32' CMP. Replace 32' RCP. Pipe collapsed.
811+50 RT	24"	36' CMP		32' RCP		2	Remove 36' CMP. Replace 32' RCP.
819+85 RT	18"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
819+85 LT	18"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
822+50 RT	18"	32' CMP		32' RCP		4	Remove 32' CMP. Replace 32' RCP.
822+50 LT	18"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.

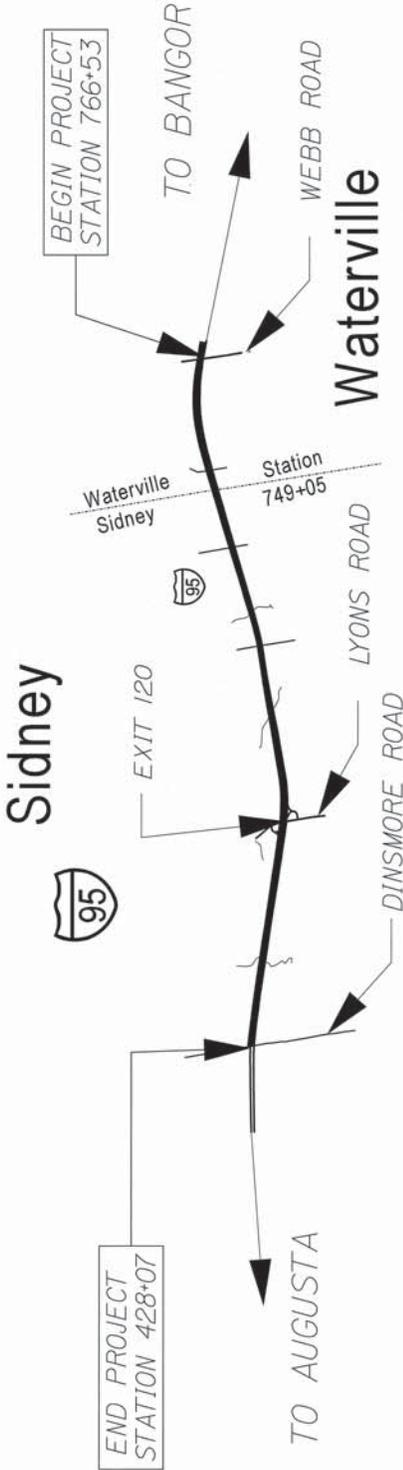
\* Estimate only • Metal End Wall = MEW \*Length of ditching estimate only

STATE OF MAINE  
DEPARTMENT OF TRANSPORTATION



**WATERVILLE TO SIDNEY**

KENNEBEC COUNTY  
INTERSTATE 95 SOUTHBOUND  
NHPP-2088(200)  
PROJECT LENGTH : 6.41 MILES



TRAFFIC DATA	
SEC. 1	SEC. 2
(Data for Waterville to Sidney)	(Data for Waterville to Sidney)
Current (2016) AADT	14670
Future (2036) AADT	16140
DIV - % of AADT	12%
Design Hour Volume (DHV)	1937
% Heavy Trucks (DHV)	6%
Directional Distribution (DHV)	100%
18 kip Equivalent F 2.0	1641
18 kip Equivalent F 2.5	1563
Design Speed (mph)	55
Postage Class	35
Corridor Priority	1

<b>PROJECT LOCATION:</b>	BEGINNING 0.05 MILE NORTH OF THE TRAFTON ROAD OVERPASS AND EXTENDING SOUTHERLY 6.41 MILES TO 0.03 MILES SOUTH OF THE DINSMORE ROAD OVERPASS.
<b>PROGRAM AREA:</b>	HIGHWAY PROGRAM
<b>SCOPE OF WORK:</b>	REHABILITATION: MILL & FILL WITH DRAINAGE & SAFETY IMPROVEMENTS

DATE	APPROVED
DATE	COMMISSIONER
DATE	CHIEF ENGINEER

SIGNATURE	SHAWN SMITH
PROJECT INFORMATION	
PROGRAM	
PROJECT MANAGER	
DESIGNER	
CONSULTANT	
CONTRACT RESORT	
CONTRACTOR	
PROJECT COMPLETION DATE	
DATE	11/17

PROJECT INFORMATION	
PROGRAM	
PROJECT MANAGER	
DESIGNER	
CONSULTANT	
CONTRACT RESORT	
CONTRACTOR	
PROJECT COMPLETION DATE	
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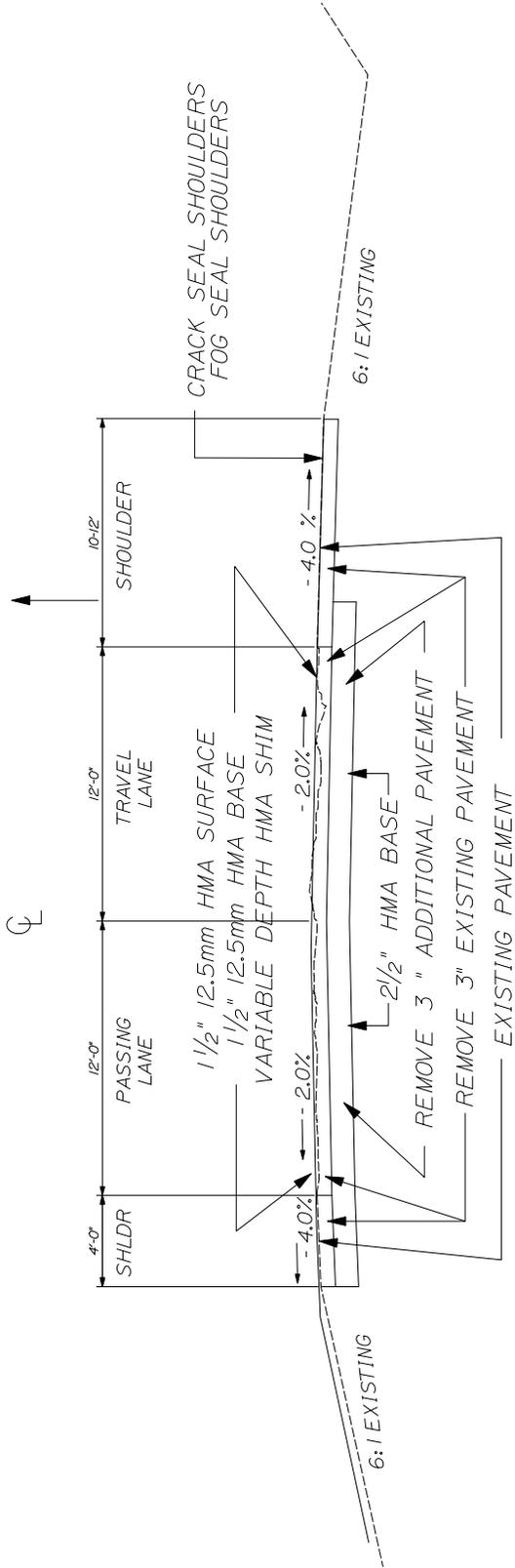
PROJECT INFORMATION	
PROGRAM	
PROJECT MANAGER	
DESIGNER	
CONSULTANT	
CONTRACT RESORT	
CONTRACTOR	
PROJECT COMPLETION DATE	
DATE	11/17

# MILL & FILL

HOT MIX ASPHALT PAVEMENT WITH VARIABLE DEPTH SHIM  
SEE CONSTRUCTION NOTES FOR STATIONING

**NOTE:**

1. THE PAVEMENT BASE AND SUBBASE DEPTHS AS SHOWN ON THE PLANS ARE INTENDED TO BE NOMINAL.
2. WHEN SUPERELEVATION EXCEEDS THE SLOPE OF THE LOW SIDE SHOULDER, THE LOW SIDE SHOULDER SHALL HAVE THE SAME SLOPE AS THE TRAVELWAY.
3. CROWNS FOR BOTH NORMAL AND SUPERELEVATION SECTIONS FOR ALL COURSES OF SUBBASE AND PAVEMENT SHALL BE STRAIGHT.
4. VARIABLE DEPTH SHIM IS TO BE PLACED IF DELAMINATION OCCURS DURING MILLING OPERATIONS OR AS DIRECTED BY THE RESIDENT.
5. CONTRACTOR SHALL MILL OUT RUMBLE STRIP ON RIGHT SHOULDER AS DIRECTED, PRESENT CONDITIONS EXHIBIT A SHOULDER BREAK. LEFT SHOULDER ALSO HAS A SHOULDER BREAK.



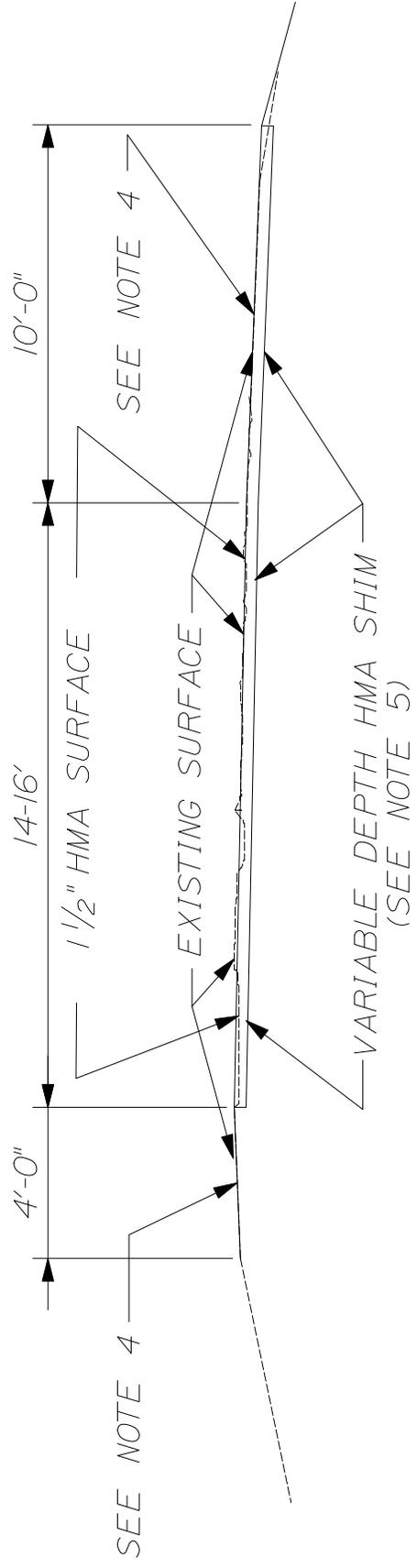
STATE OF MAINE DEPARTMENT OF TRANSPORTATION HMP-2088(200)		TYPICAL SECTIONS INTERSTATE 95 SOUTHBOUND		SHEET NUMBER <b>1</b>	OF 4
HIGHWAY PLANS 20882.00		DATE		PROJ. NUMBER	
SIGNATURE		BY		DATE	
P.E. NUMBER		REVISIONS 1		CHECKED/REVISED	
		REVISIONS 2		DESIGNED/DATE	
		REVISIONS 3		DRAWN	
		REVISIONS 4		FIELD CHANGES	

NOT TO SCALE

**NOTE:**

1. THE PAVEMENT, BASE AND SUBBASE DEPTHS AS SHOWN ON THE PLANS ARE INTENDED TO BE NOMINAL.
2. WHEN SUPERELEVATION EXCEEDS THE SLOPE OF THE LOW SIDE SHOULDER, THE LOW SIDE SHOULDER SHALL HAVE THE SAME SLOPE AS THE TRAVELWAY.
3. WIDEN EXISTING SHOULDERS AS LISTED IN THE CONSTRUCTION NOTES OR AS DIRECTED BY THE RESIDENT.
4. THE 4 FOOT SHOULDERS SHALL HAVE ITEM 401.51 APPLIED.
5. VARIABLE DEPTH SHIM IS TO BE PLACED IF DELAMINATION OCCURS DURING MILLING OPERATIONS OR AS DIRECTED BY THE RESIDENT.
6. INTERFERENCES BETWEEN EXISTING MAINLINE PAVEMENTS SHALL BE DETERMINED IN THE FIELD BY THE RESIDENT.
7. SEE CONSTRUCTION NOTES FOR STATIONS ON NON-TYPICAL WIDTHS.

RAMPS  
MILL & FILL  
MAINLINE ONLY



STATE OF MAINE DEPARTMENT OF TRANSPORTATION	HMP-2088(200)	20882.00 HIGHWAY PLANS
--	---------------	---------------------------

SIGNATURE	DATE
P.E. NUMBER	
DATE	

PROJ. NUMBER	SHAWN SMITH	BY	DATE
CHECKED/REVISED			
DESIGNED/DATE			
REVISIONS 1			
REVISIONS 2			
REVISIONS 3			
REVISIONS 4			
FIELD CHANGES			

TYPICAL SECTIONS  
WATERVILLE TO SIDNEY  
INTERSTATE 95 SOUTHBOUND

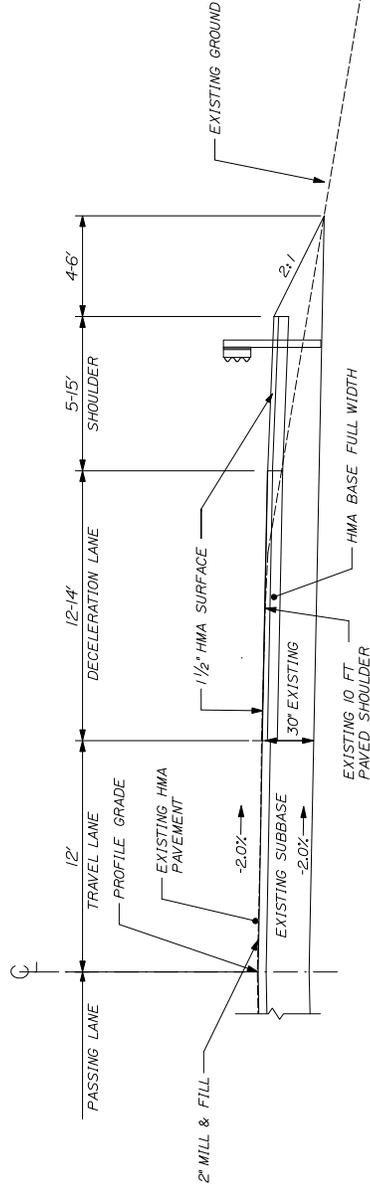
SHEET NUMBER  
2  
OF 4

NOT TO SCALE

# RAMP RECONSTRUCTION/WIDENING

EXIT 120 OFF RAMP - SIDNEY  
 BEGIN TAPER 564+40  
 END TAPER 561+40  
 FULL LANE WIDTH 561+40-556+20

SCOPE:  
 REMOVE EXISTING PAVEMENT FROM SHOULDER AND  
 DECELERATION LANE, SALVAGE LOAM FROM INSLOPE  
 BACKSLOPE, ADD NEW SUBBASE, GRAVEL AND FILL  
 AS REQUIRED, PLACE NEW HMA OVER DECELERATION  
 LANE AND SHOULDER



## FULL CONSTRUCTION NORMAL SECTION

- SHEET SPECIFIC NOTES:
1. THE EXISTING TAPER DECELERATION LANE FOR THE INTERCHANGE LISTED ABOVE WILL BE RECONSTRUCTED AS PARALLEL TAPERS TO MEET CURRENT DESIGN CRITERIA.
  2. THE GENERAL SCOPE OF WORK WILL ENCOMPASS CONSTRUCTING A 12-14 FT WIDE DECELERATION LANE WITH AN 5-15 FT SHOULDER 520 FT IN LENGTH WITH A 300 FT TAPER (25:1) AT THE END OF THE DECELERATION LANE.
  3. IN GENERAL, THE OVERALL WIDTH OF THE ROADWAY IN THIS AREA WILL BE 6 FT WIDER THAN THE EXISTING TEMPLATE.
  4. THE TYPICAL SHOWN ABOVE IS AN APPROXIMATION OF THE WORK THAT WILL BE REQUIRED. EXISTING FIELD CONDITIONS AND THE DEVELOPMENT OF THE DESIGN SHALL DICTATE TEMPLATE CHANGES.
  5. ADDITIONAL PAVEMENT SHALL BE REMOVED FROM INSIDE RADIUS OF SHOULDER AT A MINIMUM DEPTH OF 1 1/2". ITEM 403.208I SHALL THEN BE PLACED. ACTUAL MILLING WIDTHS AND DEPTHS SHALL BE DETERMINED IN THE FIELD BY THE RESIDENT.

STATE OF MAINE  
 DEPARTMENT OF TRANSPORTATION  
 NHP-2088(200)  
 WIN  
 20882.00  
 HIGHWAY PLANS

PROJ. NUMBER	SHAWN SMITH	BY	DATE
CHECKED BY			
DESIGNED BY			
REVISIONS			
REVISIONS 1			
REVISIONS 2			
REVISIONS 3			
REVISIONS 4			
FIELD CHANGES			
P.E. NUMBER			
SIGNATURE			
DATE			

WATERVILLE TO SIDNEY  
 INTERSTATE 95 SOUTHBOUND  
 TYPICAL SECTIONS

SHEET NUMBER  
 3  
 OF 4  
 NOT TO SCALE



## STATIONING

End Project	428+07	End Project
Dinsmore Road	430+56.36	Overpass
	478+26	Mile Marker 119
	509+42	Max Speed Limit Sign
Lyons Road	559+12.24	Overpass
	531+04	Mile Marker 120
	583+94	Mile Marker 121
	636+44	Mile Marker 122
Drummond Road	660+13.62	Overpass
	689+10	Mile Marker 123
Town Farm Road	718+19.54	Overpass
	741+88	Mile Marker 124
	749+05	Sidney Town Line Sign
Trafton Road	763+78.85	Overpass
Begin Project	766+53	Begin Project

## RAMP STATIONING

### Existing

#### Exit 120 Off Lyons Road Off Ramp

STA. 0+00 = 558+85 SB

STA. 11+50 = Gutter Line of Lyons Road

### Proposed

#### Exit 120 Off Lyons Road Off Ramp

STA. 0+00 = 564+40 SB

STA. 17+05 = Gutter Line of Lyons Road

#### Exit 120 On Lyons Road On Ramp

STA. 0+00 = Gutter Line of Lyons Road

STA. 14+60 = 543+50 SB

### Construction Notes

#### Item 202.20 Removing Bituminous Concrete Pavement

##### Exit 120 Off Ramp

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>Depth</u>	<u>Remarks</u>
564+40	-	561+40 Left	6'+/-	8'+/- Left Shoulder
561+40	-	556+20 Left	9'+/-	20'+/- Existing Deceleration Lane Left Shoulder

#### Item 202.202 Removing Pavement Surface

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>Depth</u>	<u>Remarks</u>
766+53	-	765+53	3"	38' +/- Full Width
765+53	-	429+07	2 1/2"	30' +/- Travel Lane/Passing Lane/4' Shoulder
429+07	-	428+07	1 1/2"	38' +/- Full Width
765+53	-	558+00	3"	10' +/- 10' Shoulder
554+40	-	549+45	3"	10' +/- 10' Shoulder
543+55	-	429+07	3"	10' +/- 10' Shoulder

##### Ramps

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>SY</u>	<u>Depth</u>	<u>Remarks</u>
<b>Exit 120 Off ramp</b>					
0+00		11+50+/-	1800+/-	1 1/2"	mill full ML Only includes spurs

## Construction Notes

### Item 202.202 Removing Pavement Surface (Continued)

#### Ramps

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>SY</u>	<u>Depth</u>	<u>Remarks</u>
<b>Exit 120 On ramp</b>					
0+00		14+60+/-	2250+/-	1 ½"	mill full ML Only includes spurs

### Right Shoulder (Outside of 4' shoulder section – non guardrail) Approx. 2' wide

<u>Station</u>	<u>to</u>	<u>Station</u>	<u>Depth</u>	<u>Remarks</u>
763+00	-	723+00	5"+/-	Full Depth
717+40	-	690+25	5"+/-	Full Depth
677+20	-	672+00	5"+/-	Full Depth
658+40	-	626+00	5"+/-	Full Depth
619+30	-	594+70	5"+/-	Full Depth
594+00	-	562+30	5"+/-	Full Depth
557+25	-	542+15	5"+/-	Full Depth
541+40	-	483+00	5"+/-	Full Depth
476+50	-	433+60	5"+/-	Full Depth

Contractor will remove pavement to maintain existing cross slopes or as directed by the Resident. Mark downs shall be in place on Mainline prior to commencing milling operations. Contractor will establish centerline control points prior to removal of existing centerline. See Typical for ramps. Shoulder areas listed above shall have all pavement, outside of four feet milled into existing ground and may be incorporated into the in slope. Areas listed above shall be paid Item 202.202 once only. Incorporation into the surrounding slope shall be paid using the appropriate contract items.

**Contractor will remove 3" of existing pavement from the entire project prior to removing the extra depth in the core of the roadway. This work will be compensated.**

**Above locations are estimates only and may be changed by the Resident.**  
**Above Ramps may be changed to an overlay at the Residents discretion.**  
**Shoulder areas shall be milled as directed.**

## Construction Notes

### **Item 202.202 Removing Pavement Surface (Continued)**

The Department will retain 7500 Yd<sup>3</sup> of the millings/grindings for the Contract. The Contractor shall deliver and stockpile the millings/grindings at the MaineDOT Maintenance Lot on the Lyons Road in Sidney, unless otherwise directed by the Resident. The Payment for delivery and stockpiling will be incidental to Item 202.202. Stockpiling shall include all equipment, personnel, and all other necessary incidentals required to construct stockpiles as per normal construction practices.

### **Item 304.10 Aggregate Subbase Course Gravel**

Deceleration lane at Exit 120, including shoulder widening for guardrail items.

### **Item 403.208 Hot Mix Asphalt Surface**

Shoulders 1 ½" Mill & Fill

### **Item 403.211 Hot Mix Asphalt Shim 9.5mm**

Item to be used for a leveling course and possible de-lamination areas in the pavement removal areas, rutting areas, overlay areas, and as directed the Resident.

### **Item 403.213 Hot Mix Asphalt Base**

Item to be used on 10' shoulder and as directed by the Resident.

### **Item 410.151 Emulsified Asphalt Sealcoat Applied**

To be applied to the 4 & 10' shoulders the entire length of project and as directed. Item will be applied after Item 202.205 Rumble Strips – Shoulder has been completed. It is the intent to seal the rumble strip areas. Shoulders that are not resurfaced on ramps will be seal coated.

### **Item 424.3331 Low Modulus Crack Sealer**

Item will be used to treat centerline, longitudinal cracks on mainline, including other areas, as determined and directed by the Resident. Item shall be applied prior to Item 403. Item shall be used on shoulders of ramps, and as directed.

## Construction Notes

### **Item 424.3333 Low Modulus Joint Sealer, Applied**

Centerline longitudinal joint entire project. See Special Provision 403.

### **Item 606.356 Underdrain Delineator Post**

Item shall be installed at all culverts 24" and smaller or as directed by the Resident.

### **Item 606.24 Guardrail Type 3d – Single Rail**

<b><u>Station</u></b>	<b><u>to</u></b>	<b><u>Station</u></b>	<b><u>Length</u></b>	<b><u>Remarks</u></b>
681+31.5	-	684+31.5 Right	300.0	Connect two runs.
680+85.5	-	684+85.5 Left	400.0	Connect two runs.
561+12.5	-	560+09 Left	112.5	
558+84.5	-	558+34.5 Left	50.0	

### **Item 606.363 Guardrail Remove and Dispose**

<b><u>Station</u></b>	<b><u>to</u></b>	<b><u>Station</u></b>	<b><u>Length</u></b>	<b><u>Remarks</u></b>
680+85.5	-	681+23 Left	37.5'	Remove existing end treatment.
681+31.5	-	681+69 Right	37.5'	Remove existing end treatment.

### **Item 606.65 Guardrail Thrie Beam Single Rail**

<b><u>Station</u></b>	<b><u>to</u></b>	<b><u>Station</u></b>	<b><u>Length</u></b>
560+02.5	-	558+84 Left	112.5

### **Item 606.70 Transition Section Thrie Beam**

<b><u>Station</u></b>	<b><u>to</u></b>	<b><u>Station</u></b>	<b><u>Quantity</u></b>
558+90	-	558+84.5 Left	1
560+09	-	560+02.5 Left	1

## Construction Notes

### **Item 606.79 Guardrail 350 Flared Terminal**

<b><u>Station</u></b>	<b><u>to</u></b>	<b><u>Station</u></b>
561+59	-	561+12.5 Left

### **Item 629.05 Hand Labor, Straight Time**

This Item to be used for plumbing existing delineator posts, cleaning culverts, clearing, cleaning winter sand from guardrail areas and paved areas under overpasses, and other tasks as directed.

### **631 Items**

These items estimated for removing excess material from in-slopes, in-slopes behind guardrail areas, ditching, and other work as directed All equipment used for certain operations will be as directed by the Resident.

**DRAINAGE**

**WIN 20882.00 Waterville to Sidney Interstate 95 Southbound**

STATION	SIZE (inches)	REMOVE (l.f.)	RELAY (l.f.)	INSTALL NEW (l.f.)	RIPRAP *(c.y.)	*PIPE TIES	REMARKS
760+41 RT	24"	18' CMP		16' RCP		2	Remove 18' CMP. Replace 16' RCP.
760+41 LT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
755+50 RT	24"	20' CMP		16' RCP		2	Remove 20' CMP. Replace 16' RCP.
755+50 LT	24"	18' CMP		16' RCP		2	Remove 18' CMP. Replace 16' RCP.
745+50 RT	24"	22' CMP		24' RCP		3	Remove 22' CMP. Replace 24' RCP.
745+50 LT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
736+03 RT	24"	24' CMP		24' RCP		3	Remove 24' CMP. Replace 24' RCP.
736+03 LT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
729+85 RT	24"	24' CMP		24' RCP		3	Remove 24' CMP. Replace 24' RCP.
729+85 LT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
724+95 RT	24"	22' CMP		24' RCP		3	Remove 22' CMP. Replace 24' RCP.
724+95 LT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
712+00 RT	24"	22' CMP		24' RCP		3	Remove 22' CMP. Replace 24' RCP.
712+00 LT	24"	14' CMP		16' RCP		2	Remove 14' CMP. Replace 16' RCP.
704+00 LT	24"	18' CMP		16' RCP		2	Remove 18' CMP. Replace 16' RCP.
696+82 RT	24"	26' CMP		24' RCP		3	Remove 26' CMP. Replace 24' RCP.
694+38 RT	24"			8' RCP		1	Add 8' RCP.
648+85 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
648+85 LT	24"	12' CMP		16' RCP		2	Remove 12' CMP. Replace 16' RCP.
644+28 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
637+33 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
637+33 LT	24"	14' CMP		16' RCP		2	Remove 14' CMP. Replace 16' RCP.
627+00 RT	24"	16' CMP		16' RCP		2	Remove 16' CMP. Replace 16' RCP.
614+50 RT	24"	26' CMP		24' RCP		3	Remove 26' CMP. Replace 24' RCP.
644+28 RT	24"	22' CMP		24' RCP		3	Remove 22' CMP. Replace 24' RCP.
588+00 RT	24"	18' CMP		16' RCP		2	Remove 18' CMP. Replace 16' RCP.
588+00 LT	24"	14' CMP		16' RCP		2	Remove 14' CMP. Replace 16' RCP.
577+00 RT	24"	32' CMP		32' RCP		4	Remove 32' CMP. Replace 32' RCP.
577+00 LT	24"	14' CMP		16' RCP		2	Remove 14' CMP. Replace 16' RCP.

\* Estimate only • Metal End Wall = MEW \*Length of ditching estimate only



## **GENERAL NOTES**

1. Where deemed necessary by the Resident, winter sand (outside paved areas) shall be removed from the edges of shoulders and placed in designated areas or disposed of. Payment will be made under the appropriate hourly rental items.
2. A temporary ramp shall be constructed with HMA at the ends of the roadway section paved or milled each day. The use of millings or RAP will not allowed, but cold patch may be temporarily utilized until HMA plants are open for the season.

For Interstate Highways or roadways with speed limits exceeding 50 mph, temporary ramps shall be constructed with one foot of length for every 1/8" of transition depth on the leading end, and one foot of length for every 1/4" of transition depth on the trailing end.

For all other roadways with speed limits less than 50 mph, temporary ramps shall be constructed with one foot of length for every 1/4" of transition depth on the leading and the trailing end.

Materials, placement, maintenance, and removal shall be incidental to contract items.

3. All joints between existing and proposed hot mix asphalt shall be butted. Payment shall be made under Item 202.202.
4. Any damage to the slopes caused by the Contractor's equipment, personnel, or operation shall be repaired to the satisfaction of the Resident. All work, equipment and materials required to make repairs shall be at the Contractor's expense.
5. Any necessary cleaning of existing pavement prior to paving or milling shall be incidental to the related items.
6. All spoils, HMA & millings shall be cleaned daily from project to the satisfaction of the Resident prior to the contractor leaving for the shift.
7. As directed by the Resident, all existing Underdrain Outlets shall be located, cleaned out, and ditched as required or replaced as necessary. Payment will be made under appropriate hourly contract items.
8. All guardrail which is removed and not reused on the project shall become property of the Contractor.

## **GENERAL NOTES**

9. Holes created by Guardrail removal will be filled and compacted with approved materials as directed by the Resident. Payment to be considered incidental to the guardrail items.
10. Connections for proposed guardrail to existing guardrail will be considered incidental to Item 606.
11. "Undetermined Locations" shall be determined by the Resident.
12. Stations referenced are approximate.
13. All work shall be done in accordance with the Maine Department of Transportation's Best Management Practices for Erosion & Sedimentation Control, February, 2008.
14. Reference to left or right is in the direction of stationing which runs south to north.
15. Milling widths & depths may be adjusted by the Resident.
16. The paved gore areas between the on/off ramps and the mainline shall have an edge line of 12 inch white pavement marking line, Item 627.18, as directed by the Resident.
17. No separate payment for superintendent or foreman will be made for the supervision of work paid under equipment rental items, however supervision is required.
18. Cleaning of the pavement following rental work will be considered incidental to the rental items. Cleaning will be done daily and to the satisfaction of the Resident prior to the Contractor leaving the project for the shift.
19. Temporary erosion control blanket is estimated for use in ditching areas. When used in other areas to conform to Special Provision 656, blanket will not be measured.
20. Existing culverts and catch basins will be cleaned as directed by the Resident under the appropriate Pay Items.
21. All ditches that are regraded/excavated must receive erosion control immediately, as directed by the Resident.

## **GENERAL NOTES**

22. The following shall be incidental to the 603/604 item(s):
- Any cutting of existing culverts and or connectors necessary to install new culvert replacements or extensions
  - All pipe excavation including any cutting and removal of pavement
  - All ditching at pipe ends
  - Furnishing, placing, grading, and compacting of any new gravel and/or fill material. This also includes Granular Borrow used under pipes and for temporary detours to maintain traffic during pipe installation (excavation is also incidental).
  - Granular Borrow under the pipe shall meet the requirements for Underwater Backfill
  - All work necessary to connect to existing pipes
  - Flow lines may be changed by 0.5M [1 ½ ft]
  - Any necessary clearing of brush and small trees at culvert ends
  - An 18" wide strip of non woven geotextile meeting the requirements of Item 620.58 shall be placed over all pipe joints

General Decision Number: ME160041 01/08/2016 ME41

Superseded General Decision Number: ME20150041

State: Maine

Construction Type: Highway

County: Kennebec County in Maine.

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Note: Under Executive Order (EO) 13658, an hourly minimum wage of \$10.15 for calendar year 2016 applies to all contracts subject to the Davis-Bacon Act for which the solicitation was issued on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.15 (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2016. The EO minimum wage rate will be adjusted annually. Additional information on contractor requirements and worker protections under the EO is available at [www.dol.gov/whd/govcontracts](http://www.dol.gov/whd/govcontracts).

Modification Number	Publication Date
0	01/08/2016

\* TEAM0340-001 08/01/2013

	Rates	Fringes
TRUCK DRIVER		
Low Boy.....	\$ 14.75	17.5825
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\* SUME2011-036 09/14/2011

	Rates	Fringes
CARPENTER, Includes Form Work....	\$ 18.34	2.84
INSTALLER - GUARDRAIL.....	\$ 10.00	0.00
IRONWORKER, REINFORCING.....	\$ 18.98	0.00
LABORER: Asphalt Raker.....	\$ 14.71	2.95
LABORER: Common or General.....	\$ 12.44	1.98
LABORER: Flagger.....	\$ 9.09	0.00
LABORER: Landscape.....	\$ 14.79	1.76
LABORER: Pipelayer.....	\$ 14.40	1.87
OPERATOR: Asphalt Roller.....	\$ 18.77	7.67

OPERATOR: Backhoe.....	\$ 14.51	2.95
OPERATOR: Bobcat/Skid Steer/Skid Loader.....	\$ 16.73	5.57
OPERATOR: Bulldozer.....	\$ 16.95	1.94
OPERATOR: Cold Planer.....	\$ 17.63	0.00
OPERATOR: Crane.....	\$ 20.99	6.40
OPERATOR: Excavator.....	\$ 17.33	3.67
OPERATOR: Grader/Blade.....	\$ 18.63	3.29
OPERATOR: Loader.....	\$ 15.36	2.33
OPERATOR: Mechanic.....	\$ 19.30	7.60
OPERATOR: Milling Machine Reclaimer Combo.....	\$ 13.00	0.80
OPERATOR: Paver (Asphalt, Aggregate, and Concrete).....	\$ 20.29	8.12
OPERATOR: Screed.....	\$ 16.92	5.36
OPERATOR: Roller (Earth).....	\$ 15.74	2.47
TRAFFIC CONTROL: LABORER -Device Monitor.....	\$ 13.79	0.00
TRUCK DRIVER, Includes All Dump Trucks.....	\$ 12.71	2.27
TRUCK DRIVER: Semi-Trailer Truck.....	\$ 16.36	9.09
TRUCK DRIVER: 1, 2, 3 Axle Truck.....	\$ 15.00	5.71

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WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

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The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

#### Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than "SU" or "UAVG" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

#### Survey Rate Identifiers

Classifications listed under the "SU" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

#### Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations  
 Wage and Hour Division  
 U.S. Department of Labor  
 200 Constitution Avenue, N.W.  
 Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator  
 U.S. Department of Labor  
 200 Constitution Avenue, N.W.  
 Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
 U.S. Department of Labor  
 200 Constitution Avenue, N.W.  
 Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

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END OF GENERAL DECISION



**SPECIAL PROVISIONS**  
**SECTION 104**  
**Utilities**

**UTILITY COORDINATION**

The contractor has primary responsibility for coordinating their work with utilities after contract award. The contractor shall communicate directly with the utilities regarding any utility work necessary to maintain the contractor’s schedule and prevent project construction delays. The contractor shall notify the resident of any issues.

