July 6, 2005
Supersedes August 4, 2004

BIDDING INSTRUCTIONS

FOR ALL PROJECTS:

1. Use pen and ink to complete all paper Bids.

2. As a minimum, the following must be received prior to the time of Bid opening:

   For a Paper Bid:
   a) a copy of the Notice to Contractors, b) the completed Acknowledgement of Bid Amendments form, c) the completed Schedule of Items, d) two copies of the completed and signed Contract Offer, Agreement & Award form, e) a Bid Guaranty if required, and f) any other certifications or Bid requirements listed in the Bid Documents as due by Bid opening.

   For an Electronic Bid:
   a) a completed Bid using Expedite® software and submitted via the Bid Express™ web-based service, b) a Bid Guaranty (as described below) or a faxed copy of a Bid Bond if required (with original to be delivered within 72 hours), and c) any other certifications or Bid requirements listed in the Bid Documents as due by Bid opening.

3. Include prices for all required items in the Schedule of Items. ("Zero is not considered a Bid price.")

4. Include a Bid Guaranty (If Required). Acceptable forms are:

   a. a properly completed and signed Bid Bond on the Department's prescribed form (or on a form that does not contain any significant variations from the Department's form as determined by the Department) for 5% of the Bid Amount or

   b. an Official Bank Check, Cashier's Check, Certified Check, U.S. Postal Money Order or Negotiable Certificate of Deposit in the amount stated in the Notice to Contractors.

5. If a paper Bid is to be sent, Federal Express overnight delivery is suggested as the package is delivered directly to the DOT Headquarters Building in Augusta. Other means, such as U.S. Postal Service's Express Mail has proven not to be reliable.

IN ADDITION, FOR FEDERAL AID PROJECTS:

6. Complete the DBE Proposed Utilization form in the proper amounts, and deliver to the Contracts section by 4:30 PM on bid opening day

If you need further information regarding Bid preparation, call the DOT Contracts Section at (207)624-3410.

For complete bidding requirements, refer to Section 102 of the Maine Department of Transportation, Standard Specifications, Revision of December 2002.

January 30, 2004
Supercedes February 11, 2003

NOTICE

The Maine Department of Transportation is attempting to improve the way Bid Amendments/Addendums are handled, and allow for an electronic downloading of bid packages from our website, while continuing to maintain a planholders list.

Prospective bidders, subcontractors or suppliers who wish to download a copy of the bid package and receive a courtesy notification of project specific bid amendments, must provide an email address to Diane Barnes or Mike Babb at the MDOT Contracts mailbox at: MDOT.contracts@maine.gov. Each bid package will require a separate request.

Additionally, interested parties will be responsible for reviewing and retrieving the Bid Amendments from our web site, and acknowledging receipt and incorporating those Bid Amendments in their bids using the Acknowledgement of Bid Amendment Form.

The downloading of bid packages from the MDOT website is not the same as providing an electronic bid to the Department. Electronic bids must be submitted via http://www.BIDX.com. For information on electronic bidding contact Larry Childs at Larry.Childs@maine.gov.

NOTICE

For security and other reasons, all Bid Packages which are mailed, shall be provided in double (one envelope inside the other) envelopes. The *Inner Envelope* shall have the following information provided on it:

Bid Enclosed - Do Not Open
PIN:
Town:
Date of Bid Opening:
Name of Contractor with mailing address and telephone number:

In Addition to the usual address information, the *Outer Envelope* should have written or typed on it:

Double Envelope: Bid Enclosed
PIN:
Town:
Date of Bid Opening:
Name of Contractor:
This should not be much of a change for those of you who use Federal Express or similar services.

Hand-carried Bids may be in one envelope as before, and should be marked with the following infrormation:

Bid Enclosed: Do Not Open
PIN:
Town:
Name of Contractor:

STATE OF MAINE DEPARTMENT OF TRANSPORTATION

Bid Guaranty-Bid Bond Form

KNOW ALL MEN BY THESE PRESENTS THAT_____

_____, of the City/Town of _____and State of_____

as Principal, and _____as Surety, a

Corporation duly organized under the laws of the State of_____and having a usual place of

Business in _____and hereby held and firmly bound unto the Treasurer of

the State of Maine in the sum of_____,for payment which Principal and Surety bind

themselves, their heirs, executers, administrators, successors and assigns, jointly and severally.

The condition of this obligation is that the Principal has submitted to the Maine Department of Transportation, hereafter Department, a certain bid, attached hereto and incorporated as a part herein, to enter into a written contract for the construction of _____

_____

_____and if the Department shall accept said bid and the Principal shall execute and deliver a contract in the form attached hereto (properly completed in accordance with said bid) and shall furnish bonds for this faithful performance of said contract, and for the payment of all persons performing labor or furnishing material in connection therewith, and shall in all other respects perform the agreement created by the acceptance of said bid, then this obligation shall be null and void; otherwise it shall remain in full force, and effect.

Signed and sealed this_____day of _____20_____

WITNESS:

_____

_____

_____

WITNESS

_____

_____

_____

PRINCIPAL:

By_____

By:_____

By:_____

SURETY:

By_____

By:_____

Name of Local Agency: _____

NOTICE

Bidders:

Please use the attached “Request for Information” form when faxing questions and comments concerning specific Contracts that have been Advertised for Bid. Include additional numbered pages as required. Questions are to be faxed to the number listed in the Notice to Contractors. This is the only allowable mechanism for answering Project specific questions. Maine DOT will not be bound to any answers to Project specific questions received during the Bidding phase through other processes.

State of Maine
Department of Transportation

REQUEST FOR INFORMATION

Date _____ Time _____

Information Requested: **PIN: _____** **Town(s): _____**

_____

_____

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_____

_____

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Request by: _____ **Phone: (_____)_____**
Bid Date: _____ **Fax: (_____)_____**

Complete top portion of form and transmit to the number listed in the Notice to Contractors

RFI No: _____ **RFI received: _____**

Response:_____

_____

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Response By:_____ Date: _____

NOTICE

Disadvantaged Business Enterprise Proposed Utilization

The Apparent Low Bidder must submit the Disadvantaged Business Enterprise Proposed Utilization form by close of Business (4:30 P.M.) on Bid day.

The Contractor's Disadvantaged Business Enterprise Proposed Utilization Plan form contains additional information that is required by USDOT.

The Contractor's Disadvantaged Business Enterprise Proposed Utilization Plan form must be used.

A copy of the new Contractor's Disadvantaged Business Enterprise Proposed Utilization Plan and instructions for completing it are attached.

Note: Questions about DBE firms, or to obtain a printed copy of the DBE Directory, contact the Civil Rights Office at (207) 624-3066.

MDOTs DBE Directory of Certified firms can also be obtained at www.maine.gov/mdot/disadvantaged-business-enterprises/dbe-home.php

INSTRUCTIONS FOR PREPARING THE CONTRACTOR'S DISADVANTAGED BUSINESS ENTERPRISE UTILIZATION PLAN

The Contractor Shall:

1. Submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan to the Contract's Engineer by 4:30 P.M. on the Bid day.

2. Extend equal opportunity to MDOT certified DBE firms (as listed in MDOT's DBE Directory of Certified Businesses) in the selection and utilization of Subcontractors and Suppliers.

SPECIFIC INSTRUCTIONS FOR COMPLETING THE FORM:

Insert Contractor name, the name of the person(s) preparing the form, and that person(s) telephone and fax number.

Provide total Bid price, Federal Project Identification Number, and location of the Project work.

In the columns, name each DBE firm to be used, provide the Unit or Item cost of the Work/Product to be provided by the DBE firm, give a brief description of the Work, and the dollar value of the Work.

If no DBE firm is to be utilized, the Contractor must document the reason(s) why no DBE firms are being used. Specific supporting evidence of good faith efforts taken by Contractors to solicit DBE Bidders must be attached. This evidence, as a minimum, includes phone logs, e-mail and/or mail DBE solicitation records, and the documented results of these solicitations.

NOTICE

Maine Department of Transportation
Disadvantaged Business Enterprise Program

Notice is hereby given that in accordance with US DOT regulation 49 CFR Part 26, the Maine Department of Transportation has established a DBE Program for disadvantaged business participation in the federal-aid construction program; MaineDOT contracts covered by the program include consulting, construction, supplies, manufacturing, and service contracts.

For FFY 2007 (October 1, 2006 through September 30, 2007), MaineDOT has established a DBE participation goal of 6% as follows: 5% to be achieved through race/gender neutral means, with an additional 1% to be achieved through race/gender conscious contract goals.

Interested parties may view MaineDOT's DBE goal setting methodology for the next 30 days during normal business hours (8-4, M-F) at the Maine Department of Transportation, Office of Civil Rights, 16 State House Station, Augusta ME 04333-0016. Appointments may be scheduled by telephone at (207) 624-3066. The goal setting methodology is also available for viewing on the MaineDOT website: http://www.maine.gov/mdot/disadvantaged-business-enterprises/dbe-home.php.

Comments on the goal will be accepted for 45 days from the date of this notice. Written comments should be addressed to Holly Anderson, Maine Department of Transportation, Civil Rights Office, 16 State House Station, Augusta, Maine 04333-0016 or by e-mail at: holly.anderson@maine.gov.

____ Original Submission **Page ___ of ___**

____ Revision # _____

MaineDOT CONTRACTOR'S DBE/SUBCONTRACTOR
PROPOSED UTILIZATION FORM

Low Bidder must furnish this form to Contracts Section Bid Opening day.

Contractor: _____ Telephone: _____ Ext. _____

Prepared by:_____ Fax: _____

BID PRICE: $_____ BID DATE: _____/_____/_____

FEDERAL PROJECT PIN # _____ PROJECT LOCATION: _____

TOTAL DBE _____ % PARTICIPATION FOR THIS SUBMISSION

WBE•	DBE•	Non DBE	Firm Name	Unit/Item Cost	Unit #	Description of Work & Item Number	Actual $ Value
						Total ›	

Contractors must make a good faith effort to include Certified DBE firms in all aspects of the project. If no DBE firms are to be part of this project, a detailed explanation is required. Attach supporting evidence to the maximum participation of DBEs on this project. This is a requirement. This evidence must include name of firm(s) contacted, date contacted, and outcome of solicitation.

Equal Opportunity Use:

Form received: ___/___/___ Verified by: _____

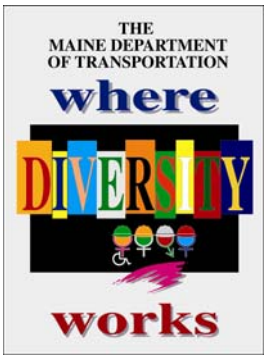
____ Accepted ____ Rejected _____

cc: ☐ Contracts ☐ Other _____

- **WBEs are non-minority women owned firms certified by MaineDOT**
- **DBEs are male and minority owned firms certified by MaineDOT**

For a complete list of certified firms go to http://www.maine.gov/mdot

Rev. 6/06



MaineDOT's

CIVIL RIGHTS OFFICE

To search for a specific work item, click on the binoculars, type in the word you want to search for and click on find. To go to the next selected item, click on the binoculars with the arrow.

MAINE DEPARTMENT OF TRANSPORTATION

CERTIFIED DISADVANTAGED AND WOMEN BUSINESS ENTERPRISE

DECEMBER 2005

Information is updated on an ongoing basis and can be retrieved by visiting our Website:
www.maine.gov/mdot/disadvantaged-business-enterprises/dbe-home.php

State of Maine

VENDOR FORM

For New Vendors & for Updates on Current Vendors

Special Instructions:

PLEASE PRINT CLEARLY

Return this form to:

*** = MUST BE COMPLETED TO PROCESS**

ONLY ONE NAME/VENDOR PER FORM

New Vendor	Address Change	Multi Address	Name Change	Contact Update	ID # Change

Social Security Number*
Individual or Sole Proprietor

S

Business name in "DBA" field below.

OR

Please fill in ONE.

Federal Taxpayer ID Number*
Corporation

E

Business name in "Name" field below.

This form will affect all transactions with ALL state agencies.

NEW:* **Remit to Address:** Individual or Business Name.	**OLD:** Old number:
Name*	Name
DBA or C/O	DBA or C/O
Address*	Address
Tel #*	Tel #

	Is this the same name on your Social Security card?	Acct #	
	If not, have you told Social Security about your name change?	Provider #	

Signature*

Contact Name

Print Name or Title

Accounts Receivable Contact Name

Date* (within 3 months)

Phone # if Different or for Contact Info

Vendor Indicators: Enter Y (Yes) For All Categories Listed Below That Apply To This Vendor

Dealer:		Manufacturer:		Factory Rep:	
Jobber:		Retailer:		Commodity:	
Individual:		Partnership:		Incorporated:	
Minority:		Small Business:		In-State:	

Information on State Agency Submitting Vendor Form

State Agency* & SHS #

Contact Person Name & Title*

Telephone #*

Send to: Maine Department of Transportation/ Contracts 16 SHS, Augusta, ME 04333-0014 Attn: Pat Brown

May-04

INSTRUCTIONS FOR COMPLETING VENDOR FORM

1. **Print Clearly**
2. **All sections marked with an * must be completed for processing**
3. **Send completed form to requesting State agency OR remit to address at bottom of form.**
4. **Do NOT send by Fax. Only originals will be accepted.**

FIELDS	**INFORMATION NEEDED FOR FIELD**
Special Instructions	*Instructions to Vendor from Agency requesting information.*
Return to	*The location of agency where the form is to be mailed back to. If none use address at bottom of form.*
Boxes above SSN/EIN Fields	Please check mark all that apply to the vendor. If other, please specify. If it's a new vendor only one will apply: "New Vendor"
Social Security Number	Individuals, individuals "doing business as", and individuals without a Federal Taxpayer ID #. Use if not using EIN
Federal Taxpayer ID Number*	Businesses or professionals providing services. (ID # needs to be use for REMITTANCE purposes.) Use if not using SSN
New	Current Information
Old	Old information (If another ID# had been used please put it next to "OLD")
Name	Individual's Name or Business Name. ONLY ONE name per a form.
DBA or C/O	"Doing business as" or "In Care Of"
Address	REMITTANCE ADDRESS - Street Address OR PO Box (one or the other)
Tel #	Phone Number of individual or business
Signature	Individual or authorized representative of individual or authorized representative of the business
Date	Current Date (no more than 3 months old)
Contact Name	Contact person at business
Accounts Receivable Contact Name	Contact person at business for accounts receivables.
Phone #	Phone for Act Rec Contact
Vendor Indicators	Indicate all that apply for the vendor, as needed
Agency Info	For Agency personnel submitting the form. Contact info incase of questions.

STATE OF MAINE DEPARTMENT OF TRANSPORTATION
NOTICE TO CONTRACTORS

Sealed Bids addressed to the Maine Department of Transportation, Augusta, Maine 04333 and endorsed on the wrapper "Bids for building a By-Pass in the town of **Gorham**" will be received from contractors at the Reception Desk, Maine DOT Building, Child Street, Augusta, Maine, until 11:00 o'clock A.M. (prevailing time) on July 3, 2007, and at that time and place publicly opened and read. Bids will be accepted from contractors prequalified by the Department of Transportation for Highway Construction projects. All other Bids may be rejected. MDOT provides the option of electronic bidding. We now accept electronic bids for those bid packages posted on the bidx.com website. Electronic bids do not have to be accompanied by paper bids. Please note: the Department will accept a facsimile of the bid bond; however, the original bid bond must then be received at the MDOT Contract Section within 72 hours of the bid opening. During this transition, dual bids (one paper, one electronic) will be accepted, with the paper copy taking precedence.

Description: Maine Federal Aid Project No. HP-8151(200), PIN. 8151.20

Location: In Cumberland County, project is for new construction of a By-Pass located between Rte.114 and Rte.25 in Gorham.

Outline of Work: Clearing, grading, drainage, base, hot mix asphalt, roundabouts, guardrail, fencing, curb, plantings, highway lighting, wick drains, and other incidental work.

The basis of award will be Section 0001

For general information regarding Bidding and Contracting procedures, contact Scott Bickford at (207)624-3410. Our webpage at http://www.maine.gov/mdot/contractor-consultant-information/contractor_cons.php contains a copy of the schedule of items, Plan Holders List, written portions of bid amendments (not drawings), and bid results. For Project-specific information fax all questions to **Project Manager** Jim Ferguson at (207)624-3431. Questions received after 12:00 noon of Monday prior to bid date will not be answered. Bidders shall not contact any other Departmental staff for clarification of Contract provisions, and the Department will not be responsible for any interpretations so obtained. Hearing impaired persons may call the Telecommunication Device for the Deaf at (207) 624-3007.

Plans, specifications and bid forms may be seen at the Maine DOT Building in Augusta, Maine.They may be purchased from the Department between the hours of 8:00 a.m. to 4:30 p.m. by cash, credit card (Visa/Mastercard) or check payable to Treasurer, State of Maine sent to Maine Department of Transportation, Attn.: Mailroom, 16 State House Station, Augusta, Maine 04333-0016. They also may be purchased by telephone at (207)624-3536 between the hours of 8:00 a.m. to 4:30 p.m. Full size plans $271.00 ($283.00 by mail). Half size plans $136.00 ($142.00 by mail), Bid Book $10 ($13 by mail), Single Sheets $2, payment in advance, all non-refundable.

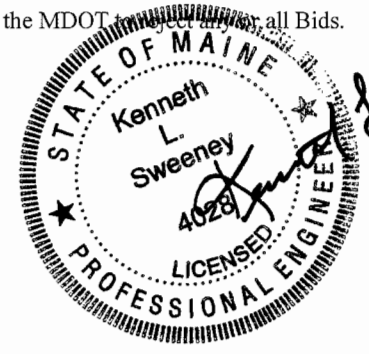
Each Bid must be made upon blank forms provided by the Department and must be accompanied by a bid bond at 5% of the bid amount or an official bank check, cashier's check, certified check, certificate of deposit, or United States postal money order in the amount of $600,000 payable to Treasurer, State of Maine as a Bid guarantee. A Contract Performance Surety Bond and a Contract Payment Surety Bond, each in the amount of 100 percent of the Contract price, will be required of the successful Bidder.

This Contract is subject to all applicable Federal Laws. This contract is subject to compliance with the Disadvantaged Business Enterprise program requirements as set forth by the Maine Department of Transportation.

All work shall be governed by "State of Maine, Department of Transportation, Standard Specifications, Revision of December 2002", price $10 [$13 by mail], and Standard Details, Revision of December 2002, price $20 [$25 by mail] Standard Detail updates at http://www.maine.gov/mdot/contractor-consultant-information/contractor_cons.php

The right is hereby reserved to the MDOT to reject any or all Bids.

Augusta, Maine
June 21, 2007



KEN SWEENEY
DEPUTY CHIEF ENGINEER

Gorham
8151.20
May 23, 2007

DISADVANTAGE/WOMEN BUSINESS ENTERPRISE
UTILIZATION BID PROPOSAL

This bid assurance identifies the certified D/WBE firms which the bidder intends to use in meeting the D/WBE goal of this project.

Bidders who do not comply accordingly will find their bid rejected.

Provide in the space below the name and a brief description of the work or bid item(s) to be completed by the D/WBE. Bidders are reminded that the more detailed Pre-Signature Compliance Review form is required by close of business on bid opening day. It is to be presented to the Civil Rights Office, DOT Building. Completed DBE Proposed Utilization Forms may be faxed to 624-3431 ATTENTION, Civil Rights, but must be received prior to close of business.

D/WBE **5** % goal

Name of D/WBE	Description of participation

Projected Cost of the Above _____

*Signature_____Date_____

*Signature indicates statement of intended utilization is accurate and reflects the bidder's good faith efforts.

September 28, 2005
Supersedes June 1, 1988

SPECIAL PROVISON
Required Participation by
DISADVANTAGED BUSINESS ENTERPRISE

The goal of work to be performed by Disadvantaged Business Enterprises for this contract is found on the DBE Utilization Bid Proposal sheets immediately following the Schedule of Items. For the purpose of this Special Provision, Disadvantage Business Enterprises are those which are so certified by the Civil Rights Office prior to the performance of the DBE on this contract.

Compliance with this Special Provision may be fulfilled by Disadvantaged Business Enterprise as either:

A sole prime contractor,
A member of a joint venture, may count towards commitment only the percentage of the ownership and control of the DBE partner in the joint venture,
An approved subcontractor,
An owner-operator of construction equipment.
A renter of construction equipment to a prime or subcontractor,
A consultant,
A regular dealer of materials and/or equipment but only 60 percent of expenditures to DBE suppliers may be counted toward the commitment unless the supplier is also the manufacturer,
Any combination of the above.

In determining compliance with the Special Provision the total creditable dollars paid to the Disadvantaged Business Enterprise shall be subtracted from the amount stated in the DBE Utilization Bid Proposal. The Contractor shall maintain records of payment in a form acceptable to that Office before requesting retent from the Contracts Section.

Failure by the Contractor to achieve the stated DBE goal, or more of this Contract performed by Disadvantaged Business Enterprise will result in the reduction in Contract payments by the amount determined by subtracting the resulting dollar value of work actually creditable to Disadvantaged Business Enterprise unless MDOT, Civil Rights Office waives requirement because the Contractor has demonstrated a good faith effort to meet the contract goal in accordance with the following standards;

1. Whether the Contractor attended any pre-bid meetings that were scheduled by the MDOT to inform DBE's of subcontracting opportunities;

2. Whether the Contractor advertised in general circulation, trade association, and minority/women's focus media concerning the subcontracting opportunities;

3. Whether the Contractor provided written notice to a reasonable number of specific DBE's that their interest in the contract is being solicited:

4. Whether the Contractor followed up on initial solicitation of interest by directly contacting DBE's to determine with certainty whether the DBE's were interested;

5. Whether the Contractor selected portions of the work to be performed by DBE's in order to increase the likelihood of meeting the DBE goals;

6. Whether the Contractor provided interested DBE's with adequate information about the plans, specifications and requirements of the Contract:

7. Whether the Contractor negotiated in good faith with interested DBE's, not rejecting DBE's as unqualified without sound reasons based on a thorough investigation of their capabilities;

8. Whether the Contractor made efforts to assist interested DBE's in obtaining bonding or insurance, or made efforts to provide DBE's with other appropriate technical/financial assistance required by the MDOT or contractor;

9. Whether the Contractor effectively used the services of available minority/women's community organizations, minority/women's contractors' groups; local, state and federal minority/women's business assistance offices; and other organizations that provide assistance in the recruitment and placement of DBE's;

10. Quarterly reports of actual dollars paid to DBE's on this project will be submitted to the Civil Rights Office by the end of the first week of January, April, July and October for the period covering the proceeding three months considered Federal Fiscal year quarters. The reports will be submitted directly on forms provided by that office. Failure to submit the form by the deadline may result in a withholding of approval of partial payment estimates by the Resident;

11. Any substitution of the named DBE firm(s) or the approved activity of the said firm(s) from that firm or activity and in the pre-contract signature compliance review form must be approved by Contract Modification which must be submitted by the Resident to the Civil Rights Office.

The following are acceptable reasons for approval of such a change order:

The DBE defaults or is over-extended:
The MDOT deletes portions of the work to be performed by the DBE.

It is not intended that the ability to negotiate a more advantageous contract with another sub-contractor be considered a valid basis for such a change in the DBE utilization once the pre-contract review has been passed. This Special Provision is in addition to all other Equal Employment Opportunity requirements of this contract. The Contractor must report the use of any bona-fide DBE.

Gorham
Bypass
August 3, 2004
Supercedes March 17, 2004

SPECIAL PROVISION 102.7.3
ACKNOWLEDGMENT OF BID AMENDMENTS

With this form, the Bidder acknowledges its responsibility to check for all Amendments to the Bid Package. For each Project under Advertisement, Amendments are located at http://www.maine.gov/mdot/comprehensive-list-projects/project-information.php It is the responsibility of the Bidder to determine if there are Amendments to the Project, to download them, to incorporate them into their Bid Package, and to reference the Amendment number and the date on the form below. The Maine DOT will not post Bid Amendments any later than noon the day before Bid opening without individually notifying all the planholders.

Amendment Number	Date

The Contractor, for itself, its successors and assigns, hereby acknowledges that it has received all of the above referenced Amendments to the Bid Package.

CONTRACTOR

Date

Signature of authorized representative

(Name and Title Printed)

SCHEDULE OF ITEMS REVISED:

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
	SECTION 0001 PROJECT ITEMS					
0010	201.11 CLEARING	33.000 AC				
0020	201.23 REMOVING SINGLE TREE TOP ONLY	36.000 EA				
0030	201.24 REMOVING STUMP	36.000 EA				
0040	202.08 REMOVING BUILDING NO.: 1	LUMP	LUMP			
0050	202.08 REMOVING BUILDING NO.: 2	LUMP	LUMP			
0060	202.08 REMOVING BUILDING NO.: 3	LUMP	LUMP			
0070	202.15 REMOVING MANHOLE OR CATCH BASIN	3.000 EA				
0080	203.20 COMMON EXCAVATION	125000.000 CY				
0090	203.21 ROCK EXCAVATION	9100.000 CY				
0100	203.2318 DISPOSAL OF SPECIAL WASTE	50.000 T				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
0110	203.24 COMMON BORROW	228000.000 CY				
0120	203.2411 COMMON BORROW / TIRE SHRED FILL	LUMP	LUMP			
0130	203.242 DIRTY BORROW	7850.000 CY				
0140	203.25 GRANULAR BORROW	9000.000 CY				
0150	206.061 STRUCTURAL EARTH EXCAVATION - DRAINAGE AND MINOR STRUCTURES, BELOW GRADE	50.000 CY				
0160	206.07 STRUCTURAL ROCK EXCAVATION - DRAINAGE AND MINOR STRUCTURES	260.000 CY				
0170	209.29 VERTICAL DRAINAGE WICKS - PREFABRICATED	85100.000 LF				
0180	304.09 AGGREGATE BASE COURSE - CRUSHED	49000.000 CY				
0190	304.10 AGGREGATE SUBBASE COURSE - GRAVEL	54000.000 CY				
0200	304.123 AGGREGATE SUBBASE COURSE - SAND (TRUCK MEASURE)	50.000 CY				
0210	403.207 HOT MIX ASPHALT 19.0 MM HMA	13100.000 T				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS		UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
0220	403.208 HOT MIX ASPHALT 12.5 MM HMA SURFACE	9760.000	T				
0230	403.209 HOT MIX ASPHALT 9.5 MM HMA (SIDEWALKS, DRIVES, INCIDENTALS)	470.000	T				
0240	403.210 HOT MIX ASPHALT 9.5 MM HMA	315.000	T				
0250	403.211 HOT MIX ASPHALT (SHIMMING)	100.000	T				
0260	403.213 HOT MIX ASPHALT 12.5 MM HMA BASE	10420.000	T				
0270	409.15 BITUMINOUS TACK COAT APPLIED	5060.000	G				
0280	502.341 STRUCTURAL CONCRETE ROADWAY MEDIAN	255.000	CY				
0290	502.342 STRUCTURAL CONCRETE ROADWAY TRUCK APRON	285.000	CY				
0300	504.069 CONCRETE PIPE TIES	72.000	EA				
0310	512.07 FRENCH DRAINS (STONES ONLY)	160.000	CY				
0320	514.06 CURING BOX FOR CONCRETE CYLINDERS	1.000	EA				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
0330	603.15 12 INCH CULVERT PIPE OPTION I	185.000 LF				
0340	603.155 12 INCH REINFORCED CONCRETE PIPE CLASS III	440.000 LF				
0350	603.16 15 INCH CULVERT PIPE OPTION I	50.000 LF				
0360	603.165 15 INCH REINFORCED CONCRETE PIPE CLASS III	53.000 LF				
0370	603.17 18 INCH CULVERT PIPE OPTION I	200.000 LF				
0380	603.175 18 INCH REINFORCED CONCRETE PIPE CLASS III	130.000 LF				
0390	603.185 21 INCH REINFORCED CONCRETE PIPE CLASS III	70.000 LF				
0400	603.19 24 INCH CULVERT PIPE OPTION I	36.000 LF				
0410	603.20 30 INCH CULVERT PIPE OPTION I	25.000 LF				
0420	603.205 30 INCH REINFORCED CONCRETE PIPE CLASS III	330.000 LF				
0430	603.215 36 INCH REINFORCED CONCRETE PIPE CLASS III	184.000 LF				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
0440	603.225 42 INCH REINFORCED CONCRETE PIPE CLASS III	50.000 LF				
0450	603.235 48 INCH REINFORCED CONCRETE PIPE CLASS III	504.000 LF				
0460	603.255 60 INCH REINFORCED CONCRETE PIPE CLASS III	100.000 LF				
0470	603.256 60" RCP CLASS III - INCLUDING FISH WEIRS	100.000 LF				
0480	603.2891 96" RCP CLASS III	220.000 LF				
0490	603.43 36 INCH REINFORCED CONCRETE PIPE CLASS IV	216.000 LF				
0500	603.44 42 INCH REINFORCED CONCRETE PIPE CLASS IV	236.000 LF				
0510	603.45 48 INCH REINFORCED CONCRETE PIPE CLASS IV	124.000 LF				
0520	604.072 CATCH BASIN TYPE A1-C	14.000 EA				
0530	604.092 CATCH BASIN TYPE B1-C	9.000 EA				
0540	604.246 CATCH BASIN TYPE F5	2.000 EA				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
0550	604.247 CATCH BASIN TYPE F5-C	1.000 EA				
0560	604.262 CATCH BASIN TYPE B5-C	1.000 EA				
0570	605.09 6 INCH UNDERDRAIN TYPE B	1500.000 LF				
0580	605.10 6 INCH UNDERDRAIN OUTLET	60.000 LF				
0590	605.11 12 INCH UNDERDRAIN TYPE C	1350.000 LF				
0600	605.12 15 INCH UNDERDRAIN TYPE C	320.000 LF				
0610	606.1721 BRIDGE TRANSITION - TYPE 1	12.000 EA				
0620	606.23 GUARDRAIL TYPE 3C - SINGLE RAIL	6250.000 LF				
0630	606.232 GUARDRAIL TYPE 3C - OVER 15 FOOT RADIUS	50.000 LF				
0640	606.265 TERMINAL END - SINGLE RAIL - GALVANIZED STEEL	2.000 EA				
0650	606.353 REFLECTORIZED FLEXIBLE GUARDRAIL MARKER	50.000 EA				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
0660	606.356 UNDERDRAIN DELINEATOR POST	4.000 EA				
0670	606.47 SINGLE WOOD POST	14.000 EA				
0680	606.79 GUARDRAIL 350 FLARED TERMINAL	22.000 EA				
0690	607.09 WOVEN WIRE FENCE - METAL POSTS	33800.000 LF				
0700	607.092 WOVEN WIRE FENCE - METAL POSTS (8')	4000.000 LF				
0710	607.32 BRACING ASSEMBLY TYPE I - METAL POSTS	12.000 EA				
0720	607.321 BRACING ASSEMBLY TYPE I METAL POST (8')	8.000 EA				
0730	607.33 BRACING ASSEMBLY TYPE II - METAL POSTS	81.000 EA				
0740	607.331 BRACING ASSEMBLY TYPE II METAL POSTS (8')	16.000 EA				
0750	609.11 VERTICAL CURB TYPE 1	3030.000 LF				
0760	609.12 VERTICAL CURB TYPE 1 - CIRCULAR	690.000 LF				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
0770	609.234 TERMINAL CURB TYPE 1 - 4 FOOT	20.000 EA				
0780	609.31 CURB TYPE 3	1850.000 LF				
0790	609.34 CURB TYPE 5	5375.000 LF				
0800	609.341 CURB TYPE 5 - TRUCK APRON	975.000 LF				
0810	609.35 CURB TYPE 5 - CIRCULAR	190.000 LF				
0820	610.08 PLAIN RIPRAP	7200.000 CY				
0830	610.18 STONE DITCH PROTECTION	30.000 CY				
0840	610.210 STREAM CHANNEL ROCK	25.000 CY				
0850	610.211 STREAM CHANNEL GRAVEL	10.000 CY				
0860	613.319 EROSION CONTROL BLANKET	11000.000 SY				
0870	618.1401 SEEDING METHOD NUMBER 2 - PLAN QUANTITY	850.000 UN				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
0880	618.1411 SEEDING METHOD NUMBER 3 - PLAN QUANTITY	420.000 UN				
0890	619.1201 MULCH - PLAN QUANTITY	1270.000 UN				
0900	619.1301 BARK MULCH	40.000 CY				
0910	619.1401 EROSION CONTROL MIX	240.000 CY				
0920	620.54 STABILIZATION GEOTEXTILE	2500.000 SY				
0930	620.58 EROSION CONTROL GEOTEXTILE	5180.000 SY				
0940 A	621.019 EVERGREEN TREES (2 FOOT - 3 FOOT) GROUP A	6.000 EA				
0950 A	621.025 EVERGREEN TREES (3 FOOT - 4 FOOT) GROUP A	9.000 EA				
0960 A	621.031 EVERGREEN TREES (4 FOOT - 5 FOOT) GROUP A	12.000 EA				
0970 A	621.037 EVERGREEN TREES (5 FOOT - 6 FOOT) GROUP A	12.000 EA				
0980 C	621.039 EVERGREEN TREES (5 FOOT - 6 FOOT) GROUP C	4.000 EA				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
0990	621.043 EVERGREEN TREES (6 FOOT - 8 FOOT) GROUP A	16.000 EA				
1000	621.045 EVERGREEN TREES (6 FOOT - 8 FOOT) GROUP C	6.000 EA				
1010	621.048 EVERGREEN TR (8' - 9') GP C	11.000 EA				
1020	621.121 SMALL DECIDUOUS TREES (5 FOOT - 6 FOOT) GROUP B	20.000 EA				
1030	621.196 MEDIUM DECIDUOUS TREE (1.75 INCH - 2 INCH CALIPER) GROUP B	3.000 EA				
1040	621.246 LARGE DECIDUOUS TREES (3 FOOT - 4 FOOT) GROUP A	30.000 EA				
1050	621.255 LARGE DECIDUOUS TREES (8 FOOT - 10 FOOT) GROUP A	22.000 EA				
1060	621.261 LARGE DECIDUOUS TREES (10 FOOT - 12 FOOT) GROUP A	12.000 EA				
1070	621.273 LARGE DECIDUOUS TREE (2 INCH - 2.50 INCH CALIPER) GROUP A	9.000 EA				
1080	621.395 DWARF EVERGREENS (18 INCH - 24 INCH) GROUP A	25.000 EA				
1090	621.51 HYBRID RHODODENDRON (15 INCH - 18 INCH)	24.000 EA				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
1100	621.511 HYBRID RHODODENDRON (18 INCH - 24 INCH)	126.000 EA				
1110	621.546 DECIDUOUS SHRUBS (2 FOOT - 3 FOOT) GROUP A	100.000 EA				
1120	621.552 DECIDUOUS SHRUBS (3 FOOT - 4 FOOT) GROUP A	27.000 EA				
1130	621.558 DECIDUOUS SHRUBS (4 FOOT - 5 FOOT) GROUP A	3.000 EA				
1140	621.71 HERBACEOUS PERENNIALS GROUP A	500.000 EA				
1150	621.80 ESTABLISHMENT PERIOD	LUMP	LUMP			
1160	626.11 PRECAST CONCRETE JUNCTION BOX	1.000 EA				
1170	626.21 METALLIC CONDUIT	120.000 LF				
1180	626.22 NON-METALLIC CONDUIT	5600.000 LF				
1190	626.32 24 INCH FOUNDATION	44.000 EA				
1200	626.35 CONTROLLER CABINET FOUNDATION	3.000 EA				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
1210	627.18 12 " SOLID WHITE PAVEMENT MARKING	3510.000 LF				
1220	627.711 WHITE OR YELLOW PAINTED PAVEMENT MARKING LINE (PLAN QUANTITY)	79400.000 LF				
1230	627.75 WHITE OR YELLOW PAVEMENT AND CURB MARKING	800.000 SF				
1240	627.76 TEMPORARY PVMT. MARK LINE, W OR YELLOW	LUMP	LUMP			
1250	629.05 HAND LABOR, STRAIGHT TIME	100.000 HR				
1260	631.12 ALL PURPOSE EXCAVATOR (INCLUDING OPERATOR)	65.000 HR				
1270	631.13 BULLDOZER (INCLUDING OPERATOR)	20.000 HR				
1280	631.172 TRUCK - LARGE (INCLUDING OPERATOR)	65.000 HR				
1290	631.18 CHAIN SAW RENTAL (INCLUDING OPERATOR)	10.000 HR				
1300	631.20 STUMP CHIPPER (INCLUDING OPERATOR)	10.000 HR				
1310	631.22 FRONT END LOADER (INCLUDING OPERATOR)	25.000 HR				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
1320	631.32 CULVERT CLEANER (INCLUDING OPERATOR)	5.000 HR				
1330	634.16 HIGHWAY LIGHTING	LUMP	LUMP			
1340	634.21 CONVENTIONAL LIGHT STANDARD	44.000 EA				
1350	639.26 INSTRUMENTATION - GEOTECHNICAL	LUMP	LUMP			
1360	639.261 INSTRUMENTATION GEOTECHNICAL - TRADITIONAL SETTLEMENT PLATFORM	LUMP	LUMP			
1370	639.32 FIELD OFFICE - MODIFICATIONS	LUMP	LUMP			
1380	652.31 TYPE I BARRICADE	20.000 EA				
1390	652.312 TYPE III BARRICADE	12.000 EA				
1400	652.33 DRUM	50.000 EA				
1410	652.34 CONE	100.000 EA				
1420	652.342 42 INCH CONE	50.000 EA				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
1430	652.35 CONSTRUCTION SIGNS	1550.000 SF				
1440	652.36 MAINTENANCE OF TRAFFIC CONTROL DEVICES	540.000 CD				
1450	652.38 FLAGGER	2000.000 HR				
1460	652.41 PORTABLE - CHANGEABLE MESSAGE SIGN	6.000 EA				
1470	656.634 24" SILT FENCE	3700.000 LF				
1480	656.66 STONE CHECK DAM	20.000 CY				
1490	656.71 LEVEL LIP SPREADERS	55.000 CY				
1500	656.75 TEMPORARY SOIL EROSION AND WATER POLLUTION CONTROL	LUMP	LUMP			
1510	658.20 ACRYLIC LATEX COLOR FINISH, GREEN	1900.000 SY				
1520	659.10 MOBILIZATION	LUMP	LUMP			
1530	660.21 ON-THE-JOB TRAINING (BID)	6000.000 HR				

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
1540	803.011 TEST PITS W-9	24.000 CY				
1550	822.315 TAPPING SLEEVE W-3	2.000 EA				
1560	822.36 12 INCH DUCTILE IRON PIPE W-1	15.000 LF				
1570	822.3661 14" WATERMAIN W-2	650.000 LF				
1580	823.31 12 INCH GATE VALVE W-4	2.000 EA				
1590	823.341 AIR RELEASE VALVE W-6	1.000 EA				
1600	824.30 FIRE HYDRANT W-5	1.000 EA				
1610	825.431 1 1/2 INCH COPPER SERVICE W-7	300.000 LF				
1620	827.302 UNSUITABLE SOIL EXCAVATION - BELOW GRADE W-8	100.000 CY				
	SECTION 0001 TOTAL					.

SECTION 0002 OPTION 1
ALT GROUP OP1

SCHEDULE OF ITEMS REVISED:

CONTRACT ID: 008151.20 PROJECT(S): HP-8151(200)

CONTRACTOR :_____

LINE NO	ITEM DESCRIPTION	APPROX. QUANTITY AND UNITS	UNIT PRICE DOLLARS	UNIT PRICE CTS	BID AMOUNT DOLLARS	BID AMOUNT CTS
1630	639.30 RTK ROVER SYSTEM	LUMP	LUMP			
	SECTION 0002 TOTAL					
	TOTAL BID WITH OPTION					

CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine, acting through and by its Department of Transportation (Department), an agency of state government with its principal administrative offices located at Child Street Augusta, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-0016, and

_____

a corporation or other legal entity organized under the laws of the State of _____, with its principal place of business located at _____

_____

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

A. The Work.

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, PIN No. **8151.20** for the **New Construction of By-Pass** in the town of **Gorham**, County of **Cumberland**, Maine. The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

B. Time.

The Contractor agrees to complete all Work, except warranty work, on or before **June 13, 2009.** Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard Specifications, Revision of December 2002 and related Special Provisions.

C. Price.

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is

Section 0001 $_____

Section 0002 $_____

Performance Bond and Payment Bond each being 100% of the amount awarded under this Contract (see award amount in Section G below).

D. Contract.

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, Revision of December 2002, Standard Details Revision of December 2002 as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

E. Certifications.

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in Appendix A to Division 100 of the Standard Specifications Revision of December 2002 (Federal Contract Provisions Supplement), and the Contract are still complete and accurate as of the date of this Agreement.

2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.

3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

F. Offer.

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications Revision of December 2002, Standard Details Revision of December 2002 as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of: **PIN 8151.20 - New Construction of By-Pass - in the town of Gorham**, State of Maine, on which bids will be received until the time specified in the "Notice to Contractors" do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached "Schedule of Items".

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached "Schedule of Items" in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached "Schedule of Items", which may be ordered by the Resident, and to accept as full compensation the amount determined upon a "Force Account" basis as provided in the Standard Specifications, Revision of December 2002, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier's check, certificate of deposit or U. S. Postal Money Order in the amount given in the "Notice to Contractors", payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work as stated in Section 107.2 of the Standard Specifications Revision of December 2002 and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan by 4:30pm on the day of bid opening to the Contracts Engineer.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

CONTRACTOR

_____	_____
Date	(Signature of Legally Authorized Representative of the Contractor)
_____	_____
Witness	(Name and Title Printed)

G. Award.

Your offer is hereby accepted for (see checked boxes):

Section 0001 ❑
Section 0002 ❑

Contract Amount: _____

This award consummates the Contract, and the documents referenced herein.

MAINE DEPARTMENT OF TRANSPORTATION

_____	_____
Date	By: David A. Cole, Commissioner
_____	
Witness	

CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine, acting through and by its Department of Transportation (Department), an agency of state government with its principal administrative offices located at Child Street Augusta, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-0016, and

_____

a corporation or other legal entity organized under the laws of the State of _____, with its principal place of business located at _____

_____

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

A. The Work.

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, PIN No. **8151.20** for the **New Construction of By-Pass** in the town of **Gorham**, County of **Cumberland**, Maine. The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

B. Time.

The Contractor agrees to complete all Work, except warranty work, on or before **June 13, 2009.** Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard Specifications, Revision of December 2002 and related Special Provisions.

C. Price.

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is

Section 0001 $_____

Section 0002 $_____

Performance Bond and Payment Bond each being 100% of the amount awarded under this Contract (see award amount in Section G below).

D. Contract.

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, Revision of December 2002, Standard Details Revision of December 2002 as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

E. Certifications.

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in Appendix A to Division 100 of the Standard Specifications Revision of December 2002 (Federal Contract Provisions Supplement), and the Contract are still complete and accurate as of the date of this Agreement.

2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.

3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

F. Offer.

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications Revision of December 2002, Standard Details Revision of December 2002 as updated through advertisement, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of: **PIN 8151.20 - New Construction of By-Pass - in the town of Gorham**, State of Maine, on which bids will be received until the time specified in the "Notice to Contractors" do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached "Schedule of Items".

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached "Schedule of Items" in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached "Schedule of Items", which may be ordered by the Resident, and to accept as full compensation the amount determined upon a "Force Account" basis as provided in the Standard Specifications, Revision of December 2002, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier's check, certificate of deposit or U. S. Postal Money Order in the amount given in the "Notice to Contractors", payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work as stated in Section 107.2 of the Standard Specifications Revision of December 2002 and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan by 4:30pm on the day of bid opening to the Contracts Engineer.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

CONTRACTOR

_____	_____
Date	(Signature of Legally Authorized Representative of the Contractor)
_____	_____
Witness	(Name and Title Printed)

G. Award.

Your offer is hereby accepted for (see checked boxes):

Section 0001 ❑
Section 0002 ❑

Contract Amount: _____

This award consummates the Contract, and the documents referenced herein.

MAINE DEPARTMENT OF TRANSPORTATION

_____	_____
Date	By: David A. Cole, Commissioner
_____	
Witness	

CONTRACT AGREEMENT, OFFER & AWARD

AGREEMENT made on the date last signed below, by and between the State of Maine, acting through and by its Department of Transportation (Department), an agency of state government with its principal administrative offices located at Child Street Augusta, Maine, with a mailing address at 16 State House Station, Augusta, Maine 04333-0016, and **(Name of the firm bidding the job)** a corporation or other legal entity organized under the laws of the State of Maine, with its principal place of business located at **(address of the firm bidding the job)**

The Department and the Contractor, in consideration of the mutual promises set forth in this Agreement (the "Contract"), hereby agree as follows:

A. The Work.

The Contractor agrees to complete all Work as specified or indicated in the Contract including Extra Work in conformity with the Contract, PIN No. **1224.00**, for the **Hot Mix Asphalt Overlay** in the town/city of **West Eastport**, County of **Washington**, Maine. The Work includes construction, maintenance during construction, warranty as provided in the Contract, and other incidental work.

The Contractor shall be responsible for furnishing all supervision, labor, equipment, tools supplies, permanent materials and temporary materials required to perform the Work including construction quality control including inspection, testing and documentation, all required documentation at the conclusion of the project, warranting its work and performing all other work indicated in the Contract.

The Department shall have the right to alter the nature and extent of the Work as provided in the Contract; payment to be made as provided in the same.

B. Time.

The Contractor agrees to complete all Work, except warranty work, on or before **November 15**, 2003**.** Further, the Department may deduct from moneys otherwise due the Contractor, not as a penalty, but as Liquidated Damages in accordance with Sections 107.7 and 107.8 of the State of Maine Department of Transportation Standard Specifications, Revision of December 2002.

C. Price.

The quantities given in the Schedule of Items of the Bid Package will be used as the basis for determining the original Contract amount and for determining the amounts of the required Performance Surety Bond and Payment Surety Bond, and that the amount of this offer is **(Place bid here in alphabetical form such as One Hundred and Two dollars and 10 cents)** **$ (repeat bid here in numerical terms, such as $102.10)** Performance Bond and Payment Bond each being 100% of the amount of this Contract.

D. Contract.

This Contract, which may be amended, modified, or supplemented in writing only, consists of the Contract documents as defined in the Plans, Standard Specifications, Revision of December 2002, Standard Details Revision of December 2002, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds. It is agreed and understood that this Contract will be governed by the documents listed above.

E. Certifications.

By signing below, the Contractor hereby certifies that to the best of the Contractor's knowledge and belief:

1. All of the statements, representations, covenants, and/or certifications required or set forth in the Bid and the Bid Documents, including those in Appendix A to Division 100 of the Standard Specifications Revision of December 2002 (Federal Contract Provisions Supplement), and the Contract are still complete and accurate as of the date of this Agreement.

2. The Contractor knows of no legal, contractual, or financial impediment to entering into this Contract.

3. The person signing below is legally authorized by the Contractor to sign this Contract on behalf of the Contractor and to legally bind the Contractor to the terms of the Contract.

F. Offer.

The undersigned, having carefully examined the site of work, the Plans, Standard Specifications, Revision of December 2002, Standard Details Revision of December 2002, Supplemental Specifications, Special Provisions, Contract Agreement; and Contract Bonds contained herein for construction of:

PIN 1234.00 West Eastport, Hot Mix Asphalt Overlay,

State of Maine, on which bids will be received until the time specified in the "Notice to Contractors" do(es) hereby bid and offer to enter into this contract to supply all the materials, tools, equipment and labor to construct the whole of the Work in strict accordance with the terms and conditions of this Contract at the unit prices in the attached "Schedule of Items".

The Offeror agrees to perform the work required at the price specified above and in accordance with the bids provided in the attached "Schedule of Items" in strict accordance with the terms of this solicitation, and to provide the appropriate insurance and bonds if this offer is accepted by the Government in writing.

As Offeror also agrees:

First: To do any extra work, not covered by the attached "Schedule of Items", which may be ordered by the Resident, and to accept as full compensation the amount determined upon a "Force Account" basis as provided in the Standard Specifications, Revision of December 2002, and as addressed in the contract documents.

Second: That the bid bond at 5% of the bid amount or the official bank check, cashier's check, certificate of deposit or U. S. Postal Money Order in the amount given in the "Notice to Contractors", payable to the Treasurer of the State of Maine and accompanying this bid, shall be forfeited, as liquidated damages, if in case this bid is accepted, and the undersigned shall fail to abide by the terms and conditions of the offer and fail to furnish satisfactory insurance and Contract bonds under the conditions stipulated in the Specifications within 15 days of notice of intent to award the contract.

Third: To begin the Work on the date specified in the Engineer's "Notice to Commence Work" as stated in Section 107.2 of the Standard Specifications Revision of 2002 and complete the Work within the time limits given in the Special Provisions of this Contract.

Fourth: The Contractor will be bound to the Disadvantaged Business Enterprise (DBE) Requirements contained in the attached Notice (Additional Instructions to Bidders) and submit a completed Contractor's Disadvantaged Business Enterprise Utilization Plan by 4:30pm on the day of bid opening to the Contracts Engineer.

Fifth: That this offer shall remain open for 30 calendar days after the date of opening of bids.

Sixth: The Bidder hereby certifies, to the best of its knowledge and belief that: the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with its bid, and its subsequent contract with the Department.

IN WITNESS WHEREOF, the Contractor, for itself, its successors and assigns, hereby execute two duplicate originals of this Agreement and thereby binds itself to all covenants, terms, and obligations contained in the Contract Documents.

CONTRACTOR

_____	**(Sign Here)**
Date	(Signature of Legally Authorized Representative of the Contractor)
____(**Witness Sign Here)**	**(Print Name Here)**
Witness	(Name and Title Printed)

G. Award.

Your offer is hereby accepted. This award consummates the Contract, and the documents referenced herein.

MAINE DEPARTMENT OF TRANSPORTATION

Date	By: David A. Cole, Commissioner
(Witness)	

BOND # _____

CONTRACT PERFORMANCE BOND
(Surety Company Form)

KNOW ALL MEN BY THESE PRESENTS: That _____ _____ **and the State of** _____, as principal, and……..., a corporation duly organized under the laws of the State of ..... and having a usual place of business ...., as Surety, are held and firmly bound unto the Treasurer of the State of Maine in the sum of _____ **and 00/100 Dollars ($)**, to be paid said Treasurer of the State of Maine or his successors in office, for which payment well and truly to be made, Principal and Surety bind themselves, their heirs, executors and administrators, successors and assigns, jointly and severally by these presents.

The condition of this obligation is such that if the Principal designated as Contractor in the Contract to construct Project Number _____ in the Municipality of _____ promptly and faithfully performs the Contract, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

The Surety hereby waives notice of any alteration or extension of time made by the State of Maine.

Signed and sealed this ..... day of ....., 20…. .

WITNESSES:	SIGNATURES:
	CONTRACTOR:
Signature.....	.....….....
Print Name Legibly	Print Name Legibly ...……....
	SURETY:
Signature	....….....
Print Name Legibly	Print Name Legibly .....
SURETY ADDRESS:	NAME OF LOCAL AGENCY:
.....	ADDRESS .....
.....	..……....
.....	.....…….....
TELEPHONE.....	.....…….....

BOND # _____

CONTRACT PAYMENT BOND
(Surety Company Form)

KNOW ALL MEN BY THESE PRESENTS: That _____
_____ **and the State of** _____, as principal, and.....
a corporation duly organized under the laws of the State of ..... and having a usual place of business in .....,
as Surety, are held and firmly bound unto the Treasurer of the State of Maine for the use and benefit of claimants as herein below defined, in the sum of _____ **and 00/100 Dollars ($)** for the payment whereof Principal and Surety bind themselves, their heirs, executors and administrators, successors and assigns, jointly and severally by these presents.

The condition of this obligation is such that if the Principal designated as Contractor in the Contract to construct Project Number _____ in the Municipality of _____ promptly satisfies all claims and demands incurred for all labor and material, used or required by him in connection with the work contemplated by said Contract, and fully reimburses the obligee for all outlay and expense which the obligee may incur in making good any default of said Principal, then this obligation shall be null and void; otherwise it shall remain in full force and effect.

A claimant is defined as one having a direct contract with the Principal or with a Subcontractor of the Principal for labor, material or both, used or reasonably required for use in the performance of the contract.

Signed and sealed this ..... day of ....., 20 ... .

WITNESS:	SIGNATURES:
	CONTRACTOR:
Signature…….....	.....….....
Print Name Legibly .....……..	Print Name Legibly …....
	SURETY:
Signature.....	...….....
Print Name Legibly	Print Name Legibly …....
SURETY ADDRESS:	NAME OF LOCAL AGENCY:
...….....	ADDRESS ….....
.....	……....
TELEPHONE	……....

SPECIAL PROVISION
SECTION 102.3
EXAMINATION OF DOCUMENTS, SITE AND OTHER INFORMATION
(Geotechnical Information)

Geotechnical Information pertaining to this project has been collected and assembled. Bidders and Contractors are obligated to examine and, if necessary, obtain geotechnical information. Geotechnical Information is available at the Maine Department of Transportation office on Child Street, Augusta, Maine. Geotechnical Information will be provided to interested parties who request this information. Requests for this information should be directed to the Project Manager as outlined in the "Notice to Contractors".

The Department shall not be responsible for Bidder's and Contractor's interpretations of, or estimates or conclusions drawn from, the Geotechnical Information. Data provided may not be representative of the subsurface conditions between the boring locations.

This section does not diminish the duties imposed upon parties in Section 102 or in any other sections.

GENERAL DECISION: **ME20070003** 02/09/2007 ME3

Date: February 9, 2007
General Decision Number: **ME20070003** 02/09/2007

Superseded General Decision Number: ME20030003

State: Maine

Construction Types: Highway

Counties: Androscoggin and Cumberland Counties in Maine.

Highway Construction Projects Excluding Major Bridging (for example: bascule, suspension and spandrel arch bridges; those bridging waters presently navigating or to be navigable; and those involving marine construction in any degree); tunnels, building structures in rest area projects and railroad construction.

Modification Number	Publication Date
0	02/09/2007

SUME2000-011 10/24/2000

	Rates	Fringes
Carpenter	$ 11.30	1.95
Electrician	$ 17.90	2.30
Laborers:		
Flaggers	$ 6.00	
Landscape	$ 7.99	.72
Unskilled	$ 8.69	1.08
Power equipment operators:		
Backhoes	$ 12.39	2.00
Bulldozers	$ 11.13	1.94
Excavators	$ 11.24	1.31
Loaders	$ 11.19	1.82
Rollers	$ 10.16	1.56
Truck drivers:		
Dump	$ 9.02	1.39
Two axle	$ 9.08	1.28

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

In the listing above, the "SU" designation means that rates listed under the identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

* an existing published wage determination
* a survey underlying a wage determination
* a Wage and Hour Division letter setting forth a position on a wage determination matter
* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U.S. Department of Labor
200 Constitution Avenue, N.W.

Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

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END OF GENERAL DECISION

Town: **Gorham By-Pass**
Project: **HP-8151(200) Pin 8151.20**
HP-8151(500) Pin 8151.50
HP-8151(600) Pin 8151.60
Date: **May 8, 2007**

SPECIAL PROVISIONS
SECTION 104
Utilities

MEETING

A Preconstruction Utility Conference, as defined in Subsection 104.4.6 of the Standard Specifications **is** required.

GENERAL INFORMATION

These Special Provisions outline the arrangements that have been made by the Department for utility and/or railroad work to be undertaken in conjunction with this project. The following list identifies all known utilities or railroads having facilities presently located within the limits of this project or intending to install facilities during project construction.

Overview:

Utility/Railroad	Aerial	Underground
Central Maine Power	X	
Time Warner Cable	X	
Verizon	X	
Maritimes & Northeast Pipeline		X
Portland Sewer District		X
Portland Water District		X

Temporary utility adjustments are **not** anticipated. If temporary relocation becomes necessary, sufficient time will need to be allowed prior to the construction for all required temporary relocation. If the **Contractor** feels temporary relocation is necessary they must notify the **Department** of their concerns prior to construction.

All utility crossings over highways will provide not less than 18 feet vertical clearance over existing ground in cut or over finished grade in fill, during construction of this project.

Any times and dates mentioned are estimates only and are dependent upon favorable weather, working conditions, and freedom from emergencies. The **Contractor** shall have no claim against the Department if they are exceeded.

Utility working days are Monday through Friday, conditions permitting. Times are estimated on the basis of a single crew for each utility.

In all cases, the utilities shall be notified, by the **Contractor**, well in advance (three weeks) before work in any area is to commence.

All clearing and tree removal which is a part of this contract in areas where utilities are involved must be completed by the **Contractor** before the utilities can relocate their facilities. Any tree removal or tree trimming required within ten feet of the electrical conductors must be done by a qualified contractor. A list of tree removal contractors qualified to remove trees or limbs within ten feet of the electrical conductors may be obtained from the power company.

The **Contractor** must coordinate with the utilities any cuts, fills and provide accesses that are required for the completion of their work in this section. This work must be completed within 60 calendar days after the award. The pole list provided has spot cuts and fills but cross sections should be used to double check grades by the **Contractor**.

The **Utilities** will provide an initial layout (stakes/nails) of the pole locations based on the pole list that is in the project proposal/specification book. The **Contractor** is then responsible for maintaining and or replacing the pole location stakes/nails until the poles are set by the respective utilities. The **Contractor** shall re-establish these pole locations in a timely manner in order for the utility to complete its work as quickly as possible. Before re-staking the **Contractor** shall contact the utility to verify all locations before proceeding with the layout. The **Contractor** shall employ or retain competent Engineering and/or surveying personnel to fulfill these responsibilities. The **Contractor** must notify the **Department** of any errors or inconsistencies regarding the data and layout provided by the utilities.

Special note to Contractor and the Utilities: *The Contractor shall plan and schedule his work in such a manner that the utilities that are located on this project will not be harmed, damaged or impacted in any way. The Contractor and Utility will coordinate and communicate their work plans in an effort not to interfere with each other's progress or the completion of the project.*

AERIAL

The existing pole list and estimated times for setting and transferring is noted below. All poles should be checked for spot cut and fills using the cross-sections on the plan and not rely solely on the below list. All above ground utility locations (hydrants, poles, guys, etc.) will be reviewed for compliance with the Department's Above Ground Pole Policy following the completion of the paving operation. Any above ground utility locations not meeting the Department's Above Ground Pole Policy will require relocation to the proper offset.

Central Maine Power has 2 sets of 345,000 volt power lines which cross the project at approximately Sta 1008+00. The elevations of these existing lines were checked by **Central Maine Power** and were found to have sufficient height for our new by-pass. The **Contractor** is to be aware that these lines cannot be covered and should exercise caution when working with

over-height machinery. The **Contractor** is encouraged to inspect the existing height of these lines prior to bid.

The Contractor shall not excavate around any pole or guy anchor to a depth that compromises the stability of the pole.

The working days listed below are estimates given to the Department by the Utilities on the amount of time needed for them to complete their work. Any times and dates mentioned are estimates only and are dependent upon favorable weather, working conditions, and freedom from emergencies. The **Contractor** shall have no claim against the Department if they are exceeded.

Utility	Pole Set Days	Trans. Wires/ Cables	Remove Poles	Estimated Working Days
CMP	8	X	X	15
Verizon	8	X	X	35
Time Warner Cable		X		21

Flaggy Meadow Rd

CMP Pole#	Existing Pole Station	Existing Pole Offset (feet)	Proposed Pole Station	Proposed Pole Offset (feet)	Spot Cut* (feet)	Spot Fill* (feet)
32	400+65.0	17.0 Right	Same	26.0 Lt	4.5	-----
31	402+08.0	21.0 Right	Same	26.0 Lt	-----	5.5
30 S	403+70.0	20.0 Lt	Same	22.0 Rt	-----	10.0
30	403+74.5	28.0 Rt	Same	26.0 Lt	-----	10.0
29	404+97.0	28.0 Rt	405+24.0	26.0 Lt	-----	6.5
28	406+55.0	26.0 Right	407+08.5	26.5 Lt	-----	-----
27	407+80.5	25.0 Right	Remove	-----	-----	-----
26	409+46.5	23.5 Right	409+28.0	21.0 Lt	-----	-----
26S			409+27.8	26.0 Rt		
25	411+07.5	21.5 Right	Same	-----	-----	-----
24	412+55.5	20.5 Right	Same	-----	-----	-----
23	414+08.5	21.5 Right	Same	-----	-----	-----

Route 202

CMP Pole#	Existing Pole Station	Existing Pole Offset (feet)	Proposed Pole Station	Proposed Pole Offset (feet)	Spot Cut* (feet)	Spot Fill* (feet)

37	0+18.5	21.0 Right	Same	-----	-----	-----
36	1+66.0	20.5 Right	1+64.0	28.0 Lt		
35	3+30.0	21.0 Right	3+40.0	33.0 Lt	-----	-----
35S	3+42.0	33.0 Left	Remove	-----	-----	-----
34	4+68.0	16.0 Right	5+10.5	42.0 Lt	1.7	-----
33	6+00.5	11.0 Right	Remove	-----	-----	-----
32	7+27.0	12.5 Right	6+99.0	48.0 Lt	1.5	-----
31	8+84.5	14.50 Right	8+72.00	23.5 Rt	-----	-----
30	9+90.0	14.5 Right	Same	22.5 Rt	-----	-----
29	11+49.5	16.5 Right	11+38.0	23.5 Rt	-----	.7
28	12+61.5	15.0 Right	Same	21.0 Rt	-----	-----
27	13+77.5	16.0 Right	Field fit	Right	-----	-----

Cressey Road

CMP Pole#	Existing Pole Station	Existing Pole Offset (feet)	Proposed Pole Station	Proposed Pole Offset (feet)	Spot Cut* (feet)	Spot Fill* (feet)
1	301+41.0	27.0 Left	Same	32.0Lt		

Ledge Lane

CMP Pole#	Existing Pole Station	Existing Pole Offset (feet)	Proposed Pole Station	Proposed Pole Offset (feet)	Spot Cut* (feet)	Spot Fill* (feet)
1	201+53.0	15.0 Lt	Same	Same		

Washburn Dr

CMP Pole#	Existing Pole Station	Existing Pole Offset (feet)	Proposed Pole Station	Proposed Pole Offset (feet)	Spot Cut* (feet)	Spot Fill* (feet)
NET 87					N/A	N/A
NET 86	1+23.5	25.0 Left	1+23.5	25.0 Left	N/A	N/A
NET 85	2+57.0	25.5 Left	2+81.5	25.5 Left	N/A	N/A

Route 114 South Street

NET 84	104+37	55.5 Left	104+45	63.0 Right	N/A	N/A
NET 83	105+62.5	7.0 Right	N/A	N/A	N/A	N/A
New Anchor Pole	N/A	N/A	106+82	56.0 Left	N/A	1.0

NET 82D 82 1 CMP	106+23.5	112.0 Right	N/A	N/A	N/A	N/A
NET 82	106+92	55.0 Right	106+63	58.0 Right	N/A	2.0
NET 81	108+18	103.0 Right	109+22	80.0 Right	N/A	1.0
NET 76	111+33.5	12.0 Right	111+50.5	38.0 Right	1.5	N/A
New Anchor Pole	N/A	N/A	111+43	38.0 Left	N/A	N/A
NET 3 75 CMP	113+99	29.0 Left	113+51	37.0 Right	1.5	N/A
New	N/A	N/A	115+70	32.0 Right	1.0	N/A
No #	116+73.5	37.0 Left	N/A	N/A	N/A	N/A

Route 25
State Street

CMP 39.5	504+72	19.0 Left	N/A	N/A	N/A	N/A
CMP 39	506+14	23.5 Left	N/A	N/A	N/A	N/A
CMP 38.1	507+46	40.0 Right	Same	44.0 Right	0.0	0.0
CMP 37/37	509+00	43.0 Left	509+34	48.0 Left	5.0	N/A
CMP 38	507+64	28.0 Left	507+04	36.5 Left	7.5	
CMP 36/36	510+40	66.0 Left	N/A	N/A	N/A	N/A
CMP 35/35	511+50	95.0 left	511+64	51	10.0	n/a
CMP 34/38	512+82	144.0 Left	N/A	N/A	N/A	N/A
No #	515+53	6.5 Left	N/A	N/A	N/A	N/A
CMP 37/37	515+73	176.0 Left	(514+90) 513+88	136.0 Left 106.0 Left	5.5	N/A
CMP 32/32	517+55	86.0 Left	517+19	57.0 Left	7.0	N/A
CMP 31/31	519+47	29.0 Left	519+39	34.0 Left	1.0	N/A
CMP 212G/30/30	521+86	25.5 Left	521+80	32.0 Left	0.0	0.0
CMP 33S	522+46	37.0 Right	N/A	N/A	N/A	N/A
CMP 29/900/212G	524+22	23.0 Left	N/A	N/A	N/A	N/A
CMP 28/28	526+41	23.5	N/A	N/A	N/A	N/A

		Left				
CMP 27	**528+73**	**23.5 left**	**N/A**	**N/A**	**N/A**	**N/A**

UNDERGROUND

Unless otherwise specified, any underground utility facilities shown on the project plans represent approximate locations gathered from available information. The Department cannot certify the level of accuracy of this data. Underground facilities indicated on the topographic sheets (plan views) have been collected from historical records and/or on-site designations provided by the respective utility companies. Underground facilities indicated on the cross-sections have been carried over from the plan view data and may also include further approximations of the elevations (depths) based upon straight-line interpolation from the nearest manholes, gate valves, or test pits.

The **Portland Water District** has entered into an agreement with the Maine Department of Transportation to include installation of a new water main as shown on the plans and specs around the new bridge on Flaggy Meadow Road with bid items in Category 2 as part of this project. The **Contractor** shall install this water system as part of the bid document and shall include installation of the new water system in the schedule for construction. This additional water line work will be included in the items used to determine low bid and **is not** an option out clause. The **Contractor** is encouraged to read the "Description" section of the **Portland Water District** spec for a time line of this water main installation. The contact for the **Portland Water District** is Ned Pierce at 774-5961 ext 3043.

The **Portland Water District** has facilities in the area of Rte 202 and the by-pass and will require 5 working days notification before adjustments are required. The **Portland Water District** will check, loosen and lower their respective structures before any milling or shimming process. (see chart below) Additional days may be required for repairs to any structures that are found to be broken or not operating properly. The **Portland Water District** will then raise their respective structures during the paving operation. There may be conflicts with the proposed drainage and the water or sewer lines in this area. The **Contractor** and **Portland Water District** are encouraged to dig test pits well in advance of the drainage operation to discover actual location of existing utilities to see if adjustments are needed. Work for pits will be paid under **Portland Water Districts** item. This work will be coordinated by the **Contractor** and the **Portland Water District.** The contact for the **Portland Water District** is Frank Meader at 774-5961.

Utility	Summary of Work	Estimated Working Days
Portland Water District	Adjust Gate Valves	15
Portland Sewer District	Adjust Manholes to Grade	10

The **Portland Water District** will inspect all existing valve boxes and manholes for damage prior to the start of the project. Any boxes or manholes damaged by the contractor will be repaired or replaced at the contractor's expense.

M&N Operating Company has a high pressure gas transmission main on the project which we cross at Sta. 1010+00. **M&N Operating Company** must be notified at least one week prior to any work in the area of the gas main. **M&N Operating Company** has provided the following rules for the **Contractor** to obey when working over or around their facility. The **Contractor** shall familiarize themselves with the below spec but should pay special attention to 3.6. The contact for **M&N Operating Company** is Don Thompson at 737-8249 ext 239.

1.0 PURPOSE

1.1 This guideline presents the requirements for construction in the vicinity of a Company pipeline(s) or pipeline right-of-way. These requirements are general in nature whereby specific circumstances may necessitate special considerations. The following areas are addressed.

1.0 Purpose
2.0 Company Notifications
3.0 General Requirements
4.0 Excavation and Blasting
5.0 Foreign Line Crossings

1.2 If any of the conditions stated in this document can not be satisfied, the Company representative shall be advised immediately.

2.0 COMPANY NOTIFICATIONS

2.1 The Company considers it essential that developers and contractors know the exact location and depth of the Company's pipeline(s) and requires that the

pipeline(s) be shown on the contractor's plans.

2.2 The Company will field locate and stake its pipeline(s) at selected points in accordance with state and local requirements at no cost to the developer or contractor. However, the cost to excavate the pipeline and restore surface improvements (e.g., pavement, landscaping, sidewalks) shall be the responsibility of the developer or contractor. Note: A Company representative must be present during the excavation to expose the pipeline.

2.3 Copies of any proposed plans or drawings for road crossings within the pipeline right-of-way shall be submitted to the Company for review at least 30 days prior to the commencement of work.

2.4 The Company shall be given at least three (3) working days advance notice prior to the actual commencement of any work or excavation over or near its pipeline right-of-way so that the Company may locate its pipeline(s) and have a field representative present during excavation or construction activities.

2.5 In addition to complying with the above Company requirements, developers, contractors, utility companies, and landowners shall comply with the provisions of all state and/or local one-call regulations relating to excavation and demolition work in the vicinity of underground facilities.

3.0 GENERAL REQUIREMENTS

3.1 No buildings, structures or other obstruction may be erected within, above or below the pipeline right-of-way. If requested, the Company will furnish pipeline easement information which describes the pipeline right-of-way width.

3.2 Wire type, stockade, decorative and similar type fencing that can be easily removed and replaced may cross the pipeline right-of-way at or near right angles.

3.3 Planting of trees is not permitted on the pipeline right-of-way.

3.4 Planting of shrubs, bushes or other plants associated with landscaping on the pipeline right-of-way is subject to Company approval and shall not exceed 4 feet in height.

3.5 No drainage swells and no reductions in grade are permitted on the pipeline right-of-way. Limited additional fill may be deposited with prior written approval from the Company.

3.6 A Company representative shall give prior approval for heavy equipment to cross the Company pipeline(s) at any location. Minimum cover and other requirements will be determined by the Company on an individual basis.

3.7 Parking areas should be planned so as to avoid covering the pipeline right-of-way if possible.

3.8 No roads, foreign lines, or utilities may be installed parallel to the pipeline within the pipeline right-of-way.

3.9 All foreign lines, roads, electrical cables and other utilities shall cross the pipeline right-of-way at an angle at or near right angles, if practical.

3.10 If, in the sole judgement of the Company, the third party’s proposed plans necessitate the installation of casing pipe and/or other alterations to protect the Company's pipeline(s), the third party may be required to pay the Company the

estimated cost prior to the Company beginning the alterations. Once the actual costs have been incurred and tabulated by the Company, the Company and the third party shall settle any cost variances.

4.0 EXCAVATION AND BLASTING

4.1 Excavation operations shall be performed in accordance with the guidelines set forth below.

4.1.1 When a contractor excavates near Company pipelines, the Company representative shall be on site at all times to locate the pipeline(s), to determine the depth of cover before and during the excavation (see Section 2.4) and to witness the excavation and backfilling operations. The contractor shall not perform any excavation, crossing, backfilling or construction operations unless the Company representative is on site. The Company representative shall have full authority to stop the work if it is determined that the work is being performed in an unsafe manner.

4.1.2 Excavation by a third party backhoe or other mechanical equipment shall not be permitted within the Company pipeline right-of-way until an excavation plan has been reviewed and approved by the Company representative. The excavation plan may be a written document produced by the contractor or a verbal discussion between the contractor and the Company representative. As a minimum, the excavation plan shall include but not be limited to the following:

- Backhoe set-up position in relationship to the pipeline
- Need for benching to level backhoe
- Required excavation depth and length
- Sloping and shoring requirements

- Ingress/egress ramp locations
- Minimum clearance requirements for mechanical equipment
- Verify bar has been welded onto backhoe bucket teeth and side cutters have been removed
- Pipeline location and depth
- Spoil pile location
- Compliance with OSHA regulations

4.1.3 No mechanical excavation equipment shall be used within the greater of 18 inches or the applicable dig-safe law of the pipeline(s). Hand shovels shall be used to push the dirt directly above the pipeline(s) into the ditch.

4.1.4 Federal regulations require that the Company's pipe be inspected whenever it is exposed. OSHA regulations pertaining to excavations must therefore be met to ensure the safety of the Company representative who must enter the excavation.

4.2 Blasting operations shall be performed in accordance with the minimum guidelines set forth below. Consult TG-111, “Blasting Adjacent to In-Service Pipelines” for more detailed information.

4.2.1 The Company shall be advised of any blasting proposed within 200 feet (500 feet for large scale quarry-type blasting) of its facilities. No blasting is permitted within the pipeline right-of-way, and no blasting shall occur outside the pipeline right-of-way if the Company determines that such blasting may be detrimental to its facilities.

4.2.2 The Company reserves the right to require that the party responsible for blasting furnish a detailed blasting plan at least three (3) working days prior to blasting to allow for evaluation and to make arrangements for witnessing the blasting operation. Blasting codes shall be followed in all cases.

