

Website: www.maine.gov/ethics

Phone: 207-287-4179 Fax: 207-287-6775

## Registration for Political Action Committees & Ballot Question Committees

### For All State-Wide Campaigns

A political action committee or ballot question committee must register with the Commission's office within 7 days of making expenditures to initiate or influence a campaign or election, including unpaid obligations, that total more than:

- \$2,500 for an organization or voluntary association which participates in a candidate election, or
- \$5,000 for organization, voluntary association, or individual which participates in a referendum election.

Registration is not complete until the following documents have been submitted:

- Completed registration for Political Action Committees & Ballot Question Committees. (This Form)
- <u>Acknowledgment of Responsibilities</u>. The Treasurer, Principal Officer and any Decision Maker(s) must sign and return the statements within 10 days of the date of submitting this form.
- <u>Initial Campaign Finance Report</u>. All contributions received, whether cash of in-kind, and all expenditures made from the beginning of the calendar year (for PACs) or the beginning of the campaign (for BQCs) must be reported. The report is due within 7 days of filing this registration, or within 14 days of exceeding the financial activity that required registration, whichever comes first.

AMENDMENT				
Yes No No				
Соммітті	EE INFORMATION			
Committee Name		Acronym (Optional)		
Mailing Address		Phone		
City	State	ZIP		
Committee Email		Alternate Email 1 (Optional)		
Alternate Email 2 (Optional) Web Address (Optional)				
TYPE OF COMMITTEE				
(Please see Instruction Page) (Select One)				
☐ The primary purpose of this Committee is to influence candidate campaigns. (PACs)				
☐ The primary purpose of this Committee is to influence referenda campaigns. (BQCs)				
For PACs Only (Select One)				
☐ Traditional PAC ☐ Leadership PAC ☐ Caucus PAC				
For BQCs Only (Select One)				
☐ Individual BQC ☐ Traditional BQC				

Last Name  First Name  Current Legislator  Yes No  No  Mailing Address  Phone  City  State  ZIP  Email  Fax (Optional)  PRINCIPAL OFFICER INFORMATION	
Mailing Address  Phone  City  State  ZIP  Email  Fax (Optional)  PRINCIPAL OFFICER INFORMATION	
City State ZIP  Email Fax (Optional)  PRINCIPAL OFFICER INFORMATION	
Email Fax (Optional)  PRINCIPAL OFFICER INFORMATION	
Email Fax (Optional)  PRINCIPAL OFFICER INFORMATION	
PRINCIPAL OFFICER INFORMATION	
PRINCIPAL OFFICER INFORMATION	
Last Name First Current Legislator	<u></u>
Yes No No	
Mailing Address Phone	
City State ZIP	-
Email Fax (Optional)	
AUTHORIZED AGENT INFORMATION (OPTIONAL)	
Last Name First Name	
Email Phone	
Last Name First Name	
Email Phone	
PRIMARY FUNDRAISERS & DECISION MAKERS INFORMATION	
(OPTIONAL)  Last Name First Name	
Email	
Role (Check all that apply)  Decision Maker  Fundraiser  Legislator	
Last Name First Name	
Email	
Role (Check all that apply)  Decision Maker Fundraiser Legislator	
<b>1</b>	
Last Name First Name	
Facility (Control of the Control of	
Email Control of the	
<u></u>	
Role (Check all that apply)  Decision Maker  Fundraiser  Legislator	

FORM OF ORGANIZATION					
Role (Select One)  Corporation	☐ Cooperative		☐ Limited Li	ability Co.	☐ Non-Profit
☐ Unregistered Partnership	── ·  ☐ Voluntary Associa	tion	 ☐ Individual		Other
Date of Origin or Incorporation					
	FOUNDIA	IC OF	OCANIZATIONS		
, ,	if Form of Organization is a: Unregi		RGANIZATIONS Partnership, Voluntary	·	ual)
Name of Business/Organization				Phone	
Address					
City		State		ZIP	
Name of Business/Organization				Phone	
Address				,	
City		State		ZIP	
Name of Business/Organization				Phone	
Address					
City		State		ZIP	
CAMPAIGN ACCOUNT INFORMATION					
Name on Account					
Name of Financial Institution					
Name of Financial Institution					
Mailing Address					
City		State		ZIP	
Certification (Select One)					
I certify that the funds deposited into the Campaign Account are segregated from the general treasury funds of a founding organization and that the funds are not commingled with the funds of any individual person.					
I request a waiver of the requirement to maintain a separate account because the requirement is administratively burdensome.					