**THE CONTRACTOR SHALL PLAN AND CONDUCT WORK ACCORDINGLY.**

**MEETING**

A Preconstruction Utility Conference, as defined in Subsection 104.4.6 of the Standard Specifications **IS NOT** required.

**GENERAL INFORMATION**

These Special Provisions outline the arrangements that have been made by the Department for utility and/or railroad work to be undertaken in conjunction with this project. The following list identifies all known utilities or railroads having facilities presently located within the limits of this project or intending to install facilities during project construction.

Utilities have been notified and will be furnished a project specification book.

Utility/Railroad	Aerial	Underground
Central Maine Power Company	X	
Fairpoint Communications - Northern New England Telephone Operations LLC	X	
Firstlight	X	
Greater Augusta Utility District		X
Mainecom Services	X	
Maine Natural Gas		X
MDOT - Electrical		X
Summit Natural Gas Of Maine		X
Time Warner Cable	X	

Central Maine Power Company	Dan Couturier	(207)242-0349
Fairpoint Communications	Jim Scheid	(207)626-2031
	Luke Bean	(207)626-2028
	Simon Thorne	(207)872-9992
Firstlight	David Marcoux	(603)335-0020

Town: **Augusta - Waterville**  
 Project: **NHPP-2085(400)**  
**NHPP-2088(200)**  
 Date: **March 01, 2016**

Greater Augusta Utility District	Michael Morey	(207)622-3701
Mainecom Services	Mark Curtis	(207)629-0198
Maine Natural Gas	Jason Bowie	(207)318-7801
MDOT – Electrical	Ron Cote	(207)446-2305
Summit Natural Gas Of Maine	Bert Stefanic	(207)530-0455
Time Warner Cable	Chris Gudroe	(207)404-5509
	Dave Bouchard	(207)620-3411
	Chris Verzoni	(207)620-3416

Temporary utility adjustments **are not** anticipated on this project however, should the contractor choose to have any poles temporarily relocated, all work will be done by Pole owner at the contractor’s request and expense at no additional cost to the Department.

Utility working days are Monday through Friday times are estimated on the basis of a single crew for each utility.

The contractor shall give all Utilities or railroads **10 working days notice** prior to beginning any work on this project.

No Utility adjustments are anticipated as part of this project. If utility relocations, though unexpected, become necessary, they will be scheduled in compliance with Section 104 of the Standard Specifications and will be done by the utilities in conjunction with the work by the Contractor.

**MAINTAINING UTILITY LOCATION MARKINGS**

The contractor will be responsible for maintaining the buried utility location markings following the initial locating by the appropriate utility or their designated representative.

**UTILITY SIGNING**

Any utility working within the construction limits of this project shall ensure that the traveling public is adequately protected at all times. All work areas shall be signed, lighted, and traffic flaggers employed as determined by field conditions. All traffic controls shall be in accordance with the latest edition of the Manual on Uniform Traffic Control Devices for Streets and Highways, as issued by the Federal Highway Administration

**SPECIAL PROVISION**  
**SECTION 104**  
**GENERAL RIGHTS AND RESPONSIBILITIES**  
(Electronic Payroll Submission)  
(Payment Tracking)

104.3.8.1 Electronic Payroll Submission The prime contractor and all subcontractors and lower-tier subcontractors will submit their certified payrolls electronically on this contract utilizing the Elation System web based reporting. There is no charge to the contracting community for the use of this service. The submission of paper payrolls will not be allowed or accepted. Additional information can be found at <http://www.maine.gov/mdot/contractors/> under the first “Notice”.

104.3.8.2 Payment Tracking The prime contractor and all subcontractors and lower-tier subcontractors will track and confirm the delivery and receipt of all payments through the Elation System

Project Nos.: 020854.00  
& 020882.00

**SPECIAL PROVISION 105**  
**CONSTRUCTION AREA**

A Construction Area located in the **Cities of Augusta and Waterville and Town of Sidney** has been established by the Maine Department of Transportation (MDOT) in accordance with provisions of 29-A § 2382 Maine Revised Statutes Annotated (MRSA).

- (a) The section of highway under construction in Kennebec County, Project No. NHP-2085(400) is located on Interstate 95 Northbound, beginning 0.50 mi. south of the Western Avenue Overpass in Augusta and extending northerly 16.53 miles to the Webb Road Bridge in Waterville.
- (b) The section of highway under construction in Kennebec County, Project No. NHPP-2088(200) is located on Interstate 95 Southbound, beginning 0.05 mi. north of the Trafton Road Overpass in Waterville and extending southerly 6.41 miles to 0.03 mi. south of the Dinsmore Road Overpass in Sidney.

Per 29-A § 2382 (7) MRSA, the MDOT may “*issue permits for stated periods of time for loads and equipment employed on public way construction projects, United States Government projects or construction of private ways, when within construction areas established by the Department of Transportation. The permit:*

*A. Must be procured from the municipal officers for a construction area within that municipality;*

*B. May require the contractor to be responsible for damage to ways used in the construction areas and may provide for:*

*(1) Withholding by the agency contracting the work of final payment under contract; or*

*(2) The furnishing of a bond by the contractor to guarantee suitable repair or payment of damages.*

*The suitability of repairs or the amount of damage is to be determined by the Department of Transportation on state-maintained ways and bridges, otherwise by the municipal officers;*

*C. May be granted by the Department of Transportation or by the state engineer in charge of the construction contract; and*

*D. For construction areas, carries no fee and does not come within the scope of this section.”*

The Municipal Officers for the **Cities of Augusta and Waterville and Town of Sidney** agreed that an Overlimit Permit will be issued to the Contractor for the purpose of using loads and equipment on municipal ways in excess of the limits as specified in 29-A MRSA, on the municipal ways as described in the “Construction Area”.

As noted above, a bond may be required by the municipality, the exact amount of said bond to be determined prior to use of any municipal way. The MDOT will assist in determining the bond amount if requested by the municipality.

The maximum speed limits for trucks on any town way will be 25 mph (40 km per hour) unless a higher legal limit is specifically agreed upon in writing by the Municipal Officers concerned.

**SPECIAL PROVISION**  
**SECTION 105**  
**GENERAL SCOPE OF WORK**  
**(LIMITATIONS OF OPERATIONS)**

1. Ramps may be closed between the hours of 10 pm to 6 am with 72 hours' notice. Work on ramps may continue after these hours with shoulder closures only. The contractor shall notify emergency personnel resources in the area of closure dates and times. Under no circumstances will two adjacent on or off ramps be closed simultaneously.
2. Interstate Crossovers shall not be allowed to be utilized to change direction, unless both passing lanes are closed simultaneously. Crossovers may not be used for storage areas. The contractor will not be allowed to park vehicles in crossovers south of Exit 113 at any time.
3. The maximum length of lane closure is 3.0 miles in length.
4. The Contractor shall abide by all hours indicated within this Special Provision.
5. No work shall be allowed after the end of shift on Saturday until beginning of shift on Sunday. All work conducted in the Northbound lanes shall be restricted to Sunday at 7 pm through Friday at 6 am, as outlined in this Special Provision Note 12. All work conducted in the Southbound lanes shall be restricted to Monday starting at 7 pm through Saturday at 6 am, unless otherwise authorized by the Department.

Except no work will be allowed on:

May 27 beginning at 6 am to May 31 at 8 pm.

June 30 beginning at 6 am to July 5 at 8 pm.

September 2 beginning at 6 am to September 6 at 8 pm.

For clarification purposes midnight shall be considered the start of the day, not the end.

6. The Contractor may utilize multiple paving/milling crews as long as applicable sections of Special Provision 652 are met; provided the Resident is given 72 hours notice.
7. All pipe and rental items shall be completed in an area prior to the contractor commencing placement of HMA surface in a given area. Shoulder closures will not be permitted while opposite lane is closed. If allowed by the Department, daytime travel lane shoulder closures may be allowed until 1:00 pm provided there is no infringement upon mainline traffic. This decision will be on a case by case basis for completion of the shift's pipe and rental work, or removal of equipment.

8. Traffic will be allowed to travel on milled surfaces on the mainline. After 7 calendar days any milled areas not covered, in need of extra repair or maintenance (please see Special Provision 202), shall be repaired to the satisfaction of the Resident. All work, equipment and materials required to make repairs shall be at the Contractor's expense. Failure to adequately maintain milled areas will also result in a violation of Special Provision 652. The Contractor shall plan and conduct their work accordingly.
9. If the contractor opts to mill both travel lanes consecutively, all milling and paving joints shall be relatively matched even the following working day.
10. Weepers shall be milled on the shoulder to allow for water run-off/drainage as directed by the Resident. This work shall be considered incidental to 652 items.
11. Upon completion or any suspension of milling the Contractor shall begin paving operations no later than the following workday. For each working day that the contractor does not pave or mill the contractor will be charged Supplemental Liquidated Damages at the rate stated in the Department's Standard Specifications section 107.7.2. (excluding days lost to inclement weather). The Contractor shall plan and conduct their work accordingly.
12. The contractor shall only be allowed to enter the roadway during the following hours; Lane closure set and removal shall be considered to be part of this time:

Northbound Lanes

- a. Sunday beginning 7pm ending Monday 6am.
- b. Monday beginning 7pm ending Tuesday 6am.
- c. Tuesday beginning 7pm ending Wednesday 6am.
- d. Wednesday beginning 7pm ending Thursday 6am.
- e. Thursday beginning 7pm ending Friday at 6am.
- f. Friday beginning 10 PM ending Saturday 6 am.

Southbound Lanes

- a. Sunday beginning 10 pm ending Monday 6 am.
  - b. Monday beginning 7pm ending Tuesday 6am.
  - c. Tuesday beginning 7pm ending Wednesday 6am.
  - d. Wednesday beginning 7pm ending Thursday 6am.
  - e. Thursday beginning 7pm ending Friday 6am.
  - f. Friday beginning 7pm ending Saturday 6am.
13. Any circumstance outside of these time frames, the Contractor shall be charged Supplemental Liquidated Damages as outlined in Special Provision 105 (Supplemental Liquidated Damages).
  14. Work on Weigh-in-Motion on WIN 20882.00 will be done under temporary sign packages that shall be used only when work is being performed

**SPECIAL PROVISION**  
**SECTION 105**  
**CONTROL OF WORK**  
**(Supplemental Liquidated Damages)**

General: Monetary assessments will be made against the Contractor for each ¼ hour there are lane restrictions as specified below.

Definitions of Terms: For this contract the following definitions apply:

- (a) Calendar Day: Any portion of the day on the calendar including Saturdays, Sundays, and holidays, beginning and ending at midnight.
- (b) Hour: Any continuous 60 minute period or portion of a continuous 60 minute period beginning at the point when a lane and/or shoulder is closed or obstructed by the contractor's operation(s).
- (c) 15 Minute Period: Any portion of a 15 minute continuous period.
- (d) Obstruction: When the contractor's operation(s) have resulted in the useable lane width of the travel lane or passing lane to be less than that specified in the plan documents.

This contract includes a supplemental liquidated damage procedure under which the contractor is assessed a charge for each lane closure outside the time periods specified under Special Provision 105 Note 12. One lane must remain open at **ALL** times. The charge will be assessed for each lane restriction as follows:

One Lane Closed	\$1,000/0 - 15 Minutes
	\$2,000/ 16 -30 Minutes
	\$5,000/ 31-45 Minutes
	\$10,000/ 46-60 Minutes

\* **These charges will be accumulative in nature. Example: 0 to 15 minutes, the contractor shall be assessed \$1,000.00. From 16 minutes to 30 minutes the charges will be \$2,000.00 + \$1,000.00 = \$3,000.00, and so on. Times above 60 minutes shall receive an additional assessment of \$15,000.00 for each portion of a 15 minute time period.**

**NHPP-2085(400 & NHPP-2088(200)  
WINS 20854.00 & 20882.00  
Augusta, Sidney, & Waterville  
Interstate 95 Northbound & Southbound  
April 5, 2016**

The applicable charges will be deducted from any monies due the Contractor for work performed. The deduction will be based on the applicable rate for any and all closures whether work is being performed or not. Deductions will be accomplished through progress payments due the Contractor.

The Contractor shall address in their Traffic Control Plan a contingency plan for opening up both lanes of traffic within one hour of being notified by the Resident. This plan shall be fully detailed, and Permission to open up both lanes shall only be granted if work being performed can safely be stopped to allow lanes to be opened to traffic.

**SPECIAL PROVISION**  
**SECTION 105**  
**CONTROL OF WORK**  
**(Cooperation Between Contractors)**

It is hereby brought to the Contractor's attention that the Department plans to award contracts adjacent to the limits of this contract, which may be in progress simultaneously.

The Contractor shall also coordinate this contract's projects with local municipalities' projects.

The Contractor shall cooperate with other Contractors at all times and provide project access as necessary and as directed by the Resident.

The Contractor will coordinate all activities including traffic control with others to ensure safe travel for motorists. This shall be specifically addressed within the Contractors Traffic Control Plan.

The Contractor shall coordinate their activities with surrounding municipalities and utilities as part of this Contract.





# MAINE TURNPIKE AUTHORITY

## WORK PERMIT RULES AND GUIDELINES

Prior to any work or installation of any facility on **Maine Turnpike Authority** land, a **Municipality, Contractor, or other Person requesting access (Permittee)** is required to file application with the **Authority** for a work permit. Said permit will be issued under the following terms and conditions;

**Permittee** indemnifies and holds harmless the **Maine Turnpike Authority** from any and all responsibility related to work on the aforesaid property or the presence thereon of **Permittee's** employees and agents. This includes, but is not limited to, any claims, losses damages and expenses (including attorney's fees and litigation costs) that arise from or are related to the presence of **Permittee's** employees or agents on the property. Nothing contained herein is intended to waive the defenses and immunities available to the **Authority** with respect to third parties under the provisions of State or Federal Law including, but not limited to, the defenses and immunities provided under the Maine Tort Claims Act.

### Insurance

Prior to any entrance onto the **Authority's** land, **Permittee** will file with the **Authority** certificates of insurance evidencing public liability insurance and workers compensation insurance with such limits as the **Authority** shall require, which in all cases shall be a minimum of one million dollars (\$1,000,000), and the "**Maine Turnpike Authority**" shall be named as an additional insured.

**Permittee** shall maintain the insurance required by this paragraph with the **Authority** named as an additional insured, for so long as **Permittee's** employees or agents will be accessing **Authority** property whether the access is for construction or for routine maintenance and operation of this or any other installation.

### Schedule

**Permittee** shall notify the **Authority** in advance of its work schedule. The **Authority** must approve of the schedule, including traffic control plan, and may decide to have an Inspector present while the work is being completed. Time of day restrictions may be enforced based on time of year and peak travel flow.

### Costs

The **Permittee** shall be responsible for all costs incurred by the **Authority** acting in connection with the review, assessment, and negotiation of, and any necessary investigation into, any matters associated with this permit, including, but not limited to costs of reviewing any materials or documents submitted; any field work done in connection therewith, any dig safe, engineering, survey, personnel costs, or legal fees associated therewith, whether or not the project is ever carried to a successful conclusion. Prepayment of costs may be required at **Authority's** discretion.



### Safety

The **Permittee** must abide by all applicable local, state, and federal regulations pertaining to workplace safety, including but not limited to high visibility clothing, signage, and warning lights. Median openings and toll plaza U-turns will not be permitted. Any traffic stoppages will be done with the **Authority's** approval and with State Police participation.

### Revocation

The **Authority** further reserves the right to revoke the work permit in the event of a breach of its conditions as well as for any reason whatsoever that, in the sole judgment of the **Authority**, warrants such a revocation.

### Contact

The **Permittee** shall make application to the **Authority** in writing. Said application will include, but is not limited to applicable plan sheets showing scope of work, traffic control plans, schedule, and insurance certificate. Applications may be submitted to:

Maine Turnpike Authority  
Right of Way Department  
2360 Congress Street  
Portland, ME 04102

*For questions or additional information  
contact the Right of Way Department at  
(207) 871-7771 Ext. 370 or 350  
[row@maineturnpike.com](mailto:row@maineturnpike.com)*

At such time a work permit is issued by the Right of Way Department, the **Permittee** will be directed to the appropriate contact person for the duration of the project.

Dig Safe requests will be made to **Dig Safe** (1-888-DIG-SAFE or 811) and to **DigSmart of Maine** for private utility identification. Proof of utility location must be received prior to the issuance of any work permit that involves earthwork. The dig safe job number is to be provided to:

John Roberts  
[jroberts@maineturnpike.com](mailto:jroberts@maineturnpike.com)  
or  
Scott Lachance  
[slachance@maineturnpike.com](mailto:slachance@maineturnpike.com)

DigSmart of Maine  
114 Sawyer Road  
Scarborough, ME 04074  
(207) 749-7231  
[www.digsmartofmaine.com](http://www.digsmartofmaine.com)

Maine Turnpike Authority  
2360 Congress Street  
Portland, ME 04102  
(207) 871-7771

On the Website, go to the *Schedule Work* button to complete DigSmart's electronic request form





Maine Turnpike Authority

Date: January 26, 2011

The following Special Provision pertains to entry onto the Maine Turnpike Authority land by Contractors of the Maine Department of Transportation.

#### 110.3.8 Administrative & General Provisions

##### A. Additional Insured

The Maine Turnpike Authority shall be named as an additional insured.

#### 105.5.1 General Requirements

Subsection is amended by the addition of the following:

Prior to any work or installation of any facility on Maine Turnpike Authority land, a Contractor (Permittee) working for the Maine Department of Transportation is required to file application with the Authority for a Work Permit. Contractor must abide by the Maine Turnpike Authority agreement with the Maine Department of Transportation regarding Entry onto Maine Turnpike Authority Land by Contractors of the Maine Department of Transportation. All specific operational, safety, and environmental requirements of the Authority for a contractor will be followed.

The installation of construction signs on the Maine Turnpike shall be approved in advance by the Maine Turnpike Authority. The Contractor shall submit plans illustrating construction details and proposed locations. Signs shall be located behind guardrail or outside of the roadside recovery area or shall be installed on break away supports. The Contractor must contact the Maine Turnpike Authority Dig Safe vender to perform Dig Safe for Maine Turnpike Authority owned utilities on Maine Turnpike Authority property. The cost of this service is borne by the contractor.

This Subsection is amended by the addition of the following:

#### Change of Direction

The Contractor will not be allowed to use the median openings or the toll plaza on the Maine Turnpike to reverse direction unless the opening is located within a passing lane closures on both roadways.

The Contractor will not be allowed to change direction within the Toll Plaza area. The limits of this area extend from the terminals of the median guardrail north and south of the toll plaza.

The Contractor will be assessed a fine every time any employee of the Contractor, Subcontractor or Supplier is observed using a median opening or toll plaza area to change

Maine Turnpike Authority  
Date: January 26, 2011

direction on the Maine Turnpike. (The fine will be deducted from monies owed to the Contractor.)

The fines will be levied on a per occurrence basis as follows:

NUMBER OF Occurrences	Fine
First	\$150

For the second occurrence, and any occurrence thereafter, the fine is increased by \$150 per each occurrence. The number of occurrences is not specific to a Contract, an individual or a vehicle, but based solely on the number of times any employee of the Contractor, Subcontractor or Supplier is observed using a median opening anywhere on the Maine Turnpike.

#### 107.4.2 Schedule of Work Required

This Subsection is amended by the addition of the following:

The Contractor shall submit a schedule that shows all work on the Maine Turnpike that is anticipated for the following week. This schedule shall be transmitted to the Resident Engineer by noon Thursday of the preceding week. The Resident Engineer shall transmit this information to the Maine Turnpike Authority. Any lane or shoulder closures are subject to approval by the Maine Turnpike Authority.

The Contractor shall submit a schedule that shows all work within 1500' of the toll plaza and all toll plaza lane closures that are anticipated for the following week. This schedule shall be transmitted to the Resident Engineer by noon Thursday of the preceding week. The Resident Engineer shall transmit this information to the Maine Turnpike Authority.

The following Subsections are added:

#### 107.4.6 Prosecution of Work

The installation of permanent signs on the Maine Turnpike will require a shoulder closure in accordance with the MUTCD (Manual of Uniform Traffic Control Devices).

Maine Turnpike Authority

Date: January 26, 2011

All work within 1500' of the toll plaza shall be coordinated with the Maine Turnpike Authority. Night work may be required to accommodate Turnpike operations. This work is required to be shown on the proposed schedule as noted in Section 107.4.2. The Engineer shall be responsible for coordinating the activities with the Maine Turnpike on site toll supervisor or his designee immediately prior to the operations. Restrictions in contractor operations may be required by the Maine Turnpike Authority.

652 Maintenance of Traffic

Subsection is amended by the addition of the following:

A mounted revolving Amber light or amber strobe light with 360-degree visibility.

<p>MaineDOT DBE Project Attainment Target (PAT) for this Project is 3.6 %</p>
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The MaineDOT seeks to meet the specified annual Disadvantaged Business Enterprise (DBE) usage goal set out by 49 CFR 26.45 through the efforts of contractors seeking to employ qualified DBE subcontractors. We seek to meet this goal by race neutral means and do not, at this time, use contract specific requirements for each project. We do however, understand the capacity of Maine's DBE community and the unique characteristics a project may have that would differ from the broad annual goal.

Taking this into consideration, the MaineDOT will review each project and develop an anticipated attainment or Project Attainment Target (PAT) based on several factors that are project specific. Those factors include:

- Scope of Work
- DBE availability according to Specification Item
- Geographic location
- DBE capacity

This PAT is developed to assist contractors to better understand the DBE participation that the MaineDOT can reasonably expect for a specific project. The PAT is NOT a mandate but an assessment of the DBE opportunities that this project could meet or exceed. MaineDOT anticipates that each contractor will make the best effort to reach or exceed the PAT for this project.

SPECIAL PROVISION  
SECTION 107  
PROSECUTION AND PROGRESS  
(Contract Time)

This Contract shall be completed within (**222**) continuous calendar days. The Contractor may begin work **anytime** in accordance with Standard Specification 104.4.2 and upon approval of all required submittals. The Contract Completion Date will be no later than **December 23, 2016**.

At least 21 calendar days prior to the desired Begin Construction Date (**and no later than June 15<sup>th</sup>**), the Contractor shall submit an **electronic copy of their signed request to begin work and the Begin Construction Date**. This signed request shall be sent read receipt through **email** with their **Schedule of Work**, in accordance with Standard Specification 107.4.2, to [Shawn.Smith@Maine.gov](mailto:Shawn.Smith@Maine.gov), [Emory.Lovely@Maine.gov](mailto:Emory.Lovely@Maine.gov), and [Scott.Bickford@Maine.gov](mailto:Scott.Bickford@Maine.gov). The Contractor shall notify all utility contacts listed in the 104 Special Provision and provide the utility contacts the submitted schedule of work within 2 calendar days of the schedule of work submittal. **A penalty in the amount of \$500/day will be assessed for each calendar day or partial calendar day beyond June 15<sup>th</sup> that the schedule of work is not received.** Upon receipt of the schedule of work, a pre-construction meeting will be scheduled. A Contract Modification will be executed to document the new Contract Completion Date based upon the Begin Construction Date. The modified Contract Completion Date shall not exceed the Contract Completion Date specified in this special provision.

The Contractor may request to adjust the submitted schedule of work and Begin Construction Date once after the initial submittal. The Department will allow adjustments in the Begin Construction Date of up to seven calendar days if the request is made at least 21 calendar days prior to the updated Begin Construction Date. This signed request shall be sent read receipt through **email** with their **Schedule of Work**, in accordance with Standard Specification 107.4.2, to [Shawn.Smith@Maine.gov](mailto:Shawn.Smith@Maine.gov), [Emory.Lovely@Maine.gov](mailto:Emory.Lovely@Maine.gov), and [Scott.Bickford@Maine.gov](mailto:Scott.Bickford@Maine.gov). The Contractor shall notify all utility contacts listed in the 104 Special Provision and provide the utility contacts the updated schedule of work within 2 calendar days of the request to adjust the Begin Construction Date.

SPECIAL PROVISION  
SECTION 107  
SCHEDULING OF WORK

Replace Section 107.4.2 with the following:

”107.4.2 Schedule of Work Required Within 21 Days of Contract Execution and before beginning any on-site activities, the Contractor shall provide the Department with its Schedule of Work. The Contractor shall plan the Work, including the activity of Subcontractors, vendors, and suppliers, such that all Work will be performed in Substantial Conformity with its Schedule of Work. The Schedule must include sufficient time for the Department to perform its functions as indicated in this Contract, including QA inspection and testing, approval of the Contractor's TCP, SEWPCP and QCP, and review of Working Drawings.

At a minimum, the Schedule of Work shall include a bar chart which shows the major Work activities, milestones, durations, **submittals and approvals**, and a timeline. Milestones to be included in the schedule include: (A) start of Work, (B) beginning and ending of planned Work suspensions, (C) Completion of Physical Work, and (D) Completion. If the Contractor Plans to Complete the Work before the specified Completion date, the Schedule shall so indicate.

Any restrictions that affect the Schedule of Work such as paving restrictions or In-Stream Work windows must be charted with the related activities to demonstrate that the Schedule of Work complies with the Contract.

The Department will review the Schedule of Work and provide comments to the Contractor within 20 days of receipt of the schedule. The Contractor will make the requested changes to the schedule and issue the finalized version to the Department.”

**SPECIAL PROVISIONS**  
**SECTION 202**  
**REMOVING STRUCTURES AND OBSTRUCTIONS**  
**(Removing Pavement Surface)**

The November 2014 Revision of the Standard Specifications, Section 202-Removing Structures and Obstructions, subsection 202.061-Removing Pavement Surface, has been removed and replaced in its entirety by the following:

202.061 Removing Pavement Surface The equipment for removing the bituminous surface shall be a power operated milling machine or grinder capable of removing bituminous concrete pavement to the required depth, transverse cross slope, and profile grade by the use of an automated grade and slope control system. The controls shall automatically increase or decrease the pavement removal depth as required, and readily maintain desired cross slope, to compensate for surface irregularities in the existing pavement course. The equipment shall be capable of accurately establishing profile grades by referencing from a fixed reference such as a grade wire, or from the existing pavement surface using a 30 foot minimum contact ski (floating beam), or 24 foot non-contact grade control beam.

The Contractor shall locate and remove all objects in the pavement through the work area that would be detrimental to the planing or grinding machine. Any structures or obstructions left within the travel lane or shoulders shall have tapers installed according to Standard Detail 202(01). The finished milled surface will be inspected before being accepted, and any deviations in the profile exceeding 1/2 inch under a 16 foot string line or straightedge placed parallel to the centerline will be corrected. Any deviations in the cross-slope that exceed 3/8 inch under a 10 foot string line or straightedge placed transversely to centerline will be corrected. All corrections will be made with approved methods and materials. Any areas that require corrective measures will be subject to the same acceptance tolerances. Excess material that becomes bonded to the milled surface will be removed to the Resident's satisfaction before the area is accepted.

On highways or expressways with directional traffic, the Contractor will be required to remove the pavement surface on the adjacent sections of travel lane and designated portions of adjacent shoulder before the end of the following calendar day unless the centerline edge is tapered to a 12:1. Failure to remove the centerline vertical edge by milling, using the approved taper, or matching the adjacent course the following day will constitute a traffic control violation unless an excusable delay is granted by the Department. The Contractor will be required to remove the specified pavement course over the full width of the mainline traveled ways prior to opening the sections to weekend or holiday traffic.

On roadways with two-way traffic, the Contractor will be required to remove the specified pavement course over the full width of the mainline traveled ways prior to opening the sections to weekend or holiday traffic.

During any period that a centerline vertical or tapered edge exists, the Contractor will be responsible for installing additional warning signage that clearly defines the centerline vertical or tapered edge and elevation differential hazard, as well as additional centerline delineation such as double RPM application, or temporary painted line. The Traffic Control Plan shall include the additional requirements. All signs and traffic control devices will conform to Section 719.01, and Section 652, and will be installed prior to the work, at a maximum spacing of 0.50 mile for the entire length of the effected roadway section. All additional signing, labor, traffic control devices, or incidentals will not be paid for directly, but will be considered incidental to the appropriate 652 bid items.

When pavement milling operations leave a 2 inch or less exposed vertical face at the edge of the traveled way, RPMs shall be placed on the remaining pavement surface along the vertical edge at 200 foot intervals. Uneven pavement signs shall be placed at a maximum spacing of ½ mile when pavement milling operations leave an exposed vertical face at the edge of travelway.

When pavement milling operations on directional or bi-directional traffic roadways leave an exposed vertical face greater than 2 inches at the edge of the traveled way the edge shall be either;

1. Be tapered to a zero edge by means of milling a 12:1 transition from the edge of traveled way onto the shoulder before opening the lane to traffic. Tapers shall be removed to form a vertical edge prior to the placement of the new pavement course. No additional payment will be made for tapers, or taper removal.
2. Have an additional 2 feet of pavement shall be removed from the shoulder to eliminate the vertical edge at the edge of travelway before opening the lane to traffic. Payment will be made under the pavement removal item.
3. A pavement layer will be placed to reduce the vertical edge to 2 inch or less before opening the lane to traffic.

As a minimum, the use of temporary painted line, or RPMs placed along the edge of traveled way at 200 foot intervals is required. When pavement milling is extended into the shoulder (including milled tapers), appropriate channelization devices shall be placed 2 feet outside the edge of the vertical face at intervals not exceeding 600 feet, and RPMs shall be placed on the remaining pavement surface along the vertical edge at 200 foot intervals. Uneven pavement signs shall be placed at a maximum spacing of ½ mile when any pavement milling operations leaves an exposed uneven pavement surface.

Any areas of concern, such as de-lamination or pot-holing shall be identified on a continuous basis as milling progresses. Proper corrective action will be determined by the Resident and paid for under the appropriate contract items, and if required, completed prior to opening lane to traffic. Any issues that arise **up to** 7 calendar days after being milled will be the responsibility of the MaineDOT unless otherwise noted in Special Provision Section 105 – Limitations Of Operations. Issues that arise after 7 calendar days will be the responsibility of the Contractor unless otherwise noted in Special Provision Section 105 – Limitations Of Operations.

**SPECIAL PROVISION**  
**SECTION 202**  
**REMOVING STRUCTURES AND OBSTRUCTIONS**  
**(Shoulder Rumble Strip)**

Description This work shall consist of milling a pattern onto highway shoulders at the spacing, offset, width, and depth shown on the plans. Rumble strips shall not be placed across ramp openings, crossover openings, or bridges.

**CONSTRUCTION REQUIREMENTS**

Removing Material The bituminous material shall be removed by a cold milling machine capable of removing the pavement to the required depth and width. The machine must be adjustable to grind or plane on various cross-slopes. Salvage and disposal of bituminous material shall be in accordance with Section 203.

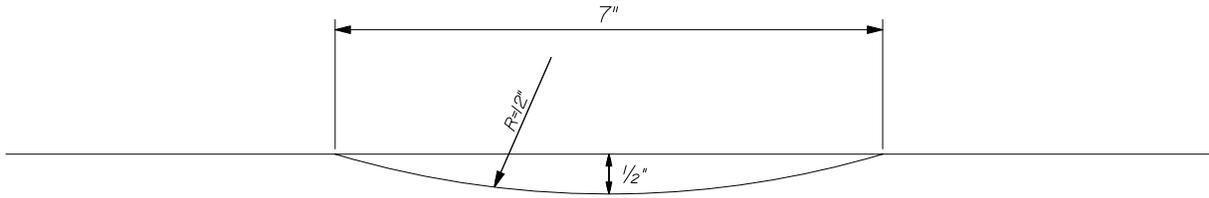
Equipment The equipment shall be a cold milling machine or a cold planing machine specially manufactured for rumble strips. This machine shall be capable of cutting 1200 rumble strips per hour of operation. The Contractor will perform a test section prior to rumble strip installation and at any time as directed by the Resident. The test section will be done to ensure that the machine is capable of milling the rumble strips in accordance with these specifications and the plans.

Method of Measurement Rumble strips will be measured by the meter [foot] longitudinally along the edge of the travelway. For rumbled strips that are broken at regular intervals to permit emergency stopping on shoulders for motorcycles, the length measured for payment shall include the full running length including the regular breaks. Breaks in rumble strip installation for acceleration lanes, deceleration lanes, and crossovers will not be included in the length measured for payment.