5.0 FOREIGN LINE CROSSINGS

5.1 All buried foreign lines shall be installed as noted below and as stated in Sections 3.8 and 3.9, as appropriate.

5.1.1 Foreign lines shall be installed below the Company's pipeline(s) with a minimum of 12” of clearance except as noted in Section 5.1.2. Additional separation may be required in marshy areas or other areas where the 12” of clearance would have a potential to cause future problems.

5.1.2 If the normal crossing requirements present undue difficulties, foreign lines may be installed above the Company's pipeline(s) with prior approval from the Company representative. All such lines shall be installed with a minimum of 12” of clearance. The Company will not be responsible for any damage or required repairs which are caused by the Company's operating and maintenance activities when foreign lines are installed above the pipeline(s). Protective measures such as a concrete encasement, ditch marking tape, and/ or above ground markers may be required as deemed necessary by the Company representative.

5.1.3 Suitable backfill shall be placed between the foreign line and the Company's pipeline(s).

5.1.4 The installation of test leads (two No. 12 THW black insulated solid

copper wires) attached at the point of crossing for corrosion control monitoring may be required for metallic foreign lines as directed by the Company representative. Test wires shall be routed underground and terminated at a point specified by the Company.

5.2 The following requirements shall be met for fiber optic cables which encroach upon the pipeline right-of-way.

5.2.1 High capacity fiber optic cable shall be installed in a rigid non-metallic conduit or covered in 6-8" of concrete which has been colored with an orange dye extending across the entire pipeline right-of-way.

5.2.2 The fiber optic cable shall be installed a minimum of 12” below the Company’s pipeline(s) across the entire width of the pipeline right-of-way, unless approved by the Company representative.

5.2.3 Orange warning tape shall be buried a minimum of 18” directly above the fiber optic cable across the entire width of the pipeline right-of-way, where practical.

5.2.4 The fiber optic cable crossing shall be clearly and permanently marked with identification signs on both sides of the pipeline right-of-way.

5.3 The information listed below shall be furnished to the Company for all proposed electrical cables which will encroach upon the pipeline right-of-way.

- Number, spacing and voltage of cables
- Line loading and phase relationship of cables
- Grounding system

- Position of cables and load facilities relative to pipeline(s)

5.4 Specific installation requirements for cables carrying less than 600 volts shall be determined by the Company on a case by case basis.

5.5 The following installation requirements shall be met for electrical cables carrying over 600 volts but less than 7,600 volts. The Company will determine the installation procedures for electrical lines carrying voltages over 7,600 volts on a case by case basis.

5.5.1 The electrical cable shall be installed in a rigid non-metallic conduit covered in a minimum thickness of 2” of concrete which has been colored with a red dye extending across the entire pipeline right-of-way.

5.5.2 The electrical cable shall be installed a minimum of 12” below the Company's pipeline(s) across the entire width of the pipeline right-of-way, unless approved by the Company representative.

5.5.3 Each phase conductor should be surrounded with a spirally wound, concentric neutral conductor. The neutral may be within the outer cable jacket.

5.5.4 Red warning tape shall be buried a minimum of 18” directly above the electric cable across the entire width of the pipeline right-of-way, where practical.

5.5.5 The electric cable crossing shall be clearly and permanently marked with identification signs on both sides of the pipeline right-of-way.

5.6 Overhead power line and telephone line installations shall be reviewed by the Company on an individual basis. As a minimum requirement, overhead lines shall be installed with a minimum clearance of 25 feet above the grade of the pipeline right-of-way. The installation of poles will not be permitted on the pipeline right-of-way.

UTILITY SIGNING

Any utility working within the construction limits of this project shall ensure that the traveling public is adequately protected at all times. All work areas shall be signed, lighted, and traffic flaggers employed as determined by field conditions. All traffic controls shall be in accordance with the latest edition of the Manual on Uniform Traffic Control Devices for Streets and Highways, as issued by the Federal Highway Administration.

SAFE PRACTICES AROUND UTILITY FACILITIES

The **Contractor** shall be responsible for complying with M.R.S.A. Title35-A, Chapter 7-A Sections 751 -761 Overhead High-Voltage Line Safety Act. Prior to commencing any work that may come within ten (10) feet of any aerial electrical line; the Contractor shall notify the aerial utilities as per section 757 of the above act.

DIG SAFE

The **Contractor** shall be responsible for determining the presence of underground utility facilities prior to commencing any excavation work and shall notify utilities of proposed excavation in accordance with M.R.S.A. Title 23 §3360-A, Maine "Dig Safe" System. The **Contractor** is also reminded that all utilities on the project may not be members of Dig Safe.

MAINTAINING UTILITY LOCATION MARKINGS

The **Contractor** will be responsible for maintaining the buried utility location markings following the initial locating by the appropriate utility or their designated representative.

THE CONTRACTOR SHALL PLAN AND CONDUCT HIS WORK ACCORDINGLY.

Town: **Gorham By-Pass**
Project: **HP-8151(200) Pin 8151.20**
HP-8151(500) Pin 8151.50
HP-8151(600) Pin 8151.60
Date: **May 8, 2007**

Utility Contacts	**Coordinator**	**Phone**
Central Maine Power Co.	Gary Crabtree	791-8025
Time Warner Cable	Don Johnson	253-2291
Verizon	Marty Pease	797-1170
Portland Water District (main extension)	Ned Pierce	774-5961 ext 3043
M&N Operating Co	Don Thompson	737-8249 ext 239
Portland Water District (adjustments)	Frank Meader	767-8418 (pager)

Town: **Gorham By-pass**
Projects: **PIN 8151.20**
Date: **May 9, 2007**

Central Maine Power Company
Gary Crabtree,
162 Canco Rd.
Portland ME 04103

Time Warner Cable
Don Johnson
118 Johnson Road
Portland ME 04102

Verizon
Marty Pease
5 Davis Farm Road
Portland ME 04103

Portland Water District
Frank Meader
P.O. Box 3553, 225 Douglass Street
Portland ME 04104-3553

Portland Water District
Ned Pierce
P.O. Box 3553, 225 Douglass Street
Portland ME 04104-3553

M&N Operating Co
Don Thompson
Area Manager, Richmond
547 Lincoln Street
Richmond, ME 04357

Gorham,Town of
Robert Burns, Public Works Director
270 Main Street
Gorham Maine 04038

Town: Gorham
PIN #: 8151.20, .50 & .60
Date: 5/23/07

SPECIAL PROVISION
SECTION 105
General Scope of Work
(Environmental Requirements)

In-water Work shall not be allowed between the dates of 10/2 and 7/14.
(In-water work is allowed from 7/15 to 10/1.)

NOTE: Unnamed Stream have NO work window. Work can be done anytime.

Water body Name(s) with Station #s:

1. Strout Brook, Station 100+75 through 102+25
2. Tributary to Gully Brook, Station 1045+50 **(No Instream Work)**
3. Gully Brook, Station 1183+50 through 1184+60
4. Unnamed Stream, Station 1093+75 **(No Instream Work Window)**
5. Unnamed Stream, Station 1150+25 **(No Instream Work Window)**
6. Brandy Brook, Stations 403+00 & 1167+50 through 1169+25
7. Unnamed Streams, Stations 1167+00, 1168+00 & 1170+80 **(No Instream Work Window)**

Special Conditions: In-Water work shall be conducted during low flows.

In-Water work consists of any activity conducted below the normal high water mark.

All activities are prohibited (including placement and removal of cofferdams) below the normal high water mark and non low flow conditions during the In-Water work window restriction, except for the following:

- Work within a sealed and dewatered cofferdam. Maintenance pumping within a sealed cofferdam is also allowed.

No construction activity, whether temporary or permanent, is allowed that completely blocks a river, stream, or brook without providing downstream flow.

The contractor shall abide by all permits and conditions.

Gorham
1851.20

April 27, 2007

SPECIAL PROVISION
SECTION 105
General Scope of Work
(Limitations of Operations)

The Contractor will be allowed to commence work and end work daily according to the Department of Marine Resources Sunrise/Sunset Table at the following Web address (http://www.maine.gov/dmr/sunrise_table.htm). Contractor will be allowed to enter roadway at Sunrise and must be off the roadway before Sunset. Any work outside these times will require nighttime lighting and safety attire.

SPECIAL PROVISION
SECTION 105
CONTROL of WORK
(Cooperation Between Contractors)

It is hereby brought to the Contractor's attention that the Department has plans to advertise and award a contract within the limits of this contract, which may be in progress simultaneously.

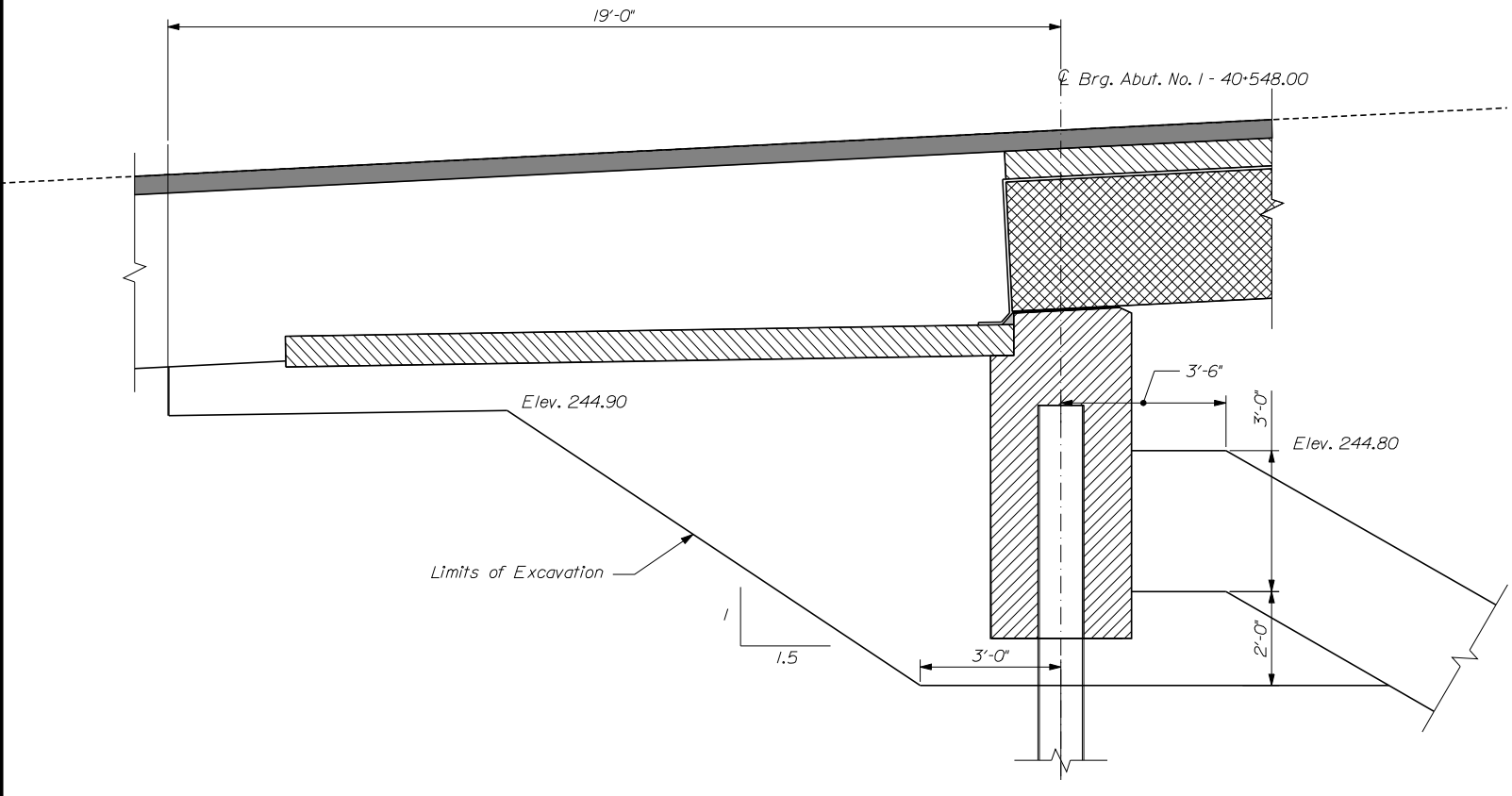
The Contractor shall cooperate with other Contractors at all times and provide project access as necessary and as directed by the Resident.

There are Bridge Construction projects being constructed during 2008. The work on project HP-8151(500) Gorham will occur on Flaggy Meadow Road while the bypass is being constructed below. The HP-8151(500) Gorham contract states that the project shall be complete and ready for Aggregate Subbase Coarse – Gravel and Pavement by September 15, 2008. If the Contractor is not ready for ASCG and Pavement by September 15, 2008, then the Contractor shall notify the Resident and Contractor for project HP-8151(500) Gorham.

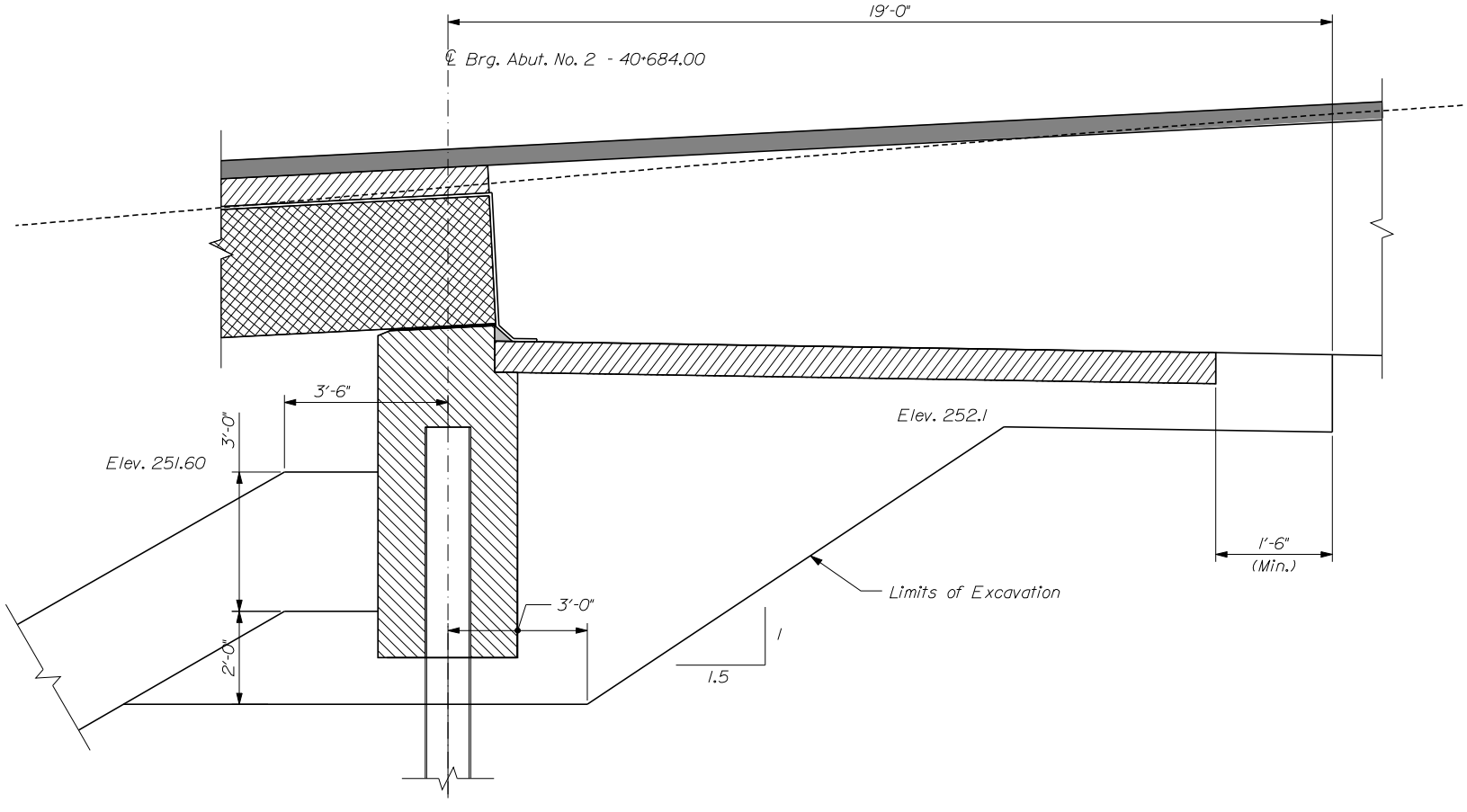
The work on project HP-8151(600) Gorham will occur on the bypass while the bypass is being constructed. The Contractor shall provide access to the bridge locations for the Contractors for the other projects. The HP-8151(600) Gorham contract states that the project shall be complete and ready for Aggregate Subbase Coarse – Gravel and Pavement by September 15, 2008. If the Contractor is not ready for ASCG and Pavement by September 15, 2008, then the Contractor shall notify the Resident and Contractor for project HP-8151(600) Gorham.

When the excavation for the bridge project on Flaggy Meadow Road is complete, the Contractor shall notify the Resident and Contractor for project HP-8151(500) Gorham. The excavation limits are shown in the diagrams to the end of the specification.

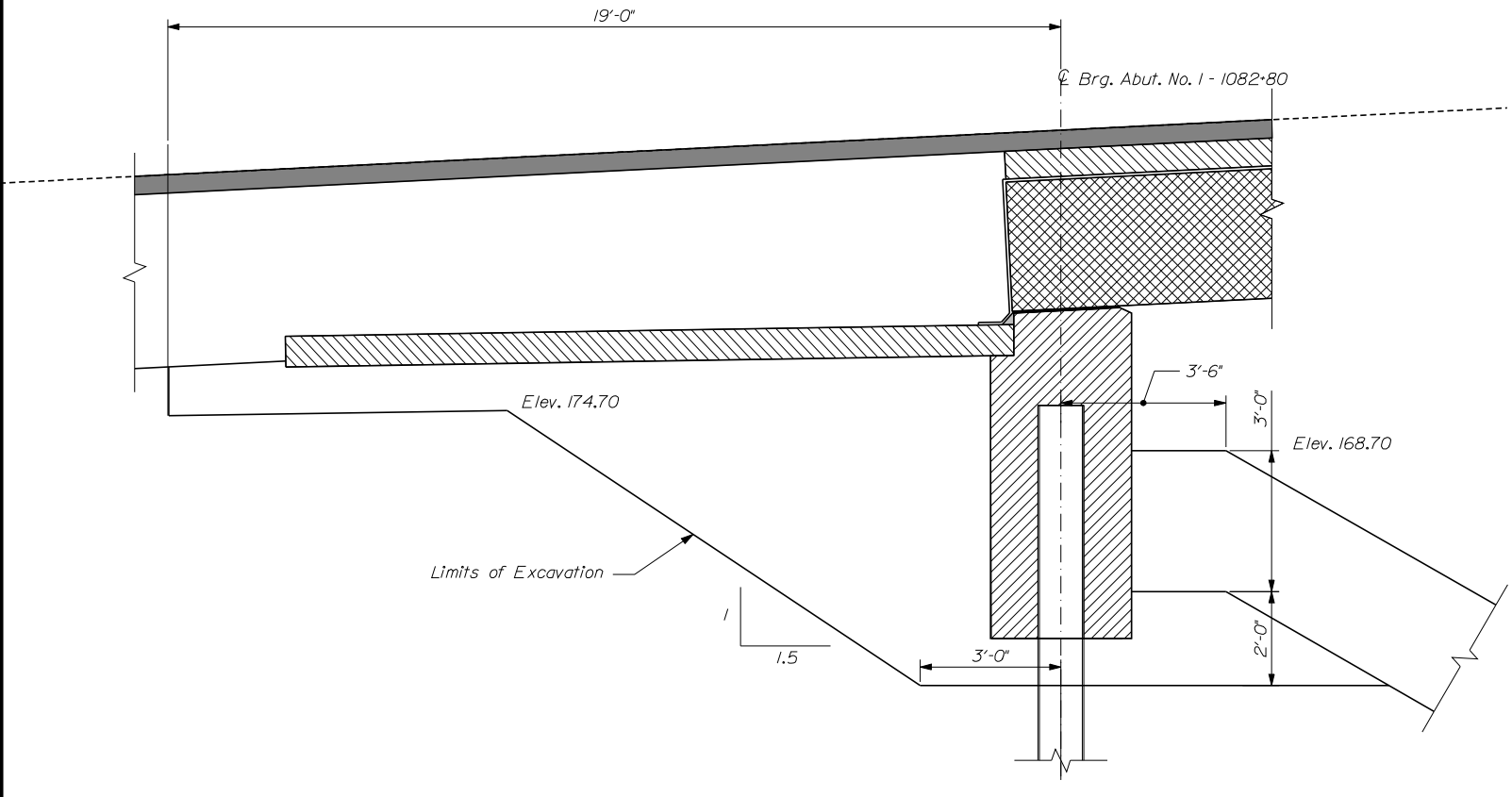
When the excavation for the bridge project over Gully Brook and the tributary to Gully Brook is complete, the Contractor shall notify the Resident and Contractor for project HP-8151(600) Gorham. The excavation limits are shown in the diagrams to the end of the specification.



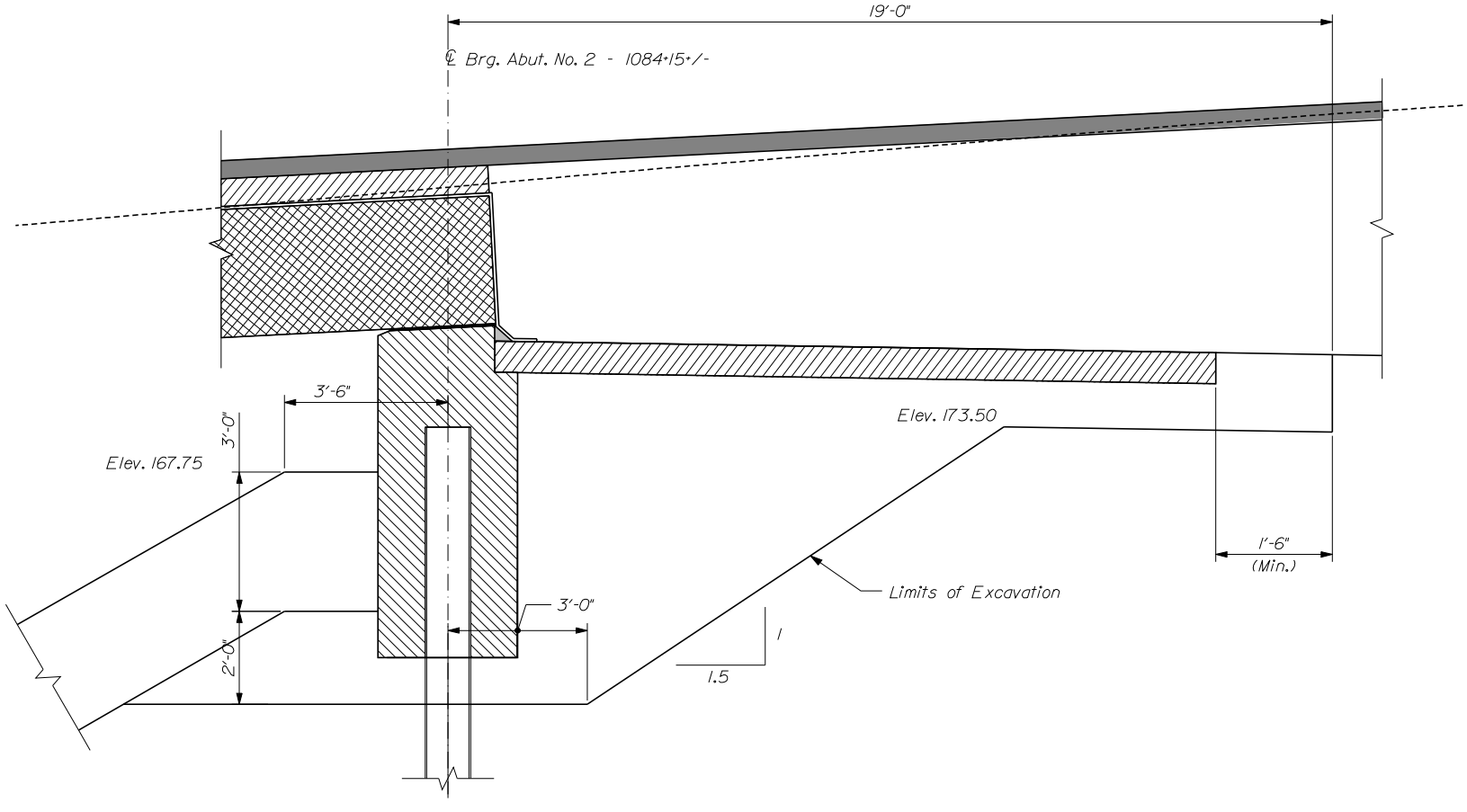
FLAGGY MEADOW ABUTMENT 1



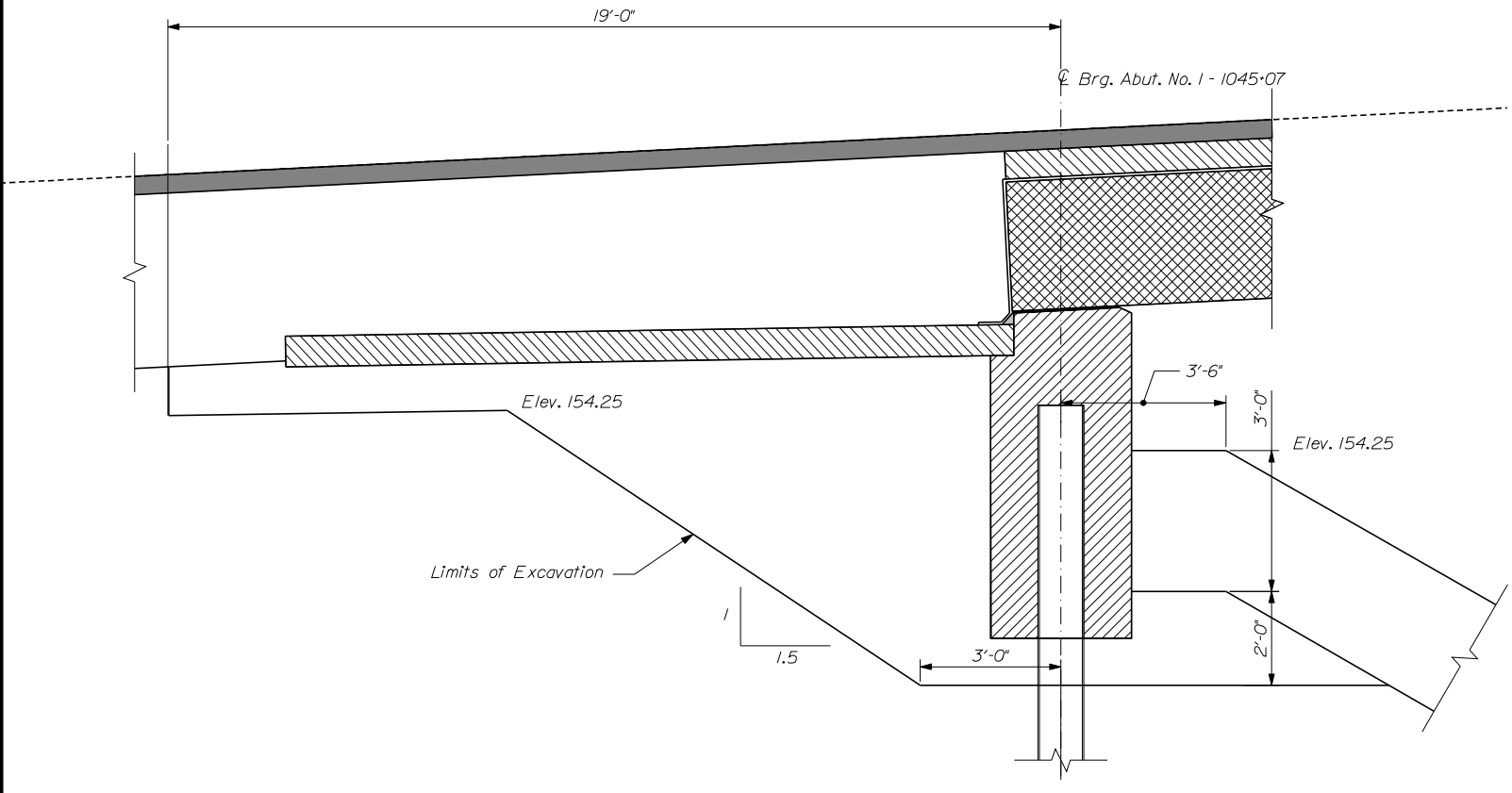
FLAGGY MEADOW ABUTMENT 2



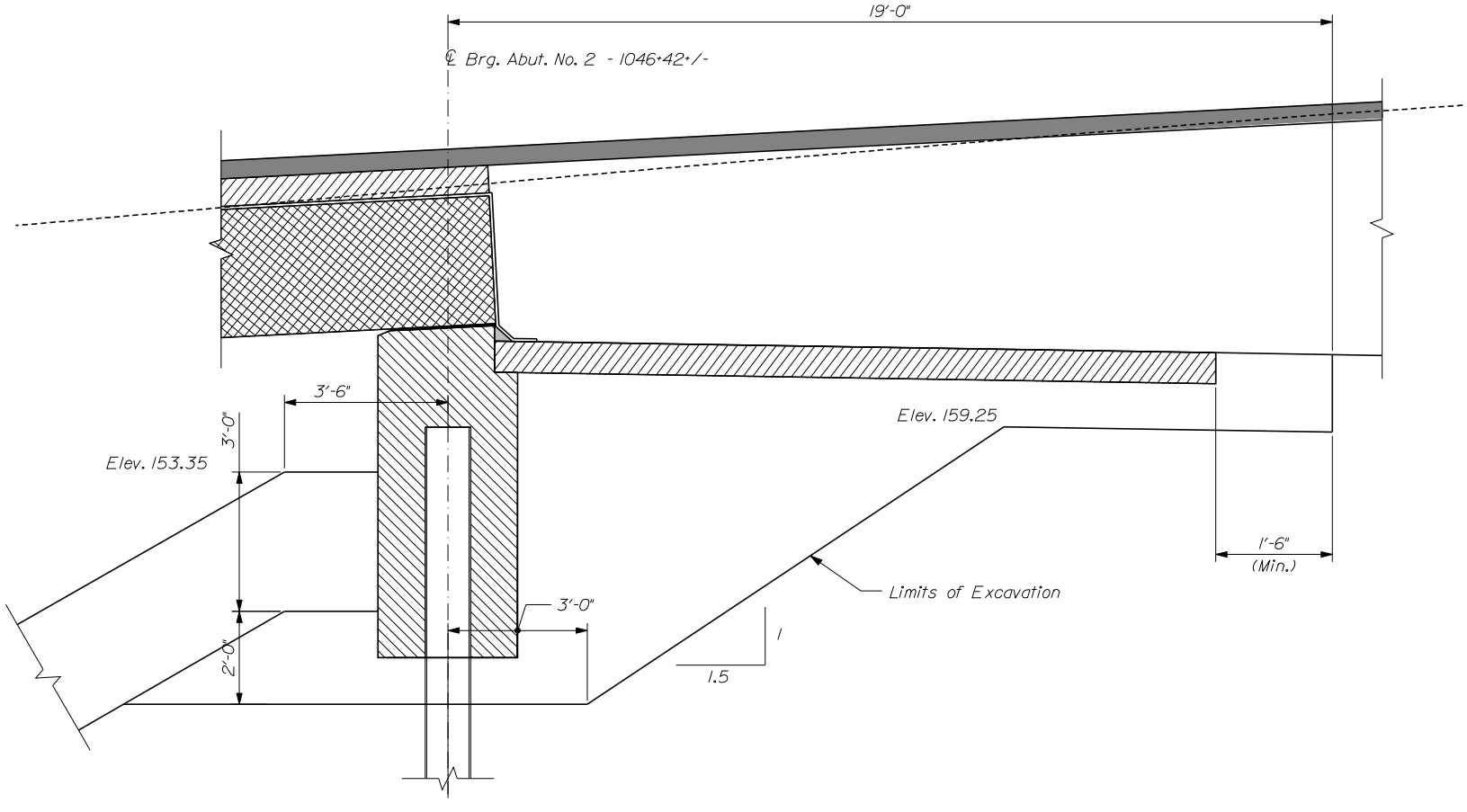
GULLY BROOK ABUTMENT 1



GULLY BROOK ABUTMENT 2



NEWT ABUTMENT 1



NEWT ABUTMENT 2

SPECIAL PROVISION 105
CONSTRUCTION AREA

A Construction Area located in the **Town of Gorham** has been established by the Maine Department of Transportation (MDOT) in accordance with provisions of 29-A § 2382 Maine Revised Statutes Annotated (MRSA).

(a) (New By-pass) The section of highway under construction beginning at Sta. 1000+00 and ending at Sta. 1176+29 of the new construction centerline plus approaches.

Per 29-A § 2382 (7) MRSA, the MDOT may "*issue permits for stated periods of time for loads and equipment employed on public way construction projects, United States Government projects or construction of private ways, when within construction areas established by the Department of Transportation. The permit:*

A. Must be procured from the municipal officers for a construction area within that municipality;

B. May require the contractor to be responsible for damage to ways used in the construction areas and may provide for:

(1) Withholding by the agency contracting the work of final payment under contract; or

(2) The furnishing of a bond by the contractor to guarantee suitable repair or payment of damages.

The suitability of repairs or the amount of damage is to be determined by the Department of Transportation on state-maintained ways and bridges, otherwise by the municipal officers;

C. May be granted by the Department of Transportation or by the state engineer in charge of the construction contract; and

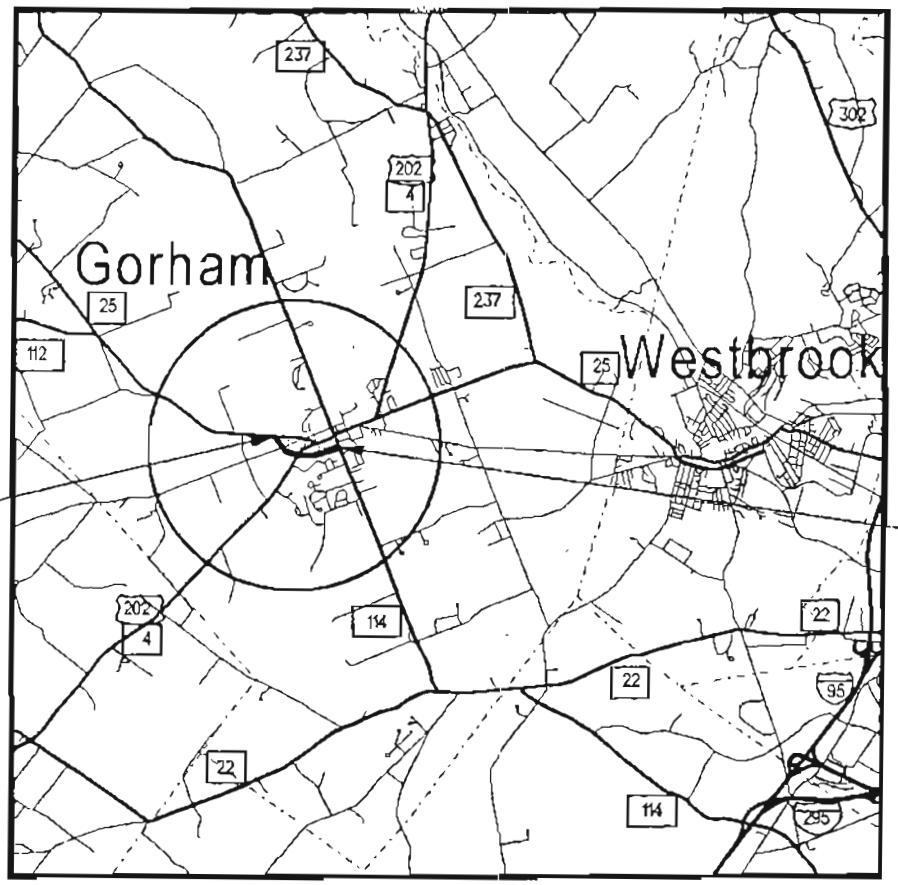
D. For construction areas, carries no fee and does not come within the scope of this section."

The Municipal Officers for the **Town of Gorham** agreed that an Overlimit Permit will be issued to the Contractor for the purpose of using loads and equipment on municipal ways in excess of the limits as specified in 29-A MRSA, on the municipal ways as described in the "Construction Area".

As noted above, a bond may be required by the municipality, the exact amount of said bond to be determined prior to use of any municipal way. The MDOT will assist in determining the bond amount if requested by the municipality.

The maximum speed limits for trucks on any town way will be 25 mph (40 km per hour) unless a higher legal limit is specifically agreed upon in writing by the Municipal Officers concerned.

CT HP-8151(200)



LOCATION MAP

December 10, 2004
Supercedes February 7, 1996

SPECIAL PROVISION 105
OVERLIMIT PERMITS

Title 29-A § 2382 MRSA Overlimit Movement Permits.

1. Overlimit movement permits issued by State. The Secretary of State, acting under guidelines and advice of the Commissioner of Transportation, may grant permits to move nondivisible objects having a length, width, height or weight greater than specified in this Title over a way or bridge maintained by the Department of Transportation

2. Permit fee. The Secretary of State, with the advice of the Commissioner of Transportation, may set the fee for single trip permits, at not less than $6, nor more than $30, based on weight, height, length and width. The Secretary of State may, by rule, implement fees that have been set by the Commissioner of Transportation for multiple trip, long-term overweight movement permits. Rules established pursuant to this section are routine technical rules pursuant to Title 5, chapter 375, subchapter II-A.

3. County and municipal permits. A county commissioner or municipal officer may grant a permit, for a reasonable fee, for travel over a way or bridge maintained by that county or municipality

4. Permits for weight. A vehicle granted a permit for excess weight must first be registered for the maximum gross vehicle weight allowed for that vehicle.

5. Special mobile equipment. The Secretary of State may grant a permit, for no more than one year, to move pneumatic-tire equipment under its own power, including Class A and Class B special mobile equipment, over ways and bridges maintained by the Department of Transportation. The fee for that permit is $15 for each 30-day period.

6. Scope of permit. A permit is limited to the particular vehicle or object to be moved, the trailer or semitrailer hauling the overlimit object and particular ways and bridges.

7. Construction permits. A permit for a stated period of time may be issued for loads and equipment employed on public way construction projects, United States Government projects or construction of private ways, when within construction areas established by the Department of Transportation. The permit:

A. Must be procured from the municipal officers for a construction area within that municipality;

B. May require the contractor to be responsible for damage to ways used in the construction areas and may provide for:

(1) Withholding by the agency contracting the work of final payment under contract; or

(2) The furnishing of a bond by the contractor to guarantee suitable repair or payment of damages.

The suitability of repairs or the amount of damage is to be determined by the Department of Transportation on state-maintained ways and bridges, otherwise by the municipal officers;

C. May be granted by the Department of Transportation or by the state engineer in charge of the construction contract; and

D. For construction areas, carries no fee and does not come within the scope of this section.

8. Gross vehicle weight permits. The following may grant permits to operate a vehicle having a gross vehicle weight exceeding the prescribed limit:

A. The Secretary of State, with the consent of the Department of Transportation, for state and state aid highways and bridges within city or compact village limits;

B. Municipal officers, for all other ways and bridges within that city and compact village limits; and

C. The county commissioners, for county roads and bridges located in unorganized territory.

9. Pilot vehicles. The following restrictions apply to pilot vehicles.

A. Pilot vehicles required by a permit must be equipped with warning lights and signs as required by the Secretary of State with the advice of the Department of Transportation.

B. Warning lights may be operated and lettering on the signs may be visible on a pilot vehicle only while it is escorting a vehicle with a permit on a public way.

With the advice of the Commissioner of Transportation and the Chief of the State Police, the Secretary of State shall establish rules for the operation of pilot vehicles.

9-A. Police escort. A person may not operate a single vehicle or a combination of vehicles of 125 feet or more in length or 16 feet or more in width on a public way unless the vehicle or combination of vehicles is accompanied by a police escort. The Secretary of State, with the advice of the Commissioner of Transportation, may require a police escort for vehicles of lesser dimensions.

A. The Bureau of State Police shall establish a fee for state police escorts to defray the costs of providing a police escort. A county sheriff or municipal police department may establish a fee to defray the costs of providing police escorts.

B. The Bureau of State Police shall provide a police escort if a request is made by a permittee. A county sheriff or municipal police department may refuse a permittee's request for a police escort.

C. A vehicle or combination of vehicles for which a police escort is required must be accompanied by a state police escort when operating on the interstate highway system.

10. Taxes paid. A permit for a mobile home may not be granted unless the applicant provides reasonable assurance that all property taxes, sewage disposal charges and drain and sewer assessments applicable to the mobile home, including those for the current tax year, have been paid or that the mobile home is exempt from those taxes. A municipality may waive the requirement that those taxes be paid before the issuance of a permit if the mobile home is to be moved from one location in the municipality to another location in the same municipality for purposes not related to the sale of the mobile home.

11. Violation. A person who moves an object over the public way in violation of this section commits a traffic infraction.

Section History:
PL 1993, Ch. 683, §A2 (NEW).
PL 1993, Ch. 683, §B5 (AFF).
PL 1997, Ch. 144, §1,2 (AMD).
PL 1999, Ch. 117, §2 (AMD).
PL 1999, Ch. 125, §1 (AMD).
PL 1999, Ch. 580, §13 (AMD).
PL 2001, Ch. 671, §30 (AMD).
PL 2003, Ch. 166, §13 (AMD).
PL 2003, Ch. 452, §Q73,74 (AMD).
PL 2003, Ch. 452, §X2 (AFF).

September 29, 2004

SPECIAL PROVISION
SECTION 106
QUALITY
(Quality Level Analysis- Structural Concrete)

106.7.1 Standard Deviation Method Under H. Replace the Method A payfactor with the following;

"Method A: PF = [32.5 + (Quality Level * 0.75)] * 0.01"

Gorham
HP-8151(200)
April 12, 2007

SPECIAL PROVISION
SECTION 107
PROSECUTION OF WORK
and
SUPPLEMENTAL LIQUIDATED DAMAGES

Once the Contractor commences work on this project the work shall be continuous through completion.

The preload and wick drains at Flaggy Meadow Road, Gully Brook and the tributary to Gully Brook shall be complete and in place by November 1, 2007. Supplemental liquidated damages will be assessed to the Contractor at the rate of five-hundred dollars ($500.00) per day the preload and wick drains installation is not complete by the aforementioned date.

Flaggy Meadow Road may be closed for a maximum of six months during 2008. The closure shall be consecutive in duration and may not occur until the settlement requirements of the contract have been met. Supplemental liquidated damages will be assessed to the Contractor at the rate of one-thousand dollars ($1000.00) per day the road is closed to traffic beyond the aforementioned time. No traffic shall be applied to the bridge until a minimum of waterproofing and one layer of pavement has been placed.

This assessment of liquidated damages will be in addition to the liquidated damages specified in Section 107 of the Standard Specifications.

SPECIAL PROVISION
SECTION 107
TIME
(Scheduling of Work – Projected Payment Schedule)

Description The Contractor shall also provide the Department with a Quarterly Projected Payment Schedule that estimates the value of the Work as scheduled, including requests for payment of Delivered Materials. The Projected Payment Schedule must be in accordance with the Contractor's Schedule of Work and prices submitted by the Contractor's Bid. The Contractor shall submit the Projected Payment Schedule as a condition of Award.

Gorham
8151.20
April 27, 2007

Special Provision
Section 107
Prosecution and Progress
(Contract Time)

1) **The contractor will be allowed to commence work on this project as long as all applicable plans as required under this contract have been submitted and approved and item 639.32 Field Office Modifications is 100% complete.**

2) **The completion date for this contract is June 13, 2009.**

3) **The contractor shall cease all operations that effect traffic and have all lanes open to traffic and the roadway in safe operating condition as directed on the following dates :**

   May 25, 2007 by noon, and shall not commence work again until May 29, 2007 (Memorial Day).
   June 29, 2007 by noon, and shall not commence work again until July 5, 2007 (4th of July).
   August 31, 2007 by noon, and shall not commence work again until September 4, 2007 (Labor Day).

4The contractor shall cease all operations that effect traffic and have all lanes open to traffic and the roadway in safe operating condition as directed on the following dates :

   May 23, 2008 by noon, and shall not commence work again until May 27, 2008 (Memorial Day).
   June 27, 2008 by noon, and shall not commence work again until July 7, 2008 (4th of July).
   August 29, 2008 by noon, and shall not commence work again until September 2, 2008 (Labor Day).
   May 29, 2009 by noon, and shall not commence work again until June 2, 2009 (Memorial Day).

SPECIAL PROVISION
SECTION 202
REMOVAL OF STRUCTURES AND OBSTRUCTIONS

Under Section 202.02 of the Standard Specifications, ownership of buildings and all equipment, fixtures, and materials therein shall be interpreted as meaning all equipment, fixtures, and materials that are recognized as real property. Any items that are recognized as personal property are excepted and are reserved to the owner. If the bidder is in doubt as to whether any item not listed is real or personal property, they shall request a determination of the matter prior to date on which bids are to be received.

Building No. 1 will include the removal of an in ground swimming pool foundation and surrounding concrete pad.

The following list of items is to be reserved to the property owners and/or occupants of Buildings No.1,2, 3

No Reservations

All buildings to be removed under Section 202 - Removing Structures and Obstructions of the contract will be made available to the Contractor immediately.

Failure by the Maine State Department of Transportation to meet dates of availability may entitle the Contractor to time extension if requested by the Contractor, in writing, such request indicating delays in construction, if any, caused by changes in availability dates.

With the "Notice to Proceed", or when a building becomes available to the Contractor, the Department will designate whether rodent control measures are required or not.

The Contractor shall not remove a building until the Department has certified it to be free of rodents. Should rodent control measures be required, the Contractor shall procure the extermination services as soon as possible. The Department will re-inspect the building within seven days after the extermination services are performed. The cost of extermination services until the building is found to be rodent free will be paid for as a specialty Pay Item under Section 109.3 - Extra Work.

This building may or may not contain asbestos. Prior to any demolition of building(s) the Contractor will conduct an asbestos survey on the building(s) to determine if any asbestos exists. The survey will be conducted by a DEP certified Asbestos Inspector. No separate payment will be made for the survey and it shall be considered incidental. The survey results will be communicated with the Resident. If no asbestos is discovered, the demolition process may proceed.

If asbestos is found, the Contractor will employ a DEP certified Asbestos Abatement Contractor for its' removal and disposal. The Department will bear all expenses incurred in the abatement of any asbestos containing material as detailed in Standard Specification 109.7 – Equitable Adjustments to Compensation. Any questions can be directed to the Office of Legal Service (624-3020).

Each building shall be removed promptly after notification that it is free of rodents. All subsequent inspection costs and extermination services necessary to assure that the building is rodent free at time of removal will be at the expense of the Contractor.

SPECIAL PROVISION
SECTION 203
EXCAVATION AND EMBANKMENT

203.10 Embankment Construction - General Add the following paragraphs:

Settlement of the foundation soils are expected under highway embankment fills between Stations 1078+50 to 1081+50, 1093+00 to 1095+00, and 1162+25 to 1176+00. To allow consolidation settlement to occur, paving shall be delayed for 6 months from Station 1078+50 to 1081+50 and Station 1093+00 to 1095+00, and for 12 months from Station 1162+25 to 1176+00.

Highway embankment fills shall be brought to the design subgrade prior to the required consolidation settlement time. Material needed to bring the embankment back to the design subgrade elevation following the required consolidation settlement time shall be paid for as Item 203.24 Common Borrow. Settlements calculated from geotechnical subsurface information and laboratory testing data and used for estimating purposes are as follows:

Station	Settlement (in)
1080+59	5.0
1094+00	1.5
1166+04	7.0
1167+60	6.1
1171+00	4.7
1173+54	10.0

SPECIAL PROVISION
SECTION 203
EXCAVATION AND EMBANKMENT
(Dirty Borrow)

Description: This work shall consist of furnishing and placing dirty borrow for seeding, in reasonably close conformity with the thicknesses called for on the plans or as authorized by the Resident.

Materials: Materials shall conform to the requirements specified in the following Sections of Division 700 – Materials:

Common Borrow	703.18
Humus	717.09

Dirty Borrow shall meet the requirements of Section 703.18 Common Borrow with the following addition and deletions: 703.18 Second sentence, delete the word peat.

Dirty Borrow shall contain no particles or fragments with a maximum dimension in excess of the compacted thickness of the layer being placed.

The granular material must have at least 20 percent, but not more than 50 percent, of the minus 25 mm [1 in] material passing the 75 micron [No. 200] mesh sieve.

The Dirty Borrow must have an organic humus content of 3% to 8% as determined by ignition test.

The Contractor may elect to manufacture Dirty Borrow from a combination of project materials that the contractor is entitled to use, combined with other suitable materials furnished by the Contractor.

CONSTRUCTION REQUIREMENTS

Application of Dirty Borrow: Dirty Borrow shall be spread evenly and uniformly on prepared areas in a thickness as shown on the plans.

Method of Measurement: Dirty Borrow will be measured by the cubic meter [cubic yard] complete in place after finishing to the required depth as shown on the plans or directed by the Resident. Lateral measurements will be parallel with the slope of the ground.

Basis of Payment: The accepted quantities of dirty borrow will be paid for at the contract unit price per cubic meter [cubic yard] complete in place.

Payment shall be full compensation for furnishing and placing the Dirty Borrow.

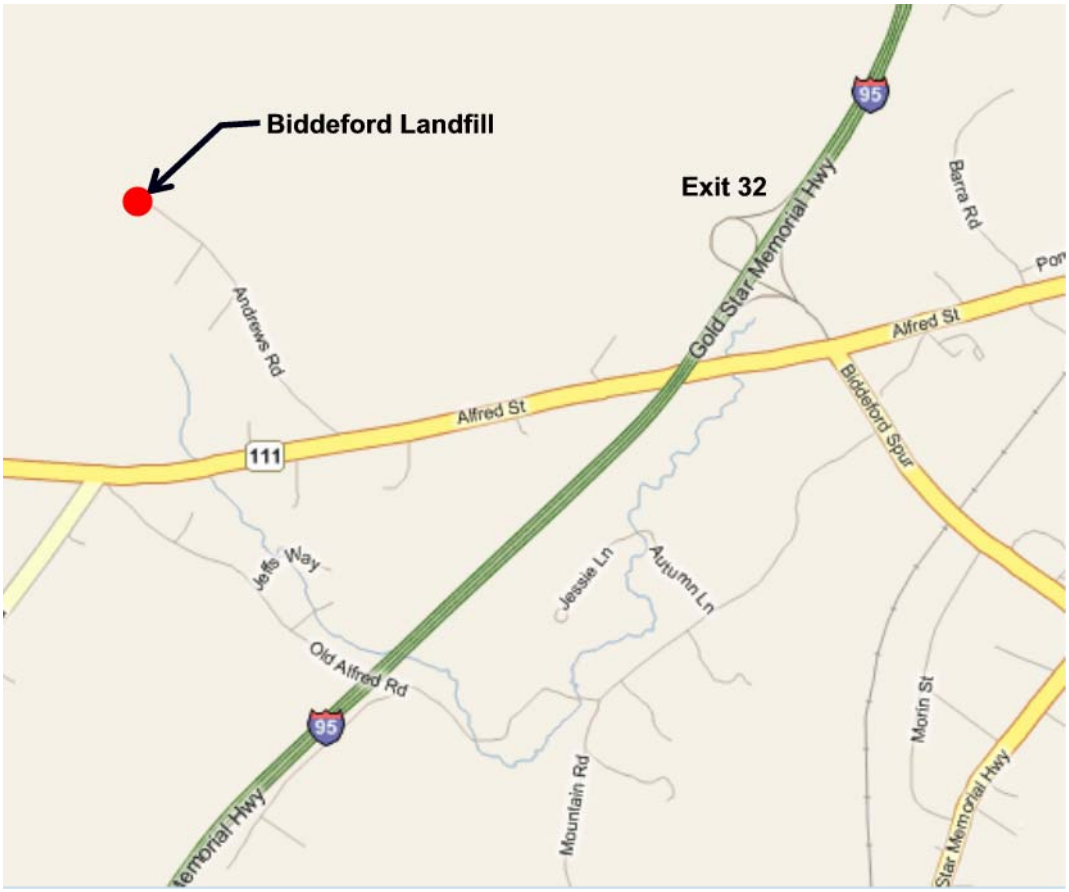
Payment will be made under:

Pay Item	Pay Unit
203.242 Dirty Borrow	Cubic Meter [Cubic Yard]

SPECIAL PROVISION
SECTION 203
EXCAVATION AND EMBANKMENT
(Tire Shred Disposal)

Description. This work shall consist of transporting approx. 485 cubic yards of tire shreds from the Biddeford landfill, and disposing of the tire shreds in the embankment fills of the Project. Disposal of the tire shreds within the embankment fills shall be as described herein, or as directed by the Resident. The contractor shall be responsible for verifying the estimated stockpile of tire shreds prior to bidding.

Transportation. The Contractor shall transport the tire shreds from the Biddeford landfill to the Project. The Biddeford landfill is located at the northerly end of Andrews Rd., approximately 1.5 miles west from Exit 32 of Interstate 95. The Contractor shall coordinate tire shred transportation with the Resident and Randy McMullin of the Maine Department of Environmental Protection, telephone: 822-6343.



Construction. The tire shreds shall be blended with common borrow at an approximate ratio of 1:1, common borrow (minimum):tire shreds, as approved by the Resident. Common borrow/tire shred fill shall be placed in areas of the embankment fills which are a minimum of 10 vertical feet from the bottom of any ASCG, and a minimum of 5 vertical feet above any normal high water elevation or french drain location.

Common borrow/tire shred fill shall not be placed directly on cleared/grubbed subgrade, or within 15 feet of any buried structure. Courses of common borrow/tire shreds shall not exceed a thickness of 6 feet. The areas for common borrow/tire shred fill shall be approved by the Resident prior to fill placement.

Section 203.12 of the Standard Specifications is modified:

Add the following: In areas of common borrow/tire shred fill, moisture density requirements will be waived. Compaction shall be in lifts no greater than 12 in., using sufficient compaction effort to achieve a consistent course, as approved by the Resident.

Method of Measurement. The method of measurement for Common Borrow / Tire Shred Fill shall be by the lump sum.

Basis of Payment. Payment for Common Borrow / Tire Shred Fill, shall be paid Lump Sum and be full compensation for loading, transporting, blending, and placing tire shreds as described herein and as shown on the plans.

Payment will be made under:

Pay Item	Pay Unit
203.2411 Common Borrow / Tire Shred Fill	Lump Sum

SPECIAL PROVISION
SECTION 203
EXCAVATION AND EMBANKMENT
(Dredge Materials)

Description: Dredge Material (See MDOT Standard Specifications § 101.2) is regulated as a Special Waste.

In accordance with CMR 418, Dredge Material which contains less than 15% fines (material passing the # 200 sieve) and, provided it is used on the site of generation, is exempt from Beneficial Use Permits.

In accordance with CMR 418, one hundred cubic yards or less of Dredge Material Beneficially Used in the area adjacent to and draining into the dredged water body is exempt from Beneficial Use Permits.

There are two sites associated with the Gorham-8151.20 project where Dredge Materials will be generated; Gully Brook (Station 1083+00) and a tributary to Gully Brook (Station 1045+75).

The Dredge Material Analysis for Gully Brook confirmed less than 15% fines and there is an onsite Beneficial Use for all of the Gully Brook Dredge Materials. All of the Dredge Materials from Gully Brook shall be placed into onsite Beneficial Use.

While three of the four Dredge Material samples for the tributary to Gully Brook were well less than 15% fines; the final sample was at 16.6% which is outside the 80% statistical confidence range. The Dredge Material Quantities for the tributary is anticipated to be around 100-cubic yards.

CONSTRUCTION REQUIREMENTS

Management: The contractor shall use all Dredge Materials excavated from Gully Brook as Construction Fill in the area adjacent to and draining into Gully Brook.

The contractor shall Beneficially Use up to 100-cubic yards of Dredge Material excavated from the tributary at Station 1045+75 in the area adjacent to and draining into the tributary.

The Contractor shall dispose of all Dredge Material from the Station 1045+75 site, which is not Beneficially Used on site, at a landfill licensed by the Maine Department of Environmental Protection for the disposal of Special Waste. The Contractor shall be

responsible for making all necessary arrangements for dewatering and proper disposal of the Dredge Material, including any laboratory testing, in accordance with the landfill's license. The Contractor shall provide documentation to the Resident that the Dredge Material was disposed of as specified. The submitted documentation shall consist of truck manifests, waybills, or such documentation as may be acceptable to the Resident and shall clearly document the disposal site location and the quantity of Dredge Material.

Method of Measurement: Dredge Material will be measured by the cubic yard of material removed.

Basis of Payment: Payment for the Beneficial Use of Dredge Material will be incidental to the project.

The accepted quantity of Dredge Material properly disposed of, as Special Waste, will be paid for at the contract unit price bid for Disposal of Special Waste.

Payment shall be full compensation for excavation, dewatering, testing, managing, transporting, disposal or placement, and all associated fees.

Payment will be made under:

Pay Item		Pay Unit
203.2318	Disposal of Special Waste	Ton

SPECIAL PROVISION
SECTION 209
WICK DRAINS

(Prefabricated Vertical Wick Drains)

Description This work shall consist of furnishing all necessary labor, equipment, and materials, and performing all operations for the installation of prefabricated vertical (PV) wick drains in accordance with the contract documents. The PV drains shall consist of a band-shaped plastic core which permits continuous vertical drainage, wrapped in a filter material, installed in the subsoils by displacement methods, and arranged as shown on the plans, or as otherwise directed by the Resident.

MATERIALS

PV Drain - General The PV drains shall be a manufactured prefabricated type and shall consist of a plastic drainage core encased in or integrated with a filter jacket. The jacket shall allow free passage of pore water to the core without loss of soil material or piping (i.e., jacket shall have a range of openings to perform similar to a graded filter). The core shall provide continuous vertical drainage. The PV drain shall be band-shaped with an aspect ratio (width divided by thickness) greater than 10 and not exceeding 50. PV drains shall be AmerDrain 407, AmerDrain 417, or approved equal meeting this specification.

PV Drain - Jacket The jacket shall be a synthetic non-woven geotextile capable of resisting all bending, punching, and tensile forces imposed during installation and during the design life of the drain. The jacket material shall not be subject to localized damage (e.g., punching through the filter by sand/gravel particles). The jacket material shall be sufficiently rigid to withstand lateral earth pressures due to embedment and surcharge so that the vertical flow capacity through the core will not be adversely affected. The jacket material shall be sufficiently flexible to bend smoothly during installation and induced consolidation settlement without damage. The jacket material shall not undergo cracking and/or peeling during installation of the drain. The jacket material shall conform to the following specifications*:

Test Item	Designation	Minimum Roll Value*
Grab Tensile Strength	ASTM D 4632	130 lbs
Trapezoidal Tear	ASTM D 4533	70 lbs
Puncture Strength	ASTM D 4833	50 lbs
Burst Strength	ASTM D 3786	150 psi
Flow Rate	ASTM D 4491	80 gal/min/ft^2

*The jacket material shall be tested in saturated and dry conditions. These requirements apply to the lower of the two tested conditions.

PV Drain - Core The core shall be a continuous plastic material fabricated to promote drainage along the axis of the vertical drain. The core shall be in physical contact with the jacket, but should not be continuously bonded to the jacket.

The core material shall conform to the following specifications*:

Test Item	Designation	Minimum Roll Value*
Tensile Strength	(Uniaxial extension)	200 lbs
Elongation at Break	(Uniaxial extension)	10%

*The core material shall be tested in saturated and dry conditions. These requirements apply to the lower of the two tested conditions.

Assembled PV Drain The function of the PV drain shall not be affected due to lateral movements which will likely accompany large settlements. The mechanical properties (strength and modulus) of the assembled PV drain shall equal to or exceed those specified for the component jacket and core. The assembled drain shall be resistant against wet rot, mildew, bacterial action, insects, salts in solution in the groundwater, acids, alkalis, solvents and any other significant ingredients in the site groundwater. The jacket and core materials shall be environmentally safe. One single type of assembled PV drain shall be used on the project unless otherwise approved by the Resident. The assembled PV drain shall have a minimum discharge capacity of 1.6 gal/min when measured under a gradient of one at a lateral confining pressure of 25 psi. The assembled PV drain shall have a minimum equivalent diameter of 4 inches using the following definition of equivalent diameter:

$d_w = (a+b)/2$

d_w = diameter of a circular drain equivalent to the band-shaped drain (inches)
a = width of band-shaped drain (inches)
b = thickness of band-shaped drain (inches)

The PV drain material shall be labeled or tagged in such a manner that the information for sample identification and other quality control purposes can be read from the label. As a minimum, each roll shall be identified by the manufacturer as to lot or control numbers, individual roll number, date of manufacture, manufacturer and product identification of the jacket and core. During shipment and storage the PV drain shall be wrapped in heavy paper, burlap, or similar heavy duty protective covering and in accordance with the manufacturer's recommendations. Material shall be stored on site under protective cover to minimize possible damage due to sunlight, general weather conditions, and other site conditions. The Resident may reject material that is damaged during shipment, unloading, storage, or handling; or which does not meet the minimum requirements of the PV drain material. No payment of any kind shall be made for rejected PV drain materials.

SUBMITTALS

Quality Assurance The installer of the PV drain system shall have a minimal of 5 years experience, with 3 successful applications performed during this time. This experience provision shall apply to the installation Contractor and one of the installation Contractor's employees who will be present during installation of the PV drains.

Within two weeks after award of the contract, the Contractor shall submit for approval to the Resident manufacturer's literature documenting the physical and mechanical

properties of the PV drain (as a minimum those properties required by the specifications), a list of other similar projects where the proposed PV drain has been installed, and a sample of the PV drain material at least 10 feet long. The Contractor shall allow a minimum of four weeks for the Department to evaluate the material. Two weeks after contract award, the Contractor shall submit written documentation showing that the installation Contractor and personal meet the quality assurance criteria.

At least two weeks prior to the installation of the PV drains, the Contractor shall submit to the Resident for review details of the sequence and method of installation including information on the installation rig, penetration method, mandrel and anchor, method of splicing, method for clearing obstruction, and source of material. Review by the Resident shall not relieve the Contractor of the responsibility to install PV drains in accordance with these specifications.

EQUIPMENT

PV drains shall be installed with equipment of a type that will cause minimum disturbance to the subsoil during the installation operation.

The PV drains shall be installed using a mandrel, which shall be pushed through the soil with a continuous static movement to the depths required with the approval of the Resident. The mandrel shall protect the PV drain material from tears, cuts, and abrasions during installation. In no case will alternate raising or lowering of the mandrel during advancement be permitted. Raising of the mandrel will only be permitted after completion of a PV drain installation. Installation techniques requiring driving will not be permitted. Jetting will not be permitted due to potential smearing. The mandrel shall have a cross sectional area not to exceed 10 square inches. The mandrel shall be provided with an “anchor” rod or plate at the bottom to prevent soil from entering the bottom of the mandrel during installation of the drain and to anchor the bottom of the drain at the required depth at the time of mandrel removal. The dimensions of the anchor shall conform as closely as possible to the dimensions of the mandrel so as to minimize soil disturbance.

At the project Preconstruction Meeting, the Contractor shall submit to the Resident for review and approval, details of the sequence and method of installation. Approval by the Resident will not relieve the Contractor of the responsibility to installing PV drains in accordance with the Contract Documents.

CONSTRUCTION REQUIREMENTS

The Contractor is advised that there maybe construction equipment height restrictions indicated on the drawings and/or in the General Notes section.

The Contractor shall be familiar with site conditions and the available Geotechnical Information regarding depths to the bottom of the marine clay stratum and other subsurface information. Prior to installation of the PV drains the Contractor shall demonstrate that the equipment, method, and materials produce a satisfactory PV drain installation. The Contractor may drill borings in the PV drain area designated on the

plans to select equipment, method and materials suitable for the site conditions and capable of producing a satisfactory PV drain installation to the minimum elevation. The Contractor may be required to install up to ten (10) trial drains as designated by the Resident. The Contractor will be compensated for each trial drain if the installation satisfies the requirements of the Contract Documents. No compensation will be allowed for installing unsatisfactory trial drains.

Approval by the Resident of the method and equipment used to install the trial drains shall not constitute acceptance of the method for the remainder of the project. If the Resident considers that the method of installation does not produce a PV drain that satisfied the requirements of the Contract Documents, the Contractor shall alter the method and/or equipment in order to achieve compliance.

Prior to installing the PV drains, any existing pavement shall be removed and the site shall be graded sufficiently level to allow vertical and proper drain installation. This grading work shall be incidental.

The PV drains shall be located, numbered, and staked by the Contractor. The Contractor shall take all reasonable precautions to preserve the stakes and is responsible for any necessary restaking. PV drains shall be installed in a triangular pattern, with a spacing of 5 feet, as shown on the plans. The locations of the PV drains shall not vary by more than 3 inches from the locations indicated on the drawings. PV drains that are out of proper location by more than 3 inches or are damaged or improperly installed will be rejected. Rejected drains may be removed or abandoned in place, at the Contractor's option. Two weeks prior to construction, the Contractor shall submit drawings to the Resident for approval showing the method of field locations, PV drain layout, and numbering plan.

During construction, individual test samples shall be cut from at least one product roll selected at random. Individual samples shall be no less than 10 feet in length and shall be full width. Samples submitted for tests shall indicate the linear feet of PV drain represented by the sample. The total length represented by the sample shall not be used until the Resident has accepted the sample (verified physical dimensions, manufacturer, PV drain designation, and manufacturers' certification of physical and chemical properties).

Should any individual sample selected at random fail to meet any specification requirement, then that roll shall be rejected and two additional samples shall be taken at random from two other rolls representing the shipment. If either of these two additional samples fail to comply with any portion of the specification, then the entire quantity of vertical drain represented by that sample shall be rejected.

The sequence of installation for the PV drains is as shown in the Notes, included with the Plans. In the preparatory stage of installation and prior to the installation of PV drains, the Contractor shall:

a. Remove any surface obstructions present at the location of proposed PV drains,

b. Conduct site stripping, site grading, and special preparation of the existing ground surface, as discussed in the plans, and
c. Provide access to the site for the installation equipment as required.

The Contractor shall be responsible for penetrating overlying material as necessary to satisfactorily install the PV drains including removing obstructions. The Contractor may use augering or other methods to loosen stiff upper soils prior to the installation of the PV drains. Jetting will not be permitted if the procedure results in smearing. All holes or voids created by such operations shall be filled with sand after the PV drain has been satisfactorily installed. The Contractor's obstruction clearance procedure is subject to the review of the Resident. However, such review shall not relieve the Contractor of the responsibility to clear obstructions in accordance with these specifications.

If obstructions are encountered below the working surface which cannot be penetrated by the PV drain installation equipment or the Contractor's preaugering or other obstruction clearance procedure, the Contractor shall notify the Resident prior to completing the PV drain and prior to installing any more PV drains. At the direction of the Resident, the Contractor shall attempt to install a new offset PV drain including obstruction clearance procedures within 18 inches horizontally of the obstructed PV drain. For all obstructed and offset PV drains, a maximum of two attempts shall be paid at the contract unit price unless the PV drain is improperly completed. The Contractor will be paid at the contract unit price for the linear foot of attempted obstructed and offset PV drains as directed by the Resident.

The installation equipment shall be checked for plumbness prior to installing each PV drain. The plumbness of the mandrel shall not deviate more than ¼ inch per foot from vertical during installation of any PV drain. The drains shall be installed to the minimum elevation as shown on the plans. If the penetration shown on the plans is more than 1 foot into the underlying foundation layer and difficulties are encountered prior to achieving the indicated depths, the drains shall be installed to a depth of 1 foot below the bottom of the soil layer being improved by PV drains as shown on the plans.

The Resident will reject PV drains that vary from their proper location by more than 3 inches at the ground surface, drains that are damaged during installation or subsequent construction, or drains that are improperly completed, and no compensation will be allowed for any materials furnished or for any work performed on such drains.

During installation, the Contractor shall provide the Resident with suitable means of measuring the vertical length of each PV drain installed at a given location and for deriving a tip elevation for each drain.

Splices or connections in the PV drain material shall be done in a workmanlike manner and so as to ensure structural and hydraulic continuity of the PV drain. A maximum of one splice per installed PV drain will be permitted, unless specific permission is granted by the Resident. Splicing shall be performed in advance of the mandrel insertion so that the mandrel penetration is not stopped during the installation of a PV drain above the design level for the sole purpose of splicing the PV drain. Splicing of the jacket and core

shall not directly coincide. Necessary splices should be adequately offset to provide structural and hydraulic continuity.

After installation, the Contractor shall cut each drain horizontally such that approximately 6 inches of drain material extends above the top of the working surface.

The Contractor shall supply to the Resident at the end of each working day a summary of the PV drains installed that day. The summary shall include PV drain type, locations, and pay length of PV drain installed at each location.

The Contractor shall observe precautions necessary for protection of any field instrumentation devices. After instrumentation devices have been installed, the Contractor shall replace, at his own expense, any equipment (instrument) that has been damaged or becomes unreliable as a result of his operations.

COMPENSATION

Method of Measurement PV drains will be measured by the linear foot installed according to the Contract Documents. The length of PV drains to be paid for shall be the distance the installation mandrel tip penetrates below the working surface. All measurements shall be rounded to the nearest 1 foot.

In the case of obstructions, the Resident will calculate the number of feet from measurements taken from the top of the PV drain to the elevation at which the obstruction was encountered.

PV drains placed in excess of the length as specified herein will not be paid for unless the additional length was authorized by the Resident prior to or during the PV drain installation.

Basis of Payment Payment for PV drains shall be made at the contract unit price per linear foot, which price shall be full compensation for the cost of field staking for the location of PV drains, furnishing the full length of PV drain material, installing the PV drain, altering of the equipment and methods of installation in order to produce the required end result in accordance with the contract drawings and specifications, and shall also include the cost of furnishing all tools, materials, labor, equipment and all other costs necessary to complete the required work.

No payment shall be made for unacceptable PV drains, or for any delays or expenses incurred through changes necessitated by improper or unacceptable material or equipment but the costs of such shall be included in the unit price bid for this work.

In instances where pre-augering is permitted, the cost of pre-augering and subsequent backfilling with sand shall be considered incidental to the price bid for PV drains. No direct payment will be made for mobilization, demobilization, or obstruction clearance. The cost of such shall be included in the unit price bid for PV drains.

The cost of borings drilled to select the equipment, method, and materials suitable for the existing site conditions to produce a satisfactory PV drain installation shall be considered incidental to the bid price for PV drains.

Pay Item		Pay Unit
209.29	Vertical Wick Drains - Prefabricated	Foot

Gorham
8151.20
Apr. 27, 2007

SPECIAL PROVISION 304
Stream Channel Relocation – Gully Brook

Description This work shall consist of constructing a new stream channel at the direction of the Department to simulate the existing natural channel. Gully Brook is located on the Bypass between Stations 1083+30 and 1084+50 RT. Channel layout and material placement will be at the direction of the Resident or his/her designee.

Materials

Materials shall conform to the following requirements:

Sand used in stream channel shall conform to Standard Specification 703.06 Aggregate for Base & Subbase and Section 304.

Construction

1) All activities shall be done in compliance with Standard Specification 656, Temporary Soil Erosion and Water Pollution Control and described in the Contractor's Soil Erosion and Water Pollution Control Plan.

2) Beginning on the downstream end of the project site, the new channel shall be excavated and shaped to a depth and width as designated by the Resident or his/her designee. Refer to Gully Brook Typical Section for typical channel dimensions. Exact channel location, both horizontal & vertical, to be determined in the field by the Resident or his/her designee.

3) Stream Channel Sand shall be machine placed as directed. Minimal hand placement of material may be required. No compaction of materials is necessary.

4) All disturbed soil on top of bank shall be mulched with Erosion Control Blanket before installation of plant material. Erosion Control Blanket shall be installed according to the Typical Section for all Channels and shall be paid for separately. Refer to Special Provision 621 for description of Plant Material.

Method of Measurement

Stream Channel Sand will be measured in the truck before placement by the cubic yard.

Basis of Payment

The accepted quantities of Stream Channel Sand will be paid for at the contract unit price per cubic yard before placement and paid as 304.123 Aggregate Subbase Course- Sand, Truck Measure. Excavation shall be as directed by the Dept. and paid for as hourly equipment rental.

SPECIAL PROVISION
SECTION 304
AGGREGATE BASE AND SUBBASE COURSE
(Aggregate Subbase)

If the Contractor wishes to route public traffic over the completed aggregate subbase course, the course shall be constructed with a minimum 50 mm [2 in] surcharge above the design grade, except as described below. Whenever the surcharge is used, it shall be constructed with material meeting the requirements of Section 703.06(b), Type D Aggregate. Also, whenever, the surcharge is used, it shall be placed on all the aggregate subbase course subjected to public driveways, sidewalks, approach roads, or the outer portions of the shoulders. Removal of the surcharge shall be followed immediately in succession by the fine grading of the aggregate subbase and construction of the next course.

