



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

## **Agenda**

**July 30, 2021 at 10:00 a.m.**

Note: the meeting will be conducted in person. Members of the public may attend in person or watch the streaming of the meeting on the Commission's YouTube channel. Please visit [www.maine.gov/ethics](http://www.maine.gov/ethics) for the link to access the YouTube channel. Members of the public wishing to comment on the proposed policy on remote participation in agenda item 9 may attend in person or participate by zoom. To participate by zoom, please call the Commission at 287-4179 to receive a link.

### **1. Ratification of the Minutes of the May 26, June 11, June 18, and June 30, 2021 Meetings**

#### **Compliance Review of 2020 Leadership PACs**

At its November 18, 2020 meeting, the Commission directed its staff to move forward with an enhanced compliance review of 2020 leadership PACs. The Commission's Political Committee and Lobbyist Registrar, Michael Dunn, conducted the reviews. Mr. Dunn will provide the second of two reports on the results of his reviews. The findings will be presented on the following PACs:

#### **2. Charlotte PAC**

The Commission staff is recommending a finding that the PAC failed to maintain receipts and accurately report transactions. The Commission staff is recommending a \$400 penalty for these potential violations.

#### **3. One Maine PAC**

The Commission staff is recommending a finding that the PAC failed to update its registration and has an inaccurate report balance. If the Commission finds that the PAC filed an independent expenditure report late, the Commission staff recommends a \$750 penalty.

#### **4. Shiretown PAC**

The Commission staff is recommending a finding that the PAC was late in registering, that its initial campaign finance report was substantially non-conforming, and that it omitted transactions. The Commission staff is recommending a \$1,500 penalty for these potential violations.

#### **5. Star City PAC**

The Commission staff is recommending a finding that the PAC failed to report a \$250 withdrawal, or that the PAC failed to maintain records sufficient to identify the purpose of that withdrawal. The Commission staff is recommending no further action.

#### **6. Committee for Peace and Justice**

The Commission staff is recommending a finding that the PAC accepted an improper contribution from a lobbyist that has since been returned. The Commission staff is recommending no further action.

**7. Update on Audits of 2020 Maine Clean Election Act Candidates**

The Commission's auditors will update the Commission on the progress of the post-election audits of 2020 Maine Clean Election Act candidates.

**8. Proposed Investigation – Alpine Initiatives LLC**

The Commission staff recommends investigating Alpine Initiatives LLC, which made a political contribution of \$150,000 in 2018 shortly after registering as a limited liability company.

**9. Policy on Remote Participation in Commission Meetings**

A new law enacted in 2021 authorized members of Maine state boards and commissions to participate remotely in a proceeding if the board or commission has adopted a written policy on participating remotely. The Commission will consider a draft policy proposed by staff and any comments from members of the public.

**10. Discussion of Procedures for Waiving Late-Filing Penalties**

The Commission will discuss its procedures for considering requests for waivers of late-filing penalties.

**11. Question on Confidentiality in Litigation** (no written materials)

The Commission will discuss whether to keep confidential the name of a political consulting firm that received an investigative subpoena from the Commission, in the event of any litigation over enforcement of the subpoena.

**Other Business**

**Executive Session (if necessary)**

**ADJOURNMENT**