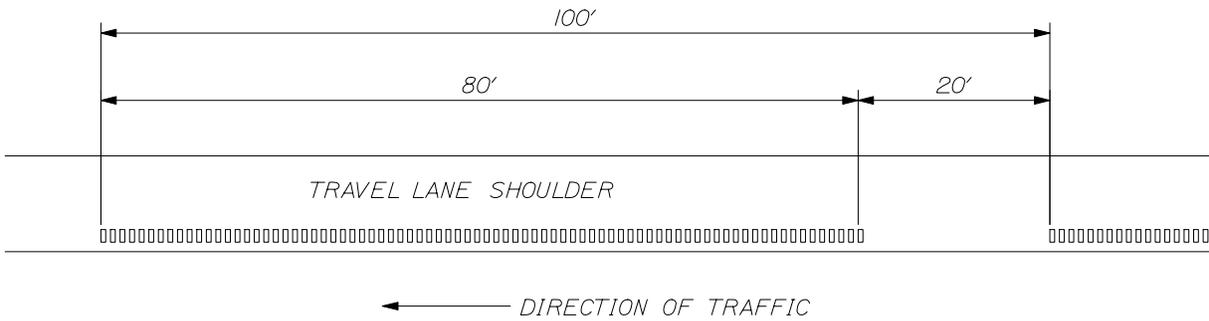
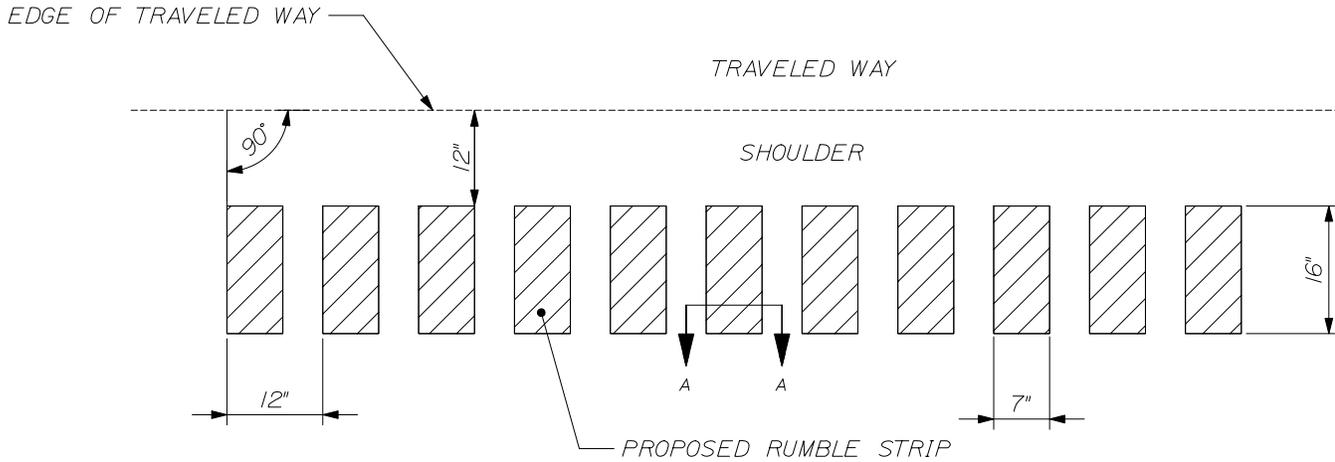
Basis of Payment The accepted quantity of rumble strips will be paid for at the unit price bid per foot [meter] which price will be full compensation for removing and salvaging the bituminous material and for any labor, equipment, and incidentals needed to complete this work.

Payment will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
202.205 Rumble Strip - Shoulder	Foot [Meter]



SECTION A - A



BREAK DETAIL

NOTES:

1. SHOULDER RUMBLE STRIPS SHALL BE PLACED ON THE MEDIAN AND OUTSIDE SHOULDER AS SHOWN IN THE ABOVE DETAIL.
2. ON THE OUTSIDE SHOULDER, THE RUMBLE STRIP PLACEMENT SHALL BE BROKEN FOR A DISTANCE OF 20 FT FOR EVERY 80 FT PLACED.

SHOULDER RUMBLE STRIP DETAIL - INTERSTATE

**SPECIAL PROVISION**  
**SECTION 401 - HOT MIX ASPHALT PAVEMENT**

The Standard Specification 401 – Hot Mix Asphalt Pavement, has been modified with the following revisions. All sections not revised by this Supplemental Specification shall be as outlined in Section 401 of the Standard Specifications.

401.18 Quality Control Method A, B & C The Contractor shall operate in accordance with the approved Quality Control Plan (QCP) to assure a product meeting the contract requirements. The QCP shall meet the requirements of Section 106.6 - Acceptance and this Section. The Contractor shall not begin paving operations until the Department approves the QCP in writing.

The Contractor shall cease paving operations whenever one of the following occurs on a lot in progress:

- a. Method A: The Pay Factor for VMA, Voids @  $N_d$ , Percent PGAB, composite gradation, VFB, fines to effective binder or density using all Acceptance or all Quality Control tests for the current lot is less than 0.85. No ceasing of paving operations shall be required for fines to effective binder if the mean test value is equal to the LSL or USL and  $s = 0$ .
- b. Method B: The Pay Factor for VMA, Voids @  $N_d$ , Percent PGAB, composite gradation, VFB, fines to effective binder or density using all Acceptance or all Quality Control tests for the current lot is less than 0.90. No ceasing of paving operations shall be required for fines to effective binder if the mean test value is equal to the LSL or USL and  $s = 0$ .
- c. Method C: The Pay Factor for Percent PGAB, percent passing the nominal maximum sieve, percent passing 2.36 mm sieve, percent passing 0.300 mm sieve, percent passing 0.075 mm sieve or density using all Acceptance or all available Quality Control tests for the current lot is less than 0.85. No ceasing of paving operations shall be required for percent passing the nominal maximum sieve, percent passing 2.36 mm sieve, percent passing 0.300 mm sieve, or percent passing 0.075 mm sieve if the mean test value is equal to the LSL or USL and  $s = 0$ .
- d. The Coarse Aggregate Angularity or Fine Aggregate Angularity value falls below the requirements of Table 3: Aggregate Consensus Properties Criteria in Section 703.07 for the design traffic level.
- e. Each of the first 2 control tests for a Method A or B lot fall outside the upper or lower limits for VMA, Voids @  $N_d$ , or Percent PGAB; or under Method C, each of the first 2 control tests for the lot fall outside the upper or lower limits for the nominal maximum, 2.36 mm, 0.300 mm or 0.075 mm sieves, or percent PGAB.
- f. The Flat and Elongated Particles value exceeds 10% by ASTM D4791.
- g. There is any visible damage to the aggregate due to over-densification other than on variable depth shim courses.
- h. The Contractor fails to follow the approved QCP.

401.203 Method C Lot Size will be the entire production per JMF for the project, or if so agreed at the Pre-paving Conference, equal lots of up to 4500 tons, with unanticipated over-runs of up to 1500 ton rolled into the last lot. Sublot sizes shall be 750 ton for mixture properties, 500 ton for base or binder densities and 250 ton for surface densities. The minimum number of sublots for mixture properties shall be 4, and the minimum number of sublots for density shall be five.

TABLE 7: METHOD C ACCEPTANCE LIMITS

Property	USL and LSL
Passing 4.75 mm and larger sieves	Target +/-7%
Passing 2.36 mm to 1.18 mm sieves	Target +/-5%
Passing 0.60 mm	Target +/-4%
Passing 0.30 mm to 0.075 mm sieve	Target +/-2%
PGAB Content	Target +/-0.4%
% TMD (In place density)	95.0% +/- 2.5%

### Pay Adjustment Method C

The Department will use density, Performance Graded Asphalt Binder content, and the percent passing the nominal maximum, 2.36 mm, 0.300 mm and 0.075 mm sieves for the type of HMA represented in the JMF. If the PGAB content falls below 0.80, then the PGAB pay factor shall be 0.55.

Density: For mixes having a density requirement, the Department will determine a pay factor using Table 7: Method C Acceptance Limits:

$$PA = (\text{density PF} - 1.0)(Q)(P) \times 0.50$$

PGAB Content and Gradation The Department will determine a pay factor using Table 7: Method C Acceptance Limits. The Department will calculate the price adjustment for Mixture Properties as follows:

$$PA = (\% \text{ Passing Nom. Max PF} - 1.0)(Q)(P) \times 0.05 + (\% \text{ passing 2.36 mm PF} - 1.0)(Q)(P) \times 0.05 + (\% \text{ passing 0.30 mm PF} - 1.0)(Q)(P) \times 0.05 + (\% \text{ passing 0.075 mm PF} - 1.0)(Q)(P) \times 0.10 + (\text{PGAB PF} - 1.0)(Q)(P) \times 0.25$$

### 401.223 Process for Dispute Resolution (Methods A B & C only)

TABLE 10: DISPUTE RESOLUTION VARIANCE LIMITS

PGAB Content	+/-0.4%
$G_{mb}$	+/-0.030
$G_{mm}$	+/-0.020
Voids @ $N_d$	+/-0.8%
VMA	+/-0.8%
Passing 4.75 mm and larger sieves	+/- 4.0%
Passing 2.36 mm to 0.60 mm sieves	+/- 3.0%
Passing 0.30 mm to 0.15	+/- 2.0 %
0.075 mm sieve	+/- 0.8%

SPECIAL PROVISION  
SECTION 401  
HOT MIX ASPHALT PAVEMENT

401 HOT MIX ASPHALT LONGITUDINAL JOINT DENSITY

401.30 Description The Department will measure the pavement density of longitudinal joints constructed between adjoining travel lanes. Core samples shall be tested according to AASHTO T-166. The Department will randomly determine core locations. The Contractor shall cut 6 in diameter cores at no additional cost to the Department by the end of the working day following the day the pavement is placed, and immediately give them to the Department. The cores will be placed in a transport container provided by the Department and transported by the Contractor to the designated MaineDOT Lab as directed by the Department. Pre-testing of the acceptance cores will not be allowed. At the time of sampling, the Contractor and the Department shall mutually determine if a core is damaged. If it is determined that the core(s) is damaged, the Contractor shall cut new core(s) at the same offset and within 3 ft of the initial sample. At the time the core is cut, the Contractor and the Department will mutually determine if saw cutting of the core is needed, and will mark the core at the point where sawing is needed. The core may be saw cut by the Contractor in the Department's presence onsite, or in a MaineDOT Lab by the Department, without disturbing the layer being tested to remove lower layers of Hot Mix Asphalt Pavement, gravel, or RAP. No recuts are allowed at a test location after the core has been tested.

Cores shall be taken directly over the construction joint. Should the notched wedge joint device be used, the cores shall be cut directly over the center of the taper portion of the wedge (approximately centered 3" from the visible joint).

As part of the project specific QCP, the Contractor shall include details as to methods of construction, rolling and compaction efforts, and action plan to adjust methods or equipment should the Quality level fall below 50 percent within limits. The Contractor shall be required to measure the joint density at randomly selected locations with a minimum frequency of one measurement per 750 linear feet. The Contractor shall have the option to cut calibration/verification cores at a rate not to exceed 1 per day.

If the Quality level for density falls below 50 percent within limits, the Contractor shall make corrective action to the longitudinal joint construction method before proceeding with the Lot, or before starting a new Lot. In cases where the corrective action can be shown to immediately increase density, such as with informational cores or density gauge readings, the Contractor may elect to resume production once the corrective action methods are established. Additional QC testing shall be performed to verify the effectiveness of the corrective action. Should the Quality Level for density remain at or fall below 50 percent within limits, then the Contractor shall be required to make further adjustments to the construction method. The Department will consider corrective action acceptable if the density pay factor increases based on verification samples or acceptance samples.

401.31 Acceptance This method utilizes Quality Level Analysis and pay factor specifications as described in Section 106. For Hot Mix Asphalt Pavement designated for acceptance under Quality Assurance provisions, the Department will sample once per subplot on a statistically random basis, test, and evaluate in accordance with the following Acceptance Criteria:

Lot size will be the entire length of longitudinal joint for the given HMA layer for the project, or equal Lots of a size agreed upon at the Pre-paving conference. The maximum subplot size shall be 1500 linear feet of longitudinal joint for density and the minimum number of sublots for any Lot shall be five. The Lot will be divided up into sublots of equal length. There shall be a separate Lot for each lift of HMA pavement, and Lots shall not be comprised of results from more than one HMA layer.

The Department will determine a pay factor using acceptance limits from Table 1.

TABLE 1: LONGITUDNAL JOINT DENSITY ACCEPTANCE LIMITS

PROPERTY	LSL
% TMD (In-place density)*	91.0

\* The Theoretical Maximum Density will be determined from the average of the Gmm values used to determine the percent compaction of the nearest acceptance cores on either side of the Centerline Joint Core from each adjacent mat.

The Department will calculate the Pay Adjustment for Centerline Joint Density as follows:

$$\text{PA} = (\text{joint density PF} - 1.0)(Q)(P) \times 0.40$$

Where

$$\begin{aligned} \text{PA} &= \text{Pay Adjustment} \\ \text{Q} &= \text{Quantity of traveled way pavement represented by PF in tons} \\ \text{P} &= \text{Contract price per ton} \\ \text{PF} &= \text{Pay Factor} \end{aligned}$$

If the joint density Pay Factor is less than 0.88, the Pay Adjustment shall be:

$$\text{PA} = (-0.05)(Q)(P)$$

SPECIAL PROVISION  
DIVISION 400  
PAVEMENTS

SECTION 401 - HOT MIX ASPHALT PAVEMENT

(Longitudinal joint construction using wedge/taper apparatus)

The Special Provision 400. Section 401 – Hot Mix Asphalt Pavement, subsection 401.15 – Spreading and Finishing, and subsection 401.17- Joints have been modified with the following revisions. All sections not revised by this Special Provision shall be as outlined in the Special Provision 400 Pavements, Section 401 – Hot Mix Asphalt Pavement. References to Standard Specifications, Special Provisions, or other documents, shall be determined as the most current version available at the time of bid, or as amended. All costs associated with this Item will not be paid for directly, but shall be considered included in the associated contract items.

401.15 Spreading and Finishing The section has been amended as follows:

On areas where irregularities or unavoidable obstacles make the use of mechanical spreading and finishing equipment impracticable, the Contractor shall spread, rake, and lute the HMA with hand tools to provide the required compacted thickness. Solvent based agents that strip asphalts from aggregates will not be allowed as release agents.

On roadways with adjoining lanes carrying traffic, the Contractor shall place each course over the full width of the traveled way section being paved that day, unless otherwise noted by the Department in Section 403 - Hot Bituminous Pavement, or within this Special Provision.

When an approved longitudinal joint construction method is utilized, such as a manufactured notched wedge apparatus, the Department may allow the placement of mixtures in one continuous lane for each calendar day worked, with the following conditions:

The Contractor may utilize a manufactured notched wedge joint apparatus on all HMA layers 1 ½ inch or greater in Zone 1 between the dates of May 30<sup>th</sup> and the Saturday following October 1<sup>st</sup>, and in Zone 2 between the dates of May 15<sup>th</sup> and the Saturday following October 15<sup>th</sup>. When the work is to be performed, either by contract requirement or Contractor option, during conditions defined as “night work”, the same seasonal limitations shall apply unless the Department determines that the construction method is producing an unsound joint. This work will not be allowed during times of inclement weather as outlined in Division 400 – Special Provision 401; subsection 401.06 Weather and Seasonal Limitations.

If this option is utilized on roadways with two-way traffic, the Contractor will be required to place a matching course of HMA over the adjacent section of travel lane before the end of the following calendar day. Failure to match the centerline course the following day will constitute a traffic control violation unless an excusable delay is granted by the Department.

If this option is utilized on divided highways or expressways with directional traffic, the Contractor will be required to place a matching course of HMA over the adjacent section of travel lane within seven calendar days from placement of the initial paved lane. Failure to match the centerline course the within the seven calendar days will constitute a traffic control violation unless an excusable delay is granted by the Department.

The Contractor will also be responsible for installing additional warning signage that clearly defines the centerline elevation differential hazard, as well as additional centerline delineation such as double RPM application, or temporary painted line. The Traffic Control Plan shall include this option and the additional requirements. All signs and traffic control devices will conform to Section 719.01, and Section 652, and will be installed prior to the work, at a maximum spacing of 0.50 mile for the entire length of the effected roadway section. On roadways with two-way traffic, the Contractor will be required to place the specified course over the full width of the mainline traveled way being paved prior to opening the sections to weekend or holiday traffic. If this option is utilized, all additional signing, labor, traffic control devices, or incidentals will not be paid for directly, but will be considered incidental to the appropriate 652 bid items.

The Department reserves the right to have centerline cores cut by the Contractor's QC personnel for informational purposes to monitor the density along the joint. Informational cores at the centerline joint will be taken centered over the tapered part of the wedge joint.

Any notched wedge joint constructed areas that become cracked or broken shall be trimmed back to the limits affected prior to placing the adjoining lane. Any materials that become unbound or separated from the wedge or tapered joint section, or contaminated by materials determined by the Department as being detrimental to the construction of a sound construction joint, shall be removed by sweeping, compressed air and lance, or by hand tools as required. This work, if necessary, will not be paid for directly, but shall be considered incidental to the related contract items.

401.17 Joints The following section has been amended as follows:

Should the notched wedge joint device be used, the Contractor shall apply a coating of emulsified asphalt on the vertical and tapered surface of the longitudinal centerline joint immediately before paving. The rate of application shall be approximately 0.050 G/SY. This application shall be in addition to the normal application of tack coats to the construction joint face and horizontal surfaces prior to placing a new lift. The Contractor shall use an approved spray apparatus designed for covering a narrow surface. The Department may approve application by a brush for small surfaces, or in the event of a malfunction of the spray apparatus, but for a period of not more than one working day.

**SPECIAL PROVISION**  
**SECTION 401**  
**HOT MIX ASPHALT PAVEMENT**  
(Material Transfer Vehicle)

Description The hot mix asphalt pavement for all leveling, base, binder and wearing courses shall be transferred to the paver by a material transfer vehicle (MTV) on mainline travelways, shoulders, and ramps as denoted in Special Provision 403 - Hot Mix Asphalt Pavement.

The MTV shall operate as an independent unit not attached to the paver. It shall be a commercially manufactured unit specifically designed to transfer the hot mix from haul trucks to the paver without depositing the mix on the roadway. A separate hopper with a capacity of 14 ton shall be inserted into the regular paver hopper. The MTV or the hopper insert shall be designed so that the mix receives additional internal mixing action either in the MTV unit or the paver hopper.

Basis of Payment The MTV and the hopper insert will not be paid for directly, but will be considered incidental to the related contract pay items.

SPECIAL PROVISION  
DIVISION 400  
 PAVEMENTS

SECTION 401 - HOT MIX ASPHALT PAVEMENT  
 (HMA Hamburg Wheel Tracker Specification)

401.03 Composition of Mixtures The Contractor shall compose the Hot Mix Asphalt Pavement with aggregate, Performance Graded Asphalt Binder (PGAB), and mineral filler if required. HMA shall be designed and tested according to AASHTO R35 and the volumetric criteria in Table 1. The Contractor shall size, uniformly grade, and combine the aggregate fractions in proportions that provide a mixture meeting the grading requirements of the Job Mix Formula (JMF).

The Contractor shall submit for Department approval a JMF to the Central Laboratory in Bangor for each mixture to be supplied. The Department may approve 1 active design per nominal maximum size, per traffic level, per plant, plus a 9.5mm “fine” mix for shimming and where required, a non-RAP design for bridge decks. The Department shall then have 14 calendar days in which to process a new design before approval, not including time needed for Hamburg Wheel Tracker verification testing. The JMF shall establish a single percentage of aggregate passing each sieve size within the limits shown in section 703.09. The mixture shall be designed and produced, including all production tolerances, to comply with the allowable control points for the particular type of mixture as outlined in 703.09. The JMF shall state the original source, gradation, and percentage to be used of each portion of the aggregate including RAP when utilized, and mineral filler if required. It shall also state the proposed PGAB content, the name and location of the refiner, the supplier, the source of PGAB submitted for approval, the type of PGAB modification if applicable, and the location of the terminal if applicable.

In addition, the Contractor shall provide the following information with the proposed JMF:

- Properly completed JMF indicating all mix properties (Gmm, VMA, VFB, etc.)
- Stockpile Gradation Summary
- Design Aggregate Structure Consensus Property Summary
- Design Aggregate Structure Trial Blend Gradation Plots (0.45 power chart)
- Trial Blend Test Results for at least three different asphalt contents
- Design Aggregate Structure for at least three trial blends
- Test results for the selected aggregate blend at a minimum of three binder contents
- Specific Gravity and temperature/viscosity charts for the PGAB to be used
- Recommended mixing and compaction temperatures from the PGAB supplier
- Material Safety Data Sheets (MSDS) For PGAB
- Asphalt Content vs. Air Voids trial blend curve
- Test report for Contractor’s Verification sample
- Summary of RAP test results (if used), including count, average and standard deviation of binder content and gradation

At the time of JMF submittal, the Contractor shall identify and make available the stockpiles of all proposed aggregates at the plant site. There must be a minimum of 150 ton for stone stockpiles, 75 ton for sand stockpiles, and 50 ton of blend sand before the Department will sample. The Department shall obtain samples for laboratory testing. The Contractor shall also make available to the Department the PGAB proposed for use in the mix in sufficient quantity to test the properties of the asphalt and to produce samples for testing of the mixture.

Before the start of paving, the Contractor shall provide the Department with eight boxes of plant produced HMA. The Contractor shall test its split of the sample and determine if the results meet the requirements of the Department’s written policy for mix design verification (See Maine DOT Policies and Procedures for HMA

Sampling and Testing available at the Central Laboratory in Bangor). If the results are found to be acceptable, the Contractor will forward their results to the Department’s Lab, which will test the Department’s split of the sample. The results of the two split samples will be compared and shared between the Department and the Contractor. If the HMA meets the requirements for mix design verification, the mixture will be tested for rutting and moisture sensitivity in the Hamburg Wheel Tracker according to AASHTO T324, “Hamburg Wheel-Track Testing of Hot Mix Asphalt (HMA).” The sample will be required to meet the applicable requirements of Table 1A below for approval, depending on the PG binder grade required by the 403 Special Provision. If the sample meets the requirements of Table 1A, an approved JMF will be forwarded to the Contractor and paving may commence. The Department will have five business days from receipt of the sample at the Central Laboratory to process, test, and report the Hamburg Wheel Tracker sample. The first day’s production shall be monitored, and the approval may be withdrawn if the mixture exhibits undesirable characteristics such as checking, shoving or displacement.

The Contractor shall be allowed to submit aim changes within 24 hours of receipt of the first Acceptance test result. Should all of the Acceptance samples of a Lot be obtained prior to the receipt of the first Acceptance result, the Department will not allow the aim changes to be applied to that Lot. Adjustments will be allowed of up to 2% on the percent passing the 2.36 mm sieve through the 0.075 mm and 3% on the percent passing the 4.75 mm or larger sieves. Adjustments will be allowed on the %PGAB of up to 0.2%. Adjustments will be allowed on GMM of up to 0.010.

The Contractor shall submit a new JMF for approval each time a change in material source or materials properties is proposed. The same approval process shall be followed. The cold feed percentage of any aggregate may be adjusted up to 10 percentage points from the amount listed on the JMF, however no aggregate listed on the JMF shall be eliminated. The cold feed percentage for RAP may be reduced up to 10 percentage points from the amount listed on the JMF and shall not exceed the percentage of RAP approved in the JMF or for the specific application under any circumstances.

TABLE 1: VOLUMETRIC DESIGN CRITERIA

Design ESAL’s (Millions)	Required Density (Percent of G <sub>mm</sub> )			Voids in the Mineral Aggregate (VMA)(Minimum Percent)					Voids Filled with Binder (VFB) (Minimum %)	Fines/Eff. Binder Ratio
				Nominal Maximum Aggregate Size (mm)						
	N <sub>initial</sub>	N <sub>design</sub>	N <sub>max</sub>	25	19	12.5	9.5	4.75		
<0.3	≤91.5	96.0	≤98.0	13.0	14.0	15.0	16.0	16.0	70-80	0.6-1.2
0.3 to <3	≤90.5								65-80	
3 to <10	≤89.0								65-80*	
10 to <30										
≥ 30										

\*For 9.5 mm nominal maximum aggregate size mixtures, the maximum VFB is 82.

\*For 4.75 mm nominal maximum aggregate size mixtures, the maximum VFB is 84.

TABLE 1A: HAMBURG WHEEL TRACKER REQUIREMENTS

Specified PG Binder Grade	Test Temperature (°C)	Maximum Rut Depth (mm)	Minimum Number of Passes	Minimum Allowable SIP*
PG 64-28	45	12.5	20,000	15,000
PG 64E-28	45	8.0	20,000	15,000

\* As calculated by the most recently published version of the MaineDOT HWT worksheet, which is available online at <http://www.maine.gov/mdot/contractors/publications/>

401.18 Quality Control Method A, B & C The following language has been added to Section 401.18:

The project specific QCP shall address the sampling, transport, and testing of Hamburg Wheel Tracker QC samples and what potential steps will be taken if QC samples do not meet the requirements in Table 1A. The project-specific QCP shall also contain a sample Hamburg Wheel Tracker test report for approval. The Contractor shall sample and test HMA Pavement in the Hamburg Wheel Tracker according to AASHTO T324 in accordance with the following minimum frequencies:

TABLE 2A: MINIMUM QUALITY CONTROL FREQUENCIES

Test or Action	Frequency	Test Method
Hamburg Wheel Tracker	1 per 4,000 ton and at least once per Acceptance Lot	AASHTO T 324

The Contractor shall sample the HMA on the first day of production and test the sample in the Hamburg Wheel Tracker according to AASHTO T324. This sample will not count towards the minimum quality control frequency specified in Table 2A. The Contractor shall submit all Hamburg Wheel Tracker test reports in writing, signed by the appropriate technician and present them to the Department within ten working days of initial sampling, except when otherwise noted in the project specific QCP due to local restrictions. The Contractor shall make the raw Hamburg Wheel Tracker data from QC samples available to the Department upon request. If a QC sample fails to meet the criteria in Table 1A, the Contractor will be required to submit a corrective action letter to the Resident, Materials Engineer, Pavement Quality Manager, and Pavement Quality Engineer by the end of the following working day with the proposed changes to bring the mixture back into compliance. The Department will respond and either accept or reject the Contractor's proposed corrective action by the end of the following working day from when the letter was received.

The Department will sample and test the HMA during production to verify compliance with the Hamburg Wheel Tracker Requirements. If a verification sample fails to meet the criteria in Table 1A, the Contractor will be required to submit a corrective action letter to the Resident, Materials Engineer, Pavement Quality Manager, and Pavement Quality Engineer by the end of the following working day with the proposed changes to bring the mixture back into compliance. The Department will respond and either accept or reject the Contractor's proposed corrective action by the end of the following working day from when the letter was received.

**SPECIAL PROVISION**  
**SECTION 403**  
**HOT MIX ASPHALT**

Desc. Of Course	Grad Design.	Item Number	Total Thick	No. Of Layers	Comp. Notes
<b><u>I-95 Northbound - 2" Mill &amp; 1 1/2" HMA Overlay with Shim</u></b>					
<b><u>Mainline Travelways &amp; Adjacent Shoulder (LT &amp; RT as Indicated in Typical)</u></b>					
Wearing	12.5 mm	403.2081	1 1/2"	1	6,7,19,20,22,24,25,26,28,30
Shim	9.5 mm	403.211	variable	1/more	1,2,5,7,11,14,20
<b><u>1 1/2" Mill &amp; 1 1/2" HMA Overlay</u></b>					
<b><u>Bridge Decks – Mainline Travelway &amp; Shoulders</u></b>					
Wearing	12.5 mm	403.2081	1 1/2"	1	2,6,7,17,19,20,24,25,28,30
<b><u>1 1/2" Mill &amp; 1 1/2" HMA Overlay</u></b>					
<b><u>Ramps – Mainline Travelway &amp; Adjacent Shoulders (As Indicated in Typical)</u></b>					
Wearing	12.5 mm	403.2081	1 1/2"	1	6,7,19,28,30
<b><u>I-95 Southbound – 6" Mill &amp; 5 1/2" HMA Overlay with Shim</u></b>					
<b><u>Mainline Travelways &amp; Adjacent Shoulder (LT &amp; RT as Indicated in Typical)</u></b>					
Wearing	12.5 mm	403.2081	1 1/2"	1	6,7,19,20,22,24,25,26,28,30
Intermediate	12.5 mm	403.2131	1 1/2"	1	5,7,19,20,24,25,26,28,30
Shim	9.5 mm	403.211	variable	1/more	1,2,5,7,11,14,20
Base	12.5 mm	403.2131	2 1/2"	1	5,7,24,28,30
<b><u>I-95 Southbound Western Ave Overpass – 8" Mill &amp; 7 1/2" HMA Overlay with Shim</u></b>					
<b><u>Mainline Travelway Only</u></b>					
Wearing	12.5 mm	403.2081	1 1/2"	1	6,7,19,20,22,24,25,26,28,30
Shim	9.5 mm	403.211	variable	1/more	1,2,5,7,11,14,20
Intermediate	12.5 mm	403.2131	3"	1	5,7,24,28,30
Base	12.5 mm	403.2131	3"	1	5,7,24,28,30
<b><u>I-95 Southbound - Shoulders</u></b>					
Wearing	12.5 mm	403.208	1 1/2"	1	1,2,6,7,17
Base	12.5 mm	403.213	1 1/2"	1	1,2,5,7,17
<b><u>Exit 120 Off Ramps Reconstruction Areas – Mainline Travelway &amp; Shoulders</u></b>					
Wearing	12.5 mm	403.2081	1 1/2"	1	6,7,19,28,30
Base	12.5 mm	403.2131	7"	3	5,7,28,30
<b><u>Spot Shims – As Directed By Resident</u></b>					
Shim	9.5 mm	403.211	variable	1/more	1,2,5,7,11,14,20

**COMPLEMENTARY NOTES**

1. The required PGAB for this mixture will meet a **PG 64-28** grading.
2. The incentive/disincentive provisions for density shall not apply. Rollers shall meet the requirements of this special provision. The use of an oscillating steel roller shall be required to compact all mixtures pavements placed on bridge decks.
3. The design traffic level for mix placed shall be <0.3 million ESALS. The design, verification, Quality Control, and Acceptance tests for this mix will be performed at **50 gyrations**.
5. The aggregate qualities shall meet the design traffic level of 3 to <10 million ESALS for mix placed under this contract. The design, verification, Quality Control, and Acceptance tests for this mix will be performed at **75 gyrations**.

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6. The design traffic level for mix placed shall be 10 to <30 million ESALS. The design, verification, Quality Control, and Acceptance tests for this mix will be performed at **75 gyrations**.
7. Section 106.6 Acceptance, (1) Method A.
11. The combined aggregate gradation required for this item shall be classified as a 9.5mm “**fine graded**” mixture, (using the Primary Control Sieve control point) as defined in 703.09.
14. The combined aggregate gradation required for this item shall be classified as a 9.5mm Thin Lift Mixture (TLM) mixture, using the Aggregate Gradation Control Points as defined in 703.09.
17. Compaction of the new Hot Mix Asphalt Pavement will be obtained using a minimal roller train consisting of a **10 ton** vibratory, **12 ton** pneumatic, and a **10 ton** finish roller for roadway work. **Density testing of the mixture will be performed by the QCT using a density meter** (according to ASTM D 2950). The mixture will be rolled until the density readings show less than 1 pcf change for the final roller passes. This density will be used as the target TMD for the mixture. The remaining mixture shall be compacted to a minimum density of 95% of the target density as determined in the control section. The Contractor shall make density test results, including randomly sampled densities, available to the Department’s representative onsite. Summaries of each day’s results, including a daily paving report, summarizing the mixture type, mixture temperature, equipment used, environmental conditions, and number of roller passes, shall be recorded and signed by the QCT and presented to the Department’s representative by the end of the working day. The Department may require cores for informational purposes.
19. The use of a Material Transfer Vehicle (MTV) shall be required on **all mainline travelway and adjacent shoulders that are paved in the same operation** on this layer. See Special Provision 401 – Material Transfer Vehicle for specifics.
20. The Contractor may place the specified HMA pavement course, not to exceed 2 inch compacted depth, over the full single travel lane width, for each production day. If this option is utilized the Contractor will be required to place a matching course of HMA over the adjacent section of travel lane before the end of the following calendar day. The Contractor will also be responsible for installing additional warning signage that clearly defines the centerline elevation differential hazard. Unless otherwise addressed in the contract, the Contractor shall install additional centerline delineation such as a double RPM application, or temporary painted line for centerline depths exceeding ¾” inch, and provide a single RPM application placed on the newly placed pavement for ¾” inch or less layers. The Traffic Control Plan shall be amended to include this option and the additional requirements. All signs and traffic control devices will conform to Section 719.01, and Section 652, and will be installed prior to the work, at a maximum spacing of 0.50 mile for the entire length of effected roadway section. On roadways with two-way traffic, the Contractor will be required to place the specified course over the full width of the mainline traveled way being paved prior to opening the sections to weekend or holiday traffic. If this option is utilized, all additional signing, labor, traffic control devices, or incidentals will not be paid for directly, will be considered incidental to the appropriate 652 items.
22. The final pavement surface shall be evaluated for smoothness in accordance with the most current 400 Special Provision section 402 – Pavement Smoothness. Acceptance limits shall be as outlined under the **Level I** classification.
24. A tack coat of a RS-1, Item #409.15 shall be applied along the longitudinal centerline construction joint, on the horizontal surface immediately adjacent to the construction joint, and in a minimum width of one foot. The rate of application shall be approximately 0.050 to 0.075 G/SY. This application shall be in addition to the normal application of tack coats to the construction joint face and horizontal surfaces prior to placing a new lift.
25. Refer to Special Provision 401 –Hot Mix Asphalt Pavement (Longitudinal joint construction using wedge/taper apparatus) for specifics.