The furnishing, placing, maintaining, and removal of the surcharge will not be paid for directly, but will be considered incidental to the Aggregate Subbase Course pay item.

If salvaged bituminous pavement is placed as the top layer of the aggregate subbase course, a surcharge is not required.

SPECIAL PROVISION
DIVISION 400
PAVEMENTS

SECTION 401 - HOT MIX ASPHALT PAVEMENT

401.01 Description The Contractor shall furnish and place one or more courses of Hot Mix Asphalt Pavement (HMA) on an approved base in accordance with the contract documents and in reasonably close conformity with the lines, grades, thickness, and typical cross sections shown on the plans or established by the Resident. The Department will accept this work under Quality Assurance provisions, in accordance with these specifications and the requirements of Section 106 – Quality, the provisions of AASHTO M 323 except where otherwise noted in sections 401 and 703 of these specifications, and the Maine DOT Policies and Procedures for HMA Sampling and Testing.

401.02 Materials Materials shall meet the requirements specified in Section 700 - Materials:

Asphalt Cement	702.01
Aggregates for HMA Pavement	703.07
HMA Mixture Composition	703.09

401.021 Recycled Asphalt Materials Recycled Asphalt Pavement (RAP) may be introduced into the mixture at percentages approved by the Department. If approved by the Department, the Contractor shall provide documentation stating the source, average residual asphalt content, and stockpile gradations showing RAP materials have been sized to meet the maximum aggregate size requirements of each mix designation. The Department will obtain samples for verification and approval prior to it's use.

In the event that RAP source or properties change, the Contractor shall notify the Department of the change and submit new documentation stating the new source or properties a minimum of 72 hours prior to the change to allow for obtaining new samples and approval.

401.03 Composition of Mixtures The Contractor shall compose the Hot Mix Asphalt Pavement with aggregate, Performance Graded Asphalt Binder (PGAB), and mineral filler if required. HMA shall be designed and tested according to AASHTO T312 and the volumetric criteria in Table 1. The Contractor shall size, uniformly grade, and combine the aggregate fractions in proportions that provide a mixture meeting the grading requirements of the Job Mix Formula (JMF). The Contractor may use a maximum of 15% reclaimed asphalt pavement (RAP) in any base, binder, surface, or shim course. The Contractor may be allowed to use more than 15% RAP, up to a maximum of 25% RAP, in a base, binder, or shim course provided that PG 58-34 asphalt binder is used in the mixture.

The Contractor shall submit for Department approval a JMF to the Central Laboratory in Bangor for each mixture to be supplied. The Department may approve 1 active design per nominal maximum size, per traffic level, per plant, plus a 9.5mm “fine” mix @ 50 gyrations for shimming and where required, a non-RAP design for bridge decks. The Department shall then have 15 calendar days in which to process a new design before approval. The JMF shall establish a single percentage of aggregate passing each sieve size within the limits shown in Table 1 of section 703.09. The general composition limits given in Table 1 of section 703.09 indicate the control points of mixtures permissible under this specification. The mixture shall be designed and produced, including all production tolerances, within the allowable control points for the particular type of mixture as outlined in Table 1 of section 703.09 The JMF shall state the original source, gradation, and percentage to be used of each portion of the aggregate and mineral filler if required. It shall also state the proposed PGAB content, the name and location

of the refiner, the supplier, the source of PGAB submitted for approval, the type of PGAB modification if applicable, and the location of the terminal if applicable.

In addition, the Contractor shall provide the following information with the proposed JMF:

Properly completed JMF indicating all mix properties (Gmm, VMA, VFB, etc.)
Stockpile Gradation Summary
Design Aggregate Structure Consensus Property Summary
Design Aggregate Structure Trial Blend Gradation Plots (0.45 power chart)
Trial Blend Test Results for at least three different asphalt contents
Specific Gravity and temperature/viscosity charts for the PGAB to be used
Recommended mixing and compaction temperatures from the PGAB supplier
Material Safety Data Sheets (MSDS) For PGAB
Asphalt Content vs. Air Voids trial blend curve
Test report for Contractor's Verification sample

At the time of JMF submittal, the Contractor shall identify and make available the stockpiles of all proposed aggregates at the plant site. There must be a minimum of 135 Mg [150 ton] for stone stockpiles, 70 Mg [75 ton] for sand stockpiles, and 45 Mg [50 ton] of blend sand before the Department will sample. The Department shall obtain samples for laboratory testing. The Contractor shall also make available to the Department the PGAB proposed for use in the mix in sufficient quantity to test the properties of the asphalt and to produce samples for testing of the mixture. Before the start of paving, the Contractor and the Department shall split a production sample for evaluation. The Contractor shall test its split of the sample and determine if the results meet the requirements of the Department's written policy for mix design verification (See Maine DOT Policies and Procedures for HMA Sampling and Testing available at the Central Laboratory in Bangor). If the results are found to be acceptable, the Contractor will forward their results to the Department's Lab, which will test the Department's split of the sample. The results of the two split samples will be compared and shared between the Department and the Contractor. If the Department finds the mixture acceptable, an approved JMF will be forwarded to the Contractor and paving may commence. The first day's production shall be monitored, and the approval may be withdrawn if the mixture exhibits undesirable characteristics such as checking, shoving or displacement. The Contractor shall be allowed to submit aim changes within 24 hours of receipt of the first Acceptance test result. Adjustments will be allowed of up to 2% on the percent passing the 2.36 mm sieve through the 0.075 mm and 3% on the percent passing the 4.75 mm or larger sieves. Adjustments will be allowed on the %PGAB of up to 0.2%. Adjustments will be allowed on GMM of up to 0.010.

The Contractor shall submit a new JMF for approval each time a change in material source or materials properties is proposed. The same approval process shall be followed. The cold feed percentage of any aggregate may be adjusted up to 10 percentage points from the amount listed on the JMF, however no aggregate listed on the JMF shall be eliminated. The cold feed percentage for RAP may be adjusted up to 5 percentage points from the amount listed on the JMF but shall not exceed the maximum allowable percentage for RAP for the specific application.

TABLE 1: VOLUMETRIC DESIGN CRITERIA

Design ESAL's (Millions)	Required Density (Percent of G_{mm})			Voids in the Mineral Aggregate (VMA)(Minimum Percent)					Voids Filled with Binder (VFB) (Minimum %)	Fines/Eff. Binder Ratio
				Nominal Maximum Aggregate Size (mm)						
	$N_{initial}$	N_{design}	N_{max}	25	19	12.5	9.5	4.75		
<0.3	≤91.5	96.0	≤98.0	13.0	14.0	15.0	16.0	16.0	70-80	0.6-1.2
0.3 to <3	≤90.5								65-80	
3 to <10	≤89.0								65-80*	
10 to <30										
≥ 30										

*For 9.5 mm nominal maximum aggregate size mixtures, the maximum VFB is 82.
*For 4.75 mm nominal maximum aggregate size mixtures, the maximum VFB is 84.

401.04 Temperature Requirements After the JMF is established, the temperatures of the mixture shall conform to the following tolerances:

In the truck at the mixing plant – allowable range 135° to 163°C [275 to 325°F]
At the Paver – allowable range 135° to 163°C [275 to 325°F]

The JMF and the mix subsequently produced shall meet the requirements of Tables 1 and Section 703.07.

401.05 Performance Graded Asphalt Binder Unless otherwise noted in Special Provision 403 - Hot Bituminous Pavement, PGAB shall be 64-28, except that for mixtures containing greater than 15% but no more than 25% RAP the PGAB shall be PG 58-34. The PGAB shall meet the applicable requirements of AASHTO M320 - Standard Specification for PGAB. The Contractor shall provide the Department with an approved copy of the Quality Control Plan for PGAB in accordance with AASHTO R 26 Certifying Suppliers of PGAB.

401.06 Weather and Seasonal Limitations The State is divided into two paving zones as follows:

a. Zone 1 Areas north of US Route 2 from Gilead to Bangor and north of Route 9 from Bangor to Calais.

b. Zone 2 Areas south of Zone 1 including the US Route 2 and Route 9 boundaries.

The Contractor may place Hot Mix Asphalt Pavement for use other than a traveled way wearing course in either Zone between the dates of April 15th and November 15th, provided that the air temperature as determined by an approved thermometer (placed in the shade at the paving location) is 4°C [40°F] or higher and the area to be paved is not frozen. The Contractor may place Hot Mix Asphalt Pavement as traveled way wearing course in Zone 1 between the dates of May 1st and the Saturday following October 1st and in Zone 2 between the dates of April 15th and the Saturday following October 15th, provided the air temperature determined as above is 10°C [50°F] or higher. For the purposes of this Section, the traveled way includes truck lanes, ramps, approach roads and auxiliary lanes. The atmospheric temperature for all courses on bridge decks shall be 10°C [50°F] or higher.

Hot Mix Asphalt Pavement used for curb, driveways, sidewalks, islands, or other incidentals is not subject to seasonal limitations, except that conditions shall be satisfactory for proper handling and finishing of the mixture. Unless otherwise specified, the Contractor shall not place Hot Mix Asphalt Pavement on a wet or frozen surface and the air temperature shall be 4°C [40°F] or higher.

On all sections of overlay with wearing courses less than 25 mm [1 in] thick, the wearing course for the travelway and adjacent shoulders shall be placed between the dates of May 15th and the Saturday following September 15th.

On all sections of overlay with wearing courses less than 1 inch thick, the wearing course for the travelway and adjacent shoulders shall be placed between the dates of June 1st and the Saturday following September 1st if the work is to be performed, either by contract requirement, or Contractor option, during conditions defined as "night work".

401.07 Hot Mix Asphalt Plant

401.071 General Requirements HMA plants shall conform to AASHTO M156.

a. Truck Scales When the hot mix asphalt is to be weighed on scales meeting the requirements of Section 108 - Payment, the scales shall be inspected and sealed by the State Sealer as often as the Department deems necessary to verify their accuracy.

Plant scales shall be checked prior to the start of the paving season, and each time a plant is moved to a new location. Subsequent checks will be made as determined by the Resident. The Contractor will have at least ten 20 Kg [50 pound] masses for scale testing.

401.072 Automation of Batching Batch plants shall be automated for weighing, recycling, and monitoring the system. In the case of a malfunction of the printing system, the requirements of Section 401.074 c. of this specification will apply.

The batch plant shall accurately proportion the various materials in the proper order by weight. The entire batching and mixing cycle shall be continuous and shall not require any manual operations. The batch plant shall use auxiliary interlock circuits to trigger an audible alarm whenever an error exceeding the acceptable tolerance occurs. Along with the alarm, the printer shall print an asterisk on the delivery slip in the same row containing the out-of-tolerance weight. The automatic proportioning system shall be capable of consistently delivering material within the full range of batch sizes. When RAP is being used, the plant must be capable of automatically compensating for the moisture content of the RAP.

All plants shall be equipped with an approved digital recording device. The delivery slip load ticket shall contain information required under Section 108.1.3 - Provisions Relating to Certain Measurements, Mass and paragraphs a, b, and c of Section 401.073

401.073 Automatic Ticket Printer System on Automatic HMA Plant An approved automatic ticket printer system shall be used with all approved automatic HMA plants. The requirements for delivery slips for payment of materials measured by weight, as given in the following Sections, shall be waived: 108.1.3 a., 108.1.3 b., 108.1.3 c., and 108.1.3 d. The automatic printed ticket will be considered as the Weight Certificate.

The requirements of Section 108.1.3 f. - Delivery Slips, shall be met by the weigh slip or ticket, printed by the automatic system, which accompanies each truckload, except for the following changes:

a. The quantity information required shall be individual weights of each batch or total net weight of each truckload.

b. Signatures (legible initials acceptable) of Weighmaster (required only in the event of a malfunction as described in 401.074 c.).

c. The MDOT designation for the JMF.

401.074 Weight Checks on Automatic HMA Plant At least twice during each 5 days of production either of the following checks will be performed:

a. A loaded truck may be intercepted and weighed on a platform scale that has been sealed by the State Sealer of Weights and Measures within the past 12 months. Whenever the discrepancy in net weights is greater than 1.0%, but does not exceed 1.5%, the plant inspector will notify the producer to take corrective action; payment will still be governed by the printed ticket. The producer will be allowed a period of two days to make any needed repairs to the plant and/or platform scales so that the discrepancy in net weights between the two is less than 1.0%. If the discrepancy exceeds 1.5%, the plant will be allowed to operate as

long as payment is determined by truck platform scale net weight. Effective corrective action shall be taken within two working days.

b. Where platform scales are not readily available, a check will be made to verify the accuracy and sensitivity of each scale within the normal weighing range and to assure that the interlocking devices and automatic printer system are functioning properly.

c. In the event of a malfunction of the automatic printer system, production may be continued without the use of platform truck scales for a period not to exceed the next two working days, providing total weights of each batch are recorded on weight tickets and certified by a Licensed Public Weighmaster.

401.08 Hauling Equipment Trucks for hauling Hot Mix Asphalt Pavement shall have tight, clean, and smooth metal dump bodies, which have been thinly coated with a small amount of approved release agent to prevent the mixture from adhering to the bodies.

All truck dump bodies shall have a cover of canvas or other water repellent material capable of heat retention, which completely covers the mixture. The cover shall be securely fastened on the loaded truck except when unloading.

All truck bodies shall have an opening on both sides, which will accommodate a thermometer stem. The opening shall be located near the midpoint of the body, at least 300 mm [12 in] above the bed.

401.09 Pavers Pavers shall be self-contained, self-propelled units with an activated screed (heated if necessary) capable of placing courses of Hot Mix Asphalt Pavement in full lane widths on the main line, shoulder or similar construction.

On projects with no price adjustment for smoothness, pavers shall be of sufficient class and size to place Hot Mix Asphalt Pavement over the full width of the mainline travel way with a 3 m [10 ft] minimum main screed with activated extensions.

The Contractor shall place Hot Mix Asphalt Pavement on the main line with a paver using an automatic grade and slope controlled screed, unless otherwise authorized by the Department. The controls shall automatically adjust the screed and increase or decrease the layer thickness to compensate for irregularities in the preceding course. The controls shall maintain the proper transverse slope and be readily adjustable so that transitions and superelevated curves can be properly paved. The controls shall operate from a fixed or moving reference such as a grade wire or ski type device (floating beam) with a minimum length of 10 m [30 ft], a non-contact grade control with a minimum span of 7.3 m [24 ft], except that a 12 m [40 ft] reference shall be used on Expressway projects.

The Contractor shall operate the paver in such a manner as to produce a visually uniform surface texture and a thickness within the requirements of Section 401.101 - Surface Tolerances. The paver shall have a receiving hopper with sufficient capacity for a uniform spreading operation and a distribution system to place the mixture uniformly, without segregation in front of the screed. The screed assembly shall produce a finished surface of the required evenness and texture without tearing, shoving, or gouging the mixture. Pavers with extendible screeds shall have auger extensions and tunnel extenders as necessary.

The Contractor shall have the paver at the project site sufficiently before the start of paving operations to be inspected and approved by the Department. The Contractor shall repair or replace any paver found worn or defective, either before or during placement, to the satisfaction of the Department. Pavers that produce an unevenly textured or non-uniform mat will be repaired or replaced before continuing to place HMA on MDOT

projects. On a daily basis, the Contractor shall perform nuclear density testing across the mat being placed, at 300 mm [12 in] intervals. If the values vary by more than 2.0% from the mean, the Contractor shall make adjustments until the inconsistencies are remedied.

Failure to replace or repair defective placement equipment may result in a letter of suspension of work and notification of a quality control violation resulting in possible monetary penalties as governed by section 106 - Quality

401.10 Rollers Rollers shall be static steel, pneumatic tire, or approved vibrator type. Rollers shall be in good mechanical condition, capable of starting and stopping smoothly, and be free from backlash when reversing direction. Rollers shall be equipped and operated in such a way as to prevent the picking up of hot mixed material by the roller surface. The use of rollers, which result in crushing of the aggregate or in displacement of the HMA will not be permitted. Any Hot Mix Asphalt Pavement that becomes loose, broken, contaminated, shows an excess or deficiency of Performance Graded Asphalt Binder, or is in any other way defective shall be removed and replaced at no additional cost with fresh Hot Mix Asphalt Pavement, which shall be immediately compacted to conform to the adjacent area.

The type of rollers to be used and their relative position in the compaction sequence shall generally be the Contractor's option, provided specification densities are attained and with the following requirements:

a. At least one roller shall be a 14.5 Mg [16 ton] pneumatic-tired on bridges and variable depth courses as well as the first lift of pavement over gravel, a reclaimed pavement, or other irregular surface. When required by the Resident, the roller shall be ballasted to 18.1 Mg [20 ton].

b. Compaction with a vibratory or steel wheel roller shall precede pneumatic-tired rolling, unless otherwise authorized by the Department.

c. Vibratory rollers shall not be operated in the vibratory mode when checking or cracking of the mat occurs, or on bridge decks.

d. Any method, which results in cracking or checking of the mat, will be discontinued and corrective action taken.

The maximum operating speed for a steel wheel or pneumatic roller shall not exceed the manufacturer's recommendations, a copy of which shall be available if requested.

401.101 Surface Tolerances The Department will check surface tolerance utilizing the following methods :

a.) A 5 m [16 ft] straightedge or string line placed parallel to the centerline of pavement.

b.) A 3 m [10 ft] straightedge or string line placed transverse to the centerline of pavement.

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The Contractor shall correct variations exceeding 6 mm [¼ in] by removing defective work and replacing it with new material as directed by the Department. The Contractor shall furnish a 10 foot straightedge for the Departments use.

401.11 Preparation of Existing Surface The Contractor shall thoroughly clean the surface upon which Hot Mix Asphalt Pavement is to be placed of all objectionable material. When the surface of the existing base or pavement is irregular, the Contractor shall bring it to uniform grade and cross section. All surfaces shall have a tack coat applied prior to placing any new HMA course. Tack coat shall conform to the requirements of

Section 409 – Bituminous Tack Coat, section 702 – Bituminous Material, and all applicable sections of the contract. .

401.12 Hot Mix Asphalt Documentation The Contractor and the Department shall agree on the amount of Hot Mix Asphalt Pavement that has been placed each day.

401.13 Preparation of Aggregates The Contractor shall dry and heat the aggregates for the HMA to the required temperature. The Contractor shall properly adjust flames to avoid physical damage to the aggregate and to avoid depositing soot on the aggregate.

401.14 Mixing The Contractor shall combine the dried aggregate in the mixer in the amount of each fraction of aggregate required to meet the JMF. The Contractor shall measure the amount of PGAB and introduce it into the mixer in the amount specified by the JMF.

The Contractor shall produce the HMA at the temperature established by the JMF.

The Contractor shall dry the aggregate sufficiently so that the HMA will not flush, foam excessively, or displace excessively under the action of the rollers. The Contractor shall introduce the aggregate into the mixer at a temperature of not more than 14°C [25°F] above the temperature at which the viscosity of the PGAB being used is 0.150 Pa•s.

The Contractor shall store and introduce into the mixer the Performance Graded Asphalt Binder at a uniformly maintained temperature at which the viscosity of the PGAB is between 0.150 Pa•s and 0.300 Pa•s. The aggregate shall be coated completely and uniformly with a thorough distribution of the PGAB. The Contractor shall determine the wet mixing time for each plant and for each type of aggregate used.

401.15 Spreading and Finishing On areas where irregularities or unavoidable obstacles make the use of mechanical spreading and finishing equipment impracticable, the Contractor shall spread, rake, and lute the HMA with hand tools to provide the required compacted thickness.

On roads opened to two-way traffic, the Contractor shall place each course over the full width of the traveled way section being paved that day, unless otherwise noted by the Department in Section 403 - Hot Bituminous Pavement.

401.16 Compaction Immediately after the Hot Mix Asphalt Pavement has been spread, struck off, and any surface irregularities adjusted, the Contractor shall thoroughly and uniformly compact the HMA by rolling.

The Contractor shall roll the surface when the mixture is in the proper condition and when the rolling does not cause undue displacement, cracking, or shoving. The Contractor shall prevent adhesion of the HMA to the rollers or vibrating compactors without the use of fuel oil or other petroleum based release agents.

The Contractor shall immediately correct any displacement occurring as a result of the reversing of the direction of a roller or from other causes to the satisfaction of the Department. Any operation other than placement of variable depth shim course that results in breakdown of the aggregate shall be discontinued. Any new pavement that shows obvious cracking, checking, or displacement shall be removed and replaced for the full lane width as directed by the Resident at no cost to the Department.

Along forms, curbs, headers, walls, and other places not accessible to the rollers, the Contractor shall thoroughly compact the HMA with mechanical vibrating compactors. The Contractor shall only use hand

tamping in areas inaccessible to all other compaction equipment. On depressed areas, the Contractor may use a trench roller or cleated compression strips under a roller to transmit compression to the depressed area.

Any HMA that becomes unacceptable due to cooling, cracking, checking, segregation or deformation as a result of an interruption in mix delivery shall be removed and replaced, with material that meets contract specifications at no cost to the Department.

401.17 Joints The Contractor shall construct wearing course transverse joints in such a manner that minimum tolerances shown in Section 401.101 - Surface Tolerances are met when measured with a straightedge.

The paver shall always maintain a uniform head of HMA during the joint construction. The HMA shall be free of segregation and meet temperature requirements outlined in section 401.04. Transverse joints of the wearing course shall be straight and neatly trimmed. The Contractor may form a vertical face exposing the full depth of the course by inserting a header, by breaking the bond with the underlying course, or by cutting back with hand tools. The Department may allow feathered or "lap" joints on lower courses or when matching existing low type pavements.

Longitudinal joints shall be constructed in a manner that will best ensure joint integrity. Methods or activities that prove detrimental to the construction of sound longitudinal joints will be discontinued.

The Contractor shall apply a coating of emulsified asphalt immediately before paving all joints to the vertical face and 75 mm [3 in] of the adjacent portion of any pavement being overlaid except those formed by pavers operating in echelon. The Contractor shall use an approved spray apparatus designed for covering a narrow surface. The Department may approve application by a brush for small surfaces, or in the event of a malfunction of the spray apparatus, but for a period of not more than one working day.

Where pavement under this contract joins an existing pavement or when the Department directs, the Contractor shall cut the existing pavement along a smooth line, producing a neat, even, vertical joint. The Department will not permit broken or raveled edges. The cost of all work necessary for the preparation of joints is incidental to related contract pay items.

401.18 Quality Control Method A, B & C The Contractor shall operate in accordance with the approved Quality Control Plan (QCP) to assure a product meeting the contract requirements. The QCP shall meet the requirements of Section 106.6 - Acceptance and this Section. The Contractor shall not begin paving operations until the Department approves the QCP in writing.

Prior to placing any mix, the Department and the Contractor shall hold a Pre-paving conference to discuss the paving schedule, source of mix, type and amount of equipment to be used, sequence of paving pattern, rate of mix supply, random sampling, project lots and sublots and traffic control. A copy of the QC random numbers to be used on the project shall be provided to The Resident. The Departments' random numbers for Acceptance testing shall be generated and on file with the Resident and the Project Manager. All field and plant supervisors including the responsible onsite paving supervisor shall attend this meeting.

The QCP shall address any items that affect the quality of the Hot Mix Asphalt Pavement including, but not limited to, the following:

a. JMF(s)
b. Hot mix asphalt plant details
c. Stockpile Management (to include provisions for a minimum 2 day stockpile)
d. Make and type of paver(s)

e. Make and type of rollers including weight, weight per inch of steel wheels, and average contact pressure for pneumatic tired rollers
f. Name of QCP Administrator, and certification number
g. Name of Process Control Technician(s) and certification number(s)
h. Name of Quality Control Technicians(s) and certification number(s)
i. Mixing & transportation including process for ensuring that truck bodies are clean and free of debris or contamination that could adversely affect the finished pavement
j. Testing Plan
k. Laydown operations including longitudinal joint construction, procedures for avoiding paving in inclement weather, type of release agent to be used on trucks tools and rollers, compaction of shoulders, tacking of all joints, methods to ensure that segregation is minimized, procedures to determine the maximum rolling and paving speeds based on best engineering practices as well as past experience in achieving the best possible smoothness of the pavement
l. Examples of Quality Control forms including a daily plant report and a daily paving report
m. Silo management and details (can show storage for use on project of up to 36 hours)
n. Provisions for varying mix temperature due to extraordinary conditions
o. Name and responsibilities of the Responsible onsite Paving Supervisor
p. Method for calibration/verification of Density Gauge
q. A note that all testing will be done in accordance with AASHTO and the Maine DOT Policies and Procedures for HMA Sampling and Testing.
r. A note detailing conditions under which the percent of RAP will vary from that specified on the JMF.s. A note detailing when production will be halted due to QC testing results.

The QCP shall include the following technicians together with these minimum requirements:

a. QCP Administrator - A qualified individual shall administer the QCP. The QCP Administrator must be a full-time employee of or a consultant engaged by the Contractor or paving subcontractor. The QCP Administrator shall have full authority to institute any and all actions necessary for the successful operation of the QCP. The QCP Administrator (or its designee in the QCP Administrator's absence) shall be available to communicate with the Department at all times. The QCP Administrator shall be certified as a Quality Assurance Technologist certified by the New England Transportation Technician Certification Program (NETTCP).

b. Process Control Technician(s) (PCT) shall utilize test results and other quality control practices to assure the quality of aggregates and other mix components and control proportioning to meet the JMF(s). The PCT shall inspect all equipment used in mixing to assure it is operating properly and that mixing conforms to the mix design(s) and other Contract requirements. The QCP shall detail how these duties and responsibilities are to be accomplished and documented, and whether more than one PCT is required. The Plan shall include the criteria to be utilized by the PCT to correct or reject unsatisfactory materials. The PCT shall be certified as a Plant Technician by the NETTCP.

c. Quality Control Technician(s) (QCT) shall perform and utilize quality control tests at the job site to assure that delivered materials meet the requirements of the JMF(s). The QCT shall inspect all equipment utilized in transporting, laydown, and compacting to assure it is operating properly and that all laydown and compaction conform to the Contract requirements. The QCP shall detail how these duties and responsibilities are to be accomplished and documented, and whether more than one QCT is required. The QCP shall include the criteria utilized by the QCT to correct or reject unsatisfactory materials. The QCT shall be certified as a Paving Inspector by the NETTCP.

The QCP shall detail the coordination of the activities of the Plan Administrator, the PCT and the QCT. The Project Superintendent shall be named in the QCP, and the responsibilities for successful implementation of the QCP shall be outlined.

The Contractor shall sample, test, and evaluate Hot Mix Asphalt Pavement in accordance with the following minimum frequencies:

TABLE 2 : MINIMUM QUALITY CONTROL FREQUENCIES

Test or Action	Frequency	Test Method
Temperature of mix	6 per day at street and plant	-
Temperature of mat	4 per day	-
%TMD (Surface)	1 per 115 Mg [125 ton] (As noted in QC Plan)	ASTM D2950
%TMD (Base)	1 per 225 Mg [250 ton] (As noted in QC Plan)	AASHTO T269
Fines / Effective Binder	1 per 450 Mg [500 ton]	AASHTO T 312*
Gradation	1 per 450 Mg [500 ton]	AASHTO T30
PGAB content	1 per 460 Mg [500 ton]	AASHTO T164 or T308
Voids at N_{design}	1 per 450 Mg [500 ton]	AASHTO T 312*
Voids in Mineral Aggregate at N_{design}	1 per 450 Mg [500 ton]	AASHTO T 312*
Rice Specific Gravity	1 per 450 Mg [500 ton]	AASHTO T209
Coarse Aggregate Angularity	1 per 4500 Mg [5000 ton]	ASTM D5821
Flat and Elongated Particles	1 Per 4500 Mg [5000 ton]	ASTM D4791
Fine Aggregate Angularity	1 Per 4500 Mg [5000 ton]	AASHTO T304

*Method A and B only.

The Contractor may utilize innovative equipment or techniques not addressed by the Contract documents to produce or monitor the production of the mix, subject to approval by the Department.

The Contractor shall submit all Hot Mix Asphalt Pavement plant test reports, inspection reports and updated pay factors in writing, signed by the appropriate technician and present them to the Department by 1:00 P.M. on the next working day, except when otherwise noted in the QCP due to local restrictions. The Contractor shall also retain splits of the previous 5 QC tests, with QC results enclosed for random selection and testing by The Department during QA inspections of the HMA production facility. Test results of splits that do not meet the Dispute Resolution Variance Limits in Table 10 shall trigger an investigation by the MDOT Independent Assurance Unit, and may result in that lab losing NETTCP certification and the ability to request a dispute [Section 401.223 - Process for Dispute Resolution (Methods A , B and C only)].

The Contractor shall make density test results, including randomly sampled densities, available to the Department onsite. Summaries of each day's results, including a daily paving report, shall be recorded and signed by the QCT and presented to the Department by 1:00 p.m. the next working day.

The Contractor shall have a testing lab at the plant site, equipped with all testing equipment necessary to complete the tests in Table 2. The Contractor shall locate an approved SHRP Gyratory Compactor at the plant testing lab or within 30 minutes of the plant site.

The Contractor shall fill all holes in the pavement resulting from cutting cores by the Contractor or the Department with a properly compacted, acceptable mixture no later than the following working day. Before filling, the Contractor shall carefully clean the holes and apply a coating of emulsified asphalt. On surface courses, cores shall not be cut except for Verification of the Nuclear Density Gauge, at a rate not to exceed 3 per day or 2 per 900 Mg [1000 ton] placed.

The Contractor shall monitor plant production using running average of three control charts as specified in Section 106 - Quality. Control limits shall be as noted in Table 3 below. The UCL and LCL, shall not exceed the allowable control points for the particular type of mixture as outlined in Table 1 of section 703.09

TABLE 3: Control Limits

Property	UCL and LCL
Passing 4.75 mm and larger sieves	Target +/-4.0
Passing 2.36 mm sieve	Target +/-2.5
Passing .075 mm sieve	Target +/-1.2
PGAB Content*	Target +/-0.3
Voids in the Mineral Aggregate	LCL = LSL + 0.2
% Voids at N_{design}	JMF Target +/-1.3

*Based on AASHTO T 308

The Contractor shall cease paving operations whenever one of the following occurs on a lot in progress:

a. Methods A and B: The Pay Factor for VMA, Voids @ N_d, Percent PGAB, composite gradation, VFB, fines to effective binder or density using all Acceptance or all Quality Control tests for the current lot is less than 0.85. Method C: The Pay Factor for VMA, Voids @ N_d, Percent PGAB, percent passing the nominal maximum sieve, percent passing 2.36 mm sieve, percent passing 0.300 mm sieve or percent passing 0.075 mm sieve using all Acceptance or all Quality Control tests for the current lot is less than 0.85.

b. The Coarse Aggregate Angularity or Fine Aggregate Angularity value falls below the requirements of Table 3: Aggregate Consensus Properties Criteria for the design traffic level.

c. Each of the first 2 control tests for a Method A or B lot fall outside the upper or lower limits for VMA, Voids @ Nd, or Percent PGAB; or under Method C, each of the first 2 control tests for the lot fall outside the upper or lower limits for the individual gradation sieve sizes as required in Table 3, or Percent PGAB.
This includes any case where both tests are out on the same, or different properties.

d. The Flat and Elongated Particles value exceeds 10% by ASTM D4791.

e. There is any visible damage to the aggregate due to over-densification other than on variable depth shim courses.

f. The Contractor fails to follow the approved QCP.

g. The Contractor's control chart shows the process to be out of control (defined as a single point outside of the control limits on the running average of three chart.) on any property listed in Table 3: Control Limits.

Paving operations shall not resume until the Contactor and the Department determines that material meeting the Contract requirements will be produced. The Department will consider corrective action acceptable if the pay factor for the failing property increases, based on samples already in transit, or a verification sample is tested and the property falls within the specification limits.
The Department retains the exclusive right, with the exception of the first day's production of a new JMF, to determine whether the resumption of production involves a significant change to the production process. If the Department so determines, then the current lot will be terminated, a pay factor established, and a new lot will begin.

401.19 Quality Control Method D For Items covered under Method D, the Contractor shall submit a modified QC Plan detailing, how the mix is to be placed, what equipment is to be used, and what HMA plant is to be used. All mix designs (JMF) shall be approved and verified by MDOT prior to use. Certified QC personnel shall not be required. The Contractor shall certify the mix and the test results for each item by a Certificate of Compliance.

401.20 Acceptance Method A, B & C These methods utilizes Quality Level Analysis and pay factor specifications.

For Hot Mix Asphalt Pavement designated for acceptance under Quality Assurance provisions, the Department will sample once per sublot on a statistically random basis, test, and evaluate in accordance with the following Acceptance Criteria:

TABLE 4: ACCEPTANCE CRITERIA

PROPERTIES	POINT OF SAMPLING	TEST METHOD
Gradation	Paver Hopper	AASHTO T30
PGAB Content	Paver Hopper	AASHTO T308
%TMD (Surface)	Mat behind all Rollers	AASHTO T269
%TMD (Base or Binder)	Mat behind all Rollers	AASHTO T269
Air Voids at N_d	Paver Hopper	AASHTO T 312
%VMA at N_d	Paver Hopper	AASHTO T 312
Fines to Effective Binder	Paver Hopper	AASHTO T 312
%VFB	Paver Hopper	AASHTO T 312

On the first day of production in the current calendar year, or the first day of production of a new JMF the Department will take three random samples, which will be used to calculate the quality level of the in-place material in the event the lot is terminated prematurely. Only one of the three will be tested, the other two will be held onsite until at least three random samples have been taken, at which time the other two will be discarded.

Lot Size For purposes of evaluating all acceptance test properties, a lot shall consist of the total quantity represented by each item listed under the lot size heading.

If the Department terminates a Lot prematurely, the samples from the first days production will be used to calculate a volumetric pay factor, and a minimum of three cores will be used for a density pay factor, if applicable, for quantities placed to date.

Sublot size The quantity represented by each sample will constitute a sublot. . If there is insufficient quantity in a lot to make up at least four sublots, then the lot quantity will be divided into four equal sublots for mix properties and five sublots for percent TMD.

If there is less than one-half of a sublot remaining at the end, then it shall be combined with the previous sublot. If there is more than one-half sublot remaining at the end, then it shall constitute the last sublot and shall be represented by test results. If it becomes apparent partway through a Lot that, due to an underrun, there will be insufficient mix quantity to obtain the minimum number of sublots needed, the Resident may adjust the size of the remaining sublots and select new sample locations based on the estimated quantity of material remaining in the Lot.

Acceptance Testing The Department will obtain samples of Hot Mix Asphalt Pavement in conformance with AASHTO T168 Sampling Bituminous Paving Mixtures, and theMaine DOT Policies and Procedures for HMA Sampling and Testing, which will then be transported by the Contractor to the designated MDOT Laboratory, as directed by MDOT in approved transport containers to be provided by the Department, unless otherwise directed by the Resident. The Department will take the sample randomly within each sublot. Target values shall be as specified in the JMF. The Department will use Table 5 for calculating pay factors for gradation, PGAB Content, Air Voids at N_{design}, VMA, Fines to Effective Binder and VFB. The Department will withhold reporting of the test results for the Acceptance sample until 7:00 AM, on the second working day of receipt of the sample, or after receipt of the Contractors results of the Acceptance sample split. Upon conclusion of each lot, where there is a minimum of four sublots, results shall be examined for statistical outliers, as stated in Section 106.7.2 - Statistical Outliers.

Isolated Areas During the course of inspection, should it appear that there is an isolated area that is not representative of the lot based on a lack of observed compactive effort, excessive segregation or any other questionable practice, that area may be isolated and tested separately. An area so isolated that has a calculated pay factor below 0.80, based on three random tests shall be removed and replaced at the expense of the Contractor for the full lane width and a length not to be less than 50 m [150 ft].

Pavement Density The Department will measure pavement density using core samples tested according to AASHTO T-166. The Department will randomly determine core locations. The Contractor shall cut 6 inch diameter cores at no additional cost to the Department by the end of the working day following the day the pavement is placed, and immediately give them to the Department. The cores will be placed in a transport container provided by the Department and transported by the Contractor to the designated MDOT Lab as directed by the Department. Pre-testing of the cores will not be allowed. At the time of sampling, the Contractor and the Department shall mutually determine if a core is damaged. If it is determined that the core(s) is damaged, the Contractor shall cut new core(s) at the same offset and within 1 m [3 ft] of the initial sample. At the time the core is cut, the Contractor and the Department will mutually determine if saw cutting of the core is needed, and will mark the core at the point where sawing is needed. The core may be saw cut by the Contractor in the Department's presence onsite, or in an MDOT Lab by The Department, without disturbing the layer being tested to remove lower layers of Hot Mix Asphalt Pavement, gravel, or RAP. No recuts are allowed at a test location after the core has been tested. Upon conclusion of each lot, density results shall be examined for statistical outliers as stated in Section 106.7.2.

There shall be no pay adjustment for density on shoulders unless otherwise noted in Section 403 - Hot Bituminous Pavement. Density for shoulders shall be obtained by the same rolling train and methods as used on mainline travelway, unless otherwise directed by the Department. Efforts to obtain optimum compaction will not be waived by the Department unless it is apparent during construction that local conditions make densification to this point detrimental to the finished pavement surface course.

401.201 Method A Lot Size will be the entire production per JMF for the project, or if so agreed at the Pre-paving Conference, equal lots of up to 4050 Mg [4500 tons], with unanticipated over-runs of up to 1350 Mg [1500 ton] rolled into the last lot. Sublot sizes shall be 675 Mg [750 ton] for mixture properties, 450 Mg [500 ton] for base or binder densities and 225 Mg [250 ton] for surface densities. The minimum number of sublots for mixture properties shall be 4, and the minimum number of sublots for density shall be five.

TABLE 5: METHOD A ACCEPTANCE LIMITS

Property	USL and LSL
Passing 4.75 mm and larger sieves	Target +/-7%
Passing 2.36 mm to 1.18 mm sieves	Target +/-4%
Passing 0.60 mm	Target +/-3%
Passing 0.30 mm to 0.075 mm sieve	Target +/-2%
PGAB Content	Target +/-0.4%
Air Voids	4.0% +/-1.5%
Fines to Effective Binder	0.6 to 1.2
Voids in the Mineral Aggregate	LSL Only from Table 1
Voids Filled with Binder	Table 1 values plus a 4% production tolerance for USL only
% TMD (In place density)	95.0% +/- 2.5%

401.202 Method B Lot Size will be the entire production per JMF for the project and shall be divided into 3 equal sublots for Mixture Properties and 3 equal sublots for density.

TABLE 6: METHOD B ACCEPTANCE LIMITS

Property	USL and LSL
Percent Passing 4.75 mm and larger sieves	Target +/-7
Percent Passing 2.36 mm to 1.18 mm sieves	Target +/-5
Percent Passing 0.60 mm	Target +/-4
Percent Passing 0.30 mm to 0.075 mm sieve	Target +/-3
PGAB Content	Target +/-0.5
Air Voids	4.0% +/-2.0
Fines to Effective Binder	0.6 to 1.4
Voids in the Mineral Aggregate	LSL from Table 1
Voids Filled with Binder	Table1 plus a 4% production tolerance for USL.
% TMD (In-place Density)	95.0% +/- 2.5%

401.203 Testing Method C Lot Size will be the entire production per JMF for the project, or if so agreed at the Pre-paving Conference, equal lots of up to 4050 Mg [4500 tons], with unanticipated over-runs of up to 1350 Mg [1500 ton] rolled into the last lot. Sublot sizes shall be 675 Mg [750 ton] for mixture properties, 450 Mg [500 ton] for base or binder densities and 225 Mg [250 ton] for surface densities. The minimum number of sublots for mixture properties shall be 4, and the minimum number of sublots for density shall be five.

TABLE 7: METHOD C ACCEPTANCE LIMITS

Property	USL and LSL
Passing 4.75 mm and larger sieves	Target +/-7%
Passing 2.36 mm to 1.18 mm sieves	Target +/-4%
Passing 0.60 mm	Target +/-3%
Passing 0.30 mm to 0.075 mm sieve	Target +/-2%
PGAB Content	Target +/-0.4%
Air Voids	4.0% +/-1.5%

Fines to Effective Binder	0.6 to 1.2
Voids in the Mineral Aggregate	LSL Only from Table 1
Voids Filled with Binder	Table 1 values plus a 4% production tolerance for USL only
% TMD (In place density)	95.0% +/- 2.5%

401.204 Testing Method D For hot mix asphalt items designated as Method D in Section 403 - Hot Bituminous Pavement, one sample will be taken from the paver hopper or the truck body per 225 Mg [250 ton] per pay item. The mix will be tested for gradation and PGAB content. Disputes will not be allowed. If the mix is within the tolerances listed in Table 8: Method D Acceptance Limits, the Department will pay the contract unit price. If the test results for each 225 Mg [250 ton] increment are outside these limits, the following deductions (Table 8b) shall apply to the HMA quantity represented by the test.

TABLE 8: METHOD D ACCEPTANCE LIMITS

Property	USL and LSL
Percent Passing 4.75 mm and larger sieves	Target +/-7
Percent Passing 2.36 mm to 1.18 mm sieves	Target +/-5
Percent Passing 0.60 mm	Target +/-4
Percent Passing 0.30 mm to 0.075 mm sieve	Target +/-3
PGAB Content	Target +/-0.5
% TMD (In-place Density)	95.0% +/- 2.5%

TABLE 8b Method "D" Price Adjustments

PGAB Content	-5%
2.36 mm sieve	-2%
0.30 mm sieve	-1%
0.075 mm sieve	-2%
Density	-10%*

*Only applies when called for in Section 403 - Hot Bituminous Pavement. Contractor shall cut two 150 mm [6 in] cores, which shall be tested for percent TMD per AASHTO T-269. If the average for the two tests falls below 92.5% the disincentive shall apply.

401.21 Method of Measurement The Department will measure Hot Mix Asphalt Pavement by the Mg [ton] in accordance with Section 108.1 - Measurement of Quantities for Payment.

401.22 Basis of Payment The Department will pay for the work, in place and accepted, in accordance with the applicable sections of this Section, for each type of HMA specified.

The Department will pay for the work specified in Section 401.11, for the HMA used, except that cleaning objectionable material from the pavement and furnishing and applying bituminous material to joints and contact surfaces is incidental.

Payment for this work under the appropriate pay items shall be full compensation for all labor, equipment, materials, and incidentals necessary to meet all related contract requirements, including design of the JMF, implementation of the QCP, obtaining core samples, transporting cores and samples, filling core holes, applying emulsified asphalt to joints, and providing testing facilities and equipment.

The Department will make a pay adjustment for quality as specified below.

401.221 Price Adjustment for the Quality of Hot Bituminous Pavement (Methods A, B and C) The Department will sample, test, and evaluate Hot Mix Asphalt Pavement in accordance with Section 106 - Quality and Section 401.20 - Acceptance, of this Specification.

401.222 Pay Factor (PF) (Methods A and B) The Department will use density, Performance Graded Asphalt Binder content, voids @N_d, VMA, VFB, F/B^e, and the screen sizes listed in Table 9 for the type of HMA represented in the JMF. The Department will evaluate materials using the following price adjustment factors under Section 106.7 - Quality Level Analysis.

The Department will apply price adjustments to the appropriate Hot Mix Asphalt Pavement pay items. Price adjustments shall be applied based on test results for each lot. If any pay factor for any single property (or composite gradation under Method A or B) falls below 0.85, the Contractor shall shut down the HMA plant.

If any single pay factor for PGAB Content, VMA, or Air Voids under :

a. Method A falls below 0.75, then the composite pay factor for PGAB Content, VMA, and Air Voids shall be 0.55.
b. Method B falls below 0.83, then the composite pay factor for PGAB Content, VMA, and Air Voids shall be 0.70.

If the PGAB content for Method C falls below 0.75, then the PGAB pay factor shall be 0.55. If the percent passing the nominal maximum sieve, the 2.36 mm sieve, the 0.300 mm sieve or the 0.075 mm sieve for Method C falls below 0.75, then the composite pay factor for the four sieves shall be 0.55.

If the pay factor for Density falls below 0.80 for Method A or C or 0.83 for Method B, all of the cores will be randomly recut by Sublot. A new pay factor will be calculated that combines all initial and retest results. If the resulting pay factor is below 0.80 for Method A or C or below 0.83 for Method B, the entire Lot shall be removed and replaced with material meeting the specifications at no additional cost to the Department, except that the Department may, when it appears that there is a distinct pattern of defective material, isolate any defective material by investigating each mix sample sublot and require removal of defective mix sample sublots only, leaving any acceptable material in place if it is found to be free of defective material. Pay factors equal to or greater than the reject level will be paid accordingly.

TABLE 9: TABLE OF GRADATION COMPOSITE " f " FACTORS
(Methods A and B)

Constituent		"f" Factor			
		19 mm	12.5 mm	9.5 mm	4.75 mm
Gradation	25 mm	-	-	-	-
	19 mm	4	-	-	-
	12.5 mm		4	4	-
	9.50 mm				4
	2.36 mm	6	6	6	8
	1.18 mm				
	0.60 mm	2	2	2	2
	0.30 mm	2	2	2	2
	0.075 mm	6	6	6	8

For each lot of material, the Department will determine a price adjustment as follows:

Gradation The Department will determine a composite pay factor (CPF) using applicable price adjustment factors "f" from Table 9: Table of Gradation Composite "f" Factors, and Acceptance limits from Table 5: Method A Acceptance Limits, for Method A or Table 6: Method B Acceptance Limits, for Method B. The Department will not make price adjustments for gradation on Methods A and B, but will monitor them as shutdown criteria.

VFB and Fines to Effective Binder The Department will determine a pay factor (PF) using acceptance limits from Table 5: Method A Acceptance Limits, for Method A and C or Table 6: Method B Acceptance Limits, for Method B. The Department will not make price adjustments for VFB or Fines to Effective Binder, but will monitor them as shutdown criteria.

Density For mixes having a density requirement, the Department will determine a pay factor using acceptance limits from Table 5: Method A Density Acceptance Limits, for Method A or Table 6: Method B Acceptance Limits, for Method B. The Department will calculate the price adjustment for density as follows:

PA = (density PF- 1.0)(Q)(P)x0.50

Where

PA = Price Adjustment
Q = Quantity represented by PF in Mg [ton]
P = Contract price per Mg [ton]
PF = Pay Factor

The maximum pay factor for Density shall be 1.025.

PGAB Content, VMA and Air Voids For mixes having a Volumetric Properties requirement, the Department will determine a pay factor using acceptance limits from Table 5: Method A Acceptance Limits, for Method A or Table 6: Method B Acceptance Limits, for Method B. The Department will calculate the price adjustment for Volumetric Properties as follows:

PA = (voids @ N_d PF- 1.0)(Q)(P)x0.20 + (VMA @ N_d PF- 1.0)(Q)(P)x0.20 + (PGAB PF- 1.0)(Q)(P)x0.10,

Where

PA = Price Adjustment
Q = Quantity represented by PF in Mg [ton]
P = Contract price per Mg [ton]
PF = Pay Factor

The maximum Composite Pay Factor for mixes having only a Volumetric requirement shall be 1.025.

Pay Factor (PF) (Method C) The Department will use density, Performance Graded Asphalt Binder content, and the screen sizes listed in Table **7** for the type of HMA represented in the JMF. The Department will evaluate materials using the following price adjustment factors under Section 106.7 - Quality Level Analysis.

The Department will apply price adjustments to the appropriate Hot Mix Asphalt Pavement pay items. Price adjustments shall be applied based on test results for each lot. The Department will not make price adjustments for VMA, Voids at N^d, VFB or Fines to Effective Binder, but will monitor them as shutdown criteria, and continuation of JMF approval.

Density For mixes having a density requirement, the Department will determine a pay factor using acceptance limits from Table 7: Method C Acceptance Limits. The Department will calculate the price adjustment for density as follows:

PA = (density PF- 1.0)(Q)(P)x0.50

Where

PA = Price Adjustment
Q = Quantity represented by PF in Mg [ton]
P = Contract price per Mg [ton]
PF = Pay Factor

The maximum pay factor for Density shall be 1.025.

PGAB Content and Gradation The Department will determine a pay factor using acceptance limits from Table **7**: Method C Acceptance Limits. The Department will calculate the price adjustment for Mixture Properties as follows:

PA = (%Passing Nom. Max PF-1.0)(Q)(P)X0.05+(%passing 2.36 mm PF-1.0)(Q)(P)X0.05+(%passing 0.30 mm PF-1.0)(Q)(P)X0.05+(%passing 0.075 mm PF-1.0)(Q)(P)X0.10+(PGAB PF-1.0)(Q)(P)X0.25

Where

PA = Price Adjustment
Q = Quantity represented by PF in Mg [ton]
P = Contract price per Mg [ton]
PF = Pay Factor

The maximum Composite Pay Factor for mixes having only a Binder Content and Gradation requirement shall be 1.025.

401.223 Process for Dispute Resolution (Methods A B & C only)

a. Dispute Resolution sampling At the time of Hot-Mix Asphalt sampling, the Department will obtain a split sample of each Acceptance test random sample for possible dispute resolution testing. The Contractor shall also obtain a split sample of the HMA at this same time. If the Contractor wishes to retain the option of requesting dispute testing of the initial Acceptance sample, the Contractor will test their split of the Acceptance sample and shall report their results to the Resident, with a copy to the QA Engineer at the Central Laboratory in Bangor by 7:00 AM, on the second working day from time of QA sampling, otherwise dispute resolution will not be initiated. The Department's dispute resolution split sample will be properly labeled and stored for a period of not more than two weeks, or until the sample is tested.

b. Disputing Acceptance results The Contractor may dispute the Department's Acceptance results and request (Methods A, B, & C) that the dispute resolution split sample be tested by notifying the Department's Resident and the QA Engineer at the Central Laboratory in Bangor in writing within two working days after receiving the results of the Acceptance test. The following shall be provided in the request:

- Acceptance sample reference number
- The specific test result(s) or property(ies) being disputed, and

- The complete, signed report of the Contractor's testing (In a lab certified by the NETTCP and MDOT) of their split of the Acceptance sample indicating that the variances in Table10: Dispute Resolution Variance Limits, for the specific test result(s) or property(ies) were exceeded.

c. Disputable items The Contractor may dispute any or all of the following test results when the difference between the Department's value and the Contractor's value for that test equals or exceeds the corresponding allowable variation in Table 10: Dispute Resolution Variance Limits, PGAB content, G_{mb}, and G_{mm}. In addition, if the allowable variation for these tests is not met or exceeded, the Contractor may dispute either or both of the following material properties provided the difference between results for them equals or exceeds the corresponding allowable variation in Table 10: Voids at N_{design}, and VMA. For Method C only, % passing may only be disputed on sieves which are used for price adjustments

d. Outcome The value of any disputed result or property reported for the initial Acceptance sample shall stand if the value reported for the dispute resolution sample is not closer to the value the Contractor reported for their split sample than to the value reported for the initial Acceptance sample. Otherwise, the value reported for the dispute resolution sample will replace the value reported for the initial Acceptance sample, and will be used to re-calculate any other affected results or properties.

TABLE 10: DISPUTE RESOLUTION VARIANCE LIMITS

PGAB Content	+/-0.4%
G_{mb}	+/-0.030
G_{mm}	+/-0.020
Voids @ N_d	+/-0.8%
VMA	+/-0.8%
Passing 4.75 mm and larger sieves	+/- 4.0%
Passing 2.36 mm to 1.18 mm sieves	+/- 3.0%
Passing 0.60 mm	+/- 2.0 %
Passing 0.30 mm to 0.075 mm sieve	+/- 1.0%

SECTION 402 - PAVEMENT SMOOTHNESS

402.00 Smoothness Projects Projects to have their pavement smoothness analyzed in accordance with this Specification will be so noted in Special Provision 403 - Bituminous Box

402.01 Pavement Smoothness The final pavement surface shall be evaluated for smoothness using a Class I or Class II profiler as defined by ASTM E950 (94). Smoothness measurements will be expressed in terms of the International Roughness Index (IRI) as defined by the World Bank, in units of inches/mile.

402.02 Lot Size Lot size for smoothness will be 1000 lane-meters [3000 lane-feet]. A sublot will consist of 20 lane-meters [50 lane-feet]. Partial lots will be included in the previous lot if less than one-half the size of a normal lot. If greater than one-half the normal lot size, it will be tested as a separate lot.

402.03 Acceptance Testing The Department will conduct Acceptance testing following completion of the surface course. Sections to be excluded from testing include the following:

Bridge decks and joints (no smoothness measurements will be taken within 30 m [100 ft] of bridge joints)
Acceleration and deceleration lanes

Shoulders and ramps
Side streets and roads
Within 30 m [100 ft] of transverse joints at the beginning and end of the project
Within 30 m [100 ft] of railroad crossings
Urban areas with speed limits of 50 kph [30 mph] or lower

Each lot shall have 2 measurements made in each wheel path. The average of the 4 measurements will determine the smoothness for that lot.

The smoothness measurements will be statistically evaluated for pay factors as described in Subsection 106.7 - Quality Level Analysis, using the specification limits shown below.

ACCEPTANCE LIMITS

Level	USL
I	1.10 m/km [70 in/mile]
II	1.25 m/km [80 in/mile]
III	1.40 m/km [90 in/mile]

Computation of Smoothness Pay Adjustment:

PA = (PF-1.0)(Q)(P)
where:
Q = Quantity of surface course in the Lot (excluding shoulders, side streets, bridge decks, ramps, acceleration and deceleration lanes)
PF = smoothness pay factor for the Lot
P = Contract unit price for surface pavement
PA = pay adjustment

402.04 Unacceptable Work In the event that any Lot is found to have a pay factor less than 0.80, the Contractor shall take whatever remedial action is required to correct the pavement surface in that Lot at no additional expense to the Department. Such remedial action may include but is not limited to removal and replacement of the unacceptable pavement. In the event remedial action is necessary, the Contractor shall submit a written plan to the Resident outlining the scope of the remedial work. The Resident must approve this plan before the remedial work can begin. Following remedial work, the Lot shall be retested, and will be subject to the specification limits listed above. The resulting pay factor, if within the acceptable range, will be used in the final pay adjustment. The Contractor shall pay the cost of retesting the pavement following corrective action.

Localized surface tolerance defects will be subject to the provisions outlined in Section 401.101 Surface Tolerances.

Payment will be made under:

Pay Item	Pay Unit
402.10 Incentive/Disincentive - Pavement Smoothness	Lump Sum

SECTION 403 - HOT BITUMINOUS PAVEMENT

403.01 Description This work shall consist of constructing one or more courses of bituminous pavement on an approved base in accordance with these specifications, and in reasonably close conformity with the lines, grades, thickness and typical cross sections shown on the plans or established.

The bituminous pavement shall be composed of a mixture of aggregate, filler if required, and bituminous material.

403.02 General The materials and their use shall conform to the requirements of Section 401 - Hot Mix Asphalt Pavement.

403.03 Construction The construction requirements shall be as specified in Section 401 - Hot Mix Asphalt Pavement.

In addition, hot bituminous pavement placed on bridges shall also conform to the following requirements.

a. The mixture shall be composed of aggregate, PGAB and mineral filler but no recycled asphalt pavement and placed in courses as specified in the Special Provisions.

b. The bottom course shall be placed with an approved rubber mounted bituminous paver of such type and operated in such a manner that the membrane waterproofing will not be damaged in any way.

c. The top course shall not be placed until the bottom course has cooled sufficiently to provide stability.

d. The Contractor will not be required to cut sample cores from the compacted pavement on the bridge deck.

e. After the top course has been placed, the shoulder areas shall be sealed 3 feet wide with two applications of an emulsified bituminous sealer meeting the requirements of Section 702.12 - Emulsified Bituminous Sealing Compound. The first application shall be pre-mixed with fine, sharp sand, similar to mortar sand, as needed to fill all voids in the mix in the area being sealed. The second application may be applied without sand. The sealer shall be carried to the curb at the gutter line in sufficient quantity to leave a bead or fillet of material at the face of the curb. The area to be sealed shall be clean, dry and the surface shall be at ambient temperature.

f. The furnishing and applying of the required quantity of sealer for the bridge shoulder areas shall be incidental to placing the hot bituminous pavement.

g. The atmospheric temperature for all courses on bridge decks shall be 50°F or higher.

403.04 Method of Measurement Hot bituminous pavement will be measured as specified in Section 401.21- Method of Measurement.

403.05 Basis of Payment The accepted quantities of hot bituminous pavement will be paid for at the contract unit price per ton for the bituminous mixtures, including bituminous material complete in place.

Method A, Method B, Method C and Method D shall be used for acceptance as specified in Section 401 - Hot Mix Asphalt Pavements. (See Complementary Notes, Section 403 - Hot Bituminous Pavement, for Method location).

Payment will be made under:

Pay Item		Pay Unit
403.102	Hot Mix Asphalt Pavement for Special Areas	Ton
403.206	Hot Mix Asphalt, 25 mm Nominal Maximum Size	Ton
403.207	Hot Mix Asphalt, 19.0 mm Nominal Maximum Size	Ton
403.208	Hot Mix Asphalt, 12.5 mm Nominal Maximum Size	Ton
403.209	Hot Mix Asphalt, 9.5 mm Nominal Maximum Size (sidewalks, drives, islands & incidentals)	Ton
403.210	Hot Mix Asphalt, 9.5 mm Nominal Maximum Size	Ton
403.211	Hot Mix Asphalt (shimming)	Ton
403.212	Hot Mix Asphalt, 4.75 mm Nominal Maximum Size	Ton
403.213	Hot Mix Asphalt, 12.5 mm Nominal Maximum Size, Base	Ton

Gorham
HP-8151(200)E
Bypass Highway Construction
May 14, 2007

SPECIAL PROVISION
SECTION 403
HOT MIX ASPHALT OVERLAY

Desc. of Course	Grad. Design	Item Number	Bit Cont. % of Mix	Total Thick	No. Of Layers	Comp. Notes
6" HMA Mainline Travelway Bypass, Route 114, Route 202 Route 202 and 114 Roundabout Full Construction Areas						
Wearing	12.5mm	403.208	N/A	1 ½"	1	5,7
Base	12.5mm	403.213	N/A	1 ½"	1	5,7,
Base	19.0mm	403.207	N/A	3"	2/more	4,5,7,21
7 ½" HMA Mainline Travelway Route 25 and Route 25 Roundabout Full Construction Areas						
Wearing	12.5mm	403.208	N/A	1 ½"	1	5,7
Base	12.5mm	403.213	N/A	3"	2/more	5,7,
Base	19.0mm	403.207	N/A	3"	1/more	4,5,7,21
3" HMA Shoulders, Guardrail widenings Full Construction Areas						
Wearing	12.5mm	403.208	N/A	1 ½"	1	5,7
Base	12.5mm	403.213	N/A	1 ½"	1	5,7
4" HMA - Flaggy Meadow Road Travelway, Shoulders and Guardrail widenings Full Construction Areas						
Wearing	12.5mm	403.208	N/A	1 ½"	1	5,7
Base	19.0mm	403.207	N/A	2 ½"	1	5,7,12
Shim						
Shim (as directed)	9.5mm	403.211	N/A	variable	1/more	5,10
Islands, Misc.						
Wearing	9.5mm	403.209	N/A	2"	2/more	2,3,10,11,14

COMPLEMENTARY NOTES

2. The density requirements are waived.
3. The design traffic level for mix placed shall be <0.3 million ESALS.
4. The design traffic level for the **initial base layer over gravel** shall be 0.3 to <3 million ESALS. The design, verification, Quality Control, and Acceptance tests for this mix will be performed at 50 gyrations.
5. The aggregate qualities shall meet the design traffic level of 3 to <10 million ESALS for mix placed under this contract. The design, verification, Quality Control, and Acceptance tests for this mix will be performed at 75 gyrations.
7. Section 106.6 Acceptance, (1) Method A.
10. Section 106.6 Acceptance, (2) Method D.
11. A **"FINE"** 9.5 mm mix with a gradation above or through the restricted zone shall be used for this item. A 4.75mm mixture may be substituted for the island and sidewalk surface layers.

12. A mixture meeting the gradation of 12.5 mm hot mix asphalt may be used at the option of the contractor.
14. A mixture meeting the requirements of section 703.09 Grading 'D', with a minimum PGAB content of 6%, and the limits of Special Provision 401, Table 9 (Drives and Sidewalks) for PGAB content and gradation may be substituted for this item. A job mix formula shall be submitted to the department for approval.
21. A **"FINE"** 19.0 mm mix with a gradation above or through the restricted zone shall be used for this item.

Tack Coat

A tack coat of emulsified asphalt, RS-1 or HFMS-1, Item 409.15 shall be applied to any existing pavement or recycled layer at a rate of approximately 0.025 gal/yd², and on milled pavement approximately 0.05 gal/yd², prior to placing a new course. A fog coat of emulsified asphalt shall be applied between shim / intermediate course and the surface course, at a rate not to exceed 0.025 gal/yd².

Tack used between layers of pavement will be paid for at the contract unit price for Item 409.15 Bituminous Tack Coat.

SPECIAL PROVISION
SECTION 502
STRUCTURAL CONCRETE
(Concrete Fill for Curb – Contractor's Option)

Description. The Contractor has the option of providing and placing concrete fill for the stabilization of curb in place of backfill material and lower layers of pavement.

MATERIALS

Materials. Concrete shall be in accordance with Standard Specification Section 502 - Structural Concrete, and shall be Class "Fill" Method "C".

CONSTRUCTION REQUIREMENTS

At least 30 days prior to the first placement, a concrete fill mix design shall be submitted by the Contractor to the Department for approval. No concrete fill shall be placed on the project until the mix design is approved by the Department.

Forms may be omitted at the Contractor's option. Vibration of concrete will not be required.

Quality Control. One set of test cylinders for compressive strength will be required for each 50 cubic yards of concrete fill placed. Permeability cylinders will not be required.

Method of Measurement and Basis of Payment. At the Contractor's option, Concrete Fill will not be measured for payment and shall be considered incidental to the appropriate curb item.

SPECIAL PROVISION
SECTION 502
STRUCTURAL CONCRETE
(Roadway Median)

Description This work shall consist of furnishing and placing a portland cement concrete pavement and incidental construction as shown on the plans, or as directed by the Resident. Except as otherwise specified in this Special Provision, all work shall be in conformity with the applicable provisions of Section 502 - Structural Concrete, Section 503 - Reinforcing Steel, and Section 515 - Protective Coating for Concrete Surfaces.

MATERIALS

Concrete Concrete shall be Class A.

Reinforcing Steel Reinforcing steel shall be 152 x 152 – W1.4 x W1.4 Welded Steel Fabric as shown on the plans

Control Joint Zip strip control joint shall be 38 mm [1 ½ inch] type as manufactured by Superior Featherweight Tool Company, 1325, Bixby Drive, City of Industry, CA 91745; Harris Plastic Control Joint Former 38 mm [1 ½ inch] type as manufactured by A.H. Harris & Sons, Inc., 21 Ellis Street, New Britain, CT 06050; or an equivalent.

Joint Sealant Per Section 714.04 - Sealant.

CONSTRUCTION REQUIREMENTS

Preparation of Foundation The foundation bed shall be well graded and compacted, as directed by the Resident, to provide the thickness of concrete indicated on the plans.

Prior to the concrete placement, the foundation bed shall be thoroughly and uniformly saturated with water. The bed shall be free of puddles and excessive surface water.

Placement of Concrete The concrete mix shall be placed in a continuous placement operation when possible so that construction joints will be kept to a minimum. Construction joints shall be constructed when there is a break in a placement. Construction joints shall be used to provide access to driveways and roads as directed by the Resident. 600 mm [2 ft] long dowels spaced at 300 mm [12 in] on center shall be placed at the construction joint. Construction joints shall be brushed with a neat cement paste immediately prior to making the adjacent placement. Control joints shall be constructed with a zip strip placed transversely at 3 m [10 ft] on centers.

Joint sealant shall be applied at the top surface of the concrete median at construction joints.

The surface of the concrete shall receive a float finish in accordance with Section 502.14(A) - Float Finish. Immediately following the float finish, the surface shall be textured at right angles to the roadway using an approved open-pile, stiff bristle broom raked finish.

The curing period for the concrete shall be four days and shall meet the requirements of Section 502.15 - Curing Concrete. The finished surface of the concrete shall receive a protecting coating in accordance with Section 515 - Protective Coating for Concrete Surfaces.

Method of Measurement Structural concrete, roadway median, satisfactorily placed and accepted, will be measured for payment by the cubic meter [cubic yard], in accordance with the dimensions shown on the plans or authorized by the Resident.

Basis of Payment The accepted quantity of Structural Concrete, Roadway Median will be paid for at the contract unit price per cubic meter [cubic yard], which payment will be full compensation for all labor, materials, equipment, and incidentals necessary to complete the work, including the fabrication, delivery, and placement of reinforcement; the furnishing and the application of the protective coating; the fabrication, delivery, and placement of dowels; furnishing and placement of control joint strip and sealant.

Excavation for the placement of the Structural Concrete, Roadway Median will be paid for under the appropriate contract pay item, Section 203 - Excavation and Embankments.

Payment will be made under:

Pay Item	Pay Unit
502.341 Structural Concrete, Roadway Median	Cubic Meter [Cubic Yard]

SPECIAL PROVISIONS
SECTION 502
STRUCTURAL CONCRETE
(Roadway Truck Apron)

Description. This work shall consist of furnishing and placing a Portland cement concrete pavement and incidental construction as shown on the plans and as directed. Except as otherwise specified in this Special Provision, all work shall be in conformity with the applicable provisions of Section 502, Structural Concrete; Section 503, Reinforcing Steel; and Section 515, Protective Coating for Concrete Surfaces.

MATERIALS

Concrete. Concrete shall be Class LP and shall be red-pigmented.

Reinforcement. Reinforcement shall be 4"x 4" W4 x W4 Steel Welded Wire Reinforcement, Deformed, conforming to Section 503 and Section 709.02.

Preformed Expansion Joint Filler. Preformed Expansion Joint Fillers shall meet the requirements of Section 705.01.

CONSTRUCTION REQUIREMENTS

Preparation of Foundation. The existing material shall be removed to the depth shown on the plans. The foundation bed shall be well graded and compacted, as directed by the Resident, and additional gravel shall be placed to provide the thickness of concrete indicated on the plans.

Prior to the concrete placement, the foundation bed shall be thoroughly and uniformly saturated with water. The bed shall be free of puddles and excessive surface water.

Placement of Concrete. Concrete shall be placed in a continuous placement operation between preformed expansion joints. No construction joints will be allowed. Expansion Joints shall have an approved expansion joint sealer installed as detailed on the plans. Preformed Expansion Joints shall be continuous and of an approved material and Control

Joints shall be sawcut into the surface. Expansion Joints and Control Joints shall be placed as shown on the details.

The surface of the concrete shall receive a float finish in accordance with Subsection 502.14(A). Immediately following the float finish, the surface shall be textured at right angles to the roadway using an approved open-pile, stiff bristle broom or mat or rigid-tined rake.

The curing period for the concrete shall be seven days and shall meet the requirements of Standard Specifications Section 502.15. The finished surface of the concrete shall receive a protective coating in accordance with Section 515.

Quality Assurance. Quality assurance of Structural Concrete, Roadway Truck Apron will be by Method A as defined in Section 502.0502 of the Standard Specifications.

Method of Measurement. Structural Concrete, Roadway Truck Apron, satisfactorily placed and accepted, will be measured for payment by the cubic yard, in accordance with the dimensions shown on the plans or authorized by the Resident.

Basis of Payment. The accepted quantity of Structural Concrete, Roadway Truck Apron will be paid for at the contract unit price per cubic yard, which payment will be full compensation for all labor, materials, equipment and incidentals necessary to complete the work, including the fabrication, delivery and placement of reinforcement; the furnishing and placement of expansion and contraction joints and joint sealant; and the furnishing and application of protective coating.

Excavation for the placement of the Structural Concrete, Roadway Truck Apron shall be paid for under Section 203 – Excavation and Embankment.

Payment will be made under:

Pay Item		Pay Unit
502.342	Structural Concrete, Roadway Truck Apron	Cubic Yard

SPECIAL PROVISION
SECTION 502
STRUCTURAL CONCRETE
(QC/QA Acceptance Methods)

CLASS OF CONCRETE	ITEM NUMBER	DESCRIPTION	P	METHOD
A	502.341	Structural Concrete Roadway Median	$350	A
LP	502.342	Structural Concrete Roadway Truck Apron	$375	A
LP	626.32	24” Foundation	-	C
LP	626.35	Controller Cabinet Foundation	-	C

P values listed above reflect the price per cubic meter (yd^3) for all pay adjustment purposes.

May 4, 2006
Supersedes September 29, 2004

SPECIAL PROVISION
SECTION 502
STRUCTURAL CONCRETE
(Quality Level Analysis)

502.01 Description In second sentence, replace "…METHOD B Small Qunatity Product Verification…" with "…METHOD B Statistical Acceptance…"

502.05 Composition and Proportioning Delete Table 1 and replace with the following;

TABLE 1- Methods A and B

Concrete CLASS	Compressive Strength (PSI)		Permeability (COULOMBS)		Entrained Air (%)		Notes
	LSL	USL	LSL	USL	LSL	USL	
S	2,900	N/A	N/A	N/A	6.0	8.5	1, 5
A	4,350	-----	-----	2,400	6.0	8.5	1,2,5,6
P	-----	-----	-----	-----	5 ½	7 ½	1,2,3,4,5
LP	5,075	-----	-----	2,000	6.0	8.5	1,2,5,6
Fill	2,900	N/A	N/A	N/A	N/A	N/A	6

502.503 Delete and replace with the following;

"502.0503 Quality Assurance METHOD B The Department will determine the acceptability of the concrete through a quality assurance program.

The Department will take Quality Assurance samples a minimum of once per sublot on a statistically random basis. Quality Assurance tests will include compressive strength, air content and permeability.

Concrete sampling for quality assurance tests will be taken at the discharge point, with pumped concrete sampling taken at the discharge end of the pump line.

Lot Size A lot size shall consist of the total quantity represented by each class of concrete in the Contract, except in the case when the same class of concrete is paid for under both lump sum items and unit price items in the Contract; in this case, the lump sum item quantities shall comprise 1 lot and the unit price item quantities shall comprise a separate lot. A lot shall consist of a minimum of 3 and a maximum of 10 sublots. If a lot is comprised of more than 10 sublots, sized in accordance with Table #3, then this quantity shall be divided equally into 2, or more, lots such that there is a minimum of 3 and a maximum of 10 sublots per lot. If there is insufficient quantity in a lot to meet the recommended minimum sublot size, then the lot shall be divided into 3 equal sublots.

Sublot Size, General The size of each sublot shall be determined in accordance with Table #3. The Resident may vary sublot sizes based on placement sizes and sequence.

Sublot Size, Unit Price Items Sublot sizes will initially be determined from estimated quantities. When the actual final quantity of concrete is determined: If there is less than one-half the estimated sublot quantity in the remaining quantity, then this quantity shall be combined with the previous sublot, and no further Acceptance testing will be performed; if there is more than one-half the estimated sublot quantity in the remaining quantity, then this quantity shall constitute the last sublot and shall be represented by Acceptance test results. If it becomes apparent part way through a lot that, due to an underrun in quantity, there will be an insufficient quantity of concrete to comprise three sublots, then the Resident may adjust the sizes of the remaining sublots and select new sample locations based on the revised estimated quantity of concrete remaining in the lot.

Sublot Size, Lump Sum Items Each lot shall be divided into sublots of equal size, based on the estimated quantity of concrete.

TABLE 3

Quantity m^3 [cy]	Recommended Sublot Size m^3 [cy]
0-400 [0-500]	40 [50]
401-800 [501-1000]	60 [75]
801-1600 [1001-2000]	80 [100]
1601 [2001]or greater	200 [250]

Determination of the concrete cover over reinforcing steel for structural concrete shall be made prior to concrete being placed in the forms. Bar supports, chairs, slab bolsters, and side form spacers shall meet the requirements of Concrete Reinforcing Steel Institute (CRSI) Manual of Standard Practice, Chapter 3 Section 2.5 Class 1, Section 2.6 Class 1A, or Section 4. All supports shall meet the requirements for type and spacing as stated in the CRSI Manual of Standard Practice, Chapter 3. Concrete will not be placed until the placing of the reinforcing steel and supports have been approved by the Resident. If the Contractor fails to secure Department approval prior to placement, the Contractor's failure shall be cause for removal and replacement at the Contractor's expense. The Contractor shall notify the Resident, at least 48 hours prior to the placement, when the reinforcing steel will be ready for checking. Sufficient time must be allowed for the checking process and any needed repairs.

Evaluation of materials will be made using the specification limits in Table 1.

Compressive strength tests will be completed by the Department in accordance with AASHTO-T22 at ≥ 28 days, except that no slump will be taken. The average of two concrete cylinders per sublot will constitute a test result and this average will be used to determine the compressive strength for pay adjustment computations.