STATEMENT OF COMMITTEE PURPOSE			
Indicate the specific candidate(s) or referenda that the committee will be supporting or opposing. If the purpose is non-specific, indicate the categories of candidates or types of issues that the Committee will be supporting or opposing. (e.g. "This Committee supports John Smith for Governor," "This Committee opposes the People's Veto of LD XXX," "This Committee opposes candidates who want to increase taxes."			
☐ Support			
□ Орр	oose		
	CERTIFICATION		
(Select One)			
	I certify that this PAC/BQC is not directly or indirectly established, maintained, or controlled, by a current member of the legislature.		
	I certify that I have listed the member(s) of the legislature who are directly or indirectly establishing, maintaining, or controlling this PAC/BQC as a Principal Officer, Treasurer, Decision Maker, or Fundraiser.		
SIGNATURE OF OFFICER			
FULL NAME		TITLE	
SIGNATURE		DATE	



Website: www.maine.gov/ethics

Phone: 207-287-4179 Fax: 207-287-6775

# Acknowledgment of Responsibilities – Treasurer For Political Action Committees & Ballot Question Committees

OFFICER INFORMATION					
Last Name		First	Committee Name		
Mailing Addre	ess		Phone		
Ü					
City		State	ZIP		
			5.00		
Email			Fax (Optional)		
		OFFICER RESPONSIBILITIES			
1. I am jointly responsible with the principal officer for ensuring that the committee complies with the requirements					
1.		_	ne committee. These requirements include but		
	are not limited to:	criapter 13) applicable to tr	le committee. These requirements include but		
filing complete and accurate reports as required by the Commission;					
	•		es, and bank statements for the committee's		
	campaign account; and	i contributions, expenditure	ss, and bank statements for the committee's		
	, 3	stration information within 1	10 days of any change, including the		
	updating the committee's registration information within 10 days of any change, including the  resignation or removal of the principal officer or a decision maker and filing an updated registration with				
resignation or removal of the principal officer or a decision-maker and filing an updated registration with the Commission by March 1st of every year when there is a general election.					
2.					
	the committee for violations of Maine Election Law (21-A M.R.S., chapters 13 and 14).				
3.					
received notice of my resignation or involuntary removal from the committee.					
4.					
	position of treasurer and that my resignation will not be effective until the Commission receives such notice.				
5.	5. I am responsible for notifying the Commission if the principal officer or a decision-maker is involuntarily				
removed from the committee.					
ACKNOWLEDGMENT					
I have read this acknowledgment and understand my responsibilities and liabilities as Treasurer.					
<u>C:</u>	4		Dete		
Signa	ature		Date		

Failure to Submit this Acknowledgment of Responsibilities Form may result in a fine of \$100



Website: www.maine.gov/ethics

Phone: 207-287-4179 Fax: 207-287-6775

## **Acknowledgment of Responsibilities – Principal Officer**

For Political Action Committees & Ballot Question Committees

Last Name First	Committee Name					
Mailing Address	Phone					
City State	ZIP					
Email	Fax (Optional)					
Officer F	Responsibilities					
1. I am jointly responsible with the treasurer for ensuring that the committee complies with the requirements of						
Maine Election Law (21-A M.R.S., chapter 13) a	oplicable to the committee. These requirements include but are					
not limited to:						
filing complete and accurate reports as r	<ul> <li>filing complete and accurate reports as required by the Commission;</li> </ul>					
ensuring that the treasurer of the commi	<ul> <li>ensuring that the treasurer of the committee keeps all required records of contributions, expenditures,</li> </ul>					
and bank statements for the committee's	and bank statements for the committee's campaign account; and					
<ul> <li>updating the committee's registration inf</li> </ul>	<ul> <li>updating the committee's registration information within 10 days of any change, including the</li> </ul>					
resignation or removal of the treasurer o	r a decision-maker and filing an updated registration with the					
Commission by March 1st of every year when there is a general election.						
2. I am jointly and severally liable with the treasure	2. I am jointly and severally liable with the treasurer and the committee for any penalties assessed against the					
committee for violations of Maine Election Law (	committee for violations of Maine Election Law (21-A M.R.S., chapters 13 and 14).					
<ol><li>I am deemed to have participated in any spendir</li></ol>	3. I am deemed to have participated in any spending decisions of the committee until the Commission has					
received notice of my resignation or involuntary i	removal from the committee.					
4. I am responsible for notifying the Commission ar	4. I am responsible for notifying the Commission and the committee's treasurer in writing if I resign from the					
position of principal officer and that my resignation will not be effective until the Commission receives such						
notice.						
5. I am responsible for notifying the Commission if	5. I am responsible for notifying the Commission if the treasurer or a decision-maker is involuntarily removed from					
the committee.						
ACKNOWLEDGMENT						
I have read this acknowledgment and understand my responsibilities and liabilities as Principal Officer.						
Signature Date						

Failure to Submit this Acknowledgment of Responsibilities Form may result in a fine of \$100



Website: www.maine.gov/ethics

Phone: 207-287-4179 Fax: 207-287-6775

# Acknowledgment of Responsibilities – Decision Maker For Political Action Committees & Ballot Question Committees

OFFICER INFORMATION				
Last Name	First Name	Committee Name		
Email		Phone		
Linaii		riole		
OFFICER RESPONSIBILITIES				
<ol> <li>I am deemed to have participated in ar</li> </ol>	ny spending decisions of the	e committee until the Commission has		
received notice of my resignation or involuntary removal from the committee.				
2. I am responsible for notifying the Com	mission and the committee	in writing if I resign from the position of		
decision-maker and that my resignation will not be effective until the Commission receives such notice.				
decision-maker and that my resignation will not be effective until the Commission receives such notice.				
ACKNOWLEDGMENT				
I have read this acknowledgment and understand my responsibilities and liabilities as a Decision Maker.				
Signature Date				
Failure to Submit this Acknowledgment of Responsibilities Form may result in a fine of \$100				