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26. Centerline joint density testing shall be applied to the specified HMA layer. See Special Provision 401 – Hot Mix Asphalt Longitudinal Joint Density for project specifics.
28. The mixture shall meet the minimum requirements of Special Provision 401 – HMA Hamburg Wheel Tracker Specification.
30. The required PGAB shall be a storage-stable, pre-blended, homogeneous, polymer modified asphalt binder that meets **PG 64E-28** grading requirements in AASHTO MP 19.

Tack Coat

A tack coat of emulsified asphalt, RS-1 or RS-1h, Item 409.15 shall be applied to any existing pavement at a rate of approximately 0.030 gal/yd<sup>2</sup>, and on milled pavement approximately 0.05 gal/yd<sup>2</sup> prior to placing a new course. A fog coat of emulsified asphalt shall be applied between shim /base courses and surface course as well as to any bridge membrane prior to the placement of HMA layers at a rate not to exceed 0.030 gal/yd<sup>2</sup>. Tack used will be paid for at the contract unit price for Item 409.15 Bituminous Tack Coat.

**SPECIAL PROVISION**

**SECTION 400**

**Pavements**

(Emulsified Asphalt Seal Coat, Applied)

Description This work consists of furnishing and applying one or more applications of emulsified asphalt sealcoat material on an approved surface in accordance with these specifications, and in reasonably close conformity with the lines shown on the plans or established.

Materials The bituminous material shall meet the applicable requirements of Section 702 – Bituminous Materials. Liquid asphalt grades for the fog coat treatment shall meet the requirements for SS-1, SS-1h, CSS-1, or CSS-1h.

The emulsified asphalt shall be produced by diluting an approved, tested and certified emulsion product at a 1:1 ratio, and thoroughly mixed into a homogenous liquid. Each load shall be accompanied by a loading invoice listing the material supplier, emulsion type, dilution rate, total quantity loaded, and copy of the undiluted emulsified asphalt product certification. The diluted emulsion shall meet the requirements listed in Table 1 of this specification.

Table 1 – Diluted Asphalt Emulsion for Seal Coat

<u>Test requirements for diluted material</u>	<u>Range</u>
Sieve test %	0 – 0.10
Residue by distillation, %	28 - 40
Penetration	40 - 90
Application Temperature	80 – 130 °F

Equipment Emulsified asphalt sealcoat material application equipment shall meet the requirements specified in Section 409 – Bituminous Tack Coat, subsection 409.05 - Equipment.

**CONSTRUCTION PROCEDURES**

Weather Limitations Emulsified asphalt sealcoat material shall not to be applied when the atmospheric temperature is below 10°C (50°F), or pavement temperature below 15°C (59°F).

Emulsified asphalt sealcoat shall not be applied in wet conditions, or when wet weather conditions are forecasted within the cure period.

Preparation of Surface Before application of the bituminous material the surface shall be thoroughly cleaned of all loose and objectionable material. Preparation of the surface shall be considered incidental to the contract. The Contractor shall be responsible for covering all utility irons just prior to application of emulsion and uncovering utilities after application.

Immediately before applying an emulsified asphalt seal coat, the pavement surface must be cleaned with a road sweeper, power broom, or flushed with a water pump-unit to remove dust, dirt, and debris. The pavement surface must be clean and dry before applying the emulsified asphalt sealcoat. If flushing with water is required, it should be completed 24 hours prior to the application of the fog seal to allow for adequate drying.

Application Emulsified asphalt seal coat materials shall be applied by a pressure distributor in a uniform, continuous spread over the area to be treated and within the temperature range specified in Section 702.05 – Application Temperatures or as otherwise listed in this specification. No moisture shall be present on the roadway surface.

Application rates shall generally be in the range of 0.10 to 0.20 gallons per square yard. For the purposes of this specification, the approximate application rate at the time of bid shall be 0.12 gallons per square yard. Should the Department and Contractor determine that the rate of application increase or decrease from the 0.12 gallons per square yard rate, then the unit price shall be adjusted by contract modification.

A control section shall be established to verify application rates before continuing application of seal coat. The control section shall be of sufficient length to verify the approximate emulsion use at the prescribed rate. Generally, the length of control strip should be approximately 2000 linear feet.

During bituminous material application, adequate provisions shall be made to prevent marring and discoloration of adjacent pavements, structures, vehicles, foliage or personal property. The use of skirting or end panels may be required to control application widths, and limit overspray.

Areas found to lack the proper emulsified asphalt seal coat application rate will require a re-application of emulsion material to meet the required rate. Areas requiring re-application will not be paid for directly but shall be considered incidental to the emulsified asphalt seal coat pay item,

In the event that excessive emulsion materials are applied, the use of sand blotter material shall be used to reduce the risk of bleeding and tracking by traffic. Blotter material, if used, will be considered incidental to the emulsified asphalt seal coat pay item.

Traffic Control All traffic shall be kept off the emulsified asphalt seal coat areas for a minimum of 4 hours or until curing is complete. The emulsified asphalt seal coat surface will be considered cured when the emulsion does not track off the treated surface, or when directed by the Department.

#### METHOD OF MEASUREMENT AND BASIS OF PAYMENT

Method of Measurement The Department will measure the emulsified asphalt seal coat by the square meter (square yard). Payment will be for the actual number of square yards applied in accordance with the typicals, Standard Specifications, and Section 109 - Measurement and Payment. Payment shall be full compensation for all labor, materials and equipment required to complete the work in accordance with these specifications.

Basis of Payment The Department will pay for the Work, in place and accepted, in accordance with the applicable sections of the Special Provisions at the contract unit price per square meter (square yard) applied.

Payment will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
410.151 Emulsified Asphalt Seal Coat, Applied	Square Yard

**SPECIAL PROVISION**  
**SECTION 424**  
**FIBER REINFORCED LOW MODULUS OR FIBER MODIFIED ASPHALT**  
**CRACK SEALER**

Description This work shall consist of the furnishing and placement of crack sealing material in the cracks of existing bituminous concrete pavement in accordance with these Special Provisions. Placement shall consist of: 1) crack cleaning and drying, 2) material preparation and application, 3) material finishing and shaping 4) barrier material and application.

Materials The sealant and equipment requirements shall be one of the following options (A or B) and shall be subject to approval by the Department prior to the start of work.

**A.) Fiber Reinforced Low Modulus Crack Sealant Material:**

1. Low Modulus Crack Sealant Material shall conform to AASHTO M 324, Type IV (ASTM D 6690, Type IV) and the following specification.

Cone Penetration	90 - 150
Flow @ 60°C [140°F]	< 3.0mm [ $\frac{1}{8}$ in]
Bond, non-immersed	Three 12.7mm [ $\frac{1}{2}$ in] Specimens Pass <sup>A</sup> 3 cycles @ 200% extension @ -20°F
Resilience, %	60 min
Asphalt Compatibility, ASTM D5329	Pass <sup>B</sup>

<sup>A</sup>The Development at any time during the test procedure of a crack, separation, or other opening that at any point is over 6 mm deep in the sealant or between the sealant and concrete block shall constitute failure of the test specimen. The depth of the crack, separation, or other opening shall be measured perpendicular to the side of the sealant showing the defect.

<sup>B</sup>There shall be no failure in adhesion, formation of any oily exudate at the interface between the sealant and asphaltic concrete or other deleterious effects on the asphaltic concrete or sealant when tested at 140°F.

2. Fibers - Polyester, fully drawn.

Length	10 mm [0.4 in] (max)
Denier	15 dpf (max)
Tenacity	4 gpd (min)
Crimp	none
Color	natural

## Fiber Reinforced Low Modulus Crack Sealant Material Properties:

Fiber concentration            0 to 5 % by weight of Low Modulus Crack Sealant Material; uniform dispersion of fibers

Blending of the fibers with the low modulus crack sealant material shall be in accordance with the recommendations of the manufacturer of the fibers. The % of fibers to be added will be at the Contractor's discretion with final adjustments and approval made by the Department. The asphalt-fiber compound shall be thoroughly mixed before application can begin, and continue if new material is added during the day.

Equipment Equipment used in the performance of the work shall be subject to the Resident's or authorized representative's approval and shall be maintained in a satisfactory working condition at all times.

(a) Air Compressor: Air compressors shall be portable and capable of furnishing not less than 4 yd<sup>3</sup> of air per minute at not less than 120 psi pressure at the nozzle. The compressor shall be equipped with traps that will maintain the compressed air free of oil and water.

(b) Sweeper: Manually operated, gas powered air-broom or self-propelled sweeper designed especially for use in cleaning pavements shall be used to remove debris, dirt, and dust from the cracks.

(c) Hot Air Lance: Should operate with propane and compressed air in combination at 2000°F - 3000°F, exit air heated at not less than 120 psi. The lance should draw propane from no smaller than a 100 lb tank using separate hoses for propane and air draw. The lance shall be designed in such that the flame does not come in contact with the pavement. The hoses shall be wrapped together with reflectorized wrap to keep them together and to protect workers in low light situations.

(d) Hand Tools: Shall consist of V-shaped squeegee, brooms, shovels, metal bars with chisel shaped ends, and any other tools which may be satisfactorily used to accomplish this work.

(e) Melting Kettle: The unit used to melt the packaged joint sealing compound shall be a double boiler, indirect fired type. The space between inner and outer shells shall be filled with a suitable heat transfer oil or substitute having a flash point of not less than 608°F. The kettle shall be equipped with a satisfactory means of agitating and mixing the joint sealer at all times. This may be accomplished by continuous stirring with mechanically operated paddles and/or a continuous circulating gear pump attached to the heating unit. The kettle must be equipped with thermostatic control calibrated between 200°F and 550°F.

(f) Application Wand: The application wand shall apply a controlled flow of material via an insulated or heated hose. The nozzle shall distribute the material as called for in this specification. A pressure regulator shall be provided to regulate pressure at the nozzle. A bypass line into the holding tank is required for use when the nozzle is shut off.

### **B.) Fiber Reinforced Modified Asphalt compound consisting of:**

The sealant shall be a modified asphalt-fiber compound designed specifically for improving the strength and performance of the parent asphalt sealant.

(a) The asphalt binder shall consist of a blend of neat asphalt binder, chemically modified crumb rubber (CMCR), and a polymer package, all of which meet the following specifications:

The binder will meet PG 64-28E requirements after modification including:

PG grade requirements of ASHTO M320

Requirements of AASHTO P70/MP19

Modification, at a minimum, shall consist of adding 7% crumb rubber, and the maximum particle size for the recycled tire rubber shall be 80 mesh (#80 sieve)

The asphalt supplier shall provide testing for both the neat and modified asphalt binders

See below for typical modified test results for 64-28E with crumb rubber:

DSR ORIGINAL

kPa >1.00 @ 64° C. Fail temp = 76+° C

DSR RTFO.

kPa >2.20 @ 64° C. Fail temp = 76+° C

MSCR

J<sub>NR</sub>: 3.2 E <0.5% @ 64° C R3200 (Average% Recovery): >70%

DSR PAV

kPa <6000@ 64° C

Stiffness <300@ -18° C.

M-Value >0.300@ -18° C

(b) Fiber reinforcing materials shall be short-length polyester fibers having the following properties:

Length*	0.25 in. ± 0.02 in.
Elongation at Break (ASTM D2256-90)	35% ± 3%
Melting Point (ASTM D3418-82)	>475°F
Crimps/Inch (ASTM 03937-90)	None
CrossSection	Round
Denier (ASTM D1577-90)	4.5 Nominal dpf
Tensile Strength (ASTM D2256-90)	>70,000 psi
Diameter	0.0008 in. **
Specific Gravity (ASTM D792-91)	1.32 to 1.40

\* At temperatures ranging from ambient to maximum finished product mix temperature

\*\* Subject to normal variations

The modified asphalt-fiber compound shall be mixed at a rate of 8% fiber (weight to weight) of asphalt cement unless otherwise approved by the Department.

The asphalt-fiber compound shall be thoroughly mixed for a minimum of one hour before application can begin. To ensure a uniform fiber distribution in the sealant, and also to limit fluctuations in the application temperature of the blended material, the contractor must have a full melter of sealant mixed, heated to the proper application temperature, and ready for testing at the start of each work day. Once that batch of sealant is emptied from the melter, crack sealing operations will cease for the remainder of the day. No new materials will be allowed to be added to the melter during the work day under any circumstances. Minimum application temperature shall be 320°F.

The Contractor shall supply the melter unit by means of a 3,000 to 5,000 gallon bulk tanker, filled at the asphalt suppliers facility, and accompanied by the a bill of lading, and material data sheet.

A Manufacturer's certificate of material compliance will be furnished to the Department certifying conformance to the above material specifications, including the following:

- Performance Grade of Unmodified Asphalt: PG 64-28S (standard) AASHTO M-320, Table 1
- 7% chemically-modified crumb rubber (CMCR) Composed of 100% 80-mesh recycled tire rubber
- 3-4% specially formulated polymer package
- Performance Grade of Modified Asphalt: PG 64-28E (able to withstand "extremely heavy" traffic loads)
- 8% polyester reinforcing fibers

Blending of the fibers with the modified asphalt binder shall be in accordance with the recommendations of the manufacturer of the fibers, and approval made by the Department.

The contractor shall provide the Resident or authorized representative with a copy of the material manufacturer's recommendations for the sealant material being provided pertaining to heating, application, and reheating prior to the beginning of operations or the changing of materials.

Equipment Equipment used in the performance of the work required by this section of the specification shall be subject to approval by the Department, and maintained in a satisfactory working condition at all times.

(a) Air Compressor: Air compressors shall be capable of furnishing not less than 100 cubic feet of air per minute at not less than 120 psi pressure at the nozzle. The compressor shall be equipped with traps that will maintain the compressed air free of oil and water.

(b) Sweeper: Manually operated, gas powered air-broom or self-propelled sweeper designed especially for use in cleaning highway and airfield pavements shall be used to remove debris, dirt and dust from the cracks.

(c) Hot Air Lance: Should operate with propane and compressed air in combination at 2000°F - 3000°F, exit air heated at not less than 120 psi. The lance should draw propane from no smaller than a 100 lb tank using separate hoses for propane and air draw. The lance shall be designed in such that the flame does not come in contact with the pavement. The hoses shall be wrapped together with reflectorized wrap to keep them together and to protect workers in low light situations.

(d) Melter: The unit used to melt or maintain the modified asphalt crack sealant compound shall have an approximate capacity of 1,000 gallons, and be equipped to maintain the sealant compound at the recommended application temperature. The unit shall be of the indirect fired type, and shall be equipped with a remote heat exchanger and hot oil circulation pump capable of maintaining a consistent temperature of the heat transfer oil. The heat transfer oil shall be circulated to all sides and the bottom of the tank containing the crack sealant compound making a continuous loop back to the heat exchanger and having a flash point of not less than 600°F. The melter shall be equipped with a satisfactory means of agitating the crack sealant at all times. This may be accomplished by continuous stirring with mechanically operated paddles and/or by a circulating gear pump attached to the melter. The melter must be equipped with a thermostatic control calibrated between 200°F and 550°F, and must be capable of pumping an 8% fiber content blend.

(d) Hand Tools: Shall consist of V-shaped squeegee, brooms, shovels, metal bars with chisel shaped ends, and any other tools which may be satisfactorily used to accomplish this work.

(e) Application Wand: The application wand shall apply a controlled flow of material via an insulated or heated hose. The nozzle shall distribute the material as called for in this specification. A pressure regulator shall be provided to regulate pressure at the nozzle. A bypass line into the holding tank is required for use when the nozzle is shut off.

## GENERAL CONSTRUCTION REQUIREMENTS

Weather Crack Sealant Material shall not be applied on a wet surface, after sunset or before sunrise, or when the atmospheric temperature is below 50°F in a shaded area at the job site, or when weather conditions are otherwise unfavorable to proper construction procedures.

Equipment Equipment used in the performance of the work shall meet the requirements of the material and equipment option selected by the Contractor, and approved by the Department. Equipment shall be maintained in a satisfactory working condition at all times.

Preparation All cracks greater than 1/4 inch shall be blown free of loose material, dirt, vegetation, and other debris by high pressure air. Material removed from the crack shall be removed from the pavement surface by means of a power sweeper or appropriate hand tools as required. Cracks showing evidence of vegetation after being blown out shall be additionally cleaned by appropriate hand tools and additionally blown out. All cracks must be blown and heated via the hot air lance a maximum of 5 minutes prior to the crack being sealed. Distance between the hot air lance and the crack sealing unit should be no more than 50 ft to eliminate reinvasion of water, debris, and other incompressible material. All debris, vegetation, and water shall be removed to enhance adhesion of the crack sealing material. THIS WORK SHALL NOT BE DONE IN INCLEMENT WEATHER.

Preparation and Placement of Sealer The crack sealant material shall be heated and applied at the temperature specified by the manufacturer and approved by the Resident or authorized representative. Any material that has been heated above the manufacturer's specification shall not be used. Material that is reheated or held at temperature for an extended period of time may be used as allowed by the manufacturer's specification and approval of the Resident or authorized representative. The Contractor shall provide the Resident or authorized representative with a suitable device for verifying the sealant temperature in the kettle and at the application site. Any over application or spills are to be removed to the satisfaction of the Resident or authorized representative. Any sealed areas with damaged or contaminated sealer or visible voids are to be removed, prepared and resealed at no additional cost to the Department.

Sealer shall be delivered to the crack while the cracks are still hot from the hot air lance preparation through a pressure hose line and applicator shoe. The sealer overbanding area shall be kept to a minimum and not exceed a maximum of 1 1/2 inch wide and 3/32 inch thick. The applicator shall be followed by a V-shaped squeegee to minimize the thickness of the overband. Any loose material on the surface or in the crack, which may contaminate the crack sealer or impede bonding of the sealant to the pavement, is to be removed by hand tools prior to crack filling. No crack filling material shall be applied in a crack that is wet or where frost, snow, or ice is present. The ambient air temperature must be 50°F or higher.

Blotter material such as Glenzoi, Black Beauty or an equivalent material approved by the Department shall be provided by the Contractor and shall be applied to the crack sealer to prevent pickup and tracking. Blotter material shall be incidental to the crack seal item.

Quality of Work Excess of spilled sealer shall be removed from the pavement by approved methods and discarded. Any quality of work determined to be below normal acceptable standards will not be accepted, and will be corrected and/or replaced as directed by the Resident or authorized representative at no additional cost to the Department.

Method of Measurement Low Modulus or Fiber Modified Asphalt Crack Sealer will be measured by the pound of sealant used. The manufacturer's weights of the sealant will be accepted as the basis for measurement. The Department may, at their discretion, take material samples to verify the manufacturers weights provided.

Materials supplied by the gallon will be accompanied by a bill of lading and material certification specifying the pound per gallon conversion, and provide an accurate means to verify gallons used daily in order to accurately convert gallons to pounds.

Basis of Payment The accepted quantity of Low Modulus or Fiber Modified Asphalt Crack Sealer will be paid for at the contract unit price per pound complete in place. This price shall be full compensation for furnishing and placing crack sealer, including cleaning cracks and furnishing and placing barrier or blotter materials if necessary.

Payment will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
424.3331 Low Modulus Crack Sealer, Applied	Pound

**SPECIAL PROVISION**  
**SECTION 424**  
**LOW MODULUS JOINT SEALER**

Description This work shall consist of furnishing all labor, equipment and materials necessary to clean and seal longitudinal and transverse joints that result in the construction of bituminous concrete pavement courses. This material is to be thoroughly applied to the joints during the construction of bituminous pavement courses, to seal the construction joint from deterioration due to the elements, and to adhere the joint materials together.

Materials Asphalt Low Modulus Joint Sealer shall be a modified asphalt and rubber compound designed for sealing and improving the strength and performance of the base asphalt cement and shall conform to ASTM D-3405 and the following specification.

Cone Penetration	90 - 150
Flow @ 60°C [140°F]	< 3.0mm [? in]
Bond, non-immersed	Three 12.7mm [½ in] specimens pass 3 cycles @ 200% extension @ -29°C [-20°F]
Resilience, %	60 min
Asphalt Compatibility, ASTM D5329	pass*

\* There shall be no failure in adhesion, formation of any oily exudate at the interface between the sealant and asphaltic concrete or other deleterious effects on the asphaltic concrete or sealant when tested at 60°C [140°F].

The contractor shall provide the Resident or authorized representative with a copy of the material manufacturer's recommendations pertaining to heating, application, and reheating prior to the beginning of operations or the changing of materials

**CONSTRUCTION REQUIREMENTS**

Weather Low modulus joint sealer shall not be applied on a wet surface, or when the atmospheric temperature is below 10°C [50°F] in a shaded area at the job site, or when weather conditions are otherwise unfavorable to proper construction procedures. An atmospheric temperature of 2°C [36°F] and rising will be permitted on intermediate and base courses, with the time and weather constraints remaining.

Preparation and Placement This work shall be constructed using a low modulus joint sealer. The sealer shall be heated and applied at a temperature between 170°C - 200°C [340°F - 390°F] or as specified by the manufacturer and approved by the Resident. Sealer shall be delivered to the joint through a pressure hose line and applicator shoe. The shoe width and the sealer overbanding area shall vary from 35 mm - 40 mm [1 3/8 in - 1 1/2 in] depending on the joint height variability. The sealer shall be applied at a rate that produces a coating thickness of 3 mm [? in], typical. The material shall not be applied more than 12 hours prior to the placement of any pavement course, and subject to approval by the Resident.

Preparations of Joints All joints shall be swept or blown free of loose material, dirt, and other debris. Material removed from the joint shall be removed from the pavement surface by means of a power sweeper or appropriate hand tools as required. Joints shall additionally be cleaned by appropriate hand tools if contaminants remain on the face. All debris and water shall be removed to enhance adhesion of the joint sealing material. THIS WORK SHALL NOT BE DONE IN INCLEMENT WEATHER.

Equipment Equipment used in the performance of the work shall be subject to the Resident's approval and shall be maintained in a satisfactory working condition at all times.

(a) Sweeper: The sweeper shall be a manually operated, gas powered air-broom, or self-propelled sweeper designed especially for use in cleaning pavements shall be used to remove all debris, dirt, and dust from the joints.

(b) Melting Kettle: The unit used to melt the joint sealing compound shall be a double boiler, indirect fired type. The space between inner and outer shells shall be filled with a suitable heat transfer oil or substitute having a flash point of not less than 320°C [608°F]. The kettle shall be equipped with a satisfactory means of agitating and mixing the joint sealer at all times. This may be accomplished by continuous stirring with mechanically operated paddles and/or a continuous circulating gear pump attached to the heating unit. The kettle must be equipped with thermostatic control calibrated between 94°C [170°F] and 290°C [525°F].

Quality of Work Excess sealer shall be removed from the pavement by approved methods and discarded. Any quality of Work determined to be below normal acceptable standards will not be accepted, and will be corrected and/or replaced as directed by the Resident.

Method of Measurement Low modulus joint sealer will be measured by the meter [foot] applied.

Basis of Payment The accepted quantity of Low modulus joint sealer will be paid for at the contract unit price per meter [foot] complete in place, which price shall be full compensation for furnishing and placing sealer, including all cleaning of joints, and furnishing and placing all materials necessary to perform the work.

Payment will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
424.3333 Low Modulus Joint Sealer, Applied	Meter [Foot]

**SPECIAL PROVISION**  
**SECTION 606**  
**GUARDRAIL**

**(LINEAR DELINEATION SYSTEM)**

Description This work shall consist of furnishing and installing linear delineation system panels on w-beam guardrail.

Materials Each linear delineation system panel shall be 1.5” wide by approximately 11” nominal length, with a minimum of 5 raised lateral ridges spaced at approximately 2.25”. The height of each ridge shall be 0.34” with a 45° profile and a 0.28” radius at the top. Reflective sheeting shall be white or fluorescent yellow and shall meet the applicable requirements of Standard Specification 719.01. Sheeting shall be laminated to thin gauge aluminum with a pre-applied adhesive tape on the back.

Construction Requirements Linear delineation system panels shall be applied at approximately 62.5 ft intervals on tangents (after every tenth post) and 31.25 ft on curves (after every fifth post), and shall be centered vertically on the guardrail beam. Panels shall not be applied to seams or bolt heads; each panel shall be fully attached to only one guardrail beam. The guardrail beam surface shall be cleaned and prepared according to the manufacturer’s instructions. Application air temperature and guardrail surface temperature must be a minimum of 50<sup>0</sup>F (10 C) with rising temperature.

On two directional highways, panels shall be white on both sides of the highway. On one-way or divided highways panels shall be yellow on the left and white on the right.

Method of Measurement Linear delineation system panels will be measured for payment by each, installed, complete in place and accepted.

Basis of Payment The accepted quantity of linear delineation system panels will be paid for at the contract unit price each for all work and materials furnished to install, complete in place, including all incidentals necessary to complete the work.

Payment will be under:

<u>Pay Item</u>	<u>Pay Unit</u>
606.3521 Linear Delineation System Panel	Each

SPECIAL PROVISION  
SECTION 606  
GUARDRAIL  
(Remove and Dispose)

This Section of the Standard Specifications is amended by the addition of the following:

Description This work shall consist of the removing and disposing of existing beam guardrail including existing end treatments, as indicated on the plans.

CONSTRUCTION REQUIREMENTS

General The existing guardrail including existing end treatments, shall be removed and shall become the property of the Contractor to be disposed of off the project.

Method of Measurement Guardrail, Remove and Dispose, will be measured by the foot of rail.

Basis of Payment The quantity of Guardrail, Remove and Dispose, will be paid for at the contract unit price per foot

Payment will made under:

<u>Pay Item</u>	<u>Pay Unit</u>
606.363 Guardrail, Remove and Dispose	Foot

**SPECIAL PROVISION  
SECTION - 627  
GROOVING FOR PAVEMENT MARKING**

Description.

This work shall consist of furnishing and installing a groove in the pavement for placement of pavement markings as shown on the plans or as directed by the Resident.

Construction Requirements.

The grooves shall be cut such that the surface of the groove is uniform with minimal variation in height.

The grooves shall be located where the final pavement marking will be placed according to the plans or as directed by the Resident.

On bituminous pavements the width of the groove shall be 1 inch wider than the width of the painted lines indicated on the plans or as directed by the Resident. Lengths of grooves shall be determined from contract documents.

The final depth of the groove on bituminous pavements shall be 85 mils, plus or minus 5 mils.

The bottom of the groove shall have a smooth, flat finished surface. The use of gang stacked Diamond cutting blades is required for asphalt pavement surfaces. The spacers between blade cuts shall be such that there will be less than a 10 mil rise in the finished groove between the blades.

Grooves shall be clean, dry and free of laitance, oil, dirt, grease, paint or other foreign contaminants before the installation of the pavement marking can begin. The Contractor shall prevent traffic from traversing the grooves, and re-clean grooves, as necessary, prior to application of pavement markings.

Depth plates shall be provided by the contractor to assure that desired groove depth is achieved.

All debris resulting from the installation of the grooves shall be removed and disposed of by the contractor.

Groove position shall be a minimum of 2 inches from the edge of the pavement marking to any longitudinal pavement joint.

Method of Measurement.

Final measurement will not be made except for authorized changes during construction or where significant errors are found in the contract quantity. Where required, grooves will be measured separately and made to the nearest square foot. The revision or correction will be computed and added to or deducted from the contract quantity. When used for sections of broken lines that include the gaps for acceleration/deceleration, auxiliary lanes, and passing zones the length measured for payment shall include only the grooved areas. Breaks will not be included in the length measured for payment.

Basis of Payment.

The accepted quantity of grooves will be paid for at the contract unit price per each of the pay items included in the contract. Payment will be considered full compensation for all labor, equipment, and material necessary to complete the described work, including loading, hauling, stockpiling and disposal of material; and any other incidental items.

Pay Item

Pay Unit

627.30	Grooving for Pavement Marking	Square Foot (ft <sup>2</sup> )
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SPECIAL PROVISION  
SECTION - 627  
HIGH-BUILD WET REFLECTIVE PAVEMENT MARKINGS

Description

This work shall consist of furnishing and installing wet reflective pavement markings as shown on the plans or as directed by the engineer. The pavement markings shall consist of a high-build acrylic waterborne pavement marking paint, AASHTO M 247, Type I glass beads, and wet reflective pavement marking beads/elements. The beads shall be placed as a double drop system to provide wet night retro reflectivity. This work shall be in accordance with Section 627 and accompanying provisions except as modified herein.

Material

All material shall be in accordance with Section 708 - Paint and Preservatives, and specifically as follows.

Item	Section
Type I Drop-On Glass Beads	<a href="#">708.03</a>
High Build Acrylic Waterborne Pavement Marking Paint	<a href="#">627.SP</a>

Wet Reflective Beads

The wet reflective beads used shall be from the following approved products list:

Manufacturer	Product
3M Inc.	All Weather Elements
Potters Industries, Inc.	Visimax
Swarco	plus9spots <sup>®</sup>

Construction Requirements

Application of the wet reflective marking shall consist of placement of the waterborne paint, followed by the application of Type I glass beads immediately followed by the wet reflective beads. The color of the wet reflective beads shall match the color of the line being applied.

The width of the line shall be as shown on the plans.

The waterborne paint shall be applied at a minimum thickness of 16 mils. The thickness may be increased depending upon the manufacturer’s recommendations to properly hold the bead system.

Type I glass beads and the wet reflective beads shall be mechanically applied to the wet paint directly behind the paint spray guns. The order of application and the application rates of the Type I beads and the wet reflective beads shall be based on the manufacturer’s recommendations to provide wet night retro reflectivity.

The completed pavement marking system shall meet the Minimum Initial Retroreflectivity as outlined below.

	<b>White</b>	<b>Yellow</b>
Dry (ASTM E1710)	450mcd	325mcd
Wet Recovery (ASTM E2177)	400mcd	325mcd
Wet Continuous (ASTM E2176)	150mcd	125mcd

The manufacturer of the wet reflective bead shall have a factory representative on site before the contractor begins striping operations. The factory representative shall assure the engineer that the wet reflective system has been calibrated for proper application before the contractor begins. The factory representative shall remain available to periodically assure the engineer the system is being applied according to manufacturer's recommendations.

**Method of Measurement**

Final measurement will not be made except for authorized changes during construction or where appreciable errors are found in the contract quantity. The revision or correction will be computed and added to or deducted from the contract quantity.

Where required, measurement of 4 inch, 6 inch, 12 inch, or 24 inch pavement marking will be made to nearest linear foot. Where broken lines are specified, no deductions will be made for the gaps in pavement marking.

**Basis of Payment**

The accepted quantity of wet reflective pavement markings will be paid at the contract unit price for each of the pay items included in the contract.

<u>Pay Item</u>		<u>Pay Unit</u>
627.304 White or Yellow High-Build Wet Reflective Pavement Markings	4 Inch	Linear Foot
627.306 White or Yellow High-Build Wet Reflective Pavement Markings	6 Inch	Linear Foot
627.312 White or Yellow High-Build Wet Reflective Pavement Markings	12 Inch	Linear Foot
627.324 White or Yellow High-Build Wet Reflective Pavement Markings	24 Inch	Linear Foot

**SPECIAL PROVISION**  
**SECTION 627**  
**PAVEMENT MARKINGS**

The last paragraph of Subsection 627.10, Basis of Payment is revised by the addition of the following:

<u>Pay Item</u>	<u>Pay Unit</u>
627.781 Temporary 6" Painted Pavement Marking Line, White or Yellow	LF

**SPECIAL PROVISION**  
**SECTION 643**  
**(Weigh-In-Motion System)**

**Description**

**IN-ROAD AXLE/WIM SENSORS**

Approximate locations of Northbound Sensors are: 802+35  
Approximate locations of Southbound Sensors are: 802+10

The piezoelectric axle sensors must be Class I piezo Quartz axle sensors and must provide an output capable of use for the purpose of ASTM Type II weigh-in-motion data collection. Two 2 meter quartz sensors will be supplied per lane.

All sensors shall be supplied with sufficient grout and associated hardware for proper installation.

Any temperature compensation device required by the piezoelectric sensor itself must be supplied and installed at no additional cost to insure proper operation and correct data collection.

The sensor constant sensitivity repeatability shall be +/- 7%.

Working temperature range shall be -15 degrees F to 160 degrees F (-25 C to 70 C).

The sensor shall provide a consistent voltage output signal when a vehicle axle makes multiple passes over it, and shall have shielded transmission cable attached. The Shielded Transmission Cable shall be a coaxial cable.

The length of each wheel path sensor length shall be 2 meters.

**Materials**

Contractor will be asked to supply and install the following items under this specification:

- (1) 20 foot long, pressure treated 6 inch by 6 inch post 720.12
- (1) Unpainted aluminum M cabinet (H 51" x W 30" x D 18"), no police door required
- (2) 80 watt solar panels
- Approximately 200 feet of 1.5 inch PVC conduit 715.03
- (1) Vehicle rated junction box 626.11
- (4) Piezoelectric axle sensors per lane (2 per lane each in Fryeburg-Bridgton)
- (4) IMSA loop ducts per lane (2 per lane each in Fryeburg-Bridgton) 718.04

MaineDOT will supply and contractor will install:

- Rack mounted control unit by Electronic Control Measure (ECM)

**NHPP-2085(400) & NHPP-2088(200)**  
**WIN 20854.00 & 20882.00**  
**Augusta, Sidney, & Waterville**  
**Interstate 95 Northbound & Southbound**  
**April 7, 2016**

Method of Measurement. This item shall be paid for at the contract price per each.