Testing for Entrained Air in concrete, at the rate of one test per sublot, shall be in accordance with AASHTO T152.

Rapid Chloride Permeability test specimens will be completed by the Resident in accordance with AASHTO T-277 at an age ≥ 56 days. Two 100 mm x 200 mm [4 in x 8 in] cylinders will be taken per sublot placed.

Surface Tolerance, Alignment and Trueness, Plumb and Batter, and Finish will be measured as described in Section 502.0502.

Rejection by Resident For an individual sublot with a calculated pay factor of less than 0.80, the Department will, at its sole discretion:

A. Require the Contractor to remove and replace the entire affected placement with concrete meeting the Contract requirements at no additional expense to the Department, or

B. Accept the material, at a reduced payment as determined by the Department. (See also Section 502.191)

For a lot in progress, the Contractor shall discontinue operations whenever one or more of the following occurs:

A. The pay factor for any property drops below 1.00 and the Contractor is taking no corrective action

B. The pay factor for any property is less than 0.90

C. The Contractor fails to follow the QC Plan"

502.18 Method of Measurement Under Section E. make the following change from "….Method A, and under Section 502.19…" to "…Method A, Section 502.0503- Quality Assurance Method B, and under Section 502.19…"

502.19 Basis of Payment Modify the first sentence of the seventh paragraph from "…accepted under Method A." to "…accepted under Method A and Method B."

502.191 Pay Adjustment for Compressive Strength Add the following as the second sentence to the first paragraph; "Pay factors (PF) for pay adjustments for compressive strength will be determined using the Quality Level Analysis as specified in Section 106."

502.192 Pay Adjustment for Chloride Permeability Delete and replace with the following;

"Pay factors (PF) for pay adjustments for Chloride Permeability will be determined using the Quality Level Analysis as specified in Section 106.

Values greater than 4000 coulombs shall be subject to rejection and replacement at no additional cost to the Department."

502.193 Pay Adjustment for Air Content Delete and replace with the following;

"Pay factors (PF) for pay adjustments for air content will be determined using the Quality Level Analysis as specified in Section 106."

Add the following Section;

"502.195 Pay Adjustments for Compressive Strength, Chloride Permeability and Air Content The Composite Pay Factor (CPF) for each lot of concrete shall be computed as follows:

CPF = [(Compressive Strength PF-1)(0.20)] + [(Air Content PF-1)(0.40)]
+ [(Chloride Permeability PF-1)(0.40)]

The pay adjustment for each lot of concrete shall be computed as follows:

Lot Pay Adjustment = P x CPF x Lot Size

There will be no positive pay adjustments for Method B Concrete."

January 15, 2003
Supersedes March 10, 1999

SPECIAL PROVISION
SECTION 504
CONCRETE PIPE TIES

Description This work shall consist of furnishing and installing concrete pipe ties in conformance with the Standard Details.

Materials All materials shall meet the requirements shown in the Standard Details.

Method of Measurement Concrete pipe ties shall be measured per each and installed at the last joint of the inlet and outlet ends of all open-ended RCP.

Basis of Payment The accepted quantity of concrete pipe ties will be paid for at the contract unit price per each. Such payment will be full compensation for furnishing, installing, and all other necessary incidentals for satisfactory completion of the work. Any grout or mortar necessary to repair chipping shall be incidental to the installation of the pipe ties.

Pay Item	Pay Unit
504.069 Concrete Pipe Ties	Each

SPECIAL PROVISION
SECTION 512
FRENCH DRAINS

Section 512 is revised by the following:

512.04 Method of Measurement French Drains – Stones Only will be measured for payment by the Cubic Yard.

512.05 Basis of Payment French Drains – Stones Only will be paid for at the contract Cubic Yard price complete in place, which will be full compensation for placing and compacting stones in reasonably close conformity with the special detail shown on the plans.

Payment will be made under:

Pay Item	Unit
512.07 French Drains – Stones Only	Cubic Yard

SPECIAL PROVISION
SECTION 603
Pipe Culverts and Storm Drains

603.12 Basis of Payment: This section shall be amended with the addition of the following:

Pay Item	Pay Unit
603.155 12" RCP Class III	Linear Foot
603.165 15" RCP Class III	Linear Foot
603.175 18" RCP Class III	Linear Foot
603.185 21" RCP Class III	Linear Foot
603.205 30" RCP Class III	Linear Foot
603.215 36" RCP Class III	Linear Foot
603.225 42" RCP Class III	Linear Foot
603.235 48" RCP Class III	Linear Foot
603.255 60" RCP Class III	Linear Foot
603.256 60" RCP Class III – incl. fish weirs	Linear Foot
603.2891 96" RCP Class III	Linear Foot

SPECIAL PROVISION
SECTION 606
GUARDRAIL

606.01 Description This work shall consist of furnishing and installing guardrail components in accordance with these specifications and in reasonably close conformity with the lines and grades shown on the plans or as established. The types of guardrail are designated as follows:

Type 3-Galvanized steel "w" beam, wood posts or galvanized steel posts.
Type 3a-Galvanized steel "w" beam, wood posts, wood or composite offset blocks.
Type 3aa-Corrosion resistant steel "w" beam, wood posts, wood or composite offset blocks.
Type 3b-Galvanized steel "w" beam, galvanized steel posts, galvanized steel offset blocks.
Type 3c-Galvanized steel "w" beam, wood posts or galvanized steel posts, wood or composite offset blocks.
Type 3d-Galvanized steel "w" beam, galvanized steel posts, wood or composite offset blocks.
Thrie Beam-Galvanized steel thrie beam, wood posts or galvanized steel posts, wood or composite offset blocks.

Median barriers shall consist of two beams of the above types, mounted on single posts. Except for thrie beam, median barriers may include rub rails when called for.

Bridge mounted guardrail shall consist of furnishing all labor, materials, and equipment necessary to install guardrail as shown on the plans. This work shall also include drilling for and installation of offset blocks if specified, and incidental hardware necessary for satisfactory completion of the work.

Remove and Reset and Remove, Modify, and Reset guardrail shall consist of removing the existing designated guardrail and resetting in a new location as shown on the plans or directed by the Resident. Remove, Modify, and Reset guardrail and Modify guardrail include the following guardrail modifications: Removing plate washers at all posts, except at anchorage assemblies as noted on the Standard Details, Adding offset blocks, and other modifications as listed in the Construction Notes or General Notes. Modifications shall conform to the guardrail Standard Details.

Bridge Connection shall consist of the installation and attachment of beam guardrail to the existing bridge. This work shall consist of constructing a concrete end post or modifying an existing endpost as required, furnishing, and installing a terminal connector, necessary hardware, and incidentals required to complete the work as shown on the plans. Bridge Transition shall consist of a bridge connection and furnishing and installing guardrail components as shown in the Standard Details.

606.02 Materials Materials shall meet the requirements specified in the following Sections of Division 700 - Materials:

Timber Preservative	708.05
Metal Beam Rail	710.04
Guardrail Posts	710.07
Guardrail Hardware	710.08

Guardrail components shall meet the applicable standards of "A Guide to Standardized Highway Barrier Hardware" prepared and approved by the AASHTO-AGC-ARTBA Joint Cooperative Committee, Task Force 13 Report.

Posts for underdrain delineators shall be “U” channel steel, 2.44m [8 ft] long, 3.72 kg/m [2 ½ lb/ft] minimum and have 9.5 mm [3/8 in] round holes, 25 mm [1 in] center to center for a minimum distance of 610 mm [2 ft] from the top of the post.

Reflectorized Flexible Guardrail Markers shall be mounted on all guardrails. A marker shall be mounted onto guardrail posts at the flared end treatment’s terminal and its tangent point, both at the leading and trailing ends of each run of guardrail. The marker’s flexible posts shall be grey with either silver-white or yellow reflectors (to match the edge line striping) at the tangents, red at leading ends, and green at trailing ends. Whenever the end treatment is not flared, markers will only be required at the end treatment’s terminal. These shall be red or green as appropriate. Markers shall be installed on the protected side of guardrail posts unless otherwise approved by the Resident. Reflectorized flexible guardrail markers shall be from the Maine DOT’s Approved Product List of Guardrail Material. The marker shall be grey, flexible, durable, and of a non-discoloring material to which 75 mm [3 in] by 225 mm [9 in] reflectors shall be applied, and capable of recovering from repeated impacts. Reflective material shall meet the requirements of Section 719.01 for ASTM D 4956 Type III reflective sheeting. The marker shall be secured to the guardrail post with two fasteners, as shown in the Standard Details.

Reflectorized beam guardrail (“butterfly”-type) delineators shall be mounted on all “w”-beam guardrail. The delineators shall be mounted within the guardrail beam at guardrail posts. Delineators shall be fabricated from high-impact, ultraviolet & weather resistant thermoplastic. Reflectorized beam guardrail delineators shall be placed at approximately 20 m [62.5 ft] intervals or every tenth post on tangents and at approximately 10 m [31.25 ft] intervals or every fifth post on curves. Exact locations of the delineators shall be as directed by the Resident. On divided highways, the left hand delineators shall be yellow and the right hand delineators shall be silver/white. On two directional highways, the right hand side shall be silver/white and no reflectorized delineator used on the left. All reflectors shall have reflective sheeting applied to only one side of the delineator facing the direction of traffic as shown in the Standard Detail 606(07). Reflectorized sheeting for guardrail delineators shall meet the requirements of Section 719.01.

Single wood post shall be of cedar, white oak, or tamarack, well seasoned, straight, and sound and have been cut from live trees. The outer and inner bark shall be removed and all knots trimmed flush with the surface of the post. Posts shall be uniform taper and free of kinks and bends.

Single steel post shall conform to the requirements of Section 710.07 b.

Single steel pipe post shall be galvanized, seamless steel pipe conforming to the requirements of ASTM A120, Schedule No. 40, Standard Weight.

Acceptable multiple mailbox assemblies shall be listed on the Department’s Approved Products List and shall be NCHRP 350 tested and approved.

The Guardrail 350 Flared Terminal shall be a terminal with a 1.2 m [4 ft] offset as shown in the Manufacturer's installation instructions.

Existing materials damaged or lost during adjusting, removing and resetting, or removing, modifying, and resetting, shall be replaced by the Contractor without additional compensation. Existing guardrail posts and guardrail beams found to be unfit for reuse shall be replaced when directed by the Resident.

606.03 Posts Posts for guardrail shall be set plumb in holes or they may be driven if suitable driving equipment is used to prevent battering and distorting the post. When posts are driven through pavement, the damaged area around the post shall be repaired with approved bituminous patching. Damage to lighting and signal conduit and conductors shall be repaired by the Contractor.

When set in holes, posts shall be on a stable foundation and the space around the posts, backfilled in layers with suitable material, thoroughly tamped.

The reflectorized flexible guardrail markers shall be set plumb with the reflective surface facing the oncoming traffic. Markers shall be installed on the protected side of guardrail posts. Markers, which become bent or otherwise damaged, shall be removed and replaced with new markers.

Single wood posts shall be set plumb in holes and backfilled in layers with suitable material, thoroughly tamped. The Resident will designate the elevation and shape of the top. The posts, that are not pressure treated, shall be painted two coats of good quality oil base exterior house paint.

Single steel posts shall be set plumb in holes as specified for single wood posts or they may be driven if suitable driving equipment is used to prevent battering and distorting the post.

Additional bolt holes required in existing posts shall be drilled or punched, but the size of the holes shall not exceed the dimensions given in the Standard Details. Metal around the holes shall be thoroughly cleaned and painted with two coats of approved aluminum rust resistant paint. Holes shall not be burned.

606.04 Rails Brackets and fittings shall be placed and fastened as shown on the plans. Rail beams shall be erected and aligned to provide a smooth, continuous barrier. Beams shall be lapped with the exposed end away from approaching traffic.

End assemblies shall be installed as shown on the plans and shall be securely attached to the rail section and end post.

All bolts shall be of sufficient length to extend beyond the nuts but not more than 13 mm [½ in]. Nuts shall be drawn tight.

Additional bolt holes required in existing beams shall be drilled or punched, but the size of the holes shall not exceed the dimensions given in the Standard Details. Metal around the holes shall be thoroughly cleaned and painted with two coats of approved aluminum rust resistant paint. Holes shall not be burned.

606.045 Offset Blocks The same offset block material is to be provided for the entire project unless otherwise specified.

606.05 Shoulder Widening At designated locations the existing shoulder of the roadway shall be widened as shown on the plans. All grading, paving, seeding, and other necessary work shall be in accordance with the Specifications for the type work being done.

606.06 Mail Box Post Single wood post shall be installed at the designated location for the support of the mailbox. The multiple mailbox assemblies shall be installed at the designated location in accordance with the Standard Details and as recommended by the Manufacturer. Attachment of the mailbox to the post will be the responsibility of the home or business owner.

606.07 Abraded Surfaces All galvanized surfaces of new guardrail and posts, which have been abraded so that the base metal is exposed, and the threaded portions of all fittings and fasteners and cut ends of bolts shall be cleaned and painted with two coats of approved rust resistant paint.

606.08 Method of Measurement Guardrail will be measured by the meter [linear foot] from center to center of end posts along the gradient of the rail except where end connections are made to masonry or steel structures, in which case measurement will be as shown on the plans.

Terminal section, low volume end, NCHRP 350 end treatments, reflectorized flexible guardrail marker, terminal end, bridge transition, bridge connection, multiple mailbox post, and single post will be measured by each unit of the kind specified and installed.

Widened shoulder will be measured as a unit of grading within the limits shown on the plans.

Excavation in solid rock for placement of posts will be measured by the cubic meter [cubic yard] determined from the actual depth of the hole and a hypothetical circle diameter of 600 mm [2 ft].

606.09 Basis of Payment The accepted quantities of guardrail will be paid for at the contract unit price per meter [linear foot] for the type specified, complete in place. Reflectorized beam guardrail (“butterfly”-type) delineators will not be paid for directly, but will be considered incidental to guardrail items. Terminal section, buffer end, NCHRP 350 end treatment, bridge connection, single post and reflectorized flexible guardrail markers will be paid for at the contract unit price each for the kind specified complete in place.

NCHRP 350 end treatments and low volume guardrail ends will be paid for at the contract price each, complete in place which price shall be full payment for furnishing and installing all components including the terminal section, posts, offset blocks, "w" beam, cable foundation posts, plates and for all incidentals necessary to complete the installation within the limits as shown on the Standard Details or the Manufacturer’s installation instructions. Each end treatment will be clearly marked with the manufacturers name and model number to facilitate any future needed repair. Such payment shall also be full compensation for furnishing all material, excavating, backfilling holes, assembling, and all incidentals necessary to complete the work, except that for excavation for posts or anchorages in solid ledge rock, payment will be made under Pay Item 206.07. Type III Retroreflective Adhesive Sheeting

shall be applied to the approach buffer end sections and sized to substantially cover the end section. On all roadways, the ends shall be marked with alternating black and retroreflective yellow stripes. The stripes shall be 75 mm [3 in] wide and sloped down at an angle of 45 degrees toward the side on which traffic is to pass the end section. Guardrail 350 flared terminal shall also include a set of installation drawings supplied to the Resident.

Anchorages to bridge end posts will be part of the bridge work. Connections thereto will be considered included in the unit bid price for guardrail.

Guardrail to be placed on a radius of curvature of 45 m [150 ft] or less will be paid for under the designated radius pay item for the type guardrail being placed.

Widened shoulder will be paid for at the contract unit price each complete in place and will be full compensation for furnishing and placing, grading and compaction of aggregate subbase and any required fill material.

Adjust guardrail will be paid for at the contract unit price per meter and will be full compensation for adjusting to grade. Payment shall also include adjusting terminal end treatments where required.

Modify guardrail will be paid for at the contract unit price per meter and will be full compensation for furnishing and installing offset blocks, additional posts, and other specified modifications; removing, modifying, installing, and adjusting to grade existing posts and beams; removing plate washers and backup plates, and all incidentals necessary to complete the work. Payment shall also include removing and resetting terminal ends where required.

Remove and Reset guardrail will be paid for at the contract unit price per meter and will be full compensation for removing, transporting, storing, reassembling all parts, necessary cutting, furnishing new parts when necessary, reinstalling at the new location, and all other incidentals necessary to complete the work. Payment shall also include removing and resetting terminal ends when required. No payment will be made for guardrail removed, but not reset and all costs for such removal shall be considered incidental to the various contract pay items.

Remove, Modify, and Reset guardrail will be paid for at the contract unit price per meter and will be full compensation for the requirements listed in Modify guardrail and Remove and Reset guardrail.

Bridge Connections will be paid for at the contract unit price each. Payment shall include, attaching the connection to the endpost including furnishing and placing concrete and reinforcing steel necessary to construct new endposts if required, furnishing and installing the terminal connector, and all miscellaneous hardware, labor, equipment, and incidentals necessary to complete the work.

Bridge Transitions will be paid for at the contract unit price each. Payment shall include furnishing and installing the thrie beam or “w”-beam terminal connector, doubled beam section, and transition section, where called for, posts, hardware, precast concrete transition curb, and any other necessary materials and labor, including the bridge connection as stated in the previous paragraph.

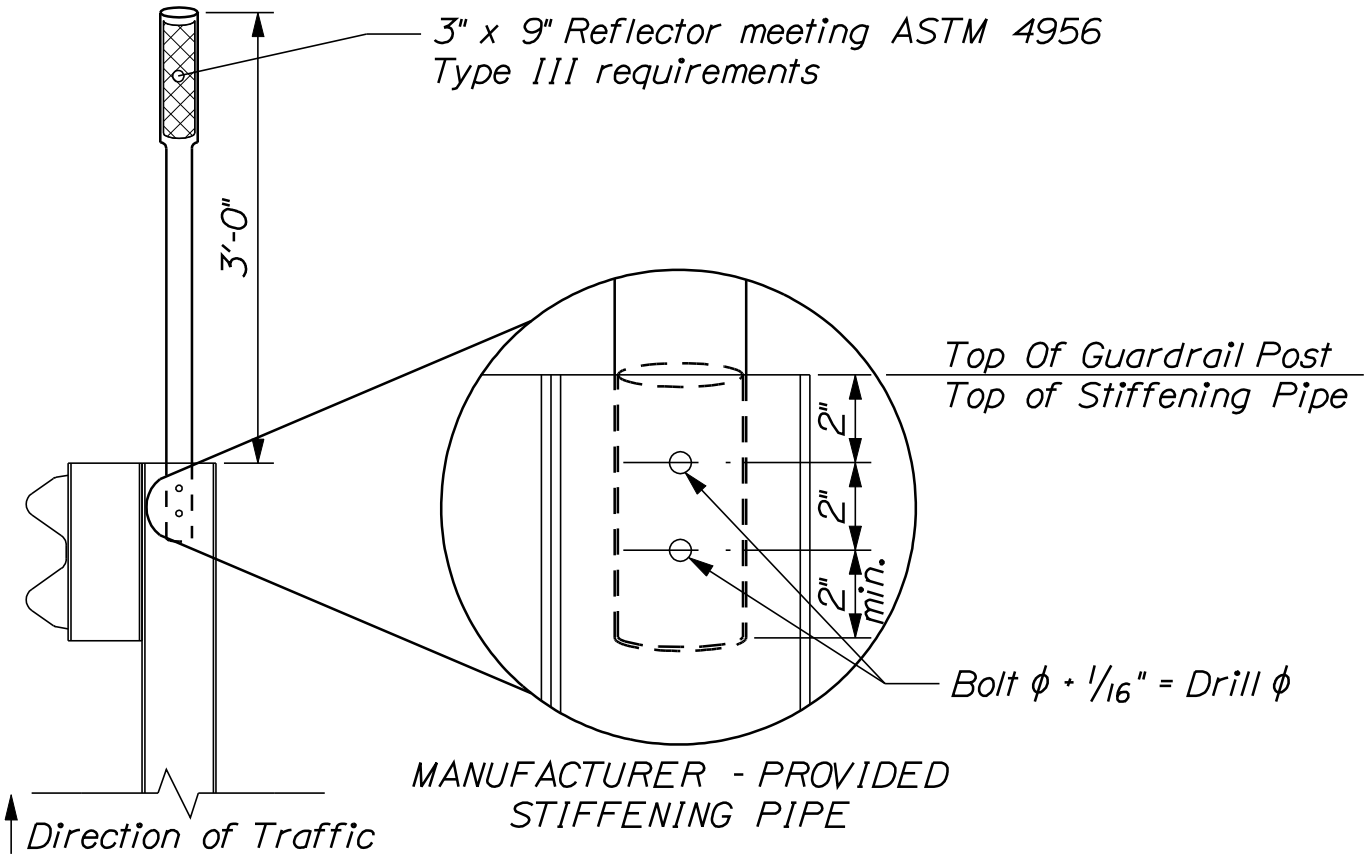
Payment will be made under:

	Pay Item	Pay Unit
606.15	Guardrail Type 3a-Single Rail	meter [Linear Foot]
606.151	Guardrail Type 3aa-Single Rail	meter [Linear Foot]
606.17	Guardrail Type 3b-Single Rail	meter [Linear Foot]
606.1721	Bridge Transition - Type I	Each
606.1722	Bridge Transition - Type II	Each
606.1731	Bridge Connection - Type I	Each
606.1732	Bridge Connection - Type II	Each
606.178	Guardrail Beam	meter [Linear foot]
606.18	Guardrail Type 3b - Double Rail	meter [Linear foot]
606.19	Guardrail Type 3a - 4.5 m [15 ft] radius and less	meter [Linear Foot]
606.191	Guardrail Type 3aa - 4.5 m [15 ft] radius and less	meter [Linear Foot]
606.20	Guardrail Type 3a - over 4.5 m [15 ft] radius	meter [Linear Foot]
606.201	Guardrail Type 3aa - over 4.5 m [15 ft] radius	meter [Linear Foot]
606.21	Guardrail Type 3b - 4.5 m [15 ft] radius and less	meter [Linear Foot]
606.22	Guardrail Type 3b - over 4.5 m [15 ft] radius	meter [Linear Foot]
606.23	Guardrail Type 3c - Single Rail	meter [Linear Foot]
606.2301	Guardrail Type 3c - Double Rail	meter [Linear Foot]
606.231	Guardrail Type 3c - 4.5 m [15 ft] radius and less	meter [Linear Foot]
606.232	Guardrail Type 3c - over 4.5 m [15 ft] radius	meter [Linear Foot]
606.24	Guardrail Type 3d - Single Rail	meter [Linear Foot]
606.2401	Guardrail Type 3d - Double Rail	meter [Linear Foot]
606.241	Guardrail Type 3d - 4.5 m [15 ft] radius and less	meter [Linear Foot]
606.242	Guardrail Type 3d - over 4.5 m [15 feet] radius	meter [Linear Foot]
606.25	Terminal Connector	Each
606.257	Terminal Connector - Thrie Beam	Each
606.265	Terminal End-Single Rail - Galvanized Steel	Each
606.266	Terminal End-Single Rail - Corrosion Resistant Steel	Each
606.275	Terminal End-Double Rail - Galvanized Steel	Each
606.276	Terminal End-Double Rail - Corrosion Resistant Steel	Each
606.353	Reflectorized Flexible Guardrail Marker	Each
606.354	Remove and Reset Reflectorized Flexible Guardrail Marker	Each
606.356	Underdrain Delineator Post	Each
606.358	Guardrail, Modify, Type 3b to 3c	meter [Linear Foot]
606.3581	Guardrail, Modify Existing to Type 3d	meter [Linear Foot]
606.362	Guardrail, Adjust	meter [Linear Foot]
606.365	Guardrail, Remove, Modify, and Reset, Type 3b to 3c	meter [Linear Foot]
606.3651	Guardrail, Remove, Modify, and Reset Existing to Type 3d	meter [Linear Foot]
606.366	Guardrail, Removed and Reset, Type 3c	meter [Linear Foot]
606.367	Replace Unusable Existing Guardrail Posts	Each
606.47	Single Wood Post	Each
606.48	Single Galvanized Steel Post	Each
606.50	Single Steel Pipe Post	Each

606.51	Multiple Mailbox Support	Each
606.55	Guardrail Type 3 - Single Rail	meter [Linear Foot]
606.551	Guardrail Type 3 - Single Rail with Rub Rail	meter [Linear Foot]
606.56	Guardrail Type 3 - Double Rail	meter [Linear Foot]
606.561	Guardrail Type 3 - Double Rail with Rub Rail	meter [Linear Foot]
606.568	Guardrail, Modify Type 3c -Double Rail	meter [Linear Foot]
606.59	Guardrail Type 3 - 4.5 m [15 ft] radius and less	meter [Linear Foot]
606.60	Guardrail Type 3 - over 4.5 m [15 ft] radius	meter [Linear Foot]
606.63	Thrie Beam Rail Beam	meter [Linear Foot]
606.64	Guardrail Thrie Beam - Double Rail	meter [Linear Foot]
606.65	Guardrail Thrie Beam - Single Rail	meter [Linear Foot]
606.66	Terminal End Thrie Beam	Each
606.70	Transition Section - Thrie Beam	Each
606.71	Guardrail Thrie Beam - 4.5 m [15 ft] radius and less	meter [Linear Foot]
606.72	Guardrail Thrie Beam - over 4.5 m [15 ft] radius	meter [Linear Foot]
606.73	Guardrail Thrie Beam - Single Rail Bridge Mounted	meter [Linear Foot]
606.74	Guardrail Type 3 - Single Rail Bridge Mounted	meter [Linear Foot]
606.753	Widen Shoulder for Low Volume Guardrail End - Type 3	Each
606.754	Widen Shoulder for Guardrail 350 Flared Terminal	Each
606.78	Low Volume Guardrail End - Type 3	Each
606.79	Guardrail 350 Flared Terminal	Each

1. Reflectorized Flexible Guardrail Markers shall be from Maine DOT's Approved Product List of Guardrail Material.

2. Installation:
   a. Each bolt-hole diameter shall be the bolt diameter + 1/16".
   b. Wood post attachment - attach marker with 2, 5/16" diameter zinc-coated lag bolts, having 2" of embedment into wood post.
   c. Steel post attachment - attach marker with 2, 1/4" diameter zinc-coated bolt, washer and nut assemblies, having 1/2" of bolt extension behind steel post.
   d. When provided by the marker manufacturer, a stiffening pipe shall be inserted into the base of the marker prior to drilling bolt holes and shall remain in-place.



REFLECTORIZED FLEXIBLE GUARDRAIL MARKER DETAILS

606(34)

SPECIAL PROVISION
SECTION 607
FENCES
(Woven Wire Fence)

Woven Wire Fence, Posts and Bracing Assemblies shall meet the requirements of Section 607.

607.07 Basis of Payment : This Section shall be amended with the addition of the following:

Pay Item	Pay Unit
607.092 Woven Wire Fence – Metal Posts (8 Foot)	Linear Foot
607.321 Bracing Assembly Type 1 – Metal Posts (8 Foot)	Each
607.331 Bracing Assembly Type II– Metal Posts (8 Foot)	Each

SPECIAL PROVISION
SECTION 609
CURBING
(Truck Apron)

Description This work shall consist of installing Curb Type 5 at a 3:1 slope around the perimeter of the truck aprons, as shown on the Special Detail of the plans.

CONSTRUCTION REQUIREMENTS

General The curb shall be set at a 3:1 slope with a 4 inch reveal. The curb shall be placed on 16"x 9"x 4" precast concrete supports. Two supports will be required per section of curb. Grout or shims shall be used in order to set the curb to grade prior to placing class LP concrete. Details for precast concrete supports and pay limits for concrete are as shown on the plans.

Method of Measurement Curb Type 5 – Truck Apron will be measured by the linear foot.

Basis of Payment The accepted quantity of Curb Type 5 – Truck Apron will be paid for at the contract unit price per linear foot, complete in place. Payment shall be full compensation for furnishing curb, LP concrete, precast concrete supports, grout, shims, and all labor and incidentals necessary to satisfactorily complete the work.

Pay Item	Pay Unit
609.341 Curb Type 5 – Truck Apron	Linear Foot

Gorham
8185.20
Apr. 27, 2007

SPECIAL PROVISION 610
Stream Channel Relocation – Strout Brook & Brandy Brook

610.01 Description This work shall consist of constructing new stream channels at two locations on the project at the direction of the Department to simulate the existing natural channel. Strout Brook is located on Route 114, Sta. 101+00 to 102+50 LT and Brandy Brook is located along the Bypass, Sta 1167+00 to 1169+00 RT. Channel layout and material placement will be at the direction of the Resident or his/her designee.

610.02 Materials

Materials shall conform to the following requirements:

Stream Channel Rocks shall consist of sound durable rock which will not disintegrate by exposure to water or weather. Stone shall be field stone, similar to native material in existing stream. No quarry stone or round boulders or cobbles will be allowed. The gradation shall conform to the following table:

Sieve Designation		
US Customary	Metric	Percent by Weight Passing Square Mesh Sieves
18in	600 mm	100
12in	450 mm	40 - 50
6 in	300 mm	30 - 40
3 in	150 mm	5 - 10

Stream Channel Gravel shall consist of hard, durable rock, shall be sub-angular in shape and shall conform to the following table:

Sieve Designation		
US Customary	Metric	Percent by Weight Passing Square Mesh Sieves
2 1/2 in.	63 mm	100
1 in.	25 mm	40 - 50
3/8 in.	9.5 mm	10 - 20

No. 10	2.00 mm	0 - 5

Sand used in stream channel shall conform to Standard Specification 304 & 703.06 Aggregate for Base & Subbase

610.31 Construction

1) All activities shall be done in compliance with Standard Specification 656, Temporary Soil Erosion and Water Pollution Control and described in the Contractor's Soil Erosion and Water Pollution Control Plan.

2) Beginning on the downstream end of the project site, the new channel shall be excavated and shaped to a depth and width as designated by the Resident or his/her designee. Brandy Brook will require excavation of the floodplain before final channel construction. Refer to Brandy Brook and Strout Brook Typical Sections for details. Exact channel location, both horizontal & vertical, to be determined in the field by the Resident or his/her designee.

3) Stream Channel Rock and Stream Channel Gravel shall be machine placed as directed. Minimal hand placement of stone may be required. Materials shall be compacted to the approximate density of the surrounding undisturbed material.

4) No material shall be dropped from a distance greater than three feet in order to minimize segregation.

5) Strout Brook shall only have Sand placed in bed of section. Brandy Brook shall be constructed with Stream Channel Rock, Gravel and Sand and shall be placed throughout the length of the excavated channel as directed.

6) All disturbed soil on top of bank shall be mulched with Erosion Control Blanket before installation of plant material. Erosion Control Blanket shall be installed according to the Typical Section for "All Channels" and shall be paid for separately. Refer to Special Provision 621 for description of Plant Material.

610.41 Method of Measurement

Stream Channel Rock ,Gravel and Sand will be measured in the truck before placement by the cubic yard.

610.51 Basis of Payment

The accepted quantities of Stream Channel Rock and Stream Channel Gravel and Sand will be paid for at the contract unit price per cubic yard before placement. Stream Channel Sand will be paid as Aggregate Subbase Course-

sand, Truck Measure. Costs for all required excavation shall be paid for as hourly equipment rental.

Payments will be made under:

Pay Item	Pay Unit
610.210 Stream Channel Rock	cubic yard
610.211 Steam Channel Gravel	cubic yard

SPECIAL PROVISION
SECTION 610
RIPRAP
(Plain Riprap-Shear Key)

Description. This work shall consist of placing Plain Riprap for Shear Key in accordance with this Section, the construction plans, and as directed by the Resident.

Material. Material comprising the shear key, as shown on the plans, shall consist of Plain Riprap, as included under Section 703.26 of the Standard Specifications, with the following added provisions:

1) All of the material shall be angular, consistent with blast material.

2) Stones shall weigh 200 lb to 750 lb.

Construction. The Contractor is informed that the native clay-silt soils in the area of shear key construction may become weak when overly disturbed. The Contractor shall take care when excavating and placing material for the shear key to not overly disturb the native clay-silt soils. Native clay-silt soils which are overly disturbed during excavation and construction of the shear key, as determined by the Resident, shall be removed and replaced with Shear Key Material, as defined herein. Removal and replacement of overly disturbed clay-silt soils shall be at no additional cost.

Shear Key Material shall be separated from the surrounding soils with Erosion Control Geotextile, MDOT 722.03. Placement of Erosion Control Geotextile shall be in accordance with Section 620 of the Standard Specifications.

Where shear key construction is below the groundwater table, material shall be placed in horizontal courses no greater than 36 inches in thickness for the full width of the excavation. Where shear key construction is above the groundwater table, courses shall be no greater than 48 inches in thickness, and each course shall be proof-rolled with a minimum of 4 passes of heavy, track mounted, equipment, as approved by the Resident. The method of material placement shall be as directed by the Resident.

Measurement. Measurement of Plain Riprap for Shear Key shall be as specified in Section 610.05 of the Standard Specifications.

Basis of Payment. Basis of payment for Plain Riprap for Shear Key shall be as specified in Section 610.06 of the Standard Specifications.

Payment will be made under:

610.08 Plain Riprap	cubic yard
620.58 Non-woven Geotextile	square yard

Gorham
8151.20
April 4, 2007

SPECIAL PROVISIONS
SECTION 621
LANDSCAPE
(Plant Species Specification and Quantities List)

The following list of items provides the estimated quantities for use on this project. The scientific name of the plant material is provided along with the common name in parenthesis.

The contractor shall follow MDOT Standard Specifications for landscape materials and installation procedures (Section 621).

The MDOT Landscape Architect or their designee will be available to inspect plant materials and stake the location of plant materials at the time of planting. Plantings will be located in the roundabout areas, residential areas and at the inlets/outlets of wildlife crossing culverts and at stream relocation and channel diversion areas. The Colorado spruce and perennial plantings will occur in the center of the Roundabouts.

Plantings associated with the project's culvert wildlife crossings shall not be installed prior to layout approval by the MaineDOT Landscape Architect and the MaineDOT Environmental Office Field Services Unit Biologist or their designees.

ITEM NO	Description	Unit	Quantity	Total
621.019	Evergreen Trees (600 mm – 900 mm) 2' – 3' Group A, B&B or Cont.			6
	Pinus strobus (White Pine)	Ea	6	
621.025	Evergreen Trees (900 mm – 1200 mm) 3' – 4' Group A, B&B or Cont.			9
	Pinus nigra (Austrian Pine)	Ea	3	
	Pinus strobus (White Pine)	Ea	6	
621.031	Evergreen Trees (1200 mm – 1500 mm) 4' – 5' Group A, B&B			12
	Pinus strobus (White Pine)	Ea.	9	
	Picea glauca (White Spruce)	Ea.	3	
621.037	Evergreen Trees (1500 mm – 1800 mm) 5' – 6' Group A, B&B			12
	Pinus strobus (White Pine)	Ea.	12	
621.039	Evergreen Trees (1500 mm – 1800 mm) 5' – 6' Group C, B&B			4
	Picea pungens (Colorado Spruce)	Ea.	4	

621.043	Evergreen Trees (1800 mm – 2400 mm) 6’ – 8’ Group A, B&B			16
	Pinus strobus (White Pine)	Ea.	16	
621.045	Evergreen Trees (1800 mm – 2400 mm) 6’ – 8’ Group C, B&B			6
	Picea pungens (Colorado Spruce)	Ea.	6	
621.048	Evergreen Trees (2400 mm – 2700 mm) 8’ – 9’ Group C, B&B			11
	Picea pungens (Colorado Spruce)	Ea.	11	
621.121	Small Deciduous Trees (1500 mm - 1800 mm) 5 - 6’ Group B, Cont.			20
	Betula populifolia (Gray Birch)	Ea.	5	
	Carpinus caroliniana (Ironwood)	Ea.	5	
	Amelanchier X Grandiflora (Serviceberry)	Ea.	10	
621.196	Md Deciduous Trees (45 mm - 50 mm cal) 1 ¾ - 2” cal., B&B group B			3
	Malus ‘Indian Magic’ (Indian Magic Crabapple)	Ea	3	

621.246	Lg Deciduous Trees (900 mm - 1200 mm) 3-4’ Group A, Cont.	Ea		30
	Fraxinus pennsylvanica (Green Ash)	Ea.	10	
	Acer rubrum (Red Maple)	Ea.	10	
	Salix nigra (Black Willow)	Ea.	10	
621.255	Lg Deciduous Trees (2400 mm - 3000 mm) 8 – 10’ Group A, Cont.	Ea		22
	Quercus rubra (Red Oak)		10	
	Acer saccharum (Sugar Maple)		3	
	Acer rubrum (Red Maple)		9	
621.261	Lg Deciduous Trees (3000 mm - 3600 mm) 10 – 12’ Group A, B&B or Cont.	Ea		12
	Acer rubrum (Red Maple)		12	
621.273	Lg Deciduous Trees (50 mm - 65 mm cal) 2 – 2 1/2” cal. Group A, B&B	Ea		9
	Acer rubrum (Red Maple)		6	
	Quercus palustris (Pin Oak)		3	
621.395	Dwarf Evergreens (450 mm–600 mm) 18-24” Group A, Cont.			25
	Juniperus v. ‘Grey Owl’ (Grey Owl Junper)		25	
621.51	Deciduous Shrubs (375 mm–450 mm) 15 – 18” Group A, Cont.			24
	Spirea latifolia (Meadowsweet)		12	
	Myrica Gale (Sweet Gale)		12	

621.511	Deciduous Shrubs (450 mm–600 mm) 18 – 24” Group A, Cont.			126
	Ilex verticillata (Winterberry)		6	
	Aronia melanocarpa (Black Chokeberry)		20	
	Cornus amomum (Silky Dogwood)		10	
	Cornus racemosa (Gray Dogwood)		10	
	Cornus sericea (Red Stem Dogwood)		10	
	Viburnum dentatum (Arrowwood)		20	
	Viburnum lentago (Nannyberry)		10	
	Salix petiolaris (Slender Willow)		20	
	Alnus incana ssp. rugosa (Speckled Alder)		20	
621.546	Deciduous Shrubs (600 mm–900 mm) 2 – 3’ Group A, Cont.			100
	Amelanchier canadensis (Shadblow)		10	
	Aronia arbutifolia (Red Chokeberry)		5	
	Aronia melanocarpa (Black Chokeberry)		15	
	Cornus sericea (Red Stem Dogwood)		10	
	Viburnum dentatum (Arrowwood)		15	
	Viburnum lentago (Nannyberry)		10	
	Sambucas canadensis (Elderberry)		5	
	Salix discolor (Pussy Wilow)		10	
	Cephalanthus occidentalis (Button Bush)		5	
	Alnus incana ssp. rugosa (Speckled Alder)		15	
621.552	Deciduous Shrubs (900 mm–1200 mm) 3 – 4’ Group A, Cont.			27
	Cornus amomum (Silky Dogwood)		6	
	Viburnum dentatum (Arrowwood)		6	
	Viburnum lentago (Nannyberry)		6	
	Salix discolor (Pussy Wilow)		6	
	Hydrangea paniculata (Pee Gee Hydrangea)		3	
621.558	Deciduous Shrubs (1200 mm–1500 mm) 4 – 5’ Group A, B&B or Cont.			3
	Syringa vulgaris (Common Purple Lilac)		3	
621.710	Herbaceous Perennials Group A			500
	Hemerocallis 'Hyperion' (Hyperion Daylily) Bare Root	Ea	500	
621.80	Establishment Period	LS	1	1

Central Roundabout
Pines, Maples, Amelanchier, Colorado spruce 3
30 trees

Northern Roundabout
Colorado spruce, pines , maples
Roadside Plantings
Sightlines old road area
Pine and maples 30.

Southern Roundabout
Colorado spruce, pines , maples
Roadside Plantings
Sightlines old road area
Pine and maples 24.

Sta.1156+50 to Sta. 1157+50
Flaggy Meadow Berm Bridge Area
50-75
Arrowwood Nannyberry Dogwoods Willow

Sta. 401+00
Flaggy Meadow
Residence major tree loss
Lilac Spruce maple pine hydrangea 12

Sta. 403+00
Wetland
Alder arrowwood nanny berry red maples 100

Sta. 408+414
Residences
Red maples pines ornamentals Crabapples 15

Sta. 101+00
Stream relocation Southern Roundabout
24 viburnum dogwood willow

Sta. 1009+50
Culvert/Crossing Shrubs some tall 25

Sta. 1033+00
Culvert
Willows wet alders 20

Sta. 1045+50
Bridge
Dogwood nannyberry willows

Sta. 1047+00
Gully Trib Peter
Mixed shrubs small trees 20

Sta. 1053+00
Culvert 24 mixed

Sta. 1069+00
Culvert
Wet willows 16

Sta. 1094+00
Culvert
Dogwoods 20

Sta. 1083+50
Channel Diversion Bridge
100 mixed shrubs and native small trees

Sta. 1080+50
Culvert Semi wet
Viburnum dogwood 25

Sta. 1650+00
Screen Farmhouse
Red Maple Pines 8-10

SPECIAL PROVISION
SECTION 626
Foundations

626.034 Concrete Foundations:

Pre-cast Foundations shall not be permitted.

Gorham
8185.20
Apr. 27, 2007

SPECIAL PROVISION
Section 639
(RTK Rover)

The Contractor shall provide an RTK Rover System to be used by Maine DOT employees or designees to monitor and verify the horizontal and vertical locations of any components of the construction plans for this project. The RTK Rover System shall be comprised of new (previously unused) equipment; which is designed to determine horizontal and vertical positions based upon the corrections broadcast by the contractors GPS System. This RTK Rovers System shall meet the following minimum requirements:

1) The GPS receiver shall be at least a dual frequency (L1/L2) receiver; capable of receiving all of the current and planned radio positioning signals that are received by the contractor GPS System deployed by the contractor for this project. (such as GPS, Glonass, Galileo,)

2) The RTK Rover System shall have sufficient batteries and chargers to allow for continuous use for a minimum of 16 hours per day.

3) The RTK Rover System shall have a light weight carbon fiber Rod with self contained batteries and have no external batteries necessary for functional operation.

4) The RTK Rover System shall have the same frequency radios if radio corrections are used, or a cell phone modem and cell phone connection plan if cell phones are used as the contractor GPS System.

5) The RTK Rover System shall have a data collector with RTK software which is the same version or newer as used by the contractor GPS System.

6) The RTK Rover System shall have all applicable licenses for the equipment and software and the State of Maine Department of Transportation shall be named as the owner of these licenses and retain ownership of the RTK Rover System upon completion of this project.

7) The RTK Rover System shall include technical support, hardware, software, and firmware support for the duration of this project. Support shall include but not be limited to upgrades in firmware, hardware, and software at no additional charge.

Support shall also include: initial training, technical assistance via telephone or internet at no additional charge.

8) All expenses for full operation, of the RTK Rover System including but not limited to: system hardware, firmware, software, technical support, training, equipment warranty, repairs, and upgrades will be supplied by the contractor.

Basis of Payment RTK Rover System will be paid for as Lump Sum complete and accepted, which shall be full compensation for meeting all of the above requirements of this special provision.

Payment will be made under:

Pay Item		Pay Unit
639.30	RTK Rover System (Retained by the Dept.)	Lump Sum

SPECIAL PROVISION 639
ENGINEERING FACILITIES
(Instrumentation - Geotechnical)

Description. The work shall consist of furnishing, calibrating, and installing instrumentation as shown on the Plans to monitor soil behavior. Instruments for monitoring soil behavior include six groups of nested vibrating wire (VW) piezometers with two to three sensors per location and two vibrating wire (VW) soil settlement cells. Instrumentation which shall be provided and installed by the Contractor is as follows: fourteen (14) VW piezometers with cables, two VW soil settlement cells with cables, and supplier compatible readout unit. The Contractor shall install weather tight instrument cabinets (at each propose abutment) to house the ends of the instrument cables. In addition, the contractor shall furnish and install support for the instrument cabinets, and support for the mounting poles. All work performed under this Special Provision shall be coordinated with personnel from the Department. The Contractor's construction schedule shall take into account the timing of the instrumentation installation as described in this Special Provision. The work also includes protecting the instrumentation described in this Special Provision from damage and repairing or replacing damaged and/or inoperative instruments. All instruments are to be the property of the Department and will not be decommissioned without the authorization of the Department.

MATERIALS

VW Piezometers. The Contractor shall provide six groups of nested VW piezometers with two to three sensors per location (total of fourteen), as shown on Detail No. 1 of this Special Provision. The VW piezometers shall have a range of 50 psi. The VW piezometers and readout unit shall be supplied by Slope Indicator Company (hereafter referred to as Sinco), Geokon, Inc. or Roctest, Inc. The VW piezometers, required cable, and readout unit shall be supplied by the same manufacturer. All of the VW piezometers shall be of the same make and model. The VW piezometers, and cable shall consist of one of the following:

Geokon, Inc.	
VW piezometer	Model: 4500S, Range: 50 psi
Cable, high density polypropylene, PVC jacket	Model: 02-250V6
Connectors	As specified by the manufacturer
Sinco	
VW piezometer	Model: 52611020, Range: 50 psi
Cable, polyurethane jacket	Model: 50613524
Connectors	As specified by the manufacturer
Roctest, Inc.	
VW piezometer	Model: PWS, Range: 50 psi
Cable, flexible polyethylene jacket	Model: IRC-41A
Connectors	As specified by the manufacturer

A factory calibration shall be conducted on each VW piezometer prior to shipment. Certification shall be provided to indicate that the VW piezometers are calibrated and maintained in accordance with the manufacturer's calibration requirements and that the calibrations are traceable to the National Institute of Standards and Technology. Calibration of the VW piezometers shall be performed for increasing and decreasing loading, temperature conditions, and barometric pressure, when applicable, for at least two cycles. The manufacturer shall supply a calibration curve and/or equation for each VW piezometer, which allows for the pore water pressure to be quickly calculated, either by manufacturer-supplied, product specific software or computer based spreadsheet software. A unique identification number shall be marked on each instrument and corresponding calibration curve and/or equation.

The VW piezometers require the following additional materials, which shall be supplied and paid for by the Contractor:

A. Barometer. The barometer shall be accurate to ±0.1 inch of Hg. Barometer readings shall be on site at the same elevation as the reservoir. Reports from weather stations are not adequate and will not be acceptable.

B. Filter Sand. MDOT 703.05, Aggregate for Sand Leveling, with the added provisions that the maximum aggregate size be 0.5 inches with no angular pieces.

C. Bentonite Pellets.

D. Cement Type I or II.

E. Bentonite Powder.

F. Technical Representative. The supplier of the VW piezometers shall supply a Technical Representative with expert knowledge on the VW piezometers used, and who has at least 4 years experience in installation and monitoring of VW piezometers. The Technical Representative shall:

1. prepare detailed step-by-step installation based on the general installation procedure provided in this Special Provision, calibration and maintenance procedures for the VW piezometers. These procedures shall be submitted to the Resident for review five days prior to installation.

2. be present during the installation for at least the first two VW piezometers.

3. conduct the pre-installation and post-installation acceptance tests for at least the first two VW piezometers.

4. confirm the factory calibration before installation of the piezometers.

5. remain on site until the Resident feels that the Contractor is sufficiently skilled to install the piezometers without instruction from the Technical Representative.

6. explain the calibration curves and/or equations for the VW piezometers to the Contractor and Resident, to ensure that the method of determining the pore water pressure is understood.

7. be on site until the completion and acceptance by the Resident of the tasks outlined above and subsequently be available for consultation at all times for the duration of the contract.

VW piezometer cable. The length of piezometer cable shall be sufficient to extend from the installed locations to the instrumentation cabinet (location to be determined by Contractor), with a minimum additional length of 3 feet. Cables shall be clearly marked for positive identification.

Data acquisition system. The data acquisition system shall be designed such that vibrating wire transducers can be read directly. The system shall be designed by the same commercial source as the VW piezometers. The readout unit shall consist of one of the following:

Geokon, Inc.	Model: GK-404
Sinco	Model: 52613500
RocTest, Inc.	Model: MB-6T

The connectors at the ends of the VW piezometer cables shall be compatible with the readout unit. The Contractor shall provide the manufacturer's warranty for the readout unit.

At the Contractor's option, a data acquisition system may be rented from the piezometer supplier. In renting a data acquisition system, the supplier shall provide a Manufacturer's Certificate stating that the data acquisition system has been calibrated within the last thirty days prior to delivery to the project, per the manufacturer's quality control program.

In the event that the data acquisition becomes damaged or inoperable, it shall be replaced within 2 working days at no additional cost to the Department.

Instrumentation cabinets. The contractor shall supply up to six (6) instrumentation cabinets, in conformance with Detail No. 2 of this Special Provision. The Instrumentation Cabinets shall be resistant to the infiltration of water and shall be mounted on a 3-inch O.D. galvanized steel post. The post shall be installed to a depth of 5 feet and shall be restrained from lateral movement. Alternate cabinet mounting methods may be used by the Contractor, as approved by the Resident, provided exposed piezometer cables are protected from damage with conduit.

VW settlement cells. The contractor shall supply and install two VW settlement cells, in conformance with Detail No. 3 of this Special Provision. The VW settlement cells shall be supplied by Sinco, Geokon, Inc. or Roctest, Inc. The VW settlement cells, required cable, and readout unit shall be supplied by the same manufacturer. The VW settlement cells shall be of the same make and model. The VW settlement cells, cable, and readout units shall consist of one of the following:

Geokon, Inc. - VW settlement cell	Model: 4650, Range: 50 psi
Sinco - VW settlement cell	Model: 52612020, Range: 50 psi
Roctest, Inc. - VW settlement cell	Model: SSG, Range: 50 psi

A factory calibration shall be conducted on each VW settlement cell prior to shipment. Certification shall be provided to indicate that the VW settlement cells are calibrated and maintained in accordance with the manufacturer's calibration requirements and that the calibrations are traceable to the National Institute of Standards and Technology. Calibration of the VW settlement cells shall be performed for increasing and decreasing loading, temperature conditions, and barometric pressure, when applicable, for at least two cycles. The manufacturer shall supply a calibration curve and/or equation for each VW settlement cell, which allows for the settlement to be quickly calculated, either by manufacturer-supplied, product specific software or computer based spreadsheet software. A unique identification number shall be marked on each instrument and corresponding calibration curve and/or equation.

The VW settlement cells require the following additional materials, which shall be supplied and paid for by the Contractor:

A. Barometer. The barometer shall be accurate to ±0.1 inch of Hg. Barometer readings shall be on site at eth same elevation as the reservoir. Reports from weather stations are not adequate and will not be acceptable.

B. Filter Sand. MDOT 703.05, Aggregate for Sand Leveling, with the added provisions that the maximum aggregate size be 0.5 inches with no angular pieces.

C. Technical Representative. The supplier of the VW settlement cells shall supply a Technical Representative with expert knowledge on the VW settlement cells used, and who has at least 4 years experience in installation and monitoring of VW settlement cells. The Technical Representative shall:

1. prepare detailed step-by-step installation based on the general installation procedure provided in this Special Provision, calibration and maintenance procedures for the VW settlement cells. These procedures shall be submitted to the Resident for review five days prior to installation.

2. be present during the installation of the VW settlement cells.

3. conduct the pre-installation and post-installation acceptance tests for the VW settlement cells.

4. confirm the factory calibration before installation of the settlement cells.

5. remain on site until the Resident feels that the Contractor is sufficiently skilled to operate the settlement cells without instruction from the Technical Representative.

6. explain the calibration curves and/or equations for the VW settlement cells to the Contractor and Resident, to ensure that the method of determining the settlement is understood.

7. be on site until the completion and acceptance by the Resident of the tasks outlined above and subsequently be available for consultation at all times for the duration of the contract.

VW settlement cell cable. The cables specified for use with the VW Piezometers are applicable for use with the VW Settlement cells. The length of settlement cell cable shall be sufficient to extend from the installed locations to the instrumentation cabinet (location to be determined by Contractor), with a minimum additional length of 3 feet. Cables shall be clearly marked for positive identification.

Data acquisition system. The data acquisition system shall be designed such that vibrating wire transducers can be read directly. The system shall be designed by the same commercial source as the VW settlement cells. The readout unit shall consist of one of the following:

Geokon, Inc.	Model: GK-404
Sinco	Model: 52613500
RocTest, Inc.	Model: MB-6T

The connectors at the ends of the VW settlement cell cables, as discussed in VW settlement cells of this Special Provision, shall be compatible with the readout unit. The Contractor shall provide the manufacturer's warranty for the readout unit.

At the Contractor’s option, a data acquisition system may be rented from the settlement cell supplier. In renting a data acquisition system, the supplier shall provide a Manufacturer’s Certificate stating that the data acquisition system has been calibrated within the last thirty days prior to delivery to the project, per the manufacturer’s quality control program.

In the event that the data acquisition becomes damaged or inoperable, it shall be replaced within 2 working days at no additional cost to the Department.

Instrumentation cabinets. The contractor shall supply two (2) instrumentation cabinets, in conformance with Detail No. 2 of this Special Provision. The Instrumentation Cabinets shall be resistant to the infiltration of water and shall be mounted on a 3-inch O.D. galvanized steel post. The post shall be installed to a depth of 5 feet and shall be restrained from lateral movement. Alternate cabinet mounting methods may be used by the Contractor, as approved by the Resident, provided exposed settlement cell cables are protected from damage with conduit.

SUBMITTALS

Within two weeks after the award of the contract, the Contractor shall submit to the Resident for approval: manufacturer's literature documenting the physical and mechanical properties of the VW piezometers and VW settlement cells (as a minimum those properties required by the

specifications), and a list of similar projects where the VW piezometers and VW settlement cells have been installed. The Contractor shall allow a minimum of four weeks for the Resident to evaluate the material. Two weeks after contract award, the Contractor shall submit written documentation showing that the Technical Representative meets the qualifications of this Special Provision.

At least two weeks prior to the installation of the VW piezometers and VW settlement cells, the Contractor shall submit to the Resident for review details of the sequence and method of installation. Review by the Resident shall not relieve the Contractor of his responsibility to install VW piezometers and VW settlement cells in accordance with this Special Provision.

CONSTRUCTION REQUIREMENTS

VW piezometers. Six groups of two to three nested VW piezometers shall be installed at the centerline of each proposed Abutment. The locations of the piezometer groups (as shown on the Plans) are as follows:

Piezometer No.	Location
P-1	Station 404+50 CL
P-2	Station 406+84 CL
P-3	Station 1045+07 CL
P-4	Station 1046+42 CL
P-5	Station 1082+80 CL
P-6	Station 1084+15 CL

The construction sequence for the VW piezometers shall be performed as detailed in the Construction Notes, included with the Plans. The installation procedure for the VW piezometers shall be as follows or as recommended by the Technical Representatives, and approved by the Resident.

A. The VW piezometers shall be installed after removal of the existing pavement on the exposed subgrade, as stated on the plans.

B. Piezometer locations shall be marked on the working surface with stakes. The stakes marking the piezometer locations shall be clearly marked with some distinguishing feature to differentiate them from PV drain and VW Settlement cell locations, as approved by the Resident.

C. Drill a 4.5-inch diameter borehole using schedule HW, flush joint casing. Casing shall be advanced by driving with a 300-lb hammer falling a distance of 16 inches, sampling as designated by the Resident, to 2 feet below the desired bottom transducer elevation. Sampling shall be with 1-3/8-inch ID split-spoon sampler, driven with a 140-lb hammer falling a distance of 30 inches. Transducer elevations shall be as follows:

P-1: one at Elevation 208 feet; one at Elevation 198 feet, and one at Elevation 183 feet.
P-2: one at Elevation 205 feet; one at Elevation 195 feet, and one at Elevation 180 feet.

P-3: one at Elevation 152 feet; and one at Elevation 142 feet.
P-4: one at Elevation 140 feet; and one at Elevation 127 feet.
P-5: one at Elevation 136 feet; and one at Elevation 130 feet.
P-6: one at Elevation 135 feet; and one at Elevation 127 feet.

Piezometers installation sequence shall be from lowest in elevation to highest.

Drilling for piezometer installation shall be performed by one of the following drilling contractors, or approved equal:

Maine Test Boring Brewer, Maine	Telephone: 207.989.7820
Great Works Test Boring Berwick, Maine	Telephone: 207.384.2546

D. Flush hole with clean water.

E. Place bentonite pellets above the bottom of the hole to 1 foot below the bottom of the deepest transducer location.

F. Install the first transducer within a 2 foot column of filter sand per manufacturer's directions. At least 12 inches of sand shall be above the transducer.

G. Place a layer of bentonite pellets above the filter sand to 1 foot below the elevation of the second transducer.

H. Install the second transducer within a 2 foot column of filter sand per manufacturer's directions. At least 12 inches of sand shall be above the transducer.

I. Place a layer of bentonite pellets above the filter sand to 1 foot below the elevation of the third transducer.

J. Install the third transducer (if necessary) within a 2 foot column of filter sand per manufacturer's direction. At least 12 inches of sand shall be above the transducer.

K. Place a 2 foot layer of bentonite pellets above the filter sand.

L. Grout remainder of borehole with cement/bentonite grout.

M. Temporary casing is withdrawn during installation.

N. Cables are run by the Contractor in trench cut in subgrade, as shown on Detail No. 1 to instrumentation cabinet, as shown on Detail No. 2.

O. An additional 3 feet of cable (minimum) is required for within the instrumentation cabinets, each cable shall be clearly marked with the corresponding VW piezometer’s identification number.

P. The trench is filled with filter sand, as shown on Detail No. 1 of this Special Provision.

Q. The location of each of the VW piezometers shall be determined using conventional survey methods. The elevation, Station and offset are required. The VW piezometers locations shall become part of the record for each instrument.

The Resident is required to read the piezometers at the end of each working day, during embankment construction and for a period of 10 working days after embankment construction. The piezometers shall be read once a week for the remainder of the preload time period of up to 120 days. At the end of each week, the Resident shall send the piezometer readings along with the VW settlement cell readings to the Geotechnical Engineer for review.

VW Piezometer cables which are damaged during construction operations shall be repaired by the Contractor per the Manufacturer's preferred method. No payment will be made for repair of damaged piezometer cable.

Instrumentation cabinet. The Contractor shall determine the location of the instrumentation cabinets. The instrumentation cabinets shall be installed at locations which is accessible to the Resident, and where there is little possibility of the instrumentation cabinet being damaged by construction operations. The instrumentation cabinets shall be installed as shown on Detail No. 2 of this Special Provision. Alternate installation methods may be used by the Contractor, as approved by the Resident, provided exposed VW piezometer cables are protected.

VW settlement cells. The locations of the VW settlement cells S-1 and S-2 are as follows:

Settlement Cell No.	Location
S-l	Station 404+50 CL
S-2	Station 406+84 CL

The Resident reserves the right to change the locations of the settlement cells during construction. This shall be at no additional cost to the contract.

The construction sequence for the VW settlement cells shall be performed as detailed in the Construction Notes, included with the Plans. The installation procedure for the VW settlement cells shall be as follows or as recommended by the Technical Representatives, and approved by the Resident.

A. The VW settlement cells shall be installed after removal of the existing pavement on the exposed subgrade, as stated on the Plans.

B. Settlement cell locations and the connecting trench shall be marked on the working surface with stakes. The stakes marking the settlement cell locations shall be clearly

marked with some distinguishing feature to differentiate them from PV drain and VW piezometer locations, as approved by the Resident.

C. Excavate the trench to the depth and width shown on Detail No. 3 of this Special Provision. The trench should be deep enough so that the cell will be protected from roller compactors.

D. Remove sharp stones and rocks and place a 4 inch layer of sand on the bottom of the trench.

E. Attach the settlement plate to the bottom of the VW settlement cell and place the settlement cell in the trench. The serial number of the plate shall be noted.

F. The location of the VW settlement cells shall be determined using conventional survey methods. The elevation, Station and offset are required. The VW settlement cell locations shall become part of the record for each instrument.

G. Each cell shall be covered with 12 inches of hand compacted sand.

H. Cables are run by the Contractor in the trench, as shown on Detail No. 3, to the instrumentation cabinet, as shown on Detail No. 2.

I. An additional 3 feet of cable (minimum) is required for within the instrumentation cabinets, each cable shall be clearly marked with the corresponding VW settlement cell's identification number.

The Resident is required to read the settlement cells at the end of each working day, during embankment construction and for a period of 10 working days after embankment construction. The settlement cells shall be read once a week for the remainder of the preload time period of 120 days. At the end of each week, the Resident shall send the settlement cell readings along with the VW piezometer readings to the Geotechnical Engineer for review.

VW settlement cell cables which are damaged during construction operations shall be repaired by the Contractor per the Manufacturer's preferred method. No payment will be made for repair of damaged settlement cell cable.

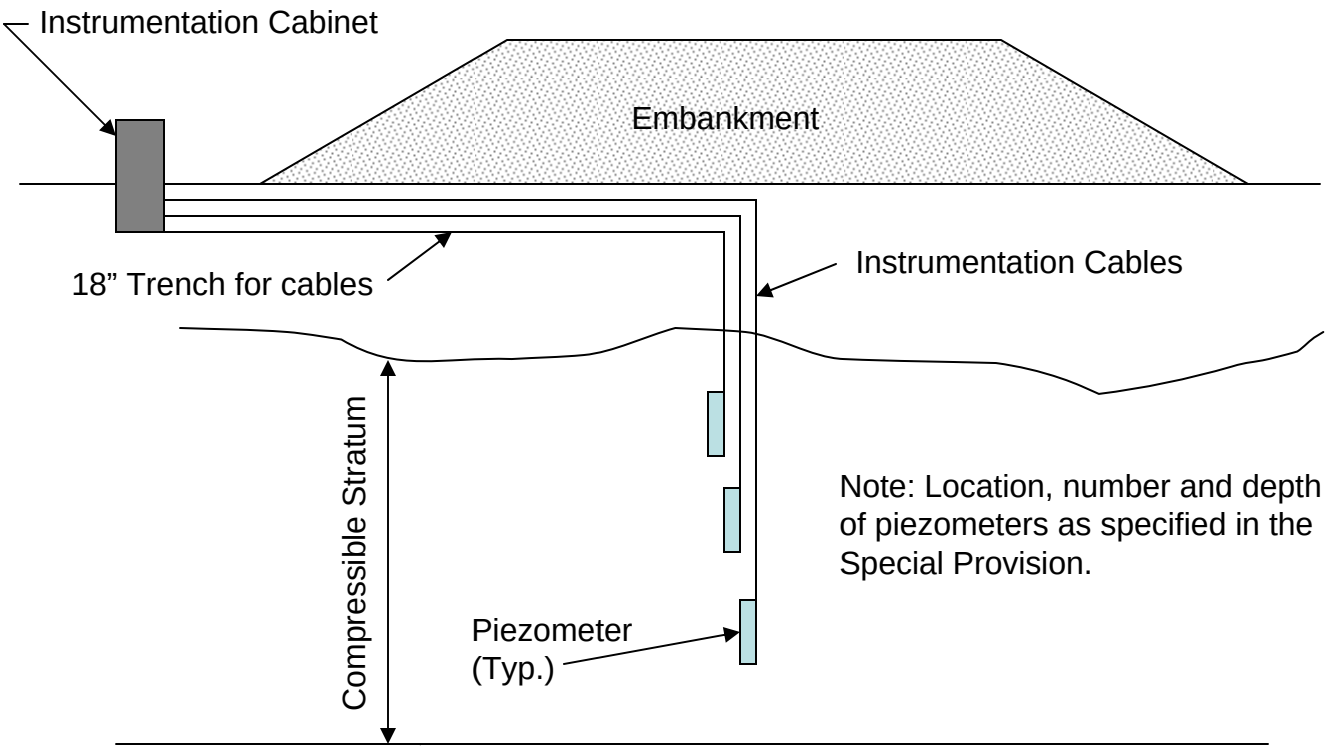
Instrumentation cabinets. The Contractor shall determine the location of the instrumentation cabinets. The VW settlement cell cable may be housed in the same instrumentation cabinet as the VW Piezometer cables. The instrumentation cabinets shall be installed at locations which is accessible to the Resident, and where there is little possibility of the instrumentation cabinet being damaged by construction operations. The instrumentation cabinets shall be installed as shown on Detail No. 2 of this Special Provision. Alternate installation methods may be used by the Contractor, as approved by the Resident, provided exposed VW settlement cell cables are protected.

COMPENSATION

Basis of payment. Instrumentation - Geotechnical will be paid for at the contract lump sum price, which shall be full compensation for all labor, materials, equipment, and incidentals required to install and monitor the instrumentation and associated facilities described in this Special Provision and shown on the Plans. Removal and replacement of instrumentation damaged by the Contractor shall be incidental to the work.

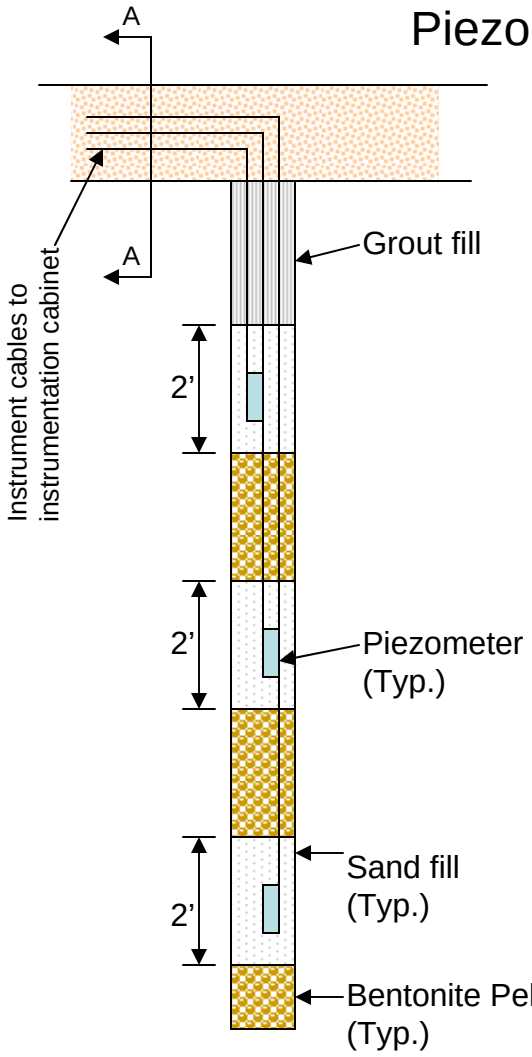
Payment will be made under:

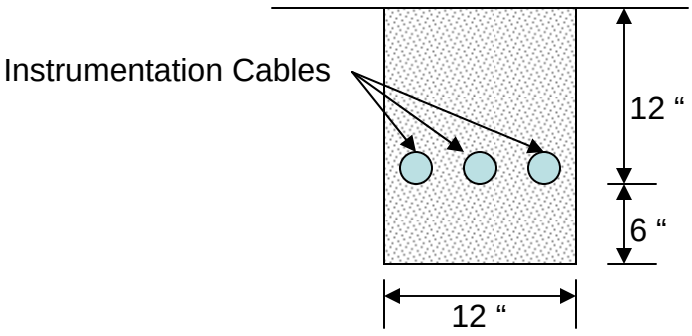
Pay Item	Pay Unit
639.26 Instrumentation - Geotechnical	Lump Sum



Note: Location, number and depth of piezometers as specified in the Special Provision.

Piezometer Profile

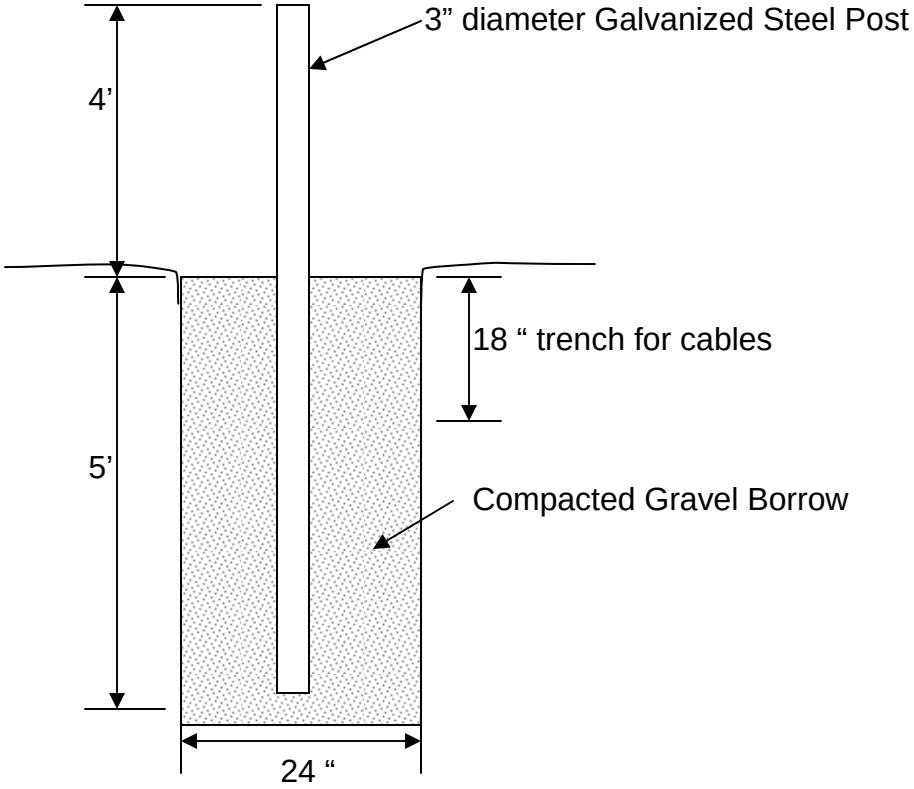




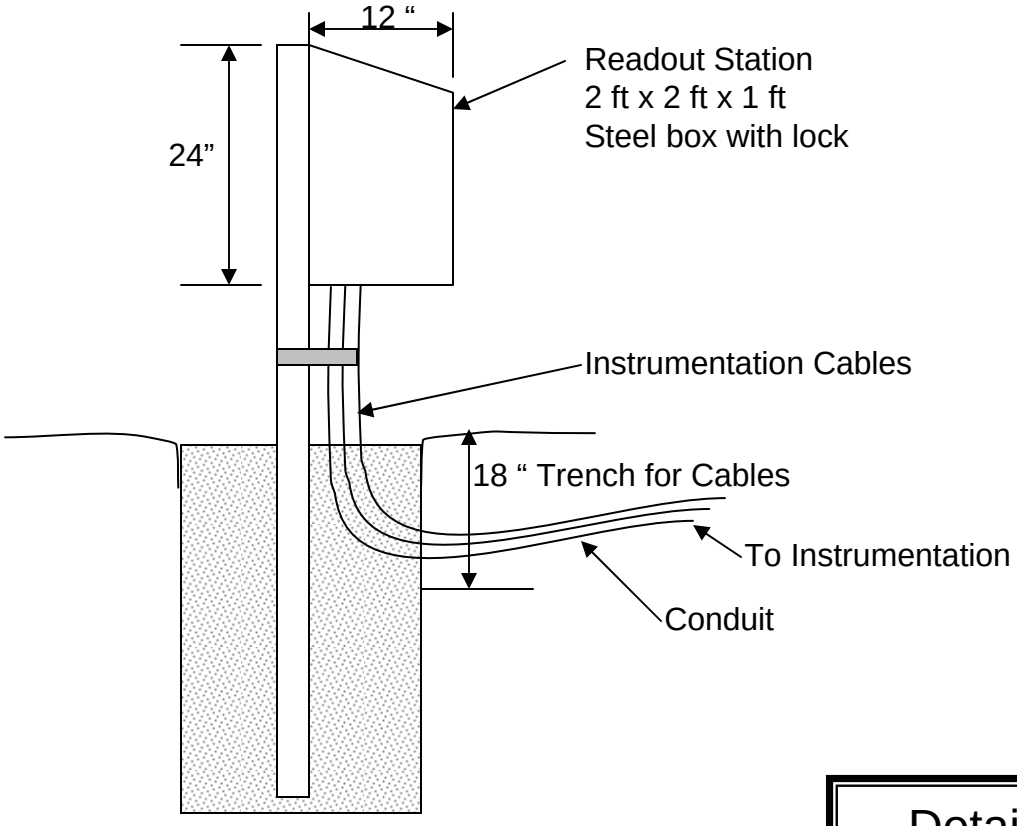
Section A-A

Piezometer Details

Detail No. 1
NOT TO SCALE

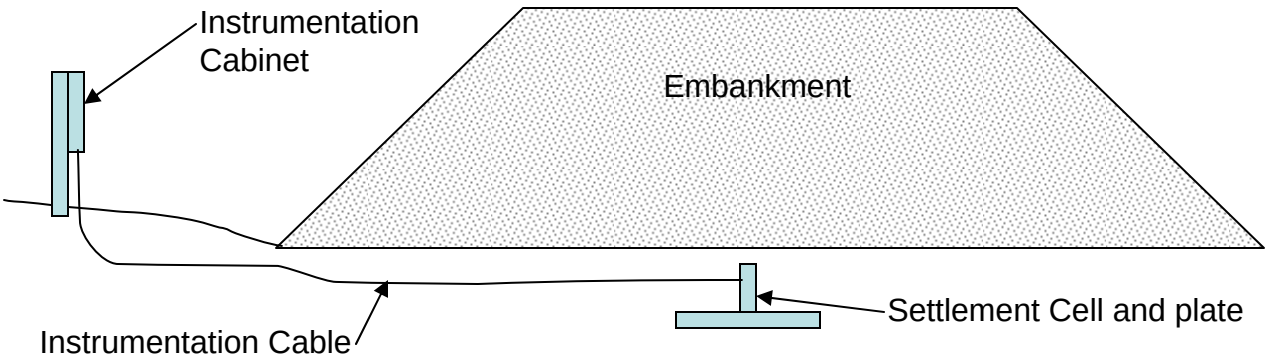


Instrumentation Cabinet Post Foundation Detail

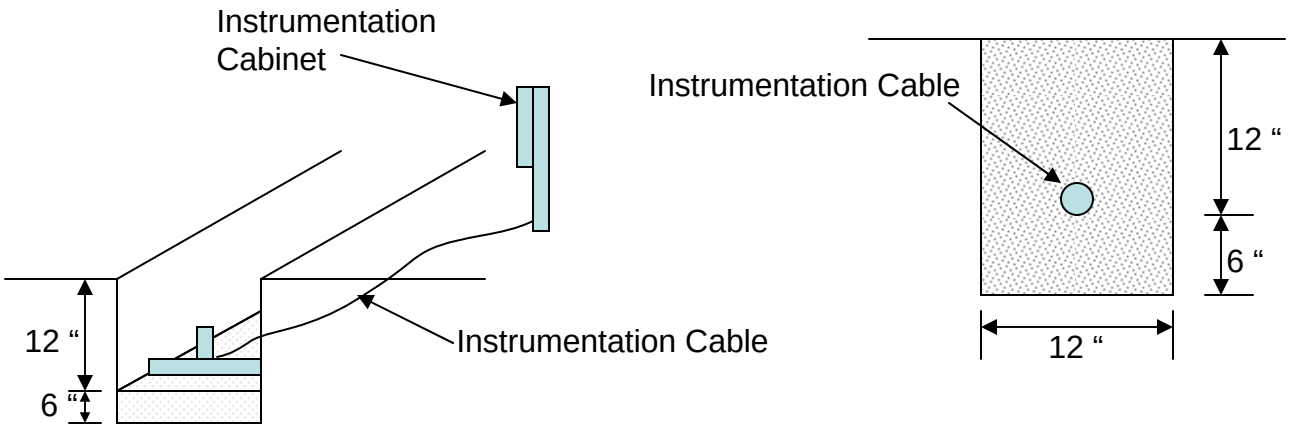


Instrumentation Cabinet

Detail No. 2
NOT TO SCALE



Settlement Cell Profile



Settlement Cell Trench Details

Detail No. 3
NOT TO SCALE

SPECIAL PROVISION
SECTION 639
ENGINEERING FACILITIES
(Geotechnical Instrumentation - Traditional Settlement Platforms)

Description. The work shall consist of: furnishing materials for the settlement platforms; fabrication of settlement platforms in accordance with this Special Provision; installing settlement platforms as shown on the plans and in this Special Provision; and protection and maintenance of the settlement platforms. The system of settlement platforms is designed to enable the Department to observe and determine the magnitude and rate of embankment settlement. All work performed under this Special Provision shall be coordinated with personnel from the Department. The Contractor's construction schedule shall take into account the timing of the instrumentation installation as described in this Special Provision. The work also includes protecting the instrumentation described in this Special Provision from damage and repairing or replacing damaged equipment as necessary to the satisfaction of the Resident at no additional cost to the Department.