Method of Payment. Payment for this item shall be full compensation for furnishing **two** quartz sensors and associated hardware **per lane** as shown on the detail sheet and installation of the devices on the roadway, including any incidentals required to make the system perform as stated on the accompanying plan sheet.

<u>Pay Item</u>		<u>Pay Unit</u>
643.87	Weigh-In-Motion-System	Each

**Plan Sheet**  
**WIM (Weigh-In-Motion) sites are to be installed to these dimensions**

Cabinet:

Cabinet mounting post shall be installed 6 feet in the ground and drilled to the TS 350 breakaway standard

Solar panels shall be installed at 60 degrees from horizontal, facing true south

Sensor:

Lead in saw cuts to be 2 inches deep by 3/8 inch wide

Prior to installing sealant, wires to be held in bottom of saw cut by Styrofoam backer rod

Loops are to be centered in the lane, 6 feet by 6 feet with 4 turns of wire in IMSA loop duct

Loop lead in wires from controller box are to be twisted, and routed separately to each loop for each travel lane.

Sensor wires will not be routed with loop wires. Sensor wires will also be separated from other sensor wires. This will minimize damage to sensor wires when one set needs replacement and another does not.

Trailing edge of loop to trailing edge of loop to be 12 feet

Sensors to be located 13 inches downstream of trailing edge of loop.

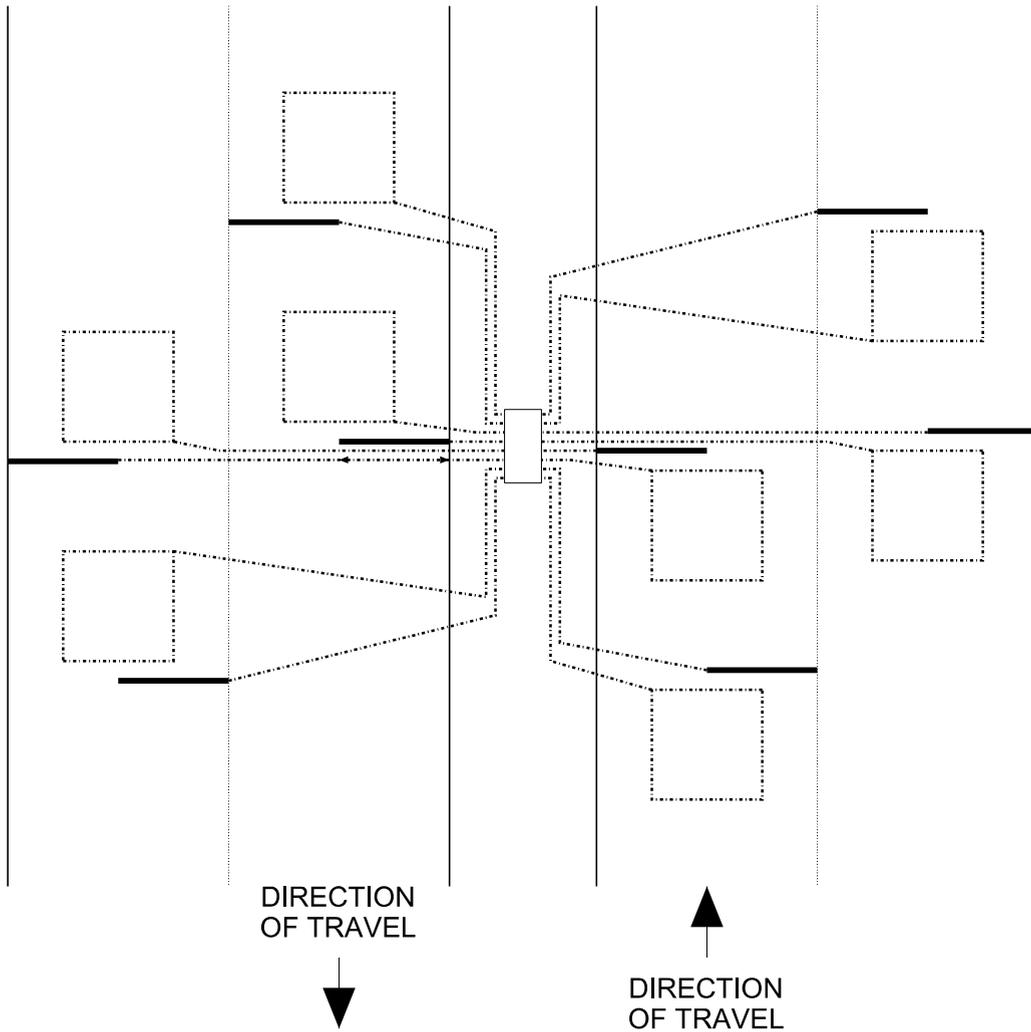
After sensor grout hardens, the grout/ sensor surface will be ground to be level with pavement

**Furthest Sensor/ loop leadin's will not pass in front of nearest sensor array**

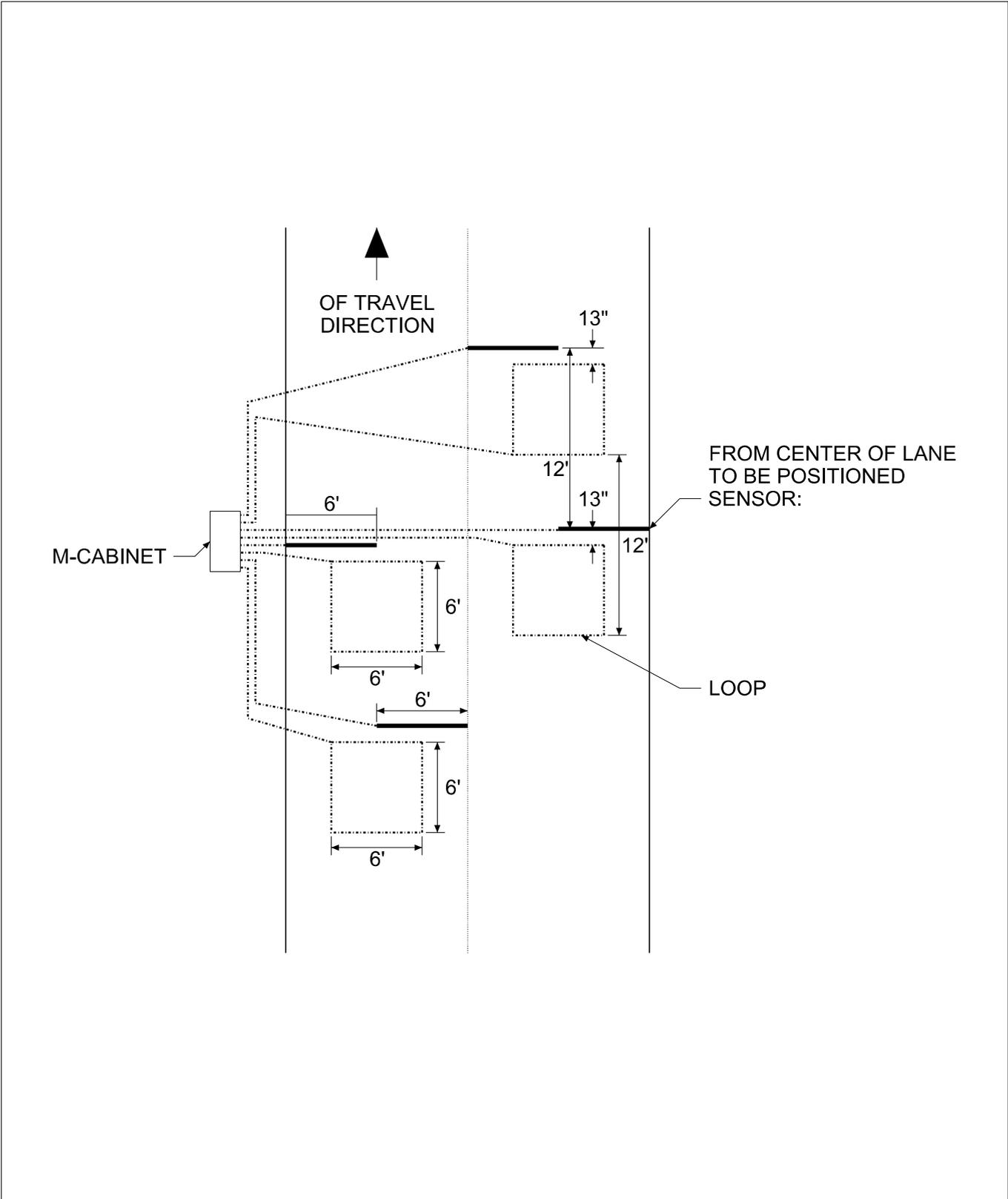
Leading edge to leading edge of sensor to be 12 feet

Center line sensor must be located in advance of shoulder sensor according to travel direction

MaineDOT staff to mark location during construction. Call Ron Cote, MaineDOT WIM Program Coordinator prior to installation. He can be reached via e-mail at [ron.cote@maine.gov](mailto:ron.cote@maine.gov) or via phone at (207) - 446-2305.



<p>A. DEGRACE</p> <p>DETAILER 02/29/2016</p>	<p>WATERVILLE-SIDNEY</p> <p>ROUTE 95</p>	<p>SHEET NUMBER</p> <p><b>1</b></p>
<p>WIN 20882.00</p>	<p>WEIGH IN MOTION</p>	<p>OF 2</p>



A. DEGRACE  
 DETAILER 02/29/2016  
 WIN 20882.00

WATERVILLE-SIDNEY  
 ROUTE 95  
 WEIGH IN MOTION

SHEET NUMBER  
**2**  
 OF 2

SPECIAL PROVISION  
SECTION 645  
HIGHWAY SIGNING  
(Flexible Reflectorized Delineator)

Description This work shall consist of furnishing and installing flexible reflectorized delineators in accordance with the Manufacturer's recommendations in reasonably close conformity with the plans and specifications.

Materials Flexible reflectorized delineators shall be one of the following:

<u>Manufacturer</u>	<u>Model</u>
Safe-Hit Corp.	Safe-Hit Co-extruded Type SH248GP3
	Safe-Hit Co-extruded Type SH254GP3
	Safe-Hit Co-extruded Type SH348GP3

Construction Requirements Flexible delineators shall be installed in accordance with Section 645.062 Installation of Delineators, except that the mounted height of the delineator shall be 1200 mm [4 ft] above the edge of shoulder.

Method of Measurement Flexible reflectorized delineators will be measured by the number of units complete in place.

Basis of Payment The accepted reflectorized delineators will be paid for at the contract unit price each. Such payment will be full compensation for furnishing and installing the delineator and all associated hardware complete in place.

Payment will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
645.306 Flexible Reflectorized Delineator	Each

**SPECIAL PROVISION  
SECTION 652  
MAINTENANCE OF TRAFFIC  
(Automated Speed Limit Sign)**

**Item 652.45 – Automated Trailer-Mounted Speed Limit Sign**

652.1 Description This special provision provides for furnishing, operating, and maintaining an Automated Trailer Mounted Radar Speed Limit Sign for project use. The Contractor shall furnish, operate, and maintain the Automated Trailer Mounted radar Speed Limit Signs during the project operations.

652.1.1 Instruction and maintenance manuals shall be provided.

652.2 Materials

**Automated Trailer Mounted Speed Limit Sign**

Trailer mounted speed limit signs shall be self-contained units including sign assembly, flashing lights, directional radar to measure speed limits, a regulatory speed limit sign, a construction sign stating “Work Zone Speed Limit When Flashing” and power supply specifically constructed to operate as a trailer-mounted sign. The preferred color of the unit shall be “construction orange”.

Signs Base material for the regulatory speed limit signs shall be weather proof, rigid substrate specifically manufactured for highway signing and meet the retro-reflective sheeting application requirements of the sheeting manufacturer.

Sign text shall consist of the letters, digits and symbols either applied by stick-on or silk screen, to conform to the dimensions and designs indicated in the Contract, MUTCD and/or FHWA Standard Highway Signs. The materials and methods shall be in accordance with standard commercial processes.

The regulatory sign should have changeable speed limit numbers.

“Work Zone” construction signs shall be mounted on the trailer unit above and below the regulatory speed limit sign. (see attached detail). The “When Flashing “construction sign shall be added to the trailer, if the Resident deems the sign necessary.

Signs and secondary signs shall follow the MUTCD for minimum mounting heights.

Power supply The power supply shall be either full battery power with solar panel charging (capable of maintaining a charged battery level) and 135 ampere, 12 volt deep cycle batteries, or diesel powered generator with a fuel capacity sufficient for 10 hours of continuous operation.

Flashing Lights Each unit shall be equipped with two mono-directional flashing lights, placed in accordance with the MUTCD, with amber lenses and reflectors, which are visible through a range of 120 degrees when viewed facing the sign. The lights, either strobe, halogen, or incandescent lamps, shall be visible for a minimum distance of one mile under daylight conditions and shall have a minimum flash rate of 40 flashes per minute. An “On” indicator light shall be mounted on the back of the signs, which is visible for at least 500 feet to provide confirmation that the flashing lights are operating.

Radar The directional radar shall monitor approaching traffic only. The radar shall be capable of measuring speeds from 5 to 70 MPH at a distance of up to 1500 feet and shall have a high speed cut off threshold.

### CONSTRUCTION REQUIREMENTS

652.3.2 Responsibility of the Contractor The Contractor shall furnish the automated Trailer Mounted Speed Limit Sign as described in 2.1 for this project.

All existing speed limit signs, which conflict with the construction zone trailer mounted speed limit signs shall be covered completely during the operation of the flashing lights. These signs shall be immediately uncovered when the use of the flashing lights is discontinued.

Automated Trailer Mounted Speed Limit Signs shall be used only during the Contractor’s actual work hours, unless specifically authorized by the Engineer.

The Resident will record the actual time and location for the signs on a daily basis when the Automated Trailer Mounted Speed Limit Signs are in use.

Automated Trailer Mounted Speed Limit Signs shall be located, one on each shoulder, 2,000 feet in advance of the project limits for mainline traffic. Placement of additional “Reminder” signs may be ordered by the Resident.

Automated Trailer Mounted Speed Limit Signs shall be placed outside the clear zone whenever practical and possible. The signs shall be removed outside the clear zone of the traveled way as specified in the Traffic Control Plan when not in use unless protected by portable barrier or equivalent. The signs shall be delineated with retro-reflective temporary traffic control devices while in use and shall also be delineated by affixing a retro-reflective material directly on the trailer.

Upon delivery of the Automated Trailer Mounted Speed Limit Sign and before acceptance by the Department, the Contractor shall have a representative of the manufacturer review the condition and notify the Resident in writing, of all deficiencies noted.

The Contractor shall arrange to have all necessary repairs performed at no cost to the Department.

To avoid impairing driver vision, the Contractor shall dim the lighted speed limit readings by 50 percent during nighttime use, and restore full power lighting during daytime operation.

METHOD OF MEASUREMENT

652.7 Method of Measurement Each Automated Trailer Mounted Speed Limit Sign will be measured as a unit.

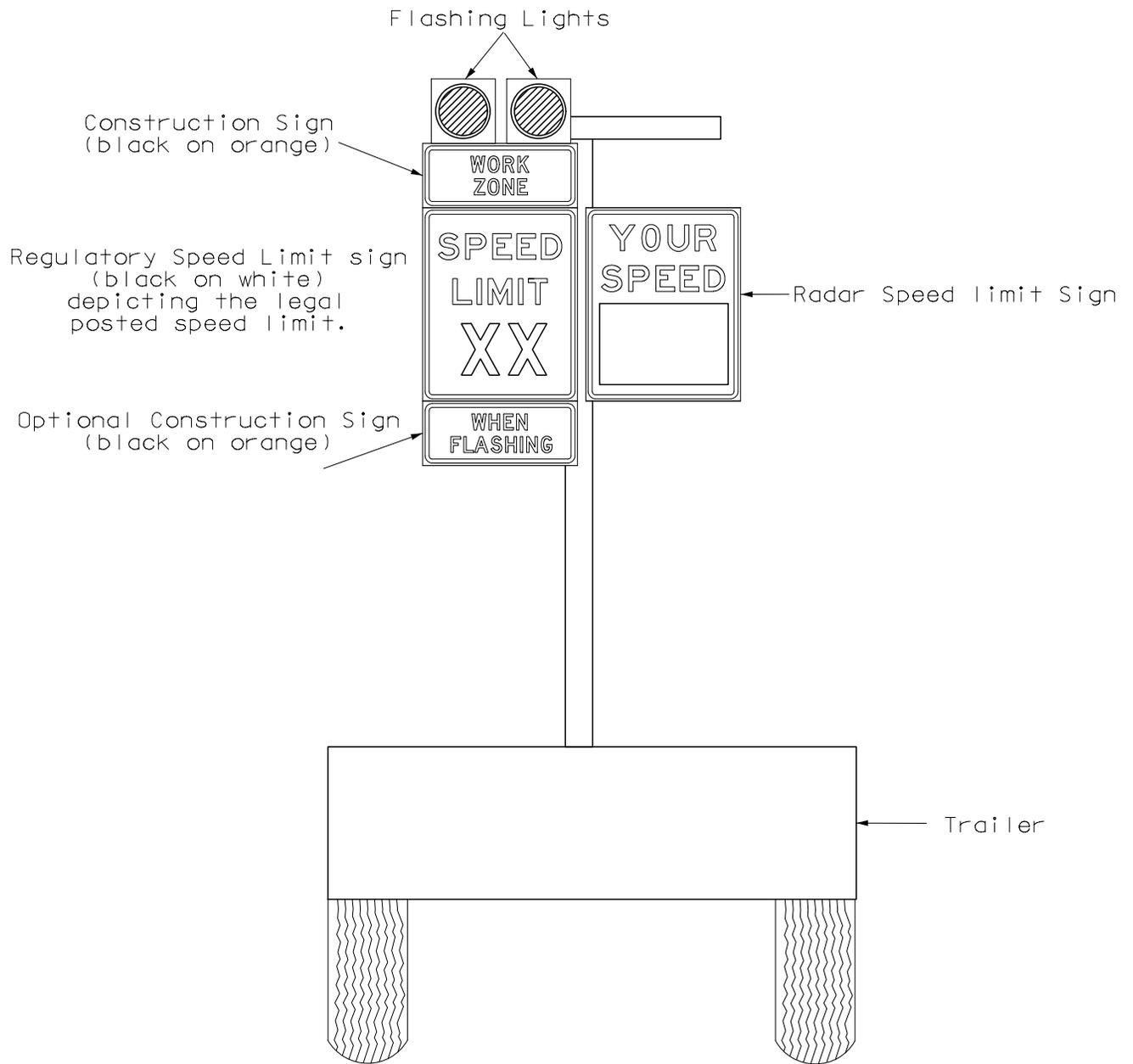
A unit will include the unit as described in 2.1, the trailer, radar Speed Limit Sign, flashing beacon amber lights, regulatory speed limit sign, “Work Zone Speed limit when flashing” construction sign, fuel, necessary maintenance, and all checking of radar Speed Limit Signs by manufacturer. Also included are all project moves including the transporting and delivery of each unit.

BASIS OF PAYMENT

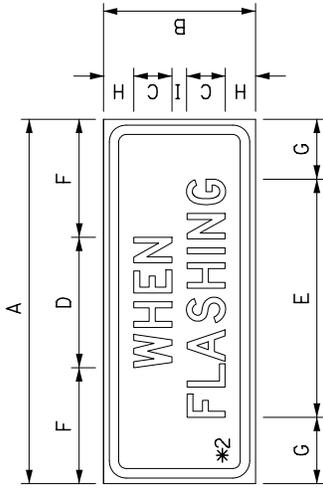
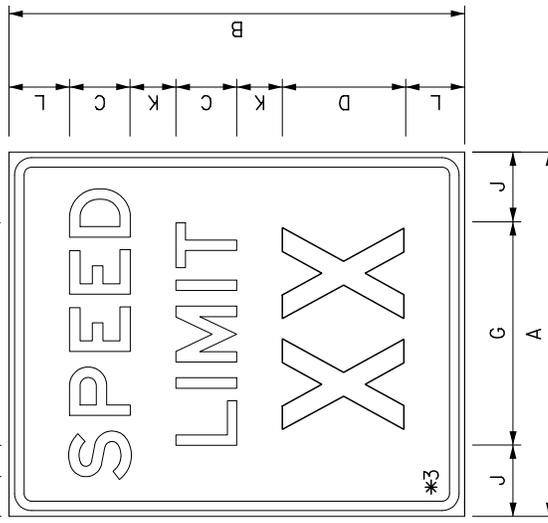
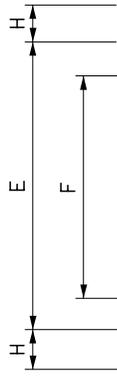
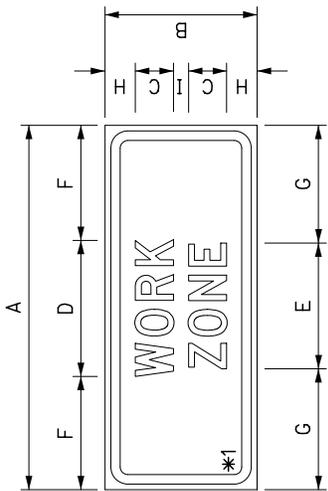
652.8 Basis of Payment The accepted quantity of Automated Trailer Mounted Speed Limit Sign will be paid for at the contract price per unit for the number of units used and accepted.

Payment will be made under:

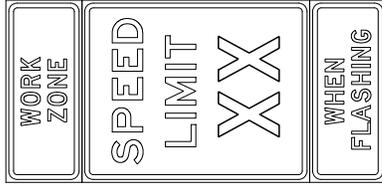
Pay Item	Pay Unit
652.45 Automated Trailer Mounted Speed Limit Sign	Unit



Automated Trailer Mounted Speed Limit Sign  
note: not to scale



\*1 - 1.25" BORDER, 0.75" INDENT, BLACK ON ORANGE; BB GRADE PLYWOOD SIGN  
 \*2 - 1.25" BORDER, 0.75" INDENT, BLACK ON WHITE; BB GRADE PLYWOOD SIGN  
 \*3 - 1.25" BORDER, 0.75" INDENT, BLACK ON WHITE; BB GRADE PLYWOOD SIGN



DIMENSIONS ( inches )/LETTER FONTS												
	A	B	C	D	E	F	G	H	I	J	K	L
*1	48	20	5D	18 1/8	16 5/8	14 7/8	15 5/8	4	2	N/A	N/A	N/A
*2	48	20	5D	17 1/4	31 3/8	15 1/2	8 1/4	4	2	N/A	N/A	N/A
*3	48	60	8E	16E	38 1/4	29 1/4	29 1/2	4 7/8	9 3/8	9 1/4	8	6

CONSTRUCTION SIGN/REGULATORY SIGNS

TRAILER MOUNTED CONSTRUCTION ZONE  
 SPEED LIMIT SIGN

SPECIAL PROVISION  
SECTION 652  
MAINTENANCE OF TRAFFIC

Approaches. Approach signing for the work on the Interstate shall include the following signs

Road Work 3 Miles	Road Work 500 Feet
Road Work 2 Miles	Road Work Next x Miles
Road Work 1 Mile	End Road Work

Work Areas Interstate. At the work sites, signs, flashing arrow boards and channeling devices as shown on the Work Zone Signing details shall be used as directed by the Resident.

Signs Include:

Right or Left Lane Closed 2 Miles  
Lane Ends 1 mile Merge Right or Left Now  
Right or Left Lane Closed 1/2 Mile  
Speed Limit 55\*\*\*<sup>1</sup> (Existing speed limit signs will be covered when in use)  
Fines Doubled\*  
Work Zone<sup>1</sup>  
Do Not Pass\*  
Right/Left Merge Symbol (W 4-2)  
End Work Zone<sup>1</sup>  
Resume Speed  
Exit (green with white legend and border)  
Road Work Ahead<sup>1</sup>  
Merging Traffic Symbol (At on-ramp in right lane closure)  
Stop Ahead (At on-ramp in right lane closure)  
Single Lane Ahead (At on-ramp in left lane closure)  
Stop (At on-ramp in right lane closure)  
Directional Arrows (At on-ramp in right lane closure)

Detour

End Detour

Bump  
Trucks Entering  
Stay In Lane\*  
Left Turning Trucks with 500 Feet Advisory Plate  
Flagger Sign  
Grooved Pavement  
Caution Rumble Strip  
Uneven Lanes  
Motorcycles Use Caution

\* White with black legend and border

<sup>1</sup> In addition to work zone package these signs will also be required at the end of any on ramps that are within the lane closure

The preceding list of Approach signs and Work Area signs are representative of the contract requirements. Other sign legends may be required.

General Requirements-Interstate.

There shall be no diverting of traffic between northbound and southbound lanes.

The Contractor shall provide a minimum traveled way width of 14 Feet through an expressway lane closure.

The maximum length of lane closure shall be 3 miles in length.

Lane closures shall not be set up until work in the area is to be performed and must be removed when no work is being performed.

Lane closures shall be separated by at least 2 miles.

All construction work shall be confined to the lane closed to traffic.

Slow moving construction equipment may travel the closed lane for short distances, **ALL** vehicles shall be orientated with the flow of traffic unless otherwise authorized by the Resident.

**All trucking shall be done in the lane open to traffic.**

No equipment or vehicles of the Contractor, his Subcontractor or employees engaged in work on this contract, shall be parked or stopped on lanes carrying traffic, or on lanes or shoulders adjacent to lanes carrying traffic, at any time.

The Contractor shall keep all paved areas of the roadway as clear as possible at all times. The Contractor's personnel and equipment shall avoid crossing traffic lanes whenever possible.

Road Work Ahead signs shall be used on roads adjacent to the interstate when the Contractor is working on or near an on-ramp or when the on-ramp enters a lane closure area.

**Off shift lane closures will not be permitted.**

All temporary pavement marking lines or markers will be paid under Item 627.78 Temporary 6 Inch Painted Pavement Marking Line, White or Yellow or Item 627.78 Temporary 4 Inch Painted Pavement Marking Line, White or Yellow. TOM's will not be permitted.

12:1 paved tapers constructed of Hot Mix Asphalt shall be placed at all ramps immediately following milling and paving. Millings shall not be used.

**Use of Interstate Crossovers will be at the discretion of the Department. Crossovers shall not be allowed to be utilized to change direction unless a closure package is installed in the northbound passing lane according to Special Provision 652, incorporated into the contractor's traffic control plan, and authorized by the Department. Crossovers may not be used for storage areas.**

The Contractor, his Subcontractor or employees shall conduct all work in a safe and professional manner as it relates to the traveling public (i.e. not adversely disrupting the flow of traffic in an unsafe manner when exiting or entering a lane closure or crossover, negative verbal or physical gestures).

**The intent is that attenuator vehicles are to be used at all stationary operations and under most circumstances. They shall be rated for highway speeds. They shall be used in accordance with manufacturers' recommendations. The use of these vehicles shall be written into the contractor's traffic control plan. The cost for these vehicles shall be considered incidental to the traffic control plan. An example would be culvert work or guardrail replacement. Maximum distance between operations and attenuator vehicles will be 500'. Attenuators shall be present at the beginning of paving and milling operations. . The cost for these vehicles shall be considered incidental to Item 652.36.**

Channelization. Channelization devices shall include the following:

Flashing Arrow Boards

Vertical Panel Markers

Drums ( **In lane closures, The contractor shall place 3 drums across a closed lane every 1500'**)

Cones (**During actual work the contractor shall use cones in the work areas in lieu of Drums with the exception of tapers**)

Channelization devices shall be installed and maintained at the spacing determined by the MUTCD to delineate travel lanes through the project. Vertical Panel markers shall be placed 2 feet from the outside edge of the shoulder on the passing lane at 600 feet intervals when the travel lane is closed in overnight lane closures. The vertical panel marker size shall be 12 inches x 36 inches. The bottom of these panels shall be 4' from the ground below. When directed by the Engineer, drums or other channelization devices shall be placed in the closed lane at a maximum spacing of 2 x speed limit.

Temporary Centerline or Edge Line. A temporary painted centerline and edge line shall be marked each day on all milled surfaces or new pavement to be used by traffic. The temporary line shall conform to the standard marking patterns used for permanent markings and will be paid for under Section 627. Failure to apply a temporary line daily will result in suspension of milling or paving until temporary markings are applied to all previously milled surfaces or placed pavement. In the event of inclement weather that would prevent markings to be applied, MaineDOT will determine the procedure to be followed and whether additional pavement may be removed or placed based upon safety, traffic volumes and patterns.

Item 627.30 Grooving for Pavement Markings must have a temporary painted centerline and edge line applied within according to the following guidelines:

One line grooved	48 hours of grooving
Two or more lines grooved	24 hours of grooving

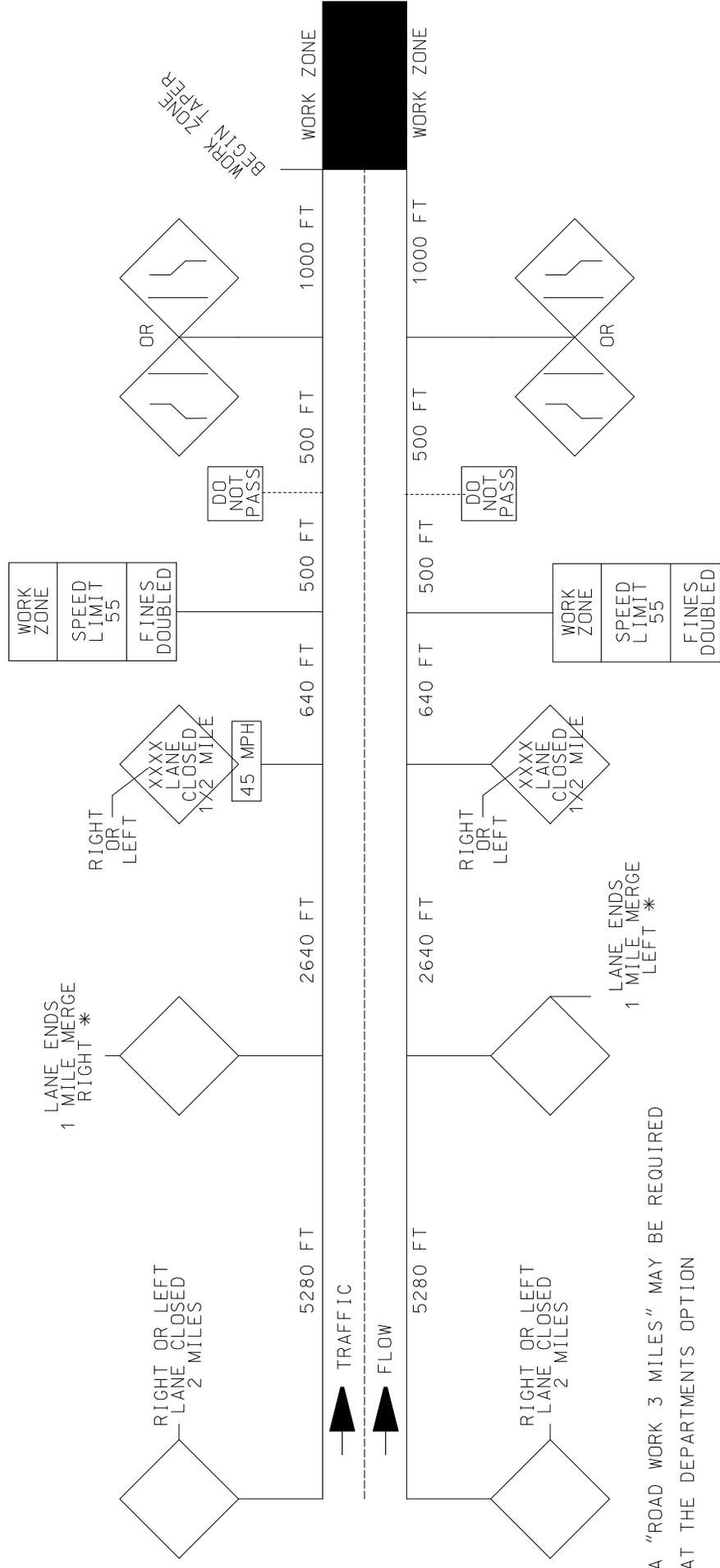
Under no circumstances shall this time span over a weekend or a no work period. Failure to comply with this will result in a traffic control violation until such line has been marked.

Roadside Recovery Area. The Contractor shall not store material nor park equipment within 15 feet of the edge of the established travel lanes.

No long term storage of equipment or material will be allowed within 30 feet of the edge of the established travel lanes. Short term storage of equipment or material less than 30 feet from the edge of the established travel lanes must be approved by the Department and shall be clearly marked by **drums and cones**. Short term storage shall be defined as less than 12 hours. No equipment or material will be allowed within 30 feet of the edge of the established travel lanes at night.

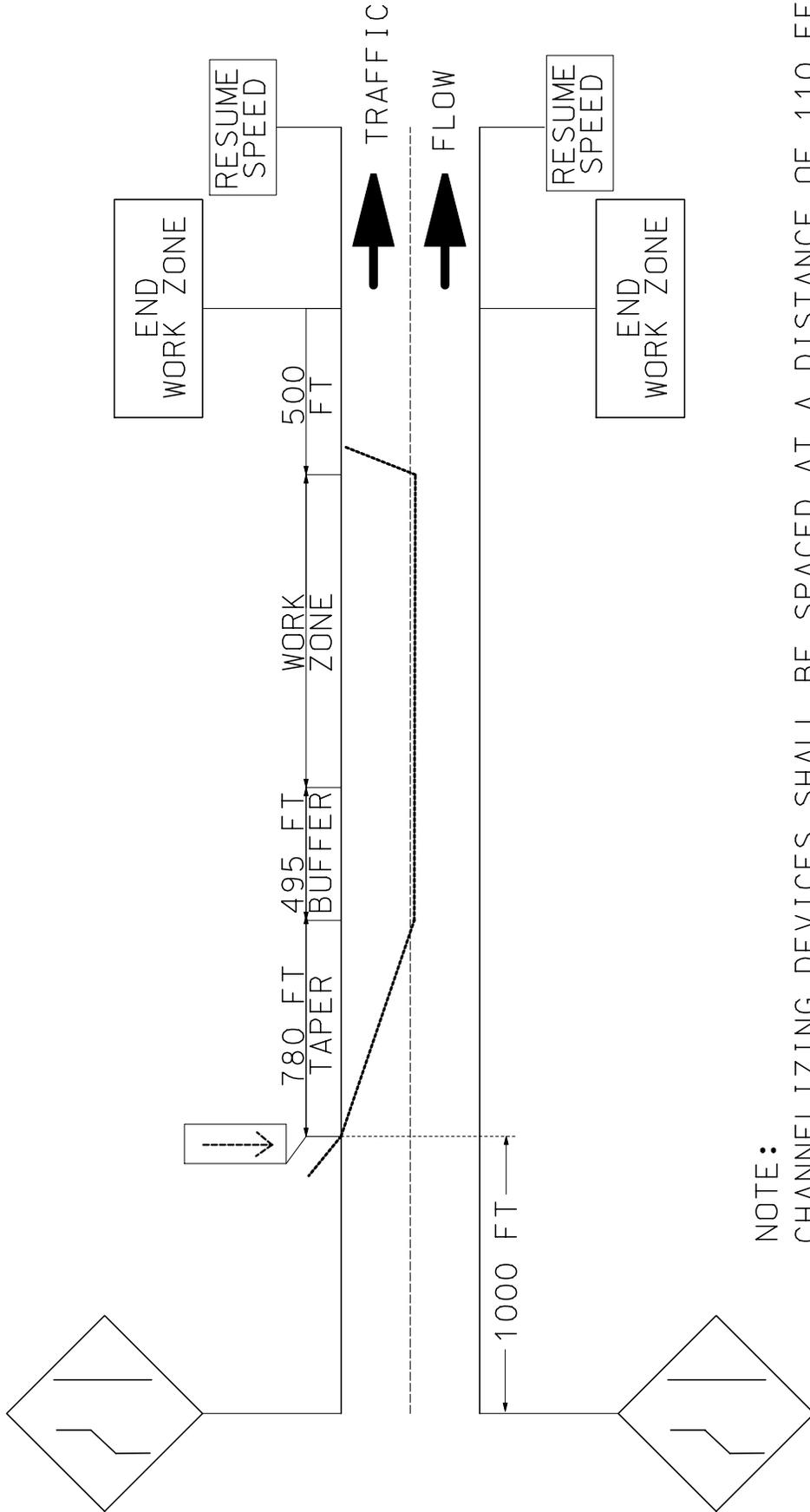
Speed Limits in Work Zones. The Contractor shall sign all approved reduced speed limits on construction project according to APM #431 - A Policy on the Establishment of Speed Limits in Work Zones.

# WORK ZONE SIGNING



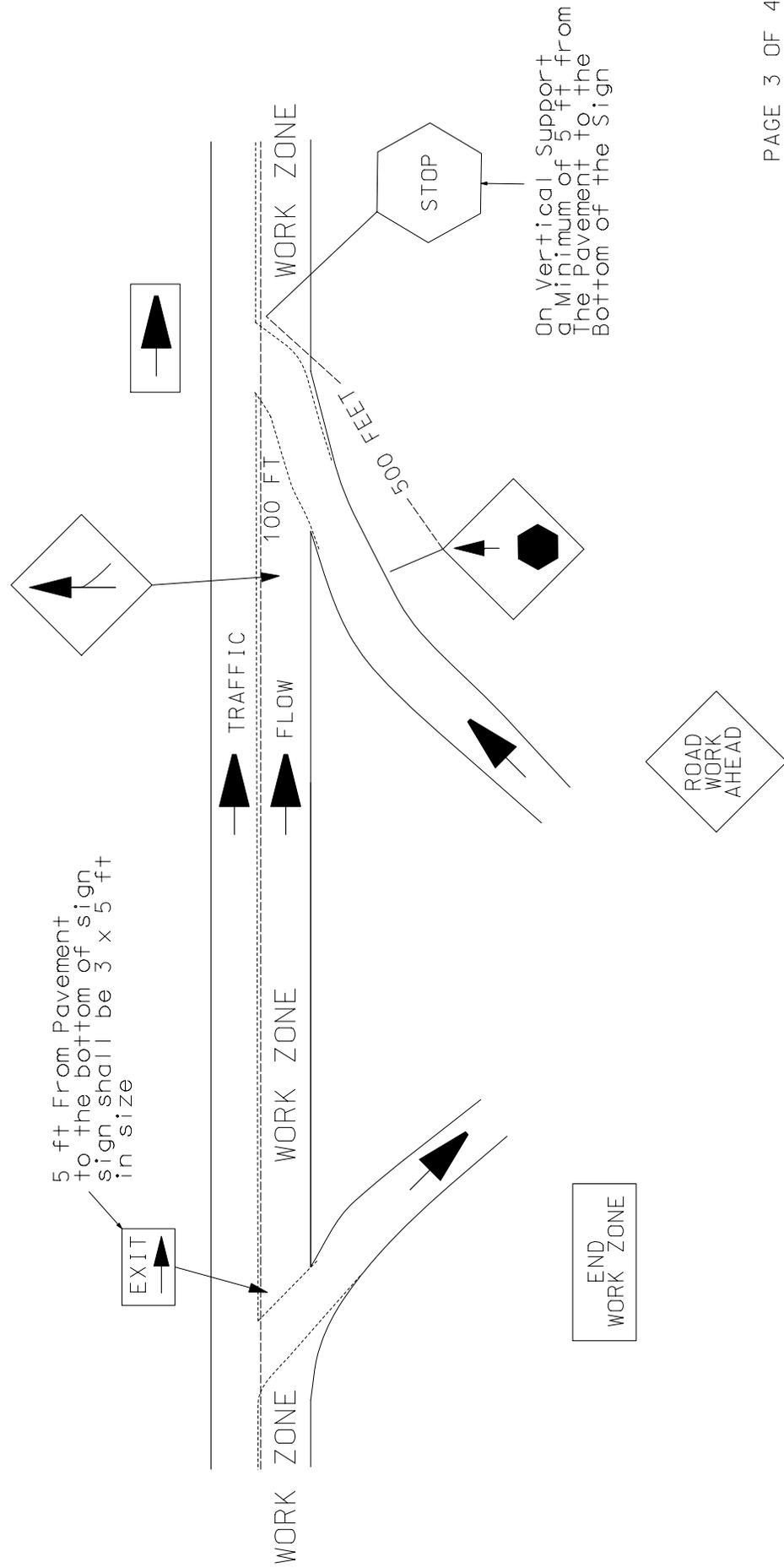
A "ROAD WORK 3 MILES" MAY BE REQUIRED AT THE DEPARTMENT'S OPTION  
 \* LANE ENDS 1 MILE MERGE RIGHT OR LEFT SIGNS SHALL ONLY BE PLACED ON SIDE OF ROAD THAT NEEDS TO MERGE

# WORK ZONE

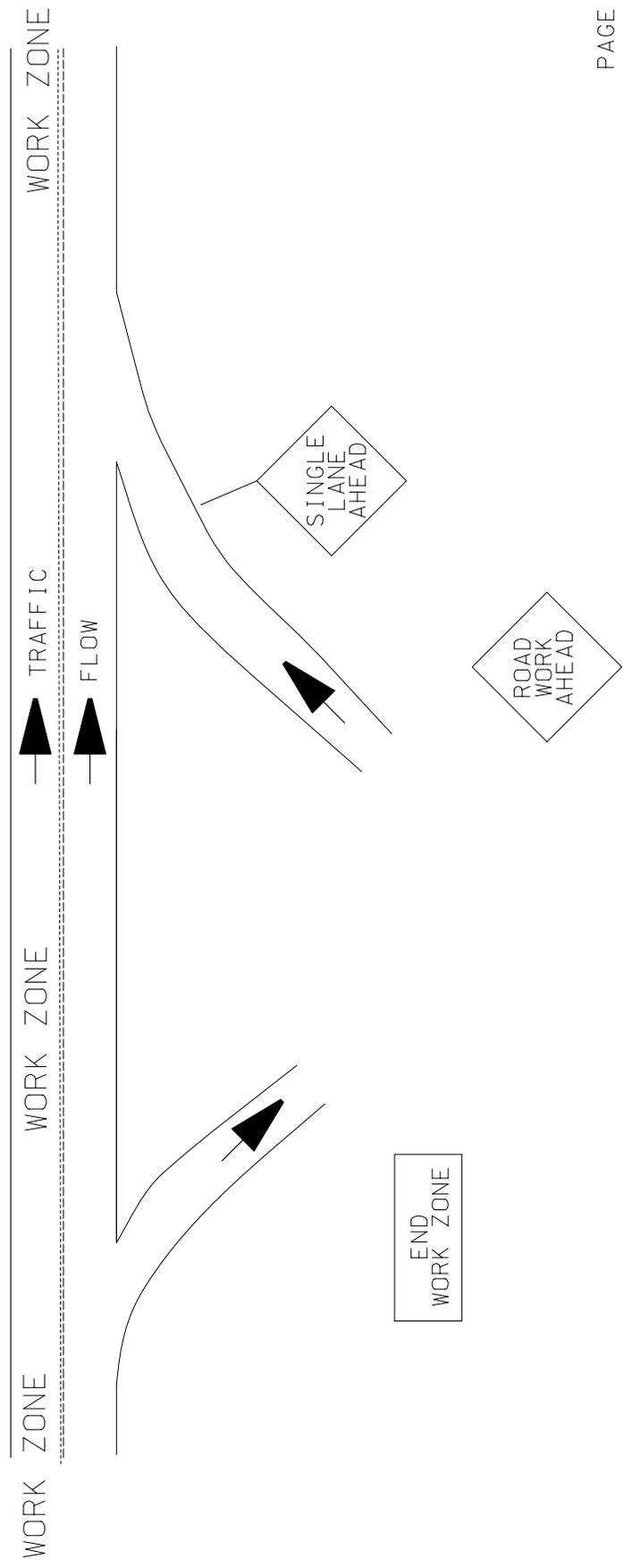


NOTE:  
 CHANNELIZING DEVICES SHALL BE SPACED AT A DISTANCE OF 110 FEET  
 APART WITH 3 DEVICES ACROSS THE CLOSED LANE EVERY 1500 FEET.  
 DEVICES IN THE TAPER SHALL BE SPACED AT 55 FEET APART.

# RAMPS - RIGHT LANE CLOSED



# RAMPS - LEFT LANE CLOSED



SPECIAL PROVISION  
SECTION 652  
MAINTENANCE OF TRAFFIC

Approaches Approach signing shall include the following signs as a minimum. Field conditions may warrant the use of additional signs as determined by the Resident.

- Road work Next x Miles
- Road work 500 Feet
- End Road Work

Work Area At each work site, signs and channelizing devices shall be used as directed by the Resident. Signs include:

- Road Work xxxx <sup>1</sup>
- One Lane Road Ahead
- Flagger Sign

Other typical signs include:

- Be Prepared to Stop
- Low Shoulder
- Bump
- Pavement Ends

The above lists of Approach signs and Work Area signs are representative of the contract Requirements. Other sign legends may be required.

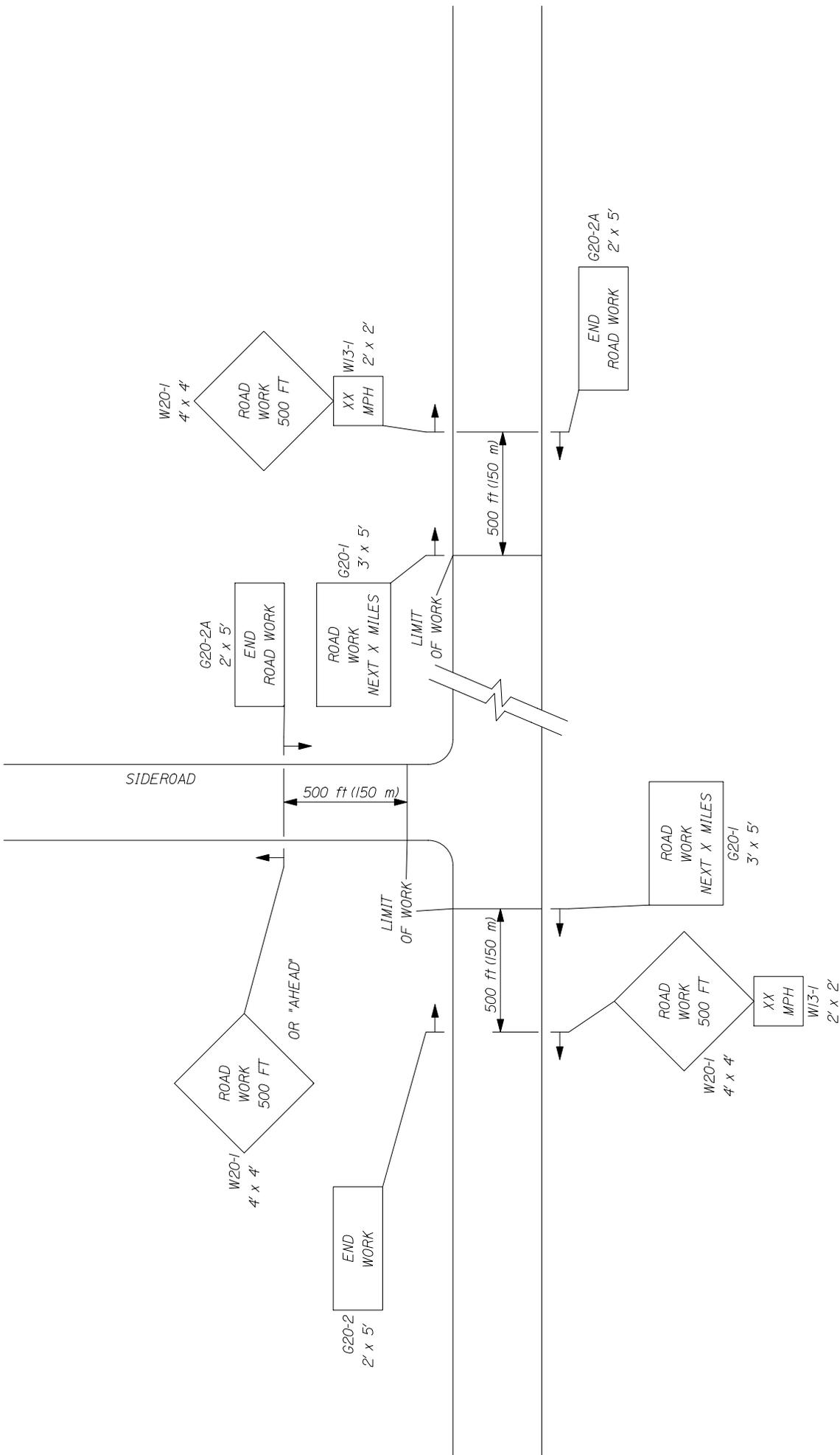
The Contractor shall conduct their operations in such a manner that the roadway will not be restricted to one lane for more than 800 m [2,500 ft] at each work area. To encourage quality paving in warm-weather conditions, the length can be extended to 4,000 ft depending on the traffic impacts. Where more than one work area restricts traffic to one lane operation, these work areas shall be separated by at least 1.6 km [1 mile] of two way operation.

**Temporary Centerline** A temporary centerline shall be placed each day on all new pavement to be used by traffic. The temporary centerline, when specified of reflectorized traffic paint, shall conform to the standard marking patterns used for permanent markings.

Failure to apply a temporary centerline daily will result in a Traffic Control Violation and suspension of paving operations until temporary markers are applied to all previously placed pavement.

<sup>1</sup> “Road Work Ahead” to be used in mobile operations and “Road Work xx ft” to be used in stationary operations as directed by the Resident.

.  
. .  
. .  
. .  
. .



-- PROJECT APPROACH SIGNING --  
TWO WAY TRAFFIC



\* Formulas for L are as follows:

For speed limits of 40 mph (60 km/h) or less:

$$L = \frac{WS^2}{60} \quad (L = \frac{WS^2}{155})$$

For speed limits of 45 mph (70 km/h) or greater:

$$L = WS \quad (L = \frac{WS}{1.6})$$

\* Formulas for L are as follows:

A minimum of 5 channelization devices shall be used in the taper.

TYPE OF TAPER	TAPER LENGTH (L)*
Merging Taper	at least L
Shifting Taper	at least 0.5L
Shoulder Taper	at least 0.33L
One-Lane, Two-Way Traffic Taper	100 ft (30 m) maximum
Downstream Taper	100 ft (30 m) per lane

#### CHANNELIZATION DEVICE SPACING

The spacing of channelization devices shall not exceed a distance equal to 1.0 times the speed limit in mph when used for taper channelization, and a distance in feet of 2.0 times the speed limit in mph when used for tangent channelization.

#### GENERAL NOTES;

1. Final placement of signs and devices may be changed to fit field conditions as approved by the Resident.

Road Type	Distance Between Signs**		
	A	B	C
Urban 30 mph (50 km/h) or less	100 (30)	100 (30)	100 (30)
Urban 35 mph (55 km/h) and greater	350 (100)	350 (100)	350 (100)
Rural	500 (150)	500 (150)	500 (150)
Expressway / Urban Parkway	2,640 (800)	1,500 (450)	1000 (300)

\*\*Distances are shown in feet (meters).

#### SUGGESTED BUFFER ZONE LENGTHS

Speed (mph)	Length (feet)	Speed (mph)	Length (feet)
20	115	40	325
25	155	45	360
30	200	50	425
35	250	55	495

## STANDARD DETAIL UPDATES

Standard Details and Standard Detail updates are available at:  
<http://maine.gov/mdot/contractors/publications/standarddetail/>

<b><u>Detail #</u></b>	<b><u>Description</u></b>	<b><u>Revision Date</u></b>
501(02)	Pipe Pile Splice	3/05/2015
501(03)	H – Pile Splice	3/05/2015
504(07)	Diaphragm & Crossframe Notes	10/13/2015
507(13)	Steel Bridge Railing	6/03/2015
507(14)	Steel Bridge Railing	6/03/2015
507(31)	Barrier – Mounted Steel Bridge	8/06/2015
526(02)	Temporary Concrete Barrier	8/06/2015

SUPPLEMENTAL SPECIFICATIONS  
(Corrections, Additions, & Revisions to Standard Specifications - November 2014)

**SECTION 101**  
**CONTRACT INTERPRETATION**

101.2 Definitions

Page 1-5 – Remove the definition of Bridge in its entirety and replace with:

**“Bridge A structure that is erected over a depression or an obstruction, such as water, a highway or a railway, and has an opening measured along the centerline of the Roadway of more than 20 feet between: The faces of abutments; spring line of arches; extreme ends of openings of box culverts, pipes or pipe arches; or the extreme ends of openings for multiple box culverts, pipes or pipe arches.”**

Page 1-12 – Remove the definition of Large Culvert in its entirety and replace with:

**“Large Culvert Any structure not defined as a Culvert or Bridge that provides a drainage or non-drainage opening under the Roadway or Approaches to the Roadway, with an opening that is 5 feet but less than 10 feet.”**

Remove the definition of Minor Span in its entirety and replace with:

**“Minor Span Same definition as Bridge, except having an opening of between 10 feet and 20 feet, inclusive.”**

**SECTION 104**  
**GENERAL RIGHTS AND RESPONSIBILITIES**

104.4.4 Coordination of Road Closure / Bridge Closure / Bridge Width Restrictions

Revise the last sentence by adding a period after ‘Resident’; remove the “and” after Resident; and adding “**not covered by Pay Items**” between ‘costs’ and ‘will’. So that the last paragraph reads “**All Newspaper notices, radio announcements and any notifications will be subject to the approval of the Resident. All costs not covered by Pay Items will be considered incidental to the Contract.**”.

104.5.5 Prompt Payment of Subcontractors Add the following paragraph to this subsection:

**C. Payment Tracking Federal Projects On federally funded projects, the prime contractor, subcontractors and lower-tier subcontractors will track and confirm the delivery and receipt of all payments through the Elation System. They will be responsible for entering all payments to all sub and lower tier contractors. MaineDOT will run a query monthly to ensure that contractors are complying and generate an e-mail to contractors who have not responded to confirm receipt of MaineDOT payment or contractor payment to lower tier subcontractors.**

## **SECTION 105** **GENERAL SCOPE OF WORK**

105.4.5 Special Detours Remove this subsection in its entirety and replace with:

**“105.4.5 Maintenance of Existing Structures When a new Bridge or Minor Span is being installed on a new alignment and the existing structure is to remain in service, the Department will maintain the existing structure and the portions of the roadway required for maintaining traffic until such time that the new structure is opened to traffic and the existing structure is taken out of service. A similar situation exists when a new Bridge or Minor Span is being installed on the same alignment as the existing structure, requiring a temporary detour to be installed by the Contractor per Section 510, Special Detours, prior to removal of the existing structure. In this case, the Department will maintain the existing structure and the portions of the existing roadway required for maintaining traffic until such time that either the temporary detour is opened to traffic or the Contractor begins any work on the existing structure, including, but not limited to, repairs, modifications, moving, demolition or removal. In either case, once the new structure or temporary detour is opened to traffic, or the Contractor begins any work on the existing structure, the Contractor shall be solely responsible for all maintenance of the existing structure and the portions of the existing approaches that lie outside the new roadway or the temporary detour, respectively. This specification is not intended to supersede Standard Specification Section 104.3.11, Responsibility for Property of Others.”**

105.6.2.4 Department Verification Add the following to the end of the first sentence:  
**“or other approved method, such as reference staking, to allow the Department to independently verify the accuracy of the work, as approved by the Department.”**

## **SECTION 106** **QUALITY**

106.4.1 General - In the first sentence, remove “When required by Special Provision,” and replace with **“When required elsewhere in the Contract, ”**

## **SECTION 108** **PAYMENT**

108.3 Retainage - Remove the paragraph beginning with “ The Contractor may withdraw...” in its entirety.

108.4.1 Price Adjustment for Hot Mix Asphalt:  
Remove this section in its entirety and replace with the following

**For all contracts with hot mix asphalt in excess of 500 tons total, a price adjustment for performance graded binder will be made for the following pay items:**

<b>Item 403.102</b>	<b>Hot Mix Asphalt – Special Areas</b>
<b>Item 403.206</b>	<b>Hot Mix Asphalt - 25 mm</b>

Item 403.207	Hot Mix Asphalt - 19 mm
Item 403.2071	Hot Mix Asphalt - 19 mm (Polymer Modified)
Item 403.2072	Hot Mix Asphalt - 19 mm (Asphalt Rich Base)
Item 403.208	Hot Mix Asphalt - 12.5 mm
Item 403.2081	Hot Mix Asphalt - 12.5 mm (Polymer Modified)
Item 403.209	Hot Mix Asphalt - 9.5 mm (sidewalks, drives, & incidentals)
Item 403.210	Hot Mix Asphalt - 9.5 mm
Item 403.2101	Hot Mix Asphalt - 9.5 mm (Polymer Modified)
Item 403.2102	Hot Mix Asphalt - 9.5 mm (Asphalt Rich Base)
Item 403.2104	Hot Mix Asphalt - 9.5 mm (Thin Lift Surface Treatment)
Item 403.21041	Hot Mix Asphalt - 9.5 mm (Polymer Modified Thin Lift Surface Treatment)
Item 403.211	Hot Mix Asphalt – Shim
Item 403.2111	Hot Mix Asphalt – Shim (Polymer Modified)
Item 403.212	Hot Mix Asphalt - 4.75 mm (Shim)
Item 403.213	Hot Mix Asphalt - 12.5 mm (base and intermediate course)
Item 403.2131	Hot Mix Asphalt - 12.5 mm (base and intermediate course Polymer Modified)
Item 403.2132	Hot Mix Asphalt - 12.5 mm (Asphalt Rich Base and intermediate course)
Item 403.214	Hot Mix Asphalt - 4.75 mm (Surface)
Item 403.235	Hot Mix Asphalt (High Performance Rubberized HMA)
Item 403.301	Hot Mix Asphalt (Asphalt Rubber Gap-Graded)
Item 404.70	Colored Hot Mix Asphalt – 9.5mm (Surface)
Item 404.72	Colored Hot Mix Asphalt – 9.5mm (Islands, sidewalks, & incidentals)
Item 461.13	Light Capital Pavement
Item 462.30	Ultra-Thin Bonded Wearing Course
Item 462.301	Polymer Modified Ultra-Thin Bonded Wearing Course

Price adjustments will be based on the variance in costs for the performance graded binder component of hot mix asphalt. They will be determined as follows:

The quantity of hot mix asphalt for each pay item will be multiplied by the performance graded binder percentages given in the table below times the difference in price between the base price and the period price of asphalt cement. Adjustments will be made upward or downward, as prices increase or decrease.

Item 403.102	-6.2%
Item 403.206	-4.8%
Item 403.207	-5.2%
Item 403.2071	-5.2%
Item 403.2072	-5.8%
Item 403.208	-5.6%
Item 403.2081	-5.6%
Item 403.209	-6.2%
Item 403.210	-6.2%

Item 403.2101–6.2%  
Item 403.2102–6.8%  
Item 403.2104–6.2%  
Item 403.21041–6.2%  
Item 403.211–6.2%  
Item 403.2111–6.2%  
Item 403.212–6.8%  
Item 403.213–5.6%  
Item 403.2131–5.6%  
Item 403.2132–6.2%  
Item 403.214–6.8%  
Item 403.235–5.5%  
Item 403.301–6.2%  
Item 404.70–6.2%  
Item 404.72–6.2%  
Item 461.13–6.5%  
Item 462.30–0.0021 tons/SY  
Item 462.301–0.0021 tons/SY

**Hot Mix Asphalt:** The quantity of hot mix asphalt will be determined from the quantity shown on the progress estimate for each pay period.

**Base Price:** The base price of performance graded binder to be used is the price per standard ton current with the bid opening date. This price is determined by using the average New England Selling Price (Excluding the Connecticut market area), as listed in the Asphalt Weekly Monitor.

**Period Price:** The period price of performance graded binder will be determined by the Department by using the average New England Selling Price (Excluding the Connecticut market area), listed in the Asphalt Weekly Monitor current with the paving date. The maximum Period Price for paving after the adjusted Contract Completion Date will be the Period Price on the adjusted Contract Completion Date.

## **SECTION 109** **CHANGES**

### **109.5.1 Definitions - Types of Delays**

Delete Paragraph ‘A’ in its entirety and replace with:

“A. **Excusable Delay** Except as expressly provided otherwise by this Contract, an "Excusable Delay" is a Delay to the Critical Path that is directly and solely caused by (1) a weather related Event of such an unusually severe nature that a Federal Emergency Disaster is declared. The Contractor will only be entitled to an adjustment of time if the Project falls within the geographic boundaries prescribed under the disaster declaration. or (2) a flooding event at the effected location of the Project that results in a Q25 headwater elevation, or greater, but less than a Q50 headwater elevation. Theoretical headwater elevations will be determined by the Department; actual headwater elevations will be determined by the Contractor and verified by the Department or (3) An Uncontrollable Event.”

## **APPENDIX A TO DIVISION 100**

Remove Section D in its entirety as this is now covered in Section 105.10 EQUAL OPPORTUNITY AND CIVIL RIGHTS.

### **SECTION 203** **EXCAVATION AND EMBANKMENT**

#### 203.02 Materials

At the bottom of page 2-12, add as the first item in the list:

**Crushed Stone, ¾ inch      703.13**

#### 203.042 Rock Excavation and Blasting

On page 2-16, add the word “**No**” to the third sentence in Section 5 Submittals, Subsection V, 1 so that it reads:

**“No blasting products will be allowed on the job site if the date codes are missing.”**

### **SECTION 304** **AGGREGATE BASE AND SUBBASE COURSE**

#### 304.02 Aggregate

Remove the sentence “Aggregate for base and subbase courses shall be material meeting the aggregate type requirements specified in the following table” in its entirety and the table that follows it with headings of ‘Material’ and ‘Aggregate Type’.

304.02 – Aggregate Add the following sentence before the sentence starting with “When designated on the plans...”: **“Aggregate Base Course – Type C will be capped with 2” of millings or Untreated Aggregate Surface Course – Type B. Payment for this material will be made under 304.16”**

### **SECTION 307** **FULL DEPTH RECYCLED PAVEMENT**

Remove this Section in its entirety and replace with:

### **SECTION 307** **FULL DEPTH RECYCLING** **(UNTREATED OR TREATED WITH EMULSIFIED ASPHALT STABILIZER)**

**307.01 Description** This work shall consist of pulverizing a portion of the existing roadway structure into a homogenous mass, adding an emulsified asphalt stabilizer (if required) to the depth of the pulverized material specified in the contract, placing and compacting this material to the lines, grades, and dimensions shown on the plans or established by the Resident.

**MATERIALS**  
Page 5 of 25

**307.02 Pulverized Material** Pulverized material shall consist of the existing asphalt pavement layers and one inch or more as specified of the underlying gravel, pulverized and blended into a homogenous mass. Pulverized material will be processed to 100% passing a 2 inch square mesh sieve.

**307.021 New Aggregate and Additional Recycled Material** New aggregate, if required by the contract, shall meet the requirements of Subsection 703.10 - Aggregate for Untreated Surface Course and Leveling Course, Type A. Aggregate Subbase Course Gravel Type D processed to 100 percent passing a 2 inch square mesh sieve and meeting the requirements of 703.06 – Aggregate for Base and Subbase may be used in areas requiring depths greater than 2 inches. New aggregate, will be measured and paid for under the appropriate item.

Recycled material, if required, shall consist of salvaged asphalt material from the project or from off-site stockpiles that has been processed before use to 100 percent passing a 2 inch square mesh sieve. Recycled material shall be conditionally accepted at the source by the Resident. It shall be free of winter sand, granular fill, construction debris, or other materials not generally considered asphalt pavement.

Recycled material generated and salvaged from the project shall be used within the roadway limits to the extent it is available as described in 307.09. No additional payment will be made for material salvaged from the project.

Recycled material supplied from off-site stockpiles shall be paid for as described in the contract, or by contract modification.

**307.022 Emulsified Asphalt Stabilizer.** If required, the emulsified asphalt stabilizer shall be grade MS-2, MS-4, SS-1, or CSS-1 meeting the requirements of Subsection 702.04 Emulsified Asphalt.

**307.023 Water** Water shall be clean and free from deleterious concentrations of acids, alkalis, salts or other organic or chemical substances.

**307.024 Portland Cement** If required, Portland Cement shall be Type I or II meeting the requirements of AASHTO M85.

**307.025 Hydrated Lime** If required, Hydrated Lime shall meet the requirements of AASHTO M216.

## EQUIPMENT

**307.03 Pulverizer** The pulverizer shall be a self-propelled machine, specifically manufactured for full-depth recycling work and capable of reducing the required existing materials to a size that will pass a 2 inch square mesh sieve. The machine shall be equipped with standard automatic depth controls and must maintain a consistent cutting depth and width. The machine also shall be equipped with a gauge to show depth of material being processed.

**307.04 Liquid Mixer Unit or Distributor.** If treatment of the recycled layer with emulsified asphalt is required by the contract, a liquid mixing unit or distributor shall be used to introduce the emulsified asphalt stabilizer into the pulverized material. The mixing unit shall contain a liquid distribution and mixing system which has been specifically manufactured for full-depth recycling work, capable of mixing the pulverized material with an evenly metered distribution of emulsified asphalt into a homogeneous mixture, to the depth and width required.

The mixing unit shall be designed, equipped, maintained, and operated so that emulsified asphalt stabilizer at constant temperature may be applied uniformly on variable widths of pulverized material up to 6 feet at readily determined and controlled rates from 0.01 to 1.06 gal/yd<sup>2</sup> with uniform pressure and with an allowable variation from any specified rate not to exceed 0.01 gal/ yd<sup>2</sup>. Mixing units shall include a tachometer, pressure gages, and accurate volume measuring devices or a calibrated tank and a thermometer for measuring temperatures of tank contents.

**307.041 Cement or Lime Spreader** If required by the contract, spreading of the Portland Cement or Hydrated Lime shall be done with a spreader truck designed to spread dry particulate (such as Portland Cement or Lime) or other approved means to insure a uniform distribution across the roadway and minimize fugitive dust. Pneumatic application, including through a slotted pipe, will not be permitted. Other systems that have been developed include fog systems, vacuum systems, etc. Slurry applications may also be accepted. The Department reserves the right to accept or reject the method of spreading cement. The Contractor shall provide a method for verifying that the correct amount of cement is being applied.

**307.05 Placement Equipment** Placement of the Full Depth recycled material to the required slope and grade shall be done with an approved highway grader or by another method approved by the Resident.