Instrumentation shall consist of eight (8) settlement platforms. Survey observations to monitor settlements will be made by the Department and/or the Department's Authorized Representative. The Contractor shall cooperate with the Department and/or the Department's Authorized Representative as necessary for the instrumentation to be successfully surveyed and monitored. The settlement platforms are the property of the Department and shall not be disabled at any time without the authorization of the Department.

MATERIALS

Settlement Platforms and Protective Barriers. The Contractor shall supply and install eight (8) settlement platforms, as shown in Detail No. 1 of this Special Provision. Each settlement platform shall consist of 5 foot long sections of 2-inch diameter black iron pipe, threaded at both ends (one pipe coupling for each length), and attached at the bottom to a 3 foot square by 1 inch thick marine plywood base using a black iron floor flange. The riser pipe will be sleeved with a PVC pipe (Schedule 40) having a minimum inside diameter of 2 inches greater than the outside diameter of the riser couplings. The riser pipe, couplings, and PVC pipe construction is outlined on Detail No. 1 of this Special Provision. Sections of riser pipe, couplings, and PVC pipe shall be added by the Contractor as the fill elevation increases. Riser pipe couplings shall be designed such that they are threaded the entire inside surface area. This is to ensure that sections of riser pipe abut one another. Sections of PVC pipe shall be connected with a manufacturer approved coupling and adhesive. A threaded black iron cap will be provided at the top of each settlement platform riser pipe.

Protective wooden barriers will be fabricated from sound lumber as shown on Detail No. 2. Protective wooden barriers will be placed at each settlement platform location to provide protection to the settlement platforms during construction. Horizontal members and diagonal braces for the protective barrier shall be 1 inch by 4 inch sound lumber. Corner posts for the protective barrier shall be 2 inch by 3inch sound lumber having a length of 4 to 6 feet long. The protective barrier shall be flagged or painted such that they are easily seen by all equipment.

CONSTRUCTION REQUIREMENTS

Settlement Platforms and Protective Barriers. Settlement platforms and protective barriers shall be furnished, fabricated, installed, protected and maintained by the Contractor as shown on the Plans and in the Special Provision. The Contractor shall maintain the wooden barriers provided around each of the settlement platforms as shown in Detail No. 2 to provide protection to the settlement platforms during construction. The Contractor shall repair or replace damaged settlement platforms and wooden barriers as necessary at no cost to the Department.

The locations of the settlement platforms are as follows:

Settlement Platform Number	Station Location	Offset
S-3	1045+07	Centerline
S-4	1046+42	Centerline
S-5	1082+80	Centerline
S-6	1084+15	Centerline
S-7	1167+00	23 feet Left
S-8	1169+00	30 feet Left
S-9	1171+00	36 feet Left
S-10	1173+00	37 feet Left

The Department reserves the right to change the locations of the settlement platforms during construction. This shall be at no additional cost to the contract.

No fill materials shall be placed prior to the placement of the settlement platforms. Initial elevation readings of the settlement platforms are required prior to the placement of any fill materials. The Contractor shall cooperate with the Department and/or the Department's Authorized Representative to obtain these initial readings.

The settlement platforms shall be placed on the original ground surface after removal of any surficial loam. Careful consideration will be taken to ensure that the settlement platforms are placed on a level surface. Prior to fill placement, the Contractor shall ensure that the settlement platforms have full bearing and that the riser pipe is plumb. The embankment fill shall be compacted to a minimum density of 90% of AASHTO T-180, and as per Section 203 of the Standard Specifications. Protective barriers shall be placed around the settlement platforms during all fill placement activities. Hand placement of fill materials and hand compaction is required within 3 feet of the settlement platforms.

Survey Requirements. The Contractor shall establish survey control points, for the monitoring of the settlement platforms. Survey control points shall be located on fixed, sound and stable objects. The Contractor shall protect the survey control points from damage which may cause their movement.

Survey observations to monitor settlements will be made by the Department and/or the Department's Authorized Representative. The Contractor shall notify the Resident when additional sections of riser pipe are added. All survey measurements shall be taken from the top of the riser pipe. All survey data will be taken to the nearest 0.01 foot. The Contractor shall pay special attention to and record the length of riser pipe added during embankment construction; this is required to determine the settlement. Marking the riser pipe at 12 inch intervals is recommended to aid in maintaining a record of length of riser pipe, as shown in Detail No. 1. The length of riser pipe shall be measured to the nearest 1/16 inch. The Department shall determine the elevation of the settlement platforms from the initial survey, this is the zero elevation. The Department and/or the Department's Authorized Representative shall make and record two (2) measurements when adding new sections of riser pipe, one shall be the elevation of the top of the existing riser pipe and one shall be the elevation of the top of the new section of riser pipe after installation.

Survey measurements will be made at the following times:

1) After initial placement of the settlement platforms, prior to any fill placement in the area;

2) Before additional sections of riser pipe are added to raise settlement platforms;

3) Any time that settlement platforms are bumped, damaged, vandalized, or otherwise altered;

4) At the end of each working day, during embankment construction and for a period of 10 working days after embankment construction;

5) Once a week for the remainder of the preload time period of 120 days; and

6) At any other time deemed necessary by the Department.

Copies of all data for each survey, including riser pipe length, will be submitted to the Geotechnical Engineer within one (1) working day of the particular survey. The elevation of each settlement platform will be determined with each survey and subtracted from the zero reading to determine the magnitude of settlement. This survey will be the responsibility of the Department and/or the Department's Authorized Representative. The Contractor shall cooperate with the Department to obtain these measurements and coordinate his schedule accordingly. The Contractor shall in no way interfere or delay survey activities.

Fill Placement and Compaction Near Settlement Platforms. Fill placement and compaction within 3 feet of riser pipes shall be accomplished by hand in an approved manner. Compaction within 3 feet of riser pipes shall be accomplished using approved hand-operated power compactors.

Data will be obtained from the settlement platforms during construction in order to monitor embankment settlements. Therefore, the Contractor shall take all necessary precautions to prevent damage, disturbance or movement of any settlement platform, once installed. The Contractor shall immediately notify the Resident of any settlement platform damage, disturbance or

movement. The Contractor will be required to halt all work in the area of the damaged settlement platform and immediately repair, reset, resurvey, or replace the damaged, disturbed or moved settlement platform as directed by the Resident. In the event that reinstallation, resurveying and/or resetting of a settlement platform is required, it will be done at no additional cost to the Department.

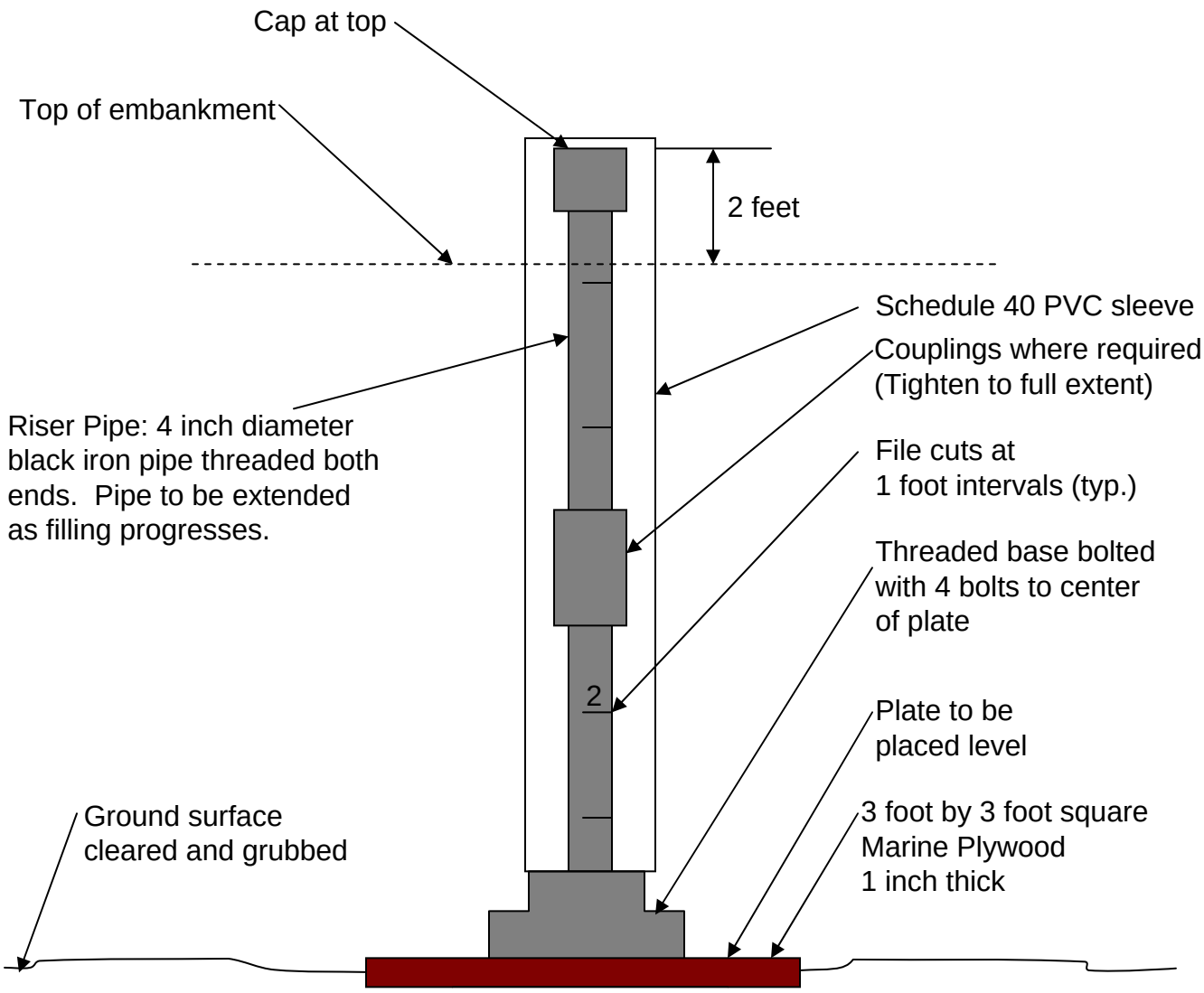
Protection and Maintenance. The Contractor shall take precautions necessary to keep the settlement platform riser pipes vertical at all times during the life of this Contract. All equipment shall be operated in a manner to ensure that the settlement platforms are not damaged in any way or displace laterally. Protective barriers shall be flagged or painted such that they are easily seen by all equipment. The Contractor shall repair or replace all riser pipes deviating from a vertical position or damaged in any way as directed by the Resident at no cost to the Department. The Contractor shall not be held responsible for repair or replacement of any settlement platform assembly made inoperable as a result of instability of the embankment caused by factors which, in the opinion of the Resident, are beyond the control of the Contractor.

COMPENSATION

Basis of payment. Geotechnical Instrumentation - Traditional Settlement Platforms will be paid for at the contract lump sum price, which shall be full compensation for all labor, materials, equipment, and incidentals required to install, protect and maintain the instrumentation described in this Special Provision and shown on the Plans. Removal and replacement of instrumentation damaged by the Contractor shall be incidental to the work.

Payment will be made under:

Pay Item	Pay Unit
639.261 Geotechnical Instrumentation - Traditional Settlement Platforms	Lump Sum



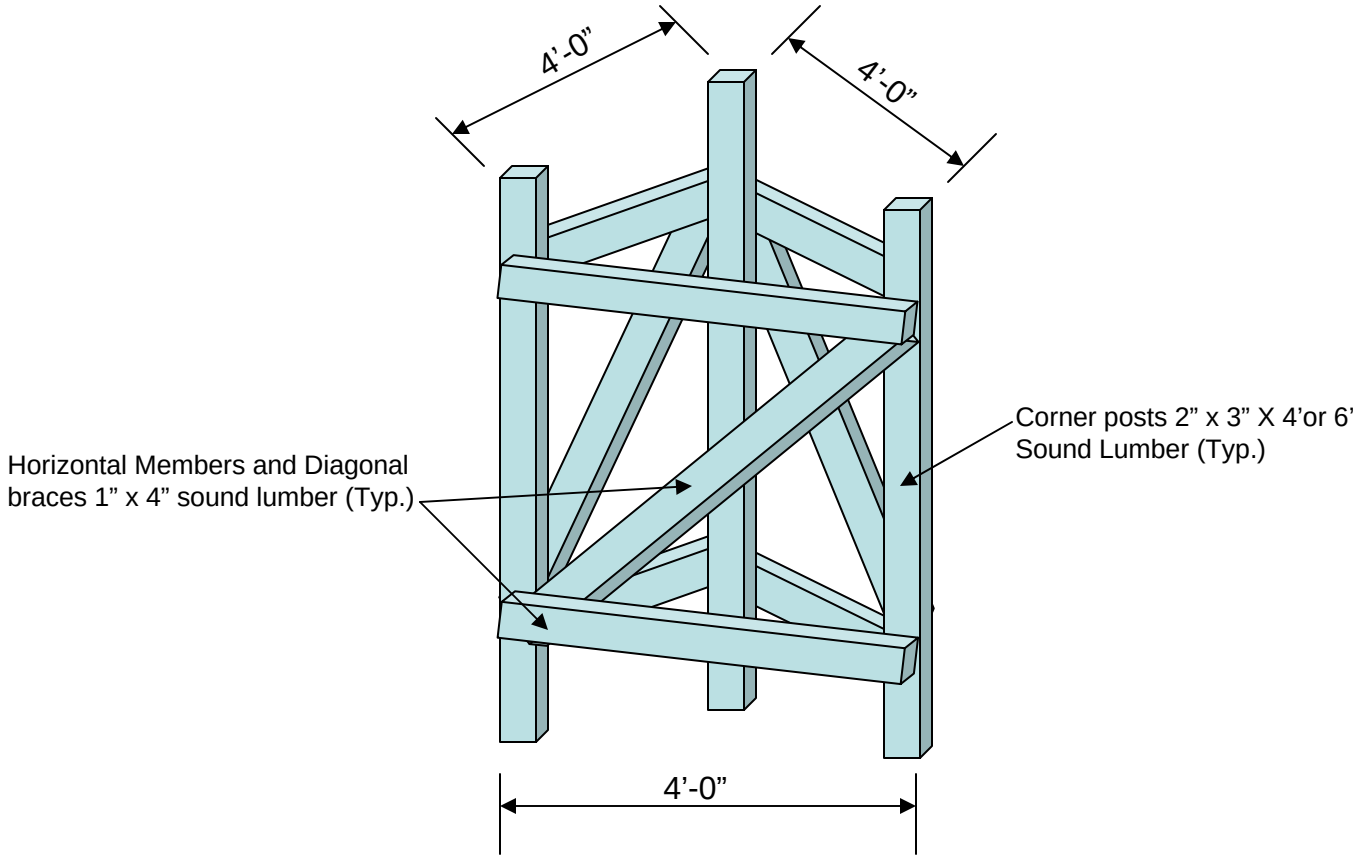
Settlement Platform Detail

Not to scale

Notes:

1. A PVC sleeve shall be placed over the riser pipe and couplings. The PVC Sleeve shall have an inside diameter 2 inches greater (minimum) than the outside diameter of the riser pipe coupling.
2. Pipe lengths shall be added as required to provide a minimum 2 foot projection over embankment at any stage of construction. A minimum 2 foot projection above the top of the completed embankment is required. A maximum pipe length of 5 feet is allowed.

Detail No. 1



Protective Barrier Detail For Settlement Platform

Not to scale

Notes:
1. The protective barriers shall be flagged or painted such that they are easily seen by all equipment.
2. The Contractor shall provide a protective barrier for each settlement platform for the life of the platform.

Detail No. 2

SPECIAL PROVISION
SECTION 639
ENGINEERING FACILITIES
(Field Office Modifications)

The Department has purchased a building for the purpose of a construction field office and intends to furnish and maintain this property at 153 Flaggy Meadow Rd. The Contractor shall be responsible for the installation of at least one paved accessible walkway to the field office from accessible parking areas, one handicapped accessible ramp from the walkway into the building and one portable wheelchair accessible toilet facility. The accessible route shall be approx. 75'x 4'with 2"of surface pavement and comply with the Americans with Disabilities Act Accessibility guidelines and section 639.04 of the Standard Specifications.

Basis of Payment The accepted quantity of Field Office Modifications will be paid for at the contract price Lump Sum, which payment shall be full compensation for furnishing ramp, handrails, excavation and acceptable gravel base for paved walkway, portable wheelchair accessible toilet and all labor and incidentals necessary to complete this work.

Payment will be made under:

Pay item	Pay Unit
639.32 Field Office Modifications	Lump Sum

February 27, 2003
Supersedes December 10, 2002

SPECIAL PROVISION
SECTION 652
MAINTENANCE OF TRAFFIC

Approaches Approach signing shall include the following signs as a minimum. Field conditions may warrant the use of additional signs as determined by the Resident.

Road Work Next x Miles
Road Work 500 Feet
End Road Work

Work Area At each work site, signs and channelizing devices shall be used as directed by the Resident. Signs include:

Road Work xxxx[1]
One Lane Road Ahead
Flagger Sign

Other typical signs include:

Be Prepared to Stop
Low Shoulder
Bump
Pavement Ends

The above lists of Approach signs and Work Area signs are representative of the contract requirements. Other sign legends may be required.

The Contractor shall conduct their operations in such a manner that the roadway will not be restricted to one lane for more than 800 m [2,500 ft] at each work area. Where more than one work area restricts traffic to one lane operation, these work areas shall be separated by at least 1.6 km [1 mile] of two way operation.

Temporary Centerline A temporary centerline shall be placed each day on all new pavement to be used by traffic. The temporary centerline, when specified of reflectorized traffic paint, shall conform to the standard marking patterns used for permanent markings.

Failure to apply a temporary centerline daily will result in suspension of paving until temporary markers are applied to all previously placed pavement.

[1] "Road Work Ahead" to be used in mobile operations and "Road Work xx ft" to be used in stationary operations as directed by the Resident.

Gorham
8151.20
May 10, 2007

SPECIAL PROVISION
SECTION 652
(Night work)

652.4 Flaggers Remove: "For nighttime conditions……as needed to assure visibility."
Replace with: "For nighttime activity, flaggers shall wear safety apparel meeting the requirements of ISEA "American National Standard for High-Visibility Apparel" and labeled as meeting the ANSI 107-2004 standard performance for Class 3 risk exposure. Lighted hand signal equipment shall be used, and the flagger station shall be illuminated."

652.6 Night Work Add: All workers shall wear safety apparel meeting the requirements of ISEA "American National Standard for High Visibility Apparel" and labeled as meeting the ANSI 107-2004 standard performance for Class 2 risk exposure.

Add: Illumination Criteria:

Luminaires shall be of sufficient wattage and quantity to provide an average maintained illuminance equal to or greater than the following:

- Level I: 59 lux (5 footcandles)
- Level II: 108 lux (10 footcandles)
- Level III: 215 lux (20 footcandles)

Measurement of Illumination:
Horizontal illumination, for activities on the ground, shall be measured with the photometer parallel to the road surface. For purposes of roadway lighting, the photometer is placed on the pavement.
Verical illumination, for overhead activities, shall be measured with the photometer perpendicular to the road surface. Measurements shall be taken at the height and location of the overhead activity.

Classification of Illumination Requirements by Task:

Level I:

All work operations by Contractor's personnel in areas of general construction operations, including layout and measurements ahead of the actual work, excavation, cleaning and sweeping, landscaping, planting, and seeding.

Areas where crew movement may take place.

Stockpile areas.

At the area of lane closure, continuously through the lane closure, incuding the setup and removal of the closures.

Level II:

On and around construction equipment.

50 feet ahead of and 100 feet behind paving or milling machine.

Level III:

Pavement or structural crack and pothole filling.
Pavement patching and repairs.

Installation of signal equipment, or other electrical or mechanical equipment.

652.8.2 Other Items Add: At the Contractors option, 42 inch Cones can be used for night work operations in place of barrels.

Gorham
HP-8151(200)
April 10, 2007

SPECIAL PROVISION
SECTION 652
MAINTENANCE OF TRAFFIC
(BRIDGES)

Approaches. Approach signing shall include the following signs shown on the Standard Maintenance of Traffic in Construction Zones sheet for "Project Approach Signing - One Way Traffic".

Road Work Ahead
Road Work 500 Feet with 25 MPH Advisory Speed Plate
Road Work 1000 Feet
End Road Work

Work Area. At each work site, signs and channelizing devices as shown on the Standard Maintenance of Traffic in Construction Zones sheets of the plans shall be used as directed by the Resident.

Signs include:

Work Area Ahead
Work Area with 25 MPH Speed Plate
One Lane Road
Flagger Sign
Bridge Closed to Thru Traffic (R11-4)
Bridge Closed (R11-2)
Detour 1000 Feet (W20-2)
Detour Arrow (M4-10) Left & Right
End Detour

Other typical signs include:

Trucks Entering
Pavement Ends
Directional Arrows
Bump

The above lists of Approach signs and Work Area signs are representative of the contract requirements. Other sign legends may be required.

Flaggy Meadow Road may be closed to traffic during construction for a maximum of six months for the construction of the new bridge being advertised under project HP-8151(500). The Contractor is responsible for all maintenance of traffic duties associated with the road closure.

Detour signing shall be installed prior to road closure and maintained through the closure by the Contractor. When the Bridge is open to traffic, the Contractor shall immediately remove all detour signing. No traffic shall be applied to the bridge until a minimum of waterproofing and one layer of pavement has been placed.

Channelization. Channelization devices shall include the following:

Type I Barricades
Type II Barricades
Type III Barricades
Drums
Cones
Vertical Panel Markers

Channelization devices shall be installed and maintained at all times at the spacing determined by the MUTCD through the work area. Channelization devices consisting of barricades or drums, at a maximum spacing of 15 m [50 feet] shall be used in guardrail areas when neither the existing guardrail nor the new guardrail is in place.

Roadside Recovery Area. The Contractor shall not store material nor park equipment within 1.25 m [4 feet] of the edge of the travel lanes and equipment parked overnight within 2.75 m [9 feet] of the edge of the travel lane shall be clearly marked by channelizing devices or other reflective devices.

SPECIAL PROVISION
SECTION 652
MAINTENANCE OF TRAFFIC
(Traffic Control)

Failure by the contractor to follow the Contracts 652 Special Provisions and Standard Specification and/or The Manual on Uniform Traffic Control Devices (MUTCD) and/or The Contractors own Traffic Control Plan will result in a violation letter and result in a reduction in payment as shown in the schedule below. The Departments Resident or any other representative of The Department reserves the right to suspend the work at any time and request a meeting to discuss violations and remedies. The Department shall not be held responsible for any delay in the work due to any suspension under this item. Any reduction in payment under this Special Provision will be in addition to forfeiting payment of maintenance of traffic control devices for that day.

ORIGINAL CONTRACT AMOUNT

from	Up to and	Amount of Penalty
More Than	**Including**	**Damages per Violation**
$0	$100,000	$250
$100,000	$300,000	$500
$300,000	$500,000	$750
$500,000	$1,000,000	$1,500
$1,000,000	$2,000,000	$2,500
$2,000,000	$4,000,000	$5,000
$4,000,000	and more	$10,000

April 17, 2007
Supersedes May 10, 2006

SPECIAL PROVISION
SECTION 652
MAINTENANCE OF TRAFFIC
Construction Sign Sheeting Material

Super high intensity fluorescent retroreflective sheeting, ASTM D 4956 - Type VII, Type VIII, or Type IX (prismatic), is required for all construction signs.

SPECIAL PROVISION
SECTION 652
MAINTENANCE OF TRAFFIC
(42” Cones)

652.7 Method of Measurement. This Subsection is revised by the addition of the following:

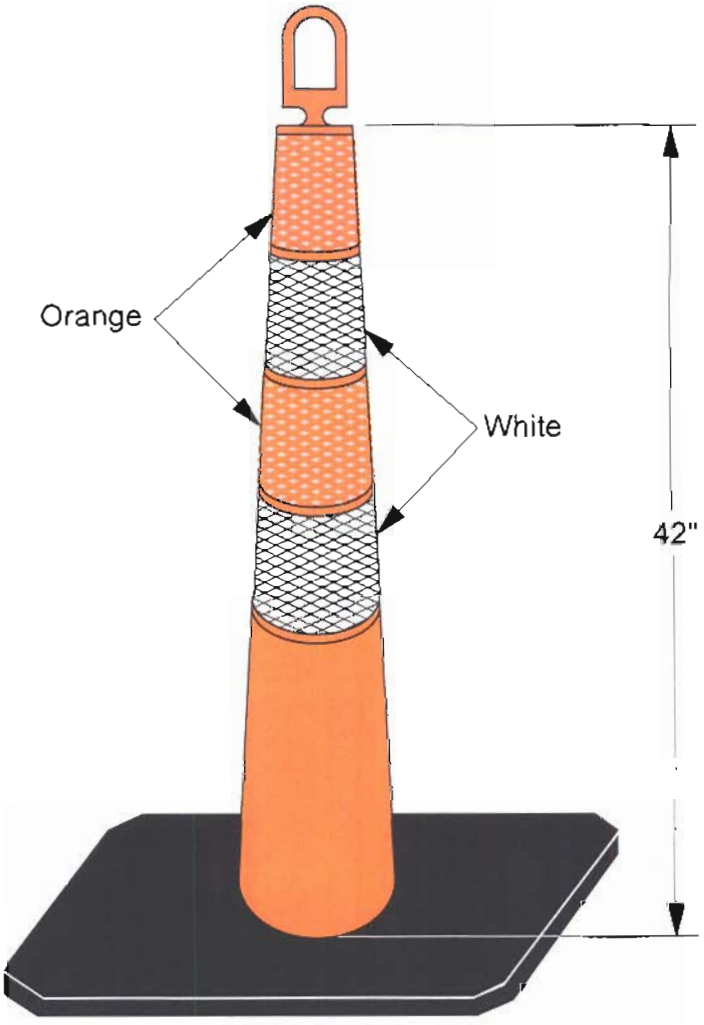
42” Cones will be measured per each unit.

652.8 Basis of Payment. This Subsection is revised by the addition of the following:

Pay Item		Pay Unit
652.342	42” Cones	Each

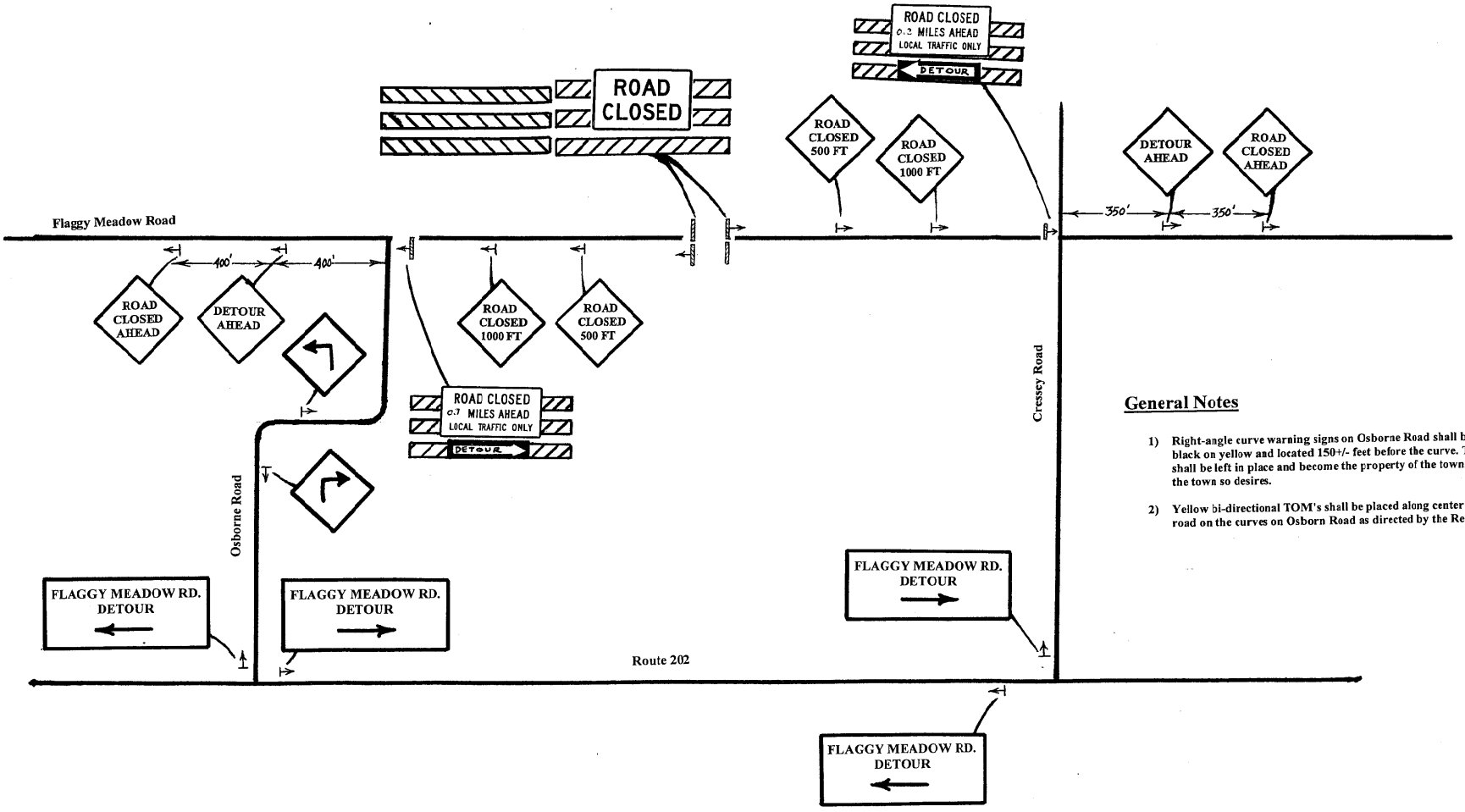
Section 6F.56 Cones

Option: 42-inch traffic cones may be retroreflectorized with 4 reflective bands alternating from the top orange-white-orange-white. The bands shall be a minimum of 4 inches wide and should begin near the top.



42" Cone

Traffic Control Plan – Gorham
Flaggy Meadow Road Detour



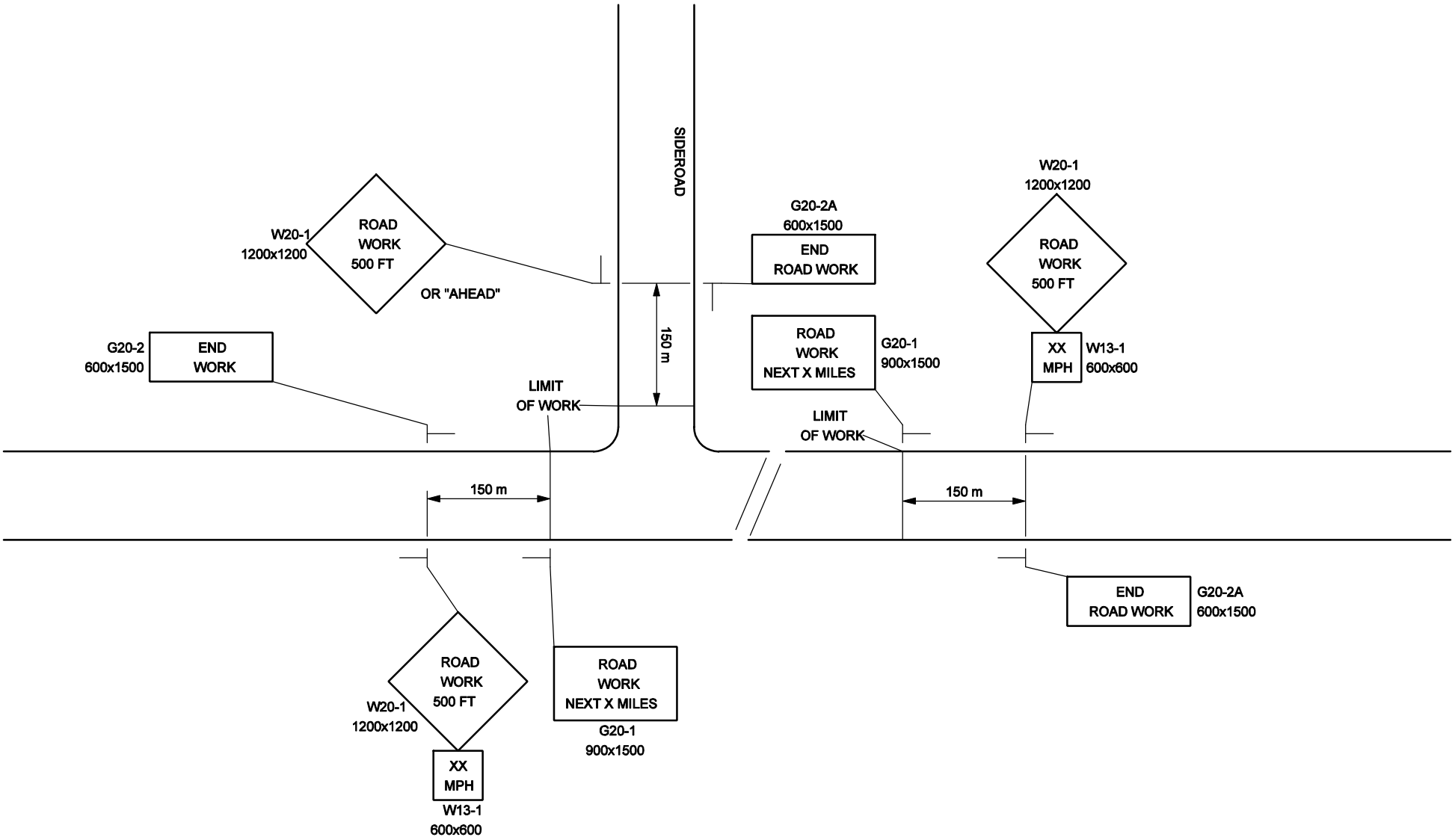
General Notes

1) Right-angle curve warning signs on Osborne Road shall be black on yellow and located 150+/- feet before the curve. They shall be left in place and become the property of the town, if the town so desires.

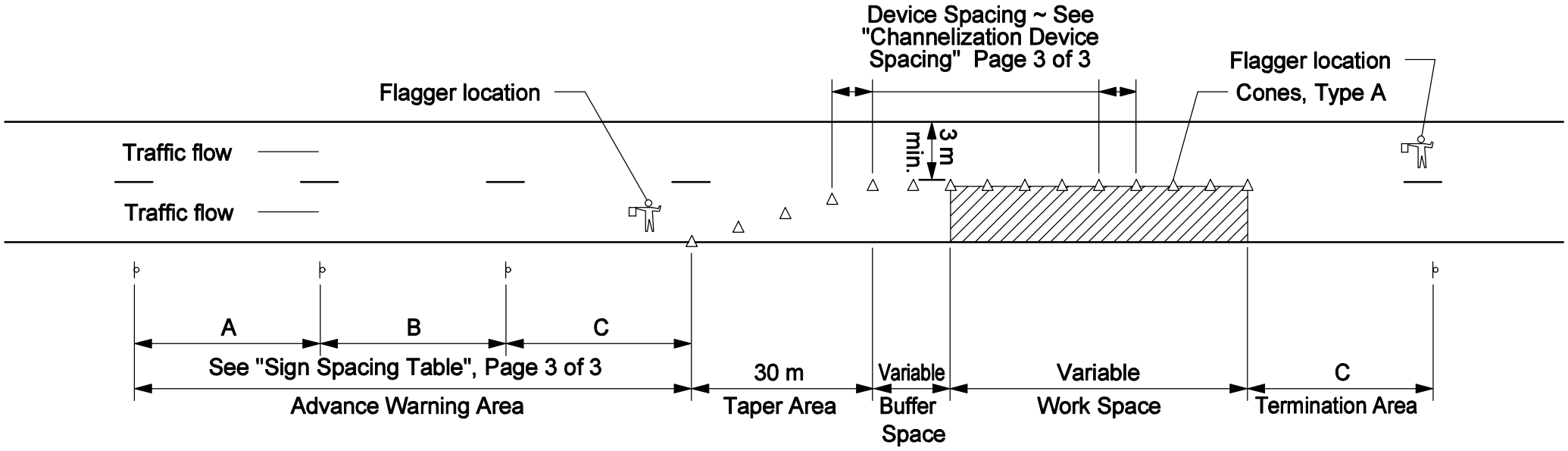
2) Yellow bi-directional TOM's shall be placed along center of road on the curves on Osborn Road as directed by the Resident

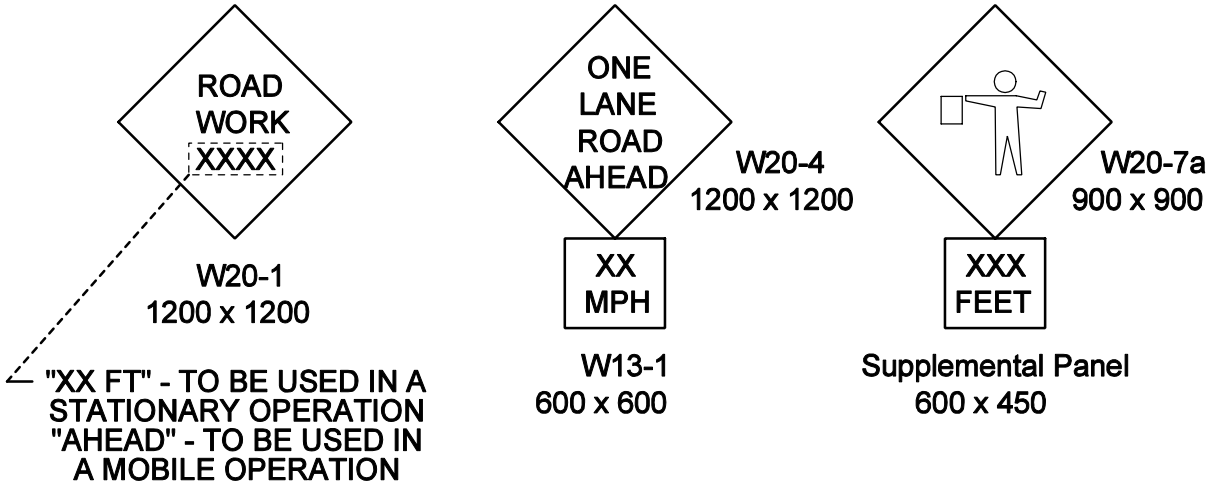
NOT TO SCALE

D.M.H.
1-11-07



TYPICAL
-- PROJECT APPROACH SIGNING --
TWO WAY TRAFFIC





TYPICAL APPLICATION: TWO - WAY, TWO LANE ROADWAY, CLOSING ONE LANE USING FLAGGERS

TYPE OF TAPER	TAPER LENGTH (L)*
Merging Taper	at least L
Shifting Taper	at least 0.5L
Shoulder Taper	at least 0.33L
One-Lane, Two-Way Traffic Taper	100 ft (30 m) maximum
Downstream Taper	100 ft (30 m) per lane

* Formulas for L are as follows:

For speed limits of 40 mph (60 km/h) or less:

$L = \frac{WS^2}{60}$ $\quad (L = \frac{WS^2}{155})$

For speed limits of 45 mph (70 km/h) or greater:

$L = WS$ $\quad (L = \frac{WS}{1.6})$

* Formulas for L are as follows:

A minimum of 5 channelization devices shall be used in the taper.

CHANNELIZATION DEVICE SPACING

The spacing of channelization devices shall not exceed a distance equal to 1.0 times the speed limit in mph when used for taper channelization, and a distance in feet of 2.0 times the speed limit in mph when used for tangent channelization.

SIGN SPACING TABLE			
Road Type	Distance Between Signs**		
	A	B	C
Urban 30 mph (50 km/h) or less	100 (30)	100 (30)	100 (30)
Urban 35 mph (55 km/h) and greater	350 (100)	350 (100)	350 (100)
Rural	500 (150)	500 (150)	500 (150)
Expressway / Urban Parkway	2,640 (800)	1,500 (450)	1000 (300)

**Distances are shown in feet (meters).

GENERAL NOTES;

1. Final placement of signs and devices may be changed to fit field conditions as approved by the Resident.

SUGGESTED BUFFER ZONE LENGTHS

Speed (mph)	Length (feet)	Speed (mph)	Length (feet)
20	115	40	325
25	155	45	360
30	200	50	425
35	250	55	495

SPECIAL PROVISION
SECTION 656
(Silt Fence)

24"Silt Fence shall be installed at locations shown on the plans or as directed. These areas are particularly sensitive and once the silt fence is installed, it shall be left in place.

CONSTRUCTION REQUIREMENTS

24"Silt Fence shall meet the requirements of Section 656 of the Standard Specifications.

Reinforced filter fabric shall be attached and secured by an acceptable method. The fence posts shall be spaced as shown or directed and not exceed 8 feet apart and be driven a minimum 18 inches into the ground.

The geotextile fabric shall be secure to the post or fence in such a manner as to prevent tearing and sagging of the fabric. The bottom of the fabric shall be entrenched into the ground a minimum of 6 inches to prevent water from flowing under the fence. Geotextile splices shall be overlapped a minimum of 6 inches and all splices will be secured and only allowed at the support posts. The top of the geotextile shall be installed with a reinforced top end section.

MAINTENANCE

The contractor shall maintain the silt fence in a functional condition and inspect daily and after each rainfall. Where deficiencies exist, additional silt fence shall be installed as directed. Sediment deposits shall be removed when directed. Geotextile fabric which has decomposed or become ineffective shall be replaced with material of equal design. The Contractor shall maintain the silt fence through completion of the By-Pass construction phase of the contract.

Method of Measurement . 24"Silt Fence will be measured by the linear foot along the gradient of the fence, end post to end post.

Basis of Payment : the accepted quantity of 24" Silt Fence will be paid for at the contract unit price per linear foot complete in place. This shall be full compensation for furnishing, installing, maintaining, and replacing deteriorated or clogged geotextile.

Payment		Unit
656.634	24" Silt Fence	linear foot

Gorham
8151.20
Apr. 27,2007

SPECIAL PROVISION

SECTION 656

Description Stone Check Dam and Level Lip Spreader shall conform to Mdot Standard Specifications, Standard Details, and Best Management Practice for Erosion and Sediment Control, and constructed as shown on the plans.

Materials

Water Quality Filter Stones for this project shall consist of sound durable rock which will not disintegrate by exposure to water or weather. Fieldstone, rough quarried stone, blasted ledge rock or tailings may be used. The rock must be well-graded within the following limits, or as otherwise approved by the department.

Sieve Designation		Percent by Weight Passing Square Mesh Sieves
US Customary	Metric	
12 in	300 mm	100
6 in	150 mm	84 – 100
3 in	75 mm	68 – 83
1 in	25.4 mm	42 – 55
No. 4	4.75 mm	8 - 12

Method of Measurement

Measurement for Stone Check Dam and Level Lip Spreader shall be by the cubic yard in-place and accepted.

Basis of Payment

The accepted quantities of Stone Check Dam and Level Lip Spreader will be paid for at the contract unit price per cubic yard measured in-place and accepted. All labor, equipment, excavation, erosion control measures, seeding, and mulch necessary to satisfactorily complete these structures shall be paid under their respective pay items.

Payments will be made under:

Pay Item		Pay Unit
656.66	Stone Check Dam	cubic yard [cubic meter]
656.71	Level Lip Spreader	cubic yard [cubic meter]

SPECIAL PROVISION
SECTION 656
Temporary Soil Erosion and Water Pollution Control

The following is added to Section 656 regarding Project Specific Information and Requirements. All references to the Maine Department of Transportation Best Management Practices for Erosion and Sediment Control (a.k.a. Best Management Practices manual or BMP Manual) are a reference to the latest revision of said manual. The "Table of Contents" of the latest version is dated "1/19/00" (available at http://www.state.me.us/mdot/mainhtml/bmp/bmpjan2000.pdf.) **Procedures specified shall be according to the BMP Manual unless stated otherwise.**

Any and all references to "bark mulch" or "composted bark mix" shall be a reference to "Erosion Control Mix" in accordance with *Standard Specification, Section 619 - Mulch.*

Project Specific Information and Requirements

The following information and requirements apply specifically to this Project. The temporary soil erosion and water pollution control measures associated with this work shall be addressed in the SEWPCP.

1. This project is in the Little and Stroudwater River watersheds, which are considered **SENSITIVE** in accordance with the BMP Manual. The Contractor's SEWPCP shall comply with Section II.B., Guidelines for Sensitive Waterbodies in the BMP Manual.
2. **A preconstruction field review is mandatory for this project. The preconstruction field review shall take place before commencing any Work that involves soil disturbance or potential impacts on water quality, including clearing. Attendees shall include the Environmental Coordinator, the preparer of the SEWPCP, the Resident, a representative from the Department's ENV Water Resources Unit, and Field Studies Unit. The date and time shall be set by the Contractor in consultation with the Resident.**
3. Land clearing is part of the construction operation (not a forestry operation) and must be addressed in the SEWPCP. It is also required to comply with *SPECIAL PROVISION SECTION 105, General Scope of Work, (Environmental Requirements)* regarding instream work windows at all stream crossings.
4. **EXCLUSION ZONES.** Exclusion zones will be flagged in the field and discussed at the preconstruction meeting and preconstruction field review.
5. Newly disturbed earth shall be mulched by the end of each workday. Mulch shall be maintained on a daily basis.
6. Dust control items other than those under *Standard Specification, Section 637 – Dust Control* , if applicable, shall be included in the plan.
7. Permanent slope stabilization measures shall be applied within one week of the last soil disturbance.

SPECIAL PROVISION
SECTION 656
Temporary Soil Erosion and Water Pollution Control

8. Permanent seeding shall be done in accordance with *Standard Specification, Section 618 - Seeding* unless the Contract states otherwise.
9. Culvert inlet and outlet protection shall be installed within 48 hours of culvert installation, or prior to a storm event, whichever is sooner.
10. All disturbed ditches shall be stabilized by the end of each workday. Stabilization shall be maintained on a daily basis.
11. Erosion control blanket shall be installed in the bottoms of all ditches except where a stone lining is planned. Seed shall be applied prior to the placement of the blanket.
12. If check dams are used, they shall be constructed of stone in accordance with BMP Manual, Section 9. *Hay Bale Temporary Check Dams* **are not allowed**. Delete all reference to them in Section 9.
13. Temporary winter stabilization must be used between November 1 and April 1 or outside of said time period if the ground is frozen or snow covered. Temporary winter stabilization involves, at a minimum, covering all disturbed soils and seeded ground that is not Acceptable Work with an approved method. If temporary winter stabilization practices are used, spring procedures for permanent stabilization shall also be described in the SEWPCP. Use of these methods for over-winter temporary erosion control will be incidental to the contract and be paid for as part of Pay Item 656.75.
14. Stream flow shall be maintained at all times.
15. A cofferdam sedimentation basin is required if cofferdams are used. The basin shall be located in an upland area where the water can settle and seep into the ground or be released slowly to the resource in a manner that will not cause erosion. The location of such a cofferdam sedimentation basin shall be addressed in the SEWPCP.

SPECIAL PROVISION
SECTION 656
Temporary Soil Erosion and Water Pollution Control

CONTRACT DOCUMENTS

FOR

FLAGGY MEADOW RD WATER MAIN RELOCATION

GORHAM, MAINE

MAY 2007

P O R T L A N D W A T E R D I S T R I C T
225 Douglass Street
Portland, Maine 04104

FLAGGY MEADOW RD. WATER MAIN RELOCATION
SPECIFICATIONS - INDEX

SECTION 01001 - DESCRIPTION OF WORK

PART 1: GENERAL

1.1 SCOPE:

A. The CONTRACTOR shall furnish and install 14-inch and 12-inch water main, related piping and appurtenances, as shown on the drawings and specified herein.

B. The CONTRACTOR shall perform leakage and pressure tests and disinfect the installed water main as specified herein.

C. The CONTRACTOR shall install, test and activate the water main prior to placing fill over the existing water main.

1.2 DUTIES OF THE OWNER / PORTLAND WATER DISTRICT:

A. The OWNER / PORTLAND WATER DISTRICT will locate the terminal points of the work and will also locate any of its facilities lying in close proximity which would in any way be a hazard to the CONTRACTOR'S operations.

B. The OWNER / PORTLAND WATER DISTRICT will operate any valves or hydrants which may be found desirable or necessary to be used for any purpose.

C. The OWNER / PORTLAND WATER DISTRICT will obtain location permits and all street opening permits from cities, towns or the Maine Department of Transportation. The OWNER will pay any permit charges, or other fees levied by any of these, which are applicable to the work covered by the Contract.

1.3 DUTIES OF THE CONTRACTOR:

A. The CONTRACTOR will familiarize himself with all obstructions which he can foresee, including but not limited to existing pipes, services, conduits, ducts, sewers, wires, cables, utility poles, signs or any other such obstructions which might interfere with the construction, and he agrees to make arrangements with the owners of such facilities so as to save the OWNER / PORTLAND WATER DISTRICT harmless from any damages thereto caused by his operations and to make whatever arrangements might be necessary to move or remove and replace these facilities so as to permit the construction, all at his own expense. The CONTRACTOR agrees that there will be no extras charged for this type of work, except by special agreement with the OWNER / PORTLAND WATER DISTRICT and upon written order from him.

B. The CONTRACTOR will make any changes which may be required, such as the removing or restoring of the property of others in the land through which this line will cross in right-of-way or otherwise. The CONTRACTOR will place all pipe, fittings and all attendant facilities to proper line and grade, as called for in the plans and specifications and to the satisfaction of the OWNER / PORTLAND WATER DISTRICT.

C. The CONTRACTOR will furnish all fuel, gasoline, oil, etc. for the operation of his equipment, all tools and equipment, and all labor and supervision necessary for the handling of material, for excavation, installation, backfilling and cleaning the site as required. He will dispose of excess spoil and restore the land surface over the entire length of the project. Restoration shall be made to the satisfaction of the OWNER / PORTLAND WATER DISTRICT.

D. The CONTRACTOR will perform the pressure and leakage test and disinfection of the main as described herein in the presence of the OWNER / PORTLAND WATER DISTRICT.

E. The CONTRACTOR shall install the water mains to supply the PORTLAND WATER DISTRICT with a satisfactory, watertight pipeline, laid to proper line and grade in accordance with these contract documents to the satisfaction of the PORTLAND WATER DISTRICT.

F. The CONTRACTOR shall coordinate and work concurrently with other contractors, who may be working in the same area, to assure completion in a timely manner.

G The CONTRACTOR shall furnish and install water main and fittings, test and connect to the existing end of the Portland Water District's water main; as shown on the contract documents.

END OF SECTION

SECTION 01035 - CONTROL OF WORK

PART 1: GENERAL

1.01 EQUIPMENT

A. The CONTRACTOR shall utilize equipment which will be efficient, appropriate and large enough to secure a satisfactory quality of work and a rate of progress which will insure the completion of the work within the time stipulated. If at any time such equipment appears to the OWNER / PORTLAND WATER DISTRICT to be inefficient, inappropriate or insufficient for securing the quality of work required or for producing the rate of progress aforesaid, he may order the CONTRACTOR to increase the efficiency, change the character or increase the equipment, and the CONTRACTOR shall conform to such order. Failure of the OWNER / PORTLAND WATER DISTRICT to give such order shall in no way relieve the CONTRACTOR of his obligations to secure the quality of the work and rate of progress required.

1.02 PIPE LOCATIONS

A. Pipelines shall be located substantially as indicated on the Drawings, but the OWNER / PORTLAND WATER DISTRICT reserves the right to make such modifications in locations as may be found desirable to avoid interference with existing structures or for other reasons. Where fittings are noted on the Drawings, such notation is for the CONTRACTOR's convenience and does not relieve him from laying and jointing different or additional items where required.

1.03 CARE AND PROTECTION OF PROPERTY

A. The CONTRACTOR shall be responsible for the preservation of all public and private property, and shall use every precaution necessary to prevent damage thereto. If any direct or indirect damage is done to public or private property by or on account of any act, omission, neglect, or misconduct in the execution of the work on the part of the CONTRACTOR, such property shall be restored by the CONTRACTOR, at his expense, to a condition similar or equal to that existing before the damage was done, or he shall make good the damage in other manner acceptable to the OWNER.

1.04 PROTECTION AND RELOCATION OF EXISTING STRUCTURES AND UTILITIES

A. The CONTRACTOR shall assume full responsibility for the protection of all buildings, structures, and utilities, public or private, including poles, signs, services to buildings, utilities in the street, gas pipes, water pipes, hydrants, sewers, drains, and electric and telephone cables, whether or not they are shown on the Drawings. The CONTRACTOR shall carefully support and protect all such structures and utilities from injury of any kind. Any damage resulting from the CONTRACTOR's operations shall be repaired by him at his expense.

B. Protection and temporary removal and replacement of existing utilities and structures as described in this Section shall be part of the work under the Contract and all costs in connection therewith shall be considered incidental to the bid prices.

1.05 CONTRACTOR'S UTILITIES

A. CONTRACTOR will be responsible for providing his own power, telephone, water and toilet facilities, as needed, during the performance of the Work.

1.06 COOPERATION WITHIN THIS CONTRACT

A. All firms or persons authorized to perform any work under this Contract shall cooperate with General Contactor and his Subcontractors or trades, and shall assist in incorporating the work of other trades where necessary or required.

1.07 HOURS OF WORK

A. Normal hours for the work of this Contract shall be between 7:00 AM and 6:00 PM Monday through Friday. The CONTRACTOR shall request and receive permission from the OWNER / PORTLAND WATER DISTRICT for any work scheduled outside of these hours.

1.08 CLEANUP

A. During the course of the work, the CONTRACTOR shall keep the site of his operations in as clean and neat a condition as is possible. He shall dispose of all residue resulting from the construction work and, at the conclusion of the work, he shall remove and haul away any surplus excavation, broken pavement, lumber, equipment, temporary structures, and any other refuse remaining from the construction operations, and shall leave the entire site of the work in a neat and orderly condition.

END OF SECTION

SECTION 01151 - MEASUREMENT AND PAYMENT

PART 1: GENERAL

1.1 METHOD OF MEASUREMENT AND BASIS OF PAYMENT:

All measurements for payments will be based on completed work performed in strict accordance with the drawings and specifications, and on the contract bidding and payment item schedules. All work completed under the contract will be measured by the ENGINEER according to the methods outlined below. In cases where the payment clause in the specifications relating to any unit or lump sum price stated in the contract requires that the said unit or lump sum price cover and be considered compensation for certain work or material essential to the item, this same item will not be measured or paid for under any other pay item which may appear elsewhere in the specifications.

PART 2: PAYMENT ITEMS:

2.1 ITEM NO. W-1 and ITEM NO. W-2 – Water Main

A. Method of Measurement: Linear feet as measured along the centerline of the pipe for the actual number of linear feet of pipe installed.

B. Basis of Payment: Payment of the unit price established in the Bid shall be full compensation for clearing, excavating, shoring and bracing, dewatering, pipe, select backfill, laying and jointing, fittings, backfilling, cleanup and associated work as specified and shown on the Drawings.

C. Schedule of Payment: Installation - 75%, Testing - 25%

2.2 ITEM NO. W–3 Tapping Sleeve

A. Method of Measurement: Actual number installed

B. Basis of Payment: Payment of the unit price established in the Bid shall be full compensation for excavation, shoring and bracing, dewatering, tapping sleeve, backfill, testing, cleanup and associated work as specified and shown on Drawings.

C. Schedule of Payment: Installation - 75%; Testing - 25%

2.3 ITEM NO. W-4 – Gate Valves

A. Method of Measurement: Actual number installed

B. Basis of Payment: Payment of the unit price established in the Bid shall be full compensation for excavation, shoring and bracing, dewatering, valve, valve box, backfill, testing and associated work as specified and shown on Drawings.

B. Schedule of Payment: Installation – 75%; Testing – 25%

2.4 ITEM NO. W-5 - Hydrant Assembly

A. Method of Measurement: Actual number installed

B. Basis of Payment: Payment of the unit price established in the Bid shall be full compensation for excavation, shoring and bracing, dewatering, hydrant tee, 6-inch hydrant control valve, valve box, 6-inch ductile iron pipe, hydrant, thrust blocks, backfill, testing, cleanup and associated work as specified and shown on Drawings.

C. Schedule of Payment: Installation - 75%; Testing - 25%

2.5 ITEM NO. W-6 – 1-in Air Release Valve

A. Method of Measurement: Actual number installed

B. Basis of Payment: Payment of the unit price established in the Bid shall be full compensation for excavation, shoring and bracing, dewatering, corporation, valve, fittings, valve boxes, backfill, testing, cleanup, and associated work as specified and shown on the Drawings.

C. Schedule of Payment: Installation - 75%; Testing - 25%

2.6 ITEM NO. W-7 1-1/2 in Water Service

A. Method of Measurement: Linear feet as measured along the centerline of the pipe for the actual number of linear feet of pipe installed.

B. Basis of Payment: Payment of the unit price established in the Bid shall be full compensation for clearing, excavating, shoring and bracing, dewatering, corporation, saddle, h.d.p.e. pipe, curb stop, installation,

backfilling, testing, cleanup and associated work as specified and shown on the Drawings.

C. Schedule of Payment: Installation - 75%; Testing – 25%

2.7 ITEM NO, W-8 - Unsuitable Material Excavated Below Grade

A. Method of Measurement: Cubic yard as measured in place prior to removal for the actual number of cubic yards excavated within the limits shown on the Drawings and directed by the ENGINEER.

B. Basis of Payment: Payment of the unit price established in the Bid shall be full compensation for removing unsuitable material excavated below trench grade and replacing with crushed stone bedding material as directed by the OWNER / PORTLAND WATER DISTRICT.

D. Schedule of Payment: Installation – 100%

2.13 ITEM NO. W-9 TEST PITS

A. Method of Measurement: Cubic yards as measured in place for the volume of excavations made to ascertain or verify actual underground conditions.

B. Basis of Payment: Payment of the unit price established in the Bid shall be full compensation for excavating, shoring and bracing, dewatering, backfilling, cleanup and associated work as specified, as shown on the drawings or as directed by the Engineer.

C. .Schedule of Payment: Backfill – 100%

END OF SECTION

SECTION 01310 - SUBMITTALS

PART 1 - GENERAL

1.01 SUBMITTALS FOR PORTLAND WATER DISTRICT APPROVAL

A. For all products to be incorporated into the Work submit to the OWNER / PORTLAND WATER DISTRICT for approval sufficient information in the form of shop drawings, product data and/or samples such that the OWNER / PORTLAND WATER DISTRICT can determine that the product is in compliance with the technical specifications and drawings.

B. Submit four (4) copies of each submittal. Two (2) copies will be returned to the CONTRACTOR. Each copy shall include a cover sheet that clearly identifies the product and corresponding specification section. Each cover sheet shall bear the CONTRACTOR'S stamp and signature certifying that the submittal is in full compliance with the Contract Documents or that any deviations from the Contract Documents are clearly identified on a separate sheet(s) labeled "Deviations From Contract Documents" and attached to the cover sheet.

C. OWNER / PORTLAND WATER DISTRICT Review: The OWNER / PORTLAND WATER DISTRICT shall review the submittals and indicate their status as:

1. NO EXCEPTION TAKEN.
2. FURNISH AS CORRECTED.
3. REVISE AND RESUBMIT.
4. REJECTED.

OWNER / PORTLAND WATER DISTRICT review is only for general conformance with the design concept and general conformance with the information given in the Contract Documents. Corrections or comments made during the review do not relieve the CONTRACTOR from compliance with the requirements of the Contract Documents.

D. Re-submittals: Make re-submittals under procedures specified for submittals; identify changes made since previous submittal.

E. CONTRACTOR shall be responsible for the delays and or additional expenses that result from the CONTRACTOR'S failure to submit a complete submittal and/or to identify portions of the submittal that does not conform to the specifications.

END OF SECTION

SECTION 01710 - CLEANUP

PART 1: GENERAL

1.1 SCOPE:

A. The work covered by this section is to provide all cleanup over the entire project necessitated by the installation of the water main and appurtenances.

PART 2: PRODUCTS

2.1 METHOD:

A. The CONTRACTOR may choose any method he wishes for cleanup and shall assume all responsibility for the adequacy of the method and equipment employed.

PART 3: EXECUTION

3.1 CLEANING UP:

A. During the progress of the work, the construction areas shall be kept clean and all rubbish, surplus materials, and unneeded construction equipment shall be removed and all damages repaired so that the public and property owners will be inconvenienced as little as possible.

B. CONTRACTOR shall fill in all depressions and water pockets on public and private property caused by his operations; clean all drains, ditches and culverts which have been obstructed by his work; and, shall leave the site in a neat condition wherever his operations have disturbed existing conditions.

C. CONTRACTOR shall make restitution for any damage to trees, shrubbery or other structures or facilities owned by adjacent property owners.

D. Cleanup shall follow directly behind the progress of the project.

E. All excess material, rubbish or other type of mess, shall be cleaned up and the site shall be left to the satisfaction of the right-of-way grantor, or any abutters, as well as to the satisfaction of any municipal or state authority which may be involved if in public right-of-way.

F. Any damage to or displacement of street or highway surfaces due to blasting or otherwise shall be either replaced satisfactorily by the CONTRACTOR or shall be paid for by him to the authority responsible for the street or highway.

END OF SECTION

SECTION 01721 - PROJECT RECORD DOCUMENTS

PART 1: GENERAL

1.1 SCOPE:

A. The CONTRACTOR shall keep records of changes to the drawings and specifications as outlined below.

PART 2: PRODUCTS

2.1 SECURE AND RECORD DOCUMENTS:

A. Throughout the progress of construction, the CONTRACTOR shall keep a set of current, detailed field record drawings indicating deviations from the contract drawings, shop drawings, and/or installation drawings, and exact location of concealed work, including underground utilities. This requirement does not authorize any deviations without acceptance of the ENGINEER.

B. The field record information shall be marked in a legible manner on prints of accepted shop drawings and/or installation drawings furnished by the CONTRACTOR or, where such drawings do not apply, on two sets of prints of the contract drawings furnished by the OWNER / PORTLAND WATER DISTIRCT. The field information to be so marked shall include:

(1) Deviations of any nature made during construction

(2) Location of underground mechanical and electrical services, utilities, and appurtenances, referenced to permanent surface improvements and/or marker posts.

C. Upon completion of the work, the field record information marked on prints of accepted shop drawings and/or installation drawings together with the marked prints of the contract drawings shall be delivered by the CONTRACTOR to the OWNER / PORTLAND WATER DISTRICT.

PART 3: EXECUTION

3.1 METHODS:

A. Keep project record documents current. Do not permanently conceal any work until the required information has been recorded.

B. Use marking pens for showing changes

3.2 SUBMITTALS:

A. Submit project record documents prior to request for final payment.

END OF SECTION

SECTION 02217 - EXCAVATION AND BACKFILLING FOR WATER MAINS

PART 1: GENERAL

1.1 SCOPE:

A. This section includes all excavation for water mains, hydrants and appurtenances, including drainage, sheeting and bracing, backfilling, disposal of surplus material, and miscellaneous grading. All work shall be done as indicated on the drawings and as herein specified.

B. Excavation for water mains shall be the width and depth as indicated on the standard details. Excavation for hydrants and appurtenances shall provide suitable room for their installation.

C. The CONTRACTOR shall furnish and place all sheeting, bracing and supports, and necessary dewatering, and shall carry out the excavation in such a manner as to eliminate all possibilities of undermining or disturbing existing pipelines, utilities, roadways, shoulders and/or structures.

1.2 RELATED WORK SPECIFIED ELSEWHERE:

Bedding and Backfill Material - Section 02219

PART 2: PRODUCTS

2.1 EQUIPMENT:

Equipment shall be at CONTRACTOR'S option.

PART 3: EXECUTION

3.1 EXCAVATION:

A. When any pavement, regardless of type, must be cut, it shall be done in a neat and symmetrical manner by use of a saw, chisel, or other suitable method. In no case shall pavement be torn up with a backhoe bucket except between and inside of cuts previously made as above. Should any further pavement be broken, outside of the cuts, as by blasting, such damaged pavement shall be cut out in a neat and orderly fashion.

B. The CONTRACTOR shall perform all excavation of every description and of whatever substances encountered to the depths shown on the drawings or directed by the ENGINEER.

C. No extras will be allowed for quicksand excavation, muck excavation, or any other type unless specifically provided for in the bidding schedule.

D. Surplus excavated material may be used at other parts of the construction project as required for fill, etc. Excess material shall be disposed of by the CONTRACTOR.

E. The sidewalls of all trench excavation shall be kept as nearly vertical as possible in all roadways, lawns, near homes, etc. by sheeting, bracing, or other means. The width of the trench at a point six (6) inches above the top of the water pipe shall not be greater than the width detailed. If the type of excavated material will not allow the width detailed, then the trench shall be properly sheeted and braced. The cost of sheeting, bracing, or other means is included in the cost of the pipelines and no extras will be allowed.

F. The excavation shall be made to secure a flat bottom trench (undisturbed earth bottom) for the full length of the pipe so as to give a uniform support to the pipe and shall be in accordance with ANSI A21.50 (AWWA C150), Type 2 Laying Condition.

G. The bottom of the trench shall be accurately graded to provide support to the full length of the pipe barrel. Excavate at each bell to prevent bell from bearing on trench bottom.

3.2 EXCAVATION BELOW TRENCH GRADE:

A. By mistake of CONTRACTOR: Where the bottom of the trench shall, by mistake of the Contractor, have been taken out to a greater depth than required, it shall be refilled to the proper grade with bedding material, and all to be placed and compacted as specified. The CONTRACTOR shall receive no additional compensation.

B. By instruction from ENGINEER: If, in the opinion of the ENGINEER, existing material below trench grade is unsuitable for properly laying the pipe, the CONTRACTOR will excavate and remove the unsuitable material and replace the same with bedding material as authorized by the ENGINEER and properly compacted to his satisfaction. The CONTRACTOR will be paid under the item titled "Unsuitable Material Excavated Below Trench Grade."

3.3 EXCAVATION NEAR EXISTING UTILITIES, ETC.

A. It will be necessary to excavate near existing pipes, drains and other utilities in certain locations. Some of these have been indicated on the drawings, but no attempt has been made to show all of the services and the completeness and accuracy of the information given is not guaranteed. The CONTRACTOR shall call "Dig-Safe" at least three business days in advance of any excavation to allow utilities to locate underground facilities.

B. As the excavation approaches pipes, conduits, or other underground structures and utilities, digging by machinery shall be discontinued and the excavation shall be done by hand tools.

C. If the utility is of the opinion that at any point sufficient or proper support has not been provided, they may order additional supports placed at the expense of the CONTRACTOR. Compliance with such order shall not relieve the CONTRACTOR from his responsibility for the sufficiency of such supports. It shall be the responsibility of the CONTRACTOR to prevent damage to or displacement of utilities and to consult with and request the concurrence of the utility company's representative in this matter at all locations. The cost of protecting such utilities shall be considered incidental to the cost of laying the pipe.

3.4 TRENCH SURCHARGES:

A. The excavated material shall be placed adjacent to the excavation in a manner to cause no excessive surcharge on the trench bank nor to obstruct free access to hydrants and valves. Should traffic or other conditions make it impractical or unsafe to stack material adjacent to trench, it shall be hauled and stored at a location provided by the CONTRACTOR and at the expense of the CONTRACTOR. When required, it shall be re-handled and used in backfilling the trench by the CONTRACTOR and at his expense.

3.5 SHEETING AND BRACING:

A. The CONTRACTOR shall be responsible for the design, construction, maintenance and safety of all sheeting and bracing required to support the sides of the excavation and to prevent the movement of earth which could in any way damage or endanger adjacent structures, utilities, roadways, increase the width of the excavation to more than that specified, or delay the work.

B. All sheeting, bracing and shoring is to be included in prices bid for several items of work in bidding schedule and will not be paid for as separate items.

C. No shoring shall be left in place unless so directed by the OWNER / PORTLAND WATER DISTRICT.

3.6 DRAINAGE AND DEWATERING OF EXCAVATIONS:

A. The CONTRACTOR shall conduct his operations so as to prevent at all times the accumulation of water, ice and snow in excavations or in the vicinity of excavated areas so as to prevent water from interfering with the progress or quality of the work. Under no conditions shall water be allowed to rise in un-backfilled trenches after pipe has been placed.

B. Accumulated water, ice and snow shall be promptly removed and disposed of by dewatering. Disposal shall be carried out in a manner which will not create a hazard to public health; nor cause injury to public or private property, work completed or in progress, or public streets; nor cause any interference in the use of streets and roads by the public. Pipes under construction shall not be used for drainage of excavations.

C. During construction, when an unstable condition in the pipe sub-grade has been created due to the CONTRACTOR'S excavation, the sub-grade shall be stabilized by dewatering or other means accepted by the OWNER / PORTLAND WATER DISTRICT.

3.7 BACKFILLING - GENERAL:

A. In general and unless other material is indicated on the drawings or is specified, material used for backfilling trenches and excavations around structures shall be suitable material which was removed in the course of making the construction excavations or as specified.

B. Frozen materials shall not be placed in the backfill, nor shall material be placed upon frozen material. Previous frozen material shall be removed or shall be otherwise treated as required before new backfill is placed.

C. Backfilling shall be done as soon as practical after the pipe has been laid and jointed.

3.8 SUITABLE BACKFILL MATERIAL

Suitable backfill material shall be the following or a combination of the following:

(1) Excavated material that will compact to the compaction requirements.
(2) Material that does not contain rocks larger than 8" in any dimension.
(3) Dry clay backfill free from lumps.
(4) Wet clay that alone would pump but when mixed with sand and/or gravel will be stable and will compact.