**307.06 Rollers** The full depth recycled material shall be rolled with a vibratory pad foot roller, a vibratory steel drum soil compactor and a pneumatic tire roller. The pad foot roller drum shall have a minimum of 112 tamping feet 3 inches in height, a minimum contact area per foot of 17 inch<sup>2</sup>, and a minimum width of 84 inches. The vibratory steel drum roller shall have a minimum 84 inch width single drum. The pneumatic tire roller shall meet the requirements of Section 401.10 and the minimum allowable tire pressure shall be 85 psi.

## MIX DESIGN

If treatment of the recycled layer with emulsified asphalt is required by the contract, the Department will supply a mix design for the emulsified asphalt stabilized material based on test results from pavement and soil analysis taken to the design depth. The Department will provide the following information prior to construction:

1. Percent of emulsified asphalt to be used.
2. Quantity of lime or cement to be added.

3. Optimum moisture content for proper compaction.
4. Additional aggregate (if required).

After a test strip has been completed or as the work progresses, it may be necessary for the Resident to make necessary adjustments to the mix design. Changes to compensation will be in accordance with the Mix Design Special Provision.

### CONSTRUCTION REQUIREMENTS

**307.06 Pulverizing** The entire depth of existing pavement shall be pulverized together with 1 inch or more of the underlying gravel into a homogenous mass. All pulverizing shall be done with equipment that will provide a homogenous mass of pulverized material, processed in-place, which will pass a 2 inch square mesh sieve.

**307.07 Weather Limitations** Full depth recycled work shall be performed when;

- A. Recycling operations will be allowed between May 15<sup>th</sup> and September 15<sup>th</sup> inclusive in Zone 1 - Areas north of US Route 2 from Gilead to Bangor and north of Route 9 from Bangor to Calais.
- B. The atmospheric temperature, as determined by an approved thermometer placed in the shade at the recycling location, is 50°F and rising.
- C. When there is no standing water on the surface.
- D. During generally dry conditions, or when weather conditions are such that proper pulverizing, mixing, grading, finishing and curing can be obtained using proper procedures, and when compaction can be accomplished as determined by the Resident.
- E. When the surface is not frozen and when overnight temperatures are expected to be above 32°F.
- F. Wind conditions are such that the spreading of lime or cement on the roadway ahead of the recycling machine will not adversely affect the operation.

**307.08 Surface Tolerance** The complete surface of the Full Depth Recycled course shall be shaped and maintained to a tolerance, above or below the required cross sectional shape, of 3/8 inch.

**307.09 Full Depth Recycling Procedure** New aggregate or recycled material meeting the requirements of Section 307.021 - New Aggregate and Additional Recycled Material, shall be added as necessary to restore cross-slope and/or grade before pulverizing. Locations will be shown on the plans or described in the construction notes. The Resident may add other locations while construction of the project is in progress. The Contractor will use recycled material to the extent it is available, in lieu of new aggregate. The material shall then be pulverized, processed, and blended into a homogeneous mass passing a 2 inch square mesh sieve. Material found not pulverized down to a 2 inch size will be required to be reprocessed by the recycler with successive passes until approved by the Resident.

Should the Contractor be required to add new aggregate or recycled material to restore cross-slope and/or grade after the initial pulverizing process, those areas will require re-processing to blend into a homogenous mass passing a 2 in square mesh sieve.

Sufficient water shall be added during the recycling process to maintain optimum moisture for compaction.

The resultant material from the initial pulverizing processes shall be graded and compacted to the cross-slope and profile shown on the plans or as directed by the Resident. The Contractor will also be responsible for re-establishing the existing profile grade. The completed surface of the full depth recycled course shall be shaped and maintained to a tolerance, above or below the required cross sectional shape, of  $\frac{3}{8}$  inch. Areas not meeting this tolerance will be repaired as described in Section 307.091. The initial pulverizing process density requirements will be the same as Section 307.101 unless otherwise directed by the Resident.

Additives, if required, shall be introduced following completion of the initial pulverizing and blending process. Emulsified asphalt stabilizer shall be incorporated into the top of the processed material as specified in section 307.04 to the depth specified in the contract by use of the liquid mixer unit or a distributor, at the rate specified in the mix design. The emulsified asphalt shall then be uniformly blended into a homogeneous mass until an apparent uniform distribution has occurred. The rate of application may be adjusted as necessary by the Resident. Cement or lime shall be introduced as described in section 307.041. The resultant material shall be graded and compacted to the cross-slope and profile shown on the plans or as directed by the Resident. The Contractor will also be responsible for re-establishing the existing profile grade.

After final compaction, the roadway surface shall be treated with a light application of water, and rolled with pneumatic-tired rollers to create a close-knit texture. The finished layer shall be free from:

- A. Surface laminations.
- B. Segregation of fine and coarse aggregate.
- C. Corrugations, centerline differential, potholes, or any other defects that may adversely affect the performance of the layer, or any layers to be placed upon it.

The Contractor shall protect and maintain the recycled layer until a lift of pavement is applied. Any damage or defects in the layer shall be repaired immediately. An even and uniform surface shall be maintained. The recycled surface shall be swept prior to hot mix asphalt overlay placement.

**307.091 Repairs** Repairs and maintenance of the recycled layers, resulting from damage caused by traffic, weather or environmental conditions, or resulting from damage caused by the Contractor's operations or equipment, shall be completed at no additional cost to the Department.

**For recycled layers stabilized with emulsified asphalt, low areas will be repaired using a hot mix asphalt shim. Areas up to 1 inch high can be repaired by milling or shimming with hot mix asphalt. Areas greater than 1 inch high will be repaired using a hot mix asphalt shim. All repair work will be done with the Resident's approval at the Contractor's expense.**

## **TESTING REQUIREMENTS**

**307.10 Quality Control The Contractor shall operate in accordance with the approved Quality Control Plan (QCP) to assure a product meeting the contract requirements. The QCP shall meet the requirements of Section 106.4 - Quality Control and this Section. The Contractor shall not begin recycling operations until the Department approves the QCP in writing.**

**Prior to performing any recycling process, the Department and the Contractor shall hold a Pre-recycle conference to discuss the recycling schedule, type and amount of equipment to be used, sequence of operations, and traffic control. A copy of the QC random numbers to be used on the project shall be provided to the Resident. All field supervisors including the responsible onsite recycling process supervisor shall attend this meeting.**

**The QCP shall address any items that affect the quality of the Recycling Process including, but not limited to, the following:**

- A. Sources for all materials, including New Aggregate and Additional Recycled Material.**
- B. Make and type of rollers including weight, weight per inch of steel wheels, and average contact pressure for pneumatic tired rollers.**
- C. Testing Plan.**
- D. Recycling operations including recycling speed, methods to ensure that segregation is minimized, grading and compacting operations.**
- E. Methods for protecting the finished product from damage and procedures for any necessary corrective action.**
- F. Method of grade checks.**
- G. Examples of Quality Control forms.**
- H. Name, responsibilities, and qualifications of the Responsible onsite Recycling Supervisor experienced and knowledgeable with the process.**
- I. A note that all testing will be done in accordance with AASHTO and MDOT/ACM procedures.**

**The Project Superintendent shall be named in the QCP, and the responsibilities for successful implementation of the QCP shall be outlined.**

**The Contractor shall sample, test, and evaluate the full depth reclamation process in accordance with the following minimum frequencies:**

**MINIMUM QUALITY CONTROL FREQUENCIES**

<b>Test or Action</b>	<b>Frequency</b>	<b>Test Method</b>
<b>Density</b>	<b>1 per 1000 feet / lane</b>	<b>AASHTO T 310</b>
<b>Air Temperature</b>	<b>4 per day at even intervals</b>	
<b>Surface Temperature</b>	<b>At the beginning and end of each days operation</b>	
<b>Yield of all materials (Daily yield, yield since last test, and total project yield.)</b>	<b>1 per 1000 ft/lane</b>	

The Department may view any QC test and request a QC test at any time. The Contractor shall submit all QC test reports and summaries in writing, signed by the appropriate technician, to the Department’s onsite representative by 1:00 P.M. on the next working day, except when otherwise noted in the QCP due to local restrictions. The Contractor shall make all test results, including randomly sampled densities, available to the Department onsite.

The Contractor shall cease recycling operations whenever one of the following occurs:

- A. The Contractor fails to follow the approved QCP.
- B. The Contractor fails to achieve 98 percent density after corrective action has been taken.
- C. The finished product is visually defective, as determined by the Resident.
- D. The computed yield differs from the mix design by 10 percent or more.

Recycling operations shall not resume until the Department approves the corrective action to be taken.

**307.101 Test Strip** The contractor shall assemble all items of equipment for the recycling operation on the first day of the recycling work. The Contractor shall construct a test strip for the project at a location approved by the Resident. The Responsible onsite Recycling Supervisor will work with Department personnel to determine the suitability of the mixed material, moisture control within the mixed material, and compaction and surface finish. The test strip section is required to:

- A. Demonstrate that the equipment and processes can produce recycled layers to meet the requirements specified in these special provisions.
- B. Determine the effect on the gradation of the recycled material by varying the forward speed of the recycling machine and the rotation rate of the milling drum.
- C. Determine the optimum moisture necessary to achieve proper compaction of the recycled layer.
- D. Determine the sequence and manner of rolling necessary to obtain the compaction requirements and establish a target density. The Contractor and the Department will both conduct testing with their respective gauges at this time.

The test strip shall be at least 300 feet in length of a full lane-width (or a half-road width).

Full recycling production will not start until a passing test strip has been accomplished. If a test strip fails to meet the requirements of this specification, the Contractor will be required to repair or replace the test strip to the satisfaction of the Resident. Any repairs, replacement, or duplication of the test strip will be at the Contractor's expense.

After the test strip has been pulverized, and the roadway brought to proper shape, the Contractor shall add water until it is determined that optimum moisture has been obtained. The test strip shall then be rolled using the specified compaction equipment as directed until the density readings show an increase in dry density of less than 1 pcf for the final four roller passes of each roller. The Contractor and Department will each determine a target density using their respective gauges by performing several additional density tests and averaging them. The average of these tests will be used as the target density of the recycled material for QC and Acceptance purposes.

Following completion of the test strip, compaction of the material shall continue until a density of not less than 98 percent of the test strip target density has been achieved for the full width and depth of the layer. During the construction and compaction of the Full Depth Recycled base, should three consecutive Acceptance test results for density fail to meet a minimum of 95 percent of the target density, or exceed 102 percent of target density, a new test strip shall be constructed.

#### ACCEPTANCE TEST FREQUENCY

Property	Frequency	Test Method
In-place Density	1 per 2000 ft / lane	AASHTO T 310

**308.102 Curing.** No new pavement shall be placed on the full depth recycled pavement until curing has reduced the moisture content to 1 percent or less by total weight of the mixture, or a curing period of 4 days has elapsed, whichever comes first.

**307.11 Method of Measurement** Full Depth Recycled Pavement (Untreated or Treated with Emulsified Asphalt Stabilizer) will be measured by the square yard.

**307.12 Basis of Payment** The accepted quantity of Full Depth Recycled Asphalt Pavement (Untreated or Treated with Emulsified Asphalt Stabilizer) will be paid for at the contract unit price per square yard, complete in-place which price will be full compensation for furnishing all equipment, materials and labor for pulverizing, blending, placing, grading, compacting, and for all incidentals necessary to complete the work.

The addition of materials to restore profile grade and/or cross-slope in areas shown on the plans or described in the construction notes will be paid separately under designated pay items within the contract. No additional payment will be made for materials salvaged from the project.

Payments will be made under:

<u>Pay Item</u>	<u>Pay Unit</u>
307.331 Full Depth Recycled Pavement (Untreated) Yard	Square
307.332 Full Depth Recycled Pavement (with Emulsified Asphalt Stabilizer) 5 in. depth Yard	Square
307.333 Full Depth Recycled Pavement (with Emulsified Asphalt Stabilizer) 6 in. depth Yard	Square

**SECTION 411**  
**UNTREATED AGGREGATE SURFACE COURSE**

411.02 – Aggregate Add the following to the end of the first sentence: “- Type A”

**SECTION 501**  
**FOUNDATION PILES**

501.05 – Method of Measurement

- b. Piles Furnished – After the second sentence, add the sentence “**Measurement will not include any pile tips**”.
- c. Piles in Place – Add the sentence to the end of the second paragraph, “**Measurement will include the pile tips**”.
- d. Pile Tips – Add the words “**on the Pile**” to the end of the sentence.

**SECTION 502**  
**STRUCTURAL CONCRETE**

502.05 Composition and Proportioning

Replace Table 1 with

TABLE 1

Concrete CLASS	Minimum Compressive Strength (PSI)	Permeability as indicated by Surface Resistivity (KOhm-cm)	Entrained Air (%)		Notes
			LSL	USL	
S	3,000	N/A	N/A	N/A	4,5
A	4,000	14	6.0	9.0	1,4,5
P	-----	-----	5.5	7.5	1,2,3,4
LP	5,000	17	6.0	9.0	1,4,5
Fill	3,000	N/A	6.0	9.0	4,5

In the list of information submitted by the contractor for a mix design:

Item J Replace “Target Coulomb Value.” with “Target KOhm-cm Value.”

**Note #1** - Remove, “...Standard Specification Section 711.05, Protective Coating for Concrete Surfaces, and per the manufacturer’s recommendations, at no additional cost to the Department.” and replace with, “...Standard Specification Section 515, Protective Coating for Concrete Surfaces, at no additional cost to the Department.”

502.1703 Acceptance Methods A and B

In the paragraph that starts with “The Department will take Acceptance...” Remove the word chloride from chloride permeability in the last sentence.

Replace the paragraph starting with “Rapid Chloride Permeability specimens...” With the following:

“Surface Resistivity specimens will be tested by the Department in accordance with AASHTO TP-95 at an age  $\geq$  56 days. Four 4 inch x 8 inch cylinders will be cast per subplot placed. The average of three concrete specimens per subplot will constitute a test result and this average will be used to determine the permeability for pay adjustment computations.”

502.1706 Acceptance Method C

Remove in its entirety and Replace with:

**502.1706 Acceptance Method C** The Department will determine the acceptability of the concrete through Acceptance testing. Acceptance tests will include compressive strength, air content and permeability. Method C concrete not meeting the requirements listed in Table 1 shall be removed and replaced at no cost to the Department. At the Department’s sole discretion, material not meeting requirements may be left in place and paid for at a reduced price as described in Section 502.195.

502.1707 Resolution of Disputed Acceptance Test Results

Section B

Remove “Rapid Chloride” from the section heading.  
In paragraph 4 replace T-277 with TP-95

502.192 Pay Adjustment for Chloride Permeability

Remove “Chloride” from the heading and from the first sentence.

Replace the sentence that starts with “values greater than...” and replace with “values less than 10 KOhms-cm for Class A concrete or 11 KOhms-cm for Class LP concrete shall be subject to rejection and replacement, at no additional cost to the Department.”

502.194 Pay Adjustments for Compressive Strength, Chloride Permeability and Air Content, Methods A and B

Remove the word “Chloride” from the section heading and from the equation for CPF.

502.195 Pay Adjustment Method C

In Table 6: Method C Pay Reductions (page 5-53)  
Under “Entrained Air” for “Class Fill”, in the first line,  
change from “< 4.0 (Removal)” to “< **4.5 (Removal)**”

In Table 6: Method C PAY REDUCTIONS, revise the Chloride Permeability section by removing it in its entirety and replacing it with:

Surface Resistivity {Permeability in Kohm-cms and Pay Reduction per CY}			
15-16 (\$50)	13 (\$25)	N/A	N/A
13-14 (\$75)	12(\$50)	N/A	N/A
12 (\$100)	11 (\$75)	N/A	N/A
11 (\$125)	10 (\$100)	N/A	N/A
< 11 (Removal)	< 10 (Removal)	N/A	N/A

**SECTION 504**  
**STRUCTURAL STEEL**

504.26 Welding Remove the second paragraph beginning with “The range of heat....” in its entirety.

504.29 Welding ASTM A 709 HPS 70W Steel. Remove the third paragraph beginning with “Make Weld runoff tabs...” in its entirety.

## **SECTION 527** **ENERGY ABSORBING UNIT**

527.02 Materials This section is revised to read as follows.

527.02 Materials Work Zone Crash Cushions must comply with NCHRP Report 350. Work Zone Crash Cushions shall be selected from MaineDOT's Qualified Products List of Crash Cushions / Impact Attenuators, or an approved equal.

**Acceptance Testing of Precast/Prestressed Concrete**  
**Suggested Revisions to the Standard Specification to Require Acceptance Testing to be done by Representatives of the MaineDOT**

## **SECTION 534** **PRECAST STRUCTURAL CONCRETE**

534.14 Process Control Test Cylinders

Revise this subsection to read:

**“534.14 Acceptance and Quality Control Testing of Concrete Refer to Section 712.061.”**

## **SECTION 535** **PRECAST, PRESTRESSED CONCRETE SUPERSTRUCTURE**

Section 535.08 – Quality Assurance

Revise the second paragraph to read:

**“The QAI will perform acceptance sampling and testing and will witness or review documentation, workmanship and testing to assure the Work is being performed in accordance with the Contract Documents.”**

Section 535.15 - Process Control Test Cylinders

Revise the first paragraph to read:

**“535.15 Acceptance and Quality Control Testing of Concrete Acceptance of structural precast/prestressed units, for each day's production, will be determined by the Department, based on compliance with this specification and satisfactory concrete testing results. At least once per week, the QAI will make 2 concrete cylinders (6 cylinders when the Contract includes permeability requirements) for use by the Department; cylinders shall be standard cured in accordance with AASHTO T23 (ASTM C31). The QAI will perform entrained air content and slump flow testing, determine water-cement ratio and determine temperature of the sampled concrete at the time of cylinder casting. All testing equipment required by the QAI to perform this testing shall be provided in accordance with Standard Specification Section 502.041, Testing Equipment. In addition, the Contractor shall provide a slump cone meeting the requirements of AASHTO T 119. Providing and maintaining testing and curing equipment shall be considered incidental to the work and no additional payment will be made.”**

Insert the following as the second paragraph of Section 535.15:

**“Quality Control concrete test cylinders shall be made for each day’s cast and each form bed used. Cylinders tested to determine strand release strength and design strength shall be field cured in accordance with AASHTO T23 (ASTM C31). 28 day cylinders shall be standard cured. Record unit identification, entrained air content, water-cement ratio, slump flow and temperature of the sampled concrete at the time of cylinder casting.”**

## **SECTION 604** **MANHOLES, INLETS CATCH BASINS**

### 604.04 Adjusting Catch Basins and Manholes,

Add the following paragraph to the end of 604.04 b:

**The Department will allow the use of metal ring inserts set into the manhole top frame or composite risers placed beneath the manhole frame to adjust manhole slope and grade for paving projects. The use of metal ring inserts shall be in accordance with 604.04 d. Ring Insert Requirements. The use of composite risers shall be in accordance with 604.04 e. Composite Riser Requirements.**

Add the following paragraph after the first paragraph of 604.04 c:

**The Department will allow the use of metal ring inserts set into the manhole top frame or composite risers placed beneath the manhole frame to adjust manhole slope and grade for paving projects. The use of metal ring inserts shall be in accordance with 604.04 d. Ring Insert Requirements. The use of composite risers shall be in accordance with 604.04 e. Composite Riser Requirements.**

Add the following sections to 604.04:

**d. Ring Insert Requirements Ring inserts to adjust manhole top frame slope and grade will be allowed in accordance with the following requirements:**

**1) Materials**

- i. All ring inserts must be made of iron. *Multiple ring inserts will not be allowed.* The single ring insert may be any height up to a maximum of 2 inches tall.**
- ii. Ring inserts shall not be welded to the manhole frame to prevent brittle failure of the cast iron frame.**

- iii. Ring inserts shall be fastened to the manhole frame using liquid steel-filled epoxy such as Loctite Fixmaster Steel Liquid or equivalent. The epoxy shall be installed in accordance with the manufacturer's recommendations.

2) Where Ring Inserts May/May Not Be Used

- i. MaineDOT will allow the use of a single manhole ring insert to raise manholes on state and state-aid highways.
- ii. *Manhole ring inserts may not be used along state and state-aid highway sections where the speed limit is 40 miles per hour or more. The standard brick and mortar or flat composite risers beneath the manhole frame must be used at these locations.*

3) Construction Requirements For The Use of Iron Manhole Ring Inserts

- i. Wherever iron ring inserts are used to raise manhole top elevations, the rings shall be fastened to the existing manhole frame using liquid steel-filled epoxy. The liquid steel-filled epoxy shall be placed evenly around the entire manhole frame before placing the ring insert. *Unbonded ring inserts will not be allowed.* If the manufacturer's recommended construction practices result in loose or unacceptable manhole cover restraint, standard brick and mortar or flat composite risers beneath the manhole frame must be used at these locations.

**e. Composite Riser Requirements** Flat or beveled, doughnut-shaped, composite risers placed beneath the manhole frame to adjust slope and grade are allowed. The composite riser shall be fastened to both the top of the concrete cone and bottom of the manhole frame with the manufacturer's recommended epoxy. Composite risers may be used at all locations on state and state-aid highways under any legal speed limit without restriction.

## **SECTION 606** **GUARDRAIL**

**606.09 Basis of Payment** Amend the first sentence of the eighth paragraph of this subsection by removing the word "meter" and replace it with "linear foot".

## **SECTION 619** **MULCH**

**619.07 Basis of Payment**

In the list of Pay Items add "619.12 Mulch" with a Pay Unit of "Unit".

Change the description of 619.1201 from "Mulch" to "Mulch – Plan Quantity"

In the list of Pay Items add "619.13 Bark Mulch" with a Pay Unit of "CY".

Change the description of 619.1301 from “Bark Mulch” to “**Mulch – Plan Quantity**”

In the list of Pay Items add “**619.14 Erosion Control Mix**” with a Pay Unit of “**CY**”.

Change the description of 619.1401 from “Erosion Control Mix” to “**Mulch – Plan Quantity**”

## **SECTION 621** **LANDSCAPING**

### 621.0002 Materials - General

In the list of items change “Organic Humus” to “**Humus**”.

### 621.0019 Plant Pits and Beds

#### c Class A Planting

In the third paragraph beginning with “ The plant pit...” change “½ inch” to “**1 inch**”

## **SECTION 626** **FOUNDATIONS, CONDUIT AND JUNCTION BOXES FOR HIGHWAY SIGNING, LIGHTING AND SIGNALS**

626.033 Polyvinylchloride Conduit Installation Amend the following subsection by adding the following paragraph to its end:

### “NON-METALLIC UNDER PAVEMENT CONDUIT INSTALLATION

**Where noted on the drawings, non-metallic under pavement conduit of schedule 80 or greater rating shall be provided to facilitate conduit crossing of the existing highway and ramps without disruption to the existing highway and ramp pavement surface. The non-metallic under pavement conduit shall be hydraulically jacked or directional bored below the highway and ramp at a depth of not less than (36 inches). Under pavement conduit shall extend for a distance of (10 feet) beyond the highway or ramp edge at each side.”**

### 626.034 Concrete Foundations

On Page 6-85, add the following paragraph before the paragraph beginning with “Drilled shafts shall not be...”.

**“ No foundation design will be required for 18- and 24-inch diameter foundations for structures less than 30-feet tall and with no projecting arms. A foundation design prepared by a Professional Engineer licensed in accordance with the laws of the State of Maine will be required for all other foundations Precast foundations will be permitted for 18 and 24-inch diameter foundations for structures less than 30-feet tall and with no projecting arms. Where precast foundations are permitted flowable concrete fill shall be used as backfill in the annular space, and placed from the bottom up. Construction of precast foundations shall conform to the Standard Details and all requirements of Section 712.061 except that the concrete shall have a minimum permeability of 17 kOhm-cm and the use of calcium nitrite will not be required. “**

On Page 6-86, add the following to the paragraph beginning with “Concrete for drilled shafts...” so that it reads as follows:

“...The Contractor shall provide temporary dewatering of excavations for foundations such that concrete is placed in the dry. **Concrete for drilled shafts shall be placed in accordance with Section 502.10 as temporary casing is withdrawn to prevent debris from contaminating the foundation and to ensure concrete is cast against the surrounding soil. Concrete for drilled shafts and spread footings shall be Class A in accordance with Section 502 - Structural Concrete. Precast foundations will not be permitted except as specified above in this Section.** Backfill for spread footing foundations shall be Gravel Borrow meeting the requirements of Section 703.20 - Gravel Borrow.....”

626.05 Basis of Payment Amend this subsection by adding the following paragraph and Pay Item:

“Payment will be made for the total number of (linear feet) of under pavement conduit actually furnished, installed and accepted at the contract price per (linear foot). This price shall include the cost of: furnishing and installing the conduit; excavating; furnishing special backfilling materials, pull wire, fittings, grounding and bonding; test cleaning interiors of conduits and all materials, labor, equipment and incidentals necessary to complete the work.”

<b>Pay Item</b>	<b>Pay Unit</b>
626.251 Non-Metallic Under pavement Conduit (Schedule 80 or greater rating)	(Linear Foot)

## **SECTION 627** **PAVEMENT MARKINGS**

627.10 Basis of Payment Remove the existing “627.78 Temporary Pavement Marking Line, White or Yellow” and replace with: **627.78 TEMP 4" PAINT PVMT MARK LINE W  
OR Y LF**

## **SECTION 652** **MAINTENANCE OF TRAFFIC**

652.3 Submittal of Traffic Control Plan On page **6-148**, note **f**, in the last sentence revise the “105.2.2” to “105.2.3” so that the last sentence reads, “**For a related provision, see Section 105.2.3 – Project Specific Emergency Planning.**”.

652.3.4 General Revise the eighth paragraph by removing “Earth Berm” and replace it with “**Concrete Barrier**”.

652.4 Flaggers In the first paragraph, revise the fifth sentence which says:

For nighttime conditions, Class 3 apparel, meeting ANSI 107-2004, shall be worn along with a hardhat with 360° retro-reflectivity.

So that it reads:

**For nighttime conditions, Class 3 apparel, meeting ANSI 107-2004, including a Class 3 top (vest, shirt or jacket) and a Class E bottom (pants or coveralls), shall be worn along with a hardhat with 360 ° retro-reflectivity.**

652.41 TRAFFIC OFFICERS

Revise this subsection so that the subsection number and title is  
**“652.4.1 TRAFFIC OFFICERS”**

**SECTION 656**

**TEMPORARY SOIL EROSION AND WATER POLLUTION CONTROL**

656.5.2 If No Pay Item Add the following to the end of the first paragraph:

**“Failure by the Contractor to follow Standard Specification or Special Provision - Section 656 will result in a violation letter and a reduction in payment as shown in the schedule list in 656.5.1. The Department’s Resident or any other representative of The Department reserves the right to suspend the work at any time and request a meeting to discuss violations and remedies. The Department shall not be held responsible for any delay in the work due to any suspension under this item.”**

**SECTION 660**

**ON-THE-JOB TRAINING**

660.06 Method of Measurement

Remove the first sentence in its entirety and replace with **“ The OJT item will be measured by the number of OJT hours by a trainee who has successfully completed an approved training program.”**

660.07 Basis of payment to the Contractor

Remove the last word in the first sentence so that the first sentence reads **“ The OJT shall be paid for once successfully completed at the contract unit price per hour.”**

Payment will be made under

Change the Pay Item from **“660.22”** to **“660.21”** and change the Pay Unit from **“Each”** to **“Hour”**.

## **SECTION 674**

### **PREFABRICATED CONCRETE MODULAR GRAVITY WALL**

#### 674.02 Materials

Amend this section by adding the following after “Concrete Units:” and before the paragraph beginning with “Tolerances”.

**Concrete shall be Class P. The concrete shall contain a minimum of 5.5 gallons per cubic yard of calcium nitrite solution.**

**The minimum permeability of the concrete as indicated by Surface Resistivity shall be 17 KOhm-cm.**

**Defects Defects which may cause rejection of precast units include, but are not limited to, the following:**

**Any discontinuity (crack, rock pocket, etc.) of the concrete which could allow moisture to reach the reinforcing steel.**

**Rock pockets or honeycomb over 6 square inches in area or over 1 inch deep.**

**Edge or corner breakage exceeding 12 inches in length or 1 inch in depth.**

**Any other defect that clearly and substantially impacts the quality, durability, or maintainability of the structure, as determined by the Fabrication Engineer.**

**Repair honeycombing, ragged or irregular edges and other non-structural or cosmetic defects using a patching material from the MaineDOT Qualified Products List (QPL). The repair, including preparation of the repair area, mixing and application and curing of the patching material, shall be in accordance with the manufacturer's product data sheet. Corners that are not exposed in the final product may be ground smooth with no further repair necessary if the depth of the defect does not exceed 1/2 inch. Remove form ties and other hardware to a depth of not less than 1 inch from the face of the concrete and patch the holes using a patching material from the MaineDOT QPL.**

**Repair structural defects only with the approval of the Fabrication Engineer. Submit a nonconformance report (NCR) to the Fabrication Engineer with a proposed repair procedure. Do not perform structural repairs without an NCR that has been reviewed by the Fabrication Engineer. Structural defects include, but are not be limited to, exposed reinforcing steel or strand, cracks in bearing areas, through cracks and cracks 0.013 inch in width that extend more than 12 inches in length in any direction. Give the QAI adequate notice prior to beginning any structural repairs.**

## **SECTION 677**

On page 6 - 203 change “636.041” to “677.041”

## **SECTION 703** **AGGREGATES**

### 703.0201 Alkali Silica Reactive Aggregates

Remove this section in its entirety and replace with the following:

**703.0201 Alkali Silica Reactive Aggregates. All coarse and fine aggregates proposed for use in concrete shall be tested for Alkali Silica Reactivity (ASR) potential under AASHTO T 303 (ASTM C 1260), Accelerated Detection of Potentially Deleterious Expansion of Mortar Bars Due to Alkali-Silica Reaction, prior to being accepted for use. Acceptance will be based on testing performed by an accredited independent lab submitted to the Department. Aggregate submittals will be required on a 5-year cycle, unless the source or character of the aggregate in question has changed within 5 years from the last test date.**

**As per AASHTO T 303 (ASTM C 1260): Use of a particular coarse or fine aggregate will be allowed with no restrictions when the mortar bars made with this aggregate expand less than or equal to 0.10 percent at 30 days from casting. Use of a particular coarse or fine aggregate will be classified as potentially reactive when the mortar bars made with this aggregate expand greater than 0.10 percent at 30 days from casting. Use of this aggregate will only be allowed with the use of cement-pozzolan blends and/or chemical admixtures that result in mortar bar expansion of less than 0.10 percent at 30 days from casting as tested under ASTM C 1567.**

**Acceptable pozzolans and chemical admixtures that may be used when an aggregate is classified as potentially reactive include, but are not limited to the following:**

**Class F Coal Fly Ash meeting the requirements of AASHTO M 295.**

**Ground Granulated Blast Furnace Slag (Grade 100 or 120) meeting the requirements of AASHTO M 302.**

**Densified Silica Fume meeting the requirements of AASHTO M 307.**

**Lithium based admixtures**

**Metakaolin**

**Pozzolans or chemical admixtures required to offset the effects of potentially reactive aggregates will be incorporated into the concrete at no additional cost to the Department.**

703.06 Aggregate for Base and Subbase - Remove the first two paragraphs in their entirety and replace with these:

**“The following shall apply to Sections (a.) and (c.) below. The material shall have a Micro-Deval value of 25.0 or less as determined by AASHTO T 327. If the Micro-Deval value exceeds 25.0, the Washington State Degradation DOT Test Method T113, Method of Test for Determination of Degradation Value (January 2009 version) shall be performed, except that the test shall be performed on the portion of the sample that passes the ½ in sieve and is retained on the No. 10 sieve. If the material has a Washington Degradation value of less than 15, the material shall be rejected.**

**The material used in Section (b.) below shall have a Micro-Deval value of 25.0 or less as determined by AASHTO T 327. If the Micro-Deval value exceeds 25.0 the material may be used if it does not exceed 25 percent loss on AASHTO T 96, Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine. “**

703.19 Granular Borrow

Remove the gradation requirements table, and replace with the following:

Sieve Designation	Percentage by Weight Passing Square Mesh Sieves	
	Material for Underwater Backfill	Material for Embankment Construction
6 inch	100	
No. 40	0-70	0-70
No. 200	0-7.0	0-20.0

703.33 Stone Ballast - In the third paragraph, remove the words “ less than” before 2.60 and add the words “**or greater**” after 2.60.

## **SECTION 712** **MISCELLANEOUS HIGHWAY MATERIAL**

Section 712.061- Structural Precast Concrete Units

Under the heading, Quality Control and Quality Assurance, revise the fourth paragraph to read:

**“Acceptance is the prerogative of the Department. The Department will conduct Quality Assurance (QA) in accordance with Standard Specification Subsection 106.5. Testing deemed necessary by the Department that is in addition to the minimum testing requirements will be scheduled to minimize interference with the production schedule. The QAI will perform acceptance sampling and testing and will witness or review documentation, workmanship and testing to assure the Work is being performed in accordance with the Contract Documents.”**

Under the heading, Concrete Testing, revise the first paragraph to read as the following two paragraphs:

**“Concrete Testing Acceptance of structural precast units, for each day’s production, will be determined by the Department, based on compliance with this specification and satisfactory concrete testing results. At least once per week, the QAI will make 2 concrete cylinders (6 cylinders when the Contract includes permeability requirements) for use by the Department; cylinders shall be standard cured in accordance with AASHTO T23 (ASTM C31). The QAI will perform entrained air content and slump flow testing, determine water-cement ratio and determine temperature of the sampled concrete at the time of cylinder casting. All testing equipment required by the QAI to perform this testing shall be in accordance with Standard Specification Section 502.041, Testing Equipment. In addition, the Contractor shall provide a slump cone meeting the requirements of AASHTO T 119. Providing and maintaining testing and curing equipment shall be considered incidental to the work and no additional payment will be made.**

**Quality Control test cylinders shall be made and tested in accordance with the following standards:**

- AASHTO T 22 (ASTM C39) Test Method for Compressive Strength of Cylindrical Concrete Specimens**
- AASHTO T23 (ASTM C31) Practice for Making and Curing Concrete Test Specimens in Field**
- AASHTO T141 (ASTM C172) Practice for Sampling Freshly Mixed Concrete**
- AASHTO T152 (ASTM C231) Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method**
- AASHTO T196 (ASTM C173) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method**
- ASTM C1064 Test Method for Temperature of Freshly mixed Portland Cement Concrete**
- ASTM C1611 Standard Test Method for Slump Flow of Self-Consolidating Concrete”**

Under the heading, Concrete Testing, **delete** the paragraph that begins:  
“At least once per week, the Contractor shall make 2 concrete cylinders.....for use by the Department.....”