3.9 BACKFILLING PIPE TRENCHES:

A. As soon as practicable after the pipes have been laid and jointed, backfilling shall begin and shall proceed until it is completed or has sufficient backfill to allow pipe testing.

(1) The first layer of suitable backfill material shall be brought half-way up the pipe and compacted to 80% maximum density and then the normal backfilling shall begin and shall be compacted as specified.

(2) All backfill shall be thoroughly compacted by hand tamping as placed, by use of mechanical or vibratory compactors, or by other acceptable methods.

(3) Remainder of the trench shall be backfilled as follows:

a) In paved areas, road shoulders and seeded areas, the entire depth of trenches above the center line of the pipe shall be backfilled in eight (8) inch layers with suitable backfill material and each layer thoroughly and carefully compacted as specified. Bring backfill up to bottom of gravel base and/or loam.

b) In other areas, the trench above the center- line of the pipe shall have suitable backfill material placed and compacted in eighteen (18) inch maximum layers as specified.

B. The nature of the excavated materials will govern both their acceptability for backfill and the method best suited for their placement and compaction in the backfill.

(1) Both the materials and the methods shall be subject to the acceptance of the OWNER / PORTLAND WATER DISTRICT.
(2) No stones or rock larger than 8" in the greatest dimension shall be placed in the backfill.

C. Backfilling in public right-of-way, along the streets or highways in or along shoulder, berm or backslope shall be done in accordance with the specifications and requirements of the state or municipality, whichever is responsible for the street or highway involved. Responsibility for the fulfillment of permit conditions or any other applicable requirements of the street or highway authority shall be the obligation of the CONTRACTOR. Surface restoration shall be carried out to the satisfaction of the street or highway authority or as shown on the plans.

D. Backfilling shall follow pipe laying as closely as reasonable, so that a minimum of trench shall be open at any time. The regulations of the highway authorities shall be observed as regards the amount of trench to be open at any one time. Over night, and especially over weekends and holidays, the amount of open trench shall be kept at an absolute minimum. Any caved-in trench, especially after heavy rain and flooding, shall be cleaned out and the bottom consolidated before any additional pipe shall be laid.

3.10 TOP OF BACKFILL:

A. In paved and shoulder areas, backfill shall be carried up to pavement or shoulder sub-grade ready to receive the gravel base. In other areas, backfill

shall be brought up to adjacent finished grade minus the depth of any required topsoil and so as to provide a finished surface slightly mounded over the trench. Any trenches improperly backfilled, or where settlement occurs, shall be reopened to the depth required for proper compaction, and shall then be refilled and compacted with the surface restored to required grade and degree of compaction, mounded over, and smoothed off, at no additional expense.

B. In unpaved areas, the gravel topping shall be left in a smooth and even condition, with no large stone on or in the surface. In cases where a paved surface has been broken, a temporary bituminous patch and/or a permanent paving restoration shall be made as required by the appropriate local or state road authority.

3.11 COMPACTION:

A. Compaction densities specified herein shall be the percentage of the maximum density obtainable at optimum moisture content as determined and controlled in accordance with AASHTO Standard T-180, Method A or D depending on the material size. Field density tests shall be made in accordance with AASHTO Standard T-147.

B. Each layer of backfill shall be moistened or dried as required and shall be compacted to the following densities, unless otherwise specified in the project specifications.

(1)	Bedding material	80%
(2)	Suitable backfill material under paved or shoulder areas	90%
(3)	Gravel base:	
	(a) Under paved areas	95%
	(b) In shoulder areas	90%
	(c) As replacement for unsuitable material excavated below grade	90%
(4)	Loam areas	90%
(5)	All other areas	85%

C. Methods and equipment proposed for compaction shall be subject to prior acceptance by the OWNER / PORTLAND WATER DISTRICT. Compaction generally shall be done with vibrating equipment. Displacement of, or injury to, the pipe and structure shall be avoided. Movement of in-place pipe or structures shall be at the CONTRACTOR'S risk. Any pipe or structure damaged thereby shall be replaced or repaired as directed by the OWNER / PORTLAND WATER DISTRICT and at the expense of the CONTRACTOR.

D. Testing:

(1) Field density tests may be conducted by the OWNER / PORTLAND WATER DISTRICT for each foot of depth of backfill at an average interval of 200 feet along the trench.

(2) The CONTRACTOR shall furnish all necessary samples for laboratory tests and shall provide assistance and cooperation during field tests. The CONTRACTOR shall plan his operations to allow adequate time for laboratory tests and to permit taking of field density tests during compaction.

(3) Any costs of retesting required as a result of failure to meet compaction requirements shall be borne by the CONTRACTOR.

3.12 FILL AND GRADING:

A. Excavated material not required for backfilling around pipes or structures may be used for fill in areas which require material for re-grading.

B. The re-grading shall be carried out as directed by the OWNER / PORTLAND WATER DISTRICT so that all surface water will drain towards brooks or drainage pipes.

C. All material shall be of such nature that after it has been placed and properly compacted, it will make a dense and stable fill.

3.13 PROTECTION OF EXISTING STRUCTURES:

. All existing pipes, wires, poles, fences, property line markers and other items, which must be preserved in place without being temporarily or permanently relocated, shall be carefully supported and protected from injury by the CONTRACTOR, at no additional cost to the OWNER / PORTLAND WATER DISTRICT. Should such items be injured, they shall be restored by the CONTRACTOR, without compensation therefor, to at least as good condition as that in which they were found immediately before the work was begun.

3.14 ACCOMMODATION OF TRAFFIC:

A. The CONTRACTOR shall construct and maintain, without extra compensation, such adequate and proper bridges over excavations as may be necessary or as directed for the safe accommodation of pedestrians and vehicles. The CONTRACTOR shall furnish and erect, without cost to the OWNER / PORTLAND WATER DISTRICT, substantial barricades at crossing of trenches, or along the trench, to protect the traveling public.

B. The CONTRACTOR shall not obstruct active fire hydrants.

END OF SECTION

SECTION 02219 - BEDDING AND BACKFILL MATERIAL

PART 1: GENERAL

1.1 SCOPE:

A. The CONTRACTOR shall furnish, place and compact various types of bedding material and trench sand as called for in the specifications or as directed.

B. The types and quality of bedding and backfill material are specified in this section, but its use for pipe bedding, backfill, replacement of unsuitable material excavated below trench grade, and other uses are as specified elsewhere.

1.2 RELATED WORK SPECIFIED ELSEWHERE:

Excavation and Backfilling for Water Mains - Section 02217

PART 2: PRODUCTS

2.1 MATERIALS:

A. Select Backfill:

1. Ductile Iron Pipe: Sand shall be hard, durable particles of granular material with 100% passing the 1/2" sieve and between 0-15% passing the #200 mesh. All percentages are by weight. Sand shall be graded so as to secure the required compaction.

2. H.D.P.E. Pipe: Nominal ¾" crushed stone. 100% shall pass a 1" mesh and 100% shall be retained on a 3/8" mesh.

B. Backfill:

1. Suitable native material that does not contain stone or rock particles with any dimensions greater than 8".

2. Bank Run gravel borrow consisting of uniformly graded granular material having no rocks with a maximum dimension greater than 8" and that portion passing a 3-inch square mesh sieve shall contain no more than 70% passing 1/4 inch mesh sieve and not more than 10% passing a No. 200 mesh sieve.

PART 3: EXECUTION

3.1 METHODS:

The materials will be used in accordance with the requirements of the various sections of the specifications, drawings and standard details.

END OF SECTION

SECTION 02270 - SEDIMENTATION AND EROSION CONTROL

PART 1: GENERAL

1.1 SCOPE

Furnish all labor, material, equipment and incidentals necessary to perform all installation, maintenance, removal and area cleanup related to sediment and erosion control work as shown on the Drawings and as specified herein. The work shall include, but not necessarily be limited to installation of temporary access ways and staging area, silt fences, sediment traps, sediment removal and disposal, device maintenance, removal of temporary devices, temporary mulching, erosion control blanket, and final cleanup

1.2 RELATED WORK

A. Excavation and backfill for water mains is included in Section 02217.

1.3 SUBMITTALS

Within 10 days after award of Contract, submit to the OWNER / PORTLAND WATER DISTRICT for approval technical product literature for all commercial products to be used for sedimentation and erosion control.

1.4 REFERENCE MANUAL.

Except as otherwise specified herein, the material and construction shall be in accordance with the Department of Transportation "Standard Specifications for Highways and Bridges of the State of Maine" and the "Maine Erosion and Sedimentation Control Handbook for Construction, Best Management Practices" (BMP Handbook).

1.5 TEMPORARY EROSION AND SEDIMENTATION CONTROL DEVICES

A. Minimizing the exposed soil areas on the construction site is one of the most important and reliable methods of erosion control. The CONTRACTOR must phase the work so that areas of bare soil will be minimized. Exposed areas must be treated as described below and in the BMP Handbook.

   Temporary erosion and sedimentation control measures will include silt fences, hay bale barriers, temporary seeding, temporary mulching and topsoil stockpiling. These measures are described in more detail below.

B. Silt fence will be placed down slope of all construction areas which drain toward a stream, wetland, lake or improved area.

C. Hay bale barriers will be used as necessary until final restoration is complete. They may also be used as check dams in drainage areas. Hay bales will be staked end to end in an excavated trench four inches deep across the area of runoff.

D. Temporary mulching will be placed on all disturbed areas within seven days or prior to any storm event. Mulch anchoring will be used on areas where the slope is greater than 5% or when placed after September 15. Straw mulch shall be applied at a rate of 90 lbs. per 1000 sq. ft. All mulched areas will be inspected before and after storms. If less than 90% of the surface is covered by mulch, additional mulch shall be applied immediately. Mulching shall be installed and maintained as recommended in the BMP Handbook.

E. Topsoil shall be stockpiled on site with silt fence installed down slope of the piles. These stockpiles shall be mulched in accordance with the temporary mulching requirements.

1.6 PERFORMANCE REQUIREMENTS

A. The CONTRACTOR shall be responsible for the timely installation and maintenance of all sedimentation control devices necessary to prevent the movement of sediment from the construction site to off site areas or into streams and wetland areas via surface runoff or underground drainage systems. Measures, in addition to those shown on the drawings necessary to prevent the movement of sediment off site, control erosion or stabilize disturbed areas, shall be installed, maintained, removed and cleaned up at no additional cost to the OWNER / PORTLAND WATER DISTRICT.

B. Sedimentation and erosion control measures shall conform to the requirements of the BMP Handbook.

C. Where CONTRACTOR'S effort to control erosion has been demonstrated to be ineffective or potentially ineffective in the opinion of the OWNER / PORTLAND WATER DISTRICT, the OWNER / PORTLAND WATER DISTRICT may order that the erosion control plan be amended and that additional erosion control measures be constructed at no additional cost to the OWNER / PORTLAND WATER DISTRICT.

1.7 SEQUENCE OF CONSTRUCTION

A. All hay bale check dams and silt fencing shall be in place below or adjacent to construction areas before actual construction begins. These devices shall remain in place until a healthy grass cover is obtained and the site is stabilized. These temporary structures shall be inspected weekly throughout the construction phase. They shall be repaired or replaced when necessary.

These devices shall be removed when the area they serve is completely stabilized.

B. Permanent re-vegetation or seeding of all disturbed areas shall occur immediately upon completion of work or, if temporary stabilization measures were used, within 30 days from the time the area was last actively worked. Temporary stabilization measures are required within seven days from the time the area was last actively worked or prior to storm events.

PART 2: PRODUCTS

2.1 MATERIALS

A. Silt Fence

1) Steel or wood posts shall be a minimum of 5 feet in length.

2) Silt fence fabric shall be a woven, polypropylene, ultraviolet resistant material such as Mirafi 100X as manufactured by Mirafi, Inc., Charlotte, N.C. or equal.

B. Mulch material for all slopes equal to or greater than 20% shall be an erosion control blanket (ECB). The ECB shall consist of 70% long fiber hay or straw and 30% coconut fiber. The fibrous material shall be held in place by top and bottom netting sewn together. The fibrous material shall be reasonably free from noxious weeds or other undesirable material. The ECB shall be Type SC150 as manufactured by North American Green, or approved equal.

C. For slopes less than 20% and level areas, mulch material shall consist of long fiber hay or straw reasonably free from noxious weeds or other undesirable material. No material shall be used which is so wet, decayed, or compacted as to inhibit even and uniform spreading. No chopped hay, grass clippings or other short fiber material shall be used unless directed. The hay or straw shall be treated with a mulch tackifier.

D. Latex acrylic copolymer such as Soil Sealant with coalescing agent as manufactured by Soil Stabilization Co., Merced, California, or approved equivalent, shall be used as hay or straw mulch tackifier. Asphalt tackifiers are not allowed.

PART 3: EXECUTION

3.1 INSTALLATION

A. Silt Fence Installation

1) Position silt fences as shown on the Drawings and as necessary to prevent off site movement of sediment produced by construction activities as directed by the OWNER / PORTLAND WATER DISTRICT.

2) Dig trench approximately 4 inches wide and 4 inches deep along proposed fence lines.

3) Drive stakes 8 feet on center (maximum) at back edge of trenches. Drive stakes 2 feet (minimum) into ground.

4) Attach filter fabric on stakes to bottom of trench with about 4 inches of fabric laid across bottom of trench. Stretch fabric fairly taut along fence length and secure.

5) Backfill trench with excavated material and tamp.

6) Install pre-fabricated silt fence according to manufacturer's instructions.

3.2 MAINTENANCE AND INSPECTIONS

A. Inspections

1) CONTRACTOR shall make a visual inspection of all sediment control devices weekly and immediately before and after every rainstorm.

2) If such inspection reveals that additional measures are needed to prevent movement of sediment to off site areas or into streams or wetland areas, CONTRACTOR shall promptly install additional devices as needed. Sediment controls in need of maintenance shall be repaired promptly.

B. Device Maintenance

1) Silt Fences

a) Remove accumulated sediment once it builds up to one-half of the height of the fabric.

b) Replace damaged fabric or patch with a two - foot minimum overlap.

c) Make other repairs as necessary to ensure that the fence is filtering all runoff directed to the fence.

3.4 EROSION CONTROL BLANKET

A. Install erosion control blankets in accordance with manufacturer's instructions. Properly prepare, fertilize and seed the area to be covered with permanent

vegetation before the blanket is applied. Apply the blankets in the direction of water flow and staple together in accordance with manufacturer's instructions. Side overlaps shall be 2-inch minimum. The staples shall be made of wire .091-inch in diameter or greater, "U" shaped with legs 10 inches in length and a 1-inch crown. The staples shall be driven vertically into the ground at a rate of one staple per square yard according to manufacturer's staple pattern guide.

B. Bury upper and lower ends of the matting to a depth of 4 inches in a trench. Where the matting must be cut or more than one roll is required, turn down upper end of downstream roll into a slit trench to a depth of 4 inches. Overlap lower end of upstream roll 4 inches past edge of downstream roll and staple.

C. To ensure full contact with soil surface, roll matting with a roller weighing 100 pounds per foot of width perpendicular to flow direction after seeding, placing matting, and stapling. Thoroughly inspect after completion. Correct any areas where matting does not present a smooth surface in full contact with the soil below.

3.5 REMOVAL AND FINAL CLEANUP

Once the site has been fully stabilized against erosion, remove sediment control devices and all accumulated silt. Dispose of silt and waste materials in proper manner. Re-grade all areas disturbed during this process and stabilize.

END OF SECTION

SECTION 02537 - DUCTILE IRON WATER PIPE & FITTINGS

PART 1: GENERAL

1.1 SCOPE:

A. This section includes the furnishing and installing of ductile iron water pipe and ductile iron or cast iron fittings as specified.

1.2 RELATED WORK SPECIFIED ELSEWHERE:

A. Excavation and Backfill for Water Mains - Section 02217

B. Bedding and Backfill Material - Section 02219

1.3 SUBMITTALS:

A. Submit shop drawings for all material in accordance with the provisions of Section 01310.

PART 2: PRODUCTS

2.1 MATERIALS:

A. Ductile iron pipe:

1. All ductile iron pipe shall meet the requirements of AWWA Standard C-151 and be cement lined and bituminous coated to meet AWWA Standard C-104.

2. The pipe shall conform to the following standards:

(a) Ductile Iron Pipe Centrifugally Cast in Metal Molds or Sand-Lined Molds, for Water or Other Liquids: ANSI Specification A21.51 (AWWA C151). Exterior bituminous coating shall be 2 mils dry film thickness, minimum.

(b) Rubber Gasket Joints for Cast Iron Pressure Pipe and Fittings: ANSI Specification A21.11 (AWWA C111).

(c) Cement-Mortar Lining for Cast Iron Pipe and fittings: ANSI Specification A21.4 (AWWA C104), except cement lining to be twice the thickness specified, and bituminous seal coated twice. Seal coat shall be bituminous paint, oil cut (emulsion not acceptable), 2 mils dry film thickness, minimum.

3. Pipe thickness Class 51 for 16-inch diameter pipe; Class 52 for diameters 12-inch and smaller.

4. The design of the push-on joint shall allow 5 degrees deflection in any direction without loss of pressure rating or leakage.

5. Acceptable Manufacturers:
   1. American Cast Iron Pipe
   2. Griffin Pipe
   3. U. S. Pipe
   4. Clow Pipe
   5. McWain Pipe
   6. Atlantic States Pipe

B. Ductile Iron fittings:

1. All ductile iron fittings shall be cement lined, bituminous coated inside and outside and shall be mechanical joint.

2. The fittings shall conform to the following standards:

   (a) Material shall be ASTM A536 grade 70-50-05, in accordance with AWWA C110 for fittings larger than 24-in and C153 for fittings 3-in thru 24"..

   (b) Fittings shall be cement lined in accordance with AWWA C104.

   (c) Interior seal coated in accordance with AWWA C104 with minimum of 4 mils dry film thickness, bituminous paint, oil cut; emulsion not acceptable.

   (d) Exterior bituminous coated, 4 mils minimum dry film thickness.

   (e) Sleeves shall not be cement lined but shall be bituminous coated inside and outside, 4 mils minimum dry film thickness. All sleeves shall be long body type.

   (f) Mechanical joints shall be furnished in accordance with AWWA C111 with accessories: ductile iron glands, gaskets, Cor-Ten T-bolts and nuts.

   (g) Class 350 pressure rating in accordance with AWWA C153 – 3" – 24" diameters.

(h) The “compact design” fittings must provide adequate space for the MJ joint and accessories to be installed without special tools (i.e. Lowell wrench can be used).

C. Mechanical joint sleeves:

(1) Reference specification ANSI A21.1 (AWWA C110)

(2) Body: (center ring) - long pattern, ductile iron meeting or exceeding ASTM A536, minimum paint coating exterior finish of 4 mils dry film thickness.

(3) Glands: (end rings) - ductile iron meeting or exceeding ASTM A536 to fit AB-CD cast and/or ductile iron pipe, minimum paint coating exterior finish of 4 mils DFT.

(4) Gaskets - virgin SBR rubber, compounded for water service, exceeding ASTM D2000.

(5) Bolts - Cor-Ten or equivalent T-head bolts and heavy hex nuts, or 316 stainless steel bolts and nuts.

D. Pipe Joint Restraint:

(1) The joint restraint ring and its wedging components shall be made of ductile iron conforming to ASTM A536-80.

(2) Dimensions of the restrainer must allow use with standard mechanical joint bell conforming to AWWA C111 and AWWA C153.

(3) Restrainer must restrain up to 350 psi of working pressure in 3” to 16” size and 250 psi of working pressure in 18” to 48” size with a 2:1 safety factor.

(4) Torque limiting twist off nuts shall be used to insure proper actuation of the restraining wedges (used on a, b and c below).

(6) Acceptable manufacturers:
a. Sigma Super Lug
b. Ford Uni-Flange Series 1400
c. Ebba Mega Lug
d. Romac Grip Ring
e. Star Grip Series 300
f. Romac Romagrip
g. MJ Field Lok Gasket

E. Bolts and nuts:

General description of properties required:

(1) Stainless steel - Type 304 - contains the addition of Molybdenum to the nickel-chromium steels.

(2) High Strength / Low Alloy Steel: Trade name for cold formed T-head bolts containing alloying elements such as copper, nickel and chrome (Cor-Ten)

Specific chemical composition:

Carbon - .08% maxi.
Manganese - 2.00% max.
Silicone - 1.00% max.
Phosphorus - 0.04% max.
Sulphur - 0.03% max.
Chromium - 16 - 18.00%
Nickel - 10 - 14.00%
Molybdenum - 2 - 3.00%
SAE No. - 30316
ASM No. 5361A, 5524A, 5573, 56488, 5690D

(2) Cor-Ten steel: Trade name for cold formed T-head bolts containing alloying elements such as copper, nickel and chrome.

Specific chemical composition:

Carbon - 0.2% max.
Manganese - 1.25% max.
Sulphur - 0.05% max.
Nickel - 0.25% min.
Copper - 0.20% min.
Combined (Ni, Cu, Cr) - 1.25% min.

F. Polyethylene encasement :

(1) Ductile iron pipe and fittings shall be encased in low-density polyethylene film tubes in accordance with AWWA Standard C105 - latest revision in locations indicated on the drawings.

(2) Polyethylene film shall conform to the following requirements of ASTM D1248-89:

(a) Raw Material -
Type: 1

Class: A (natural color)
Grade: E-1
Flow Rate: 0.4g/10 min. (maximum)
Dielectric Strength: Volume resistivity, 10^{15} ohm-cm, (min.)

(b) Physical properties:
Tensile Strength: 1200 psi (min.)
Elongation: 300%, (min.)
Dielectric Strength: 800V/mil thickness, (min.)

(3) Low-density polyethylene film shall have a nominal thickness of 0.008 in. (8 mil.) with a minus tolerance of 10% of the nominal thickness.

G. Pipe Insulation (for underground applications only)

1. Where shown on the drawings, pipe shall be insulated with an extruded expanded polystyrene foam material fabricated to fit the outside diameter of the pipe.

2. Insulation may be applied in the field in accordance with manufacturer's recommendations.

3. Insulation thickness shall be 2".

4. Insulation shall be "Styrofoam" brand as manufactured by Dow Chemical Co. or equal.

PART 3: EXECUTION

3.1 PIPE LAYING CONDITIONS:

A. The interior of each pipe shall be inspected while being joined to see that the alignment is preserved and to assure that no dirt or debris has entered the pipe after laying and partial backfilling.

B. Pipe fittings and accessories shall be carefully lowered into the trench, piece by piece, by means of derrick, crane, slings and other suitable tools and equipment, in a manner such as to prevent damage to the material or to its protective coating and linings. No chain or slings shall be passed through the inside bore of any pipe or valve or fitting. Under no circumstances shall piping materials be dropped or dumped into the trench.

3.2 LAYING DUCTILE IRON PIPE:

A. As soon as the excavation is completed and the existing trench bottom has been brought to the proper grade, the pipe shall be laid.

B. All pipe, before being lowered into the trench, shall be inspected inside and out. Both ends shall be cleaned and any visible dirt or debris removed from inside the pipe. Care shall be taken to lay the pipe to true lines and grades as shown on the drawings.

C. Coupling holes shall be excavated so that the barrel of the pipe shall bear upon the trench bottom.

D. Blocking under the pipe will not be permitted.

E. Each section shall rest upon the pipe bed for the full length of its barrel.

F. The circular rubber gasket shall be inserted in the gasket seat provided. A thin film of gasket lubricant shall be applied to the inside surface of the gasket. Gasket lubricant shall be a solution of vegetable soap or other solution supplied by the pipe manufacturer.

G. The spigot end of the pipe shall be cleaned and entered into the rubber gasket in the bell, using care to keep the joint from contacting the ground. The joint shall then be completed by forcing the plain end to the seat of the bell. Pipe which is not furnished with a depth mark shall be marked before assembly to assure that the spigot end is inserted to the full depth of the joint.

H. Pipe shall be aligned with the preceding unit and laid so as to form a close joint with the adjoining pipe and bring the inverts continuously to the required line and grade.

I. No length of pipe shall be laid until the previous length has had sufficient material tamped about it to firmly secure it in place so as to prevent any movement or disturbance.

J. Under no circumstances shall pipe be laid in water, and no pipe shall be laid when trench conditions or weather are unsuitable for such work, except by permission of the ENGINEER.

K. The pipe shall be laid with the bell ends facing the direction of the laying, unless otherwise permitted by the ENGINEER.

L. Joints, when made, shall be done in the manner prescribed by the manufacturer of the pipe. In the case of rubber gasket joints, these joints shall be made up in accordance with the American National Standards for the jointing of cast iron pressure pipe and fittings. (ANSI/AWWA C111/A21.11).

M. Thrust blocks shall be used behind tees, bends, or other fittings where shown. Size shall be appropriate for soil conditions and thrust forces acting on the specific fitting.

3.3 TRENCH BOTTOM:

A. Should the trench bottom contain unsuitable material, as indicated in Section 02217, Article 3.2-b, the CONTRACTOR shall over-excavate and replace with bedding material as required and authorized by the ENGINEER. The quantity of unsuitable material will be measured from the bottom outside of the pipe.

B. Should ledge be encountered, it shall be removed to a depth of 6" below the bottom of the pipe, and replaced with bedding material.

3.4 CUTTING PIPE:

A. All ductile iron pipe shall be cut using abrasive wheel cutter, rotary wheel hand cutter (with carbide cutter) or a guillotine pipe saw. All cuts shall be square and even with no ragged rough ends.

B. Field cut pipe lengths shall be beveled and filed to avoid damage to the gasket and facilitate making the joint.

C. When the cut end of pipe is to be used as a joint, the outside of the cut end shall be tapered back about 1/8-inch at an angle of about 30 degrees with the center line of the pipe. This shall be done with a coarse file or a portable grinder.

3.5 TEMPORARY PLUGS:

When pipe laying is not actually in progress, the openings of pipes shall be closed by temporary watertight plugs or other accepted means.

3.6 RETAINER GLANDS:

Install retainer glands on all mechanical joints of fittings, valves and hydrants.

3.7 POLYETHYLENE ENCASEMENT:

A. Tube type polyethylene encasement shall be installed on all ductile iron pipe and fittings in accordance with AWWA Standard C105 - latest revision, Method A. Circumferential wraps of tape or plastic tie straps shall be placed at 2-ft. intervals along the barrel of the pipe.

B. The polyethylene encasement shall prevent contact between the pipe and the surrounding backfill and bedding material but is not intended to be a

completely airtight or watertight enclosure. All lumps of clay, mud, cinders, and so forth, on the pipe surface shall be removed prior to installation of the polyethylene encasement. During installation, care shall be exercised to prevent soil or embankment material from becoming trapped between the pipe and the polyethylene.

C. The polyethylene film shall be fitted to the contour of the pipe to effect a snug, but not tight, encasement with minimum space between the polyethylene and the pipe. Sufficient slack shall be provided in contouring to prevent stretching the polyethylene where it bridges irregular surfaces, such as bell-spigot interfaces, bolted joints, or fittings, and to prevent damage to the polyethylene due to backfilling operations. Overlaps and ends shall be secured with adhesive tape, string, plastic tie straps, or any other material capable of holding the polyethylene encasement in place until backfilling operations are complete.

END OF SECTION

SECTION 02538 - HIGH DENSITY POLYETHYLENE PIPE & APPURTENANCES

PART 1: GENERAL

1.1 SCOPE

A. This section includes the furnishing and installing of High Density Polyethylene (HDPE) pipe.

1.2 SUBMITTALS

A. Submit detailed description of proposed construction methods and equipment.

PART 2: PRODUCTS

2.1 HIGH DENSITY POLYETHYLENE PIPE AND FITTINGS

A. The pipe shall be made from polyethylene resin compound qualified as Type III, Category 5, Class C, Grade P34 in ASTM standard D1248, latest revision. This material shall have a long term Hydrostatic Strength of 1600 psi when tested in accordance with ASTM D2837. Pipe and fittings produced from this material shall have a cell classification of PE 345434C or PE 345534C by ASTM D 3350 (PPI-PE3408). The manufacturer shall comply with NSF Standard 61 and/or Standard 14 and must be certified by the NSF International for potable water.

B. The pipe and fittings shall have a Standard Dimension Ratio (SDR) of 11 and be rated for a working pressure of 160 psi at a temperature of 75 degrees Fahrenheit with a service life of 50 years. All pipe and fittings shall be ductile iron pipe size.

PART 3: EXECUTION

3.1 HIGH DENSITY POLYETHYLENE PIPE JOINTS

A. The HDPE pipe sections shall be joined on the job site using heat fusion methods. Transitions to other pipe materials shall be via heat fused polyethylene stub ends connected to an H.D.P.E. mechanical joint adaptor.

B. All heat fused joints shall be made by qualified personnel of the pipe supplier. The Contractor shall be responsible for scheduling, coordination and all costs associated with the pipe jointing.

C. Joining pipe lengths shall be performed using equipment specifically designed for heat fusion of polyethylene pipe of the sizes specified. The equipment shall have a trimming mechanism to produce a clean, flush surface perpendicular to the pipe wall at all joints and a Teflon coated heating plate to prevent adhesion

of the pipe to the plate. Pipe ends shall be clean and free of polyethylene trimmings, dirt or other deleterious material prior to fusing.

D. The heat fusion process shall be performed in full accordance with the pipe manufacturer's recommendations. Pipe joining equipment shall monitor pressure and heating plate temperature to insure proper jointing.

3.2 PIPE INSTALLATION

A. Proper implements, tools and facilities, satisfactory to the Owner, shall be provided and used by the Contractor for the safe and convenient handling of all materials. Pipe fittings and accessories shall be carefully installed in a manner such as to prevent damage to the material or to its protective coating.

B. Every possible precaution shall be taken to prevent foreign material from entering into the pipe as it is being fabricated and placed in the trench.

C. Suitable mechanical equipment shall be used to pull, lift and push the pipeline into place. Under no circumstances should the pipe be pulled by attaching to the flange. If flange assemblies are installed, these must be elevated to keep them from dragging, both in front and behind.

D. The majority of the pipeline except the connection area shall be backfilled and compacted prior to the final connection to minimize movement away from the connection.

E. Detectable warning tape shall be buried approximately one foot above all HDPE pipe. Tape shall be 6” wide and read “Buried Water Line”. Tape shall be manufactured for below ground applications and contain a core such as aluminum for detection. In addition an 8 gauge bare copper electrical wire shall be fastened to the buried HDPE pipe to facilitate electronic pipe locating. The wire shall be fastened approximately every 10’.

END OF SECTION

SECTION 02591 - GATE VALVES, BUTTERFLY VALVES, HYDRANTS, AND RELATED APPURTENANCES

PART 1: GENERAL

1.1 SCOPE

A. This section includes furnishing and installing gate valves, butterfly valves, air valves, hydrants, valve boxes, and related appurtenances.

1.2 RELATED WORK SPECIFIED ELSEWHERE:

Ductile Iron Water Pipe - Section 02537

PART 2: PRODUCTS

2.1 MATERIALS:

A. Gate valves 12" and under shall meet the following requirements:

(1) All provisions of AWWA C515 standards for resilient-seated gate valves, latest revision.

(2) Be rated for zero leakage at 200 psi water working pressure and have a 400 psi hydrostatic test for structural soundness.

(3) Have two "O" rings situated such that the sealing "O" ring above the stem thrust collar can be replaced with the valve under pressure in the full open position.

(4) Have stem thrust collar of manganese bronze integrally cast to stem and shall have two thrust washers, placed one above and one below the stem thrust collar, made of a synthetic polymer with physical properties suitable for the application.

(5) O-ring packing plate, bonnet and valve body shall be cast iron or ductile iron.

(6) Have a grade D,E manganese bronze, non-rising stem which shall turn right to open.

(7) Stem nuts shall be grade D,E manganese bronze and shall be independent of the wedge.

(8) Ductile iron wedge, less guiding mechanisms, shall be fully encapsulated and permanently bonded with a resilient elastomer. The interior

exposed surface of the wedge shall be epoxy coated or painted with two complete coats of bituminous paint. The wedge shall be constructed such as to allow the flushing of the interior exposed surface during operation.

(9) Each valve shall have a smooth unobstructed waterway which shall not be less than the full nominal diameter of the valve.

(10) The internal and external valve body, including stuffing box and bonnet, and the interior exposed surface of the wedge shall be fusion bonded epoxy coated to a total thickness of at least 8 mils dry film thickness applied by the fusion bonding or electrostatic bonding process. Interior coating shall meet the requirements of AWWA C550.

(11) Two inch square ductile iron operating nut, with a countersunk 316 stainless steel or silicon bronze hold down nut; or the operating nut shall be pinned completely through the stem with a tapered stainless steel pin.

(12) Valve ends shall be mechanical joint per AWWA C110 and furnished with Cor-Ten bolts and nuts, or equivalent.

(13) Seal plate and bonnet bolts shall be 304 or 316 stainless steel.

(14) The following valves have been approved for use by the Portland Water District.

a. USP Metroseal
b. AFC Series 2500
c. Mueller A-2360
d. Clow Series F6100

B. Butterfly valves 16” and larger shall meet the following requirements:

(1) Conform to AWWA Standard C504, short body pattern class 150B, except as herein modified.

(2) Valve bodies shall be cast or ductile iron with mechanical joint ends. The bolt holes at the valve shaft hubs may be drilled and tapped on either or both flanges.

(3) Valve shafts shall be in two parts, inserted from each side of the valve. The disc pins or bolts shall be fastened to prevent loss or loosening in service and shall be sealed as necessary to prevent leakage through the disc. Valve shafts shall be stainless steel. Carbon steel shafts with stainless steel journals are not permitted. Shaft seals shall be the “O” ring type or self adjusted packing.

(4) The valve disc shall be cast of either ductile iron or alloy iron and epoxy coated. The disc periphery shall be accurately machined or faced to form a 360 degree seating surface uninterrupted by shaft holes. The disc and shaft geometry shall be such that the seat rubber is not compressed when the valve is fully open.

(5) The natural rubber, insert type, valve seat shall be mechanically retained in place, independent of cementing or bonding agents. The mating seat material shall be stainless steel. Any bolts used to retain facings or seat rings shall be either countersunk or counterbored and of locking design.

(6) The stub shaft of all valves 16" and larger shall have a two way thrust bearing adequate to hold the disc centered in the valve seat.

(7) The valve operators shall be manual, totally enclosed, grease packed, and of traveling nut and lever design. The gear housing shall be suitable for buried and submerged service; special provisions shall be made to seal the gear housing from water infiltration from the ground or along the valve shaft into the housing. The space between the valve body and the gear box shall be one iron casting designed so as to provide access sufficient to inspect and replace the "O" ring seals. Operating stems shall be fitted with standard AWWA 2" square operating nuts. All valves shall turn to the <u>RIGHT</u> to open.

(8) The number of turns to fully open or shut valves shall be at least as follows:

16" valve - 30 turns
20" valve - 40 turns
24" valve - 40 turns
30" valve - 44 turns
36" valve - 136 turns
42" valve - 215 turns

(9) The internal and external valve body shall be epoxy coated with a minimum of 5 mils dry film thickness. The preferred coating method shall be the fusion-bonding or electrostatic-bonding process.

(10) Seal plate and end cover bolts shall be 304 stainless steel, and valve ends as specified will be furnished with Cor-Ten, or equal, bolts and nuts.

(11) Acceptable Manufacturers:

a. Henry Pratt "Groundhog" Class 150 B
b. Mueller "Lineseal III" Class 150 B
c. Clow / M&H / Kennedy Class 150B

i. 16”-24” – Style 4500
ii. 30”-48” – Style 1450

C. Hydrants: All hydrants shall conform to the following requirements:

(1) AWWA C502 standard for dry-barrel fire hydrants

(2) Open right.

(3) All bronze alloy parts exposed to water shall be made from grade A, D or E bronze.

(4) “Traffic” or “Breakaway” barrel. Traffic model hydrant with breakaway feature shall have segmented cast iron flanges, break type rod coupling set equal to, or below, the line of the top flange of the lower barrel, and an approved rubber gasket between the barrels. Frangible bolts not acceptable.

(5) One 4-1/2 inch pumper connection and two 2-1/2 inch hose connections. Hose and pumper connection threads to be National Standard Threads. Nozzles shall be threaded in with positive O-ring sealing mechanism.

(6) Valve opened by turning valve in clockwise direction. Ductile iron or bronze pentagonal operating nut 1-13/16 inch (top) tapering to 1-7/8 inch (bottom).

(7) A travel stop nut shall be provided in the top of the hydrant.

(8) Port covers shall be supplied without chains and with pentagonal operating nuts as specified above.

(9) Barrel length shall be 6 feet of cover, 6-1/2 feet of bury or 5 ½ cover, 6’ bury or 5 feet of cover, 5-1/2 feet of bury.

(10) Hydrant extensions shall be such that the location of the hydrant valve and seat shall remain in, or at, the shoe.

(11) Hydrant shoe or base shall have a 6-inch mechanical joint inlet, a 5-1/4 inch valve opening with non-draining permanently plugged bronze seat, and a bronze to bronze valve seat and sub-seat arrangement. The blocking area on the bottom and back of the shoe shall have minimum bearing areas of 30 square inches and 20 square inches, respectively.

(12) The hydrant stem shall have a minimum diameter of 1-inch and an approved rust inhibitor from the top valve plate to 12 inches above.

(13) Sealing shall be accomplished with rubber O-rings and approved rubber gaskets throughout.

(14) All buried mechanical joint bolts and nuts shall be ASTM A325 Type 3 high strength steel(Cor-Ten) or acceptable equivalent. All buried flange joint bolts shall be 304 stainless steel or silicone bronze.

(15) Protective coatings shall consist of the following:

a. All paintings and coatings shall be a minimum of 3 mils dry film thickness.

b. The internal area of the hydrant base, normally exposed to water, including the internal body of hydrant shoe and lower valve plate, shall be epoxy coated.

c. All internal and external cast iron or ductile iron components shall be coated with an approved bituminous coating, 3 mils minimum.

d. Coatings for upper barrel - Exterior:

1. Surface preparation: Blast clean SSPC-SP-6

2. Primer: Sherwin Williams Red Oxide E61RC21, 1.5 mils, dry

3. Finish coat: Sherwin Williams – Regal Yellow, F78Y30, 1.5 mils, dry or sufficient paint to hide the primer coat

5. Total dry film thickness: 3 mils minimum

e. Coatings for bonnet, operating nut, port caps:

1. Surface preparation: Blast clean, SSPC-SP-6

2. Exterior primer

3. Exterior Aluminum

4. Total dry film thickness: 3 mils minimum

(16) Acceptable hydrants:

a. Clow Eddy – with lower stem machined from bar stock

b. American Darling B62-B-1

D. Valve boxes: All valves buried in the ground shall be equipped with a cast iron slide type, two-piece, extension box with a top flange. Valve boxes shall be sized to completely cover the valve.

(1) The valve box bottom section shall be slide-type with bell-type base.

(2) The valve box top section shall be slide-type 36 inches long (minimum). No top flange and no "bead" or bottom flange.

(3) The valve box cover shall be a 2" drop-type cover to fit the 7-1/4" opening of the top section (Approved Manufacturer: Bibby St. Croix)

(4) Valve box extensions shall be slide-type with a minimum 3" belled bottom.

(5) Material shall be cast or ductile iron free from defects.

(6) Interior and exterior of all components shall be bituminous coated with a minimum of 4 mils dry film thickness.

E. Angle valves shall conform to the following:

(1) For sizes 3/4" - 1" the valves shall have a brass ball that is Teflon (or equivalent) coated.

(2) The ball shall be supported by seats which are watertight in either direction.

(3) The valve shall have a full-port opening.

(4) The valve shall open with 1/4 turn (90 degrees) with a check or stop.

(5) The valve shall not have a drain.

(6) The valve stem shall have two "O" rings and a bronze ring lock which holds the stem solidly in the valve body.

(7) The valve body shall be of angle design, heavy duty, and made from materials meeting the requirements of AWWA C800, latest revision.

F. Copper Tubing shall conform to the following:

(1) Type K conforming to ASTM B88, with compression fittings.

G. Corporation Stops shall conform to the following:

(1) 1” to 2” shall be ball valve design with a brass ball that is teflon coated or brass ball with teflon seats. Corporation inlets shall be cc threads and outlets shall be copper pac joint (c.p.j.)

(2) ON-OFF identification mark on the operating nut

(3) Supported by two seats for watertight shutoff in either direction

(4) The valve shall have a full port opening

(5) The body of the corporation stop shall be of heavy duty design

(6) The valve working pressure shall be 300 p.s.i.

(6) Approved Manufacturers:
a. A. Y. McDonald
b. Cambridge Brass
c. Ford Meter Box Co.
d. Mueller Co.

H. Curb Stops shall conform to the following:

(1) Valves shall be a brass ball that is teflon coated or a brass ball with teflon seats

(2) The ball shall be supported by seats which are water tight in either direction

(3) The valve shall have a full port opening

(4) The valve shall open with 1/4 turn with a check or stop

(5) The valve shall not have a drain

(6) The valve stem shall have two “O” rings and a bronze ring lock which holds the stem solidly in the valve body.

(7) The valve body shall be of heavy duty design

(8) the valve working pressure shall e 300 p.s.i.

(9) Approved Manufacturers:
a. A. Y. McDonald
b. Cambridge Brass
c. Ford Meter Box Co.
d. Mueller Co.

I. Service Box and Rod shall conform to the following:

(1) Service box shall be 1-inch schedule 40 steel pipe with top having 1-inch NPT pipe threads for screw-on cover or coupling. Approved manufacturers: LaRouche, Clow Canada

(2) Service box shall be Erie style with 6'-0" slide type riser

(3) Service box cover shall be Quincy type (heavy duty) that screws on service box. Approved manufacturers: Bibby, LaRoche, Clow Canada

(4) Service box cover shall be tapped with a 1-inch rope thread with a solid brass plug with pentagon operating head

(5) The standard foot piece(for 3/4- and 1-inch curb stops) shall be heavy duty (Ford style or equal) cast iron design. Approved manufacturer: LaRoche

(6) The large, heavy duty foot piece(for 1-1/2- and 2-inch curb stops) shall have an arch that will fit over 2-inch ball valve curb stops

(7) Service rods shall be 36" in length for all services; 24" in length for air valves and have a self-aligning design

(8) Service rods shall be of circular dimension and constructed of 1/2-inch diameter for services 1" and smaller, 5/8-inch diameter for services 1-1/2" and larger; 304 stainless steel

(9) Service rods shall have a yoke design that is an integral part of the rod

(10) The curb-stop attachment pin shall be a brass cotter pin

(11) The rod "wrench flat" shall have a minimum thickness of 1/4" tapered to 1/16" and width of 5/8" or 1/2"

J. Service Saddles (to be installed with 1 1/2" & 2" corporation stops):

(1) The service saddle shall have the "larger sized" body, the same as associated with the "service repair" saddle, which shall have a minimum diameter of 6 in. and multiple "O" ring type sealing.

(2) The saddle body shall be constructed of epoxy coated ductile iron.

(3) The sealing gasket(s) shall be either Buna-N rubber or SBR rubber (ASTM D2000).

(4) There shall be two holding bands, U-bolt type, made of 304 stainless steel.

(5) Approved manufacturers:
a. Smith-Blair
b. Ford

PART 3: EXECUTION

3.1 Valves with boxes are to be placed in the line of the pipe where required.

A. No extra allowance will be made for the extra cost of setting same due to cutting pipe, etc.

B. All nuts on valves shall be checked for tightness before the valve is lowered into the ditch. Valves must be adjusted so they will work easily and properly and must be left with the valves closed.

C. Installation of mechanical joint valves and fittings shall conform with Section 02537.

D. Thrust blocks shall be used where shown on the plans.

3.2 AIR VALVES

A. Air valves shall be installed at all high points along the water main, as shown on the plans or as directed.

B. Air valves shall conform to the detail provided and be carefully tapped into the top of the main.

3.3 VALVE BOXES and SERVICE BOXES

All valves shall be fitted with a standard valve box or service box and rod set at the proper elevation on the valve and concentric with the operating nut, straight, square and plumb. The top shall be set to the proper surface grade and, after backfilling and settlement have taken place, these valve box top sections and service boxes shall be straightened, reset or adjusted as necessary. At least two permanent location measurements to the valve must be obtained. Backfill around boxes shall be mechanically tamped within a five-foot radius of the box.

3.4 SERVICES

Services will be installed at locations designated by the OWNER. See detail sheet for service connection. The service sizes are indicated on the drawings.

3.5 HYDRANTS

Hydrant flow shall completely stop with no more than 200 ft.-lbs. of torque applied to the operating nut. Failure to shut completely at no more than 200 ft-lbs. of torque will be cause for rejection of that hydrant.

END OF SECTION

SECTION 02593
PRESSURE AND LEAKAGE TESTS OF HDPE WATER MAINS

PART 1: GENERAL

1.1 SCOPE

A. Furnish all labor, materials, equipment gages and related items necessary to complete all pressure and leakage tests of all water mains.

PART 2: PRODUCTS

2.1 MATERIALS

A. Materials shall be at Contractor's option.

B. All gages shall be certified.

PART 3: EXECUTION

3.1 PRESSURE AND LEAKAGE TESTS:

A. After the pipe has been laid and backfilled, it shall be pressure tested and tested for leakage in the presence of the Engineer and/or the Owner. Following acceptance of the pressure and leakage tests, the new mains shall be thoroughly cleaned by flushing and shall be disinfected by chlorination.

B. All tests shall be conducted at a time and in a manner to minimize as much as possible any interference with the operation of the existing water system. The Owner will supply all water necessary for testing and placing the lines in service. The Contractor shall supply all labor, materials and equipment necessary to make any necessary connections to the water system and to carry out the tests.

C. The Contractor shall excavate and provide a corporation tap for pressure and leak testing and chlorination as directed by the Engineer. The Contractor is responsible for all work associated with the excavation, including proper trench protection, barricades, traffic control and proper backfilling and compaction upon successful completion of the test.

D. A pressure test pump will be connected to the new main at the testing point. The pressure will be slowly increased to 150 psi. The 150 psi test pressure shall be maintained for four hours by adding water as necessary. The pump will then be shut off and the test pressure reduced to 140 psi. If the pressure remains steady (about 5%) for one hour, no leakage is indicated.

E. If any test discloses leakage greater than that specified above, the Contractor shall, at his own expense, locate and make repairs as necessary until the leakage is within the specified allowance.

F. Final acceptance of the lines will not occur until satisfactory tests have been passed.

END OF SECTION

SECTION 02595 - DISINFECTION OF WATER MAINS

PART 1: GENERAL

1.1 SCOPE

A. Furnish all labor, materials, equipment, and incidentals necessary to disinfect the new water main.

B. Do not disinfect water mains until all testing required by Section 02594 has been satisfactorily completed.

PART 2: PRODUCTS

MATERIALS:

The CONTRACTOR shall chlorinate the new main in accordance with the continuous feed method specified in Section 5.2 of AWWA Standard C651-latest revision, using 5% to 15% sodium hypochlorite solution.

PART 3: EXECUTION

3.1 DISINFECTION:

Upon satisfactory completion of the pressure and leak test, all new water mains shall be disinfected before they are placed into service in accordance with AWWA Standard C651-latest revision and the procedures specified herein.

3.2 FLUSHING:

A. Section of pipe to be disinfected shall first be flushed to remove any solids or contaminated material that may have become lodged in the pipe. If no hydrant is installed at the end of the main, then a suitably sized tap should be provided.

B. All taps required by the CONTRACTOR for chlorination or flushing purposes, or for temporary release of air, shall be provided by him as part of the construction of the water main.

C. Flushing shall proceed for 4 hours at a flow velocity of 2.5 feet per second.

3.3 REQUIREMENTS OF CHLORINE:

Before being placed into service, the main shall be chlorinated so that a chlorine residual of not less than 10 parts per million remains in the water after standing 24 hours in the pipe. Chlorine residual at start of test shall be at least 25 parts per million.

3.4 POINT OF APPLICATION:

The preferred point of application of the chlorinating agent is at a point not more than 10 ft. downstream from the beginning of the new main and through a corporation stop inserted in the pipe. The water injector for delivering the chlorine solution water into the pipe should be supplied from a tap made on the pressure side of the gate valve controlling the flow into the pipeline extension. Alternate points of application may be used when accepted or directed by the OWNER / PORTLAND WATER DISTRICT.

3.5 RATE OF APPLICATION:

Water from the distribution system, or other source of supply as accepted by the OWNER / PORTLAND WATER DISTRICT, shall be controlled to flow very slowly into the newly laid pipeline during application of the chlorine. The rate of chlorine mixture flow shall be in such proportion to the rate of water entering the newly laid pipe that the dosage applied to the water will be sufficient for at least 25 parts per million unless otherwise directed by the OWNER / PORTLAND WATER DISTRICT.

3.6 PREVENTING REVERSE FLOW:

Valves shall be operated by the OWNER / PORTLAND WATER DISTRICT so that the strong chlorine solution in the line being treated will not flow back into the line supplying the water. Check valves may be used, if needed.

3.7 RETENTION PERIOD:

Treated water shall be retained in the pipe at least 24 hours. After this period, the chlorine residual at pipe extremities and at other representative points shall be at least 10 parts per million.

3.8 CHLORINATING VALVES AND HYDRANTS:

In the process of chlorinating newly laid pipe, all valves or other appurtenances shall be operated while the pipeline is filled with the chlorinating agent and under normal operating pressure.

3.9 FINAL FLUSHING AND TESTING:

A. Following chlorination, all treated water shall be thoroughly flushed from the newly laid pipe at its extremity until the replacement water throughout its length shows, upon tests, that the residual chlorine is not in excess of that to be carried in the system. The replacement water shall be allowed to reside in the pipeline for 24 hours (+/- 4 hours) prior to sampling for physical, bacteriological and chemical testing.

B. After the retention period, water samples collected from the treated piping system as directed by the OWNER / PORTLAND WATER DISTRICT, shall show satisfactory bacteriological results. Bacteriological analyses shall be performed by the OWNER / PORTLAND WATER DISTRICT.

C. Chlorine residual of water being disposed will be neutralized by treating with one of the chemicals listed in the table below.

AMOUNTS OF CHEMICALS REQUIRED TO NEUTRALIZE VARIOUS RESIDUAL CHLORINE CONCENTRATIONS IN 100,000 GALLONS OF WATER*

Residual Chlorine Concentration (mg/l)	Sulphur Dioxide	Sodium Bisulfate	Sodium Sulfite	Sodium Thiosulfate
1	0.8	1.2	1.4	1.2
2	1.7	2.5	2.9	2.4
10	8.3	12.5	14.6	12.0
50	41.7	62.6	73.0	60.0

*Except for residual chlorine concentration, all amounts are in pounds.

3.10 REPETITION OF FLUSHING AND RESULTS:

Should the initial treatment result in an unsatisfactory bacterial test, the original chlorination procedure shall be repeated by the CONTRACTOR until satisfactory results are obtained.

END OF SECTION

February 12, 2007
Supersedes October 12, 2006

STANDARD DETAIL UPDATES

Standard Details and Standard Detail updates are available at:
http://www.maine.gov/mdot/contractor-consultant-information/ss_standard_details_updates.php

Detail #	Description	Revision Date
504(15)	Diaphragms	12/30/02
507(04)	Steel Bridge Railing	2/05/03
526(33)	Concrete Transition Barrier	8/18/03
645(06)	H-Beam Posts – Highway Signing	7/21/04
645(09)	Installation of Type II Signs	7/21/04
626(09)	Electrical Junction Box for Traffic Signals and Lighting	2/25/05
604(01)	Catch Basins	11/16/05
604(05)	Type “A” & “B” Catch Basin Tops	11/16/05
604(06)	Type “C” Catch Basin Tops	11/16/05
604(07)	Manhole Top “D”	11/16/05
604(09)	Catch Basin Type “E”	11/16/05
606(02)	Multiple Mailbox Support	11/16/05
606(07)	Reflectorized Beam Guardrail Delineator Details	11/16/05
609(06)	Vertical Bridge Curb	11/16/05
504(23)	Hand-Hold Details	12/08/05
609(03)	Curb Type 3	6/27/06
609(07)	Curb Type 1	6/27/06
535(01)	Precast Superstructure - Shear Key	10/12/06
535(02)	Precast Superstructure - Curb Key & Drip Notch	10/12/06

535(03)	Precast Superstructure - Shear Key	10/12/06
535(04)	Precast Superstructure - Shear Key	10/12/06
535(05)	Precast Superstructure - Post Tensioning	10/12/06
535(06)	Precast Superstructure - Sections	10/12/06
535(07)	Precast Superstructure - Precast Slab & Box	10/12/06
535(08)	Precast Superstructure - Sections	10/12/06
535(09)	Precast Superstructure - Sections	10/12/06
535(10)	Precast Superstructure - Sections	10/12/06
535(11)	Precast Superstructure - Sections	10/12/06
535(12)	Precast Superstructure - Sections	10/12/06
535(13)	Precast Superstructure - Sections	10/12/06
535(14)	Precast Superstructure - Stirrups	10/12/06
535(15)	Precast Superstructure - Plan	10/12/06
535(16)	Precast Superstructure - Reinforcing	10/12/06
535(17)	Precast Superstructure - Notes	10/12/06
801(01)	Drives on Sidewalk Sections	2/06/07
801(02)	Drives on Non-Sidewalk Sections	2/06/07

SUPPLEMENTAL SPECIFICATION

(Corrections, Additions, & Revisions to Standard Specifications - Revision of December 2002)

SECTION 101
CONTRACT INTERPRETATION

101.2 Definitions

Closeout Documentation Replace the sentence "A letter stating the amount….. DBE goals." with "DBE Goal Attainment Verification Form"

Add "Environmental Information Hazardous waste assessments, dredge material test results, boring logs, geophysical studies, and other records and reports of the environmental conditions. For a related provision, see Section 104.3.14 - Interpretation and Interpolation."

Add "Fabrication Engineer The Department's representative responsible for Quality Assurance of pre-fabricated products that are produced off-site."

Geotechnical Information Replace with the following: "Boring logs, soil reports, geotechnical design reports, ground penetrating radar evaluations, seismic refraction studies, and other records of subsurface conditions. For a related provision, see Section 104.3.14 - Interpretation and Interpolation."

SECTION 102
DELIVERY OF BIDS

102.7.1 Location and Time Add the following sentence "As a minimum, the Bidder will submit a Bid Package consisting of the Notice to Contractors, the completed Acknowledgement of Bid Amendments form, the completed Schedule of Items, 2 copies of the completed Agreement, Offer, & Award form, a Bid Bond or Bid Guarantee, and any other Certifications or Bid Requirements listed in the Bid Book."

102.11.1 Non-curable Bid Defects Replace E. with "E. The unit price and bid amount is not provided or a lump sum price is not provided or is illegible as determined by the Department."

SECTION 103
AWARD AND CONTRACTING

103.3.1 Notice and Information Gathering Change the first paragraph to read as follows: "After Bid Opening and as a condition for Award of a Contract, the Department may require an Apparent Successful Bidder to demonstrate to the Department's satisfaction that the Bidder is responsible and qualified to perform the Work."

SECTION 104
GENERAL RIGHTS AND RESPONSIBILITIES

104.3.14 Interpretation and Interpolation In the first sentence, change "…and Geotechnical Information." to "…Environmental Information, and Geotechnical Information."

Delete the entire Section 104.5.9 and replace with the following:

104.5.9 Landscape Subcontractors The Contractor shall retain only Landscape Subcontractors that are certified by the Department's Environmental Office Landscape Unit.

SECTION 105
GENERAL SCOPE OF WORK

Delete the entire Section 105.6 and replace with the following:

105.6.1 Department Provided Services The Department will provide the Contractor with the description and coordinates of vertical and horizontal control points, set by the Department, within the Project Limits, for full construction Projects and other Projects where survey control is necessary. For Projects of 1,500 feet in length, or less: The Department will provide three points. For Projects between 1,500 and 5,000 feet in length: The Department will provide one set of two points at each end of the Project. For Projects in excess of 5,000 feet in length, the Department will provide one set of two points at each end of the Project, plus one additional set of two points for each mile of Project length. For non-full construction Projects and other Projects where survey control is not necessary, the Department will not set any control points and, therefore, will not provide description and coordinates of any control points. Upon request of the Contractor, the Department will provide the Department's survey data management software and Survey Manual to the Contractor, or its survey Subcontractor, for the exclusive use on the Department's Projects.

105.6.2 Contractor Provided Services Utilizing the survey information and points provided by the Department, described in Subsection 105.6.1, Department Provided Services, the Contractor shall provide all additional survey layout necessary to complete the Work. This may include, but not be limited to, reestablishing all points provided by the Department, establishing additional control points, running axis lines, providing layout and maintenance of all other lines, grades, or points, and survey quality control to ensure conformance with the Contract. The Contractor is also responsible for providing construction centerline, or close reference points, for all Utility Facilities relocations and adjustments as necessary to complete the Work. When the Work is to connect with existing Structures, the Contractor shall verify all dimensions before proceeding with the Work. The Contractor shall employ or retain competent engineering and/or surveying personnel to fulfill these responsibilities.

The Contractor must notify the Department of any errors or inconsistencies regarding the data and layout provided by the Department as provided by Section 104.3.3 - Duty to Notify Department If Ambiguities Discovered.

105.6.2.1 Survey Quality Control The Contractor is responsible for all construction survey quality control. Construction survey quality control is generally defined as, first, performing initial field survey layout of the Work and, second, performing an independent check of the initial layout using independent survey data to assure the accuracy of the initial layout; additional iterations of checks may be required if significant discrepancies are discovered in this process. Construction survey layout quality control also requires written documentation of

the layout process such that the process can be followed and repeated, if necessary, by an independent survey crew.

105.6.3 Survey Quality Assurance It is the Department's prerogative to perform construction survey quality assurance. Construction survey quality assurance may, or may not, be performed by the Department. Construction survey quality assurance is generally defined as an independent check of the construction survey quality control. The construction survey quality assurance process may involve physically checking the Contractor's construction survey layout using independent survey data, or may simply involve reviewing the construction survey quality control written documentation. If the Department elects to physically check the Contractor's survey layout, the Contractor's designated surveyor may be required to be present. The Department will provide a minimum notice of 48 hours to the Contractor, whenever possible, if the Contractor's designated surveyor's presence is required. Any errors discovered through the quality assurance process shall be corrected by the Contractor, at no additional cost to the Department.

105.6.4 Boundary Markers The Contractor shall preserve and protect from damage all monuments or other points that mark the boundaries of the Right-of-Way or abutting parcels that are outside the area that must be disturbed to perform the Work. The Contractor indemnifies and holds harmless the Department from all claims to reestablish the former location of all such monuments or points including claims arising from 14 MRSA § 7554-A. For a related provision, see Section 104.3.11 - Responsibility for Property of Others.

SECTION 106
QUALITY

106.4.3 Testing Change the first sentence in paragraph three from "…maintain records of all inspections and tests." to "…maintain original documentation of all inspections, tests, and calculations used to generate reports."

106.6 Acceptance Add the following to paragraph 1 of A: "This includes Sections 401 - Hot Mix Asphalt, 402 - Pavement Smoothness, and 502 - Structural Concrete - Method A - Air Content."

Add the following to the beginning of paragraph 3 of A: "For pay factors based on Quality Level Analysis, and"

106.7.1 Standard Deviation Method Add the following to F: "Note: In cases where the mean of the values is equal to either the USL or the LSL, then the PWL will be 50 regardless of the computed value of s."

Add the following to H: "Method C Hot Mix Asphalt: PF = [55 + (Quality Level *0.5)] * 0.01"

SECTION 107
TIME

107.3.1 General Add the following: "If a Holiday occurs on a Sunday, the following Monday shall be considered a Holiday. Sunday or Holiday work must be approved by the Department,

except that the Contractor may work on Martin Luther King Day, President's Day, Patriot's Day, the Friday after Thanksgiving, and Columbus Day without the Department's approval."

107.7.2 Schedule of Liquidated Damages Replace the table of Liquidated Damages as follows:

From More Than	Up to and Including	Amount of Liquidated Damages per Calendar Day
$0	$100,000	$100
$100,000	$300,000	$200
$300,000	$500,000	$400
$500,000	$1,000,000	$575
$1,000,000	$2,000,000	$750
$2,000,000	$4,000,000	$900
$4,000,000	and more	$1,875

SECTION 108
PAYMENT

108.4 Payment for Materials Obtained and Stored First paragraph, second sentence, delete the words "…Delivered on or near the Work site at acceptable storage places."

SECTION 109
CHANGES

109.1.1 Changes Permitted Add the following to the end of the paragraph: "There will be no adjustment to Contract Time due to an increase or decrease in quantities, compared to those estimated, except as addressed through Contract Modification(s)."

109.1.2 Substantial Changes to Major Items Add the following to the end of the paragraph: "Contract Time adjustments may be made for substantial changes to Major Items when the change affects the Critical Path, as determined by the Department"

109.4.4 Investigation / Adjustment Third sentence, delete the words "subsections (A) - (E)"

109.5.1 Definitions - Types of Delays

B. Compensable Delay Replace (1) with the following; "a weather related Uncontrollable Event of such an unusually severe nature that a Federal Emergency Disaster is declared. The Contractor will only be entitled to an Equitable Adjustment if the Project falls within the geographic boundaries prescribed under the disaster declaration."

109.7.2 Basis of Payment Replace with the following: "Equitable Adjustments will be established by mutual Agreement for compensable items listed in Section 109.7.3-Compensable Items, based upon Unit or Lump Sum Prices. If Agreement cannot be reached, the Contractor shall accept payment on a Force Account basis as provided in Section 109.7.5 - Force Account Work, as full and complete compensation for all Work relating to the Equitable Adjustment."

109.7.3 Compensable Items Replace with the following: "The Contractor is entitled to compensation for the following items, with respect to agreed upon Unit or Lump Sum Prices:

1. Labor expenses for non-salaried Workers and salaried foremen.

2. Costs for Materials.

3. A 15 % markup on the totals of Items 1 and 2 of this subsection 109.7.3 for home office overhead and profit of the Contractor, its Subcontractors and suppliers, and any lower tier Subcontractors or suppliers, with no mark-ups on mark-ups.

4. Cost for Equipment, based on Blue Book Rates or leased rates, as set forth in Section 109.7.5(C), or the Contractor's Actual Costs if determined by the Department to be lower.
5. Costs for extended job-site overhead.
6. Time.

7. Subcontractor quoted Work, as set forth below in Section 109.7.5 (F)."

109.7.5 Force Account Work

C. Equipment

Paragraph 2, delete sentence 1 which starts; "Equipment leased…."

Paragraph 6, change sentence 2 from "The Contractor may furnish…" to read "If requested by the Department, the Contractor will produce cost data to assist the Department in the establishment of such rental rate, including all records that are relevant to the Actual Costs including rental Receipts, acquisition costs, financing documents, lease Agreements, and maintenance and operational cost records."
Add the following paragraph; "Equipment leased by the Contractor for Force Account Work and actually used on the Project will be paid for at the actual invoice amount plus 10% markup for administrative costs."

Add the following section;

"F. Subcontractor Quoted Work When accomplishing Force Account Work that utilizes Subcontractors, the Contractor will be allowed a maximum markup of 5% for profit and overhead on the Subcontractor's portion of the Force Account Work."

SECTION 110
INDEMNIFICATION, BONDING, AND INSURANCE

Delete the entire Section 110.2.3 and replace with the following:

110.2.3 Bonding for Landscape Establishment Period The Contractor shall provide a signed, valid, and enforceable Performance, Warranty, or Maintenance Bond complying with the Contract, to the Department at Final Acceptance.

The bond shall be in the full amount for all Pay Items for work pursuant to Sec 621, Landscape, payable to the "Treasurer - State of Maine," and on the Department's forms, on exact copies thereof, or on forms that do not contain any significant variations from the Department's forms as solely determined by the Department.

The Contractor shall pay all premiums and take all other actions necessary to keep said bond in effect for the duration of the Landscape Establishment Period described in Special Provision 621.0036 - Establishment Period. If the Surety becomes financially insolvent, ceases to be licensed or approved to do business in the State of Maine, or stops operating in the United States, the Contractor shall file new bonds complying with this Section within 10 Days of the date the Contractor is notified or becomes aware of such change.

All Bonds shall be procured from a company organized and operating in the United States, licensed or approved to do business in the State of Maine by the State of Maine Department of Business Regulation, Bureau of Insurance, and listed on the latest Federal Department of the Treasury listing for "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies."

By issuing a bond, the Surety agrees to be bound by all terms of the Contract, including those related to payment, time for performance, quality, warranties, and the Department's self-help remedy provided in Section 112.1 - Default to the same extent as if all terms of the Contract are contained in the bond(s).

Regarding claims related to any obligations covered by the bond, the Surety shall provide, within 60 Days of Receipt of written notice thereof, full payment of the entire claim or written notice of all bases upon which it is denying or contesting payment. Failure of the Surety to provide such notice within the 60-day period constitutes the Surety's waiver of any right to deny or contest payment and the Surety's acknowledgment that the claim is valid and undisputed.

SECTION 202
REMOVING STRUCTURES AND OBSTRUCTIONS

202.02 Removing Buildings Make the following change to the last sentence in the final paragraph, change "…Code of Maine Regulations 401." to "…Department of Environmental Protection Maine Solid Waste Management Rules, 06-096 CMR Ch. 401, Landfill Siting, Design and Operation."

SECTION 203
EXCAVATION AND EMBANKMENT

203.01 Description Under b. Rock Excavation; add the following sentence: "The use of perchlorate is not allowed in blasting operations."

SECTION 502
STRUCTURAL CONCRETE

502.05 Composition and Proportioning; TABLE #1; NOTE #2; third sentence; Change "…alcohol based saline sealer…" to "alcohol based silane sealer…". Add NOTE #6 to Class S Concrete.

502.0502 Quality Assurance Method A - Rejection by Resident Change the first sentence to read: "For an individual sublot with test results failing to meet the criteria in Table #1, or if the calculated pay factor for Air Content is less than 0.80……"

502.0503 Quality Assurance Method B - Rejection by Resident Change the first sentence to read: "For material represented by a verification test with test results failing to meet the criteria in Table #1, the Department will……"

502.0505 Resolution of Disputed Acceptance Test Results Combine the second and third sentence to read: "Circumstances may arise, however, where the Department may ……"
502.10 Forms and False work

D. Removal of Forms and False work 1., First paragraph; first, second, and third sentence; replace "forms" with "forms and false work"

502.11 Placing Concrete

G. Concrete Wearing Surface and Structural Slabs on Precast Superstructures Last paragraph; third sentence; replace "The temperature of the concrete shall not exceed 24° C [75° F} at the time of placement." with "The temperature of the concrete shall not exceed 24° C [75° F} at the time the concrete is placed in its final position."

502.15 Curing Concrete First paragraph; replace the first sentence with the following; "All concrete surfaces shall be kept wet with clean, fresh water for a curing period of at least 7 days after concrete placing, with the exception of vertical surfaces as provided for in Section 502.10 (D) - Removal of Forms and False work."

Second paragraph; delete the first two sentences.

Third paragraph; delete the entire paragraph which starts "When the ambient temperature…."

Fourth paragraph; delete "approved" to now read "…continuously wet for the entire curing period…"

Fifth paragraph; second sentence; change "…as soon as it is possible to do so without damaging the concrete surface." to "…as soon as possible."

Seventh paragraph; first sentence; change "…until the end of the curing period." to "…until the end of the curing period, except as provided for in Section 502.10(D) - Removal of Forms and False work."

502.19 Basis of Payment First paragraph, second sentence; add "pier nose armor" to the list of items included in the contract price for concrete.

SECTION 503
REINFORCING STEEL

503.06 Placing and Fastening Change the second paragraph, first sentence from: "All tack welding shall be done in accordance with Section 504, Structural Steel." to "All tack welding shall be done in accordance with AWS D1.4 Structural Welding Code - Reinforcing Steel."

SECTION 504
STRUCTURAL STEEL

504.09 Facilities for Inspection Add the follow as the last paragraph: "Failure to comply with the above requirements will be consider to be a denial to allow access to work by the Contractor. The Department will reject any work done when access for inspection is denied."

504.18 Plates for Fabricated Members Change the second paragraph, first sentence from: "…ASTM A 898/A 898 M…" to "…ASTM A 898/A 898 M or ASTM A 435/A 435 M as applicable and…"

504.31 Shop Assembly Add the following as the last sentence: "The minimum assembly length shall include bearing centerlines of at least two substructure units."

504.64 Non Destructive Testing-Ancillary Bridge Products and Support Structures Change the third paragraph, first sentence from "One hundred percent…" to "Twenty five percent…"

SECTION 535
PRECAST, PRESTRESSED CONCRETE SUPERSTRUCTURE

535.02 Materials Change "Steel Strand for Concrete Reinforcement" to "Steel Strand." Add the following to the beginning of the third paragraph; "Concrete shall be Class P conforming to the requirements in this section. 28 day compressive strength shall be as stated on the plans. Coarse aggregate…."

535.05 Inspection Facilities Add the follow as the last paragraph: "If the above requirements are not met, the Contractor shall be considered to be in violation of Standard Specification 104.2.5 – Right to Inspect Work. All work occurring during a violation of this specification will be rejected."

535.26 Lateral Post-Tensioning Replace the first paragraph; "A final tension…" with "Overstressing strands for setting losses cannot be accomplished for chuck to chuck lengths of 7.6 m [25 ft] and less. In such instances, refer to the Plans for all materials and methods. Otherwise, post-tensioning shall be in accordance with PCI standards and shall provide the anchorage force noted in the Plans. The applied jacking force shall be no less than 100% of the design jacking force."

SECTION 603
PIPE CULVERTS AND STORM DRAINS

603.0311 Corrugated Polyethylene Pipe for Option III Replace the Minimum Mandrel Diameter Table with the following:

Nominal Size US Customary (in)	Minimum Mandrel Diameter (in)	Nominal Size Metric (mm)	Minimum Mandrel Diameter (mm)
12	11.23	300	280.73
15	14.04	375	350.91
18	16.84	450	421.09
24	22.46	600	561.45
30	28.07	750	701.81
36	33.69	900	842.18
42	39.30	1050	982.54
48	44.92	1200	1122.90

SECTION 604
MANHOLES, INLETS, AND CATCH BASINS

604.02 Materials Add the following:

"Tops and Traps	712.07
Corrugated Metal Units	712.08
Catch Basin and Manhole Steps	712.09"

SECTION 605
UNDERDRAINS

605.05 Underdrain Outlets Make the following change:

In the first paragraph, second sentence, delete the words "metal pipe".

SECTION 606
GUARDRAIL

606.02 Materials Delete the entire paragraph which reads "The sole patented supplier of multiple mailbox…." and replace with "Acceptable multiple mailbox assemblies shall be listed on the Department's Approved Products List and shall be NCHRP 350 tested and approved."
Delete the entire paragraph which reads "Retroreflective beam guardrail delineators…." and replace with "Reflectorized sheeting for Guardrail Delineators shall meet the requirements of Section 719.01 - Reflective Sheeting. Delineators shall be fabricated from high-impact, ultraviolet and weather resistant thermoplastic.

606.09 Basis of Payment First paragraph; delete the second and third sentence in their entirety and replace with "Butterfly-type guardrail reflectorized delineators shall be mounted on all W-beam guardrail at an interval of every 10 posts [62.5 ft] on tangents sections and every 5 posts [31.25 ft] on curved sections as directed by the Resident. On divided highways, the delineators shall be yellow on the left hand side and silver/white on the right hand side. On two-way

roadways, the delineators shall be silver/white on the right hand side. All delineators shall have retroreflective sheeting applied to only the traffic facing side. Reflectorized guardrail delineators will not be paid for directly, but will be considered incidental to the guardrail items."

SECTION 609
CURB

609.04 Bituminous Curb f., Delete the requirement "Color Natural (White)"

SECTION 615
LOAM

615.02 Materials Make the following change:

Organic Content	Percent by Volume
Humus	"5% - 10%", as determined by Ignition Test

SECTION 618
SEEDING

618.01 Description Change the first sentence to read as follows: "This work shall consist of furnishing and applying seed ….." Also remove ",and cellulose fiber mulch" from 618.01(a).
618.03 Rates of Application In 618.03(a), remove the last sentence and replace with the following: "These rates shall apply to Seeding Method 2, 3, and Crown Vetch."

In 618.03(c) "1.8 kg [4 lb]/unit." to "1.95 kg [4 lb]/unit."

618.09 Construction Method In 618.09(a) 1, sentence two, replace "100 mm [4 in]" with "25 mm [1 in] (Method 1 areas) and 50 mm [2 in] (Method 2 areas)"

618.15 Temporary Seeding Change the Pay Unit from Unit to Kg [lb].

SECTION 620
GEOTEXTILES

620.03 Placement Section (c)
Title: Replace "Non-woven" in title with "Erosion Control".
First Paragraph: Replace first word "Non-woven" with "Woven monofilament".
Second Paragraph: Replace second word "Non-woven" with "Erosion Control".

620.07 Shipment, Storage, Protection and Repair of Fabric Section (a)
Replace the second sentence with the following: "Damaged geotextiles, as identified by the Resident, shall be repaired immediately."

620.09 Basis of Payment
Pay Item 620.58: Replace "Non-woven" with "Erosion Control"

Pay Item 620.59: Replace "Non-woven" with "Erosion Control"

SECTION 621
LANDSCAPING

621.0036 Establishment Period In paragraph 4 and 5, change "time of Final Acceptance" to "end of the period of establishment". In Paragraph 7, change "Final Acceptance date" to ""end of the period of establishment" and change "date of Final Acceptance" to "end of the period of establishment".

SECTION 626
HIGHWAY SIGNING

626.034 Concrete Foundations Add to the following to the end of the second paragraph: "Pre-cast and cast-in-place foundations shall be warranteed against leaning and corrosion for two years after the project is completed. If the lean is greater than 2 degrees from normal or the foundation is spalling within the first two years, the Contractor shall replace the foundation at no extra cost."

SECTION 627
PAVEMENT MARKINGS

627.10 Basis of Payment Add to the following to the end of the third paragraph: "If allowed by Special Provision, the Contractor may utilize Temporary Bi-Directional Yellow and White(As required) Delineators as temporary pavement marking lines and paid for at the contract lump sum price. Such payment will include as many applications as required and removal."

SECTION 637
DUST CONTROL

637.06 Basis of Payment Add the following after the second sentence of the third paragraph: "Failure by the Contractor to follow Standard Specification or Special Provision - Section 637 and/or the Contractor's own Soil Erosion and Pollution Control Plan concerning Dust Control and/or the Contractor's own Traffic Control Plan concerning Dust Control and/or visible evidence of excessive dust problems, as determined by the Resident, will result in a reduction in payment, computed by reducing the Lump Sum Total by 5% per occurrence per day. The Department's Resident or any other representative of the Department reserves the right to suspend the work at any time and request a meeting to discuss violations and remedies. The Department shall not be held responsible for any delay in the work due to any suspension under this item. Additional penalties may also be assessed in accordance with Special Provision 652 - Work Zone Traffic Control and Standard Specification 656 - Temporary Soil Erosion and Water Pollution Control."

SECTION 639
ENGINEERING FACILITIES

639.04 Field Offices Change the forth to last paragraph from: "The Contractor shall provide a fully functional desktop copier…" to "….desktop copier/scanner…"

SECTION 652
MAINTENANCE OF TRAFFIC

652.2.3 Flashing Arrow Board Delete the existing 5 paragraphs and replace with the following: Flashing Arrow Panels (FAP) must be of a type that has been submitted to AASHTO's National Transportation Product Evaluation Program (NTPEP) for evaluation and placed on the Maine Department of Transportations' Approved Products List of Portable Changeable Message Signs & Flashing Arrow Panels.

FAP units shall meet requirements of the current Manual on Uniform Traffic Control Devices (MUTCD) for Type "C" panels as described in Section 6F.56 - Temporary Traffic Control Devices. An FAP shall have matrix of a minimum of 15 low-glare, sealed beam, Par 46 elements capable of either flashing or sequential displays as well as the various operating modes as described in the MUTCD, Chapter 6-F. If an FAP consisting of a bulb matrix is used, each element should be recess-mounted or equipped with an upper hood of not less than 180 degrees. The color presented by the elements shall be yellow.

FAP elements shall be capable of at least a 50 percent dimming from full brilliance. Full brilliance should be used for daytime operation and the dimmed mode shall be used for nighttime operation. FAP shall be at least 2.4 M x 1.2 M [96" x 48"] and finished in non-reflective black. The FAP shall be interpretable for a distance not less than 1.6 km [1 mile].

Operating modes shall include, flashing arrow, sequential arrow, sequential chevron, flashing double arrow, and flashing caution. In the three arrow signals, the second light from the arrow point shall not operate.

The minimum element on-time shall be 50 percent for the flashing mode, with equal intervals of 25 percent for each sequential phase. The flashing rate shall be not less than 25 nor more than 40 flashes per minute. All on-board circuitry shall be solid state.

Primary power source shall be 12 volt solar with a battery back-up to provide continuous operation when failure of the primary power source occurs, up to 30 days with fully charged batteries. Batteries must be capable of being charged from an onboard 110 volt AC power source and the unit shall be equipped with a cable for this purpose.

Controller and battery compartments shall be enclosed in lockable, weather-tight boxes.
The FAP shall be mounted on a pneumatic-tired trailer or other suitable support for hauling to various locations, as directed. The minimum mounting height of an arrow panel should be 2.1 M [7 feet] from the roadway to the bottom of the panel.

The face of the trailer shall be delineated on a permanent basis by affixing retro-reflective material, known as conspicuity material, in a continuous line as seen by oncoming drivers.

A portable changeable message sign may be used to simulate an arrow panel display."

652.2.4 Other Devices Delete the last paragraph and add the following:
"652.2.5 Portable Changeable Message Sign Trailer mounted Portable Changeable Message Signs (PCMS) must be of a type that has been submitted to AASHTO's National

Transportation Product Evaluation Program (NTPEP) for evaluation and placed on the Maine Department of Transportations' Approved Products List of Portable Changeable Message Signs & Flashing Arrow Panels. The PCMS unit shall meet or exceed the current specifications of the Manual on Uniform Traffic Control Devices (MUTCD), 6F.55.

The front face of the sign should be covered with a low-glare protective material. The color of the LED elements shall be amber on a black background. The PCMS should be visible from a distance of 0.8 km [0.5 mile] day and night and have a minimum 15º viewing angle. Characters must be legible from a distance of at least 200 M [650 feet].

The message panel should have adjustable display rates (minimum of 3 seconds per phase), so that the entire message can be read at least twice at the posted speed, the off-peak 85th-percentile speed prior to work starting, or the anticipated operating speed. Each message shall consist of either one or two phases. A phase shall consist of up to eight characters per line. The unit must be capable of displaying at least three lines of text with eight characters per line. Each character shall be 457 mm [18"] high. Each character module shall use at least a five wide and seven high pixel matrix. The text of the messages shall not scroll or travel horizontally or vertically across the face of the sign.

Units shall automatically adjust their brightness under varying light conditions to maintain legibility.

The control system shall include a display screen upon which messages can be reviewed before being displayed on the message sign. The control system shall be capable of maintaining memory when power is unavailable. Message must be changeable with either a notebook computer or an on-board keypad. The controller shall have the capability to store a minimum of 200 user-defined and 200 pre-programmed messages. Controller and battery compartments shall be enclosed in lockable, weather-tight boxes.

PCMS units shall have the capability of being made programmable by means of wireless communications. PCMS units shall also be fully capable of having an on-board radar system installed if required for a particular application.

PCMS' primary power source shall be solar with a battery back-up to provide continuous operation when failure of the primary power source occurs. Batteries must be capable of being charged from a 110 volt AC power source. The unit must also be capable of being operated solely from a 110 volt AC power source and be equipped with a cable for this purpose.

The PCMS shall be mounted on a trailer in such a way that the bottom of the message sign panel shall be a minimum of 2.1 M [7 ft] above the roadway in urban areas and 1.5 M [5 ft] above the roadway in rural areas when it is in the operating mode. PCMS trailers should be of a heavy duty type with a 51 mm [2"] ball hitch and a minimum of four leveling jacks (at each corner). The sign shall be capable of being rotated 360° relative to the trailer. The face of the trailer shall be delineated on a permanent basis by affixing retro-reflective material, known as conspicuity material, in a continuous line as seen by oncoming drivers."

652.3.3 Submittal of Traffic Control Plan In item e. change "A list of all certified flaggers…" to "A list of all the Contractor's certified flaggers…"

In the last paragraph add the following as the second sentence: "The Department will review and provide comments to the Contractor within 14 days of receipt of the TCP."

652.3.5 Installation of Traffic Control Devices In the first paragraph, first sentence; change "Signs shall be erected…" to "Portable signs shall be erected.." In the third sentence; change "Signs must be erected so that the sign face…" to "Post-mounted signs must also be erected so that the sign face…"

652.4 Flaggers Replace the first paragraph with the following; "The Contractor shall furnish flaggers as required by the TCP or as otherwise specified by the Resident. All flaggers must have successfully completed a flagger test approved by the Department and administered by a Department-approved Flagger-Certifier who is employing that flagger. All flaggers must carry an official certification card with them while flagging that has been issued by their employer. Flaggers shall wear safety apparel meeting ANSI 107-1999 Class 2 risk exposure and clearly identify the wearer as a person, shall be visible at a minimum distance of 300 m [1000 ft], and shall wear a hardhat with retroreflectivity. For nighttime conditions, Class 3 apparel should be considered, retroreflective or flashing SLOW/STOP paddles shall be used, and except in emergency situations the flagger station shall be illuminated to assure visibility."

Second paragraph, first sentence; change "…have sufficient distance to stop before entering the workspace." to "…have sufficient distance to stop at the intended stopping point." Third sentence; change "At a spot obstruction…" to "At a spot obstruction with adequate sight distance,…"

Fourth paragraph, delete and replace with "Flaggers shall be provided as a minimum, a 10 minute break, every 2 hours and a 30 minute or longer lunch period away from the work station. Flaggers may only receive 1 unpaid break per day; all other breaks must be paid. Sufficient certified flaggers shall be available onsite to provide for continuous flagging operations during break periods. Breaker flaggers will not be paid for separately, but shall be considered incidental to the appropriate pay item."

652.8.2 Other Items Replace the last paragraph with the following: "There will be no payment made under any 652 pay items after the expiration of the adjusted total contract time."

SECTION 653
POLYSTYRENE PLASTIC INSULATION

653.05 Placing Backfill In the second sentence; change "…shall be not less than 150 mm [6 in] loose measure." to "…shall be not less than 250 mm [10 in] loose measure." In the third sentence; change "…crawler type bulldozer of not more than 390 kg/m^2 [80 lb/ft^2] ground contact pressure…" to "…crawler type bulldozer of not more than 4875 kg/m^2 [2000 lb/ft^2] ground contact pressure…"

653.06 Compaction In the last sentence; change "…not more than 390 kg/m^2 [80 lb/ft^2] ground contact…" to "…not more than 4875 kg/m^2 [2000 lb/ft^2] ground contact…"

SECTION 656
TEMPORARY SOIL EROSION AND WATER POLLUTION CONTROL

656.5.1 If Pay Item 656.75 Provided Replace the second paragraph with the following: "Failure by the Contractor to follow Standard Specification or Special Provision - Section 656 and/or the Contractor's own Soil Erosion and Pollution Control Plan will result in a reduction in payment, computed by reducing the Lump Sum Total by 5% per occurrence per day. The Department's Resident or any other representative of the Department reserves the right to suspend the work at any time and request a meeting to discuss violations and remedies. The Department shall not be held responsible for any delay in the work due to any suspension under this item."

SECTION 701
STRUCTURAL CONCRETE RELATED MATERIALS

701.10 Fly Ash - Chemical Requirements Change all references from "ASTM C311" to "ASTM C114".

SECTION 703
AGGREGATES

703.05 Aggregate for Sand Leveling Change the percent passing the 9.5 mm [3/8 in] sieve from "85 – 10" to "85 – 100"

703.06 Aggregate for Base and Subbase Delete the first paragraph: "The material shall have…" and replace with "The material shall have a minimum degradation value of 15 as determined by Washington State DOT Test Method T113, Method of Test for Determination of Degradation Value (March 2002 version), except that the reported degradation value will be the result of testing a single specimen from that portion of a sample that passes the 12.5 mm [½ in] sieve and is retained on the 2.00 mm [No. 10] sieve, minus any reclaimed asphalt pavement used."

703.07 Aggregates for HMA Pavements Delete the forth paragraph: "The composite blend shall have…" and replace with "The composite blend, minus any reclaimed asphalt pavement used, shall have a Micro-Deval value of 18.0 or less as determined by AASHTO T 327. In the event the material exceeds the Micro Deval limit, a Washington Degradation test shall be performed. The material shall be acceptable if it has a value of 30 or more as determined by Washington State DOT Test Method T 113, Method of Test for Determination of Degradation Value (March 2002 version) except that the reported degradation value will be the result of testing a single composite specimen from that portion of the sample that passes the 12.5mm [1/2 inch] sieve and is retained on the 2.00mm [No 10] sieve, minus any reclaimed asphalt pavement used."

703.18 Common Borrow Replace the first paragraph with the following: "Common borrow shall consist of earth, suitable for embankment construction. It shall be free from frozen material, perishable rubbish, peat, and other unsuitable material including material currently or

previously contaminated by chemical, radiological, or biological agents unless the material is from a DOT project and authorized by DEP for use."

703.22 Underdrain Backfill Material Change the first paragraph from "…for Underdrain Type B…" to "…for Underdrain Type B and C…"

SECTION 706
NON-METALLIC PIPE

706.06 Corrugated Polyethylene Pipe for Underdrain, Option I and Option III Culvert Pipe Change the first sentence from "…300 mm diameters to 900 mm" to "…300 mm diameters to 1200 mm" Delete, in it's entirety, the last sentence which begins "This pipe and resins…" and replace with the following; "The manufacturing plants of polyethylene pipe shall be certified by the Eastern States Consortium. Polyethylene pipe shall be accepted based on third party certification by the AASHTO's National Transportation Product Evaluation Program."

SECTION 709
REINFORCING STEEL AND WELDED STEEL WIRE FABIC

709.03 Steel Strand Change the second paragraph from "…shall be 12mm [½ inch] AASHTO M203M/M203 (ASTM A416/A416M)…" to "…shall be 15.24 mm [0.600 inch] diameter AASHTO M203 (ASTM A416)…"

SECTION 710
FENCE AND GUARDRAIL

710.03 Chain Link Fabric Add the following sentence: "Chain Link fabric for PVC coated shall conform to the requirements of AASHTO M181, Type IV-Class B."

710.07 Guardrail Posts Section b. change "…AASHTO M183/M183M…" to "…AASHTO M 270M/M 270 Grade 250 (36)…"

SECTION 712
MISCELLANEOUS HIGHWAY MATERIALS

712.06 Precast Concrete Units In the first paragraph, change "…ASTM C478M…" to "…AASHTO M199…" Delete the second paragraph and replace with the following; "Approved structural fibers may be used as a replacement of 6 x 6 #10 gauge welded wire fabric when used at an approved dosage rate for the construction of manhole and catch basin units. The material used shall be one of the products listed on the Maine Department of Transportation's Approved Product List of Structural Fiber Reinforcement." Delete the fifth paragraph and replace with the following; "The concrete mix design shall be approved by the Department. Concrete shall contain 6% air content, plus or minus 1½% tolerance when tested according to AASHTO T152. All concrete shall develop a minimum compressive strength of 28 MPa [4000 psi] in 28 days when tested according to AASHTO T22. The absorption of a specimen, when tested according to AASHTO T280, Test Method "A", shall not exceed nine percent of the dry mass."

Add the following:
"712.07 Tops, and Traps These metal units shall conform to the plan dimensions and to the following specification requirements for the designated materials.

Gray iron or ductile iron castings shall conform to the requirements of AASHTO M306 unless otherwise designated.

712.08 Corrugated Metal Units The units shall conform to plan dimensions and the metal to AASHTO M36/M36M. Bituminous coating, when specified, shall conform to AASHTO M190 Type A.

712.09 Catch Basin and Manhole Steps Steps for catch basins and for manholes shall conform to ASTM C478M [ASTM C478], Section 13 for either of the following material:

(a) Aluminum steps-ASTM B221M, [ASTM B211] Alloy 6061-T6 or 6005-T5.

(b) Reinforced plastic steps Steel reinforcing bar with injection molded plastic coating copolymer polypropylene. Polypropylene shall conform to ASTM D 4101.

712.23 Flashing Lights Flashing Lights shall be power operated or battery operated as specified.

(a) Power operated flashing lights shall consist of housing, adapters, lamps, sockets, reflectors, lens, hoods and other necessary equipment designed to give clearly visible signal indications within an angle of at least 45 degrees and from 3 to 90 m [10 to 300 ft] under all light and atmospheric conditions.

Two circuit flasher controllers with a two-circuit filter capable of providing alternate flashing operations at the rate of not less than 50 nor more than 60 flashes per minute shall be provided.

The lamps shall be 650 lumens, 120 volt traffic signal lamps with sockets constructed to properly focus and hold the lamp firmly in position.
The housing shall have a rotatable sun visor not less than 175 mm [7 in] in length designed to shield the lens.

Reflectors shall be of such design that light from a properly focused lamp will reflect the light rays parallel. Reflectors shall have a maximum diameter at the point of contact with the lens of approximately 200 mm [8 in].

The lens shall consist of a round one-piece convex amber material which, when mounted, shall have a visible diameter of approximately 200 mm [8 in]. They shall distribute light and not diffuse it. The distribution of the light shall be asymmetrical in a downward direction. The light distribution of the lens shall not be uniform, but shall consist of a small high intensity portion with narrow distribution for long distance throw and a larger low intensity portion with wide distribution for short distance throw. Lenses shall be marked to indicate the top and bottom of the lens.

(b) Battery operated flashing lights shall be self- illuminated by an electric lamp behind the lens. These lights shall also be externally illuminated by reflex-reflective elements built into the lens to enable it to be seen by reflex-reflection of the light from the headlights of oncoming traffic. The batteries must be entirely enclosed in a case. A locking device must secure the case. The light shall have a flash rate of not less than 50 nor more than 60 flashes per minute from minus 30 °C [minus 20 °F] to plus 65 °C [plus 150 °F]. The light shall have an on time of not less than 10 percent of the flash cycle. The light beam projected upon a surface perpendicular to the axis of the light beam shall produce a lighted rectangular projection whose minimum horizontal dimension shall be 5 degrees each side of the horizontal axis. The effective intensity shall not have an initial value greater than 15.0 candelas or drop below 4.0 candelas during the first 336 hours of continuous flashing. The illuminated lens shall appear to be uniformly bright over its entire illuminated surface when viewed from any point within an angle of 9 degrees each side of the vertical axis and 5 degrees each side of the horizontal axis. The lens shall not be less than 175 mm [7 in] in diameter including a reflex-reflector ring of 13 mm [½ in] minimum width around the periphery. The lens shall be yellow in color and have a minimum relative luminous transmittance of 0.440 with a luminance of 2854° Kelvin. The lens shall be one-piece construction. The lens material shall be plastic and meet the luminous transmission requirements of this specification. The case containing the batteries and circuitry shall be constructed of a material capable of withstanding abuse equal to or greater than 1.21 mm thick steel [No. 18 U.S. Standard Gage Steel]. The housing and the lens frame, if of metal shall be properly cleaned, degreased and pretreated to promote adhesion. It shall be given one or more coats of enamel which, when dry shall completely obscure the metal. The enamel coating shall be of such quality that when the coated case is struck a light blow with a sharp tool, the paint will not chip or crack and if scratched with a knife will not powder. The case shall be so constructed and closed as to exclude moisture that would affect the proper operation of light. The case shall have a weep hole to allow the escape of moisture from condensation. Photoelectric controls, if provided, shall keep the light operating whenever the ambient light falls below 215 lx [20 foot candles]. Each light shall be plainly marked as to the manufacturer's name and model number.

If required by the Resident, certification as to conformance to these specifications shall be furnished based on results of tests made by an independent testing laboratory. All lights are subject to random inspection and testing. All necessary random samples shall be provided to the Resident upon request without cost to the Department. All such samples shall be returned to the Contractor upon completion of the tests.

712.32 Copper Tubing Copper tubing and fittings shall conform to the requirements of ASTM B88M Type A [ASTM B88, Type K] or better.

712.33 Non-metallic Pipe, Flexible Non-metallic pipe and pipe fittings shall be acceptable flexible pipe manufactured from virgin polyethylene polymer suitable for transmitting liquids intended for human or animal consumption.

712.34 Non-metallic Pipe, Rigid Non-metallic pipe shall be Schedule 40 polyvinylchloride (PVC) that meets the requirement of ASTM D1785. Fittings shall be of the same material.

712.341 Metallic Pipe Metallic pipe shall be ANSI, Standard B36.10, Schedule 40 steel pipe conforming to the requirements of ASTM A53 Types E or S, Grade B. End plates shall be steel conforming to ASTM A36/A36M.

Both the sleeve and end plates shall be hot dip galvanized. Pipe sleeve splices shall be welded splices with full penetration weld before galvanizing.

712.35 Epoxy Resin Epoxy resin for grouting or sealing shall consist of a mineral filled thixotropic, flexible epoxy resin having a pot life of approximately one hour at 10°C [50°F]. The grout shall be an approved product suitable for cementing steel dowels into the preformed holes of curb inlets and adjacent curbing. The sealant shall be an approved product, light gray in color and suitable for coating the surface.

712.36 Bituminous Curb The asphalt cement for bituminous curb shall be of the grade required for the wearing course, or shall be Viscosity Grade AC-20 meeting the current requirements of Subsection 702.01 Asphalt Cement. The aggregate shall conform to the requirements of Subsection 703.07. The coarse aggregate portion retained on the 2.36 mm [No. 8] sieve may be either crushed rock or crushed gravel.

The mineral constituents of the bituminous mixture shall be sized and graded and combined in a composite blend that will produce a stable durable curbing with an acceptable texture.

Bituminous material for curb shall meet the requirements of Section 403 - Hot Bituminous Pavement.

712.37 Precast Concrete Slab Portland cement concrete for precast slabs shall meet the requirements of Section 502 - Structural Concrete, Class A.

The slabs shall be precast to the dimension shown on the plans and cross section and in accordance with the Standard Detail plans for Concrete Sidewalk Slab. The surface shall be finished with a float finish in accordance with Subsection 502.14(c). Lift devices of sufficient strength to hold the slab while suspended from cables shall be cast into the top or back of the slab.

712.38 Stone Slab Stone slabs shall be of granite from an acceptable source, hard, durable, predominantly gray in color, free from seams which impair the structural integrity and be of smooth splitting character. Natural color variations characteristic of the deposit will be permitted. Exposed surfaces shall be free from drill holes or indications of drill holes. The granite slabs in any one section of backslope must be all the same finish.

The granite slabs shall be scabble dressed or sawed to an approximately true plane having no projections or depressions over 13 mm [½ in] under a 600 mm [2 ft] straightedge or over 25 mm [1 in] under a 1200 mm [4 ft] straightedge. The arris at the intersection of the top surface and exposed front face shall be pitched so that the arris line is uniform throughout the length of the installed slabs. The sides shall be square to the exposed face unless the slabs are to be set on a radius or other special condition which requires that the joints be cut to fit, but in any case shall be so finished that when the stones are placed side by side no space more than 20 mm [3/4 in] shall show in the joint for the full exposed height.

Liftpin holes in all sides will be allowed except on the exposed face.

SECTION 717
ROADSIDE IMPROVEMENT MATERIAL

717.03 C. Method #3 - Roadside Mixture #3 Change the seed proportions to the following:

Crown Vetch	25%
Perennial Lupine	25%
Red Clover	12.5%
Annual Rye	37.5%

717.05 Mulch Binder Change the third sentence to read as follows:

"Paper fiber mulch may be used as a binder at the rate of 2.3 kg/unit [5 lb/unit]."

SECTION 720
STRUCTURAL SUPPORTS FOR HIGHWAY SIGNS, LUMINAIRES, AND TRAFFIC SIGNALS

720.08 U-Channel Posts Change the first sentence from "…, U-Channel posts…" to "…, Rib Back U-Channel posts…"

SECTION 722
GEOTEXTILES

722.01 Stabilization/Reinforcement Geotextile Add the following to note #3; "The strengths specified in the columns labeled"<50%" and "≥ 50%" refer to the elongation at which the geotextile material was tested. For example; if a fabric is tested at 15% elongation then it must meet or exceed the minimum strength shown in the "<50%" column. Submittals must include the percent elongation at which the material was tested."

722.02 Drainage Geotextile Add the following to note #3; "The strengths specified in the columns labeled"<50%" and "≥ 50%" refer to the elongation at which the geotextile material was tested. For example; if a fabric is tested at 15% elongation then it must meet or exceed the minimum strength shown in the "<50%" column. Submittals must include the percent elongation at which the material was tested."

722.01 Erosion Control Geotextile Add the following note to Elongation in the Mechanical Property Table; "The strengths specified in the columns labeled"<50%" and "≥ 50%" refer to the elongation at which the geotextile material was tested. For example; if a fabric is tested at 15% elongation then it must meet or exceed the minimum strength shown in the "<50%" column. Submittals must include the percent elongation at which the material was tested."

APPENDIX A TO DIVISION 100

SECTION 1 - BIDDING PROVISIONS

A. Federally Required Certifications By signing and delivering a Bid, the Bidder certifies as provided in all certifications set forth in this Appendix A - Federal Contract Provisions Supplement including:

- Certification Regarding No Kickbacks to Procure Contract as provided on this page 1 below.
- Certification Regarding Non-collusion as provided on page 1 below.
- Certification Regarding Non-segregated Facilities as provided by FHWA Form 1273, section III set forth on page 21 below.
- "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion" as provided by FHWA Form 1273, section XI set forth on page 32 below.
- "Certification Regarding Use of Contract Funds for Lobbying" as provided by FHWA Form 1273, section XII set forth on page 35 below.

Unless otherwise provided below, the term "Bidder", for the purposes of these certifications, includes the Bidder, its principals, and the person(s) signing the Bid. Upon execution of the Contract, the Bidder (then called the Contractor) will again make all the certifications indicated in this paragraph above. Upon execution of the Contract, the Bidder (then called the Contractor) will again make all the certifications indicated in this paragraph above.

CERTIFICATION REGARDING NO KICKBACKS TO PROCURE CONTRACT Except expressly stated by the Bidder on sheets submitted with the Bid (if any), the Bidder hereby certifies, to the best of its knowledge and belief, that it has not:

(A) employed or retained for a commission, percentage, brokerage, contingent fee, or other consideration, any firm or person (other then a bona fide employee working solely for me) to solicit or secure this contract;

(B) agreed, as an express or implied condition for obtaining this contract, to employ or retain the services of any firm or person in connection with carrying out the contract, or;

C) paid, or agreed to pay, to any firm, organization, or person (other than a bona fide employee working solely for me) any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out the contract;

By signing and submitting a Bid, the Bidder acknowledges that this certification is to be furnished to the Maine Department of Transportation and the Federal Highway Administration, U.S. Department of Transportation in connection with this contract in anticipation of federal aid highway funds and is subject to applicable state and federal laws, both criminal and civil.

CERTIFICATION REGARDING NONCOLLUSION Under penalty of perjury as provided by federal law (28 U.S.C. §1746), the Bidder hereby certifies, to the best of its knowledge and belief, that:

the Bidder has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of competitive bidding in connection with the Contract.

For a related provisions, see Section 102.7.2 (C) of the Standard Specifications - "Effects of Signing and Delivery of Bids" - "Certifications", Section 3 of this Appendix A entitled "Other Federal Requirements" including section XI - "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion" and section XII. - "Certification Regarding Use of Contract Funds for Lobbying."

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B. Bid Rigging Hotline To report bid rigging activities call: **1-800-424-9071**

The U.S. Department of Transportation (DOT) operates the above toll-free "hotline" Monday through Friday, 8:00 a.m. to 5:00 p.m., eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the "hotline" to report such activities.

The "hotline" is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

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SECTION 2 - FEDERAL EEO AND CIVIL RIGHTS REQUIREMENTS

Unless expressly otherwise provided in the Bid Documents, the provisions contained in this Section 2 of this "Federal Contract Provisions Supplement" are hereby incorporated into the Bid Documents and Contract.

A. Nondiscrimination & Civil Rights - Title VI The Contractor and its subcontractors shall not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. The Contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT assisted contracts. Failure by the Contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the Department deems appropriate. The Contractor and subcontractors shall comply with Title VI of the Civil Rights Act of 1964, as amended, and with all State of Maine and other Federal Civil Rights laws.

For related provisions, see Subsection B - "Nondiscrimination and Affirmative Action - Executive Order 11246" of this Section 2 and Section 3 - Other Federal Requirements of this "Federal Contract Provisions Supplement" including section II - "Nondiscrimination" of the "Required Contract Provisions, Federal Aid Construction Contracts", FHWA-1273.

B. Nondiscrimination and Affirmative Action - Executive Order 11246 Pursuant to Executive Order 11246, which was issued by President Johnson in 1965 and amended in 1967 and 1978, this Contract provides as follows.

The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its efforts to achieve maximum results from its actions. The Contractor shall

document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:

1. Ensure and maintain a working environment free of harassment, intimidations, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all forepersons, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.

2. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its union have employment opportunities available, and to maintain a record of the organization's responses.

3. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions the Contractor may have taken.

4. Provide immediate written notification to the Department's Civil Rights Office when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Design-Builder's efforts to meet its obligations.

5. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under B above.

6. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligation; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.

7. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination, or other employment decisions including specific review

of these items with on-site supervisory personnel such as Superintendents, General Forepersons, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

8. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractor's and Subcontractors with whom the Contractor does or anticipates doing business.

9. Direct its recruitment efforts, both orally and written to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later that one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above describing the openings, screenings, procedures, and test to be used in the selection process.

10. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth, both on the site and in other areas of a Contractor's workforce.

11. Validate all tests and other selection requirements.

12. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.

13. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.

14. Ensure that all facilities and company activities are non segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.

15. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction Contractor's and suppliers, including circulation of solicitations to minority and female Contractor associations and other business associations.

16. Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.

C. Goals for Employment of Women and Minorities Per Executive Order 11246, craft tradesperson goals are 6.9% women and .5% minorities employed. However, goals may be adjusted upward at the mutual agreement of the Contractor and the Department. Calculation of these percentages shall not include On-the-Job Training Program trainees, and shall not include clerical or field clerk position employees.

For a more complete presentation of requirements for such Goals, see the federally required document "Goals for Employment of Females and Minorities" set forth in the next 6 pages below.

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Start of GOALS FOR EMPLOYMENT OF FEMALES AND MINORITIES
Federally Required Contract Document

§60-4.2 Solicitations

(d) The following notice shall be included in, and shall be part of, all solicitations for offers and bids on all Federal and federally assisted construction contracts or subcontracts in excess of $10,000 to be performed in geographical areas designated by the Director pursuant to §60-4.6 of this part (see 41 CFR 60-4.2(a)):

Notice of Requirement for Affirmative Action to Ensure Equal Opportunity (Executive Order 11246)

1. The Offeror's or bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.

2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

Goals for female participation in each trade 6.9%

Goals for minority participation for each trade
Maine
001 Bangor, ME 0.8%
Non-SMSA Counties (Aroostook, Hancock, Penobscot, Piscataquis, Waldo, Washington)

002 Portland-Lewiston, ME
SMSA Counties: 4243 Lewiston-Auburn, ME 0.5%
(Androscoggin)

6403 Portland, ME 0.6%
(Cumberland, Sagadahoc)

Non-SMSA Counties: 0.5%
(Franklin, Kennebec, Knox, Lincoln, Oxford, Somerset, York)

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non federally involved construction.

The contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be in violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of $10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor, employer identification number of the subcontractor, estimated dollar amount of the subcontract; estimated started and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed.

4. As used in this Notice, and in the Contract resulting from this solicitation, the "covered area" is (insert description of the geographical areas where the contract is to be performed giving the state, county and city, if any).

STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS (EXECUTIVE ORDER 11246)

1. As used in these specifications:

   a. "Covered area" means the geographical area described in the solicitation from which this contract resulted;

   b. "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;

   c. "Employer identification number" means the Federal Social Security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department form 941;

   d. "Minority" includes:
      (i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);

(ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);

(iii) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and

(iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of the North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

2. Whenever the Contractor, or any subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of $10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.

3. If the contractor, is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors for Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.

4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7 a. through p. of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in contractors performing construction work in geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical areas where the work is being performed. Goals are published periodically in the Federal Register in notice form and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specific.

5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant, thereto.

6. In order for the non working training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the

apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.

7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as expensive as the following:

   a. Ensure and maintain a working environment free of harassment, intimidation, coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, when possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.

   b. Establish and maintain a current list of minority and female recruitment sources provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organization's responses.

   c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment sources or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions the Contractor may have taken.

   d. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.

   e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources complied under 7b above.

   f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific

review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.

g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foremen, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.

i. Direct its recruitment, efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing prior to the date for the acceptance of applications for apprenticeship or the openings, screening procedures, and tests to be used in the selection process.

j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on site and in other areas of a Contractor's work force.

k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.

l. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.

m. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.

n. Ensure that all facilities and company activities are non segregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.

o. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of

solicitation to minority and female contractor associations and other business associations.

p. Conduct a review, at least annually, of all supervisor's adherence to and performance under the Contractor's EEO policies and affirmative action obligations.

8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7 a through p.). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7 a through p. of these specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program and reflected in the Contractor's minority and female work force participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions take on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.

9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both make and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, specific minority group of women is underutilized.)

10. The Contractor shall not use the goals and timetables or affirmative action even through the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if standards to discriminate against any person because of race, color, religion, sex, or national origin.

11. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.

12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementation regulations by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.

13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the

requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.6.

14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g. mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and location sat which the work was performed. Records be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.

15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

End of GOALS FOR EMPLOYMENT OF FEMALES AND MINORITIES
Federally Required Contract Document

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D. Disadvantaged Business Enterprise (DBE) Requirements The Department has established an annual Disadvantaged Business Enterprise goal to be achieved through race neutral means. This goal will adjusted periodically and will be provided by Supplemental Provision. The Contractor shall comply with all provisions of this section regarding DBE participation and the Department's latest version of the Disadvantaged Business Enterprise Program Manual, said Manual being incorporated herein by reference. In the case of conflict between this Contract and said Manual, this Contract shall control. The Department reserves the right to adjust DBE goals on a project-by-project basis by addendum.

Policy. It is the Department's policy that DBEs as defined in 23 CFR Part 26 and referenced in the Transportation Equity Act for 21st Century of 1998, as amended from the Surface Transportation Uniform Relocation Assistance Act of 1987, and the Intermeddle Surface Transportation Efficiency Act of 1991. The intent hereto remains to provide the maximum opportunity for DBEs to participate in the performance of contracts financed in whole or in part with federal funds.

The Department and its Contractors shall not discriminate on the basis of race, color, national origin, ancestry, sex, age, or disability in the award and performance of DOT assisted contracts.

Disadvantaged Business Enterprises are those so certified by the Maine Department of Transportation Civil Rights Office prior to bid opening date.

The Department has determined that elements of a good faith effort to meet the contract goal include but are not limited to the following:

1. Whether the Contractor advertised in general circulation, trade association, and minority/women's-focus media concerning the subcontracting opportunities;

2. Whether the Contractor provided written notice to a reasonable number of specific DBEs that their interest in the contract is being solicited;

3. Whether the Contractor followed up on initial solicitations of interest by contacting DBEs to determine with certainty whether the DBEs were interested;

4. Whether the Contractor selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the DBE goals;

5. Whether the Contractor provided interested DBEs with adequate information about the plans, specification and requirements of the contract;

6. Whether the Contractor negotiated in good faith with interested DBEs, not rejecting the DBE as unqualified without sound reasons based on a thorough investigation of their capabilities;

7. Whether the Contractor made efforts to assist interested DBEs with other appropriate technical/financial assistance required by the Department or Contractor;

8. Whether the Contractor effectively used the services of available minority/women's community organizations, minority/women's business assistance offices; and other organizations that provide assistance in the recruitment and placement of DBEs.

Substitutions of DBEs. The following may be acceptable reasons for Civil Rights Office approval of such a change order:

- The DBE defaults, voluntarily removes itself or is over-extended;
- The Department deletes portions of the work to by performed by the DBE.

It is not intended that the ability to negotiate a more advantageous contract with another certified DBE be considered a valid basis for such a change in DBE utilization once the DBE Bid Submission review has been passed. Any requests to alter the DBE commitment must be in writing and included with the change order.

Failure to carry out terms of this Standard Specification shall be treated as a violation of this contract and will result in contract sanctions which may include withholding of partial payments totaling the creditable dollars amount which would have been paid for said DBE participation, termination of this contract or other measures which may affect the ability of the Contractor to obtain Department contracts.

Copies of the Maine Department of Transportation's DBE Program may be obtained from:
Maine Department of Transportation
Civil Rights Office
#16 State House Station
Augusta, Maine 04333-0016
tel. (207) 624-3519

Quarterly Reporting Requirement. The Contractor must submit Semi-annual reports of actual dollars paid to Disadvantaged Business Enterprises (DBE's) on this Project to the MDOT Civil Rights Office by the end of the third week of April and October for the period covering the preceding six months considered Federal Fiscal Year periods. The reports will be submitted directly to the Civil Rights Office on the form provided in the latest version of the DBE Program Manual. Failure to submit the report by the deadline may result in a withholding of approval of partial payment estimates by the Department.

SECTION 3 - OTHER FEDERAL REQUIREMENTS

Unless expressly otherwise provided in the Bid Documents, the provisions contained in this Section 3 of this "Federal Contract Provisions Supplement" are hereby incorporated into the Bid Documents and Contract.

A. Buy America

If the cost of products purchased for permanent use in this project which are manufactured of steel, iron or the application of any coating to products of these materials exceeds 0.1 percent of the contract amount, or $2,500.00, whichever is greater, the products shall have been manufactured and the coating applied in the United States. The coating materials are not subject to this clause, only the application of the coating. In computing that amount, only the cost of the product and coating application cost will be included.

Ore, for the manufacture of steel or iron, may be from outside the United States; however, all other manufacturing processes of steel or iron must be in the United States to qualify as having been manufactured in the United States.

United States includes the 50 United States and any place subject to the jurisdiction thereof.

Products of steel include, but are not limited to, such products as structural steel, piles, guardrail, steel culverts, reinforcing steel, structural plate and steel supports for signs, luminaries and signals.

Products of iron include, but are not limited to, such products as cast iron grates.

Application of coatings include, but are not limited to, such applications as epoxy, galvanized and paint.

To assure compliance with this section, the Contractor shall submit a certification letter on its letterhead to the Department stating the following:

> "This is to certify that products made of steel, iron or the application of any coating to products of these materials whose costs are in excess of $2,500.00 or 0.1 percent of the original contract amount, whichever is greater, were manufactured and the coating, if one was required, was applied in the United States."

B. Materials

a. Convict Produced Materials References: 23 U.S.C. 114(b)(2), 23 CFR 635.417

Applicability: FHWA's prohibition against the use of convict material only applies to Federal-aid highways. Materials produced after July 1, 1991, by convict labor may only be incorporated in a Federal-aid highway construction project if: 1) such materials have been produced by convicts who are on parole, supervised release, or probation from a prison; or 2) such material has been produced in a qualified prison facility, e.g., prison industry, with the amount produced during any 12-month period, for use in Federal-aid projects, not exceeding the amount produced, for such use, during the 12-month period ending July 1, 1987.

Materials obtained from prison facilities (e.g., prison industries) are subject to the same requirements for Federal-aid participation that are imposed upon materials acquired from other sources. Materials manufactured or produced by convict labor will be given no preferential treatment.

The preferred method of obtaining materials for a project is through normal contracting procedures which require the contractor to furnish all materials to be incorporated in the work. The contractor selects the source, public or private, from which the materials are to be obtained (23 CFR 635.407). Prison industries are prohibited from bidding on projects directly (23 CFR 635.112e), but may act as material supplier to construction contractors.

Prison materials may also be approved as State-furnished material. However, since public agencies may not bid in competition with private firms, direct acquisition of materials from a prison industry for use as State-furnished material is subject to a public interest finding with the Division Administrator's concurrence (23 CFR 635.407d). Selection of materials produced by convict labor as State-furnished materials for mandatory use should be cleared prior to the submittal of the Plans Specifications & Estimates (PS&E).

b. Patented/Proprietary Products References: 23 U.S.C. 112, 23 CFR 635.411

FHWA will not participate, directly or indirectly, in payment for any premium or royalty on any patented or proprietary material, specification, or process specifically set forth in the plans and specifications for a project, unless:

- the item is purchased or obtained through competitive bidding with equally suitable unpatented items,
- the STA certifies either that the proprietary or patented item is essential for synchronization with the existing highway facilities or that no equally suitable alternative exists, or
- the item is used for research or for a special type of construction on relatively short sections of road for experimental purposes. States should follow FHWA's procedures for "Construction Projects Incorporating Experimental Features" (expermnt.htm) for the submittal of work plans and evaluations.

The primary purpose of the policy is to have competition in selection of materials and allow for development of new materials and products. The policy further permits materials and products that are judged equal may be bid under generic specifications. If only patented or proprietary products are acceptable, they shall be bid as alternatives with all, or at least a

reasonable number of, acceptable materials or products listed; and the Division Administrator may approve a single source if it can be found that its utilization is in the public interest.

Trade names are generally the key to identifying patented or proprietary materials. Trade name examples include 3M, Corten, etc. Generally, products identified by their brand or trade name are not to be specified without an "or equal" phrase, and, if trade names are used, all, or at least a reasonable number of acceptable "equal" materials or products should be listed. The licensing of several suppliers to produce a product does not change the fact that it is a single product and should not be specified to the exclusion of other equally suitable products.

c. State Preference References: 23 U.S.C. 112, 23 CFR 635.409

Materials produced within Maine shall not be favored to the exclusion of comparable materials produced outside of Maine. State preference clauses give particular advantage to the designated source and thus restrict competition. Therefore, State preference provisions shall not be used on any Federal-aid construction projects.

This policy also applies to State preference actions against materials of foreign origin, except as otherwise permitted by Federal law. Thus, States cannot give preference to in-State material sources over foreign material sources. Under the Buy America provisions, the States are permitted to expand the Buy America restrictions provided that the STA is legally authorized under State law to impose more stringent requirements.

d. State Owned/Furnished/Designated Materials References: 23 U.S.C. 112, 23 CFR 635.407

Current FHWA policy requires that the contractor must furnish all materials to be incorporated in the work, and the contractor shall be permitted to select the sources from which the materials are to be obtained. Exceptions to this requirement may be made when there is a definite finding, by MDOT and concurred in by Federal Highway Administration's (FHWA) Division Administrator, that it is in the public interest to require the contractor to use materials furnished by the MDOT or from sources designated by MDOT. The exception policy can best be understood by separating State-furnished materials into the categories of manufactured materials and local natural materials.

Manufactured Materials When the use of State-furnished manufactured materials is approved based on a public interest finding, such use must be made mandatory. The optional use of State-furnished manufactured materials is in violation of our policy prohibiting public agencies from competing with private firms. Manufactured materials to be furnished by MDOT must be acquired through competitive bidding, unless there is a public interest finding for another method, and concurred in by FHWA's Division Administrator.

Local Natural Materials When MDOT owns or controls a local natural materials source such as a borrow pit or a stockpile of salvaged pavement material, etc., the materials may be designated for either optional or mandatory use; however, mandatory use will require a public interest finding (PIF) and FHWA's Division Administrator's concurrence.

In order to permit prospective bidders to properly prepare their bids, the location, cost, and any conditions to be met for obtaining materials that are made available to the contractor shall be stated in the bidding documents.

Mandatory Disposal Sites Normally, the disposal site for surplus excavated materials is to be of the contractor's choosing; although, an optional site(s) may be shown in the contract provisions. A mandatory site shall be specified when there is a finding by MDOT, with the concurrence of the Division Administrator, that such placement is the most economical or that the environment would be substantially enhanced without excessive cost. Discussion of the mandatory use of a disposal site in the environmental document may serve as the basis for the public interest finding.

Summarizing FHWA policy for the mandatory use of borrow or disposal sites:

- mandatory use of either requires a public interest finding and FHWA's Division Administrator's concurrence,
- mandatory use of either may be based on environmental consideration where the environment will be substantially enhanced without excessive additional cost, and
- where the use is based on environmental considerations, the discussion in the environmental document may be used as the basis for the public interest finding.

Factors to justify a public interest finding should include such items as cost effectiveness, system integrity, and local shortages of material.

C. Standard FHWA Contract Provisions - FHWA 1273

Unless expressly otherwise provided in the Bid Documents, the following "Required Contract Provisions, Federal Aid Construction Contracts", FHWA-1273, are hereby incorporated into the Bid Documents and Contract.

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Start of FHWA 1273 REQUIRED CONTRACT PROVISIONS
FEDERAL-AID CONSTRUCTION CONTRACTS(As revised through March 10, 1994)

I. GENERAL

1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.

3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.

4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

   Section I, paragraph 2;
   Section IV, paragraphs 1, 2, 3, 4, and 7;
   Section V, paragraphs 1 and 2a through 2g.

5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.

6. Selection of Labor: During the performance of this contract, the contractor shall not:

   a. discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or

   b. employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

II. NONDISCRIMINATION (Applicable to all Federal-aid construction contracts and to all related subcontracts of $10,000 or more.)

1. Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:
   a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.

   b. The contractor will accept as his operating policy the following statement:
   "It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment,

upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training."

2. EEO Officer. The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.

3. Dissemination of Policy. All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

   a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

   b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

   c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.

   d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

   e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. Recruitment. When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.

   a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)

c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.

5. Personnel Actions. Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

   a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

   b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

   c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

   d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

6. Training and Promotion.

   a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.

   b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision

for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.

7. Unions. If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor's association acting as agent will include the procedures set forth below:

   a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.

   b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

   c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.

   d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the SHA.

8. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment. The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.

b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.

c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.

9. Records and Reports. The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and

(4) The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.

b. All such records must be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the MDOT and the Federal Highway Administration.

The Contractor will submit to the MDOT a report for the month of July, indicating the total hours worked by minority, women and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form PR-1391. If on-the-job training is being required by "Training Special Provision," the Contractor will be required to furnish Form FHWA-1409. The report is required for week ending July 15 and can be obtained from MDOT, is due by week ending August 20th. This report is to be furnished directly to MDOT - Civil Rights Office.

III. NONSEGREGATED FACILITIES (Applicable to all Federal-aid construction contracts and to all related subcontracts of $10,000 or more.)

a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.

b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, time clocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).

c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of $10,000 or more and that it will retain such certifications in its files.

IV. PAYMENT OF PREDETERMINED MINIMUM WAGE (Applicable to all Federal-aid construction contracts exceeding $2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

1. General:

   a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the

provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.

b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.

c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

2. Classification:

a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.

b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:

(1) the work to be performed by the additional classification requested is not performed by a classification in the wage determination;

(2) the additional classification is utilized in the area by the construction industry;

(3) the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and

(4) with respect to helpers, when such a classification prevails in the area in which the work is performed.

c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary

e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

3. Payment of Fringe Benefits:

a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.

b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

4. Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:

a. Apprentices:

(1) Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.

(2) The allowable ratio of apprentices to journeyman-level employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor

as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

(3) Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

(4) In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

b. Trainees:

(1) Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.

(2) The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(3) Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which case such trainees shall receive the same fringe benefits as apprentices.

(4) In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Helpers. Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV.2. Any worker listed on a payroll at a helper wage rate, who is not a helper under a approved definition, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.

5. Apprentices and Trainees (Programs of the U.S. DOT). Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

6. Withholding. The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

7. Overtime Requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4

and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

8. Violation. Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of $10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

9. Withholding for Unpaid Wages and Liquidated Damages. The SHA shall upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

V. STATEMENTS AND PAYROLLS (Applicable to all Federal-aid construction contracts exceeding $2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

1. Compliance with Copeland Regulations (29 CFR 3). The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

2. Payrolls and Payroll Records:

   a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.

   b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in

Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.

c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices, trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted shall set out accurately and completely all of the information required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029-005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.

d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

   (1) that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;

   (2) that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;

   (3) that each laborer or mechanic has been paid not less that the applicable wage rate and fringe benefits or cash equivalent for the classification of worked performed, as specified in the applicable wage determination incorporated into the contract.

e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.

f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.

g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

1. On all Federal-aid contracts on the National Highway System, except those which provide solely for the installation of protective devices at railroad grade crossings, those which are constructed on a force account or direct labor basis, highway beautification contracts, and contracts for which the total final construction cost for roadway and bridge is less than $1,000,000 (23 CFR 635) the contractor shall:

   a. Become familiar with the list of specific materials and supplies contained in Form FHWA-47, "Statement of Materials and Labor Used by Contractor of Highway Construction Involving Federal Funds," prior to the commencement of work under this contract.

   b. Maintain a record of the total cost of all materials and supplies purchased for and incorporated in the work, and also of the quantities of those specific materials and supplies listed on Form FHWA-47, and in the units shown on Form FHWA-47.

   c. Furnish, upon the completion of the contract, to the SHA resident engineer on Form FHWA-47 together with the data required in paragraph 1b relative to materials and supplies, a final labor summary of all contract work indicating the total hours worked and the total amount earned.

2. At the prime contractor's option, either a single report covering all contract work or separate reports for the contractor and for each subcontract shall be submitted.

VII. SUBLETTING OR ASSIGNING THE CONTRACT

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).

   a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor,

with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

VIII. SAFETY: ACCIDENT PREVENTION

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health

standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

NOTICE TO ALL PERSONNEL ENGAGED ON FEDERAL-AID HIGHWAY PROJECTS

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined not more that $10,000 or imprisoned not more than 5 years or both."

X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT (Applicable to all Federal-aid construction contracts and to all related subcontracts of $100,000 or more.)

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 et seq., as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq., as amended by Pub.L. 92-500), Executive Order 11738, and regulations

in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.

2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.

3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.

4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.

XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

1. Instructions for Certification - Primary Covered Transactions:
(Applicable to all Federal-aid contracts - 49 CFR 29)

   a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

   b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

   c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.

   d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

   e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out

in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations.

f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded From Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

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Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--
Primary Covered Transactions

1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

b. Have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or

local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and

d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

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2. Instructions for Certification - Lower Tier Covered Transactions: (Applicable to all subcontracts, purchase orders and other lower tier transactions of $25,000 or more - 49 CFR 29)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

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Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--
Lower Tier Covered Transactions:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

****

XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING
(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed $100,000 - 49 CFR 20)

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:
   a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

   b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a

Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed $100,000 and that all such recipients shall certify and disclose accordingly.

End of FHWA 1273

Environmental Summary Sheet

PIN #: 8151.20, .50, .60 **Town: Gorham (Bypass)**
Environmental Office Contact: Ben Condon (ben.condon@maine.gov) 592-0921
Coordination & Permits Manager: Matt Steele **Date Submitted: May 23, 2007**
Database/Projex ☒

☒ **Section 106 and Tribal Consultation**

Architectural Resources	PA ☐	Applicable☒	Approved ☒
Archeological Resources	PA ☐	Applicable☒	Approved ☒
Tribal Consultation	Tribal Letters Sent ☒		Approved ☐

☒ **4(f) and 6(f)**

Section 4(f)

Are there Right of Way Takes or Easements on Public Park Property	☐ Yes	☒ No
Are there Right of Way Takes or Easements on Public Recreational Property	☐ Yes	☒ No
Are there Right of Way Takes or Easements on Public Wildlife Refuge Property	☐ Yes	☒ No
Are there Right of Way Takes or Easements on Historic Eligible or Listed Property	☐Yes	☒ No
Are there Right of Way Takes or Easements on Property within a Historic District	☐Yes	☒ No
Has MHPC Determined an Adverse Effect	☐Yes	☒ No
Is a Programmatic or Full 4(f) Document Required	☐Yes	☒ No

LAWCON 6(f) N/A ☒ Applicable☐ Approved ☐

☒ **FEMA** GIS Floodplains Checked ☒ N/A ☐ Applicable☐ Approved ☐

☒ **Maine Department of Inland Fisheries and Wildlife (MDIFW) Essential Habitat**

GIS Essential Habitats Checked ☒

Eagle Nest	N/A ☒	Applicable ☐	Approved ☐
Piping Plover	N/A ☒	Applicable ☐	Approved ☐
Roseate Tern	N/A ☒	Applicable☐	Approved ☐

☒ **Maine Department of Conservation/ Public Lands, Submerged Land Lease** N/A ☒ Applicable ☐

☒ **Land Use Regulation Commission (LURC)** Not Applicable ☒ No permit Required ☐

Notice	☐	Approved ☐
Permit	☐	Approved ☐

☒ **Maine Department of Environmental Protection (MDEP) Site Location of Development**

N/A ☒ Applicable ☐ Approved ☐

☒ **Maine Department of Environmental Protection (MDEP), Natural Resource Protection Act**

No permit required	☐	
Exempt	☐	(Must use erosion and sediment control and not block fish passage.)
PBR	☐	Approved ☐
Tier 1	☐	Approved ☐
Tier 2	☐	Approved ☐
Individual	☒	Approved ☒

☐ **Army Corps of Engineers (ACOE), Section 10 of the Rivers and Harbors Act and Section 404 of the Clean Water Act.**

No permit required	☐	
Category 1-NR	☐	Approved ☐
Category 2	☐	Approved ☐
Category 3	☒	Approved ☐

NOTE: If project requires a Category 2 or 3 Permit from the ACOE, then the MaineDOT Resident **must** fill out a **"Work Start Notification Form"** and a **"Compliance Certification Form" (when project has been completed)** and send them to the address listed on the forms.

☒ **IN-STREAM TIMING RESTRICTIONS:** 105 Special Provision ☒ n/a ☐

Dates instream work is allowed: Unnamed Stream – NO Instream Work Window
Named Streams/Brook: 7/15 through 10/1

☒ Special Provision 656, Erosion Control Plan ☒ Special Provision 203, Dredge Spec and/or Hazardous Waste Spec

STATE OF MAINE
DEPARTMENT OF ENVIRONMENTAL PROTECTION
STATE HOUSE STATION 17 AUGUSTA, MAINE 04333

DEPARTMENT ORDER

IN THE MATTER OF

MAINE DEPARTMENT OF TRANSPORTATION	) NATURAL RESOURCES PROTECTION
Gorham, Cumberland County	) TIER 3 WETLAND ALTERATION
GORHAM BYPASS – PHASE 1	) WATER QUALITY CERTIFICATION
L-23402-TH-A-N (approval)	) FINDINGS OF FACT AND ORDER

Pursuant to the provisions of 38 M.R.S.A. Sections 480-A et seq. and Section 401 of the Federal Water Pollution Control Act, the Department of Environmental Protection has considered the application of the MAINE DEPARTMENT OF TRANSPORTATION (MaineDOT) with the supportive data, agency review comments, and other related materials on file and FINDS THE FOLLOWING FACTS:

1. PROJECT DESCRIPTION:

A. Summary: The applicant proposes to fill wetlands and alter several streams to construct a new two-lane, 3.4 mile-long roadway on the southwest side of Gorham Village to relieve traffic congestion in the village, to enhance safety and connectivity, and to better accommodate large truck movements, pedestrians and cyclists. The proposed project route begins on Route 114 approximately two miles from the central village in the Town of Gorham and extends westerly and northerly to an intersection point on Route 25 located approximately one mile westerly of the village. The applicant secured a 200 foot wide right of way to construct the roadway. Asphalt travel lanes and road shoulders will create a paved corridor that is 40 feet wide.

Construction of the proposed project will impact approximately 11 acres of forested, scrub-shrub, wet meadow, and emergent wetlands. The proposed project will also impact four large streams and a number of perennial and ephemeral small streams with associated wetlands. To provide passage for large animals, the project design includes bridge spans at Gully Brook and a tributary to Gully Brook. The applicant proposes to relocate approximately 290 linear feet of Brandy Brook near Flaggy Meadow Road and also to realign a section of an unnamed Brandy Brook tributary at the same site. The proposed project is shown on a set of plans entitled, "Gorham Bypass, Pin #1851.20" dated November 27, 2006.

The applicant may construct a Phase 2 bypass around the north side of the village in the future. This application addresses only the proposed Phase 1 southerly route.

B. Current Use of the Site: The majority of the proposed route consists of

undeveloped woodland and farm fields located between residential subdivisions. The bypass route passes through relatively flat terrain with somewhat erodible soils, including Presumpscot marine deposits in the vicinity of Flaggy Meadow Road.

2. EXISTING SCENIC, AESTHETIC, RECREATIONAL OR NAVIGATIONAL USES:

In accordance with Chapter 315, Assessing and Mitigating Impacts to Scenic and Aesthetic Uses, the applicant submitted a copy of the Department's Visual Evaluation Field Survey Checklist as Appendix A to the application along with a description of the property and the proposed project. The applicant also submitted several photographs of the proposed project site including an aerial photograph of the project site. Department staff visited the project site several times in 2006.

The proposed project is not located in the viewshed of a scenic resource. The Department did not identify any issues involving existing recreational and navigational uses.

The Department finds that the proposed activity will not unreasonably interfere with existing scenic, aesthetic, recreational or navigational uses of the protected natural resource.

3. SOIL EROSION:

The applicant submitted a temporary and permanent erosion and sediment control plan for the project. As per the Department's Memorandum of Agreement with MaineDOT, temporary and permanent erosion and sedimentation controls will be designed and implemented in accordance with the requirements of the MaineDOT Best Management Practices for Erosion and Sedimentation Control. Staff from the Bureau of Land and Water Quality's Division of Watershed Management reviewed the proposed conceptual plan.

The Department finds that. provided the MaineDOT's erosion control measures are utilized appropriately during and after construction, the activity will not cause unreasonable erosion of soil or sediment nor unreasonably inhibit the natural transfer of soil from the terrestrial to the marine or freshwater environment.

4. HABITAT CONSIDERATIONS:

The project route lies within the watersheds of the Presumpscot River and the Stroudwater River. Nine streams will be crossed or altered by the proposed project.

The project route was designed to minimize stream impacts but could not avoid the need for significant stream realignment in several locations. To construct the Gully Brook animal crossing bridge abutment, a section of the channel will be filled and approximately 170 linear feet (lf) of Gully Brook between stations 1083+30 and

1084+30will pass under a bridge span, with approximately 244 lf of channel realigned to pass through this span. Approximately 373 linear feet of Strout Brook between stations 101+00 and 102+25 will be relocated approximately 30 feet easterly of the existing channel.

Near Flaggy Meadow Road, approximately 345 lf of Brandy Brook between stations 1167+50 and 1169+00 will be relocated approximately 50 feet to the west of its current location. Because the grade of the land in the new location is steeper than that of the existing Brandy Brook channel, the applicant proposes to create a riffle-pool planform for the new channel. A reference reach of the existing brook will be chosen to use in the design of the new channel. Banks will be stabilized and planted with woody vegetation to provide shade. Two intermittent tributaries to Brandy Brook will also be realigned to converge near the realigned section of Brandy Brook.

The applicant submitted preliminary design plans for the stream relocation projects discussed above. Prior to starting construction within 75 feet of Gully Brook, Strout Brook, Brandy Brook and the Brandy Brook tributaries, final design and monitoring plans for the realignments of these streams must be submitted to the BLWQ for review and approval. These plans must incorporate considerations of fish passage, hydrology, and stream channel characteristics and have provisions for monitoring the relocated streams for a minimum of five years to insure that they function as designed.

The applicant proposes to mitigate for stream impacts by improving a degraded portion of stream channel on Brandy Brook near station 1168 and by revegetating an unvegetated riparian area on an unnamed channel near station 101. Relocated sections of stream channel will be self-mitigating provided that the applicant's monitoring results demonstrate that biological functions are restored. Several stream crossings will include amphibian and/or small mammal traversable box culverts to reduce project impacts on stream areas. Offsite mitigation for stream impacts includes the permanent preservation of approximately 2,000 linear feet of a wooded, intermittent stream channel and preservation of approximately 100 linear feet of the Nonesuch River and its associated floodplain.

The project was designed to maintain fish passage when crossing streams and brooks, restore fish passage at Flaggy Meadow Road, and maintain passage opportunities for herptiles and mammals. All proposed stream crossings will span at least 1.2 times the bankfull width of the stream to allow use of the riparian travel corridors with the exception of the Brandy Brook crossing, which will not pass 1.2 bankfull flows on the upstream side of the crossing. Passages will be constructed in accordance with the MaineDOT's Fish Passage Policy and Design guide. The Maine Department of Inland Fisheries and Wildlife (MDIFW) provided input during the site selection and project design process, requiring fish passage at the Flaggy Meadow Road crossing. MDIFW stated that there is no Essential or Significant Wildlife Habitats along the project site and that the proposed project design provided adequate passage opportunities for fish, mammals, and herptiles.

All mammal and herptile passages will include funneling entrances with fencing to direct and convey animals through the structures. Large animal passages, designed to accommodate fox, bobcat, deer and moose, will have an openness ratio of at least 0.6 meter. The applicant proposes to cross Gully Brook and a tributary to Gully Brook with bridge spans to facilitate large animal passage.

Four vernal pools will be impacted by the proposed construction. The applicant will mitigate for potential project impacts to these pools by creating vernal pools and preserving associated upland at the mitigation site described in Finding 6.

The Department finds that the activity will not unreasonably harm any significant wildlife habitat, freshwater wetland plant habitat, threatened or endangered plant habitat, aquatic or adjacent upland habitat, travel corridor, freshwater, estuarine or marine fisheries or other aquatic life provided that final stream relocation plans are submitted to the BLWQ for review and approval prior to starting construction within 75 feet of the streams.

5. WATER QUALITY CONSIDERATIONS:

The applicant proposes to use proper erosion controls during construction as outlined in Finding 3. The Department finds that the proposed project will not violate any state water quality law, provided that these erosion control measures are utilized appropriately and permanent stabilization is achieved as quickly as possible.

The Department does not anticipate that the proposed project will violate any state water quality law, including those governing the classification of the State's waters.

6. WETLANDS AND WATERBODIES PROTECTION RULES:

To construct the 3.4 mile-long project, the applicant will alter approximately 11 acres of wetland in 25 locations and will relocate or cross nine streams as described in Finding 4. Approximately 84% of the proposed wetland impacts are in forested wetlands. The remainder of the impacted wetlands are scrub-shrub and emergent wetlands. Primary wetland functions and values lost by the proposed project include flood flow attenuation, groundwater recharge and wildlife habitat. At project completion, approximately 2,480 lf of stream channel will pass through culverts or other conveyance structures as described in Finding 4 and approximately 1,050 lf of stream channel will be realigned and restored.

The Department's Wetlands and Waterbodies Protection Rules, Chapter 310, require that the applicant meet the following standards:

A. Avoidance. No activity may be permitted if there is a practicable alternative to the project that would be less damaging to the environment. Each application for a wetland and stream alteration permit must provide an analysis of alternatives in order to demonstrate that a practicable alternative does not exist. The applicant submitted an

alternative analysis for the proposed project as Exhibit 9 of the application. This analysis examined seven alternatives in terms of resource impacts, cost comparisons, public safety and traffic flow improvement. The preferred alternative provides meaningful transportation benefits while minimizing environmental impacts.

B. Minimal Alteration. The amount of wetland and stream to be altered must be kept to the minimum amount necessary for meeting the overall purpose of the project. The applicant designed the project with 2:1 sideslopes protected with guardrails at eight large wetland crossings. This redesign work reduced potential wetland impacts by approximately four acres. Flaggy Meadow Road will be raised approximately 4.5 feet above its existing grade to provide adequate clearance under the crossing while protecting an adjacent vernal pool and wetland. In response to comments from reviewing agencies, the applicant redesigned the road with a pervious base layer to limit potential impacts of road salt on the wetland and vernal pool.

Stream impacts are minimized by the use of bridges at Gully Brook and its tributary. Sideslopes at the Brandy Brook and Strout Brook crossings will be 2:1 with guard rails. Other measures designed to minimize stream impacts are outlined in Finding 4.

C. Compensation. In accordance with Chapter 310(5)(C), compensation is required to achieve the goal of no net loss of wetland functions and values and to compensate for stream impacts. The wetland compensation plan is outlined below and the stream compensation plan is outlined in Finding 4.

The applicant's consultant conducted a wetland compensation site search as described in a document entitled, "Gorham Bypass Wetland Mitigation Site Search Preliminary Findings", dated October 2005 and prepared by Normandeau Associates. Sixteen sites, located in Gorham, Scarborough and Westbrook, were ranked on the basis of size, compatibility and extent of wetland functions, effectiveness and likelihood of success, site availability, distance from project area, relative isolation from other protected areas, and agency recommendations. The recommendations were reviewed by Department staff and federal agencies who urged the applicant to expand the search for alternative compensation sites.

In January of 2006, the applicant and the reviewing agencies examined a new mitigation proposal called the Larrabee Farms Wetland Mitigation Project. This project is a 284-acre parcel owned by Grondin Aggregates, LLC (Grondin) and located at the corner of Route 114 and Beech Ridge Road in Scarborough. The site is approximately 1.8 miles southerly of the southern end of the Bypass. The Larrabee Farms site consists of forested and cleared uplands and wetlands, former sand and gravel quarries, a former clay extraction operation, former agricultural fields and the floodplain of the Nonesuch River which flows adjacent to the southerly boundary of the site. Department staff conducted several site visits in 2006, followed by two successive meetings with MaineDOT, to review the site search, evaluate compensation ratios, and present preferred options for compensation. After the site visits and meetings, the Department and other reviewing

agencies concluded that the Larrabee Farms mitigation site offered the best opportunity for wetland compensation for the proposed project.

The applicant submitted a Functional Assessment for Phase 1 of the Gorham Bypass as Exhibit 13 of the application. This report states that primary functions lost by the proposed project are groundwater recharge, flood flow attenuation and wildlife habitat. The applicant's compensation plan will replace these lost functions and provide secondary functions as well. Vernal pool creation areas in the northern portion of the site will mitigate for impacts to existing vernal pools located adjacent to the proposed project route.

Mitigation for the proposed project will take place on two parts of the Larrabee Farms mitigation site. Wetland creation and upland and wetland preservation will be completed on the eastern portion of the site, while vernal pool habitat creation and preservation will be completed in the northwest corner of the property. Much of the proposed wetland creation area is devoid of mature vegetation due to historical and ongoing mineral extraction operations. Other areas of the site, which have not been quarried, were logged in the last 3 decades. Mature vegetation including sugar and red maple, red oak and hemlock are found throughout the Nonesuch River floodplain and in adjacent uplands.

Based on the total wetland alteration of approximately 11 acres and the loss of specific wetland functions and values, the applicant proposes to provide wetland compensation in the amount of approximately 67.5 acres for Phase 1. This total includes 15.7 acres of wetland creation, 12.8 acres of wetland preservation, 28.4 acres of upland buffer preservation, and the creation of 4 vernal pools, totaling 3 acres, and preservation of 10.3 acres of upland and wetland habitat buffers adjacent to the created vernal pools. The total proposed compensation area exceeds the minimum required ratios for compensation in the Department's Wetland and Waterbodies Protection Rules (Chapter 310).

The MaineDOT Gorham Bypass compensation areas are shown on a set of plans, the first sheet of which is entitled, "Site Mitigation Plan – Larrabee Farms Wetland Mitigation Project", drawn by Sebago Technics, dated January 14, 2003, and last revised on November 29, 2006. The portions of the Larrabee Farm site being utilized as mitigation for Phase 1 of the Gorham Bypass project are located at the easterly and northerly corners of the Larrabee Farms parcel and are labeled Wetland Creation Area 1 and Vernal Pool Habitat Preservation Area 1 respectively. Exhibit 19 of the application contains the proposed compensation plan including construction details and monitoring requirements.

R.W. Gillespie & Associates has performed extensive groundwater monitoring at Larrabee Farms since 2002. The primary source of hydrology in the wetland creation areas will come from groundwater interception with additional input from precipitation and surface runoff. The proposed wetland creation area is an abandoned sandpit of approximately 15 acres. The overall grading for the site is designed for the average proposed surface grade to intercept groundwater as it flows from north to south through the site and to carry it through the site's pit and mound micro topography and eventually

discharge surface flow to a detention basin and level spreader. Approximately 90% of the wetland creation area will be designed as forested wetlands, 7.5% as scrub-shrub wetlands and 2.5% as emergent wetland habitat.

The applicant submitted a draft conservation easement for the MaineDOT Phase 1 wetland mitigation site that meets the requirements of Department Rules, Chapter 310(6)(F). The applicant also submitted a draft purchase and sales agreement to provide assurance that Grondin will construct, monitor and maintain the wetland compensation area in accordance with the requirements of Chapter 310(6).

The applicant proposes to begin implementing the compensation plan no less than 90 days after the start of bypass construction in 2007 and complete it by 2008. A minimum of 85% of the compensation area must successfully replace the altered wetlands' functions after a period of three years. If the wetland goals are not achieved, or if evidence exists that the compensation site is becoming less effective, the Department may require additional monitoring and corrective action. The applicant proposes to submit reports of annual post-construction monitoring and maintenance for a minimum of ten years in accordance with the monitoring plan described in Sections M and O of Exhibit 19 of the application. The monitoring and maintenance plans meet are designed in accordance with the requirements of Chapter 310(6)(D) and (E).

The Department finds that the applicant has avoided and minimized wetland impacts to the greatest extent practicable, and that the proposed project represents the least environmentally damaging alternative that meets the overall purpose of the project.

7. OTHER CONSIDERATIONS:

The Department did not identify any other issues involving existing scenic, aesthetic, or navigational uses, soil erosion, habitat or fisheries, the natural transfer of soil, natural flow of water, water quality, or flooding.

BASED on the above findings of fact, and subject to the conditions listed below, the Department makes the following conclusions pursuant to 38 M.R.S.A. Sections 480-A et seq. and Section 401 of the Federal Water Pollution Control Act:

A. The proposed activity will not unreasonably interfere with existing scenic, aesthetic, recreational, or navigational uses.

B. The proposed activity will not cause unreasonable erosion of soil or sediment provided MaineDOT BMPs for temporary and permanent erosion and sedimentation control are designed and implemented during and after construction.

C. The proposed activity will not unreasonably inhibit the natural transfer of soil from the terrestrial to the marine or freshwater environment.

D. The proposed activity will not unreasonably harm any significant wildlife habitat, freshwater wetland plant habitat, threatened or endangered plant habitat, aquatic habitat, travel corridor, freshwater, estuarine, or marine fisheries or other aquatic lives provided that the wetland compensation plan is successfully completed as outlined in Exhibit 19 of the application, the wetland compensation areas are protected with a recorded conservation easement as described in Finding 6 and the streams relocations are designed and monitored as outlined in Finding 4.

E. The proposed activity will not unreasonably interfere with the natural flow of any surface or subsurface waters provided the applicant submits final design plans to the BLWQ for review and approval prior to work within 75 feet of Strout Brook, Gully Brook, Brandy Brook and the Brandy Brook tributaries as described in Finding 4.

F. The proposed activity will not violate any state water quality law including those governing the classifications of the State's waters.

G. The proposed activity will not unreasonably cause or increase the flooding of the alteration area or adjacent properties.

H. The proposed activity is not on or adjacent to a sand dune.

I. The proposed activity is not on an outstanding river segment as noted in Title 38 M.R.S.A. Section 480-P.

THEREFORE, the Department APPROVES the above noted application of the Maine Department of Transportation to construct Phase 1 of the Gorham Bypass project as outlined above, SUBJECT TO THE ATTACHED CONDITIONS, and all applicable standards and regulations:

1. Standard Conditions of Approval, a copy attached.

2. The applicant shall take all necessary measures to ensure that its activities or those of its agents do not result in measurable erosion of soil on the site during the construction of the project covered by this approval.

3. Severability. The invalidity or unenforceability of any provision, or part thereof, of this License shall not affect the remainder of the provision or any other provisions. This License shall be construed and enforced in all respects as if such invalid or unenforceable provision or part thereof had been omitted.

   Prior to starting construction within 75 feet of Gully Brook, Strout Brook, Brandy Brook and the Brandy Brook tributaries, final design and monitoring plans for the realignments of these streams must be submitted to the BLWQ for review and approval. These plans

shall incorporate considerations of fish passage, hydrology, and stream channel characteristics and have provisions for monitoring the relocated streams for a minimum of five years to insure that they function as designed.

4. .

5. A minimum of 85% of the compensation area shall successfully replace the altered wetlands' functions after a period of three years. If the wetland goals are not achieved, or if evidence exists that the compensation site is becoming less effective, the Department shall require additional monitoring and corrective action.

6. The applicant shall conduct annual monitoring of the wetland compensation areas and submit monitoring reports to the BLWQ by December 31 of each year for a minimum of ten years in accordance with the monitoring plan described in Exhibit 19 of the application.

8. The applicant shall submit a recorded conservation easement for the Phase 1 MaineDOT mitigation areas at Larrabee Farms within 90 days of the date of this Order.

THIS APPROVAL DOES NOT CONSTITUTE OR SUBSTITUTE FOR ANY OTHER REQUIRED STATE, FEDERAL OR LOCAL APPROVALS NOR DOES IT VERIFY COMPLIANCE WITH ANY APPLICABLE SHORELAND ZONING ORDINANCES.

DONE AND DATED AT AUGUSTA, MAINE, THIS 16TH DAY OF May, 2007.