## **SECTION 717** **ROADSIDE IMPROVEMENT MATERIAL**

### 717.02 Agricultural Ground Limestone

In the table after the third paragraph which starts with “Liquid lime...” change the Specification for Nitrogen (N) from “15.5 percent of which 1% is from ammoniac nitrogen and 14.5 /5 is from Nitrate Nitrogen” to read **“15.5 % of which 1% is from Ammoniacal Nitrogen and 14.5 % is from Nitrate Nitrogen”**

APPENDIX A TO DIVISION 100

SECTION 1 - BIDDING PROVISIONS

A. Federally Required Certifications By signing and delivering a Bid, the Bidder certifies as provided in all certifications set forth in this Appendix A - Federal Contract Provisions Supplement including:

- Certification Regarding No Kickbacks to Procure Contract as provided on this page 1 below.
- Certification Regarding Non-collusion as provided on page 1 below.
- Certification Regarding Non-segregated Facilities as provided by FHWA Form 1273, section III set forth on page 21 below.
- "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion" as provided by FHWA Form 1273, section XI set forth on page 32 below.
- "Certification Regarding Use of Contract Funds for Lobbying" as provided by FHWA Form 1273, section XII set forth on page 35 below.

Unless otherwise provided below, the term "Bidder", for the purposes of these certifications, includes the Bidder, its principals, and the person(s) signing the Bid. Upon execution of the Contract, the Bidder (then called the Contractor) will again make all the certifications indicated in this paragraph above.

CERTIFICATION REGARDING NO KICKBACKS TO PROCURE CONTRACT Except expressly stated by the Bidder on sheets submitted with the Bid (if any), the Bidder hereby certifies, to the best of its knowledge and belief, that it has not:

(A) employed or retained for a commission, percentage, brokerage, contingent fee, or other consideration, any firm or person (other than a bona fide employee working solely for me) to solicit or secure this contract;

(B) agreed, as an express or implied condition for obtaining this contract, to employ or retain the services of any firm or person in connection with carrying out the contract, or;

(C) paid, or agreed to pay, to any firm, organization, or person (other than a bona fide employee working solely for me) any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out the contract;

By signing and submitting a Bid, the Bidder acknowledges that this certification is to be furnished to the Maine Department of Transportation and the Federal Highway Administration, U.S. Department of Transportation in connection with this contract in anticipation of federal aid highway funds and is subject to applicable state and federal laws, both criminal and civil.

CERTIFICATION REGARDING NONCOLLUSION Under penalty of perjury as provided by federal law (28 U.S.C. §1746), the Bidder hereby certifies, to the best of its knowledge and belief, that:

the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with the Contract.

For a related provisions, see Section 102.7.2 (C) of the Standard Specifications - "Effects of Signing and Delivery of Bids" - "Certifications", Section 3 of this Appendix A entitled "Other Federal Requirements" including section XI - "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion" and section XII. - "Certification Regarding Use of Contract Funds for Lobbying."

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B. Bid Rigging Hotline To report bid rigging activities call: **1-800-424-9071**

The U.S. Department of Transportation (DOT) operates the above toll-free "hotline" Monday through Friday, 8:00 a.m. to 5:00 p.m., eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the "hotline" to report such activities.

The "hotline" is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

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## SECTION 2 - FEDERAL EEO AND CIVIL RIGHTS REQUIREMENTS

Unless expressly otherwise provided in the Bid Documents, the provisions contained in this Section 2 of this "Federal Contract Provisions Supplement" are hereby incorporated into the Bid Documents and Contract.

A. Nondiscrimination & Civil Rights - Title VI The Contractor and its subcontractors shall not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. The Contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT assisted contracts. Failure by the Contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the Department deems appropriate. The Contractor and subcontractors shall comply with Title VI of the Civil Rights Act of 1964, as amended, and with all State of Maine and other Federal Civil Rights laws.

For related provisions, see Subsection B - "Nondiscrimination and Affirmative Action - Executive Order 11246" of this Section 2 and Section 3 - Other Federal Requirements of this "Federal Contract Provisions Supplement" including section II - "Nondiscrimination" of the "Required Contract Provisions, Federal Aid Construction Contracts", FHWA-1273.

B. Nondiscrimination and Affirmative Action - Executive Order 11246 Pursuant to Executive Order 11246, which was issued by President Johnson in 1965 and amended in 1967 and 1978, this Contract provides as follows.

The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its efforts to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:

Ensure and maintain a working environment free of harassment, intimidations, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all forepersons, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.

Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its union have employment opportunities available, and to maintain a record of the organization's responses.

Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions the Contractor may have taken.

Provide immediate written notification to the Department's Civil Rights Office when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Design-Builder's efforts to meet its obligations.

Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under B above.

Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligation; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.

Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination, or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Forepersons, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractor's and Subcontractors with whom the Contractor does or anticipates doing business.

Direct its recruitment efforts, both orally and written to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above describing the openings, screenings, procedures, and test to be used in the selection process.

Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth, both on the site and in other areas of a Contractor's workforce.

Validate all tests and other selection requirements.

Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.

Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.

Ensure that all facilities and company activities are non segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.

Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction Contractor's and suppliers, including circulation of solicitations to minority and female Contractor associations and other business associations.

Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.

C. Goals for Employment of Women and Minorities Per Executive Order 11246, craft tradesperson goals are 6.9% women and .5% minorities employed. However, goals may be adjusted upward at the mutual agreement of the Contractor and the Department. Calculation of these percentages shall not include On-the-Job Training Program trainees, and shall not include clerical or field clerk position employees.

For a more complete presentation of requirements for such Goals, see the federally required document "Goals for Employment of Females and Minorities" set forth in the next 6 pages below.

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Start of GOALS FOR EMPLOYMENT OF FEMALES AND MINORITIES  
Federally Required Contract Document

§60-4.2 Solicitations

(d) The following notice shall be included in, and shall be part of, all solicitations for offers and bids on all Federal and federally assisted construction contracts or subcontracts in excess of \$10,000 to be performed in geographical areas designated by the Director pursuant to §60-4.6 of this part (see 41 CFR 60-4.2(a)):

Notice of Requirement for Affirmative Action to Ensure Equal Opportunity (Executive Order 11246)

1. The Offeror's or bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.
2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

<u>Goals for female participation in each trade</u>	6.9%
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Goals for minority participation for each trade

Maine

001 Bangor, ME	0.8%
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Non-SMSA Counties (Aroostook, Hancock, Penobscot, Piscataquis, Waldo, Washington)

002 Portland-Lewiston, ME

SMSA Counties: 4243 Lewiston-Auburn, ME	0.5%
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(Androscoggin)

6403 Portland, ME	0.6%
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(Cumberland, Sagadahoc)

Non-SMSA Counties:  
(Franklin, Kennebec, Knox, Lincoln, Oxford, Somerset, York)

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non federally involved construction.

The contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be in violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor, employer identification number of the subcontractor, estimated dollar amount of the subcontract; estimated started and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed.

4. As used in this Notice, and in the Contract resulting from this solicitation, the "covered area" is (insert description of the geographical areas where the contract is to be performed giving the state, county and city, if any).

STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION  
CONTRACT SPECIFICATIONS (EXECUTIVE ORDER 11246)

1. As used in these specifications:
  - a. "Covered area" means the geographical area described in the solicitation from which this contract resulted;
  - b. "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
  - c. "Employer identification number" means the Federal Social Security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department form 941;
  - d. "Minority" includes:

- (i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
  - (ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
  - (iii) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
  - (iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of the North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
2. Whenever the Contractor, or any subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.
3. If the contractor, is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors for Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.
4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7 a. through p. of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in contractors performing construction work in geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical areas where the work is being performed. Goals are published periodically in the Federal Register in notice form and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specific.
5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant, thereto.

6. In order for the non working training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as expensive as the following:
  - a. Ensure and maintain a working environment free of harassment, intimidation, coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, when possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
  - b. Establish and maintain a current list of minority and female recruitment sources provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organization's responses.
  - c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment sources or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions the Contractor may have taken.
  - d. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
  - e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources complied under 7b above.

- f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
- g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foremen, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
- i. Direct its recruitment, efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing prior to the date for the acceptance of applications for apprenticeship or the openings, screening procedures, and tests to be used in the selection process.
- j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on site and in other areas of a Contractor's work force.
- k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
- l. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- m. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.

- n. Ensure that all facilities and company activities are non segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
  - o. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitation to minority and female contractor associations and other business associations.
  - p. Conduct a review, at least annually, of all supervisor's adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7 a through p.). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7 a through p. of these specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program and reflected in the Contractor's minority and female work force participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions take on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, specific minority group of women is underutilized.)
10. The Contractor shall not use the goals and timetables or affirmative action even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if standards to discriminate against any person because of race, color, religion, sex, or national origin.
11. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.
12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementation regulations by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.

13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.6.
14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g. mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and location at which the work was performed. Records be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.
15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

End of GOALS FOR EMPLOYMENT OF FEMALES AND MINORITIES  
Federally Required Contract Document

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D. Section '**D Disadvantaged Business Enterprise (DBE) Requirements**' is removed in its entirety. The DBE material is in:

**Section 105.10 EQUAL OPPORTUNITY AND CIVIL RIGHTS.**

**SECTION 3 - OTHER FEDERAL REQUIREMENTS**

Unless expressly otherwise provided in the Bid Documents, the provisions contained in this Section 3 of this "Federal Contract Provisions Supplement" are hereby incorporated into the Bid Documents and Contract.

A. Buy America

If the cost of products purchased for permanent use in this project which are manufactured of steel, iron or the application of any coating to products of these materials exceeds 0.1 percent of the contract amount, or \$2,500.00, whichever is greater, the products shall have been manufactured and the coating applied in the United States. The coating materials are not subject to this clause, only the application of the coating. In computing that amount, only the cost of the product and coating application cost will be included.

Ore, for the manufacture of steel or iron, may be from outside the United States; however, all other manufacturing processes of steel or iron must be in the United States to qualify as having been manufactured in the United States.

United States includes the 50 United States and any place subject to the jurisdiction thereof.

Products of steel include, but are not limited to, such products as structural steel, piles, guardrail, steel culverts, reinforcing steel, structural plate and steel supports for signs, luminaries and signals.

Products of iron include, but are not limited to, such products as cast iron grates.

Application of coatings include, but are not limited to, such applications as epoxy, galvanized and paint.

To assure compliance with this section, the Contractor shall submit a certification letter on its letterhead to the Department stating the following:

“This is to certify that products made of steel, iron or the application of any coating to products of these materials whose costs are in excess of \$2,500.00 or 0.1 percent of the original contract amount, whichever is greater, were manufactured and the coating, if one was required, was applied in the United States.”

## B. Materials

### a. Convict Produced Materials References: 23 U.S.C. 114(b)(2), 23 CFR 635.417

Applicability: FHWA's prohibition against the use of convict material only applies to Federal-aid highways. Materials produced after July 1, 1991, by convict labor may only be incorporated in a Federal-aid highway construction project if: 1) such materials have been produced by convicts who are on parole, supervised release, or probation from a prison; or 2) such material has been produced in a qualified prison facility, e.g., prison industry, with the amount produced during any 12-month period, for use in Federal-aid projects, not exceeding the amount produced, for such use, during the 12-month period ending July 1, 1987.

Materials obtained from prison facilities (e.g., prison industries) are subject to the same requirements for Federal-aid participation that are imposed upon materials acquired from other sources. Materials manufactured or produced by convict labor will be given no preferential treatment.

The preferred method of obtaining materials for a project is through normal contracting procedures which require the contractor to furnish all materials to be incorporated in the work. The contractor selects the source, public or private, from which the materials are to be obtained (23 CFR 635.407). Prison industries are prohibited from bidding on projects directly (23 CFR 635.112e), but may act as material supplier to construction contractors.

Prison materials may also be approved as State-furnished material. However, since public agencies may not bid in competition with private firms, direct acquisition of materials from a

prison industry for use as State-furnished material is subject to a public interest finding with the Division Administrator's concurrence (23 CFR 635.407d). Selection of materials produced by convict labor as State-furnished materials for mandatory use should be cleared prior to the submittal of the Plans Specifications & Estimates (PS&E).

b. Patented/Proprietary Products References: 23 U.S.C. 112, 23 CFR 635.411

FHWA will not participate, directly or indirectly, in payment for any premium or royalty on any patented or proprietary material, specification, or process specifically set forth in the plans and specifications for a project, unless:

- the item is purchased or obtained through competitive bidding with equally suitable unpatented items,
- the STA certifies either that the proprietary or patented item is essential for synchronization with the existing highway facilities or that no equally suitable alternative exists, or
- the item is used for research or for a special type of construction on relatively short sections of road for experimental purposes. States should follow FHWA's procedures for "Construction Projects Incorporating Experimental Features" ([expermnt.htm](#)) for the submittal of work plans and evaluations.

The primary purpose of the policy is to have competition in selection of materials and allow for development of new materials and products. The policy further permits materials and products that are judged equal may be bid under generic specifications. If only patented or proprietary products are acceptable, they shall be bid as alternatives with all, or at least a reasonable number of, acceptable materials or products listed; and the Division Administrator may approve a single source if it can be found that its utilization is in the public interest.

Trade names are generally the key to identifying patented or proprietary materials. Trade name examples include 3M, Corten, etc. Generally, products identified by their brand or trade name are not to be specified without an "or equal" phrase, and, if trade names are used, all, or at least a reasonable number of acceptable "equal" materials or products should be listed. The licensing of several suppliers to produce a product does not change the fact that it is a single product and should not be specified to the exclusion of other equally suitable products.

c. State Preference References: 23 U.S.C. 112, 23 CFR 635.409

Materials produced within Maine shall not be favored to the exclusion of comparable materials produced outside of Maine. State preference clauses give particular advantage to the designated source and thus restrict competition. Therefore, State preference provisions shall not be used on any Federal-aid construction projects.

This policy also applies to State preference actions against materials of foreign origin, except as otherwise permitted by Federal law. Thus, States cannot give preference to in-State material sources over foreign material sources. Under the Buy America provisions, the States are

permitted to expand the Buy America restrictions provided that the STA is legally authorized under State law to impose more stringent requirements.

d. State Owned/Furnished/Designated Materials References: 23 U.S.C. 112, 23 CFR 635.407

Current FHWA policy requires that the contractor must furnish all materials to be incorporated in the work, and the contractor shall be permitted to select the sources from which the materials are to be obtained. Exceptions to this requirement may be made when there is a definite finding, by MaineDOT and concurred in by Federal Highway Administration's (FHWA) Division Administrator, that it is in the public interest to require the contractor to use materials furnished by the MaineDOT or from sources designated by MaineDOT. The exception policy can best be understood by separating State-furnished materials into the categories of manufactured materials and local natural materials.

Manufactured Materials When the use of State-furnished manufactured materials is approved based on a public interest finding, such use must be made mandatory. The optional use of State-furnished manufactured materials is in violation of our policy prohibiting public agencies from competing with private firms. Manufactured materials to be furnished by MaineDOT must be acquired through competitive bidding, unless there is a public interest finding for another method, and concurred in by FHWA's Division Administrator.

Local Natural Materials When MaineDOT owns or controls a local natural materials source such as a borrow pit or a stockpile of salvaged pavement material, etc., the materials may be designated for either optional or mandatory use; however, mandatory use will require a public interest finding (PIF) and FHWA's Division Administrator's concurrence.

In order to permit prospective bidders to properly prepare their bids, the location, cost, and any conditions to be met for obtaining materials that are made available to the contractor shall be stated in the bidding documents.

Mandatory Disposal Sites Normally, the disposal site for surplus excavated materials is to be of the contractor's choosing; although, an optional site(s) may be shown in the contract provisions. A mandatory site shall be specified when there is a finding by MaineDOT, with the concurrence of the Division Administrator, that such placement is the most economical or that the environment would be substantially enhanced without excessive cost. Discussion of the mandatory use of a disposal site in the environmental document may serve as the basis for the public interest finding.

Summarizing FHWA policy for the mandatory use of borrow or disposal sites:

- mandatory use of either requires a public interest finding and FHWA's Division Administrator's concurrence,
- mandatory use of either may be based on environmental consideration where the environment will be substantially enhanced without excessive additional cost, and
- where the use is based on environmental considerations, the discussion in the environmental document may be used as the basis for the public interest finding.

Factors to justify a public interest finding should include such items as cost effectiveness, system integrity, and local shortages of material.

C. Standard FHWA Contract Provisions - FHWA 1273

Unless expressly otherwise provided in the Bid Documents, the following “Required Contract Provisions, Federal Aid Construction Contracts”, FHWA-1273, are hereby incorporated into the Bid Documents and Contract.

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**Cargo Preference Act : Contractor and Subcontractor Clauses.** “Use of United States-flag vessels: The contractor agrees—“(1) To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.”(2) To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, ‘on-board’ commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.”(3) To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract.”(Reorganization Plans No. 21 of 1950 (64 Stat. 1273) and No. 7 of 1961 (75 Stat. 840) as amended by Pub. L. 91-469 (84 Stat. 1036) and Department of Commerce Organization Order 10-8 (38 FR 19707, July 23, 1973)) [42 FR 57126, Nov. 1, 1977]

The Cargo Preference Act requirements apply to materials or equipment that are acquired for a specific Federal-aid highway project. In general, the requirements are not applicable to goods or materials that come into inventories independent of an FHWA funded-contract. For example, the requirements would not apply to shipments of Portland cement, asphalt cement, or aggregates, as industry suppliers and contractors use these materials to replenish existing inventories. In general, most of the materials used for highway construction originate from existing inventories and are not acquired solely for a specific Federal-aid project. However, if materials or equipment are acquired solely for a Federal-aid project, then the Cargo Preference Act requirements apply.”

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Start of FHWA 1273 REQUIRED CONTRACT PROVISIONS  
FEDERAL-AID CONSTRUCTION CONTRACTS (As revised through May 1, 2012)

FHWA-1273 -- Revised May 1, 2012

**REQUIRED CONTRACT PROVISIONS  
FEDERAL-AID CONSTRUCTION CONTRACTS**

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

## ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

### **I. GENERAL**

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment,

termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

## II. NONDISCRIMINATION

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

**1. Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

**2. EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

**3. Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

**4. Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

- a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.
- b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.
- c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

**5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability.

The following procedures shall be followed:

- a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
- b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
- c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
- d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

**6. Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women.

Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even

though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

**8. Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

**9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

**10. Assurance Required by 49 CFR 26.13(b):**

a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.

b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.

**11. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### **III. NONSEGREGATED FACILITIES**

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### **IV. DAVIS-BACON AND RELATED ACT PROVISIONS**

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### **1. Minimum wages**

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. (1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

- (i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (ii) The classification is utilized in the area by the construction industry; and
- (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

## **2. Withholding**

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

## **3. Payrolls and basic records**

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act),

daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b. (1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee ( e.g. , the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/esa/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency..

(2) Each payroll submitted shall be accompanied by a “Statement of Compliance,” signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the “Statement of Compliance” required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

#### **4. Apprentices and trainees**

a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a

different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

**5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

**6. Subcontracts.** The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

**7. Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**8. Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

**9. Disputes concerning labor standards.** Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

**10. Certification of eligibility.**

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

**V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT**

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

**1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

**2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.

**3. Withholding for unpaid wages and liquidated damages.** The FHWA or the contacting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.

**4. Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

## **VI. SUBLETTING OR ASSIGNING THE CONTRACT**

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term “perform work with its own organization” refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

## **VII. SAFETY: ACCIDENT PREVENTION**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

### **VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

## **IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.
2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

## **X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

### **1. Instructions for Certification – First Tier Participants:**

- a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

\* \* \* \* \*

**2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

**2. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\* \* \* \* \*

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--  
Lower Tier Participants:**

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\*\*\*\*\*

**XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS PREFERENCE FOR  
APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM OR APPALACHIAN LOCAL  
ACCESS ROAD CONTRACTS**

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.

End of FHWA 1273

## The United States Department of Transportation (USDOT)

### FHWA STANDARD TITLE VI/NONDISCRIMINATION ASSURANCES

#### DOT Order No. 1050.2A

The Maine Department of Transportation (herein referred to as the "Recipient"), **HEREBY AGREES THAT**, as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation (DOT), through The Federal Highway Administration (FHWA), is subject to and will comply with the following:

#### Statutory/Regulatory Authorities

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et seq.*, 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin);
- 49 C.F.R. Part 21 (entitled *Nondiscrimination In Federally-Assisted Programs Of The Department Of Transportation—Effectuation Of Title VI Of The Civil Rights Act Of 1964*);
- 28 C.F.R. section 50.3 (U.S. Department of Justice Guidelines for Enforcement of Title VI of the Civil Rights Act of 1964);

*FHWA may include additional Statutory/Regulatory Authorities here.*

The preceding statutory and regulatory cites hereinafter are referred to as the "Acts" and "Regulations," respectively.

#### General Assurances

In accordance with the Acts, the Regulations, and other pertinent directives, circulars, policy, memoranda, and/or guidance, the Recipient hereby gives assurance that it will promptly take any measures necessary to ensure that:

*No person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity," for which the Recipient receives Federal financial assistance from DOT, including FHWA..*

The Civil Rights Restoration Act of 1987 clarified the original intent of Congress, with respect to Title VI and other Nondiscrimination requirements (The Age Discrimination Act of 1975, and Section 504 of the Rehabilitation Act of 1973), by restoring the broad, institutional-wide scope and coverage of these nondiscrimination statutes and requirements to include all programs and activities of the Recipient, so long as any portion of the program is Federally assisted.

*FHWA may include additional General Assurances in this section, or reference an addendum here.*

#### Specific Assurances

More specifically, and without limiting the above general Assurance, the Recipient agrees with and gives the following Assurances with respect to its federally assisted programs:

1. The Recipient agrees that each "activity," "facility," or "program," as defined in §§ 21.23 (b) and 21.23 (e) of 49 C.F.R. § 21 will be (with regard to an "activity") facilitated, or will be (with regard to a "facility") operated, or will be (with regard to a "program") conducted in compliance with all requirements imposed by, or pursuant to the Acts and the Regulations.
2. The Recipient will insert the following notification in all solicitations for bids, Requests For Proposals for work, or material subject to the Acts and the Regulations made in connection with all Federal Highway Programs and, in adapted form, in all proposals for negotiated agreements regardless of funding source:

*The (Agency), in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 U.S.C. §§ 2000d to 2000d-4) and the Regulations, hereby notifies all bidders that it will affirmatively insure that any contract entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, or national origin in consideration for an award.*

3. The Recipient will insert the clauses of Appendix A and E of this Assurance in every contract or agreement subject to the Acts and the Regulations.
4. The Recipient will insert the clauses of Appendix B of this Assurance, as a covenant running with the land, in any deed from the United States effecting or recording a transfer of real property, structures, use, or improvements thereon or interest therein to a Recipient.
5. That where the Recipient receives Federal financial assistance to construct a facility, or part of a facility, the Assurance will extend to the entire facility and facilities operated in connection therewith.
6. That where the Recipient receives Federal financial assistance in the form, or for the acquisition of real property or an interest in real property, the Assurance will extend to rights to space on, over, or under such property.
7. That the Recipient will include the clauses set forth in Appendix C and Appendix D of this Assurance, as a covenant running with the land, in any future deeds, leases, licenses, permits, or similar instruments entered into by the Recipient with other parties:
  - a. for the subsequent transfer of real property acquired or improved under the applicable activity, project, or program; and
  - b. for the construction or use of, or access to, space on, over, or under real property acquired or improved under the applicable activity, project, or program.
8. That this Assurance obligates the Recipient for the period during which Federal financial assistance is extended to the program, except where the Federal financial assistance is to provide, or is in the form of, personal property, or real property, or interest therein, or structures or improvements thereon, in which case the Assurance obligates the Recipient, or any transferee for the longer of the following periods:

- a. the period during which the property is used for a purpose for which the Federal financial assistance is extended, or for another purpose involving the provision of similar services or benefits; or
  - b. the period during which the Recipient retains ownership or possession of the property.
9. The Recipient will provide for such methods of administration for the program as are found by the Secretary of Transportation or the official to whom he/she delegates specific authority to give reasonable guarantee that it, other recipients, sub-recipients, sub-grantees, contractors, subcontractors, consultants, transferees, successors in interest, and other participants of Federal financial assistance under such program will comply with all requirements imposed or pursuant to the Acts, the Regulations, and this Assurance.
10. The Recipient agrees that the United States has a right to seek judicial enforcement with regard to any matter arising under the Acts, the Regulations, and this Assurance.

***FHWA may include additional Specific Assurances in this section.***

By signing this ASSURANCE, Maine Department of Transportation also agrees to comply (and require any subrecipients, sub-grantees, contractors, successors, transferees, and/or assignees to comply) with all applicable provisions governing the FHWA access to records, accounts, documents, information, facilities, and staff. You also recognize that you must comply with any program or compliance reviews, and/or complaint investigations conducted by FHWA. You must keep records, reports, and submit the material for review upon request to FHWA, or their designees in a timely, complete, and accurate way. Additionally, you must comply with all other reporting, data collection, and evaluation requirements, as prescribed by law or detailed in program guidance.

Maine Department of Transportation gives this ASSURANCE in consideration of and for obtaining any Federal grants, loans, contracts, agreements, property, and/or discounts, or other Federal-aid and Federal financial assistance extended after the date hereof to the recipients by the U.S. Department of Transportation. This ASSURANCE is binding on Maine Department of Transportation, other recipients, sub-recipients, sub-grantees, contractors, subcontractors and their subcontractors', transferees, successors in interest, and any other participants in it programs. . The person(s) signing below is authorized to sign this ASSURANCE on behalf of the Recipient.

***Name of Recipient: Maine Department of Transportation***



***David Bernhardt, Commissioner***

DATED: 9/18/14

## APPENDIX A

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the “contractor”) agrees as follows:

1. **Compliance with Regulations:** The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Nondiscrimination in Federally-assisted programs of the U.S. Department of Transportation, **Federal Highway Administration**, as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
2. **Nondiscrimination:** The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations as set forth in Appendix E, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
3. **Solicitations for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor’s obligations under this contract and the Acts and the Regulations relative to Non-discrimination on the grounds of race, color, or national origin.
4. **Information and Reports:** The contractor will provide all information and reports required by the Acts, the Regulations and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the **Federal Highway Administration**, to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the **Federal Highway Administration**, as appropriate, and will set forth what efforts it has made to obtain the information.
5. **Sanctions for Noncompliance:** In the event of a contractor’s noncompliance with the Non-discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the **Federal Highway Administration**, may determine to be appropriate, including, but not limited to:
  - a. withholding payments to the contractor under the contract until the contractor complies; and/or
  - b. cancelling, terminating, or suspending a contract, in whole or in part.

**Incorporation of Provisions:** The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the **Federal Highway Administration**, may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

**(APPENDIX C TO MAINEDOT TITLE VI ASSURANCE)**

**FEDERAL HIGHWAY ADMINISTRATION ASSISTED PROGRAMS**

The following clauses shall be included in all deeds, licenses, leases, permits, or similar instruments entered into

by the Maine Department of Transportation pursuant to the provisions of Assurance 7(a).

The (grantee, licensee, lessee, permittee, etc., as appropriate) for herself/himself, his/her heirs, personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree [in the case of deeds and leases add "as a covenant running with the land"] that in the event facilities are constructed, maintained, or otherwise operated on the said property described in this (deed, license, lease, permit, etc.) for a purpose for which a Department of Transportation program or activity is extended or for another purpose involving the provision of similar services or benefits, the (grantee, licensee lessee, permittee, etc.) shall maintain and operate such facilities and services in compliance with all other requirements imposed pursuant to Title 49, Code of Federal Regulations, Department of Transportation, Subtitle A, Office of the Secretary, Part 21, Nondiscrimination of Federally-Assisted Programs of the Department of Transportation - Effectuation of Title VI of the Civil Rights Act of 1964, and as said Regulations may be amended.

[Include in licenses, leases, permits, etc.]\*

That in the event of breach of any of the above nondiscrimination covenants, Maine Department of Transportation shall have the right to terminate the [license, lease, permit, etc.] and to re-enter and repossess said land and the facilities thereon, and hold the same as if said [licenses, lease, permit, etc.] had never been made or issued.

[Include in deeds]\*

That in the event of breach of any of the above nondiscrimination covenants, Maine Department of Transportation shall have the right to re-enter said lands and facilities thereon, and the above described lands and facilities shall thereupon revert to and vest in and become the absolute property of Maine Department of Transportation and its assigns.

The following shall be included in all deeds, licenses, leases, permits, or similar agreements entered into by Maine Department of Transportation pursuant to the provisions of Assurance 7(b).

The (grantee, licensee, lessee, permittee, etc., as appropriate) for herself/himself, his/her personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree (in case of deeds, and leases add "as a covenant running with the land") that (1) no person on the grounds of race, color, or national origin shall be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination in the use of said facilities, (2) that in the construction of any improvements on, over or under such land and the furnishing services thereon, no person on the grounds of race, color, or national origin shall be excluded from the participation in, be denied the benefits of, or be otherwise subjected to discrimination, and (3) that the (grantee, licensee, lessee, permittee, etc.) shall use the premises in compliance with all other requirements imposed by or pursuant to Title 49, Code of Federal Regulations, Department of Transportation, Subtitle A, Office of the Secretary, Part 21, Nondiscrimination in Federally-Assisted Programs of the Department of Transportation - Effectuation of Title VI of the Civil Rights Act of 1964, and as said Regulations may be amended.

[Include in licenses, leases, permits, etc.]\*

That in the event of breach of any of the above nondiscrimination covenants, Maine Department of Transportation shall have the right to terminate the [license, lease, permit, etc.] and to re-enter and repossess said land and the facilities thereon, and hold the same as if said [license, lease, permit, etc.] had never been made or issued.

[Include in deeds]\*

That in the event of breach of any of the above nondiscrimination covenants, Maine Department of Transportation shall have the right to re-enter said land and facilities thereon, and the above described lands and facilities shall thereupon revert to and vest in and become the absolute property of Maine Department of Transportation and its assigns.

\* Reverter clause and related language to be used only when it is determined that such a clause is necessary in order to effectuate the purpose of Title VI of the Civil Rights Act of 1964.

## APPENDIX D

### CLAUSES FOR CONSTRUCTION/USE/ACCESS TO REAL PROPERTY ACQUIRED UNDER THE ACTIVITY, FACILITY OR PROGRAM

The following clauses will be included in deeds, licenses, permits, or similar instruments/agreements entered into by The Maine Department of Transportation pursuant to the provisions of Assurance 7(b):

- A. The (grantee, licensee, permittee, etc., as appropriate) for himself/herself, his/her heirs, personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree (in the case of deeds and leases add, “as a covenant running with the land”) that (1) no person on the ground of race, color, or national origin, will be excluded from participation in, denied the benefits of, or be otherwise subjected to discrimination in the use of said facilities, (2) that in the construction of any improvements on, over, or under such land, and the furnishing of services thereon, no person on the ground of race, color, or national origin, will be excluded from participation in, denied the benefits of, or otherwise be subjected to discriminations, (3) that the (grantee, licensees, lessee, permittee, etc.) will use the premises in compliance with all other requirements imposed by or pursuant to the Acts and Regulations, as amended, set forth in this Assurance.
- B. With respect to (licenses, leases, permits, etc.), in the event of breach of any of the above Non-discrimination covenants, (**The Maine Department of Transportation**) will have the right to terminate the (license, permit, etc., as appropriate) and to enter or re-enter and repossess said land and the facilities thereon, and hold the same as if said (license, permit, etc., as appropriate) had never been made or issued.\*
- C. With respect to deeds, in the event of breach of any of the above Non-discrimination covenants, (**The Maine Department of Transportation**) will there upon revert to and vest in and become the absolute property of (**The Maine Department of Transportation**) and its assigns.\*

(\*Reverter clause and related language to be used only when it is determined that such a clause is necessary to make clear the purpose of Title VI.)

## APPENDIX E

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the “contractor”) agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

### **Pertinent Non-Discrimination Authorities:**

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. §2000d *et seq.*, 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. §4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. §324 *et seq.*), (prohibits discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. §794 *et seq.*), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. §6101 *et seq.*), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 U.S.C. §471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms “programs or activities” to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. Parts 37 and 38;
- The Federal Aviation Administration’s Non-discrimination statute (49 U.S.C. §47123) (prohibits discrimination on the basis of race, color, national origin and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating of sex in education programs or activities (20 U.S.C. 1681 *et seq.*).