DEPARTMENT OF ENVIRONMENTAL PROTECTION

By: _____, for
DAVID P. LITTELL, COMMISSIONER

PLEASE NOTE THE ATTACHED SHEET FOR GUIDANCE ON APPEAL PROCEDURES

Date of initial receipt of application December 19, 2006
Date of application acceptance January 7, 2007

Date filed with Board of Environmental Protection
WB/ATS#63625/L23402AN

FILED
MAY 17 2007
BOARD OF ENVIRONMENTAL PROT.
STATE OF MAINE

NATURAL RESOURCE PROTECTION ACT (NRPA)
STANDARD CONDITIONS

THE FOLLOWING STANDARD CONDITIONS SHALL APPLY TO ALL PERMITS GRANTED UNDER THE NATURAL RESOURCE PROTECTION ACT, TITLE 38, M.R.S.A. SECTION 480-A ET.SEQ. UNLESS OTHERWISE SPECIFICALLY STATED IN THE PERMIT.

A. **Approval of Variations From Plans.** The granting of this permit is dependent upon and limited to the proposals and plans contained in the application and supporting documents submitted and affirmed to by the applicant. Any variation from these plans, proposals, and supporting documents is subject to review and approval prior to implementation.

B. **Compliance With All Applicable Laws.** The applicant shall secure and comply with all applicable federal, state, and local licenses, permits, authorizations, conditions, agreements, and orders prior to or during construction and operation, as appropriate.

C. **Erosion Control.** The applicant shall take all necessary measures to ensure that his activities or those of his agents do not result in measurable erosion of soils on the site during the construction and operation of the project covered by this Approval.

D. **Compliance With Conditions.** Should the project be found, at any time, not to be in compliance with any of the Conditions of this Approval, or should the applicant construct or operate this development in any way other the specified in the Application or Supporting Documents, as modified by the Conditions of this Approval, then the terms of this Approval shall be considered to have been violated.

E. **Initiation of Activity Within Two Years.** If construction or operation of the activity is not begun within two years, this permit shall lapse and the applicant shall reapply to the Board for a new permit. The applicant may not begin construction or operation of the activity until a new permit is granted. Reapplications for permits shall state the reasons why the applicant will be able to begin the activity within two years form the granting of a new permit, if so granted. Reapplications for permits may include information submitted in the initial application by reference.

F. **Reexamination After Five Years.** If the approved activity is not completed within five years from the date of the granting of a permit, the Board may reexamine its permit approval and impose additional terms or conditions to respond to significant changes in circumstances which may have occurred during the five-year period.

G. **No Construction Equipment Below High Water.** No construction equipment used in the undertaking of an approved activity is allowed below the mean high water line unless otherwise specified by this permit.

H. **Permit Included In Contract Bids.** A copy of this permit must be included in or attached to all contract bid specifications for the approved activity.

I. **Permit Shown To Contractor.** Work done by a contractor pursuant to this permit shall not begin before the contractor has been shown by the applicant a copy of this permit.

Revised (4/92)
DEP LW0428

Erosion Control

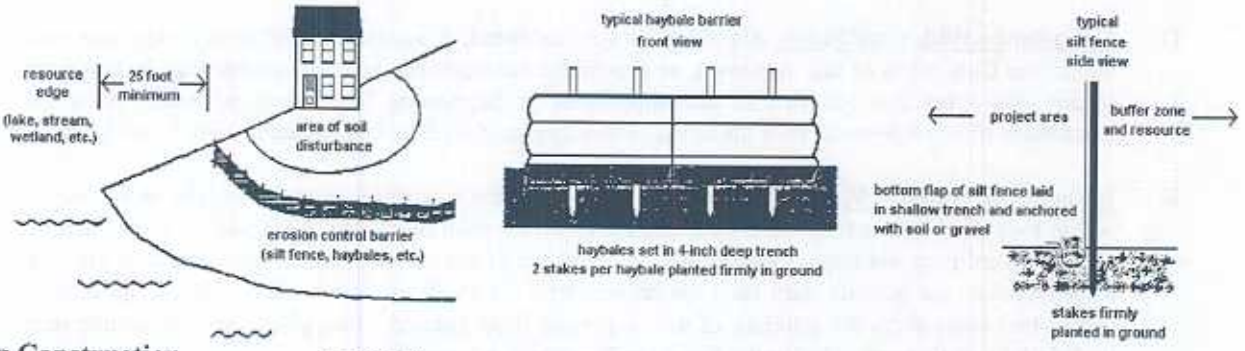
Before Construction

1. If you have hired a contractor, make sure you have discussed your permit with them. Talk about what measures they plan to take to control erosion. Everybody involved should understand what the resource is and where it is located. Most people could identify the edge of a lake or a river. The edges of wetlands, however, are often not obvious. Your contractor may be the person actually pushing dirt around but you are both responsible for complying with the permit.

2. Call around and find sources for your erosion controls. You will probably need silt fence, hay bales and grass seed or conservation mix. Some good places to check are feed stores, hardware stores, landscapers and contractor supply houses. It is not always easy to find hay or straw during late winter and early spring. It may also be more expensive during those times of year. Plan ahead. Purchase a supply early and keep it under a tarp.

3. Before any soil is disturbed, make sure an erosion control barrier has been installed. The barrier can be either a silt fence, a row of staked hay bales, or both. Use the drawings below as a guide for correct installation and placement. The barrier should be placed as close as possible to the activity.

4. If a contractor is installing the barrier, double check it as a precaution. Erosion control barriers should be installed "on the contour", meaning at the same level along the land slope, whenever possible. This keeps stormwater from flowing to the lowest point of the barrier where it builds up and overflows or destroys it.



During Construction

1. Use lots of hay or straw mulch on disturbed soil. The idea behind mulch is to prevent rain from striking the soil directly. It is the force of raindrops striking the soil that causes a lot of erosion. More than 90% of erosion is prevented by keeping the soil covered.

2. Inspect your erosion control barriers frequently. This is especially important after a rainfall. If there is muddy water leaving the project site, then your erosion controls are not working as intended. In that situation, stop work and figure out what can be done to prevent more soil from getting past the barrier.

After Construction

1. After the project is complete, replant the area. All ground covers are not equal. For instance, a mix of creeping red fescue and Kentucky bluegrass is a good choice for lawns and other high maintenance areas. The same mix would not be a good choice for stabilizing a road shoulder or a cut bank that you don't intend to mow.

2. If you finish your project after September 15, then do not spread grass seed. There is a very good chance that the seed will germinate and be killed by a frost before it has a chance to become established. Instead, mulch the site with a thick layer of hay or straw. In the spring, rake off the mulch and seed the area. Don't forget to mulch again to hold in moisture and prevent the seed from washing away.

3. Keep your erosion control barrier up and maintained until the area is permanently stabilized.

Applicant: General Public, State of Maine
Permit Number: NAE-2005-2164

Effective Date: October 11, 2005
Expiration Date: October 11, 2010

DEPARTMENT OF THE ARMY
PROGRAMMATIC GENERAL PERMIT
STATE OF MAINE

The New England District of the U.S. Army Corps of Engineers hereby issues a Programmatic General Permit (PGP) that expedites review of minimal impact work in coastal and inland waters and wetlands within the State of Maine.

I. GENERAL CRITERIA

Activities with minimal impacts, as specified by the terms and conditions of this PGP and on the attached Appendix A, Definition of Categories, are either:

Category 1: Non-reporting. Eligible without screening (provided the authorizations are obtained which this permit states are necessary for activities to be eligible for authorization under this non-reporting category), or,

Category 2: Reporting. Require screening and a written determination of eligibility under the PGP by the Corps after coordination with the U.S. Fish and Wildlife Service (U.S. FWS), U.S. Environmental Protection Agency (EPA) and the National Marine Fisheries Service (NMFS).

This PGP does not affect the Corps Individual Permit review process or activities exempt from Corps jurisdiction.

II. ACTIVITIES COVERED:

Work and structures that are located in, or that affect, navigable waters of the United States (U.S.) (Corps regulates under Section 10 of the Rivers and Harbors Act of 1899); the discharge of dredged of fill material into waters of the United States (Corps regulates under Section 404 of the Clean Water Act); and the transportation of dredged material for the purpose of disposal in the ocean (Corps regulates under Section 103 of the Marine Protection, Research and Sanctuaries Act).

III. PROCEDURES:

A. State Approvals

For projects authorized pursuant to this PGP, the following State approvals are also required. The applicable permits must be obtained in order for this PGP authorization to be valid (applicants are responsible for ensuring that all required State permits and approvals have been applied for and obtained):

- Maine Department of Environmental Protection (DEP): Natural Resources Protection Act (NRPA) permit, including permit-by-rule and general permit authorizations (NRPA permit issuance constitutes both the state permit and the WQC); Site Location of Development Act permit; and Maine Waterway Development and Conservation Act permit.
- Maine Department of Conservation: Land Use Regulation Commission (LURC) permit.
- Maine Department of Marine Resources: Lease.
- Maine Department of Conservation, Bureau of Parks and Lands, Submerged Lands: Lease

NOTE: This PGP may authorize projects that are not regulated by the State of Maine (e.g., seasonal floats or moorings).

B. Corps Authorizations

CATEGORY 1 (Non-Reporting)

Eligibility Criteria

Activities in Maine may proceed without application or notification to the Corps if they:

- Are subject to Corps jurisdiction (see General Condition 2, Page 7),
- Meet the definition of Category 1 in Appendix A - Definition of Categories, and
- Meet the General Conditions of the PGP (see Pages 7 - 15).

If the State or the Corps does not contact the applicant for DEP's Tier One permits during the DEP's Tier One 30-day review period, Corps approval may be assumed and the project may proceed. Refer to the Federal Screening Procedures (see Page 4) for additional information regarding screening.

Project proponents seeking Category 1 authorizations are not relieved of the obligation to comply with this PGP's General Conditions (see Page 7) and other Federal laws such as the National Historic Preservation Act, the Endangered Species Act (ESA) and the Wild and Scenic Rivers Act. Therefore, consultation with the Corps and/or outside experts such as the Maine Historic Preservation Commission and the appropriate Indian tribes is recommended when there is a high likelihood of the presence of resources of concern.

Although Category 1 projects are non-reporting, the Corps reserves the right to require screening under Category 2 or Individual Permit review if there are concerns for the aquatic environment or any other factor of the public interest (see General Condition 4, Discretionary Authority, Page 7).

Work that is not regulated by the State of Maine, but is subject to Corps jurisdiction, is eligible for Corps authorization under this PGP in accordance with the review thresholds and conditions contained herein. The Maine DEP and LURC have waived WQC for projects authorized under Categories 1 and 2 of this PGP and not subject to jurisdiction under the NRPA and LURC Land Use Districts and Standards.

Enforcement cases. This PGP does not apply to any existing or proposed activity in Corps jurisdiction associated with an on-going Corps or EPA enforcement action until such time as the enforcement action is resolved or the Corps determines that the activity may proceed independently without compromising the enforcement action. The Corps may choose not to accept applications or issue permits to any applicant with outstanding violations.

CATEGORY 2 (Reporting – Requiring Screening)

Eligibility Criteria

Activities in Maine require written approval from the Corps if they:

- Are subject to Corps jurisdiction (see General Condition 2, Page 7),
- Meet the definition of Category 2 in Appendix A - Definition of Categories, and
- Meet the General Conditions of the PGP (see Pages 7 - 15),

These projects will be reviewed through interagency screening (see Federal Screening Procedures below) to determine whether such activities may be authorized under this PGP. To be eligible and

subsequently authorized, an activity must result in minimal impacts to the aquatic environment as determined by the Corps based on comments from the review team and the criteria listed above. Mitigation may be required to compensate for unavoidable impacts to ensure net effects of a project are minimal.

For Category 2 projects, applicants must obtain a written authorization from the Corps and State approvals as stated on Page 1.

To ensure compliance with the conditions of this PGP, consultation with the Corps and outside experts is required. This includes consultation with the Maine Historic Preservation Commission and the appropriate Native American Indian tribes to ensure compliance with Condition 8. Also, note the review thresholds under Category 2 apply to single and complete projects only (see General Condition 5).

Enforcement cases. See previous section.

Application Procedures

The Corps must review and approve in writing all Category 2 activities. Generally, the State will provide the Corps with a copy of State applications received, but it is ultimately the applicant's responsibility to ensure the Corps receives the application from the State. Therefore, it is recommended that applicants either verify with the Corps receipt of their application from the State (DEP or LURC), or apply directly to the Corps with either a copy of their State application or a Corps application (ENG Form 4345). Applicants must apply directly to the Corps using ENG Form 4345 if the work is not State regulated.

Upon receipt of the application, the Corps will determine if it:
(a) requires additional information (see "information typically required" on the following page);
(b) is appropriate for screening with the Federal resource agencies (see Category 2 Federal Screening Procedures on the following page);
(c) is ineligible under the terms and/or conditions of this PGP; or
(d) will require Individual Permit review, regardless of whether the terms and conditions of this PGP are met, based on concerns for the aquatic environment or any other factor of the public interest (see General Condition 4, Discretionary Authority).

If open water disposal is proposed, the Corps will make a suitability determination, fully coordinated with the Federal resource agencies, before coordinating a project at a joint processing meeting.

All Category 2 applicants shall submit a copy of their application materials to the Maine Historic Preservation Commission and the Indian tribe(s) listed on Page 17, at the same time, or before, they apply to the DEP, LURC, or the Corps, to be reviewed for the presence of historic, archaeological or tribal resources in the permit area that the proposed work may affect. Submittals to the DEP or Corps shall include information to indicate that this has been done (a copy of the applicant's cover letter to Maine Historic Preservation Commission and tribes or a copy of the Historic Preservation Commission and tribal response letters is acceptable).

Information Typically Required

The following information may not be necessary for all projects. Please see www.nae.usace.army.mil for a more comprehensive checklist. Select "Regulatory/Permitting," "Forms" and then "Application and Plan Guideline Checklist." Please check with our Maine office for project-specific requirements.

(a) purpose of project;
(b) 8½"x 11" locus map. 8½"x 11" plan views of the entire property, including property lines, and project limits with existing and proposed conditions;
(c) typical cross-section views of all wetland and waterway fill areas and wetland replication areas;
(d) legible, reproducible plans. Show mean low water (MLW), mean high water (MHW) and high tide line (HTL) elevations in navigable waters;
(e) each plan should show the NGVD 1929 equivalent for the project's vertical datum (MLW, MLLW, MHW, HTL or other tidal datum for tidal projects) with the vertical units. Do not use local datum;
(f) wetland delineation for the site, Corps wetland delineation data sheets (see web site), and calculations of waterway and wetland impact areas (see General Condition 2);
(g) delineation of submerged aquatic vegetation, e.g., eel grass beds, in tidal waters;
(h) volume, type and source of fill material to be discharged into waters and wetlands, including the area(s) (in square feet or acres) of fill in wetlands, below ordinary high water in inland waters and below the high tide line in coastal waters;
(i) limits of any Federal Navigation Project in the vicinity and State Plane Coordinates for the limits of the proposed work closest to the Federal Navigation Project;
(j) on-site alternatives analysis. Please contact Corps for guidance;
(k) identify and describe potential impacts to Essential Fish Habitat. See General Condition 11 and contact Corps for guidance;
(l) photographs of wetland/waterway to be impacted.

Information typically required for dredging projects:
(a) sediment testing, including physical (e.g., grain-size analysis), chemical and biological testing. For projects proposing open water disposal, applicants are encouraged to contact the Corps as early as possible regarding sampling and testing protocols. Sampling and testing of sediments without such contact should not occur and, if done, would be at the applicant's risk.
(b) the area in square feet and volume of material to be dredged below mean high water;
(c) existing and proposed water depths;
(d) type of dredging equipment to be used;
(e) nature of material (e.g., silty sand);
(f) any existing sediment grain size and bulk sediment chemistry data for the proposed or any nearby projects;
(g) information on the location and nature of municipal or industrial discharges and occurrence of any contaminant spills in or near the project area, location of the disposal site (include locus sheet);
(h) shellfish survey;
(i) identify and describe potential impacts to Essential Fish Habitat (see General Condition 11);
(j) delineation of submerged aquatic vegetation (e.g., eelgrass beds).

Federal Screening Procedures
The Corps will review all complete applications for Category 2 projects requiring Corps approval at interagency screening meetings (or "joint processing" meetings) with the Federal resource agencies (U.S. FWS, EPA and NMFS) to determine whether such activities may be authorized under this PGP. The Federal resource agencies will comprise the interagency review team. The meetings are held at the Corps every three weeks, or coordinated as necessary to provide applicants with a timely response. The Corps and Federal resource agencies, at the branch chief or equivalent level, may agree on certain activities that do not need to be coordinated at these meetings.

If the Corps and Federal resource agencies determine that the activity is eligible for the PGP, the Corps will send an authorization letter directly to the applicant. The Corps will generally issue an eligibility determination within the State's review period, not to exceed 60 days. If the Corps determines that the activity is not eligible under the PGP or that additional information is required, the Corps will notify the applicant in writing and will send a copy of this notification to DEP or LURC.

For projects reviewed with the Federal resource agencies, the agencies may recommend, within ten business days, either 1) special conditions for projects to avoid or minimize adverse environmental effects and to ensure the terms and conditions of the PGP are met, or 2) Individual Permit review. The Corps will determine that a project is ineligible under this PGP and will begin its Individual Permit review procedures if any one of the Federal resource agencies, within ten business days of the screening meeting, expresses a concern within their area of expertise, states the resource or species that could be impacted by the project, and describes the impacts that, either individually or cumulatively, will be more than minimal.

This ten-day notice may be spoken and is not required to be fully documented, but must be confirmed with a written response within an additional ten working days from the date of the spoken comment. Written responses must be signed by the Federal resource agency field supervisor or branch chief, as appropriate, and must identify the affected resource within their area of expertise. The intent of the spoken notification is to allow the Corps to give timely notification to the applicant that additional information is needed and/or an Individual Permit may be required. The Corps may reinstate a project's eligibility under the PGP provided the Federal agencies' concerns are satisfied. The Federal resource agencies may request additional information within their area of expertise within ten business days of the screening meeting. This information shall be commensurate to the level of impact and agreed upon by the Corps. The agencies are allowed an additional ten business days after their receipt of additional information to provide special conditions or a written Individual Permit request to the Corps.

If the applicant is unable to resolve the concerns, the Corps, independently or at the request of the Federal resource agencies, will require an Individual Permit for the project. The applicant will be notified of this in writing, along with information about submitting the necessary application materials.

Minerals Management Service (MMS) Review

Projects with construction of solid fill structures or discharge of fill that may extend beyond the coastline or the baseline from which the territorial sea is measured (i.e., mean low water), must be coordinated with Minerals Management Service (MMS), Outer Continental Shelf (OCS) Survey Group, pursuant to the Submerged Lands Act (43 USC, Section 1301-1315, 33 CFR 320.4(f)). The Corps will forward project information to MMS for their review. The MMS will coordinate their determination with the Department of the Interior (DOI) Solicitor's Office. The DOI will have 15 calendar days from the date MMS is in receipt of project information to determine if the baseline will be affected. No notification to the Corps within 15-day review period will constitute a "no effect" determination. Otherwise, the solicitor's notification to the Corps may be spoken but must be followed with a written confirmation within ten business days from the date of the spoken notification. This procedure will be eliminated if the State of Maine provides a written waiver of interest in any increase in submerged lands caused by a change in the baseline resulting from solid fill structures or fills authorized under this PGP.

Emergency Situations Procedures

Emergency situations are limited to sudden, unexpected occurrences that could potentially result in an unacceptable hazard to life, a significant loss of property, or an immediate, unforeseen, and significant economic hardship if corrective action requiring a permit is not undertaken within a time period less than the normal time needed to process an application under standard procedures. If an emergency situation requires action in less than 30 days after the occurrence, it qualifies for the amended notification procedures described below.

Notification Procedures for Emergency Situations:

Any project proponent may request emergency authorization from the Corps, however the Corps will determine if a project qualifies for these emergency situation procedures. The Federal resource agencies, the Maine Historic Preservation Commission and the tribes will each designate an emergency contact and an alternate in the event the regular contact is unavailable. When an application for Category 2 work is received that the Corps determines is an "emergency" as defined above, the Corps will fax a copy of the plans and Determination of Eligibility to the agency representatives and their alternates. The resource agencies would then have 16 business hours to notify the Corps if they have any comments on authorization of the project under the PGP. Objections to the Corps determination of an "emergency" situation will not be accepted. If no response is received within 16 business hours, the Corps will proceed with a decision on the application. If the resource agencies have comments on the proposal, they will have 16 business hours to put their comments in writing. If written comments from the Federal agencies are not received within 16 business hours, the Corps will proceed with a decision on the application.

If a Federal agency requests that an Individual Permit be required for a project or requests modifications to the project based on concerns within their area(s) of expertise, the Corps will notify the applicant within one business day of receipt of that request that the project as proposed does not qualify for authorization under this PGP and the emergency Individual Permit procedures may be followed. In any event, the Corps will notify the applicant within 16 business hours of commencement of the screening process as to whether the project may proceed under this PGP.

IV. CORPS AUTHORIZATION: INDIVIDUAL PERMIT

Work that is defined in the Individual Permit category of Appendix A – Definition of Categories, or that does not meet the terms and conditions of this PGP, will require an application for an Individual Permit from the Corps (see 33 CFR Part 325.1). The screening procedures outlined for Category 2 projects will only serve to delay project review in such cases. The applicant should submit the appropriate application materials (including the Corps application form) at the earliest possible date. General information and application forms can be obtained at our web site or by calling us (see Page 16). Individual water quality certification and coastal zone management consistency concurrence are required when applicable from the State of Maine before Corps permit issuance. The Federal resource agencies' comments are due within ten working days after the Public Notice's expiration date, unless the Corps receives and approves a written request for a time extension within ten working days after the notice's expiration.

V. PROGRAMMATIC GENERAL PERMIT CONDITIONS:

The following conditions apply to activities authorized under this Maine PGP, including all Category 1 (non-reporting) and Category 2 (reporting – requiring screening) activities:

General Requirements

1. Other Permits. Authorization under this PGP does not obviate the need to obtain other Federal, State, or local authorizations required by law. This includes, but is not limited to, the project proponent obtaining a Flood Hazard Development Permit issued by the town, if necessary. Inquiries may be directed to the municipality or to the Maine Floodplain Management Coordinator at (207) 287-8063. See http://www.maine.gov.

2. Federal Jurisdictional Boundaries. Applicability of this PGP shall be evaluated with reference to Federal jurisdictional boundaries. Applicants are responsible for ensuring that the boundaries used satisfy the Federal criteria defined at 33 CFR 328-329. These sections prescribe the policy, practice and procedures to be used in determining the extent of jurisdiction of the Corps concerning "waters of the U.S." and "navigable waters of the U.S." Wetland boundaries shall be delineated in accordance with the January 1987 Corps of Engineers Wetlands Delineation Manual, located at http://www.usace.army.mil/inet/functions/cw/cecwo/reg/ wlman87.pdf. The U.S. FWS publishes the National List of Plant Species that Occur in Wetlands, located at http://www.nwi.fws.gov. The Natural Resources Conservation Service (NRCS) develops the hydric soil definition and criteria, and publishes the current hydric soil lists, located at http://soils.usda.gov/use/hydric/.

3. Minimal Effects. Projects authorized by this PGP shall have no more than minimal individual and cumulative adverse environmental impacts as determined by the Corps.

4. Discretionary Authority. Notwithstanding compliance with the terms and conditions of this permit, the Corps retains discretionary authority to require Category 2 or Individual Permit review based on concerns for the aquatic environment or for any other factor of the public interest [33 CFR 320.4(a)]. This authority is invoked on a case-by-case basis whenever the Corps determines that the potential consequences of the proposal warrant Individual Permit review based on the concerns stated above. This authority may be invoked for projects with cumulative environmental impacts that are more than minimal or if there is a special resource or concern associated with a particular project that is not already covered by the remaining conditions of the PGP and that warrants greater review. Whenever the Corps notifies an applicant that an Individual Permit may be required, authorization under this PGP is void and no work may be conducted until the individual Corps permit is obtained or until the Corps notifies the applicant that further review has demonstrated that the work may proceed under this PGP.

5. Single and Complete Projects. This PGP shall not be used for piecemeal work and shall be applied to single and complete projects. All components of a single project shall be treated together as constituting one single and complete project and/or all planned phases of a multi-phased project (e.g., subdivisions should include all work such as roads, utilities, and lot development) unless the Corps determines that a component has independent utility. (The *Independent Utility* test is used to determine what constitutes a single and complete project in the Corps regulatory program. A project is considered to have independent utility if it would be constructed absent the construction of other projects in the project area. Portions of a multi-phase project that depend upon other phases of the project do not have independent utility. Phases of a project that would be constructed even if the other phases were not built can be considered as separate single and complete projects with independent utility.) For linear projects, such as power lines or pipelines with multiple

crossings, the "single and complete project" (i.e., single and complete crossing) will apply to each crossing of a separate water of the U.S. (i.e., single waterbody) at that location; except that for linear projects crossing a single waterbody several times at separate and distant locations, each crossing is considered a single and complete project, and may be reviewed for Category 1 eligibility. (However, individual channels in a braided stream or river, or individual arms of a large, irregularly-shaped wetland or lake, etc., are not separate waterbodies.) If any crossing requires a Category 2 activity, then the entire linear project shall be reviewed as one project under Category 2. Also, this PGP shall not be used for any activity that is part of an overall project for which an Individual Permit is required, unless the Corps determines the activity has independent utility.

6. Permit On-Site. For Category 2 projects, the permittee shall ensure that a copy of this PGP and the accompanying authorization letter are at the work site (and the project office) authorized by this PGP whenever work is being performed, and that all personnel with operation control of the site ensure that all appropriate personnel performing work are fully aware of its terms and conditions. The entire permit authorization shall be made a part of any and all contracts and sub-contracts for work that affects areas of Corps jurisdiction at the site of the work authorized by this PGP. This shall be achieved by including the entire permit authorization in the specifications for work. The term "entire permit authorization" means this PGP and the authorization letter (including its drawings, plans, appendices and other attachments) and also includes permit modifications. If the authorization letter is issued after the construction specifications, but before receipt of bids or quotes, the entire permit authorization shall be included as an addendum to the specifications. If the authorization letter is issued after receipt of bids or quotes, the entire permit authorization shall be included in the contract or sub-contract as a change order. Although the permittee may assign various aspects of the work to different contractors or sub-contractors, all contractors and sub-contractors shall be obligated by contract to comply with all environmental protection provisions contained within the entire PGP authorization, and no contract or sub-contract shall require or allow unauthorized work in areas of Corps jurisdiction.

National Concerns

7. St. John/St. Croix Rivers. This covers work within the Saint John and Saint Croix River basins that requires approval of the International Joint Commission. This includes any temporary or permanent use, obstruction or diversion of international boundary waters which could affect the natural flow or levels of waters on the Canadian side of the line, as well as any construction or maintenance of remedial works, protective works, dams, or other obstructions in waters downstream from boundary waters when the activity could raise the natural level of water on the Canadian side of the boundary.

8. Historic Properties. Any activity authorized by this PGP shall comply with Section 106 of the National Historic Preservation Act. Information on the location and existence of historic resources can be obtained from the Maine Historic Preservation Commission, the National Register of Historic Places, and the Penobscot, Passamaquoddy, Micmac, and Maliseet Tribal Historic Preservation Officers. See Page 17 for historic properties contacts. If the permittee, either prior to construction or during construction of the work authorized herein, encounters a previously unidentified archaeological or other cultural resource, within the area subject to Department of the Army jurisdiction, that might be eligible for listing in the National Register of Historic Places, he/she shall stop work and immediately notify the District Engineer and the Maine Historic Preservation Commission and/or applicable Tribe(s).

9. National Lands. Activities authorized by this PGP shall not impinge upon the value of any National Wildlife Refuge, National Forest, National Marine Sanctuary, National Park or any other area administered by the National Park Service.

10. Endangered Species. No activity may be authorized under this PGP which:

- is likely to adversely affect a threatened or endangered species, a proposed species, designated critical habitat, or proposed critical habitat as identified under the Federal ESA,
- would result in a "take" of any threatened or endangered species of fish or wildlife, or
- would result in any other violation of Section 9 of the ESA protecting threatened or endangered species of plants.

Applicants shall notify the Corps if any listed species or critical habitat, or proposed species or critical habitat, is in the vicinity of the project and shall not begin work until notified by the District Engineer (DE) that the requirements of the ESA have been satisfied and that the activity is authorized. Information on the location of threatened and endangered species and their critical habitat can be obtained from the U.S. FWS and NMFS (see Page 16 for addresses).

11. Essential Fish Habitat. As part of the PGP screening process, the Corps will coordinate with NMFS in accordance with the 1996 amendments to the Magnuson-Stevens Fishery Conservation and Management Act to protect and conserve the habitat of marine, estuarine and anadromous finfish, mollusks, and crustaceans. This habitat is termed "Essential Fish Habitat (EFH)", and is broadly defined to include "those waters and substrate necessary to fish for spawning, breeding, feeding, or growth to maturity." Applicants may be required to describe and identify potential impacts to EFH. Conservation recommendations made by NMFS will normally be included as a permit requirement by the Corps. For additional information, see the EFH regulations at 50 CFR Part 600 (http://www.nmfs.noaa.gov). Additional information on the location of EFH can be obtained from NMFS (see Page 16 for contact information).

Any work in any aquatic habitat in the following rivers and streams, including all tributaries to the extent that they are currently or were historically accessible for salmon migration, shall not be authorized under Category 1 of the PGP and must be screened for potential impacts to EFH.

Androscoggin River	Hobart Stream	Passagassawaukeag River	Saco River
Aroostook River	Kennebec River	Patten Stream	Sheepscot River
Boyden River	Machias River	Penobscot River	St. Croix River
Dennys River	Narraguagus River	Pleasant River	Tunk Stream
Ducktrap River	Orland River	Presumpscot River	Union River
East Machias River			

12. Wild and Scenic Rivers. Any activity that occurs in a component of, or within 0.25 mile up or downstream of, the main stem or tributaries of a river segment of the National Wild and Scenic River System, must be reviewed by the Corps under the procedures of Category 2 of this PGP regardless of size of impact. This condition applies to both designated Wild and Scenic Rivers and rivers designated by Congress as study rivers for possible inclusion while such rivers are in an official study status. The Corps will consult with the National Park Service (NPS) with regard to potential impacts of the proposed work on the resource values of the Wild and Scenic River. The culmination of this coordination will be a determination by the NPS and the Corps that the work: (1) may proceed as proposed; (2) may proceed with recommended conditions; or (3) could pose a direct and adverse effect on the resource values of the river and an individual permit is required. If

preapplication consultation between the applicant and the NPS has occurred whereby NPS has made a determination that the proposed project is appropriate for authorization under this PGP (with respect to Wild and Scenic River issues), this determination should be furnished to the Corps with submission of the application. (See NPS address on Page 16.) National Wild and Scenic Rivers System segments for Maine as of September 2005 include: Allagash River beginning at Telos Dam continuing to Allagash checkpoint at Eliza Hole Rapids, approximately 3 miles upstream of the confluence with the St. John River (length = 92 miles).

13. Federal Navigation Project. Any structure or work that extends closer to the horizontal limits of any Corps Federal Navigation Project (See Appendix B) than a distance of three times the project's authorized depth shall be subject to removal at the owner's expense prior to any future Corps dredging or the performance of periodic hydrographic surveys.

14. Navigation. (a) There shall be no unreasonable interference with navigation by the existence or use of the activity authorized herein and no attempt shall be made by the permittee to prevent the full and free use by the public of all navigable waters at or adjacent to the activity authorized herein. (b) The permittee understands and agrees that, if future operations by the United States require the removal, relocation, or other alteration, of the structure or work herein authorized, or if, in the opinion of the Secretary of the Army or his authorized representative, said structure or work shall cause unreasonable obstruction to the free navigation of the navigable waters, the permittee will be required, upon due notice from the Corps of Engineers, to remove, relocate, or alter the structural work or obstructions caused thereby, without expense to the United States. No claim shall be made against the United States on account of any such removal or alteration.

15. Federal Liability. In issuing this permit, the Federal Government does not assume any liability for the following: (a) damages to the permitted project or uses thereof as a result of other permitted or unpermitted activities or from natural causes; (b) damages to the permitted project or uses thereof as a result of current or future activities undertaken by or on behalf of the United States (U.S.) in the public interest; (c) damages to persons, property, or to other permitted or unpermitted activities or structures caused by the activity authorized by this permit; (d) design or construction deficiencies associated with the permitted work; (e) damage claims associated with any future modification, suspension, or revocation of this permit.

Minimization of Environmental Impacts

16. Minimization. Discharges of dredged or fill material into waters of the United States, including wetlands, shall be avoided and minimized to the maximum extent practicable. Permittees may only fill those jurisdictional wetlands that the Corps authorizes to be filled and impact those wetlands that the Corps authorizes as secondary impacts. For coastal structures such as piers and docks, the height above the marsh at all points should be equal to or exceed the width of the deck. The height shall be measured from the marsh substrate to the bottom of the longitudinal support beam. This will help ensure sunlight reaches the area beneath the structure.

17. Heavy Equipment in Wetlands. Heavy equipment, other than fixed equipment (drill rigs, fixed cranes, etc.), working within wetlands shall not be stored, maintained or repaired in wetlands unless it is less environmentally damaging otherwise, and as much as possible shall not be operated there. Where construction requires heavy equipment operation in wetlands, the equipment shall

either have low ground pressure (<3 psi), or shall not be located directly on wetland soils and vegetation; it shall be placed on swamp or timber mats that are adequate to support the equipment in such a way as to minimize disturbance of wetland soil and vegetation. (See General Condition 18 below.) Other support structures that are less impacting and are capable of safely supporting equipment may be used with written Corps authorization. Similarly, not using mats during frozen, dry or other conditions may be allowed with written Corps authorization. An adequate supply of spill containment equipment shall be maintained on site.

NOTE: "Swamp mats" is a generic term used to describe structures that distribute equipment weight to prevent wetland damage while facilitating passage and providing work platforms for workers and equipment. They are comprised of sheets or mats made from a variety of materials in various sizes, and they include large timbers bolted or cabled together (timber mats). Corduroy roads, which are not considered to be swamp mats, are cut trees and/or saplings with the crowns and branches removed, and the trunks lined up next to one another.

18. Temporary Fill. Fill placed into waters of the U.S. (including wetlands) totaling greater than or equal to 4,300 SF (15,000 SF if a DEP Tier One Permit is issued) in total area (i.e., the sum of permanent and temporary fill areas) exceeds the Category 1 threshold and may not be discharged without written authorization from the Corps. When temporary fill is used (e.g., access roads, swamp mats, cofferdams), it shall be stabilized and maintained during construction in such a way as to prevent soil eroding into portions of waters of the U.S. where it is not authorized. Swamp or timber mats (see Gen.Cond. 17 above) are considered as temporary fill when they are removed immediately upon work completion. The area must be restored in accordance with Gen.Cond. 19.

- Unconfined temporary fill authorized for discharge into flowing water (rivers and streams) shall consist only of clean washed stone.
- Temporary fill authorized for discharge into wetlands shall be placed on geotextile fabric laid on the pre-construction wetland grade. (Swamp and timber mats are excluded from this requirement.)
- Temporary fill shall be removed as soon as it is no longer needed, and it shall be disposed of at an upland site and suitably contained to prevent subsequent erosion into waters of the U.S.
- Waters of the U.S. where temporary fill was discharged shall be restored (see Gen.Cond. 19).
- No temporary work shall drain a water of the U.S. by providing a conduit for water on or below the surface.

19. Restoration.

- Upon completion of construction, all disturbed wetland areas (the disturbance of these areas must be authorized) shall be stabilized with a wetland seed mix containing only plant species native to New England.
- The introduction or spread of invasive plant species in disturbed areas shall be controlled.
- In areas of authorized temporary disturbance, if trees are cut they shall be cut at ground level and not uprooted in order to prevent disruption to the wetland soil structure and to allow stump sprouts to revegetate the work area, unless otherwise authorized.
- Wetland areas where permanent disturbance is not authorized shall be restored to their original condition and elevation, which under no circumstances shall be higher than the pre-construction elevation. Original condition means careful protection and/or removal of existing soil and vegetation, and replacement back to the original location such that the original soil layering and vegetation schemes are approximately the same, unless otherwise authorized.

20. Coastal Bank Stabilization. Projects involving construction or reconstruction/maintenance of bank stabilization structures within Corps jurisdiction should be designed to minimize environmental effects, effects to neighboring properties, scour, etc. to the maximum extent practicable. For example, vertical bulkheads should only be used in situations where reflected wave energy can be tolerated. This generally eliminates bodies of water where the reflected wave energy may interfere with or impact on harbors, marinas, or other developed shore areas. A revetment is sloped and is typically employed to absorb the direct impact of waves more effectively than a vertical seawall. It typically has a less adverse effect on the beach in front of it, abutting properties and wildlife. For more information, see the Corps Coastal Engineering Manual (supersedes the Shore Protection Manual), located at http://chl.erdc.usace.army.mil. Select "Products/ Services," "Publications." Part 5, Chapter 7-8, a(2)c is particularly relevant.

21. Sedimentation and Erosion Control. Adequate sedimentation and erosion control management measures, practices and devices, such as phased construction, vegetated filter strips, geotextile silt fences, hay bales or other devices, shall be installed and properly maintained to reduce erosion and retain sediment on-site during and after construction. They shall be capable of preventing erosion, of collecting sediment, suspended and floating materials, and of filtering fine sediment. These devices must be removed in a timely manner upon completion of work, but not until the disturbed areas have been stabilized. The sediment collected by these devices shall be removed and placed at an upland location in a manner that will prevent its later erosion into a waterway or wetland. All exposed soil and other fills shall be permanently stabilized at the earliest practicable date.

22. Waterway Crossings.
(a) All temporary and permanent crossings of waterbodies (waterways and wetlands) shall be suitably culverted, bridged, or otherwise designed to withstand and to prevent the restriction of high flows, to maintain existing low flows, and to not obstruct the movement of aquatic life indigenous to the waterbody beyond the actual duration of construction. (NOTE: Areas of fill and/or cofferdams must be included in total waterway/wetlands impacts to determine applicability of this PGP).
(b) Aquatic Life Movements. No activity may substantially disrupt the necessary life-cycle movements of those species of aquatic life indigenous to the waterbody, including those species that normally migrate through the area, unless the activity's primary purpose is to impound water. For new permanent crossings, open bottom arches, bridge spans or embedded culverts are generally preferred over traditional culverts and should be installed when practicable. Coordination with the Corps is recommended for Category 1 projects when site constraints (e.g., placing footings) may render open bottom arches, bridge spans or embedded culverts impractical. In these cases, well-designed culverts may actually perform better. Culverts shall be installed with their inverts embedded below existing streambed grade to avoid "hanging" and associated impediments to fish passage. The "Design of Road Culverts for Fish Passage" provides design guidance and is available at www.nae.usace.army.mil, "Regulatory/Permitting," "Other."
(c) Culverts at waterbody crossings shall be installed in such a manner as to preserve hydraulic connectivity, at its present level, between the wetlands on either side of the road. The permittee shall take necessary measures to correct wetland damage due to lack of hydraulic connectivity.
(d) Culverts and bridges shall span the waterway a minimum of 1.2 times the bankfull width in probable fish bearing waterways to qualify as a Category 1 non-reporting activity. See "Design of Road Culverts for Fish Passage," referenced in (b) above, for information on bankfull width.

(e) Projects using slip lining (retrofitting an existing culvert by inserting a smaller diameter pipe), plastic pipes, and High Density Polyethylene Pipes (HDPP) are not allowed as non-reporting Category 1 activities, either as new work or maintenance activities.
(f) Waterbody crossings shall be culverted to at least municipal or State standards. The Maine DEP's stream crossing standards are at 06-096, Chapter 305: Permit by Rule, Section 10. Stream crossings (bridges, culverts and fords).
(g) Waterway crossings proposed by the Maine Dept. of Transportation should conform to the MDOT Fish Passage Policy and Design Guides.
(h) Construction equipment shall not cross streams without the use of temporary bridges, culverts, or cofferdams.
(i) For projects that otherwise meet the terms of Category 1, in-stream construction work shall be conducted during the low flow period July 15 - October 1 in any year. Projects that are not to be conducted during that time period are ineligible for Category 1 and shall be screened pursuant to Category 2, regardless of the waterway and wetland fill and/or impact area.

23. Discharge of Pollutants. All activities involving any discharge of pollutants into waters of the U.S. authorized under this PGP shall be consistent with applicable water quality standards, effluent limitations, standards of performance, prohibitions, and pretreatment standards and management practices established pursuant to the CWA (33 USC 1251) and applicable State and local laws. If applicable water quality standards, limitations, etc., are revised or modified during the term of this PGP, the authorized work shall be modified to conform with these standards within six months of the effective date of such revision or modification, or within a longer period of time deemed reasonable by the District Engineer in consultation with the Regional Administrator of the EPA. Applicants may presume that State water quality standards are met with the issuance of a LURC or DEP NRPA permit.

24. Spawning Areas. Discharges of dredged or fill material, and/or suspended sediment producing activities in fish and shellfish spawning or nursery areas and amphibian and waterfowl breeding areas during spawning or breeding seasons shall be avoided. During all times of year, impacts to these areas shall be avoided or minimized to the maximum extent practicable.

25. Storage of Seasonal Structures. Coastal structures, such as pier sections and floats, that are removed from the waterway for a portion of the year (often referred to as seasonal structures) shall be stored in an upland location located above mean high water (MHW) and not in tidal wetlands. These seasonal structures may be stored on the fixed, pile-supported portion of the structure that is seaward of MHW. This is intended to prevent structures from being stored on the marsh substrate and the substrate seaward of MHW. Seasonal storage of structures in navigable waters, e.g., in a protected cove on a mooring, requires Corps and local harbormaster approval.

26. Environmental Functions and Values. The permittee shall make every reasonable effort to carry out the construction or operation of the work authorized herein in a manner so as to maintain as much as is practicable, and minimize any adverse impacts on existing fish, wildlife, and natural environmental functions and values.

27. Protection of Vernal Pools. Impacts to uplands in proximity (within 500 feet) to the vernal pools referenced in Appendix A - Definitions of Categories, shall be minimized to the maximum extent possible.

Procedural Conditions

28. Cranberry Development Projects. For cranberry development projects authorized under the PGP, the following conditions apply:

- If a cranberry bog is abandoned for any reason, the area must be allowed to revert to natural wetlands unless an Individual Permit is obtained from the Corps allowing the discharge of fill for an alternate use.
- No stream diversion shall be allowed under this permit.
- No impoundment of perennial streams shall be allowed under this permit.
- The project shall be designed and constructed to not cause flood damage on adjacent properties.

29. Inspections. The permittee shall allow the District Engineer (DE) or his authorized representative(s) to make periodic inspections at any time deemed necessary in order to ensure that the work is being performed in accordance with the terms and conditions of this permit. The DE may also require post-construction engineering drawings for completed work and post-dredging survey drawings for any dredging work.

30. Work Start Notification Form and Compliance Certification. Every permittee who receives a written Category 1 or 2 PGP authorization from the Corps must submit a 1) Work Start Notification Form (WSNF) two weeks before work commencement, and 2) signed Compliance Certification Form within one month following the completion of the authorized work and any required mitigation (but not mitigation monitoring, which requires separate submittals). The Corps will forward the blank WSNF and Compliance Certification Form with the authorization letter. The Compliance Certification Form will include: (a) a statement that the authorized work was done in accordance with the Corps authorization, including any general or specific conditions; (b) a statement that any required mitigation was completed in accordance with the permit conditions; and (c) the signature of the permittee certifying the completion of the work and mitigation.

31. Maintenance. The permittee shall maintain the work or structures authorized herein in good condition and in conformance with the terms and conditions of this permit. This does not include maintenance of dredging projects. Maintenance dredging is subject to the review thresholds in Appendix A and/or any conditions included in a written Corps authorization. Maintenance dredging includes only those areas and depths previously authorized and dredged. Some maintenance activities may not be subject to regulation under Section 404 in accordance with 33 CFR 323.4(a)(2).

32. Property Rights. This permit does not convey any property rights, either in real estate or material, or any exclusive privileges, nor does it authorize any injury to property or invasion of rights or any infringement of Federal, State, or local laws or regulations. If property associated with work authorized by the PGP is sold, the PGP authorization is automatically transferred to the new property owner. The new property owner should provide this information to the Corps in writing. No acknowledgement from the Corps is necessary.

33. Modification, Suspension, and Revocation. This permit may be either modified, suspended, or revoked, in whole or in part, pursuant to the policies and procedures of 33 CFR 325.7. Any such action shall not be the basis for any claim for damages against the United States.

34. Restoration. The permittee, upon receipt of a notice of revocation of authorization under this permit, shall restore the wetland or waterway to its former condition without expense to the United States and as directed by the Secretary of the Army or his authorized representative. If the permittee fails to comply with such a directive, the Secretary or his designee may restore the wetland or waterway to its former condition, by contract or otherwise, and recover the cost from the permittee.

35. Special Conditions. The Corps, independently or at the request of the Federal resource agencies, may impose other special conditions on a project authorized pursuant to this general permit that are determined necessary to minimize adverse environmental effects or based on any other factor of the public interest. Failure to comply with all conditions of the authorization, including special conditions, will constitute a permit violation and may subject the permittee to criminal, civil, or administrative penalties or restoration.

36. False or Incomplete Information. If the Corps makes a determination regarding the eligibility of a project under this permit and subsequently discovers that it has relied on false, incomplete, or inaccurate information provided by the permittee, the permit shall not be valid and the government may institute appropriate legal proceedings.

37. Abandonment. If the permittee decides to abandon the activity authorized under this general permit, unless such abandonment is merely the transfer of property to a third party, he/she must restore the area to the satisfaction of the District Engineer.

Duration of Authorization/Grandfathering:

38. Duration of Authorization. This PGP expires five years from the effective date listed at the top of Page 1. Activities authorized under Category 1 of this PGP that have commenced (i.e., are under construction) or are under contract to commence in reliance upon this PGP's authorization will remain authorized provided the activity is completed within 12 months of the PGP's expiration date. Activities authorized under Category 2 of this PGP will remain authorized in accordance with the project-specific date that the Corps provides to the permittee in the PGP authorization letter, unless:
(a) The PGP is either modified or revoked, or
(b) Discretionary authority has been exercised on a case-by-case basis to modify, suspend, or revoke the authorization in accordance with 33 CFR 325.2 (e)(2).

39. Previously Authorized Activities.
(a) Activities completed under the authorizations of past PGPs that were in effect at the time the activity was completed will continue to be authorized by those PGPs.
(b) Completed projects that have received written verification or approval from the Corps, based on applications made to the Corps prior to issuance of this PGP or the previous nationwide permits, regional general permits, or letters of permission shall remain authorized as specified in each authorization.
(c) Activities authorized pursuant to 33 CFR Part 330.3 ("Activities occurring before certain dates") are not affected by this PGP.

VI. CONTACTS FOR MAINE PROGRAMMATIC GENERAL PERMIT:

1. FEDERAL

U.S. Army Corps of Engineers
Maine Project Office
675 Western Avenue #3
Manchester, Maine 04351
(207) 623-8367
(207) 623-8206 (fax)

Federal Endangered Species
U.S. Fish and Wildlife Service
Maine Field Office
1168 Main Street
Old Town, Maine 04468
(207) 827-5938
207-827-6099 (fax)

Federal Endangered Species & Essential Fish Habitat
National Marine Fisheries Service
One Blackburn Drive
Gloucester, Massachusetts 01939
(978) 281-9102
(978) 281-9301 (fax)

Wild and Scenic Rivers
National Park Service
North Atlantic Region
15 State Street
Boston, Massachusetts 02109
(617) 223-5203

Bridge Permits
Commander (obr)
First Coast Guard District
One South Street - Battery Bldg
New York, New York 10004
(212) 668-7021

2. STATE OF MAINE

Maine Department of Environmental Protection
(For State Permits & Water Quality Certifications)
Division of Land Resource Regulation
Bureau of Land and Water Quality
17 State House Station
Augusta, Maine 04333
(207) 287-2111

Southern Maine Regional Office
312 Canco Road
Portland, Maine 04103
(201) 822-6300

Eastern Maine Regional Office
106 Hogan Road
Bangor, Maine 04401
(207) 941-4570

Northern Maine Regional Office
1235 Central Drive - Skyway Park
Presque Isle, Maine 04769
(207) 764-0477

Maine Land Use Regulation Commission (LURC)
[call (800) 452-8711 for appropriate LURC office]
22 State House Station
Augusta, ME 04333-0022
(207) 287-2631
(207) 287-7439 (fax)

45 Radar Road
Ashland, ME 04732-3600
(207) 435-7963
(207) 435-7184 (fax)

Lakeview Drive
P.O. Box 1107
Greenville, ME 04441
(207) 695-2466
(207) 695-2380 (fax)

(For CZM Determinations)
State Planning Office
Coastal Program
184 State Street
State House Station 38
Augusta, Maine 04333
(207) 287-1009

(For Submerged Lands Leases)
Maine Department of Conservation
Bureau of Parks and Lands
22 State House Station
Augusta, Maine 04333
(207) 287-3061

191 Main Street
East Millinocket, ME 04430
(207) 746-2244
(207) 746-2243

(For Aquaculture Leases)
Maine Department of Marine Resources
P.O. Box 8
West Boothbay Harbor, Maine 04575
(207) 633-9500

3. HISTORIC PROPERTIES

Maine Historic Preservation Commission
State House Station 65
Augusta, Maine 04333-0065
(207) 287-2132
(207) 287-2335 (fax)

Aroostook Band of Micmacs
Attn: Mr. Williams Phillips, Chief
7 Northern Road
Presque Isle, Maine 04769
(207) 764-1972
(207) 764-7667 (fax)

Houlton Band of Maliseet Indians
Attn: Tribal Chief
88 Bell Road
Littleton, Maine 04730
(207) 532-4273, x215
(207) 532-2660 (fax)

Passamaquoddy Tribe of Indians
Pleasant Point Reservation
Attn: Tribal Council
P.O. Box 343
Perry, Maine 04667
(207) 853-2600
(207) 853-6039 (fax)

Passamaquoddy Tribe of Indians
Indian Township Reservation
Attn: Donald Soctomah, THPO
P.O. Box 301
Princeton, Maine 04668
(207) 796-2301
(207) 796-5256 (fax)

Penobscot Indian Nation
Indian Island Reservation
Attn: Ms. Bonnie Newsom, THPO
12 Wabanaki Way
Indian Island, Maine 04468
(207) 817-7471
(207) 817-7450 (fax)

4. ORGANIZATIONAL WEBSITES:

Army Corps of Engineers	www.nae.usace.army.mil (click "Regulatory/Permitting")
Corps of Engineers Headquarters	www.usace.army.mil (click "Services for the Public")
Environmental Protection Agency	www.epa.gov/owow/wetlands/
National Marine Fisheries Service	www.nmfs.noaa.gov
U.S. Fish and Wildlife Service	www.fws.gov
National Park Service	www.nps.gov/rivers/index.html
State of Maine	www.maine.gov
State of Maine -Aquaculture Guidelines	www.maine.gov/dmr/aquaculture/index.htm

for Christine J. Hrey 10-11-05
District Engineer Date

APPENDIX A: DEFINITION OF CATEGORIES

A. INLAND WATERS AND WETLANDS	**Inland Waters and Wetlands:** Waters that are regulated under Section 404 of the Clean Water Act, including rivers, streams, lakes, ponds and wetlands, and excluding Section 10 Navigable Waters of the U.S. The jurisdictional limits are the ordinary high water (OHW) mark in the absence of adjacent wetlands, beyond the OHW mark to the limit of adjacent wetlands when adjacent wetlands are present, and the wetland limit when only wetlands are present. For the purposes of this PGP, fill placed in the area between the mean high water (MHW) and the high tide line (HTL), and in the bordering and contiguous wetlands[1] to tidal waters are reviewed in the Navigable Waters section. (See II. Navigable Waters on the next page.)		
	CATEGORY 1	**CATEGORY 2**	**INDIVIDUAL PERMIT**
(a) NEW FILL/ EXCAVATION DISCHARGES	<4,300 SF inland waterway and/or wetland fill and associated secondary impacts (e.g., areas drained, flooded, cleared or excavated). Fill area includes all temporary and permanent fill, and excavation discharges (except for incidental fallback). Swamp mats are considered as fill. [See General Condition (GC) 18.] Provided: • In-stream (e.g., rivers, streams, brooks, etc.) work limited to Jul 15 - Oct 1 • In-stream work of up to 4,300 SF of fill below OHW in waterways not designated as EFH for Atlantic salmon (see GC 11, Page 9) and performed in accordance with Maine Permit By Rule standards or a LURC permit. • Waterway crossings shall comply with GC 22. • Projects covered by a DEP Tier One permit with no cumulative impacts >15,000 SF in inland wetlands from previous permits, unauthorized work, and/or other state permits. • Subdivision fill complies with GC 5, Single and Complete Projects (see Page 7). This category excludes: • Dams, dikes or activities involving water diversions.[2] • Non-State approved sediment releases/sluices from dams. • Open trench excavation in flowing waters (see GC 22, Page 12).	4,300 SF to <3 acres inland waterway and/or wetland fill and associated secondary impacts (e.g., areas drained, flooded, cleared or excavated). Fill area includes all temporary and permanent fill, and excavation discharges (except for incidental fallback). Swamp mats filling any area ≥4,300 SF are reviewed in Category 2. (See GC 18, Page 11.) Includes: In-stream work, including crossings (other than spanned crossing as described in Category 1) with any discharge of fill below ordinary high water in perennial waterways designated as EFH for Atlantic salmon. Time of year restrictions determined case-by-case. Projects with proactive restoration as a primary purpose with impacts of any area ≥4,300 SF. The Corps, in consultation with State & Federal agencies, must determine that net adverse effects are not more than minimal. Specific activities with impacts of any area ≥4,300 SF required to affect the containment, stabilization, or removal of hazardous or toxic waste materials that are performed, ordered, or sponsored by a government agency with established legal or regulatory authority. Wetlands must be restored in place.	≥3 acres inland waterway and/or wetland fill and secondary impacts (e.g., areas drained, flooded, cleared or excavated). Fill area includes all temporary and permanent fill, and excavation discharges (except for incidental fallback).[5] EIS required by the Corps. In-stream work exceeding Category 2 limits.

	CATEGORY 1	CATEGORY 2	INDIVIDUAL PERMIT
	• Work in waters designated as EFH for Atlantic salmon (see GC 11, Page 9), unless the waterway is crossed with a span and footprints of the span abutments are outside ordinary high water with no more than 4,300 SF of associated wetland impact. • Work in Special Inland Waters or Wetlands[3] (vernal pools). • Work in special aquatic sites (SAS)[4] other than wetlands. • Work within ¼ mile of a Wild and Scenic River (see GC 12, Page 9). • Work on National Lands (see GC 9, Pg. 9). • Work affecting threatened or endangered species (see GC 10, Page 9) or EFH salmon migration (see GC 11, Page 9).		
(b) BANK STABILIZATION PROJECTS	Inland bank stabilization <100 FT long and <1 CY of fill per linear foot below OHW. Provided: • In-stream work limited to Jul 15 - Oct 1. • No work in special inland waters & wetlands[3] and SAS[4]. • No open trench excavation in flowing waters (see GC 22, Page 12). • No structures angled steeper than 3H:1V allowed. Only rough-faced stone or fiber roll revetments allowed. • No work affects threatened or endangered species (see GC 10, Page 9) or EFH (see GC 11, Page 9).	Inland bank stabilization ≥100 FT long and/or ≥1 CY of fill per linear foot, or any amount with fill in wetlands.	
(c) REPAIR AND MAINTENANCE OF AUTHORIZED FILLS	Repair or maintenance of existing, currently serviceable, authorized fills with no substantial expansion or change in use. *Conditions of the original authorization apply, however minor deviations in fill design allowed.[6]	Replacement of non-serviceable fills, or repair/maintenance of serviceable fill, with expansion <3 acres, or with a change in use.	Replacement of non-serviceable fill, or repair/maintenance of serviceable fill, with expansion ≥1 acre.

II. NAVIGABLE WATERS	**Navigable Waters of the United States:** Waters that are subject to the ebb and flow of the tide and Federally designated navigable rivers (the Penobscot River, Kennebec River, and Lake Umbagog) (Section 10 Rivers and Harbors Act of 1899). The jurisdictional limits are the mean high water (MHW) line in tidal waters and the ordinary high water (OHW) mark in non-tidal portions of the Federally designated navigable rivers. For the purposes of this PGP, fill placed in the area between the mean high water (MHW) and the high tide line (HTL), and in the bordering and contiguous wetlands[1] to tidal waters are also reviewed in this Navigable Waters section.		
	CATEGORY 1	**CATEGORY 2**	**INDIVIDUAL PERMIT**
(a) FILL	Discharges of dredged or fill material incidental to the construction of bridges across navigable waters of the United States, including cofferdams, abutments, foundation seals, piers, and temporary construction and access fills provided the U.S. Coast Guard authorizes such discharges as part of the bridge permit. Causeways and approach fills are not included in this category and require Category 2 or Individual Permit authorization.	<1 acre fill and/or secondary waterway impacts (e.g., areas drained, flooded or cleared). Fill includes temporary and permanent waterway fill. Temporary fill or excavation <1 acre in SAS[4]. Permanent fill or excavation <1,000 SF in SAS[4]. Permanent fill and/or excavation ≥1,000 SF in SAS[5] when associated with a project with proactive restoration as a primary purpose. The Corps, in consultation with Federal & state agencies, must determine that net adverse effects are not more than minimal. Specific activities with impacts of any area required to affect the containment, stabilization, or removal of hazardous or toxic waste materials that are performed, ordered, or sponsored by a government agency with established legal or regulatory authority. Wetlands must be restored in place.	≥1 acre waterway fill and/or secondary waterways or wetland impacts (e.g., areas drained, flooded or cleared). Fill includes temporary and permanent waterway fill. Temporary fill or excavation ≥1 acre in SAS[4]. Permanent fill or excavation ≥1,000 SF in SAS[4] other than as specified in Cat. 2 EIS required by the Corps.
(b) REPAIR AND MAINTENANCE WORK	Repair or maintenance of existing, currently serviceable, authorized structure or fill with no substantial expansion or change in use. *Conditions of the original authorization apply. Must be rebuilt in same footprint, however minor deviations in structure design allowed[6]	Replacement of non-serviceable structures and fills or repair/maintenance of serviceable structures or fills, with fill, replacement or expansion <1 acre, or with a change in use.	Replacement of non-serviceable structures and fills or repair/ maintenance of serviceable structures or fill, with replacement or expansion ≥1 acre.

	CATEGORY 1	CATEGORY 2	INDIVIDUAL PERMIT
(c) DREDGING AND ASSOCIATED DISPOSAL	Maintenance dredging for navigational purposes <1,000 cy with upland disposal. Includes return water from upland contained disposal area. Provided: • Proper siltation controls are used. • Dredging & disposal operation limited to November 1 - January 15. • No impact to special aquatic sites[4]. • No dredging in intertidal areas. • No work affects threatened or endangered species (see GC 10, Page 9) or EFH salmon migration (see GC 11, Page 9).	Maintenance dredging ≥1,000 CY, new dredging <25,000 CY, or projects not meeting Category 1. Includes return water from upland contained disposal areas. Provided: • Disposal includes 1) upland, 2) beach nourishment (above MHW) of any area provided dredging's primary purpose is navigation or sand is from an upland source and Corps, in consultation w/Federal and State agencies, determines the net adverse effects are not more than minimal; and 3) open water & confined aquatic disposal, if Corps, in consultation with Federal and State agencies, finds the material suitable.	Maintenance dredging and/or disposal (any amount) in or affecting a SAS[4]. See II(a) above for dredge disposal in wetlands or waters. New dredging ≥25,000 CY, or any amount in or affecting SAS[4]. Beach nourishment associated with dredging when the primary purpose is not navigation (i.e., aggregate/sand mining) or the material is from an upland source.
(d) MOORINGS	Private, non-commercial, non-rental, single-boat moorings authorized by the local harbormaster. Provided: • Not associated with any boating facility[7] • Not located in a Federal Navigation Project other than a Federal Anchorage. Moorings in Federal Anchorage not associated with a boating facility[7]. • No interference with navigation • Not located in vegetated shallows[8] • Within ¼ mile of the owner's residence or a public access point.[9] Minor relocation of previously authorized moorings and moored floats consistent with Harbormaster recommendations, provided it is also consistent with local regulations, is not located in vegetated shallows, and does not interfere with navigation.	Moorings associated with a boating facility[7]. Moorings that don't meet the terms in Category 1 and don't require an Individual Permit. Moorings located such that they, and/or vessels docked or moored at them, are within the buffer zone of the horizontal limits[10] of a Corps Federal Channel. (See Appendix B.) The buffer zone is equal to three times the authorized depth of that channel.	Moorings within the horizontal limits[10], or with moored vessels that extend, into the horizontal limits of a Federal Navigation Project (See App. B), except those in Federal Anchorages under Category 1. Note: Federal Navigation Projects include both Federal Channels and Federal Anchorages.

	CATEGORY 1	CATEGORY 2	INDIVIDUAL PERMIT
(e) STRUCTURES AND FLOATS	Reconfiguration of existing authorized structures or floats. Provided: • Structures not positioned over vegetated shallows[8] or salt marsh. • Floats supported off substrate at low tide. • No dredging, additional slips or expansion. • No work affects threatened or endangered species (see GC 10, Page 9) or EFH salmon migration (see GC 11, Page 9)..	Private structures or floats, including floatways/skidways, built to access waterway (seasonal and permanent) Expansions to existing boating facilities[7]. Compliance with the following is recommended, but not required: • Pile-supported structures <400 SF, with attached floats totaling ≤200 SF. • Bottom anchored floats ≤200 SF. • Structures are ≤4' wide and have at least a 1:1 height:width ratio[11]. • Floats supported above the substrate during all tides. • Structures & floats not located within 25' of any vegetated shallows[8]. • Moored vessels not positioned over SAS[4]. • No structure located within 25' of the riparian property boundary. • No structure extends across >25% of the waterway width at mean low water. • Not located within the buffer zone of the horizontal limits[10] of a Corps Federal Navigation Project (FNP) (See App. B). The buffer zone is equal to three times the authorized depth of that FNP.	Structures or floats, including floatways/skidways, located such that they and/or vessels docked or moored at them are within the horizontal limits of a Corps Federal Navigation Project (see App. B). Structures and floats associated with a new or previously unauthorized boating facility[7]. Note: Federal Navigation Projects include both Federal Channels and Federal Anchorages.
(f) MISCELLANEOUS	Temporary buoys, markers, floats, etc. for recreational use during specific events, provided they are removed within 30 days after use is discontinued. The placement of aids to navigation and regulatory markers which are approved by and installed in accordance with the requirements of the U.S. Coast Guard. (See 33 CFR part 66, Chapter I, subchapter C)."	Structures or work in or affecting tidal or navigable waters, that are not defined under any of the previous headings listed above. Includes, but is not limited to, utility lines, aerial transmission lines, pipelines, outfalls, boat ramps, floatways/skidways, bridges, tunnels and horizontal directional drilling activities seaward of the MHW line.	EIS required by the Corps. Shellfish/finfish (other than Atlantic salmon), or other aquaculture facilities with more than minimal individual and cumulative impacts to environmental resources or navigation. A 25' eelgrass set back is recommended.

	Oil spill clean-up temporary structures or fill. Fish/wildlife harvesting structures/fill (as defined by 33 CFR 330, App. A-4) Scientific measurement devices and survey activities such as exploratory drilling, surveying and sampling activitities. Does not include oil and gas exploration and fill for roads or construction pads. Shellfish seeding (brushing the flats[12]) projects. Provided: • No work in National Wildlife Refuges. • No work affects threatened or endangered species (see GC 10, Page 9) or EFH salmon migration (see GC 11, Page 9).	Shellfish/finfish (other than Atlantic salmon), or other aquaculture facilities with no more than minimal individual and cumulative impacts to environmental resources or navigation. A 25' eelgrass set back is recommended. Aquaculture guidelines are provided at: www.maine.gov/dmr/aquaculture/index.htm.	Aquaculture guidelines are provided at: www.maine.gov/dmr/aquaculture/index.htm.

[1] **Bordering and Contiguous Wetlands:** A bordering wetland is immediately next to its adjacent waterbody and may lie at, or below, the ordinary highwater mark (MHW in navigable waters) of that waterbody and is directly influenced by its hydrologic regime. Contiguous wetlands extend landward from their adjacent waterbody to a point where a natur or manmade discontinuity exists. Contiguous wetlands include bordering wetlands as well as wetlands that are situated immediately above the ordinary highwater mark and above th normal hydrologic influence of their adjacent waterbody. Note, with respect to the Federally designated navigable rivers, the wetlands bordering and contiguous to the tidally influenced portions of those rivers are reviewed under "II. Navigable Waters."

[2] **Water Diversions:** Water diversions are activities such as bypass pumping or water withdrawals. Temporary flume pipes, culverts or cofferdams where normal flows are maintaine within the stream boundary's confines aren't water diversions. "Normal flows" are defined as no change in flow from pre-project conditions.

[3] **Special Inland Waters and Wetlands:** Vernal Pools - Temporary to permanent bodies of water occurring in shallow depressions that fill during the spring and fall and may dry during the summer. Vernal pools have no permanent or viable populations of predatory fish. Vernal pools provide the primary breeding habitat for wood frogs, spotted salamanders, blue-spotted salamanders, and fairy shrimp, and provide habitat for other wildlife including several endangered and threatened species.

[4] **Special Aquatic Sites:** Includes wetlands and saltmarsh, mudflats, riffles and pools, and vegetated shallows.

[5] **IP Required:** The greater the impacts, the more likely an Individual Permit will be required. The Corps will determine the need for compensatory mitigation on a case-by-case basi

[6] **Maintenance:** Minor deviations in the structure's configuration or filled area, including those due to changes in materials, construction techniques, or current construction codes or safety standards, which are necessary to make repair, rehabilitation, or replacement are permitted, provided the adverse environmental effects resulting from such repair, rehabilitatior or replacement are minimal. No seaward expansion for bulkheads or any other fill activity is considered Category 1 maintenance. Currently serviceable means useable as is or with some maintenance, but not so degraded as to essentially require reconstruction.

[7] **Boating Facilities:** Facilities that provide, rent, or sell mooring space, such as marinas, yacht clubs, boat clubs, boat yards, town facilities, dockominiums, etc.

[8] **Vegetated Shallows:** Subtidal areas that support rooted aquatic vegetation such as eelgrass

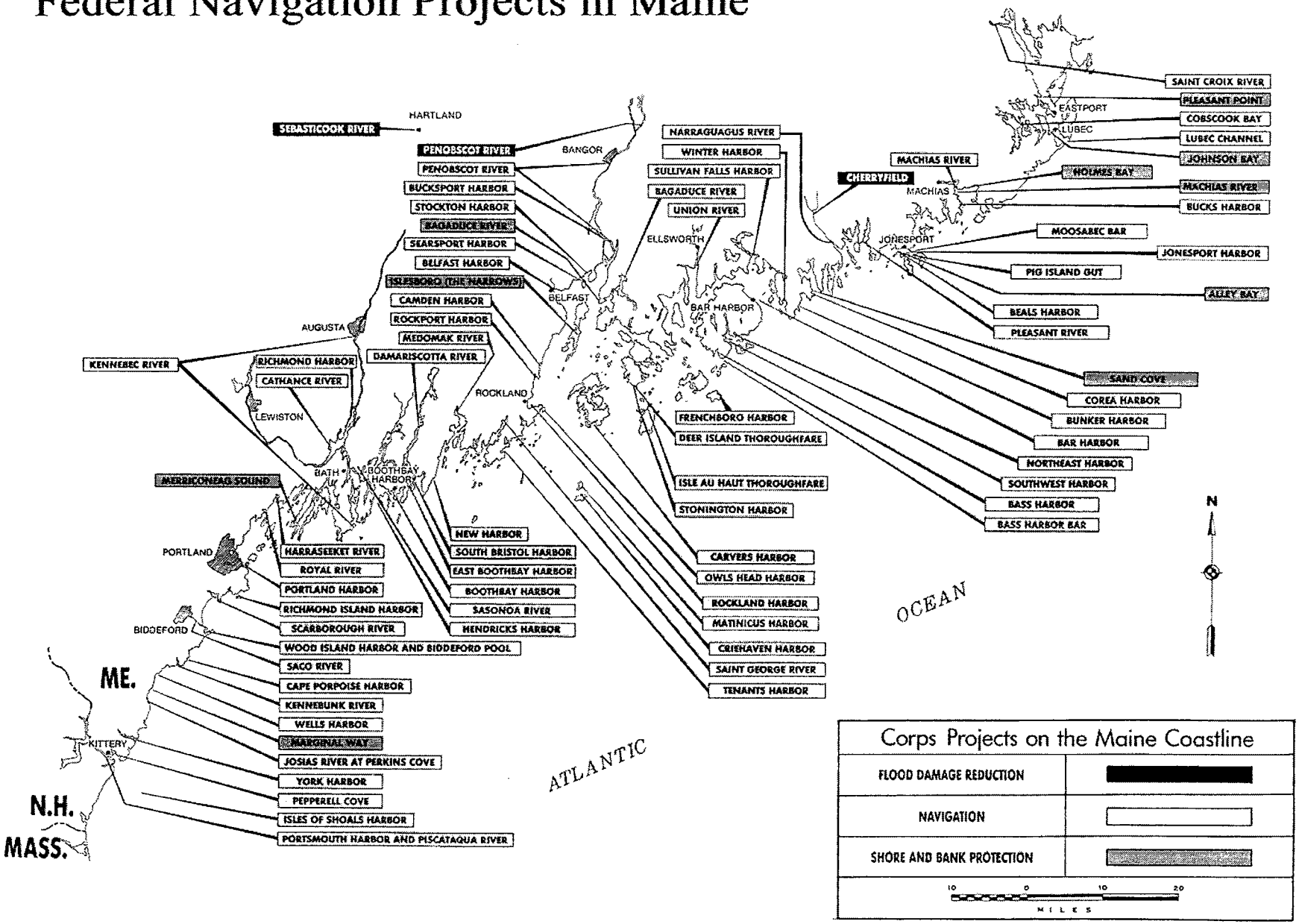
[9] **Mooring Location:** Cannot be at a remote location to create a convenient transient anchorage.

[10] **Horizontal Limits:** The outer edge of a Federal Navigation Project (FNP). Contact the Corps of Engineers for information on FNP's.

[11] **Structures:** The height of structures shall at all points be equal to or exceed the width of the deck. For the purpose of this definition, height shall be measured from the marsh substrate to the bottom of the longitudinal support beam.

[12] **Brushing the Flats:** The placement of tree boughs, wooden lath structure, or small-mesh fencing on mudflats to enhance recruitment of soft-shell clams (*Mya arenaria*).

Federal Navigation Projects in Maine



US Army Corps
of Engineers ®
New England District

PGP WORK START NOTIFICATION FORM
(Minimum Advance Notice: Two Weeks)

MAIL TO: U.S. Army Corps of Engineers, New England District
Regulatory Branch
Policy Analysis/Technical Support Section
696 Virginia Road
Concord, Massachusetts 01742-2751

A Corps of Engineers Permit ( ) was issued to _____. The permit authorized the permittee(s) to _____

_____

_____

_____

The people (e.g., contractor) listed below will do the work, and they understand the permit's conditions and limitations.

PLEASE PRINT OR TYPE

Name of Person/Firm: _____

_____

_____

Business Address: _____

_____

_____

Telephone: ( ) _____ ( ) _____

Proposed Work Dates: Start: _____

Finish: _____

PERMITTEE'S SIGNATURE: _____ DATE: _____

PRINTED NAME: _____ TITLE: _____

FOR USE BY THE CORPS OF ENGINEERS

PM _____ Submittals Required: _____

Inspection Recommendation: _____

US Army Corps
of Engineers ®
New England District

(Minimum Notice: Permittee must sign and return notification within one month of the completion of work.)

COMPLIANCE CERTIFICATION FORM

Corps of Engineers Permit No: _____

Name of Permittee: _____

Permit Issuance Date: _____

Please sign this certification and return it to the following address upon completion of the activity and any mitigation required by the permit. You must submit this after the mitigation is complete, but not the mitigation monitoring, which requires separate submittals.

****
* MAIL TO: U.S. Army Corps of Engineers, New England District *
* Policy Analysis/Technical Support Branch, ATTN: Marie Farese *
* Regulatory Division *
* 696 Virginia Road *
* Concord, Massachusetts 01742-2751 *
****

Please note that your permitted activity is subject to a compliance inspection by an U.S. Army Corps of Engineers representative. If you fail to comply with this permit you are subject to permit suspension, modification, or revocation.

I hereby certify that the work authorized by the above referenced permit was completed in accordance with the terms and conditions of the above referenced permit, and any required mitigation was completed in accordance with the permit conditions.

_____ _____
Signature of Permittee Date

_____ _____
Printed Name Date of Work Completion

(____) _____ (____) _____
Telephone Number Telephone Number